

| Program                   | Respondent               | Location         | Violation                                     | Action                         | Date      |
|---------------------------|--------------------------|------------------|---|--------------------------------|-----------|
| Accountancy               | HC Sparks Company        | Fayetteville, TN | Failure to enroll in peer review              | \$3,000 Civil penalty          | 1/9/2018  |
|                           |                          |                  |   | \$1,000 Civil penalty plus 104 |           |
| Accountancy               | Louis Pascarella         | Athens, TN       | Failure to comply with CPE audit              | penalty hours of CPE           | 1/10/2018 |
|                           |                          |                  |   | \$1,000 Civil penalty plus 120 |           |
| Accountancy               | Jeffery D. Smith         | Knoxville, TN    | Failure to comply with CPE audit              | penalty hours of CPE           | 1/23/2018 |
|                           |                          |                  | Discreditable acts; engaging in professional  | Three-year Suspension, 8/9/17- |           |
| Accountancy               | Scott Dahlberg           | Murfreesboro, TN | misconduct                                    | 8/9/20                         | 1/26/2018 |
|                           |                          |                  | Failure to comply with T.C.A. §56-1-109,      |                                |           |
| Alarm Systems Contractors | Alywin Terrell           | Lebanon, TN      | §56-1-312, or T.C.A. § 36-5-706               | Suspension                     | 1/5/2018  |
|                           | Market Street Advisors   |                  |   |                                |           |
|                           | d/b/a KDS Alarms NCA     |                  |   |                                |           |
| Alarm Systems Contractors | USA of TN, LLC           | Nashville, TN    | Unlicensed activity                           | \$6,000 Civil penalty          | 1/5/2018  |
|                           | NCA USA of TN, LLC d/b/a |                  |   |                                |           |
| Alarm Systems Contractors | Fifteen Alarms           | Nashville, TN    | Advertising violation                         | \$500 Civil penalty            | 1/10/2018 |
| Architects & Engineers    | NO ACTION TAKEN          |                  |   |                                |           |
| Athletic Commission       | NO ACTION TAKEN          |                  |   |                                |           |
| Auctioneers               | NO ACTION TAKEN          |                  |   |                                |           |
| Beauty Pageants           | NO ACTION TAKEN          |                  |   |                                |           |
|                           |                          |                  | Failed to timely file annual reports;         |                                |           |
|                           | Superior Funeral Home    |                  | provided discount on six preneed funeral      |                                |           |
| Burial Services           | Hollywood Chapel         | Memphis, TN      | contracts                                     | \$250 Civil penalty            | 1/1/2018  |
|                           | Johnson - Arrowood       |                  | Provided discount on one preneed funeral      |                                |           |
| Burial Services           | Funeral Home             | Church Hill, TN  | contract                                      | \$500 Civil penalty            | 1/3/2018  |
|                           |                          |                  |   |                                |           |
|                           |                          |                  | Failed to timely file annual reports; failure |                                |           |
|                           | Revelation Funeral Home, |                  | to maintain preneed funeral contract          |                                |           |
| Burial Services           | LLC                      | Nashville, TN    | beneficiary accounting records                | \$250 Civil penalty            | 1/8/2018  |
|                           |                          |                  | Failure to refund overpayment to              |                                |           |
|                           |                          |                  | consumer; failed to execute a Preneed         |                                |           |
|                           |                          |                  | Funeral Arrangement; provided a discount      |                                |           |
