

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange If Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000					CSSI Technologies India Private Limited	IN	NIA	Quality Software Services, Inc.	Ownership	86.800	UnitedHealth Group Incorporated	1
		00000	52-2016292				Quality Software Services, Inc.	MD	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-3188754				R&H Family Fitness Unlited LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-0151098				Rx Solutions NY IPA, Inc.	NY	NIA	OptumRx, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					ScriptSwitch Holdings Limited	UK	NIA	Ingenix UK Holdings Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					ScriptSwitch Limited	UK	NIA	ScriptSwitch Holdings Limited	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	71420	94-0734880				Sierra Health and Life Insurance Company, Inc.	CA	JA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0200415				Sierra Health Services, Inc.	NV	NIA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0254322				Sierra Health-Care Options, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0385705				Sierra Home Medical Products, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0284582				Sierra Nevada Administrators, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0201420				Southwest Medical Associates, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	38-2303888				Southwest Michigan Health Network Inc.	MI	NIA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1821883				Specialty Benefits, LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	71-0888811				Spectera of New York, IPA, Inc.	NY	NIA	Spectera, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-1280292				Speeters, Inc.	MD	NIA	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	56-1970224				The Levin Group, Inc.	NC	NIA	Optum Public Sector Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	25-1825549				Three Rivers Holdings, Inc.	DE	NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-1431155				Travel Express Incorporated	MD	NIA	FrontierMEEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					U.S. Behavioral Health Plan, California	CA	JA	United Behavioral Health	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1913059				UHC International Services, Inc.	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	85-2931480				UHC of California	CA	JA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1921008				UHC Holdings, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	14-1823368				Ultima Rx, LLC	FL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	75-2789811				UMR Care Management, LLLP	DE	NIA	United HealthCare Services, Inc.	Ownership	99.000	UnitedHealth Group Incorporated	
		00000	75-2789811				UMR Care Management, LLLP	DE	NIA	UMR, Inc.	Ownership	1.000	UnitedHealth Group Incorporated	
		00000	98-1985276				UMR, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	91529	52-1996029				Unimerica Insurance Company	RI	JA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		11596	01-0637148				Unimerica Life Insurance Company of New York	NY	JA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	25-1877716				Unison Administrative Services, LLC	PA	NIA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-5917714				Unison Health Plan of Delaware, Inc.	DE	JA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	13032	26-0651931				Unison Health Plan of the Capital Area, Inc.	DC	JA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	94-2648007				United Behavioral Health	CA	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1868911				United Behavioral Health of New York, I.P.A., Inc.	NY	NIA	United Behavioral Health	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1941615				United Health Foundation	MD	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1289245	3410132			United HealthCare Services, Inc.	MD	UIP	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	30-0318236				United Resource Networks IPA of New York, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	01-0538317				UnitedHealth Advisors, LLC	NE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UnitedHealth Group Global Healthcare Services Limited	IE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UnitedHealth Group Global Services, Inc.	PH	NIA	UnitedHealth Group International B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1321839		0000731796	New York Stock Exchange	UnitedHealth Group Incorporated	MD	UIP					

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1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
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		00000					UnitedHealth Group Information Services Private Limited	IN	NIA	UnitedHealth Group International B.V.	Ownership	86.370	UnitedHealth Group Incorporated	
		00000					UnitedHealth Group Information Services Private Limited	IN	NIA	UnitedHealth International, Inc.	Ownership	0.630	UnitedHealth Group Incorporated	
		00000					UnitedHealth Group International B.V.	NL	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1917386				UnitedHealth International, Inc.	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	28-2574977				UnitedHealth Military & Veterans Services, LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UnitedHealth UK Limited	UK	NIA	Ingenix UK Holdings Limited	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95174	33-0115163				UnitedHealthcare Benefits of Texas, Inc.	TX	JA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	12223	56-2451429				UnitedHealthcare Community Plan of Ohio, Inc.	OH	JA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	11141	91-2008361				UnitedHealthcare Community Plan of Texas, L.L.C.	TX	JA	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95467	38-3204052				UnitedHealthcare Community Plan, Inc.	MI	JA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UnitedHealthcare India Private Limited	IN	NIA	UnitedHealth Group International B.V.	Ownership	86.985	UnitedHealth Group Incorporated	
		00000					UnitedHealthcare India Private Limited	IN	NIA	UnitedHealth International, Inc.	Ownership	0.005	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	79413	36-2739571				UnitedHealthcare Insurance Company	CT	JA	UHIC Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	80318	38-3800349				UnitedHealthcare Insurance Company of Illinois	IL	JA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	80093	11-3283886				UnitedHealthcare Insurance Company of New York	NY	JA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	12231	20-1902788				UnitedHealthcare Insurance Company of the River Valley	IL	JA	UnitedHealthcare Services Company of the River Valley, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UnitedHealthcare International Asia, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95784	63-0899562				UnitedHealthcare of Alabama, Inc.	AL	JA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96016	66-0507074				UnitedHealthcare of Arizona, Inc.	AZ	JA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95446	63-1036819				UnitedHealthcare of Arkansas, Inc.	AR	JA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95090	84-1004639				UnitedHealthcare of Colorado, Inc.	CO	JA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95284	59-1293895				UnitedHealthcare of Florida, Inc.	FL	JA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95850	58-1653544				UnitedHealthcare of Georgia, Inc.	GA	JA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95776	38-3280214				UnitedHealthcare of Illinois, Inc.	IL	JA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96844	62-1240316				UnitedHealthcare of Kentucky, Ltd.	KY	JA	United HealthCare Services, Inc.	Ownership	84.180	UnitedHealth Group Incorporated	2
0707	UnitedHealth Group Incorporated	96844	62-1240316				UnitedHealthcare of Kentucky, Ltd.	KY	JA	UnitedHealthcare, Inc.	Ownership	5.820	UnitedHealth Group Incorporated	2
0707	UnitedHealth Group Incorporated	96833	72-1074008				UnitedHealthcare of Louisiana, Inc.	LA	JA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
							UnitedHealthcare of Mississippi, Inc.	MS	JA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95716	63-1036817				UnitedHealthcare of New England, Inc.	RI	JA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95149	05-0413469				UnitedHealthcare of New England, Inc.	RI	JA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	13214	26-2687886				UnitedHealthcare of New Mexico, Inc.	NM	JA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95085	06-1172891				UnitedHealthcare of New York, Inc.	NY	JA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
							UnitedHealthcare of North Carolina, Inc.	NC	JA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95103	58-1461010				UnitedHealthcare of Ohio, Inc.	OH	JA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95186	31-1142815				UnitedHealthcare of Ohio, Inc.	OH	JA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96809	33-0115186				UnitedHealthcare of Oklahoma, Inc.	OK	JA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

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0707	UnitedHealth Group Incorporated	95993	93-0638819				UnitedHealthcare of Oregon, Inc.	OR	JA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95220	25-1756858				UnitedHealthcare of Pennsylvania, Inc.	PA	JA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	11775	32-0062893				UnitedHealthcare of South Carolina, Inc.	SC	JA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95765	95-3939697				UnitedHealthcare of Texas, Inc.	TX	JA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95025	52-1130183				UnitedHealthcare of the Mid-Atlantic, Inc.	MD	JA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95591	47-0676824				UnitedHealthcare of the Midlands, Inc.	NE	JA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96365	49-1361841				UnitedHealthcare of the Midwest, Inc.	MD	JA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95501	41-1498563				UnitedHealthcare of Utah, Inc.	UT	JA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	48036	91-1312951				UnitedHealthcare of Washington, Inc.	WA	JA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95710	39-1555898				UnitedHealthcare of Wisconsin, Inc.	WI	JA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95378	36-3379945				UnitedHealthcare Plan of the River Valley, Inc.	IL		UnitedHealthcare Services Company of the River Valley, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-0854846				UnitedHealthcare Service LLC	DE	NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-3355110				UnitedHealthcare Services Company of the River Valley, Inc.	DE	UDP	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1922511				UnitedHealthcare, Inc.	DE	UIP	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	37-0820184				UnitedHealthOne Agency, Inc.	IN	NIA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	74-2787745				WellMed Medical Management of Florida, Inc.	FL	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	74-2788364				WellMed Medical Management, Inc.	TX	NIA	Collaborative Care Holdings, LLC	Ownership	80.000	UnitedHealth Group Incorporated	3
		00000	36-3437680				Wellness, Inc.	IL	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-0325334				XLHealth BIPA, Inc.	MD	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2102846				XLHealth Corporation	MD	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					XLHealth Corporation India Private Limited	IN	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-2717284				XLHealth Tennessee, LLC	MD	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2111342				XLHealth Texas, Inc.	MD	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	

Asterisk	Explanation
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SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

NO

Explanation:

1. The Company does not offer the Medicare Part D product.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

	Current Statement Date			4 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
2504. State Tax Receivable			0	10,244
2505. Service Fee Receivable	2,580		2,580	
2587. Summary of remaining write-ins for Line 25 from overflow page	2,580	0	2,580	10,244

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	
2. Cost of acquired:		
2.1 Actual cost at time of acquisition	9,080,212	
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation	65,483	
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	9,014,729	0
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	9,014,729	0

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	628,251,495	560,542,524
2. Cost of bonds and stocks acquired	184,420,149	233,086,501
3. Accrual of discount	74,305	110,544
4. Unrealized valuation increase (decrease)		0
5. Total gain (loss) on disposals	1,325,193	3,361,395
6. Deduct consideration for bonds and stocks disposed of	113,291,024	161,022,038
7. Deduct amortization of premium	7,188,887	7,793,843
8. Total foreign exchange change in book/adjusted carrying value		0
9. Deduct current year's other than temporary impairment recognized		52,788
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	673,611,521	628,251,495
11. Deduct total nonadmitted amounts		0
12. Statement value at end of current period (Line 10 minus Line 11)	673,611,521	628,251,495

