



State of Tennessee
Tennessee Historical Commission/State Historic
Preservation Office
2941 Lebanon Road
Nashville, TN 37243-0442
Telephone (615) 770-1092



SECTION 106 REVIEW INITIAL REVIEW REQUEST CHECKLIST

Federal Agency Officials and their authorized representatives administering or requesting Federal funds, licenses, permits, or approvals for an undertaking as defined at 36 CFR 800.16, must consult with the Tennessee State Historic Preservation Officer (SHPO) (36 CFR 800.3 through .6). Section 106 of the National Historic Preservation Act (NHPA) requires Federal agencies that propose such undertakings to take into account Historic Properties eligible for listing or listed in the National Register of Historic Places (NRHP). To facilitate that consultation, please use this Checklist to ensure you are submitting hard copies of all appropriate documentation to the Tennessee SHPO.

Anyone preparing cultural resources survey reports (architectural and/or archaeological) in support of a determination of NRHP eligibility should do so using the Reporting Standard found on the Tennessee SHPO Section 106 web page. Each Section 106 Review Request will trigger this office's assessment of the applicant's determination of NRHP eligibility for all cultural resources identified within that undertaking's Area of Potential Effects (APE). The SHPO's NRHP eligibility assessment deals with both above ground and below ground elements.

This Initial Review Request Checklist deals only with the documentation necessary to support a determination of NRHP eligibility. Review by the SHPO of Federal project-related effect findings occurs if, and only if, the SHPO concurs with the Federal Agency Official or authorized designee that a Historic Property is located within a Federal undertaking's APE, or the SHPO determines that such Historic Properties exist, or the Office of the Keeper of the NRHP so determines.

TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE SECTION INITIAL REVIEW REQUEST CHECKLIST

By submitting a Section 106 Initial Review Request to the Tennessee SHPO, you have identified the Tennessee SHPO as the appropriate SHPO to assist and advise you as you move through the Section 106 review process; (36 CFR 800.16(f), 800.3(c), 800.3(f), 800.3(f)(1) and 800.3(f)(2)). If your undertaking reaches beyond the borders of the State of Tennessee, you should obtain contact information for additional State Historic Preservation Officers/Tribal Historic Preservation Officers from the www.achp.gov website. In Tennessee, the State Historic Preservation Officer is

Mr. E. Patrick McIntyre, Jr.
Tennessee Historical Commission
2941 Lebanon Road
Nashville, Tennessee 37243-0442

Those persons requesting Section 106 review and comment upon a determination of NRHP eligibility from the SHPO should submit only the documentation contained in this Checklist. For any Checklist items that do not apply to your particular undertaking, place a "NA" in the appropriate check block to remind yourself that you do not need to submit that documentation. Because Agency Officials are required to plan consultations appropriate to the scale of their undertakings, the scope of Federal involvement, and other factors, the level of appropriate documentation you submit to the SHPO and other Consulting Parties will vary according to that scale and scope. However, the SHPO will not review additional documents to those specified here unless we request them.

SUBMIT ONLY ONE HARD COPY REVIEW REQUEST FOR EACH FEDERAL UNDERTASKING: NO "CATEGORICAL EXCLUSIONS," "EARLY PUBLIC NOTICES," "NOTICES OF OPPORTUNITY FOR PUBLIC COMMENT," "NOTICES OF EXPLANATION," "CONCURRENT NOTICES," "FINDINGS OF NO SIGNIFICANT IMPACT," "FINAL NOTICE AND PUBLIC EXPLANATION," OR "NOTICES OF INTENT TO REQUEST A RELEASE OF FUNDS" UNLESS YOU HAVE FORMAL AGREEMENT FROM THE SHPO TO DO SO.

TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE SECTION INITIAL REVIEW REQUEST CHECKLIST

This Checklist has been prepared to provide Federal Agency Officials and authorized Applicants for Federal Assistance helpful guidance about the Tennessee SHPO review requirements pursuant to Section 106 of the National Historic Preservation Act and 36 CFR 800. Publication of this Checklist does not signify an absolute pre-requisite for consultation with the Tennessee SHPO. Those Agency Officials and Applicants with special needs should contact the Review and Compliance Section of the Tennessee SHPO and consult on acceptable alternatives.

**TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE
SECTION INITIAL REVIEW REQUEST CHECKLIST**

**SECTION 106 REVIEW
INITIAL REVIEW REQUEST CHECKLIST**

USE THIS CHECKLIST TO ENSURE YOU HAVE ASSEMBLED ALL PERTINENT INFORMATION AND DOCUMENTS.

PART 1 GENERAL CONTACT INFORMATION

A. Your Contact Information:

Your Name					
Organization					
Street Address (P. O. Box Number)					
City	State		Zip Code		
E-Mail Address			Telephone Number		

B. The Project To Be Reviewed

Full Name of the Federal Agency					
Project Title					
Address/Location		City / If outside city limit, enter "Unincorporated"		County	

PART 2 INITIATE THE SECTION 106 REVIEW PROCESS (36 CFR 800.3)

36 CFR 800(c) states, "As part of its initial planning, the Agency Official shall determine the appropriate SHPO or SHPOs to be involved in the Section 106 process."