|                           |                          |                  | on a Preneed Funeral Agreement; failure to    |                                |           |
|                           | Ellis Funeral Home &     |                  | submit insurance application within fifteen   |                                |           |
| Burial Services           | Cremation Service        | Nashville, TN    | days  | \$250 Civil penalty            | 1/11/2018 |
|                           |                          |                  |   |                                |           |
|                           |                          |                  | Establishment provided discount on one        |                                |           |
|                           |                          |                  | preneed funeral contract; failed to pay       |                                |           |
|                           | Woodall-Anderson &       |                  | consumer protection fees; failed to           | 40-50 01 11                    | 1/47/2015 |
| Burial Services           | Dugger                   | Johnson City, TN | maintain accurate annual report               | \$250 Civil penalty            | 1/17/2018 |



|  | Williams Funeral Home & |                  |   |                       |           |
|--|-------------------------|------------------|---|-----------------------|-----------|
| Burial Services                        | Crematory               | Columbia, TN     | Failed to maintain accurate annual report     | \$500 Civil penalty   | 1/19/2018 |
|  |                         |                  |   |                       |           |
|  | Heritage Funeral Home & |                  | Advertising discounts on pre-need funeral     |                       |           |
| Burial Services                        | Cremation Services, LLC | Columbia, TN     | contracts and/or funeral merchandise          | \$250 Civil penalty   | 1/19/2018 |
|  |                         |                  | Failed to file the 2014, 2015, 2016           |                       |           |
|  |                         |                  | Establishment's Preneed Funeral Funds         |                       |           |
|  |                         |                  | Reports on Irrevocable and Revocable          |                       |           |
| Burial Services                        | Patton Funeral Home     | Cleveland, TN    | contracts.                                    | \$1,000 Civil Penalty | 1/31/2018 |
| Collections                            | NO ACTION TAKEN         |                  |   |                       |           |
| Contractors & Home Improvement,        |                         |                  |   |                       |           |
| Limited Licensed Electricians, Limited | Inside & Out Home       |                  |   |                       |           |
| Licensed Plumbers                      | Improvement             | Somerville, TN   | Unlicensed activity                           | \$250 Civil penalty   | 1/5/2018  |
| Contractors & Home Improvement,        |                         |                  |   |                       |           |
| Limited Licensed Electricians, Limited | Lewelling Excavating &  |                  | Failure to respond to customer inquiries in   |                       |           |
| Licensed Plumbers                      | Construction, LLC       | Knoxville, TN    | a timely manner                               | \$1,000 Civil penalty | 1/11/2018 |
| Contractors & Home Improvement,        |                         |                  |   |                       |           |
| Limited Licensed Electricians, Limited |                         |                  |   |                       |           |
| Licensed Plumbers                      | Wildcat Construction    | Bean Station, TN | Unlicensed activity                           | \$1,000 Civil penalty | 1/19/2018 |
| Contractors & Home Improvement,        |                         |                  |   |                       |           |
| Limited Licensed Electricians, Limited | Johnathan Pierce &      |                  |   |                       |           |
| Licensed Plumbers                      | Company                 | Nashville, TN    | Unlicensed activity                           | \$1,000 Civil penalty | 1/22/2018 |
| Contractors & Home Improvement,        |                         |                  | Failure to cooperate with open                |                       |           |
| Limited Licensed Electricians, Limited |                         |                  | investigation related to a complaint; failure |                       |           |
| Licensed Plumbers                      | C. S. E. Construction   | Telford, TN      | to respond to the complaint                   | \$250 Civil penalty   | 1/25/2018 |