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by Rating Class

	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. Class 1 (a)	781,838,225	1,929,839,616	1,871,114,806	(3,371,670)	826,226,304	781,838,225	837,192,365	792,382,009
2. Class 2 (a)	49,144,246	7,304,427	7,030,496	1,254,035	50,679,489	49,144,246	50,672,212	40,994,963
3. Class 3 (a)	807,029	0	0	(807,029)	0	807,029	0	0
4. Class 4 (a)	0				0	0	0	0
5. Class 5 (a)	0				0	0	0	0
6. Class 6 (a)	0				0	0	0	0
7. Total Bonds	831,790,500	1,937,144,043	1,878,145,302	(2,924,664)	876,905,793	831,790,500	887,864,577	833,376,972
PREFERRED STOCK								
8. Class 1	0				0	0	0	0
9. Class 2	0				0	0	0	0
10. Class 3	0				0	0	0	0
11. Class 4	0				0	0	0	0
12. Class 5	0				0	0	0	0
13. Class 6	0				0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	831,790,500	1,937,144,043	1,878,145,302	(2,924,664)	876,905,793	831,790,500	887,864,577	833,376,972

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ 52,897,868 ; NAIC 2 \$ 7,835,650 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals	212,249,229	XXX	213,081,787	721,323	266,130

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	194,539,154	417,762,526
2. Cost of short-term investments acquired	5,529,567,612	7,035,728,626
3. Accrual of discount	6,546	4,776
4. Unrealized valuation increase (decrease)		0
5. Total gain (loss) on disposals	241	235
6. Deduct consideration received on disposals	5,510,606,354	7,258,238,944
7. Deduct amortization of premium	1,257,970	718,065
8. Total foreign exchange change in book/adjusted carrying value		0
9. Deduct current year's other than temporary impairment recognized		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	212,249,229	194,539,154
11. Deduct total nonadmitted amounts		0
12. Statement value at end of current period (Line 10 minus Line 11)	212,249,229	194,539,154

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

NONE

Schedule DB - Part B - Verification - Futures Contracts

NONE

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

NONE

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

NONE

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

NONE

SCHEDULE E - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	10,586,325	15,053,036
2. Cost of cash equivalents acquired	265,769,057	1,872,358,094
3. Accrual of discount	829	3,261
4. Unrealized valuation increase (decrease)		0
5. Total gain (loss) on disposals		80
6. Deduct consideration received on disposals	274,330,000	1,876,812,000
7. Deduct amortization of premium	22,384	16,146
8. Total foreign exchange change in book/adjusted carrying value		0
9. Deduct current year's other than temporary impairment recognized		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	2,003,827	10,586,325
11. Deduct total nonadmitted amounts		0
12. Statement value at end of current period (Line 10 minus Line 11)	2,003,827	10,586,325

Schedule A - Part 2 - Real Estate Acquired and Additions Made

NONE

Schedule A - Part 3 - Real Estate Disposed

NONE

Schedule B - Part 2 - Mortgage Loans Acquired

NONE

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

NONE

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Designation	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		3 City	4 State									
	US Bancorp LIH Fund 2012-5 LLC Prv P 0.000% 12/31/23		GA	US Bancorp	12	07/02/2012		9,080,212	0	0	0	0.000
	2999999. Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated							9,080,212	0	0	0	XXX
	3999999. Total - Unaffiliated							9,080,212	0	0	0	XXX
	4099999. Total - Affiliated							0	0	0	0	XXX
	4199999 - Totals							9,080,212	0	0	0	XXX

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/ Adjusted Carrying Value Less Encumbrances, Prior Year	Change in Book/Adjusted Carrying Value						15 Book/ Adjusted Carrying Value Less Encumbrances on Disposal	16 Consolidation	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization)/ Accretion	11 Current Year's Other Than Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in Book/ Adjusted Carrying Value (9+10- 11+12)	14 Total Foreign Exchange Change in Book/ Adjusted Carrying Value						
	US Bancorp LIH Fund 2012-5 LLC Prv P 0.000% 12/31/23		GA	Paydown	07/02/2012	09/15/2012	0	0	0	0	0	0	0	85,819	85,819	0	0	0	0
	US Bancorp LIH Fund 2012-5 LLC Prv P Income Housing Tax Credit- Unaffiliated 0.000% 12/31/23		GA	Basis Adjustment	07/02/2012	09/21/2012	0	0	0	0	0	0	0	(335)	0	0	0	0	0
	2999999. Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated						0	0	0	0	0	0	0	85,484	85,819	0	0	0	0
	3999999. Total - Unaffiliated						0	0	0	0	0	0	0	85,484	85,819	0	0	0	0
	4099999. Total - Affiliated						0	0	0	0	0	0	0	0	0	0	0	0	0
	4199999 - Totals						0	0	0	0	0	0	0	85,484	85,819	0	0	0	0

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator (e)
313390-30-7	FHLB Note Call 0.300% 08/28/13		07/10/2012	BWP Paribar Securities Corp		1,000,000	1,000,000	0	1FE
313390-33-7	FHLB Note Call 0.465% 08/24/14		06/16/2012	BWP Paribar Securities Corp		2,000,000	2,000,000	0	1FE
313390-PC-0	FHMA Note Non Call 0.875% 10/26/17		08/20/2012	Barclays Group Inc.		2,399,880	2,400,000	0	1
05999999	Subtotal - Bonds - U.S. Governments					5,399,880	5,400,000	0	XXX
49474-80-1	King City WA GO Non Call 5.000% 12/01/17		07/17/2012	J.P. Morgan Chase		2,639,630	2,300,000	0	1FE
24999999	Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					2,639,630	2,300,000	0	XXX
052478-AA-0	Austin TX Btr & Retire Rev Bond Cont Call 5.000% 11/15/23		07/12/2012	Goldman Sachs		4,671,860	4,000,000	16,967	1FE
313239-F-0	FHLMC Pool Q08892 MBS 3.600% 08/01/42		08/04/2012	Nomura Securities		899,192	897,967	899	1
31328E-7J-2	FHMA Pool AK3896 MBS 3.500% 07/01/42		08/04/2012	Nomura Securities		1,350,718	1,285,885	1,477	1
313284-E3-2	FHMA Pool AP1053 MBS 3.500% 07/01/42		08/04/2012	Montgomery Securities		1,656,677	1,582,658	1,811	1
313284-TC-6	FHMA Pool AP1446 MBS 3.500% 08/01/42		08/05/2012	Montgomery Securities		861,488	898,718	1,049	1
313287-GJ-9	FHMA Pool AP4472 MBS 3.500% 08/01/42		08/06/2012	J.P. Morgan Chase		213,002	199,850	233	1
313289-30-3	FHMA Pool AP6194 MBS 3.600% 08/01/42		08/05/2012	Montgomery Securities		888,689	848,801	840	1
59447P-49-2	Ill St Fin Auth Rev Bond Cont Call 5.000% 07/01/21		07/18/2012	Merrill Lynch		2,674,710	2,269,000	8,125	1FE
31999999	Subtotal - Bonds - U.S. Special Revenues					13,058,554	11,313,677	30,700	XXX
0553 F-AE-3	BBAT Corp Note Non Call 3.375% 09/25/13		07/27/2012	Scott & Stringfellow		180,264	176,000	2,067	1FE
0553 F-AE-3	BBAT Corp Note Non Call 3.375% 09/25/13		07/27/2012	H8BC Securities Inc		1,030,160	1,000,000	11,813	1FE
19122T-AA-7	Coors Cola Corp Note MW 10SP 1.125% 11/12/13		08/28/2012	Bank of New York		1,908,825	1,500,000	5,375	2FE
345397-PC-3	Ford Motor Credit Corp Note Non Call 2.750% 05/15/15		08/27/2012	Center Fitzgerald		1,118,500	1,400,000	6,623	2FE
49525H-AE-1	J.P. Morgan Chase Corp Note Non Call 3.250% 08/23/22		08/16/2012	J.P. Morgan Chase		1,336,822	1,345,000	0	1FE
57529P-CL-3	MassMutual Glob Corp Note Non Call Priv Plc 0.895% 08/27/13		07/11/2012	Nizuho Investor Sec Co		1,006,480	1,000,000	507	1FE
59157B-AF-0	MetLife Institute Corp Note Non Call Priv Plc 1.361% 04/04/14		07/18/2012	Nizuho Investor Sec Co		1,698,976	1,600,000	786	1FE
59217G-AA-9	MetLife Global Corp Note Non Call Priv Plc 0.781% 03/10/14		08/12/2012	US Bancorp		750,000	750,000	0	1FE
66989H-AE-8	Novartis Capital Corp Note MW 10SP 2.400% 08/21/22		08/18/2012	J.P. Morgan Chase		1,336,538	1,350,000	0	1FE
6937 H-KT-0	PADGGH Inc Corp Note Non Call 0.894% 06/05/14		08/14/2012	Nizuho Investor Sec Co		1,258,282	1,255,000	324	1FE
74153H-BK-4	Prinos Global Corp Note Non Call Priv Plc 5.300% 08/27/13		07/18/2012	CitiGroup		842,000	800,000	13,662	1FE
74258L-AA-7	Principal Life Global Corp Note Non Call Priv Plc 1.885% 07/09/14		07/10/2012	US Bancorp		500,000	500,000	0	1FE
78387G-AP-9	SBC Communications Inc Corp Note Cont Call 5.100% 08/15/14		08/14/2012	Machovia Bank		1,416,519	1,300,000	737	1FE
790949-J6-9	St Jude Medical Corp Note MW 10SP 2.200% 09/15/13		07/09/2012	Joffroy and Company		763,388	750,000	5,383	1FE
916204-AU-2	VF Corp Note Call 1.164% 08/25/13		07/11/2012	Nizuho Investor Sec Co		502,860	500,000	913	1FE
93142Z-AF-6	Walgreen Corp Note Non Call 0.689% 03/13/14		08/10/2012	Goldman Sachs		300,000	300,000	0	2FE
38999999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					15,860,752	15,425,000	51,370	XXX
83999997	Total - Bonds - Part 3					36,658,716	34,238,677	82,070	XXX
83999998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX
83999999	Total - Bonds					36,658,716	34,238,677	82,070	XXX
89999997	Total - Preferred Stocks - Part 3					0	XXX	0	XXX
89999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX
89999999	Total - Preferred Stocks					0	XXX	0	XXX
97999997	Total - Common Stocks - Part 3					0	XXX	0	XXX
97999998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX
97999999	Total - Common Stocks					0	XXX	0	XXX
98999999	Total - Preferred and Common Stocks					0	XXX	0	XXX
99999999	Totals					36,658,716	XXX	82,070	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide the number of such issues

