

ANNUAL STATEMENT

OF THE

UnitedHealthcare Plan of the River Valley, Inc.

TO THE

Insurance Department

OF THE

STATE OF

Tennessee

FOR THE YEAR ENDED
DECEMBER 31, 2012

HEALTH

2012



HEALTH ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2012
OF THE CONDITION AND AFFAIRS OF THE

UnitedHealthcare Plan of the River Valley, Inc.

NAIC Group Code 0707 0707 NAIC Company Code 95378 Employer's ID Number 36-3379945
(Current) (Prior)

Organized under the Laws of Illinois, State of Domicile or Port of Entry Illinois

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [] No [X]

Incorporated/Organized 08/05/1985 Commenced Business 12/19/1985

Statutory Home Office 1300 River Drive, Suite 200, Moline, IL, US 61265
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 1300 River Drive, Suite 200
(Street and Number)
Moline, IL, US 61265 309-736-4600
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 9700 Health Care Lane, MN017-E900, Minnetonka, MN, US 55343
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 1300 River Drive, Suite 200
(Street and Number)
Moline, IL, US 61265 309-736-4600
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address UHCRiverValley.com

Statutory Statement Contact Gretchen Lea Fischer, 952-979-6138
(Name) (Area Code) (Telephone Number)
gretchen_l_fischer@uhc.com, 952-979-7825
(E-mail Address) (FAX Number)

OFFICERS

Secretary Christina Regina Palme-Krizak Chief Financial Officer James Wesley Waters #
Treasurer Robert Worth Oberrender

OTHER OFFICERS

Steven Craig Walli # President, Commercial Nyle Brent Cottingham Assistant Treasurer Michelle Marie Huntley Dill Assistant Secretary
Scott Andrew Bowers President, Medicaid Division Bruce Chase Steffens M.D. Chief Medical Officer

DIRECTORS

James Edward Hecker Bruce Chase Steffens M.D. William Kenneth Appelgate Ph.D.
Cathie Sue Whiteside Steven Eugene Meeker James Wesley Waters
Scott Andrew Bowers

State of _____ State of _____ State of _____
County of _____ County of _____ County of _____

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Steven Craig Walli
President, Commercial

Michelle Marie Huntley Dill
Assistant Secretary

James Wesley Waters
Chief Financial Officer

Subscribed and sworn to before me this _____ day of _____

Subscribed and sworn to before me this _____ day of _____

Subscribed and sworn to before me this _____ day of _____

- a. Is this an original filing?..... Yes [X] No []
b. If no,
1. State the amendment number.....
2. Date filed.....
3. Number of pages attached.....

ASSETS

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
1. Bonds (Schedule D)	690,266,794	0	690,266,794	628,251,495
2. Stocks (Schedule D):				
2.1 Preferred stocks	0	0	0	0
2.2 Common stocks	0	0	0	0
3. Mortgage loans on real estate (Schedule B):				
3.1 First liens	0	0	0	0
3.2 Other than first liens	0	0	0	0
4. Real estate (Schedule A):				
4.1 Properties occupied by the company (less \$0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less \$0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$0 encumbrances)	0	0	0	0
5. Cash (\$10,491,078 , Schedule E - Part 1), cash equivalents (\$0 , Schedule E - Part 2) and short-term investments (\$182,856,543 , Schedule DA)	193,347,621	0	193,347,621	270,068,324
6. Contract loans, (including \$0 premium notes)	0	0	0	0
7. Derivatives (Schedule DB)	0	0	0	0
8. Other invested assets (Schedule BA)	8,949,246	0	8,949,246	0
9. Receivables for securities	0	0	0	0
10. Securities lending reinvested collateral assets (Schedule DL)	0	0	0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	892,563,661	0	892,563,661	898,319,819
13. Title plants less \$0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	7,185,534	0	7,185,534	6,920,620
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	51,847,196	982,827	50,864,369	71,069,722
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$0 earned but unbilled premiums)	0	0	0	0
15.3 Accrued retrospective premiums	1,360,537	0	1,360,537	2,077,951
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	0	0	0	0
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0	0
17. Amounts receivable relating to uninsured plans	17,665,276	353,891	17,311,385	3,074,177
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0	0
18.2 Net deferred tax asset	43,655,015	21,121,745	22,533,270	8,485,439
19. Guaranty funds receivable or on deposit	1,780,333	0	1,780,333	0
20. Electronic data processing equipment and software	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$0)	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	0	0	0	0
24. Health care (\$18,823,841) and other amounts receivable	34,909,531	16,085,690	18,823,841	14,992,635
25. Aggregate write-ins for other than invested assets	4,938,960	3,276	4,935,684	5,095,816
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	1,055,906,043	38,547,429	1,017,358,614	1,010,036,179
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28. Total (Lines 26 and 27)	1,055,906,043	38,547,429	1,017,358,614	1,010,036,179
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. FEHBP Receivable	4,935,684	0	4,935,684	4,808,546
2502. Premium Tax Receivable	0	0	0	217,947
2503. Miscellaneous Receivable	0	0	0	59,079
2598. Summary of remaining write-ins for Line 25 from overflow page	3,276	3,276	0	10,244
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	4,938,960	3,276	4,935,684	5,095,816