| Contractors & Home Improvement,        |                         |                  |   |                       |           |
| Limited Licensed Electricians, Limited | Menard's Construction,  |                  |   |                       |           |
| Licensed Plumbers                      | Inc.                    | Maryville, TN    | Gross negligence                              | \$1,000 Civil penalty | 1/30/2018 |
|  |                         |                  | Failure to comply with T.C.A. §56-1-109,      |                       |           |
| Cosmetology & Barber                   | Melissa Brundige        | Martin, TN       | §56-1-312, or T.C.A. § 36-5-706               | Suspension            | 1/5/2018  |
|  |                         |                  | Failure to comply with T.C.A. §56-1-109,      |                       |           |
| Cosmetology & Barber                   | Julie Micklos           | Memphis, TN      | §56-1-312, or T.C.A. § 36-5-706               | Suspension            | 1/5/2018  |
| 9,                                     |                         |                  | Operating on an expired barber school         |                       |           |
| Cosmetology & Barber                   | Genesis Career College  | Nashville, TN    | license                                       | \$1,000 Civil penalty | 1/8/2018  |
| Cosmetology & Barber                   | Positive Images         | Clarksville, TN  | Expired barber shop License                   | \$100 Civil penalty   | 1/9/2018  |
|  |                         |                  | Failure to comply with T.C.A. §56-1-109,      |                       |           |
| Cosmetology & Barber                   | Richard Christian       | Harriman, TN     | §56-1-312, or T.C.A. § 36-5-706               | Suspension            | 1/11/2018 |
|  |                         |                  | Failure to comply with T.C.A. §56-1-109,      |                       |           |
| Cosmetology & Barber                   | Aisha Gelahun           | LaVergne, TN     | §56-1-312, or T.C.A. § 36-5-706               | Suspension            | 1/11/2018 |



|                      |   |                 | Operating a barber shop on an expired   |                       |           |
|----------------------|---|-----------------|---|-----------------------|-----------|
|                      |   |                 | license; No shop manager was present  |                       |           |
| Cosmetology & Barber | Hombre Hair Center  | Knoxville, TN   | during operating hours  | \$600 Civil penalty   | 1/11/2018 |
| Cosmetology & Barber | Emma Lee Meeks  | Memphis, TN     | Unlicensed activity   | \$1,000 Civil penalty | 1/18/2018 |
|                      |   |                 | Failure to comply with T.C.A. §56-1-109,  |                       |           |
| Cosmetology & Barber | Tiffany Arnold  | Memphis, TN     | §56-1-312, or T.C.A. § 36-5-706   | Suspension            | 1/18/2018 |
|                      |   |                 | Failure to comply with T.C.A. §56-1-109,  |                       |           |
| Cosmetology & Barber | Shireen Joyner  | Memphis, TN     | §56-1-312, or T.C.A. § 36-5-706   | Suspension            | 1/18/2018 |
| Cosmetology & Barber | Hai Thanh Pham  | Jackson, TN     | Practicing without a valid manicurist license   | \$500 Civil penalty   | 1/18/2018 |
| Cosmetology & Barber | Essence Barber Shop   | Antioch, TN     | Business failed to ensure proper licensure  | \$100 Civil penalty   | 1/18/2018 |
| Cosmetology & Barber | Stage Road Barber Shop  | Bartlett, TN    | Unlicensed activity   | \$1,000 Civil penalty | 1/18/2018 |
| Cosmetology & Barber | Tran Hung   | Atoka, TN       | Unlicensed activity   | \$1,000 Civil penalty | 1/18/2018 |
| Cosmetology & Barber | Heather Grace Henry   | Dunlap, TN      | Practicing on an expired license  | \$100 Civil penalty   | 1/18/2018 |
| Cosmetology & Barber | Vip Nail Spa  | Jackson, TN     | Unlicensed activity   | \$2,000 Civil penalty | 1/18/2018 |
| Cosmetology & Barber | Starlene's Hair Fashions  | Nashville, TN   | Expired cosmetology shop license; business failed to ensure individual licensure; no manager was present during working hours | \$700 Civil penalty   | 1/18/2018 |
| Cosmetology & Barber | Lulu's  | Chattanooga, TN | Operating on an expired shop license; expired individual practicing; sanitation violation                                     | \$200 Civil penalty   | 1/18/2018 |
| Cosmetology & Barber | Studio H  | Dunlap, TN      | Operating on expired license; expired individual practicing   | \$200 Civil penalty   | 1/18/2018 |
| Cosmetology & Barber | Pretty Chic Hair & Lashes   | Nashville, TN   | Expired shop license  | \$100 Civil penalty   | 1/18/2018 |
| Cosmetology & Barber | SH Regency Madison<br>Leasing LLC /DBA Maybelle<br>Carter Senior Living | Madison, TN     | No manager was present during working hours; expired individuals practicing   | \$700 Civil penalty   | 1/18/2018 |