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser								Number of Shares of Stock
.313878-9R-8	FHLB Note Call	0.280%	08/06/18		Call	100,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	0	2,000,000	0	0	0	2,000	08/06/2018	
.313879-9P-8	FHLB Note Call	0.300%	08/10/18		Call	100,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	0	2,000,000	0	0	0	1,000	08/10/2018	
.313879-9Q-4	FHLB Note Call	0.320%	06/25/14		Call	100,000	1,500,000	1,500,000	1,500,000	0	0	0	0	0	0	1,500,000	0	0	0	625	08/08/2014	
.36220N-UJ-8	GNMA Pool	283327 MBS	6.000%	12/15/19		Paydown	78	78	78	0	0	0	0	0	78	0	0	0	0	4	12/15/2019	
.36220N-UJ-8	GNMA Pool	283327 MBS	6.000%	12/15/19		Paydown	78	78	78	0	0	0	0	0	78	0	0	0	0	5	12/15/2019	
.36220N-UJ-8	GNMA Pool	283327 MBS	6.000%	12/15/19		Paydown	78	78	78	0	0	0	0	0	78	0	0	0	0	6	12/15/2019	
.91282B-LJ-0	US Treasury Note	1.375%	09/15/12		Maturity	10,000,000	10,000,000	10,094,174	10,028,782	(28,782)	0	0	(28,782)	0	10,000,000	0	0	0	137,500	09/15/2012		
05999999 Subtotal - Bonds - U.S. Governments						15,500,227	15,500,227	15,594,401	10,028,889	0	0	(28,782)	0	(28,782)	0	15,500,227	0	0	0	141,738	XXXX	XXXX
.604129-8B-8	IN S1 90 Nrn Call	5.000%	06/01/12		Maturity	2,000,000	2,000,000	2,216,841	2,086,387	(28,387)	0	0	(28,387)	0	2,000,000	0	0	0	101,500	08/01/2012	IFE	
.709141-2S-7	PA S1 90 Nrn Call	5.000%	06/01/12		Maturity	2,000,000	2,000,000	2,147,158	2,027,158	(27,158)	0	0	(27,158)	0	2,000,000	0	0	0	100,000	06/01/2012	IFE	
17999999 Subtotal - Bonds - U.S. States, Territories and Possessions						4,000,000	4,000,000	4,364,821	4,093,545	0	0	(53,545)	0	(53,545)	0	4,000,000	0	0	0	201,500	XXXX	XXXX
.16753T-A6-6	Chicago IL H80 Auth Cap Rev Nrn Call	5.250%	07/01/12		Maturity	2,100,000	2,100,000	2,865,671	2,140,248	(40,248)	0	0	(40,248)	0	2,100,000	0	0	0	110,250	07/01/2012	IFE	
.3128PC-2X-0	FHLMC Pool	J01890 MBS	5.500%	04/01/21		Paydown	1,576	1,576	1,575	1,575	1	0	1	0	1,576	0	0	0	51	04/01/2021		
.3128PC-2X-0	FHLMC Pool	J01890 MBS	5.500%	04/01/21		Paydown	1,573	1,573	1,573	1,573	1	0	1	0	1,573	0	0	0	50	04/01/2021		
.3128PC-2X-0	FHLMC Pool	J01890 MBS	5.500%	04/01/21		Paydown	1,579	1,579	1,578	1,578	1	0	1	0	1,579	0	0	0	65	04/01/2021		
.3128PE-4K-1	FHLMC Pool	J08626 MBS	6.000%	10/01/21		Paydown	2,777	2,777	2,828	2,816	(38)	0	(38)	0	2,777	0	0	0	97	10/01/2021		
.3128PE-4K-1	FHLMC Pool	J08626 MBS	6.000%	10/01/21		Paydown	9,012	9,012	9,182	9,185	(22)	0	(22)	0	9,012	0	0	0	389	10/01/2021		
.3128PE-4K-1	FHLMC Pool	J08626 MBS	6.000%	10/01/21		Paydown	11,786	11,786	11,981	11,946	(40)	0	(40)	0	11,786	0	0	0	530	10/01/2021		
.3128PE-4P-0	FHLMC Pool	J08530 MBS	6.000%	10/01/21		Paydown	1,818	1,818	1,844	1,839	(21)	0	(21)	0	1,818	0	0	0	64	10/01/2021		
.3128PE-4P-0	FHLMC Pool	J08530 MBS	6.000%	10/01/21		Paydown	1,826	1,826	1,852	1,847	(21)	0	(21)	0	1,826	0	0	0	73	10/01/2021		
.3128PE-4P-0	FHLMC Pool	J08530 MBS	6.000%	10/01/21		Paydown	1,820	1,820	1,846	1,841	(21)	0	(21)	0	1,820	0	0	0	82	10/01/2021		
.3128PE-4P-2	FHLMC Pool	J03002 MBS	5.500%	07/01/21		Paydown	3,675	3,675	3,688	3,688	0	0	0	0	3,675	0	0	0	118	07/01/2021		
.3128PE-4P-2	FHLMC Pool	J03002 MBS	5.500%	07/01/21		Paydown	2,149	2,149	2,144	2,144	5	0	5	0	2,149	0	0	0	79	07/01/2021		
.3128PE-4P-2	FHLMC Pool	J03002 MBS	5.500%	07/01/21		Paydown	1,985	1,985	1,961	1,961	5	0	5	0	1,985	0	0	0	81	07/01/2021		
.3128PK-MJ-5	FHLMC Pool	J07571 MBS	5.500%	04/01/23		Paydown	42,305	42,305	42,761	42,730	(425)	0	(425)	0	42,305	0	0	0	1,357	04/01/2023		
.3128PK-MJ-5	FHLMC Pool	J07571 MBS	5.500%	04/01/23		Paydown	3,280	3,280	3,286	3,282	(33)	0	(33)	0	3,280	0	0	0	120	04/01/2023		
.3128PK-MJ-5	FHLMC Pool	J07571 MBS	5.500%	04/01/23		Paydown	42,609	42,609	43,088	43,036	(428)	0	(428)	0	42,609	0	0	0	1,758	04/01/2023		
.3128PL-PR-7	FHLMC Pool	J08532 MBS	5.500%	08/01/23		Paydown	11,782	11,782	11,894	11,880	(118)	0	(118)	0	11,782	0	0	0	377	08/01/2023		
.3128PL-PR-7	FHLMC Pool	J08532 MBS	5.500%	08/01/23		Paydown	40,321	40,321	40,774	40,724	(403)	0	(403)	0	40,321	0	0	0	1,478	08/01/2023		
.3128PL-PR-7	FHLMC Pool	J08532 MBS	5.500%	08/01/23		Paydown	9,744	9,744	9,853	9,841	(97)	0	(97)	0	9,744	0	0	0	402	08/01/2023		
.3128PP-2M-4	FHLMC Pool	J10780 MBS	4.500%	08/01/24		Paydown	9,530	9,530	9,586	9,582	(33)	0	(33)	0	9,530	0	0	0	230	08/01/2024		
.3128PP-2M-4	FHLMC Pool	J10780 MBS	4.500%	08/01/24		Paydown	10,584	10,584	10,579	10,582	(388)	0	(388)	0	10,584	0	0	0	518	08/01/2024		
.3128PP-2M-4	FHLMC Pool	J10780 MBS	4.500%	08/01/24		Paydown	97,596	97,596	101,230	100,979	(3,384)	0	(3,384)	0	97,596	0	0	0	3,294	08/01/2024		
.3128PP-4C-4	FHLMC Pool	J10355 MBS	4.500%	07/01/24		Paydown	81,290	81,290	83,285	83,285	(1,975)	0	(1,975)	0	81,290	0	0	0	2,194	07/01/2024		
.3128PP-4C-4	FHLMC Pool	J10355 MBS	4.500%	07/01/24		Paydown	80,016	80,016	81,587	81,474	(1,458)	0	(1,458)	0	80,016	0	0	0	1,800	07/01/2024		
.3128PP-4C-4	FHLMC Pool	J10355 MBS	4.500%	07/01/24		Paydown	78,741	78,741	80,802	80,654	(1,813)	0	(1,813)	0	78,741	0	0	0	2,638	07/01/2024		
.3128PP-4L-1	FHLMC Pool	J10715 MBS	4.500%	08/01/24		Paydown	11,765	11,765	12,236	12,201	(448)	0	(448)	0	11,765	0	0	0	309	08/01/2024		
.3128PP-4L-1	FHLMC Pool	J10715 MBS	4.500%	08/01/24		Paydown	12,282	12,282	12,764	12,727	(468)	0	(468)	0	12,282	0	0	0	388	08/01/2024		
.3128PP-4L-1	FHLMC Pool	J10715 MBS	4.500%	08/01/24		Paydown	19,688	19,688	14,248	14,298	(520)	0	(520)	0	19,688	0	0	0	462	08/01/2024		
.3128PP-4R-8	FHLMC Pool	J11387 MBS	4.000%	12/01/24		Paydown	4,377	4,377	4,438	4,431	(54)	0	(54)	0	4,377	0	0	0	92	12/01/2024		
.3128PP-4R-8	FHLMC Pool	J11387 MBS	4.000%	12/01/24		Paydown	82,782	82,782	88,906	89,541	(778)	0	(778)	0	82,782	0	0	0	1,674	12/01/2024		
.3128PP-4R-8	FHLMC Pool	J11387 MBS	4.000%	12/01/24		Paydown	4,214	4,214	4,370	4,366	(52)	0	(52)	0	4,214	0	0	0	128	12/01/2024		
.3128PP-5S-4	FHLMC Pool	J12857 MBS	4.500%	07/01/25		Paydown	2,388	2,388	2,509	2,509	(141)	0	(141)	0	2,388	0	0	0	83	07/01/2025		
.3128PP-5S-4	FHLMC Pool	J12857 MBS	4.500%	07/01/25		Paydown	2,388	2,388	2,543	2,540	(142)	0	(142)	0	2,388	0	0	0	72	07/01/2025		
.3128PP-5S-4	FHLMC Pool	J12857 MBS	4.500%	07/01/25		Paydown	41,887	41,887	44,428	44,361	(2,475)	0	(2,475)	0	41,887	0	0	0	1,414	07/01/2025		
.3128PP-UJ-6	FHLMC Pool	J12885 MBS	4.500%	08/01/25		Paydown	5,282	5,282	5,581	5,588	(288)	0	(288)	0	5,282	0	0	0	139	08/01/2025		
.3128PP-UJ-6	FHLMC Pool	J12885 MBS	4.500%	08/01/25		Paydown	5,307	5,307	5,608	5,604	(287)	0	(287)	0	5,307	0	0	0	159	08/01/2025		
.3128PP-UJ-6	FHLMC Pool	J12885 MBS	4.500%	08/01/25		Paydown	5,282	5,282	5,561	5,547	(285)	0	(285)	0	5,282	0	0	0	178	08/01/2025		
.3128PT-FL-4	FHLMC Pool	J13771 MBS	3.500%	12/01/25		Paydown	7,558	7,558	7,611	7,608	(51)	0	(51)	0	7,558	0	0	0	154	12/01/2025		
.3128PT-FL-4	FHLMC Pool	J13771 MBS	3.500%	12/01/25		Paydown	7,489	7,489	7,552	7,550	(51)	0	(51)	0	7,489	0	0	0	175	12/01/2025		
.3128PT-FL-4	FHLMC Pool	J13771 MBS	3.500%	12/01/25		Paydown	9,086	9,086	9,130	9,127	(61)	0	(61)	0	9,086	0	0	0	238	12/01/2025		
.3128PT-4F-9	FHLMC Pool	J13658 MBS	4.000%	12/01/25																		