LIABILITIES, CAPITAL AND SURPLUS

	Current Year			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$0 reinsurance ceded).....	386,814,073	0	386,814,073	392,842,705
2. Accrued medical incentive pool and bonus amounts.....	749,002	0	749,002	1,294,235
3. Unpaid claims adjustment expenses.....	9,344,180	0	9,344,180	9,035,304
4. Aggregate health policy reserves, including the liability of \$0 for medical loss ratio rebate per the Public Health Service Act.....	12,357,722	0	12,357,722	6,527,052
5. Aggregate life policy reserves.....	0	0	0	0
6. Property/casualty unearned premium reserves.....	0	0	0	0
7. Aggregate health claim reserves.....	196,081	0	196,081	9,701
8. Premiums received in advance.....	38,772,529	0	38,772,529	16,226,595
9. General expenses due or accrued.....	43,068,266	0	43,068,266	45,289,768
10.1 Current federal and foreign income tax payable and interest thereon (including \$868,235 on realized capital gains (losses)).....	701,493	0	701,493	30,725,213
10.2 Net deferred tax liability.....	0	0	0	0
11. Ceded reinsurance premiums payable.....	360,560	0	360,560	330,904
12. Amounts withheld or retained for the account of others.....	50,986	0	50,986	0
13. Remittance and items not allocated.....	12,651	0	12,651	1,742,140
14. Borrowed money (including \$0 current) and interest thereon \$0 (including \$0 current).....	0	0	0	0
15. Amounts due to parent, subsidiaries and affiliates.....	69,163,058	0	69,163,058	42,511,452
16. Derivatives.....	0	0	0	0
17. Payable for securities.....	6,727,155	0	6,727,155	0
18. Payable for securities lending.....	0	0	0	0
19. Funds held under reinsurance treaties (with \$0 authorized reinsurers, \$0 unauthorized reinsurers and \$0 certified reinsurers).....	0	0	0	0
20. Reinsurance in unauthorized and certified (\$0) companies.....	0	0	0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates.....	0	0	0	0
22. Liability for amounts held under uninsured plans.....	7,818,970	0	7,818,970	5,848,208
23. Aggregate write-ins for other liabilities (including \$0 current).....	112	0	112	4,876,884
24. Total liabilities (Lines 1 to 23).....	576,136,838	0	576,136,838	557,260,161
25. Aggregate write-ins for special surplus funds.....	XXX	XXX	0	0
26. Common capital stock.....	XXX	XXX	610,000	610,000
27. Preferred capital stock.....	XXX	XXX	0	0
28. Gross paid in and contributed surplus.....	XXX	XXX	44,977,137	44,977,137
29. Surplus notes.....	XXX	XXX	0	0
30. Aggregate write-ins for other than special surplus funds.....	XXX	XXX	1,500,000	1,500,000
31. Unassigned funds (surplus).....	XXX	XXX	394,134,639	405,688,880
32. Less treasury stock, at cost:				
32.10 shares common (value included in Line 26 \$0).....	XXX	XXX	0	0
32.20 shares preferred (value included in Line 27 \$0).....	XXX	XXX	0	0
33. Total capital and surplus (Lines 25 to 31 minus Line 32).....	XXX	XXX	441,221,776	452,776,017
34. Total liabilities, capital and surplus (Lines 24 and 33).....	XXX	XXX	1,017,358,614	1,010,036,179
DETAILS OF WRITE-INS				
2301. Risk Adjustment Factor Payable.....	0	0	0	4,838,118
2302. Fines & Penalties - Accrued.....	0	0	0	38,000
2303. Unclaimed Property.....	112	0	112	766
2308. Summary of remaining write-ins for Line 23 from overflow page.....	0	0	0	0
2309. Totals (Lines 2301 thru 2303 plus 2308)(Line 23 above).....	112	0	112	4,876,884
2501.	XXX	XXX		
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page.....	XXX	XXX	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above).....	XXX	XXX	0	0
3001. Statutory Fund.....	XXX	XXX	1,500,000	1,500,000
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page.....	XXX	XXX	0	0
3099. Totals (Lines 3001 thru 3003 plus 3098)(Line 30 above).....	XXX	XXX	1,500,000	1,500,000