| Cosmetology & Barber | Latonya Lashun Rayner   | Jackson, TN     | Unlicensed activity   | \$1,000 Civil penalty | 1/22/2018 |
| Cosmetology & Barber | Lynh Nguyen   | Jackson, TN     | Unlicensed activity   | \$1,000 Civil penalty | 1/22/2018 |
|                      | 2, 136ayen  | 5550011, 114    | Failure to comply with T.C.A. §56-1-109,  | 72,000 civil perioley | 1,22,2010 |
| Cosmetology & Barber | Jaclynn Deluca  | Greeneville, SC | \$56-1-312, or T.C.A. § 36-5-706  | Suspension            | 1/26/2018 |
| 2002010g/ & 2010c1   | Jaciyiiii Delaca  | c. cenevine, se | Failure to comply with T.C.A. §56-1-109,  | 20000101011           | 1,23,2310 |
| Cosmetology & Barber | Terena Fields   | Ocoee, TN       | §56-1-312, or T.C.A. § 36-5-706   | Suspension            | 1/26/2018 |



|                               |                       |                 | Failure to comply with T.C.A. §56-1-109,       |                              |             |
|-------------------------------|-----------------------|-----------------|--|------------------------------|-------------|
| Cosmetology & Barber          | Amanda Stewart Golob  | Gallatin, TN    | §56-1-312, or T.C.A. § 36-5-706                | Suspension                   | 1/26/2018   |
| Cosmetology & Barber          | Modern Nails          | Memphis, TN     | Unlicensed activity                            | \$1,100 Civil penalty        | 1/29/2018   |
|                               |                       |                 | Manager responsibility to ensure shop is       |                              |             |
| Cosmetology & Barber          | Minh Tran             | Kingsport, TN   | properly licensed                              | \$100 Civil penalty          | 1/30/2018   |
|                               |                       |                 | Manager responsibility to ensure all           |                              |             |
| Cosmetology & Barber          | Shelly L. Pilkington  | Bartlett, TN    | licenses are valid                             | \$1,000 Civil penalty        | 1/30/2018   |
| Cosmetology & Barber          | True Nails LLC        | Kingsport, TN   | Expired shop license                           | \$500 Civil penalty          | 1/30/2018   |
| Court Reporters               | NO ACTION TAKEN       |                 |  |                              |             |
| Credit Service Businesses     | NO ACTION TAKEN       |                 |  |                              |             |
| Debt Management               | NO ACTION TAKEN       |                 |  |                              |             |
|                               | Nashville Funeral and |                 | Funeral establishment failed to include an     |                              |             |
| Funeral Directors & Embalmers | Cremation             | Nashville, TN   | itemized price list on its advertisements      | \$750 Civil penalty          | 1/4/2018    |
|                               |                       | ,               | Failure to disclose a previous conviction of a | · · · · ·                    |             |
|                               |                       |                 | felony or crime involving moral turpitude      |                              |             |
|                               |                       |                 | on an application for apprentice               |                              |             |
|                               |                       |                 | registration for Funeral Director and          |                              |             |
| Funeral Directors & Embalmers | Tavaran D. Ridley     | Nashville, TN   | Embalmer                                       | Revocation                   | 1/31/2018   |
| Geologists                    | NO ACTION TAKEN       |                 |  |                              |             |
| Home Inspectors               | Gary Mann             | Clarksville, TN | Misrepresented residential dwelling            | \$2,100 Civil penalty        | 1/11/2018   |
| Land Surveyors                | NO ACTION TAKEN       |                 | -  | ·                            |             |
| Locksmiths                    | NO ACTION TAKEN       |                 |  |                              |             |
|                               |                       |                 | Failure to comply with T.C.A. §56-1-109,       |                              |             |
| Motor Vehicle                 | Jacinda Troup         | Columbia, TN    | §56-1-312, or T.C.A. § 36-5-706                | Suspension                   | 1/5/2018    |
|                               |                       |                 | Failure to comply with T.C.A. §56-1-109,       |                              |             |