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (e)
.3128PU-16-9	FHLMC Pool J16073 MSB		04/01/2012	Paycom		124,223	124,223	128,144	128,036	D	(3,813)	D	(3,813)	0	124,223	D	D	D	2,889	04/01/2025	
.3128PU-16-9	FHLMC Pool J16073 MSB		08/01/2012	Paycom		49,532	49,532	50,064	50,022	D	(1,490)	D	(1,490)	0	49,532	D	D	D	1,294	04/01/2025	
.3128PU-16-9	FHLMC Pool J16073 MSB		04/01/2012	Paycom		55,133	55,133	54,300	54,754	D	(1,830)	D	(1,830)	0	55,133	D	D	D	1,584	04/01/2025	
.3128PU-16-5	FHLMC Pool J15290 MSB		04/01/2012	Paycom		2,599	2,599	2,725	2,722	D	(153)	D	(153)	0	2,599	D	D	D	67	04/01/2025	
.3128PU-16-5	FHLMC Pool J15290 MSB		08/01/2012	Paycom		2,637	2,637	2,763	2,760	D	(157)	D	(157)	0	2,637	D	D	D	78	04/01/2025	
.3128PU-16-6	FHLMC Pool J16090 MSB		04/01/2012	Paycom		2,847	2,847	2,808	2,804	D	(167)	D	(167)	0	2,847	D	D	D	69	04/01/2025	
.3128PU-16-6	FHLMC Pool J15146 MSB		04/01/2012	Paycom		4,291	4,291	4,562	4,555	D	(264)	D	(264)	0	4,291	D	D	D	113	04/01/2025	
.3128PU-16-5	FHLMC Pool J15146 MSB		08/01/2012	Paycom		4,288	4,288	4,537	4,531	D	(262)	D	(262)	0	4,288	D	D	D	126	04/01/2025	
.3128PU-16-5	FHLMC Pool J15146 MSB		04/01/2012	Paycom		4,280	4,280	4,549	4,543	D	(263)	D	(263)	0	4,280	D	D	D	144	04/01/2025	
.3128PU-17-9	FHLMC Pool J15228 MSB		04/01/2012	Paycom		3,707	3,707	3,944	3,939	D	(232)	D	(232)	0	3,707	D	D	D	97	04/01/2025	
.3128PU-17-9	FHLMC Pool J16228 MSB		04/01/2012	Paycom		4,078	4,078	4,340	4,333	D	(266)	D	(266)	0	4,078	D	D	D	122	04/01/2025	
.3128PU-17-9	FHLMC Pool J15228 MSB		08/01/2012	Paycom		47,059	47,059	50,078	50,000	D	(2,941)	D	(2,941)	0	47,059	D	D	D	1,588	04/01/2025	
.3128PU-17-2	FHLMC Pool J16282 MSB		04/01/2012	Paycom		2,200	2,200	2,316	2,314	D	(114)	D	(114)	0	2,200	D	D	D	45	08/01/2025	
.3128PU-17-2	FHLMC Pool J16282 MSB		08/01/2012	Paycom		2,250	2,250	2,346	2,346	D	(116)	D	(116)	0	2,250	D	D	D	32	08/01/2025	
.3128PU-17-2	FHLMC Pool J16282 MSB		04/01/2012	Paycom		2,253	2,253	2,372	2,369	D	(117)	D	(117)	0	2,253	D	D	D	59	08/01/2025	
.3128PU-14-2	FHLMC Pool J15427 MSB		04/01/2012	Paycom		3,058	3,058	3,245	3,245	D	(189)	D	(189)	0	3,058	D	D	D	80	05/01/2025	
.3128PU-14-2	FHLMC Pool J15427 MSB		08/01/2012	Paycom		2,881	2,881	3,059	3,059	D	(178)	D	(178)	0	2,881	D	D	D	86	05/01/2025	
.3128PU-14-2	FHLMC Pool J15427 MSB		04/01/2012	Paycom		2,882	2,882	3,078	3,071	D	(179)	D	(179)	0	2,882	D	D	D	95	05/01/2025	
.3128PU-13-9	FHLMC Pool J15286 MSB		04/01/2012	Paycom		4,559	4,559	4,847	4,840	D	(281)	D	(281)	0	4,559	D	D	D	120	06/01/2025	
.3128PU-13-9	FHLMC Pool J15286 MSB		08/01/2012	Paycom		3,749	3,749	3,980	3,981	D	(231)	D	(231)	0	3,749	D	D	D	112	06/01/2025	
.3128PU-13-9	FHLMC Pool J15286 MSB		04/01/2012	Paycom		4,185	4,185	4,460	4,454	D	(259)	D	(259)	0	4,185	D	D	D	142	06/01/2025	
.3128PU-16-6	FHLMC Pool J16831 MSB		04/01/2012	Paycom		863	863	882	882	D	(29)	D	(29)	0	863	D	D	D	16	06/01/2025	
.3128PU-16-6	FHLMC Pool J16831 MSB		08/01/2012	Paycom		8,159	8,159	8,525	8,519	D	(380)	D	(380)	0	8,159	D	D	D	218	06/01/2025	
.3128PU-16-6	FHLMC Pool J16831 MSB		04/01/2012	Paycom		16,445	16,445	17,182	17,170	D	(725)	D	(725)	0	16,445	D	D	D	493	06/01/2025	
.3128PU-17-4	FHLMC Pool J15743 MSB		04/01/2012	Paycom		3,118	3,118	3,296	3,293	D	(165)	D	(165)	0	3,118	D	D	D	64	07/01/2025	
.3128PU-17-4	FHLMC Pool J15743 MSB		08/01/2012	Paycom		12,012	12,012	12,658	12,647	D	(655)	D	(655)	0	12,012	D	D	D	280	07/01/2025	
.3128PU-17-4	FHLMC Pool J15743 MSB		04/01/2012	Paycom		1,871	1,871	1,972	1,969	D	(99)	D	(99)	0	1,871	D	D	D	49	07/01/2025	
.3128PU-20-6	FHLMC Pool J15893 MSB		04/01/2012	Paycom		15,436	15,436	16,223	16,212	D	(778)	D	(778)	0	15,436	D	D	D	380	06/01/2025	
.3128PU-20-6	FHLMC Pool J15893 MSB		08/01/2012	Paycom		10,233	10,233	10,759	10,748	D	(514)	D	(514)	0	10,233	D	D	D	273	06/01/2025	
.3128PU-20-6	FHLMC Pool J15893 MSB		04/01/2012	Paycom		8,925	8,925	7,261	7,273	D	(348)	D	(348)	0	8,925	D	D	D	206	06/01/2025	
.3128PU-E2-0	FHLMC Pool J16453 MSB		04/01/2012	Paycom		341	341	360	361	D	(18)	D	(18)	0	341	D	D	D	7	06/01/2025	
.3128PU-E2-0	FHLMC Pool J16453 MSB		08/01/2012	Paycom		342	342	361	361	D	(18)	D	(18)	0	342	D	D	D	8	06/01/2025	
.3128PU-E2-0	FHLMC Pool J16453 MSB		04/01/2012	Paycom		7,739	7,739	8,161	8,154	D	(415)	D	(415)	0	7,739	D	D	D	203	06/01/2025	
.3128PU-EH-3	FHLMC Pool J18748 MSB		04/01/2012	Paycom		7,886	7,886	8,284	8,284	D	(388)	D	(388)	0	7,886	D	D	D	39	04/01/2027	
.3128PU-EH-3	FHLMC Pool J18748 MSB		04/01/2012	Paycom		8,418	8,418	8,843	8,843	D	(425)	D	(425)	0	8,418	D	D	D	63	04/01/2027	
.3128PU-EH-3	FHLMC Pool J18748 MSB		04/01/2012	Paycom		8,542	8,542	8,973	8,973	D	(431)	D	(431)	0	8,542	D	D	D	85	04/01/2027	
.312806-FZ-6	FHLMC FRR 1105 CHs EZ QM		7.85% 07/01/21	Paycom		11	11	12	12	D	0	D	0	0	11	D	D	D	0	07/01/2021	
.312806-FZ-6	FHLMC FRR 1105 CHs EZ QM		7.85% 07/01/21	Paycom		10	10	10	10	D	0	D	0	0	10	D	D	D	0	07/01/2021	
.312826-9P-2	FHLMC Pool A80782 MSB		04/01/2012	Paycom		61,486	61,486	62,629	62,612	D	(1,118)	D	(1,118)	0	61,486	D	D	D	2,152	06/01/2036	
.312826-9P-2	FHLMC Pool A80782 MSB		08/01/2012	Paycom		26,594	26,594	27,054	27,046	D	(462)	D	(462)	0	26,594	D	D	D	1,063	06/01/2036	
.312826-9P-2	FHLMC Pool A80782 MSB		04/01/2012	Paycom		106,272	106,272	111,267	111,256	D	(1,004)	D	(1,004)	0	106,272	D	D	D	4,917	06/01/2036	
.312972-LE-0	FHLMC Pool B18325 MSB		04/01/2012	Paycom		22,930	22,930	22,468	22,545	D	386	D	386	0	22,930	D	D	D	669	05/01/2020	
.312972-LE-0	FHLMC Pool B18325 MSB		08/01/2012	Paycom		2,296	2,296	2,250	2,257	D	38	D	38	0	2,296	D	D	D	77	05/01/2020	
.312972-LE-0	FHLMC Pool B18325 MSB		04/01/2012	Paycom		2,300	2,300	2,253	2,261	D	39	D	39	0	2,300	D	D	D	86	05/01/2020	
.313281-L2-2	FHLMC Pool 006344 MSB		04/01/2012	Paycom		3,882	3,882	4,101	4,101	D	(219)	D	(219)	0	3,882	D	D	D	32	02/01/2042	
.313281-L2-2	FHLMC Pool 006344 MSB		08/01/2012	Paycom		40,896	40,896	42,785	42,785	D	(2,179)	D	(2,179)	0	40,896	D	D	D	677	02/01/2042	
.313281-L2-2	FHLMC Pool 006344 MSB		04/01/2012	Paycom		58,847	58,847	59,889	59,889	D	(1,051)	D	(1,051)	0	58,847	D	D	D	1,167	02/01/2042	
.313551-EH-3	FHLMC Pool 535549 MSB		04/01/2012	Paycom		47,579	47,579	49,304	49,304	D	(1,373)	D	(1,373)	0	47,579	D	D	D	388	06/01/2018	
.313551-EH-3	FHLMC Pool 535549 MSB		08/01/2012	Paycom		51,814	51,814	53,692	53,615	D	(1,501)	D	(1,501)	0	51,814	D	D	D	1,727	06/01/2018	
.313551-EH-3	FHLMC Pool 535549 MSB		04/01/2012	Paycom		52,835	52,835	54,543	54,159	D	(1,525)	D	(1,525)	0	52,835	D	D	D	1,974	06/01/2018	
.313843-5Y-9	FHLMC Pool A2682 MSB		04/01/2012	Paycom		3,080	3,080	3,232	3,229	D	(148)	D	(148)	0	3,080	D	D	D	63	01/01/2025	
.313843-5Y-9	FHLMC Pool A2682 MSB		08/01/2012	Paycom		3,073	3,073	3,224	3,221	D	(148)	D	(148)	0	3,073	D	D	D	72	01/01/2025	
.313843-5Y-9	FHLMC Pool A2682 MSB		04/01/2012	Paycom		3,292	3,292	3,380	3,387	D	(156)	D	(156)	0	3,292	D	D	D	85	01/01/2025	
.313846-2Q-1	FHLMC Pool A8782 MSB		04/01/2012	Paycom		876	876	915	915	D	(38)	D	(38)	0	876	D	D	D</			