STATEMENT OF REVENUE AND EXPENSES

	Current Year		Prior Year
	1 Uncovered	2 Total	3 Total
1. Member Months.....	XXX	9,410,333	9,365,383
2. Net premium income (including \$0 non-health premium income).....	XXX	4,017,438,866	3,922,804,998
3. Change in unearned premium reserves and reserve for rate credits.....	XXX	(6,548,120)	(7,916)
4. Fee-for-service (net of \$0 medical expenses).....	XXX	0	0
5. Risk revenue.....	XXX	0	0
6. Aggregate write-ins for other health care related revenues.....	XXX	462,000	189,607
7. Aggregate write-ins for other non-health revenues.....	XXX	0	605,600
8. Total revenues (Lines 2 to 7).....	XXX	4,011,352,746	3,923,592,289
Hospital and Medical:			
9. Hospital/medical benefits.....	0	3,081,117,985	2,970,819,078
10. Other professional services.....	0	17,426,653	14,657,800
11. Outside referrals.....	0	0	0
12. Emergency room and out-of-area.....	0	0	0
13. Prescription drugs.....	0	118,451,761	113,623,113
14. Aggregate write-ins for other hospital and medical.....	0	0	0
15. Incentive pool, withhold adjustments, and bonus amounts.....	0	2,166,057	1,025,110
16. Subtotal (Lines 9 to 15).....	0	3,219,162,456	3,100,125,101
Less:			
17. Net reinsurance recoveries.....	0	0	0
18. Total hospital and medical (Lines 16 minus 17).....	0	3,219,162,456	3,100,125,101
19. Non-health claims (net).....	0	0	0
20. Claims adjustment expenses, including \$90,751,966 cost containment expenses.....	0	140,798,914	121,875,202
21. General administrative expenses.....	0	417,524,328	424,870,100
22. Increase in reserves for life and accident and health contracts (including \$0 increase in reserves for life only).....	0	0	0
23. Total underwriting deductions (Lines 18 through 22).....	0	3,777,485,698	3,646,870,403
24. Net underwriting gain or (loss) (Lines 8 minus 23).....	XXX	233,867,048	276,721,886
25. Net investment income earned (Exhibit of Net Investment Income, Line 17).....	0	16,451,525	16,633,455
26. Net realized capital gains (losses) less capital gains tax of \$868,235.....	0	1,609,316	2,130,770
27. Net investment gains (losses) (Lines 25 plus 26).....	0	18,060,841	18,764,225
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$5,433) (amount charged off \$430,811)].....	0	(425,378)	0
29. Aggregate write-ins for other income or expenses.....	0	(224,654)	1,106,009
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29).....	XXX	251,277,857	296,592,120
31. Federal and foreign income taxes incurred.....	XXX	81,323,963	84,691,354
32. Net income (loss) (Lines 30 minus 31).....	XXX	169,953,894	211,900,766
DETAILS OF WRITE-INS			
0601. TennCare Incentives.....	XXX	462,000	189,607
0602.	XXX		
0603.	XXX		
0698. Summary of remaining write-ins for Line 6 from overflow page.....	XXX	0	0
0699. Totals (Lines 0601 thru 0603 plus 0698)(Line 6 above).....	XXX	462,000	189,607
0701. TennCare EW System Revenue.....	XXX	0	605,600
0702.	XXX		
0703.	XXX		
0798. Summary of remaining write-ins for Line 7 from overflow page.....	XXX	0	0
0799. Totals (Lines 0701 thru 0703 plus 0798)(Line 7 above).....	XXX	0	605,600
1401.			
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498)(Line 14 above).....	0	0	0
2901. Fines & Penalties - Paid and Accrued.....	0	(224,654)	1,106,009
2902.			
2903.			
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998)(Line 29 above).....	0	(224,654)	1,106,009

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year	2 Prior Year
CAPITAL AND SURPLUS ACCOUNT		
33. Capital and surplus prior reporting year.....	452,776,017	359,790,690
34. Net income or (loss) from Line 32	169,953,894	211,900,766
35. Change in valuation basis of aggregate policy and claim reserves	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ 0	0	0
37. Change in net unrealized foreign exchange capital gain or (loss)	0	0
38. Change in net deferred income tax	1,650,397	(16,436,875)
39. Change in nonadmitted assets	(11,404,376)	5,521,437
40. Change in unauthorized and certified reinsurance	0	0
41. Change in treasury stock	0	0
42. Change in surplus notes	0	0
43. Cumulative effect of changes in accounting principles.....	8,245,843	0
44. Capital Changes:		
44.1 Paid in	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0
44.3 Transferred to surplus.....	0	0
45. Surplus adjustments:		
45.1 Paid in	0	0
45.2 Transferred to capital (Stock Dividend)	0	0
45.3 Transferred from capital	0	0
46. Dividends to stockholders	(180,000,000)	(108,000,000)
47. Aggregate write-ins for gains or (losses) in surplus	0	0
48. Net change in capital and surplus (Lines 34 to 47)	(11,554,242)	92,985,327
49. Capital and surplus end of reporting period (Line 33 plus 48)	441,221,776	452,776,017
DETAILS OF WRITE-INS		
4701.		
4702.		
4703.		
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0
4799. Totals (Lines 4701 thru 4703 plus 4798)(Line 47 above)	0	0

