

# CLAIBORNE UTILITIES DISTRICT

P.O. Box 606  
New Tazewell, Tennessee 37824-0606

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Telephone  
(423) 626-4282

October 15, 2007

Tennessee Ethics Commission  
SunTrust Bank Building  
201 4<sup>th</sup> Avenue North, Suite 1820  
Nashville, TN 37243

Re: Adoption of Model Ethical Standards of the Tennessee Association of  
Utility Districts by Claiborne Utilities District

Dear Tennessee Ethics Commission:

I am writing to you as President of the Board of Commissioners of Claiborne Utilities District to inform you that Claiborne Utilities District has adopted the model of ethical standards prepared by the Tennessee Association of Utility Districts (TAUD) as the ethical standards for Claiborne Utilities District. The TAUD model was adopted by the Board of Commissioners on September 17, 2007. I have enclosed a copy of the Board's Resolution adopting the TAUD model.

Thank you for your assistance in this matter.

Sincerely yours,



Thomas O. Shumate, President  
Claiborne Utilities District

REC'D  
2007 OCT 22 AM 9:40  
ETHICS COMMISSION

## RESOLUTION

Whereas, Section 3 of Public Chapter No. 405 of the Tennessee Public Acts provides that Claiborne Utilities District has the power to adopt ethical standards for its officials and employees;

Whereas, Section 3 of Public Chapter No. 405 of the Tennessee Public Acts directed the Tennessee Association of Utility Districts (TAUD) to prepare a model of ethical standards for the employees of utility districts and of water, wastewater and gas authorities in Tennessee and to submit such model of ethical standards to the Utility Management Review Board (UMRB) for its approval;

Whereas, a utility district or water, wastewater or gas authority cannot adopt the TAUD model of ethical standards until the TAUD model is approved by the UMRB;

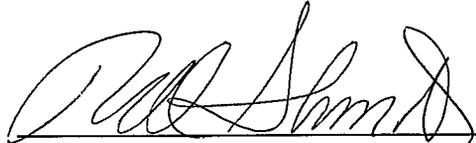
Whereas, the UMRB approved the TAUD model of ethical standards by Order dated August 2, 2007; and

Whereas, the Claiborne Utilities District desires to adopt the TAUD model of ethical standards approved by the UMRB for the officials and employees of Claiborne Utilities District.

**Wherefore, Premises Considered, Be It Hereby Resolved by the Board of Commissioners** that Claiborne Utilities District adopts the TAUD model of ethical standards for officials and employees of utility districts and water, wastewater and gas authorities as the ethical standards for the officials and employees of Claiborne Utilities District effective immediately and that the President of the Board is directed to write a letter to the Tennessee Ethics Commission to inform the Commission that the Claiborne Utilities District has adopted the TAUD model of ethical standards and shall enclose a copy of this Resolution with such letter

showing the date of the adoption of the TAUD model of ethical standards. Attached to this Resolution are the ethical standards adopted for Claiborne Utilities District using the TAUD model of ethical standards.

Dated this 17th day of September, 2007.

  
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**Commissioner**

  
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**Commissioner**

  
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**Commissioner**