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change In Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change In Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
.3138A-1T-8	FNMA Pool A#9261 MBS		08/01/2012	Paydown		18,011	18,011	18,961	18,936	D	(24)	D	(24)	0	18,011	D	D	D	.473	08/01/2028	T
.3138A-1A-8	FNMA Pool A10190 MBS		07/01/2012	Paydown		3,589	3,589	3,765	3,761	D	(4)	D	(4)	0	3,589	D	D	D	.84	04/01/2028	T
.3138A-1A-8	FNMA Pool A10190 MBS		08/01/2012	Paydown		3,461	3,461	3,627	3,623	D	(62)	D	(62)	0	3,461	D	D	D	.82	04/01/2028	T
.3138A-1A-8	FNMA Pool A10190 MBS		08/01/2012	Paydown		3,589	3,589	3,759	3,735	D	(24)	D	(24)	0	3,589	D	D	D	.107	04/01/2028	T
.3138A-2T-3	FNMA Pool A11653 MBS		07/01/2012	Paydown		2,777	2,777	2,947	2,947	D	(170)	D	(170)	0	2,777	D	D	D	.73	04/01/2028	T
.3138A-2T-3	FNMA Pool A11653 MBS		08/01/2012	Paydown		36,027	36,027	37,222	37,170	D	(54)	D	(54)	0	36,027	D	D	D	1.051	04/01/2028	T
.3138A-2T-3	FNMA Pool A11653 MBS		08/01/2012	Paydown		2,272	2,272	2,414	2,411	D	(138)	D	(138)	0	2,272	D	D	D	.77	04/01/2028	T
.3138A-2A-2	FNMA Pool A11992 MBS		07/01/2012	Paydown		36,326	36,326	37,875	37,837	D	(138)	D	(138)	0	36,326	D	D	D	.848	05/01/2026	T
.3138A-2A-2	FNMA Pool A11992 MBS		08/01/2012	Paydown		15,623	15,623	16,260	16,274	D	(650)	D	(650)	0	15,623	D	D	D	.617	05/01/2026	T
.3138A-2A-2	FNMA Pool A11992 MBS		08/01/2012	Paydown		40,105	40,105	41,818	41,775	D	(1,668)	D	(1,668)	0	40,105	D	D	D	1.203	05/01/2026	T
.3138A-3V-6	FNMA Pool A13381 MBS		07/01/2012	Paydown		3,184	3,184	3,319	3,319	D	(162)	D	(162)	0	3,184	D	D	D	.74	05/01/2028	T
.3138A-3V-6	FNMA Pool A13381 MBS		08/01/2012	Paydown		3,186	3,186	3,342	3,339	D	(154)	D	(154)	0	3,186	D	D	D	.85	08/01/2028	T
.3138A-3V-6	FNMA Pool A13381 MBS		08/01/2012	Paydown		39,934	39,934	41,897	41,659	D	(1,925)	D	(1,925)	0	39,934	D	D	D	1.199	08/01/2028	T
.3138A-4A-3	FNMA Pool A14338 MBS		07/01/2012	Paydown		7,447	7,447	7,839	7,831	D	(394)	D	(394)	0	7,447	D	D	D	.174	08/01/2028	T
.3138A-4A-3	FNMA Pool A14338 MBS		08/01/2012	Paydown		7,285	7,285	7,667	7,630	D	(374)	D	(374)	0	7,285	D	D	D	.984	08/01/2028	T
.3138A-4A-3	FNMA Pool A14338 MBS		08/01/2012	Paydown		19,048	19,048	20,049	20,029	D	(961)	D	(961)	0	19,048	D	D	D	.671	08/01/2028	T
.3138A-4L-6	FNMA Pool A15747 MBS		07/01/2012	Paydown		8,804	8,804	7,149	7,142	D	(338)	D	(338)	0	8,804	D	D	D	.159	08/01/2028	T
.3138A-4L-6	FNMA Pool A15747 MBS		08/01/2012	Paydown		5,533	5,533	5,818	5,808	D	(275)	D	(275)	0	5,533	D	D	D	.148	08/01/2028	T
.3138A-4L-6	FNMA Pool A15747 MBS		08/01/2012	Paydown		31,006	31,006	32,560	32,549	D	(1,540)	D	(1,540)	0	31,006	D	D	D	.930	08/01/2028	T
.3138A-4T-0	FNMA Pool A18009 MBS		07/01/2012	Paydown		3,500	3,500	2,600	2,597	D	(130)	D	(130)	0	2,467	D	D	D	.50	08/01/2026	T
.3138A-4T-0	FNMA Pool A18009 MBS		08/01/2012	Paydown		2,400	2,400	2,530	2,527	D	(127)	D	(127)	0	2,400	D	D	D	.58	08/01/2026	T
.3138A-4T-0	FNMA Pool A18009 MBS		08/01/2012	Paydown		2,620	2,620	2,666	2,666	D	(193)	D	(193)	0	2,620	D	D	D	.86	08/01/2026	T
.3138A-4T-1	FNMA Pool A18060 MBS		07/01/2012	Paydown		10,858	10,858	11,361	11,365	D	(488)	D	(488)	0	10,858	D	D	D	2.22	10/01/2026	T
.3138A-4T-1	FNMA Pool A18060 MBS		08/01/2012	Paydown		10,689	10,689	11,168	11,157	D	(489)	D	(489)	0	10,689	D	D	D	2.49	10/01/2026	T
.3138A-4T-1	FNMA Pool A18060 MBS		08/01/2012	Paydown		10,874	10,874	11,493	11,477	D	(503)	D	(503)	0	10,874	D	D	D	2.98	10/01/2026	T
.3138A-4M-0	FNMA Pool A18975 MBS		07/01/2012	Paydown		8,280	8,280	8,617	8,611	D	(321)	D	(321)	0	8,280	D	D	D	1.25	08/01/2028	T
.3138A-4M-0	FNMA Pool A18975 MBS		08/01/2012	Paydown		8,626	8,626	8,864	8,868	D	(353)	D	(353)	0	8,626	D	D	D	.189	08/01/2028	T
.3138A-4M-0	FNMA Pool A18975 MBS		08/01/2012	Paydown		6,293	6,293	6,620	6,613	D	(321)	D	(321)	0	6,293	D	D	D	.185	08/01/2028	T
.3138A-4P-0	FNMA Pool A18109 MBS		07/01/2012	Paydown		7,198	7,198	7,708	7,708	D	(565)	D	(565)	0	7,198	D	D	D	.48	05/01/2042	T