CASH FLOW

	1	2
	Current Year	Prior Year
Cash from Operations		
1. Premiums collected net of reinsurance	4,059,638,953	3,698,487,097
2. Net investment income	25,856,367	23,931,657
3. Miscellaneous income	462,000	795,207
4. Total (Lines 1 through 3)	4,085,957,320	3,723,213,961
5. Benefit and loss related payments	3,244,045,408	3,109,197,471
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	579,742,229	545,031,400
8. Dividends paid to policyholders	0	0
9. Federal and foreign income taxes paid (recovered) net of \$0 tax on capital gains (losses)	112,215,918	69,985,833
10. Total (Lines 5 through 9)	3,936,003,555	3,724,214,704
11. Net cash from operations (Line 4 minus Line 10)	149,953,765	(1,000,743)
Cash from Investments		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds	177,120,066	161,022,038
12.2 Stocks	0	0
12.3 Mortgage loans	0	0
12.4 Real estate	0	0
12.5 Other invested assets	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	766	315
12.7 Miscellaneous proceeds	6,727,155	9
12.8 Total investment proceeds (Lines 12.1 to 12.7)	183,847,987	161,022,362
13. Cost of investments acquired (long-term only):		
13.1 Bonds	246,284,280	233,096,501
13.2 Stocks	0	0
13.3 Mortgage loans	0	0
13.4 Real estate	0	0
13.5 Other invested assets	9,080,212	0
13.6 Miscellaneous applications	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	255,364,492	233,096,501
14. Net increase (decrease) in contract loans and premium notes	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)	(71,516,505)	(72,074,139)
Cash from Financing and Miscellaneous Sources		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0
16.3 Borrowed funds	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0
16.5 Dividends to stockholders	180,000,000	108,000,000
16.6 Other cash provided (applied)	24,842,036	(15,991,075)
17. Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	(155,157,964)	(123,991,075)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(76,720,703)	(197,065,957)
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year	270,068,324	467,134,281
19.2 End of year (Line 18 plus Line 19.1)	193,347,621	270,068,324

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS

	1	2	3	4	5	6	7	8	9	10
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other Health	Other Non-Health
1. Net premium income	4,017,438,866	396,160,034	0	0	0	18,292,281	1,074,026,879	2,528,959,672	0	0
2. Change in unearned premium reserves and reserve for rate credit	(6,548,120)	(1,338,418)	0	0	0	(717,414)	(4,492,288)	0	0	0
3. Fee-for-service (net of \$ 0 medical expenses)	0	0	0	0	0	0	0	0	0	XXX
4. Risk revenue	0	0	0	0	0	0	0	0	0	XXX
5. Aggregate write-ins for other health care related revenues	462,000	0	0	0	0	0	0	462,000	0	XXX
6. Aggregate write-ins for other non-health care related revenues	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
7. Total revenues (Lines 1 to 6)	4,011,352,746	394,821,616	0	0	0	17,574,867	1,069,534,591	2,529,421,672	0	0
8. Hospital/medical benefits	3,081,117,985	264,078,758	0	0	0	13,600,266	773,301,860	2,030,137,101	0	XXX
9. Other professional services	17,426,653	1,385,676	0	0	0	105,502	6,880,191	9,055,284	0	XXX
10. Outside referrals	0	0	0	0	0	0	0	0	0	XXX
11. Emergency room and out-of-area	0	0	0	0	0	0	0	0	0	XXX
12. Prescription drugs	118,451,761	45,004,960	0	0	0	2,844,471	70,602,330	0	0	XXX
13. Aggregate write-ins for other hospital and medical	0	0	0	0	0	0	0	0	0	XXX
14. Incentive pool, withhold adjustments and bonus amounts	2,166,057	108,423	0	0	0	21,917	1,573,964	461,753	0	XXX
15. Subtotal (Lines 8 to 14)	3,219,162,456	310,577,817	0	0	0	16,572,156	852,358,345	2,039,654,138	0	XXX
16. Net reinsurance recoveries	0	0	0	0	0	0	0	0	0	XXX
17. Total medical and hospital (Lines 15 minus 16)	3,219,162,456	310,577,817	0	0	0	16,572,156	852,358,345	2,039,654,138	0	XXX
18. Non-health claims (net)	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
19. Claims adjustment expenses including \$ 90,751,966 cost containment expenses	140,798,914	9,449,629	0	0	0	124,927	25,767,434	105,456,924	0	0
20. General administrative expenses	417,524,328	66,542,794	0	0	0	854,744	85,272,326	264,854,464	0	0
21. Increase in reserves for accident and health contracts	0	0	0	0	0	0	0	0	0	XXX
22. Increase in reserves for life contracts	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
23. Total underwriting deductions (Lines 17 to 22)	3,777,485,698	386,570,240	0	0	0	17,551,827	963,398,105	2,409,965,526	0	0
24. Total underwriting gain or (loss) (Line 7 minus Line 23)	233,867,048	8,251,376	0	0	0	23,040	106,136,486	119,456,146	0	0
DETAILS OF WRITE-INS										
0501. TennCare Incentives	462,000	0	0	0	0	0	0	462,000	0	XXX
0502.										XXX
0503.										XXX
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0	0	0	0	0	0	0	XXX
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above)	462,000	0	0	0	0	0	0	462,000	0	XXX
0601.		XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
0602.		XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
0603.		XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
0698. Summary of remaining write-ins for Line 6 from overflow page	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
1301.										XXX
1302.										XXX
1303.										XXX
1398. Summary of remaining write-ins for Line 13 from overflow page	0	0	0	0	0	0	0	0	0	XXX
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0	0	0	0	0	XXX