A. CONTACT THE TENNESSEE SHPO

36 CFR 800 permits the FEDERAL AGENCY OFFICIAL responsible for implementing the undertaking to contact the appropriate SHPO in one of two ways.

- 1.) He or she may begin consultation through a Federal Agency Official direct consultation request. This is the method used when you are a Federal agency employee. / DIRECT
- 2.) He or she may also begin the process indirectly through a Review Request submitted if you are a duly certified (36 CFR 800.2(a) applicant for Federal funds, licenses, permits, or approvals. / INDIRECT (If an applicant for Federal assistance is not 36 CFR 800.2(a) certified, he or she must submit the review request through the Federal agency from which he/she is seeking the assistance.)

TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE SECTION INITIAL REVIEW REQUEST CHECKLIST

Checklist		
How do you choose to initiate consultation?	DIRECTLY as a Federal Agency Official	INDIRECTLY as an applicant for Federal funds, license, permit, or approval
B. PREPARE A HARD COPY OF EACH OF THE FOLLOWING REQUIRED DOCUMENTS IN THIS CHECKLIST, (36 CFR 800.3)		
<p>1.) A SIGNED COVER LETTER (on contact information letterhead) requesting Section 106 review of the proposed Federal undertaking</p> <p>ADD A CAPTION in the letter placed directly below the salutation containing:</p> <ul style="list-style-type: none"> • The FEDERAL AGENCY funding, licensing, permitting, or approving the proposed Federal undertaking • The TITLE of your project • The IDENTITY OF THE FEDERAL AGENCY OFFICIAL OR APPLICANT for Federal funding, licensing, permitting, or approval and the name, address, and telephone number of the person who may be contacted regarding the proposed Federal undertaking • The LOCATION (ADDRESS, CITY, AND COUNTY) of the proposed Federal undertaking <p>A brief PROJECT NARRATIVE -</p> <p>NOTE: If you have conducted a pre-consultation cultural resources review and if your review did not disclose any NRHP eligible or listed properties within the undertaking's Area of Potential Effects, a statement to that effect should be included in your cover letter.</p> <p>2.) A MATRIX LIST of ALL OTHER CONSULTING PARTIES invited to participate in consultation relative to the proposed Federal undertaking, the names, addresses, and telephone numbers of authorized representatives of these additional Consulting Parties who may be contacted regarding the undertaking, and RETURN CORRESPONDENCE from these Consulting Parties ONLY IF THEY HAVE DISAGREED WITH YOUR NRHP ELIGIBILITY - YOU MAY FIND A LISTING OF CONSULTING PARTIES AT 36 CFR 800.2</p> <p>INCLUDE ONLY:</p>		

TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE SECTION INITIAL REVIEW REQUEST CHECKLIST

- a LIST of any tribal contacts / contact information (Agency Official submissions only)
- a LIST of any representatives of local govt. / contact information
- a LIST of individuals and organizations with a demonstrated interest in the undertaking who may participate as consulting parties due to the nature of their legal or economic relation to the undertaking or affected properties, or their concern with the undertaking's effects on historic properties
- a LIST of any authorized representatives of Federal agencies whose jurisdictions fall within the undertaking's APE / contact information

NOTE: Find a template for the Consulting Parties Matrix at Appendix "D"

3.) The NAME, ADDRESS, EMAIL ADDRESS, AND TELEPHONE NUMBER OF THE LEAD FEDERAL AGENCY OFFICIAL funding, licensing, permitting, or approving the undertaking along with appropriate contact information					Check
Agency Official's Name		Street Address			
City	State	Zip Code			
Email Address	Telephone Number				
4.) If applicable, the NAME, ADDRESS, EMAIL ADDRESS, AND TELEPHONE NUMBER OF THE APPLICANT FOR FEDERAL FUNDING, LICENSING, PERMITTING, OR APPROVAL					Check NA
Applicant's Name		Street Address			
City	State	Zip Code			
Email Address	Telephone Number				
5.) If applicable, The NAME, ADDRESS, EMAIL ADDRESS, AND TELEPHONE NUMBER OF AN ADDITIONAL FEDERAL AGENCY OFFICIAL FROM ANOTHER FEDERAL AGENCY funding, licensing, permitting, or approving the undertaking along with appropriate contact information					Check NA
Agency Official's Name		Street Address			
City	State	Zip Code			
Email Address	Telephone Number				

**TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE
SECTION INITIAL REVIEW REQUEST CHECKLIST**

PART 3 - INFORMATION NECESSARY FOR THE REVIEW OF YOUR PROPOSED AREA OF POTENTIAL EFFECTS (APE), (36 CFR 800.4)

36 CFR 800.16 defines an APE as “that geographic area within whose definable boundaries there is a reasonably and foreseeable potential for Historic Properties to be either directly or indirectly affected by the undertaking should such Historic Properties exist within it.”