| Motor Vehicle                 | Corey Corbin          | Decherd, TN     | §56-1-312, or T.C.A. § 36-5-706                | Suspension                   | 1/17/2018   |
|                               |                       |                 | Failure to comply with T.C.A. §56-1-109,       |                              |             |
| Motor Vehicle                 | Matthew Lyon          | Nashville, TN   | §56-1-312, or T.C.A. § 36-5-706                | Suspension                   | 1/19/2018   |
|                               |                       |                 | United and a skirtle consistent to             | ćoo ooo ciril a sa alkarakaa |             |
| NA stance Na balan            | Hairman Arta Badr     | NA              | Unlicensed activity; engaging in               | \$90,000 Civil penalty plus  | 4 /22 /2040 |
| Motor Vehicle                 | Universal Auto Body   | Memphis, TN     | false, fraudulent, or deceptive practice(s)    | \$9,035.56 costs             | 1/22/2018   |
|                               |                       |                 | Unlicensed activity; engaging in               | \$90,000 Civil penalty plus  |             |
| Motor Vehicle                 | Andrew Collins        | Memphis, TN     | false, fraudulent, or deceptive practice(s)    | \$9,035.56 costs             | 1/22/2018   |
|                               |                       |                 | Halianand activity, anapaina in                | ¢00,000 Civil manalturalisa  |             |
| Nata Valsiala                 | Auto Duolississ II    | Managh!- TN     | Unlicensed activity; engaging in               | \$90,000 Civil penalty plus  | 1/22/2012   |
| Motor Vehicle                 | Auto Brokers, II      | Memphis, TN     | false, fraudulent, or deceptive practice(s)    | \$9,035.56 costs             | 1/22/2018   |



|                                    |                             |                  | Unlicensed activity; engaging in            | \$90,000 Civil penalty plus |           |
|------------------------------------|-----------------------------|------------------|---|-----------------------------|-----------|
| Motor Vehicle                      | Universal Auto Sales        | Memphis, TN      | false, fraudulent, or deceptive practice(s) | \$9,035.56 costs            | 1/22/2018 |
|                                    |                             |                  |   |                             |           |
|                                    | Nationwide Collision        |                  | Unlicensed activity; engaging in            | \$90,000 Civil penalty plus |           |
| Motor Vehicle                      | Services & Sales            | Memphis, TN      | false, fraudulent, or deceptive practice(s) | \$9,035.56 costs            | 1/22/2018 |
|                                    |                             |                  |   |                             |           |
|                                    |                             |                  | Unlicensed activity; engaging in            | \$90,000 Civil penalty plus |           |
| Motor Vehicle                      | National Collison           | Memphis, TN      | false, fraudulent, or deceptive practice(s) | \$9,035.56 costs            | 1/22/2018 |
|                                    | Universal Sales & Collision |                  | Unlicensed activity; engaging in            | \$90,000 Civil penalty plus |           |
| Motor Vehicle                      | Center                      | Memphis, TN      | false, fraudulent, or deceptive practice(s) | \$9,035.56 costs            | 1/22/2018 |
|                                    |                             | - 1/             | ,     |                             | , , ,     |
|                                    |                             |                  | Unlicensed activity; engaging in            | \$90,000 Civil penalty plus |           |
| Motor Vehicle                      | Lamonte Gray                | Memphis, TN      | false, fraudulent, or deceptive practice(s) | \$9,035.56 costs            | 1/22/2018 |
|                                    |                             |                  |   |                             |           |
|                                    | Nationwide Auto Body        |                  | Unlicensed activity; engaging in            | \$90,000 Civil penalty plus |           |
| Motor Vehicle                      | Service                     | Memphis, TN      | false, fraudulent, or deceptive practice(s) | \$9,035.56 costs            | 1/22/2018 |
| Motor Vehicle                      | TN Auto Sales, Inc.         | Nashville, TN    | Failure to Use Rebuilt Disclosure Form      | \$500 Civil penalty         | 1/26/2018 |
|                                    |                             |                  | Issuing More Temporary Tags than Allowed    |                             |           |