UNDERWRITING AND INVESTMENT EXHIBIT

PART 1 - PREMIUMS

	1	2	3	4
Line of Business	Direct Business	Reinsurance Assumed	Reinsurance Ceded	Net Premium Income (Cols. 1 + 2 - 3)
1. Comprehensive (hospital and medical)	396,570,965	0	410,931	396,160,034
2. Medicare Supplement	0	0	0	0
3. Dental only	0	0	0	0
4. Vision only	0	0	0	0
5. Federal Employees Health Benefits Plan	18,309,873	0	17,592	18,292,281
6. Title XVIII - Medicare	1,075,097,503	0	1,070,625	1,074,026,878
7. Title XIX - Medicaid	2,531,491,466	0	2,531,792	2,528,959,674
8. Other health	0	0	0	0
9. Health subtotal (Lines 1 through 8)	4,021,469,807	0	4,030,940	4,017,438,867
10. Life	0	0	0	0
11. Property/casualty	0	0	0	0
12. Totals (Lines 9 to 11)	4,021,469,807	0	4,030,940	4,017,438,867

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2 - CLAIMS INCURRED DURING THE YEAR

	1	2	3	4	5	6	7	8	9	10
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other Health	Other Non-Health
1. Payments during the year:										
1.1 Direct	3,241,334,119	320,191,014	0	0	0	17,531,081	855,121,552	2,048,490,472	0	0
1.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
1.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
1.4 Net	3,241,334,119	320,191,014	0	0	0	17,531,081	855,121,552	2,048,490,472	0	0
2. Paid medical incentive pools and bonuses	2,711,290	0	0	0	0	0	1,323,830	1,387,460	0	0
3. Claim liability December 31, current year from Part 2A:										
3.1 Direct	386,814,073	34,496,260	0	0	0	2,695,674	108,056,083	241,566,056	0	0
3.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
3.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
3.4 Net	386,814,073	34,496,260	0	0	0	2,695,674	108,056,083	241,566,056	0	0
4. Claim reserve December 31, current year from Part 2D:										
4.1 Direct	196,081	0	0	0	0	0	122,895	73,186	0	0
4.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
4.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
4.4 Net	196,081	0	0	0	0	0	122,895	73,186	0	0
5. Accrued medical incentive pools and bonuses, current year	749,002	108,423	0	0	0	21,917	618,662	0	0	0
6. Net healthcare receivables (a)	18,495,468	1,549,806	0	0	0	0	9,868,073	7,077,589	0	0
7. Amounts recoverable from reinsurers December 31, current year	0	0	0	0	0	0	0	0	0	0
8. Claim liability December 31, prior year from Part 2A:										
8.1 Direct	392,842,705	42,667,989	0	0	0	3,676,517	102,638,458	243,859,741	0	0
8.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
8.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
8.4 Net	392,842,705	42,667,989	0	0	0	3,676,517	102,638,458	243,859,741	0	0
9. Claim reserve December 31, prior year from Part 2D:										
9.1 Direct	9,701	83	0	0	0	0	9,618	0	0	0
9.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
9.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
9.4 Net	9,701	83	0	0	0	0	9,618	0	0	0
10. Accrued medical incentive pools and bonuses, prior year	1,294,235	0	0	0	0	0	368,528	925,707	0	0
11. Amounts recoverable from reinsurers December 31, prior year	0	0	0	0	0	0	0	0	0	0
12. Incurred Benefits:										
12.1 Direct	3,216,996,399	310,469,396	0	0	0	16,550,238	850,784,381	2,039,192,384	0	0
12.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
12.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
12.4 Net	3,216,996,399	310,469,396	0	0	0	16,550,238	850,784,381	2,039,192,384	0	0
13. Incurred medical incentive pools and bonuses	2,166,057	108,423	0	0	0	21,917	1,573,964	461,753	0	0

(a) Excludes \$ 0 loans or advances to providers not yet expensed.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2A - CLAIMS LIABILITY END OF CURRENT YEAR

	1	2	3	4	5	6	7	8	9	10
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other Health	Other Non-Health
1. Reported in Process of Adjustment:										
1.1 Direct	83,343,151	4,688,194	.0	.0	.0	377,759	20,099,805	58,177,393	.0	.0
1.2 Reinsurance assumed0	.0	.0	.0	.0	.0	.0	.0	.0	.0
1.3 Reinsurance ceded0	.0	.0	.0	.0	.0	.0	.0	.0	.0
1.4 Net	83,343,151	4,688,194	.0	.0	.0	377,759	20,099,805	58,177,393	.0	.0
2. Incurred but Unreported:										
2.1 Direct	303,389,186	29,778,671	.0	.0	.0	2,317,915	87,954,133	183,338,467	.0	.0
2.2 Reinsurance assumed0	.0	.0	.0	.0	.0	.0	.0	.0	.0
2.3 Reinsurance ceded0	.0	.0	.0	.0	.0	.0	.0	.0	.0
2.4 Net	303,389,186	29,778,671	.0	.0	.0	2,317,915	87,954,133	183,338,467	.0	.0
3. Amounts Withheld from Paid Claims and Capitations:										
3.1 Direct	81,736	29,395	.0	.0	.0	.0	2,145	50,196	.0	.0
3.2 Reinsurance assumed0	.0	.0	.0	.0	.0	.0	.0	.0	.0
3.3 Reinsurance ceded0	.0	.0	.0	.0	.0	.0	.0	.0	.0
3.4 Net	81,736	29,395	.0	.0	.0	.0	2,145	50,196	.0	.0
4. TOTALS:										
4.1 Direct	386,814,073	34,496,260	.0	.0	.0	2,695,674	108,056,083	241,566,056	.0	.0
4.2 Reinsurance assumed0	.0	.0	.0	.0	.0	.0	.0	.0	.0
4.3 Reinsurance ceded0	.0	.0	.0	.0	.0	.0	.0	.0	.0
4.4 Net	386,814,073	34,496,260	.0	.0	.0	2,695,674	108,056,083	241,566,056	.0	.0

