

| Program | Respondent | Location | Violation | Action | Date |
|--|---------------------------------------|-----------------------------------|---|--|-----------|
| Accountancy | Kimberly Farmer | Dandridge, TN | Providing attest services without a valid firm permit | \$1,000 civil penalty | 8/28/2023 |
| Accountancy | Walker Financial Management, LLC | Memphis, TN | Providing attest services without a valid firm permit | \$1,000 civil penalty | 8/15/2023 |
| Accountancy | Advanced Tax and Accounting | Nashville, TN | Using "Accounting" designation without holding a valid firm permit | \$750 civil penalty | 8/15/2023 |
| Accountancy | Ernst & Young, LLP | Nashville, TN and Chattanooga, TN | Committing acts that reflect adversely on the profession | \$43,000 civil penalty | 8/29/2023 |
| Accountancy | Stephen L. Rothgeb, CPA | Gatlinburg, TN | Provided attest services without being enrolled in Peer Review and failed to comply with terms of executed Consent Order | Revocation of firm permit | 8/4/2023 |
| Alarm Systems Contractors | NO ACTION TAKEN | | | | |
| Architects & Engineers | David Schuermann | Germantown, TN | Practicing on an expired license | \$1,000 civil penalty | 8/23/2023 |
| Athletic Commission | Phoenix Club of Memphis | Memphis, TN | Unlicensed activity | \$1,000 civil penalty | 8/23/2023 |
| Auctioneers | NO ACTION TAKEN | | | | |
| Burial Services | Graceland Memorial Gardens, Inc. | Afton, TN | Failed to make a deposit to the Merchandise and Services Trust Fund for preneed opening and closing as required by law, failed to trust or order the merchandise and services sold on two (2) contracts within the time required by law, signs not posted at cemetery as required by law, and the 2021 Cemetery's Company Annual Report on Merchandise and Services Trust was not completed correctly | \$1,000 civil penalty | 8/25/2023 |
| Burial Services | Roselawn Memorial Park | Johnson City, TN | Charging a higher fee than the allowable processing fee on cemetery contracts | \$750 civil penalty | 8/4/2023 |
| Burial Services | Northridge Woodhaven Cemetery | Millington, TN | Failed to maintain cemetery grounds and being unresponsive to members of the public for an unreasonable period of time without justification | \$500 civil penalty | 8/8/2023 |
| Burial Services | New Park Cemetery, Inc. | Memphis, TN | Unsafe conditions and failed to maintain cemetery grounds so as to reflect respect for the memory of the dead | \$750 civil penalty and \$519.75 investigation costs | 8/15/2023 |
| Burial Services | Lakeview Memorial Gardens | Memphis, TN | Unsafe conditions and failed to maintain cemetery grounds so as to reflect respect for the memory of the dead | \$750 civil penalty and \$519.75 investigation costs | 8/15/2023 |
| Burial Services | Whitson Funeral Home | Cookeville, TN | Permitted an individual to write eight (8) preneed funeral contracts with an expired preneed sales agent, failed to submit nine (9) insurance applications within the time required by law, and failed to file the 2022 Establishment's Preneed Funeral Fund Annual Report within the time required by law | \$250 civil penalty | 8/20/2023 |
| Burial Services | Simple Cremation and Funeral Services | Smyrna, TN | Failed to file the 2021 and 2022 Establishment's Preneed Funeral Fund Annual Report within the time limits required by law, permitted the sale of preneed funeral contracts without the person being registered as a preneed sales agent, and failed to provide the examiner with copies of insurance policies or policy summaries | \$250 civil penalty | 8/20/2023 |
| Burial Services | Stephenson-Shaw Funeral Home, Inc. | Jackson, TN | Failed to pay delinquent examination fees and failed to submit the 2022 Establishment's Preneed Funeral Fund Annual Report to Burial Services | \$250 civil penalty | 8/2/2023 |
| Burial Services | Sykes Funeral Home & Crematory, Inc. | Clarksville, TN | Discount given on preneed funeral contract and the 2021 Establishment's Preneed Funeral Fund Annual Report was not completed correctly | \$250 civil penalty | 8/2/2023 |
| Burial Services | Presley Funeral Home | Cookeville, TN | Failed to file an application for change of ownership and failed to pay delinquent consumer protection fees and examination fees | \$250 civil penalty | 8/15/2023 |