Checklist

A. WHEN DETERMINING AN APE, YOU SHOULD TAKE INTO ACCOUNT THE FOLLOWING ASPECTS OF THIS UNDERTAKING (36 CFR 800.4 (B)(1) AND 800.11(C))

1.) The SIZE, SCOPE, SCALE, AND NATURE of the undertaking

How large an area are you proposing for the undertaking?

- How Many Acres - What are you planning to do there? - BRIEFLY Describe Your Proposed Activity -

FOR CELLULAR TOWERS / HEIGHT IN FEET - IS IT A NEW TOWER - TYPE OF TOWER -

COLOCATION / EXISTING TOWER - WATER TANK - ROOFTOP - HIGH LINE TOWER - OTHER

2.) The DEGREE OF FEDERAL INVOLVEMENT in the undertaking

What is the degree of Federal involvement? (Check one)

Funding, Licensing, Permitting or Approval

3.) The LOCATION OF FEDERAL INVOLVEMENT in the undertaking

On Federal property (describe) -

Off Federal property (describe) -

**TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE
SECTION INITIAL REVIEW REQUEST CHECKLIST**

B. WHEN DETERMINING AN APE, YOU SHOULD TAKE INTO ACCOUNT THE POSSIBILITY OF HISTORIC PROPERTIES ELIGIBLE FOR LISTING IN THE NATIONAL REGISTER OF HISTORIC PLACES LOCATED WITHIN THE FOOTPRINT OF THE UNDERTAKING AND WITHIN SIGHT OR SOUND OF IT, (36 CFR 800.4)

1.) Did your pre-consultation investigation reveal any PREVIOUSLY SHPO-IDENTIFIED HISTORIC PROPERTIES ELIGIBLE FOR LISTING IN OR LISTED IN THE NRHP located within the boundary of the undertaking's APE or adjacent to it? (NOTE – These are not the cultural resources marked on THC Site Survey File Maps.) **THE PRE-CONSULTATION REVIEW IS OPTIONAL**

Yes No

If "Yes," list them by historic name, ~~or~~ street address, or archaeological site number

- Previously SHPO-Identified Historic Property Eligible for Listing in the NRHP -
- Previously SHPO-Identified Historic Property Eligible for Listing in the NRHP -
- Previously SHPO-Identified Historic Property Eligible for Listing in the NRHP -
- Previously SHPO-Identified Historic Property Eligible for Listing in the NRHP -

2.) Based upon your pre-consultation investigation of the APE, what is the LIKELIHOOD OF A NRHP LISTED OR ELIGIBLE HISTORIC PROPERTY being located within the Undertaking's APE (**OPTIONAL PRE-CONSULTATION REVIEW**)

Likely Unlikely

If you checked "Likely", state why -

If you checked "Unlikely", state why -

TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE SECTION INITIAL REVIEW REQUEST CHECKLIST

PART 4 - INFORMATION NECESSARY FOR THE EVALUATION OF ARCHITECTURAL RESOURCES AFFECTED BY YOUR UNDERTAKING, (36 CFR 800.4)

Checklist

<p>1.) A USGS 7 1/2 minute series, 1:24000 scale TOPOGRAPHIC MAP (NOT CELLULAR PHONE REPRODUCTION OF THE MAP / NOT GOOGLE MAPS / NOT MAPQUEST / NOT TOPOZONE / NOT BING DIRECTIONS MAPS) TO SCALE (BE SURE TO INCLUDE THE NAME AND NUMBER OF THE QUAD MAP SECTION IN ITS CAPTION) clearly indicating:</p> <p>The proposed Federal undertaking's PRIMARY AND SECONDARY AREA OF POTENTIAL EFFECTS (A CLEARLY MARKED, PROTRACTED BOUNDARY ON THE QUAD MAP) indicating the LOCATION OF ALL ASSOCIATED PROJECT ELEMENTS AND EXTENDING TO ENCOMPASS AN AREA WITHIN SIGHT OR SOUND OF THE UNDERTAKING AND the LOCATION OF ALL IDENTIFIED CULTURAL RESOURCES AND NRHP ELIGIBLE OR LISTED HISTORIC PROPERTIES marked on Tennessee SHPO Site Survey File Maps and the Tennessee Historical Commission Viewer http://tnmap.tn.gov/historicalcommission/</p> <p>You may obtain a suitable topographic map by contacting the Tennessee Department of Environment and Conservation / Division of Geology / Office of Map Sales at (615) 532-1516</p> <p>NOTE: Find a template at Appendix "A"</p> <ul style="list-style-type: none"> - BE SURE TO INCLUDE THE TOPOGRAPHIC QUADRAN CLEARLY MARK THE PROJECT, ITS AREA OF POTENTIAL EFFECTS, ITS PROJECT ELEMENTS, AND IDENTIFIED CULTURAL RESOURCES. BE SURE YOUR SUBMITTED QUADRANT SECTION IS TO SCALE WITH THE ORIGINAL. BE SURE TO INCLUDE THE NAME AND NUMBER OF THE USGS QUAD MAP. 	<p>Check</p>
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**TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE
SECTION INITIAL REVIEW REQUEST CHECKLIST**