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2B - ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid During the Year		Claim Reserve and Claim Liability December 31 of Current Year		5 Claims Incurred In Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1	2	3	4		
	On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid December 31 of Prior Year	On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)	32,275,870	287,915,143	4,878,260	29,618,000	37,154,130	42,668,071
2. Medicare Supplement	0	0	0	0	0	0
3. Dental Only	0	0	0	0	0	0
4. Vision Only	0	0	0	0	0	0
5. Federal Employees Health Benefits Plan	2,867,639	14,663,442	4,404	2,691,270	2,872,043	3,676,517
6. Title XVIII - Medicare	71,060,853	784,060,700	5,974,807	102,204,171	77,035,660	102,648,076
7. Title XIX - Medicaid	201,305,399	1,847,185,073	6,940,490	234,698,752	208,245,889	243,859,742
8. Other health	0	0	0	0	0	0
9. Health subtotal (Lines 1 to 8)	307,509,761	2,933,824,358	17,797,961	369,212,193	325,307,722	392,852,406
10. Healthcare receivables (a)	6,114,507	27,181,657	0	1,613,367	6,114,507	16,414,063
11. Other non-health	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts	1,382,894	1,328,396	110,721	638,281	1,493,615	1,294,235
13. Totals (Lines 9 - 10 + 11 + 12)	302,778,148	2,907,971,097	17,908,682	368,237,107	320,686,830	377,732,578

(a) Excludes \$ 0 loans or advances to providers not yet expensed.

UNDERWRITING AND INVESTMENT EXHIBIT

**PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS
(000 Omitted)**

Section A - Paid Health Claims - Comprehensive (Hospital & Medical)

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2008	2 2009	3 2010	4 2011	5 2012
1.	Prior	47,582	47,711	47,608	47,561	47,873
2.	2008	266,706	296,854	296,925	296,990	296,881
3.	2009	XXX	291,768	318,100	318,467	318,105
4.	2010	XXX	XXX	276,693	307,179	306,648
5.	2011	XXX	XXX	XXX	292,929	325,894
6.	2012	XXX	XXX	XXX	XXX	287,915

Section B - Incurred Health Claims - Comprehensive (Hospital & Medical)

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2008	2 2009	3 2010	4 2011	5 2012
1.	Prior	47,638	47,711	47,608	47,561	47,873
2.	2008	297,004	297,027	296,988	296,990	296,881
3.	2009	XXX	320,161	318,161	318,467	318,105
4.	2010	XXX	XXX	314,996	310,877	306,648
5.	2011	XXX	XXX	XXX	331,898	330,827
6.	2012	XXX	XXX	XXX	XXX	317,586

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Comprehensive (Hospital & Medical)

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2008	375,843	296,881	8,883	3.0	305,764	81.4	0	0	305,764	81.4
2. 2009	375,940	318,105	9,290	2.9	327,395	87.1	0	0	327,395	87.1
3. 2010	401,088	306,648	8,025	2.6	314,673	78.5	0	0	314,673	78.5
4. 2011	411,494	325,894	10,108	3.1	336,002	81.7	4,933	0	340,935	82.9
5. 2012	395,233	287,915	9,544	3.3	297,459	75.3	29,671	600	327,730	82.9

UNDERWRITING AND INVESTMENT EXHIBIT

**PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS
(000 Omitted)**

Section A - Paid Health Claims - Federal Employees Health Benefits Plan Premium

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2008	2 2009	3 2010	4 2011	5 2012
1.	Prior	1,513	1,513	1,504	1,502	1,527
2.	2008	13,157	15,799	15,805	15,808	15,799
3.	2009	XXX	15,190	17,448	17,468	17,436
4.	2010	XXX	XXX	18,130	19,808	19,763
5.	2011	XXX	XXX	XXX	19,301	22,232
6.	2012	XXX	XXX	XXX	XXX	14,663

Section B - Incurred Health Claims - Federal Employees Health Benefits Plan Premium

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2008	2 2009	3 2010	4 2011	5 2012
1.	Prior	1,513	1,513	1,504	1,502	1,527
2.	2008	14,928	15,800	15,805	15,808	15,799
3.	2009	XXX	17,087	17,493	17,468	17,436
4.	2010	XXX	XXX	20,679	20,122	19,763
5.	2011	XXX	XXX	XXX	22,664	22,247
6.	2012	XXX	XXX	XXX	XXX	17,365

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Federal Employees Health Benefits Plan Premium