| Collections | NO ACTION TAKEN | | | | |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Charles Jemison | Olive Branch, MS | Unlicensed activity | \$500 civil penalty | 8/24/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Atkins Services | Cleveland, TN | Unlicensed activity | \$750 civil penalty | 8/11/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Neighbors Roofing | Murfreesboro, TN | Unlicensed activity | \$1,500 civil penalty | 8/7/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Durfe Construction | Alcoa, TN | Unlicensed activity | \$750 civil penalty | 8/8/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | The Concrete Gentlemen | Chapel Hill, TN | Unlicensed activity | \$750 civil penalty | 8/8/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Judrut Consulting Firm, LLC | Ooltewah, TN | Unlicensed activity | \$750 civil penalty | 8/3/2023 |

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| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Schmank Construction | McDonald, TN | Contracting in name other than licensed name | \$500 civil penalty | 8/18/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Custom Home Solutions | Lenoir city, TN | Unlicensed activity | \$1,500 civil penalty | 8/10/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Brothers in Construction | Knoxville, TN | Unlicensed activity | \$2,000 civil penalty | 8/16/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Paradise Home Improvement | Fort Mill, SC | Unlicensed activity | \$37,500 civil penalty | 8/31/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Clear Creek Landscaping | Seymour, TN | Unlicensed activity | \$2,000 civil penalty | 8/21/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Blue Smoke Mountain Builders | Knoxville, TN | Unlicensed activity | \$1,500 civil penalty | 8/7/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Jose Melendez | Hendersonville, TN | Unlicensed activity | \$750 civil penalty | 8/16/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Royal Construction, LLC | Memphis, TN | Unlicensed activity | \$500 civil penalty | 8/4/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Elkins Sustainability | Hixson, TN | Unlicensed activity | \$2,000 civil penalty | 8/21/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Local Roofer, LLC | Chattanooga, TN | Unlicensed activity | \$2,500 civil penalty | 8/21/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Nashville House Painting & Floors | Nashville, TN | Unlicensed activity | \$1,000 civil penalty | 8/22/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Maven Advantage Inc. | Springfield, TN | Unlicensed activity | \$7,500 civil penalty | 8/4/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Jackson Fence Company | Medina, TN | Unlicensed activity | \$1,000 civil penalty | 8/9/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Dewayne Copley | Jackson, TN | Unlicensed activity | \$1,000 civil penalty | 8/10/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Dewayne Copley | Jackson, TN | Unlicensed activity | \$1,000 civil penalty | 8/10/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Circle A Construction | Clarksville, TN | Contracting in amounts that exceeded monetary license limits | \$1,000 civil penalty | 8/16/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Tool Slings Construction Service | Corinth, MS | Unlicensed activity | \$1,000 civil penalty | 8/3/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Schultz Construction | Dyersburg, RI | Contracting in amounts that exceed their monetary license limit | \$1,000 civil penalty | 8/16/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Russell Levine | Athens, TN | Unlicensed activity | \$4,000 civil penalty | 8/16/2023 |
| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | Justice Farms, LLC | Nashville, TN | Gross negligence | \$4,000 civil penalty | 8/24/2023 |

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| Contractors & Home Improvement, Limited Licensed Electricians, Limited Licensed Plumbers | McCarley Construction, LLC | Knoxville, TN | incompetence/gross negligence and failure to cooperate with open investigation | \$1,000 civil penalty | 8/1/2023 |
| Cosmetology & Barber | Masters on Clarksville Highway | Nashville, TN | Operating on an expired shop license | \$100 civil penalty | 8/4/2023 |
| Cosmetology & Barber | Hunts Barber Academy, LLC | Nashville, TN | Incomplete student files, missing or broken equipment, classroom required equipment missing, student offering services before minimum hours are completed, and no sign stating all work is performed by students | Voluntary revocation of school license and \$200 costs | 8/3/2023 |