- Name of the USGS Map-			
- Quadrant Number of the Map-			
2.) OTHER SUITABLY SCALED AND CAPTIONED MAPS or site plans (NOT CELLULAR PHONE REPRODUCTIONS / NOT GOOGLE MAPS / NOT MAPQUEST / NOT TOPOZONE / NOT ETC.) as necessary to depict the extent of the undertaking and its locational relationship to its surroundings and environment - BE SURE TO INCLUDE A COPY OF A CAPTIONED, SECTION OF EACH MAP OR SITE PLAN WITH THE PROJECT, ALL PROJECT ELEMENTS, AND ITS AREA OF POTENTIAL EFFECTS CLEARLY MARKED ON IT. BE SURE YOUR MAP IS TO SCALE. - DO NOT USE FLOODPLAIN MAPS, COUNTY MAPS, OR REAL ESTATE PLAT MAPS.			Check NA
- Name of the Map or Site Plan			
3.) A COPY OF A TYPED PROJECT NARRATIVE that describes the undertaking in enough detail to enable a reviewer unfamiliar with the undertaking or its location to gain a full understanding of the undertaking and all of its elements and their potential to affect directly and indirectly any Historic Properties within the APE. Describe the PURPOSE AND NEED of the project, your reason for proposing your project at this time, and the mission your project hopes to accomplish - BE SURE TO ATTACH A COPY OF THIS PROJECT NARRATIVE			Check
4a.) Original, clear, DIGITAL JPEG GROUND-LEVEL (NOT AERIAL / NOT GOOGLE STREET VIEW / NOT CELLULAR TELEPHONE REPRODUCTIONS) SUITABLY CAPTIONED PHOTOGRAPHS of the proposed Federal undertaking's DIRECT (footprint of the project) APE.			Check
<p style="color: red;">NOTE: Find a template at Appendix "B"</p>			

**TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE
SECTION INITIAL REVIEW REQUEST CHECKLIST**

4b.) Original, clear, DIGITAL JPEG GROUND-LEVEL (NOT AERIAL / NOT GOOGLE STREET VIEW / NOT CELLULAR TELEPHONE REPRODUCTIONS) SUITABLY CAPTIONED CURRENT CONDITIONS PHOTOGRAPHS of all ABOVE-GROUND cultural resources located within the proposed Federal undertaking's DIRECT AND INDIRECT APE.

NOTE: Find a template at Appendix "C"

If available, enclose a copy of each cultural resource photograph from the Tennessee Historical Commission's Survey and Inventory file which corresponds with each cultural resource's current conditions photograph submitted for purposes of comparison

A cultural resource is a building, structure, site, district, object, or other definite location of human activity, occupation, or use identifiable through field survey, historical documentation, or oral evidence. It is an historic architectural site, structure, place, or object or an identified traditional religious or cultural property.

THESE PHOTOGRAPHS MUST CLEARLY DOCUMENT EACH CULTURAL RESOURCE'S CURRENT STATE OF NRHP ELIGIBILITY//IN-ELIGIBILITY. IF A RESOURCE CURRENTLY LISTED EITHER ON THE SHPO SITE SURVEY OR THE NRHP SITE SURVEY NO LONGER EXISTS, TAKE A PHOTOGRAPH OF THE APPROXIMATE PLACE WHERE THE RESOURCE WAS LOCATED TO DOCUMENT THIS AND INCLUDE IT IN THE SUBMISSION

4c.) Original, clear, DIGITAL JPEG GROUND-LEVEL (NOT AERIAL / NOT GOOGLE STREET VIEW / NOT CELLULAR TELEPHONE REPRODUCTIONS) SUITABLY CAPTIONED PHOTOGRAPHS from the closest boundary of each surveyed cultural resource or NRHP eligible or listed property toward the proposed Federal undertaking aimed directly toward the location of the proposed Federal undertaking within its indirect APE.

4d.) A suitably labeled COMPACT DISK containing all photographs that document your determinations SUITABLY CAPTIONED AND KEYED

- BE SURE TO INSERT NO MORE THAN TWO JPEG PHOTOGRAPHS WITH AN ABSOLUTE ASPECT RATIO OF 3.00" x 5.00" PER EACH 8.5" x 11" PAGE OF YOUR REVIEW REQUEST. CAPTION EACH PHOTOGRAPH BELOW IT. BE SURE TO ATTACH A COPY OF EACH PAGE OF PREPARED, CAPTIONED PHOTOGRAPHS AND ATTACH A COPY OF THE COMPACT DISK TO YOUR REVIEW REQUEST

**TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE
SECTION INITIAL REVIEW REQUEST CHECKLIST**

<p>5.) Any available information including DATES OF CONSTRUCTION of above ground surveyed properties and NRHP eligible or listed properties either inside the proposed Federal undertaking's footprint or within the proposed Federal undertaking's indirect APE</p> <p>NOTE: Do not list the date at which new construction is planned</p> <ul style="list-style-type: none"> - BE SURE TO ATTACH THIS LIST OF DATES OF CONSTRUCTION KEYED TO THE PHOTOGRAPHS 	Check
<p>6.) A MATRIX (AT LEAST 12 POINT TYPE) that contains the full Tennessee Site Number for any cultural resource (assumed to be NRHP Eligible) or any NRHP listed Historic Property located within the Primary or Secondary APE, the address of that resource, a short description of that resource's current condition, and YOUR DETERMINATION OF ITS CURRENT STATE OF NRHP ELIGIBILITY / IN-ELIGIBILITY</p> <p>NOTE: Find a template at Appendix "E"</p> <ul style="list-style-type: none"> - BE SURE TO ATTACH A COPY OF THIS MATRIX 	Check
<p>NOTE - Federal project-related effects reviews by the Tennessee SHPO occur if, and only if, NRHP eligible historic properties are discovered within a federal Undertaking's Area of Potential Effects by the Agency Official or applicant and concurred in either by the SHPO or the Keeper of the NRHP.</p>	
<p>7.) A discussion of the RESULTS OF PRE-CONSULTATION REVIEW of architectural or historical studies that have been previously prepared</p> <p>If pre-consultation review did not discover NRHP eligible or listed properties, a statement to that effect should be included in your cover letter. Previously surveyed cultural resources identified and evaluated during pre-consultation as not eligible for listing in the NRHP should be listed in the matrix and mapped on the quad sheet.</p> <ul style="list-style-type: none"> - BE SURE TO ATTACH A COPY OF THIS PRE-CONSULTATION REVIEW RESULTS - 	Check NA

**TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE
SECTION INITIAL REVIEW REQUEST CHECKLIST**

8.) A discussion of any PRE-CONSULTATION FIELDWORK, (Cultural Resources reconnaissance survey, SHPO site visit, informal consulting parties meeting, etc.) that may have been undertaken		Check	NA
- BE SURE TO ATTACH A COPY OF THE FIELDWORK RESULTS			
NOTE: If a consultant has prepared an on-site architectural reconnaissance level cultural resources survey report for you, do not separate the survey report text and the associated maps, figures, drawings, and photographs. These should be combined into a comprehensive single report document.		Check	NA
# of architectural resources surveyed		% Sq. Mi. surveyed	
If you contracted for no architectural reconnaissance survey report, state why -			
9.) ADDITIONAL DOCUMENTATION produced because of a written request from the Tennessee SHPO to you or your consultant		Check	NA
This documentation must be prepared by a person or persons in the appropriate field who meets applicable professional standards and in consultation with this office (see "Standards and Guidelines for Archaeological and Architectural Resource Identification Studies") and definitions codified at 36 CFR Part 800.2(a)(1) and 800.2(a)(3)			
- BE SURE TO ATTACH COPIES OF ALL ADDITIONAL APPLICABLE DOCUMENTATION			
10.) ADDITIONAL DOCUMENTATION produced from Preservation Planners because of the location of your Undertaking		Check	NA
The Tennessee SHPO has a list of Preservation Planners employed by Development Districts and Municipal Governments. Contact us at 615-770-1092 to determine whether we wish you to contact one of them to review your undertaking before submitting it to this office.			
- BE SURE TO ATTACH COPIES OF ALL RESPONSES FROM PRESERVATION PLANNERS			

**TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE
SECTION INITIAL REVIEW REQUEST CHECKLIST**

<p>SUMMING UP THE ARCHITECTURAL REVIEW: Have you attached ALL of the APPLICABLE documents listed above?</p> <ul style="list-style-type: none"> • TOPOGRAPHIC MAP • OTHER SUITABLY SCALED MAPS • PROJECT NARRATIVE • PHOTOGRAPHS • DATES OF CONSTRUCTION • LIST OF ALL NRHP ELIGIBLE/INELIGIBLE CULTURAL RESOURCES • MATRIX • RESULTS OF PRE-CONSULTATION REVIEW • RESULTS OF PRE-CONSULTATION FIELDWORK • ARCHITECTURAL RECONNAISSANCE SURVEY 	Check
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NOTE: Do not proceed to the next section until you have assembled all of the applicable documents. If a formal architectural survey report is part of this larger review document, it should be a distinct attachment to the overall submittal.