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2008	10,145	15,799	242	1.5	16,041	158.1	0	0	16,041	158.1
2. 2009	17,098	17,436	424	2.4	17,860	104.5	0	0	17,860	104.5
3. 2010	20,729	19,763	90	0.5	19,853	95.8	0	0	19,853	95.8
4. 2011	19,680	22,232	138	0.6	22,370	113.7	16	0	22,386	113.8
5. 2012	17,592	14,663	136	0.9	14,799	84.1	2,702	31	17,532	99.7

UNDERWRITING AND INVESTMENT EXHIBIT

**PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS
(000 Omitted)**

Section A - Paid Health Claims - Title XVIII

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2008	2 2009	3 2010	4 2011	5 2012
1.	Prior	40,365	40,354	40,305	40,300	40,289
2.	2008	287,342	328,559	328,439	328,374	328,335
3.	2009	XXX	361,254	402,281	400,095	399,792
4.	2010	XXX	XXX	445,603	493,835	494,290
5.	2011	XXX	XXX	XXX	671,155	743,291
6.	2012	XXX	XXX	XXX	XXX	784,207

Section B - Incurred Health Claims - Title XVIII

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2008	2 2009	3 2010	4 2011	5 2012
1.	Prior	40,466	40,354	40,305	40,300	40,289
2.	2008	331,050	328,752	328,436	328,374	328,335
3.	2009	XXX	405,114	404,301	400,095	399,792
4.	2010	XXX	XXX	533,445	499,939	494,290
5.	2011	XXX	XXX	XXX	768,068	749,310
6.	2012	XXX	XXX	XXX	XXX	886,986

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Title XVIII

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2008	415,710	328,335	9,753	3.0	338,088	81.3	0	0	338,088	81.3
2. 2009	523,993	399,792	12,900	3.2	412,692	78.8	0	0	412,692	78.8
3. 2010	642,194	494,290	6,301	1.3	500,591	78.0	0	0	500,591	78.0
4. 2011	942,257	743,291	18,320	2.5	761,611	80.8	6,019	0	767,630	81.5
5. 2012	1,070,605	784,207	25,561	3.3	809,768	75.6	102,778	2,504	915,050	85.5

UNDERWRITING AND INVESTMENT EXHIBIT

**PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS
(000 Omitted)**

Section A - Paid Health Claims - Title XIX

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2008	2 2009	3 2010	4 2011	5 2012
1.	Prior	87,196	87,196	87,833	88,305	88,251
2.	2008	419,162	544,641	546,539	546,411	545,347
3.	2009	XXX	1,086,288	1,248,966	1,255,010	1,253,276
4.	2010	XXX	XXX	1,418,095	1,659,185	1,659,485
5.	2011	XXX	XXX	XXX	1,799,788	2,003,851
6.	2012	XXX	XXX	XXX	XXX	1,848,367

Section B - Incurred Health Claims - Title XIX

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2008	2 2009	3 2010	4 2011	5 2012
1.	Prior	89,114	87,196	87,833	88,305	88,251
2.	2008	570,721	546,200	546,539	546,411	545,347
3.	2009	XXX	1,267,976	1,253,881	1,255,010	1,253,276
4.	2010	XXX	XXX	1,680,411	1,671,321	1,659,485
5.	2011	XXX	XXX	XXX	2,032,438	2,010,792
6.	2012	XXX	XXX	XXX	XXX	2,083,066

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Title XIX

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2008	664,153	545,347	15,849	2.9	561,196	84.5	0	0	561,196	84.5
2. 2009	1,486,287	1,253,276	36,871	2.9	1,290,147	86.8	0	0	1,290,147	86.8
3. 2010	2,125,509	1,659,485	107,337	6.5	1,766,822	83.1	0	0	1,766,822	83.1
4. 2011	2,553,313	2,003,851	93,309	4.7	2,097,160	82.1	6,940	0	2,104,100	82.4
5. 2012	2,531,491	1,848,367	105,249	5.7	1,953,616	77.2	234,699	6,209	2,194,524	86.7

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS (000 Omitted)

Section A - Paid Health Claims - Grand Total

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2008	2 2009	3 2010	4 2011	5 2012
1.	Prior	176,656	176,774	177,250	177,668	177,940
2.	2008	986,367	1,185,853	1,187,708	1,187,583	1,186,362
3.	2009	XXX	1,754,500	1,986,795	1,991,040	1,988,609
4.	2010	XXX	XXX	2,158,521	2,480,007	2,480,186
5.	2011	XXX	XXX	XXX	2,783,173	3,095,268
6.	2012	XXX	XXX	XXX	XXX	2,935,152

Section B - Incurred Health Claims - Grand Total

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2008	2 2009	3 2010	4 2011	5 2012
1.	Prior	178,731	176,774	177,250	177,668	177,940
2.	2008	1,213,703	1,187,779	1,187,768	1,187,583	1,186,362
3.	2009	XXX	2,010,338	1,993,836	1,991,040	1,988,609
4.	2010	XXX	XXX	2,549,531	2,502,259	2,480,186
5.	2011	XXX	XXX	XXX	3,155,068	3,113,176
6.	2012	XXX	XXX	XXX	XXX	3,305,003