.3138A-4P-0	FNMA Pool A18109 MBS		08/01/2012	Paydown		7,184	7,184	7,731	7,731	D	(568)	D	(568)	0	7,184	D	D	D	.72	05/01/2042	T
.3138A-4P-0	FNMA Pool A18109 MBS		08/01/2012	Paydown		7,180	7,180	7,760	7,760	D	(570)	D	(570)	0	7,180	D	D	D	.96	05/01/2042	T
.3138A-4J-0	FNMA Pool A3412 MBS		07/01/2012	Paydown		19,378	19,378	20,294	20,283	D	(907)	D	(907)	0	19,378	D	D	D	.386	11/01/2028	T
.3138A-4J-0	FNMA Pool A3412 MBS		08/01/2012	Paydown		21,684	21,684	22,711	22,699	D	(1,015)	D	(1,015)	0	21,684	D	D	D	.508	11/01/2028	T
.3138A-4J-0	FNMA Pool A3412 MBS		08/01/2012	Paydown		36,270	36,270	37,987	37,987	D	(1,887)	D	(1,887)	0	36,270	D	D	D	.952	11/01/2028	T
.3138E-1H-7	FNMA Pool A3211 MBS		3.000% 04/01/27	Paydown		70,674	70,674	74,329	74,329	D	(3,655)	D	(3,655)	0	70,674	D	D	D	.353	04/01/2027	T
.3138E-1H-7	FNMA Pool A3211 MBS		3.000% 04/01/27	Paydown		16,750	16,750	17,618	17,618	D	(868)	D	(868)	0	16,750	D	D	D	.128	04/01/2027	T
.3138E-1H-7	FNMA Pool A3211 MBS		3.000% 04/01/27	Paydown		16,330	16,330	17,175	17,175	D	(845)	D	(845)	0	16,330	D	D	D	.163	04/01/2027	T
.3138E-1B-3	FNMA Pool A3318 MBS		4.000% 08/01/42	Paydown		72,849	72,849	77,072	77,072	D	(4,223)	D	(4,223)	0	72,849	D	D	D	.728	03/01/2042	T
.3138E-1B-3	FNMA Pool A3318 MBS		4.000% 08/01/42	Paydown		3,880	3,880	4,105	4,105	D	(225)	D	(225)	0	3,880	D	D	D	.82	03/01/2042	T
.3138E-1B-3	FNMA Pool A3318 MBS		4.000% 08/01/42	Paydown		79,882	79,882	84,519	84,519	D	(4,631)	D	(4,631)	0	79,882	D	D	D	1.331	03/01/2042	T
.31402-1B-0	FNMA Pool 735009 MBS		5.000% 05/01/19	Paydown		8,841	8,841	8,967	8,976	D	126	D	126	0	8,841	D	D	D	.238	05/01/2019	T
.31402-1B-0	FNMA Pool 735009 MBS		5.000% 05/01/19	Paydown		7,449	7,449	7,318	7,343	D	106	D	106	0	7,449	D	D	D	.248	05/01/2019	T
.31402-1B-0	FNMA Pool 735009 MBS		6.000% 05/01/19	Paydown		8,806	8,806	8,861	8,880	D	126	D	126	0	8,806	D	D	D	.348	05/01/2019	T
.31402-1E-0	FNMA Pool 735681 MBS		5.500% 12/01/17	Paydown		79,520	79,520	79,942	79,151	D	(5,830)	D	(5,830)	0	79,520	D	D	D	2.359	12/01/2017	T
.31402-1E-0	FNMA Pool 735681 MBS		5.500% 12/01/17	Paydown		76,885	76,885	83,579	82,752	D	(5,897)	D	(5,897)	0	76,885	D	D	D	2.818	12/01/2017	T
.31402-1E-0	FNMA Pool 735681 MBS		5.500% 12/01/17	Paydown		65,418	65,418	71,128	70,425	D	(5,010)	D	(5,010)	0	65,418	D	D	D	2.998	12/01/2017	T
.31407-1R-4	FNMA Pool 835470 MBS		5.000% 09/01/20	Paydown		7,681	7,681	7,525	7,351	D	130	D	130	0	7,681	D	D	D	.224	08/01/2020	T
.31407-1R-4	FNMA Pool 835470 MBS		6.000% 09/01/20	Paydown		8,686	8,686	8,468	8,416	D	89	D	89	0	8,686	D	D	D	.178	08/01/2020	T
.31407-1R-4	FNMA Pool 835470 MBS		6.000% 08/01/20	Paydown		9,322	9,322	9,139	9,164	D	183	D	183	0	9,322	D	D	D	.360	08/01/2020	T
.31407-2A-4	FNMA Pool 838083 MBS		5.000% 10/01/20	Paydown		8,802	8,802	8,830	8,858	D	144	D	144	0	8,802	D	D	D	.257	10/01/2020	T
.31407-2A-4	FNMA Pool 838083 MBS		5.000% 10/01/20	Paydown		9,234	9,234	9,053	9,083	D	151	D	151	0	9,234	D	D	D	.308	10/01/2020	T
.31407-2A-4	FNMA Pool 838083 MBS		5.000% 10/01/20	Paydown		8,524	8,524	8,367	8,417	D	107	D	107	0	8,524	D	D	D	.245	10/01/2020	T
.31410X-1R-4	FNMA Pool 900724 MBS		5.500% 08/01/21	Paydown		2,939	2,939	2,937	2,937	D	2	D	2	0	2,939	D	D	D	.94	08/01/2021	T
.31410X-1R-4	FNMA Pool 900724 MBS		5.500% 08/01/21	Paydown		1,906	1,906	1,906	1,906	D	1	D	1	0	1,906	D	D	D	.70	08/01/2021	T
.31410X-1R-4	FNMA Pool 900724 MBS		5.500% 08/01/21	Paydown		5,926	5,926	5,827	5,923	D	4	D	4	0	5,926	D	D	D	.244	08/01/2021	T
.31412J-1M-7	FNMA Pool 835185 MBS		5.000% 05/01/24	Paydown		8,849	8,849	9,092	9,073	D	(224)	D	(224)	0	8,849	D	D	D	.298	05/01/2024	T
.31412J-1M-7	FNMA Pool 835185 MBS		5.000% 05/01/24	Paydown		8,883	8,883	9,127	9,108	D	(225)	D	(225)	0	8,883	D	D	D	.256	05/01/2024	T
.31412J-1M-7	FNMA Pool 835185 MBS		6.000% 05/01/24	Paydown		8,877	8,877	8,916	8,997	D	(220)	D	(220)	0	8,877	D	D	D	.325	05/01/2024	T
.31412J-1M-3	FNMA Pool 835787 MBS		5.000% 11/01/24	Paydown		5,006	5,006	5,332	5,311	D	(304)	D	(304)	0	5,006	D	D	D	.145	11/01/2024	T