| Cosmetology & Barber | Stacy Flynt | Fayetteville, TN | Person(s) without valid license(s) practicing | \$100 civil penalty | 8/22/2023 |
| Cosmetology & Barber | Ky Van Dinh | Lebanon, TN | Respondent responsibility for unlicensed activity | \$1,500 civil penalty | 8/10/2023 |
| Cosmetology & Barber | Tuyet Hong Tran | Maryville, TN | Shop manager permitted unlicensed activity | \$4,500 civil penalty | 8/24/2023 |
| Cosmetology & Barber | Ace Nails | MEMPHIS, TN | Expired Shop license and offering services not authorized by shop license | \$600 civil penalty | 8/21/2023 |
| Cosmetology & Barber | I Love Nails | Dayton, TN | Respondent responsibility for offering services that are not authorized by their license. | \$500 civil penalty | 8/2/2023 |
| Cosmetology & Barber | Get Glam'd | Antioch, TN | Person(s) without valid license(s) practicing | \$1,000 civil penalty | 8/8/2023 |
| Cosmetology & Barber | Mysa Nails & Spa Inc | Lebanon, TN | Respondent responsibility for unlicensed activity | \$1,000 civil penalty | 8/10/2023 |
| Cosmetology & Barber | Luxe Nails | Maryville, TN | Respondent responsibility for the manager/owner not being present during business hours | \$500 civil penalty | 8/2/2023 |
| Cosmetology & Barber | Diamond Nails | Dyersburg, TN | Respondent responsibility for unlicensed activity | \$3,000 civil penalty | 8/2/2023 |
| Court Reporters | NO ACTION TAKEN | | | | |
| Credit Services Business | NO ACTION TAKEN | | | | |
| Debt Management | NO ACTION TAKEN | | | | |
| Detection Services Licensing Program | NO ACTION TAKEN | | | | |
| Funeral Directors & Embalmers | NO ACTION TAKEN | | | | |
| Geologists | NO ACTION TAKEN | | | | |
| Home Inspectors | NO ACTION TAKEN | | | | |
| Land Surveyors | NO ACTION TAKEN | | | | |
| Motor Vehicle | Affordable Auto Mart Wholesale, LLC | Jackson, TN | Failed to meet City Business License requirement | \$250 civil penalty | 8/21/2023 |
| Motor Vehicle | Motorcars of Nashville Inc. | Mount Juliet, TN | Dealer employing unlicensed salesman and failure to meet City and County Business license requirements | \$1,750 civil penalty | 8/22/2023 |
| Motor Vehicle | Music City Honda | Mt. Juliet, TN | Employing unlicensed sales person(s) | \$500 civil penalty | 7/27/2023 |
| Motor Vehicle | Two Rivers Ford, Inc. | Mount Juliet, TN | Employing unlicensed salesperson(s) | \$1,000 civil penalty | 8/18/2023 |
| Motor Vehicle | AA Auto Sales and Repair Inc | Lebanon, TN | Respondent responsibility for being in violation of the rules and laws put in place for advertising | \$250 civil penalty | 7/31/2023 |
| Motor Vehicle | The Rite Car LLC | Old Hickory, TN | Issuing more temporary tags than allowed | \$500 civil penalty | 8/23/2023 |
| Motor Vehicle | Broadway Auto Sales | Knoxville, TN | Issuing more temporary tags than allowed | \$1,000 civil penalty | 8/10/2023 |
| Motor Vehicle | Ole Ben Franklin Mitsubishi | Oak Ridge, TN | Unlicensed activity | \$75,250 civil penalty | 8/11/2023 |
| Motor Vehicle | Ole Ben Franklin Motors | Knoxville, TN | Unlicensed activity | \$119,250 civil penalty | 8/11/2023 |
| Motor Vehicle | Travis Automotive | Corryton, TN | Failure to disclose rebuilt title | \$500 civil penalty | 8/17/2023 |
| Motor Vehicle | Icar Auto Sales Inc | Murfreesboro, TN | Sale of salvaged vehicles before rebuilt title issued | \$2,500 civil penalty | 8/10/2023 |
| Private Investigations & Polygraph | NO ACTION TAKEN | | | | |
| Private Probation Services | NO ACTION TAKEN | | | | |
| Real Estate Appraisers | NO ACTION TAKEN | | | | |
| Real Estate Commission | Andrea Lynn Puckett | Johnson City, TN | Failure to supervise an affiliate due to advertising violation | \$1,000 civil penalty | 8/4/2023 |
| Real Estate Commission | Michael F. Hays | Brentwood, TN | Paying or accepting, giving or charging an undisclosed commission | \$1,000 civil penalty | 8/10/2023 |
| Real Estate Commission | Megan Renae Dotson | Hendersonville, TN | Paying or accepting, giving or charging any undisclosed commission, rebate, compensation or profit for expenditures for a principal | \$1,000 civil penalty | 8/28/2023 |
| Real Estate Commission | Zach Lemaster | Cheyenne, WY | Unlicensed activity | \$1,000 civil penalty | 8/15/2023 |
| Real Estate Commission | Jo A. Mathes | Bartlett, TN | Making unsubstantiated selling claims or misleading statements | \$1,000 civil penalty | 8/17/2023 |
| Real Estate Commission | Kelly's Property Management | Johnson City, TN | Unlicensed activity | \$1,000 civil penalty | 8/11/2023 |
| Scrap Metals | NO ACTION TAKEN | | | | |
| Soil Scientists | NO ACTION TAKEN | | | | |