PART 5 - INFORMATION NECESSARY FOR THE EVALUATION OF ARCHAEOLOGICAL RESOURCES AFFECTED BY YOUR UNDERTAKING, (36 CFR 800.4)

Checklist	
<p>1.) A PHOTOCOPY OF A U.S.G.S. 7 1/2 MINUTE, 1-24000 SCALE TOPOGRAPHIC MAP (NOT CELLULAR PHONE REPRODUCTION OF THE MAP / NOT GOOGLE MAPS / NOT MAPQUEST / NOT TOPOZONE / NOT ETC.) TO SCALE (BE SURE TO INCLUDE THE NAME AND NUMBER OF THE QUAD MAP) with the exact location of the proposed Federal undertaking and associated ground disturbing elements clearly marked on it.</p> <p>- BE SURE TO ATTACH A COPY OF THE TOPOGRAPHIC MAP WITH THE PROJECT, ITS AREA OF POTENTIAL EFFECTS CLEARLY MARKED ON IT. BE SURE YOUR SUBMITTED QUADRANT SECTION IS TO SCALE WITH THE ORIGINAL.</p>	Check

**TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE
SECTION INITIAL REVIEW REQUEST CHECKLIST**

- Name of the USGS Map			
- Quadrant Number of the Map			
2.) A PROJECT NARRATIVE that clearly describes the proposed Federal undertaking and its associated elements in sufficient detail to enable a reader unfamiliar with your project or its location to gain a full understanding of it and all of its elements and their potential to affect directly and indirectly any Historic Properties within the direct and indirect APE - BE SURE TO ATTACH A COPY OF THIS PROJECT NARRATIVE			Check
3.) ADDITIONAL MAPS, AERIAL PHOTOGRAPHS, PLANS AND PHOTOGRAPHS that document the location and extent of the undertaking, SUITABLY LABELED			Check NA
- Name of the Map or Site Plan-			
- BE SURE TO ATTACH A COPY OF EACH SECTION OF EACH MAP OR SITE PLAN WITH THE PROJECT AND ITS AREA OF POTENTIAL EFFECTS CLEARLY MARKED ON IT.			
4.) Original, clear DIGITAL PHOTOGRAPHS of the direct APE project area, including the ground-surface SUITABLY LABELED - BE SURE TO ATTACH A COPY OF EACH PAGE OF PREPARED, CAPTIONED PHOTOGRAPHS (SEE PART 4 FOR DETAILS CONCERNING SHPO REQUIREMENTS FOR PHOTOGRAPHS) - These photos may be omitted if such photos are included in an attached formal archaeological report.			Check
5.) INFORMATION ABOUT PRIOR LAND-USE of the site, or SOIL DISTURBENCE BE SURE TO ATTACH A COPY OF THIS INFORMATION			Check

TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE SECTION INITIAL REVIEW REQUEST CHECKLIST

6.) ARCHAEOLOGICAL SITE NUMBER AND INFORMATION ABOUT ANY KNOWN ARCHAEOLOGICAL RESOURCES within 1 mile of the proposed Federal undertaking's proposed location

- BE SURE TO ATTACH A COPY OF THIS INFORMATION

IF A CONSULTANT HAS PREPARED AN ON-SITE ARCHAEOLOGICAL SURVEY OR TESTING REPORT FOR YOU, PLEASE FOLLOW THE TENNESSEE SHPO REPORTING STANDARDS FOR DOCUMENTING THE SITE INVESTIGATION.

# of sites identified and recorded with the Division of Archaeology	# of previously recorded archaeological sites revisited
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% Sq. Mi. surveyed

NOTE: Do not proceed to the next section until you have attached all of the applicable documents. If a formal archaeological survey report is part of this larger review document, it should be a distinct attachment to the overall submittal. Do not separate the report's text and the associated maps, figures, drawings, and photographs. These should be combined into a comprehensive single report document.

SUMMING UP THE ARCHAEOLOGICAL REVIEW: Have you attached ALL of the APPLICABLE documents listed above?

- TOPOGRAPHIC MAP
- PROJECT NARRATIVE
- ADDITIONAL MAPS AND PLANS
- PHOTOGRAPHS
- INFORMATION ABOUT PRIOR LAND-USE
- INFORMATION ABOUT KNOWN ARCHAEOLOGICAL RESOURCES
- ARCHAEOLOGICAL SURVEY OR TSTING REPORT (IF AVAILABLE)

NOTE: Do not proceed to the next section until you have assembled all of the applicable documents.

TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE SECTION INITIAL REVIEW REQUEST CHECKLIST

PART 6 – ADDITIONAL CONSULTING PARTIES, (36 CFR 800.2)

Any ADDITIONAL CONSULTING PARTIES / **If you do not wish to invite additional Consulting Parties and the Tennessee SHPO has not recommended any additional Consulting Parties, CHECK "NA" IN THIS BLOCK AND PROCEED TO PART 7** NA

You and the SHPO MAY consult together to identify any other appropriate Consulting Parties (36 CFR 800.16(f), 800.3(c), 800.3(f), 800.3(f)(1) and 800.3(f)(2)). YOU MAY FIND CURRENT CONTACT INFORMATION FOR COUNTY HISTORIANS, CERTIFIED LOCAL GOVERNMENTS, ETC. ON THE TENNESSEE SHPO REVIEW & COMPLIANCE SECTION WEB PAGE.