| Motor Vehicle                      | Car & Truck Center, LLC     | Nashville, TN    | by Law                                      | \$500 Civil penalty         | 1/26/2018 |
| Motor Vehicle                      | Prime Autoplex, Inc. II     | Madison, TN      | Failure to use rebuilt disclosure form      | \$500 Civil penalty         | 1/26/2018 |
| Motor Vehicle                      | Music City Honda            | Madison, TN      | Advertising violations                      | \$2,000 Civil penalty       | 1/26/2018 |
| Private Investigations & Polygraph | NO ACTION TAKEN             |                  |   |                             |           |
| Private Probation Services         | NO ACTION TAKEN             |                  |   |                             |           |
|                                    |                             |                  | Failure to comply with T.C.A. §56-1-109,    |                             |           |
| Private Protective Services        | Ladaveon Burford            | Lebanon, TN      | §56-1-312, or T.C.A. § 36-5-706             | Suspension                  | 1/5/2018  |
|                                    |                             |                  | Failure to comply with T.C.A. §56-1-109,    |                             |           |
| Private Protective Services        | Barbara McGill              | Murfreesboro, TN | §56-1-312, or T.C.A. § 36-5-706             | Suspension                  | 1/19/2018 |
|                                    |                             |                  | Failure to comply with T.C.A. §56-1-109,    |                             |           |
| Private Protective Services        | Derek Shaw                  | Memphis, TN      | §56-1-312, or T.C.A. § 36-5-706             | Suspension                  | 1/19/2018 |
| Private Protective Services        | Andrew Blanchard            | Dover, TN        | Failure to notify Department of conviction  | Revocation                  | 1/24/2018 |
| Tivate Frotestive Services         | Financial Asset Services,   | 20vci, 11v       | Failure to make pay appraiser within 60     | ne vocation                 | 1,27,2010 |
| Real Estate Appraisers             | Inc.                        | Irvine, CA       | days of appraisal completion                | \$1,000 Civil penalty       | 1/8/2018  |
|                                    |                             |                  |   | , ,                         |           |
| Real Estate Appraisers             | Adam Christopher Tausher    | Kodak, TN        | USPAP violations                            | \$1,000 Civil penalty       | 1/8/2018  |



| Real Estate Appraisers | Lynsley Roberts    | Franklin, TN     | USPAP violations                              | 15 hours of Residential Report<br>Writing, 7 hours of Advanced Land<br>Valuation, and 7 hours of Highest<br>and Best Use | 1/18/2018 |
|------------------------|--------------------|------------------|---|--|-----------|
|                        |                    |                  | Failure to comply with T.C.A. §56-1-109,      |  |           |
| Real Estate Appraisers | Justin Rogers      | Watkinsville, GA | §56-1-312, or T.C.A. § 36-5-706               | Suspension   | 1/26/2018 |
| Real Estate Commission | Larry Gilliland    | Murfreesboro, TN | Advertising violation                         | \$500 Civil penalty  | 1/5/2018  |
|                        |                    |                  | Failure to comply with T.C.A. §56-1-109,      |  |           |
| Real Estate Commission | Stephanie Brown    | Chattanooga, TN  | §56-1-312, or T.C.A. § 36-5-706               | Suspension   | 1/5/2018  |
| Real Estate Commission | Todd R. McGlamery  | Nashville, TN    | Failure to supervise                          | \$1,000 Civil penalty  | 1/10/2018 |
|                        |                    |                  | Failure to comply with T.C.A. §56-1-109,      |  |           |
| Real Estate Commission | Shannon Gee        | McMinnville, TN  | §56-1-312, or T.C.A. § 36-5-706               | Suspension   | 1/11/2018 |
|                        |                    |                  |   | \$2,000 Civil penalty plus 4 hours   |           |
|                        |                    |                  |   | of continuing education in   |           |
| Real Estate Commission | Jeffery Hallums    | Lebanon, TN      | Failure to exercise reasonable skill and care |  | 1/26/2018 |
|                        |                    |                  | Failure to comply with T.C.A. §56-1-109,      |  |           |
| Real Estate Commission | Christopher Sueing | Memphis, TN      | §56-1-312, or T.C.A. § 36-5-706               | Suspension   | 1/26/2018 |
| Scrap Metals           | NO ACTION TAKEN    |                  |   |  |           |
| Soil Scientists        | NO ACTION TAKEN    |                  |   |  |           |