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change In Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change In Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (e)
.31412Z-AA-3	FMA Pool 956707 MBS 5.000% 11/01/24		08/01/2012	Paycom	3,860	3,860	3,868	3,872	(222)				(222)	0	3,650	0	0	0	322	11/01/2024	
.31412Z-AA-3	FMA Pool 956707 MBS 5.000% 11/01/24		08/01/2012	Paycom	34,142	34,142	36,395	36,216	(2,076)				(2,076)	0	34,142	0	0	0	1,222	11/01/2024	
.31414F-K3-1	FMA Pool 954820 MBS 5.000% 08/01/23		07/01/2012	Paycom	32,011	32,011	36,349	35,303	(281)				(281)	0	32,011	0	0	0	1,059	08/01/2023	
.31414F-K3-1	FMA Pool 954820 MBS 5.000% 08/01/23		08/01/2012	Paycom	32,183	32,183	32,464	32,423	(269)				(269)	0	32,183	0	0	0	1,072	08/01/2023	
.31414F-K3-1	FMA Pool 954820 MBS 5.000% 08/01/23		08/01/2012	Paycom	32,864	32,864	33,172	33,130	(268)				(268)	0	32,864	0	0	0	1,232	08/01/2023	
.31418L-2K-3	FMA Pool 983814 MBS 4.000% 11/01/24		07/01/2012	Paycom	8,991	8,991	7,079	7,074	(82)				(82)	0	8,991	0	0	0	183	11/01/2024	
.31418L-2K-3	FMA Pool 983814 MBS 4.000% 11/01/24		08/01/2012	Paycom	7,940	7,940	8,043	8,033	(93)				(93)	0	7,940	0	0	0	212	11/01/2024	
.31418L-2K-3	FMA Pool 983814 MBS 4.000% 11/01/24		08/01/2012	Paycom	7,070	7,070	7,159	7,153	(83)				(83)	0	7,070	0	0	0	212	11/01/2024	
.314151-KZ-6	FMA Pool 993594 MBS 4.500% 04/01/24		07/01/2012	Paycom	16,830	16,830	16,325	16,282	(452)				(452)	0	16,830	0	0	0	416	04/01/2024	
.314151-KZ-6	FMA Pool 993594 MBS 4.500% 04/01/24		08/01/2012	Paycom	16,671	16,671	17,192	17,147	(478)				(478)	0	16,671	0	0	0	500	04/01/2024	
.314151-KZ-6	FMA Pool 993594 MBS 4.500% 04/01/24		08/01/2012	Paycom	17,002	17,002	17,633	17,487	(631)				(631)	0	17,002	0	0	0	574	04/01/2024	
.31418B-FB-6	FMA Pool 995182 MBS 5.500% 06/01/20		07/01/2012	Paycom	24,353	24,353	26,626	26,458	(2,006)				(2,006)	0	24,353	0	0	0	781	06/01/2020	
.31418B-FB-6	FMA Pool 995182 MBS 5.500% 06/01/20		08/01/2012	Paycom	26,196	26,196	28,638	28,450	(2,264)				(2,264)	0	26,196	0	0	0	960	06/01/2020	
.31418B-FB-6	FMA Pool 995182 MBS 5.500% 06/01/20		08/01/2012	Paycom	27,844	27,844	30,446	30,251	(2,406)				(2,406)	0	27,844	0	0	0	1,148	06/01/2020	
.31418B-FB-6	FMA Pool A43057 MBS 4.500% 05/01/24		07/01/2012	Paycom	105,907	105,907	109,852	109,398	(5,005)				(5,005)	0	105,907	0	0	0	2,789	05/01/2024	
.31418L-4F-0	FMA Pool A43057 MBS 4.500% 05/01/24		08/01/2012	Paycom	93,100	93,100	96,577	96,342	(3,242)				(3,242)	0	93,100	0	0	0	2,783	05/01/2024	
.31418L-4F-0	FMA Pool A43057 MBS 4.500% 05/01/24		08/01/2012	Paycom	30,949	30,949	32,105	32,027	(1,078)				(1,078)	0	30,949	0	0	0	1,045	05/01/2024	
.31418L-2Y-5	FMA Pool A43170 MBS 4.500% 04/01/24		07/01/2012	Paycom	18,277	18,277	18,928	18,885	(588)				(588)	0	18,277	0	0	0	480	04/01/2024	
.31418L-2Y-5	FMA Pool A43170 MBS 4.500% 04/01/24		08/01/2012	Paycom	36,516	36,516	37,819	37,692	(1,174)				(1,174)	0	36,516	0	0	0	1,096	04/01/2024	
.31418L-2Y-5	FMA Pool A43170 MBS 4.500% 04/01/24		08/01/2012	Paycom	34,886	34,886	36,100	35,967	(1,121)				(1,121)	0	34,886	0	0	0	1,076	04/01/2024	
.31418S-4Z-4	FMA Pool A48476 MBS 5.000% 06/01/24		07/01/2012	Paycom	11,180	11,180	11,807	11,571	(410)				(410)	0	11,180	0	0	0	328	06/01/2024	
.31418S-4Z-4	FMA Pool A48476 MBS 5.000% 06/01/24		08/01/2012	Paycom	11,289	11,289	11,894	11,884	(414)				(414)	0	11,289	0	0	0	376	06/01/2024	
.31418S-4Z-4	FMA Pool A48476 MBS 5.000% 06/01/24		08/01/2012	Paycom	11,210	11,210	11,858	11,822	(412)				(412)	0	11,210	0	0	0	420	06/01/2024	
.31418S-2Q-6	FMA Pool A48550 MBS 4.500% 07/01/24		07/01/2012	Paycom	25,791	25,791	26,478	26,434	(652)				(652)	0	25,791	0	0	0	577	07/01/2024	
.31418S-2Q-6	FMA Pool A48550 MBS 4.500% 07/01/24		08/01/2012	Paycom	21,791	21,791	22,370	22,325	(574)				(574)	0	21,791	0	0	0	854	07/01/2024	
.31418S-2Q-6	FMA Pool A48550 MBS 4.500% 07/01/24		08/01/2012	Paycom	23,457	23,457	21,300	20,858	(501)				(501)	0	23,457	0	0	0	880	07/01/2024	
.31418T-2S-7	FMA Pool A48794 MBS 6.000% 07/01/24		07/01/2012	Paycom	92,884	92,884	96,464	96,201	(3,171)				(3,171)	0	92,884	0	0	0	2,709	07/01/2024	
.31418T-2S-7	FMA Pool A48794 MBS 6.000% 07/01/24		08/01/2012	Paycom	9,071	9,071	9,420	9,385	(324)				(324)	0	9,071	0	0	0	302	07/01/2024	
.31418T-2S-7	FMA Pool A48794 MBS 6.000% 07/01/24		08/01/2012	Paycom	74,376	74,376	77,234	77,032	(2,585)				(2,585)	0	74,376	0	0	0	2,789	07/01/2024	
.31418X-9C-9	FMA Pool A82696 MBS 4.500% 04/01/26		07/01/2012	Paycom	9,531	9,531	10,154	10,139	(807)				(807)	0	9,531	0	0	0	230	04/01/2026	
.31418X-9C-9	FMA Pool A82696 MBS 4.500% 04/01/26		08/01/2012	Paycom	9,604	9,604	10,232	10,216	(812)				(812)	0	9,604	0	0	0	286	04/01/2026	
.31418X-9C-9	FMA Pool A82696 MBS 4.500% 04/01/26		08/01/2012	Paycom	10,482	10,482	11,167	11,151	(688)				(688)	0	10,482	0	0	0	354	04/01/2026	
.31417H-8B-2	FMA Pool A04485 MBS 5.000% 11/01/24		07/01/2012	Paycom	3,818	3,818	4,054	4,040	(222)				(222)	0	3,818	0	0	0	111	11/01/2024	
.31417H-8B-2	FMA Pool A04485 MBS 5.000% 11/01/24		08/01/2012	Paycom	3,584	3,584	3,806	3,792	(208)				(208)	0	3,584	0	0	0	119	11/01/2024	
.31417H-8B-2	FMA Pool A04485 MBS 5.000% 11/01/24		08/01/2012	Paycom	3,800	3,800	4,035	4,021	(231)				(231)	0	3,800	0	0	0	142	11/01/2024	
.314177-9K-9	FMA Pool A07173 MBS 4.000% 11/01/24		07/01/2012	Paycom	14,737	14,737	15,133	15,107	(366)				(366)	0	14,737	0	0	0	344	11/01/2024	
.314177-9K-9	FMA Pool A07173 MBS 4.000% 11/01/24		08/01/2012	Paycom	15,040	15,040	16,446	16,417	(377)				(377)	0	15,040	0	0	0	401	11/01/2024	
.314177-9K-9	FMA Pool A07173 MBS 4.000% 11/01/24		08/01/2012	Paycom	14,808	14,808	15,206	15,180	(371)				(371)	0	14,808	0	0	0	444	11/01/2024	
.314177-6Z-6	FMA Pool A07187 MBS 5.000% 11/01/24		07/01/2012	Paycom	44,832	44,832	47,739	47,530	(2,578)				(2,578)	0	44,832	0	0	0	1,308	11/01/2024	
.314177-6Z-6	FMA Pool A07187 MBS 5.000% 11/01/24		08/01/2012	Paycom	98,620	98,620	104,969	104,508	(5,888)				(5,888)	0	98,620	0	0	0	3,287	11/01/2024	
.314177-6Z-6	FMA Pool A07187 MBS 5.000% 11/01/24		08/01/2012	Paycom	5,014	5,014	5,337	5,314	(260)				(260)	0	5,014	0	0	0	188	11/01/2024	
.314177-YY-0	FMA Pool W40630 MBS 4.000% 01/01/21		07/01/2012	Paycom	25,286	25,286	26,317	26,304	(1,018)				(1,018)	0	25,286	0	0	0	580	01/01/2021	
.314177-YY-0	FMA Pool W40630 MBS 4.000% 01/01/21		08/01/2012	Paycom	15,101	15,101	15,717	15,709	(608)				(608)	0	15,101	0	0	0	603	01/01/2021	
.314177-YY-0	FMA Pool W40630 MBS 4.000% 01/01/21		08/01/2012	Paycom	13,598	13,598	14,151	14,144	(547)				(547)	0	13,598	0	0	0	608	01/01/2021	
.31418T-8R-1	FMA Pool A05639 MBS 5.000% 04/01/25		07/01/2012	Paycom	28,134	28,134	29,840	29,750	(1,615)				(1,615)	0	28,134	0	0	0	821	04/01/2025	
.31418T-8R-1	FMA Pool A05639 MBS 5.000% 04/01/25		08/01/2012	Paycom	48,810	48,810	51,957	51,491	(2,791)				(2,791)	0	48,810	0	0	0	1,620	04/01/2025	
.31418T-8R-1	FMA Pool A05639 MBS 5.000% 04/01/25		08/01/2012	Paycom	3,259	3,259	3,457	3,446	(187)				(187)	0	3,259	0	0	0	122	04/01/2025	
.31418H-4F-6	FMA Pool A07813 MBS 4.500% 08/01/25		07/01/2012	Paycom	8,991	8,991	9,514	9,487	(689)				(689)	0	8,991	0	0	0	236	08/01/2025	
.31418H-4F-6	FMA Pool A07813 MBS 4.500% 08/01/25		08/01/2012	Paycom	80,144	80,144	84,309	84,339	(4,455)				(4,455)	0	80,144	0	0	0	2,494	08/01/2025	
.31418H-4F-6	F																				