Checklist

ADDITIONAL CONSULTING PARTIES: NAME, ADDRESS, TELEPHONE NUMBER

1.) Contact information for additional State Historic Preservation Offices if your undertaking crosses into other states
(<http://www.achp.gov/shpo.html>)

- Additional State Historic Preservation Offices -	Check NA
- Additional State Historic Preservation Offices -	
- Additional State Historic Preservation Offices -	

2.) Contact information for Tribes who have expressed an interest in the APE Because of Cultural or Religious Significance
(<http://www.achp.gov/thpo.html> <http://www.state.tn.us/environment/hist/pdf/appexd.pdf>)

- Tribe -	Check NA
- Tribe -	
- Tribe -	
- Tribe -	

3.) Contact information for Representatives of local governments.

- Representatives of local governments -	Check NA
- Representatives of local governments -	

4.) Contact information for applicants for Federal assistance, permits, licenses and other approvals.

- Applicant for Federal assistance, permits, licenses and other approvals -	Check NA
- Applicant for Federal assistance, permits, licenses and other approvals -	
- Applicant for Federal assistance, permits, licenses and other approvals -	

TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE SECTION INITIAL REVIEW REQUEST CHECKLIST

5.) Contact information for individuals and organizations such as affected property owners with a demonstrated interest in the undertaking who may participate as Consulting Parties due to the nature of their legal or economic relation to the undertaking or affected properties, or their concern with the undertaking's effects on Historic Properties.	
- Additional Consulting Party	Check NA
- Individual with a demonstrated legal or economic Interest -	
- Organization with a demonstrated legal or economic Interest -	
- Individual with concern for the undertaking's effects on Historic Properties -	
- Organization with concern for the undertaking's effects on Historic Properties -	
- Property Owner -	
6.) In rare instances, the Advisory Council on Historic Preservation (http://www.achp.gov)	
- Contact Information -	Check NA
7.) Additional Consulting Party -	
- Additional Consulting Party -	Check NA
- Additional Consulting Party -	
- BE SURE TO ATTACH THIS ADDITIONAL DOCUMENTATION	
PART 7 - PLAN TO INVOLVE THE PUBLIC, (36 CFR 800.3(E), 800.2(D)(1) AND 800.11(C)), AFTER CONSULTATION WITH THE SHPO	
Checklist	
- Describe your agency-mandated Public Involvement process -	
B. ARE YOU THE APPLICANT FOR FEDERAL FUNDING, LICENSING, OR PERMITTING WHO HAS INITIATED SECTION 106 REVIEW OF THIS UNDERTAKING?	
Yes No	

**TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE
SECTION INITIAL REVIEW REQUEST CHECKLIST**

PART 8 - ADDITIONAL INFORMATION: NOT MANDATORY

1.) Are you planning to coordinate Section 106 review with other environmental reviews such as Categorical Exclusions, Environmental Assessments, Programmatic Environmental Assessments, Environmental Impact Statements, etc.?

If you answered "Yes", state how -	Yes	No
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2.) Are you planning to develop a common set of project documents that satisfies the stated documentation needs of all pertinent review authorities including the State Historic Preservation Office?

If you answered "Yes", state how -	Yes	No
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PART 9 - ADDITIONAL COMMENTS YOU WOULD LIKE TO MAKE ABOUT THE UNDERTAKING TO INFORM THE SHPO'S DETERMINATION:

Use this Checklist as a guide when you submit a completed hard copy of your Section 106 National Register of Historic Places eligibility review request cover letter and all supporting documentation addressed to:

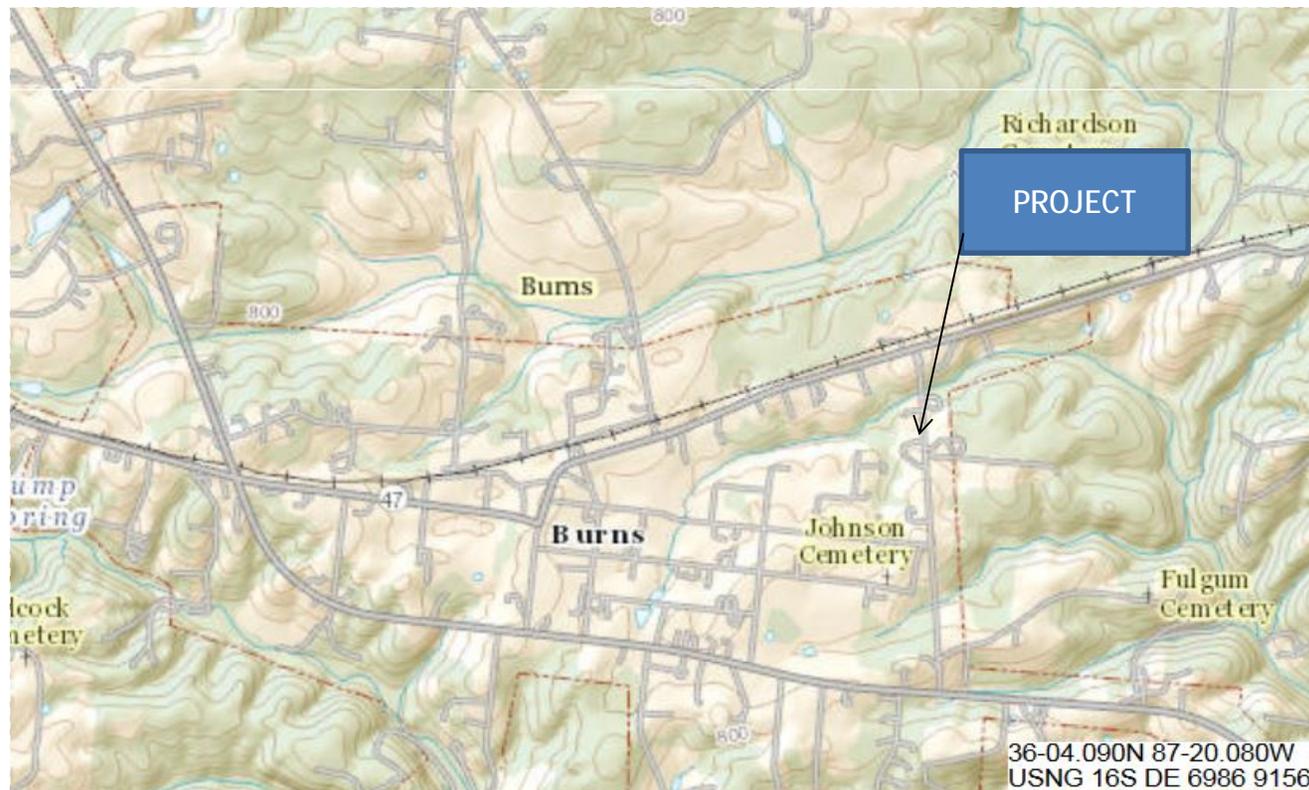
Joseph Y. Garrison, PhD
 Review and Compliance Coordinator
 Tennessee Historical Commission
 2941 Lebanon Road
 Nashville, Tennessee 37243-0442

Dr. Garrison will contact you if there are any additional documentation needs to complete SHPO review. Upon completion of this review, the SHPO will reply promptly.

APPENDIX "A"

TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE SECTION INITIAL REVIEW REQUEST CHECKLIST

EXAMPLE / CAPTIONED USGS QUAD MAP SECTION / BURNS



Proposed

location /

Wilson Creek Development, Burns, Dickson County
1:24000 Scale, Burns Quad (48-SE)

**TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE
SECTION INITIAL REVIEW REQUEST CHECKLIST**

**APPENDIX "B"
EXAMPLE / CAPTIONED PHOTOGRAPHS OF APE**



**Proposed Wilson Creek Development looking northeast from
center of APE**

**TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE
SECTION INITIAL REVIEW REQUEST CHECKLIST**



**Proposed Wilson Creek Development looking southwest from
center of APE**

**TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE
SECTION INITIAL REVIEW REQUEST CHECKLIST**

**APPENDIX "C"
EXAMPLE / CAPTIONED PHOTOGRAPHS OF AN HISTORIC PROPERTY**



214 Lakewood Drive, Burns, Dickson County

**TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE
SECTION INITIAL REVIEW REQUEST CHECKLIST**



214 Lakewood Drive, Burns, Dickson County

**TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE
SECTION INITIAL REVIEW REQUEST CHECKLIST**



**Looking from the rear of 214 Lakewood Drive, Burns, Dickson
County Toward the proposed project**

TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE SECTION INITIAL REVIEW REQUEST CHECKLIST

APPENDIX "D" EXAMPLE / MATRIX OF CONSULTING PARTIES

CONTACT INFORMATION	TRIBAL REPRESENTATIVES (AGENCY OFFICIALS ONLY)	REPRESENTATIVES OF LOCAL GOVERNMENT	INDIVIDUALS AND ORGANIZATIONS WITH A LEGAL OR ECONOMIC RELATION TO THE UNDERTAKING	INDIVIDUALS AND ORGANIZATIONS WITH CONCERN WITH THE UNDERTAKING'S EFFECTS ON HISTORIC PROPERTIES
✓				
✓				
✓				
✓				
✓				
✓				

TENNESSEE STATE HISTORIC PRESERVATION OFFICE REVIEW AND COMPLIANCE SECTION INITIAL REVIEW REQUEST CHECKLIST

APPENDIX "E" EXAMPLE / MATRIX OF NATIONAL REGISTER ELIGIBILITY / IN-ELIGIBILITY AND EFFECT FOR ARCHITECTURAL RESOURCES

FULL TN-SHPO SURVEY SITE FILE # (2 LETTER COUNTY CODE PLUS SURVEY #)	ADDRESS	CURRENT CONDITION	NATIONAL REGISTER ELIGIBILITY / IN- ELIGIBILITY	PROJECT-RELATED EFFECT (OPTIONAL)