STATEMENT AS OF SEPTEMBER 30, 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change In Book/Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change In Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (e)	
.31419H-9F-8	FNMA Pool NE7073 MBS 3.500% 12/01/25		07/01/2012	Paydown		31,482	31,482	31,679	31,698	D	(204)	D	(204)	0	31,482	D	D	D	842	12/01/2025	I	
.31419H-9F-8	FNMA Pool NE7073 MBS 3.500% 12/01/25		08/01/2012	Paydown		17,490	17,490	17,610	17,608	D	(118)	D	(118)	0	17,490	D	D	D	408	12/01/2025	I	
.31419H-9F-8	FNMA Pool NE7073 MBS 3.500% 12/01/25		08/01/2012	Paydown		32,912	32,912	33,138	33,125	D	(216)	D	(216)	0	32,912	D	D	D	864	12/01/2025	I	
.31419H-9F-9	FNMA Pool NE9994 MBS 3.500% 05/01/26		07/01/2012	Paydown		1,219	1,219	1,291	1,290	D	(61)	D	(61)	0	1,219	D	D	D	25	05/01/2026	I	
.31419H-9F-9	FNMA Pool NE9994 MBS 3.500% 05/01/26		08/01/2012	Paydown		1,223	1,223	1,298	1,295	D	(61)	D	(61)	0	1,223	D	D	D	29	05/01/2026	I	
.31419H-9F-9	FNMA Pool NE9994 MBS 3.500% 05/01/26		09/01/2012	Paydown		1,228	1,228	1,290	1,289	D	(61)	D	(61)	0	1,228	D	D	D	32	05/01/2026	I	
.31419H-CZ-2	FNMA Pool NE9987 MBS 3.500% 07/01/26		07/01/2012	Paydown		2,897	2,897	3,049	3,041	D	(144)	D	(144)	0	2,897	D	D	D	59	07/01/2026	I	
.31419H-CZ-2	FNMA Pool NE9987 MBS 3.500% 07/01/26		08/01/2012	Paydown		2,914	2,914	3,061	3,059	D	(145)	D	(145)	0	2,914	D	D	D	66	07/01/2026	I	
.31419H-CZ-2	FNMA Pool NE9987 MBS 3.500% 07/01/26		08/01/2012	Paydown		8,848	8,848	9,084	9,078	D	(428)	D	(428)	0	8,848	D	D	D	227	07/01/2026	I	
31999999	Subtotal - Bonds - U.S. Special Revenues					6,430,709	6,430,709	6,875,014	6,208,984	D	(208,750)	D	(208,750)	0	6,430,709	D	D	D	237,206	XXXX	XXXX	
.08073E-AD-7	AmeriSourceBergens Corp Note MT 506P 5.825%		08/15/2012	Maturity		375,000	375,000	390,983	398,381	D	(11,381)	D	(11,381)	0	375,000	D	D	D	21,084	08/15/2012	IFE	
.089483-BA-9	Archer Daniels Corp Note Non Call 0.827%		08/13/2012	Maturity		1,000,000	1,000,000	1,000,000	1,000,000	D	0	D	0	0	1,000,000	D	D	D	4,843	08/13/2012	IFE	
.06581F-AC-7	BBET Corp Note Non Call 3.850% 07/27/12		07/27/2012	Maturity		750,000	750,000	782,798	761,658	D	(11,658)	D	(11,658)	0	750,000	D	D	D	28,675	07/27/2012	IFE	
.30112P-AD-0	Boston Prop Corp Note Cont Call 8.250%		08/24/2012	Call	102,242	182,570	159,000	184,230	180,711	D	1,859	D	1,859	0	182,570	D	D	D	11,014	01/15/2013	2FE	
.317181-AV-6	Cargill Inc Note Cont Call Prv Plc 5.500%		08/15/2012	Maturity		785,000	785,000	812,761	788,613	D	(23,613)	D	(23,613)	0	785,000	D	D	D	42,840	08/15/2012	IFE	
.172070-2N-2	Cincinnati Gas & Electric Corp Note MT 258P 5.700% 08/15/12		08/15/2012	Maturity		500,000	500,000	528,848	517,886	D	(17,886)	D	(17,886)	0	500,000	D	D	D	28,500	08/15/2012	2FE	
.34528X-AF-6	Ford Credit Auto FPOD 2008-C AAA ABS 5.180% 04/15/13		07/15/2012	Paydown		12,494	12,494	12,432	12,433	D	0	D	0	0	12,494	D	D	D	374	04/15/2013	IFE	
.388623-35-8	GE Cap Corp Note Non Call 0.573% 08/02/12		08/02/2012	Maturity		800,000	800,000	596,582	598,827	D	1,173	D	1,173	0	800,000	D	D	D	2,780	08/02/2012	IFE	
.554483-AQ-9	Madison Realty Corp Note Call 4.500%		04/18/22	Montgomery Securities		1,142,872	1,080,000	1,077,851	0	D	48	D	48	0	1,077,887	D	84,778	84,778	13,905	04/18/2022	2FE	
.57622P-BH-2	MassMutual Glob Note Non Call Prv Plc 3.625% 07/18/12		07/18/2012	Maturity		200,000	200,000	206,410	203,198	D	(3,198)	D	(3,198)	0	200,000	D	D	D	7,250	07/18/2012	IFE	
.63921E-BZ-6	MetLife Global Corp Note Non Call Prv Plc 2.875% 08/17/12		08/17/2012	Maturity		750,000	750,000	778,220	759,054	D	(9,054)	D	(9,054)	0	750,000	D	D	D	21,568	08/17/2012	IFE	
.59582N-AV-9	MiddleAmerican Energy Corp Note MT 909P 3.150% 07/15/12		07/15/2012	Maturity		1,200,000	1,200,000	1,198,678	1,198,844	D	58	D	58	0	1,200,000	D	D	D	37,800	07/15/2012	2FE	
.94952P-BA-0	New York Life Corp Note Non Call Prv Plc 0.494% 08/22/12		08/22/2012	Maturity		685,000	685,000	685,040	685,027	D	(27)	D	(27)	0	685,000	D	D	D	2,778	08/22/2012	IFE	
.88577E-8F-6	Northern States Power Corp Note Cont Call 8.000% 08/28/12		08/28/2012	Maturity		300,000	300,000	333,248	305,740	D	(5,740)	D	(5,740)	0	300,000	D	D	D	24,000	08/28/2012	IFE	
.74432Q-BH-7	Prudential Financial Corp Note Non Call 3.625% 08/17/12		08/17/2012	Maturity		700,000	700,000	699,881	699,971	D	28	D	28	0	700,000	D	D	D	25,375	08/17/2012	IFE	
.93331H-8D-6	US Bank Corp Note Non Call 0.688% 10/28/12		10/28/2012	Call	100,000	850,000	860,000	862,618	861,182	D	(1,182)	D	(1,182)	0	850,000	D	D	D	5,518	10/28/2012	IFE	
38999999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					9,922,878	9,928,434	10,116,578	9,930,355	D	(89,308)	D	(89,308)	0	9,927,901	D	84,778	84,778	278,505	XXXX	XXXX	
8399997	Total - Bonds - Part 4					35,953,012	35,898,770	36,950,814	29,251,873	D	(372,383)	D	(372,383)	0	35,888,237	D	84,778	84,778	858,950	XXXX	XXXX	
8399998	Total - Bonds - Part 5					XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX
8399999	Total - Bonds					35,953,012	35,898,770	36,950,814	29,251,873	D	(372,383)	D	(372,383)	0	35,888,237	D	84,778	84,778	858,950	XXXX	XXXX	
8999997	Total - Preferred Stocks - Part 4					0	XXXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXXX	XXXX
8999998	Total - Preferred Stocks - Part 5					XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX
8999999	Total - Preferred Stocks					0	XXXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXXX	XXXX
9799997	Total - Common Stocks - Part 4					0	XXXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXXX	XXXX
9799998	Total - Common Stocks - Part 5					XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX	XXXX
9799999	Total - Common Stocks					0	XXXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXXX	XXXX
9899998	Total - Preferred and Common Stocks					0	XXXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXXX	XXXX
9999999	Totals					35,953,012	XXXX	36,950,814	29,251,873	D	(372,383)	D	(372,383)	0	35,888,237	D	84,778	84,778	858,950	XXXX	XXXX	

(a) For all common stock bearing the NAIC market indicator "U" provide the number of such issues.....

E05.4

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

NONE

Schedule DB - Part B - Section 1 - Futures Contracts Open

NONE

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

NONE

Schedule DB - Part D - Counterparty Exposure for Derivative Instruments Open

NONE

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

NONE

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

NONE