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Grand Total

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2008	1,465,851	1,186,362	34,727	2.9	1,221,089	83.3	0	0	1,221,089	83.3
2. 2009	2,403,318	1,988,609	59,485	3.0	2,048,094	85.2	0	0	2,048,094	85.2
3. 2010	3,189,520	2,480,186	121,753	4.9	2,601,939	81.6	0	0	2,601,939	81.6
4. 2011	3,926,744	3,095,268	121,875	3.9	3,217,143	81.9	17,908	0	3,235,051	82.4
5. 2012	4,014,921	2,935,152	140,490	4.8	3,075,642	76.6	369,850	9,344	3,454,836	86.0

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2D - AGGREGATE RESERVE FOR ACCIDENT AND HEALTH CONTRACTS ONLY

	1	2	3	4	5	6	7	8	9
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other
1. Unearned premium reserves	0	0	0	0	0	0	0	0	0
2. Additional policy reserves (a)	0	0	0	0	0	0	0	0	0
3. Reserve for future contingent benefits	0	0	0	0	0	0	0	0	0
4. Reserve for rate credits or experience rating refunds (including \$0) for investment income	12,357,722	3,120,314	0	0	0	0	9,237,408	0	0
5. Aggregate write-ins for other policy reserves	0	0	0	0	0	0	0	0	0
6. Totals (gross)	12,357,722	3,120,314	0	0	0	0	9,237,408	0	0
7. Reinsurance ceded	0	0	0	0	0	0	0	0	0
8. Totals (Net)(Page 3, Line 4)	12,357,722	3,120,314	0	0	0	0	9,237,408	0	0
9. Present value of amounts not yet due on claims	0	0	0	0	0	0	0	0	0
10. Reserve for future contingent benefits	196,081	0	0	0	0	0	122,895	73,186	0
11. Aggregate write-ins for other claim reserves	0	0	0	0	0	0	0	0	0
12. Totals (gross)	196,081	0	0	0	0	0	122,895	73,186	0
13. Reinsurance ceded	0	0	0	0	0	0	0	0	0
14. Totals (Net)(Page 3, Line 7)	196,081	0	0	0	0	0	122,895	73,186	0
DETAILS OF WRITE-INS									
0501.									
0502.									
0503.									
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0	0	0	0	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above)	0	0	0	0	0	0	0	0	0
1101.									
1102.									
1103.									
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0	0	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above)	0	0	0	0	0	0	0	0	0

(a) Includes \$0 premium deficiency reserve.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 3 - ANALYSIS OF EXPENSES

	Claim Adjustment Expenses		3 General Administrative Expenses	4 Investment Expenses	5 Total
	1 Cost Containment Expenses	2 Other Claim Adjustment Expenses			
1. Rent (\$0 for occupancy of own building)	2,400,693	1,300,911	6,161,450	0	9,863,054
2. Salary, wages and other benefits	48,608,072	26,340,217	124,754,076	0	199,702,365
3. Commissions (less \$0 ceded plus \$0 assumed)	0	0	38,186,829	0	38,186,829
4. Legal fees and expenses	663,919	359,771	1,707,365	0	2,731,055
5. Certifications and accreditation fees	0	0	0	0	0
6. Auditing, actuarial and other consulting services	5,308,856	2,876,814	13,625,337	0	21,811,007
7. Traveling expenses	1,681,480	911,177	4,315,570	0	6,908,227
8. Marketing and advertising	4,040,117	2,189,298	10,370,963	0	16,600,378
9. Postage, express and telephone	3,479,551	1,885,533	8,930,372	0	14,295,456
10. Printing and office supplies	1,056,620	572,572	2,711,848	0	4,341,040
11. Occupancy, depreciation and amortization	835,054	452,507	2,143,191	0	3,430,752
12. Equipment	149,133	80,814	382,754	0	612,701
13. Cost or depreciation of EDP equipment and software	6,713,580	3,638,020	17,230,605	0	27,582,205
14. Outsourced services including EDP, claims, and other services	5,520,766	2,503,514	11,744,402	0	19,768,682
15. Boards, bureaus and association fees	157,046	85,102	403,064	0	645,212
16. Insurance, except on real estate	1,053,641	570,957	2,713,018	0	4,337,616
17. Collection and bank service charges	344,362	186,606	883,872	0	1,414,840
18. Group service and administration fees	465,002	251,980	770,966	0	1,487,948
19. Reimbursements by uninsured plans	0	0	177	0	177
20. Reimbursements from fiscal intermediaries	0	0	0	0	0
21. Real estate expenses	0	0	0	0	0
22. Real estate taxes	307,984	163,876	943,845	0	1,415,705
23. Taxes, licenses and fees:					
23.1 State and local insurance taxes	0	0	684,820	0	684,820
23.2 State premium taxes	0	0	145,573,051	0	145,573,051
23.3 Regulatory authority licenses and fees	0	0	3,053,595	0	3,053,595
23.4 Payroll taxes	1,721,579	891,673	6,526,199	0	9,139,451
23.5 Other (excluding federal income and real estate taxes)	0	0	984	0	984
24. Investment expenses not included elsewhere	0	0	0	431,413	431,413
25. Aggregate write-ins for expenses	6,244,511	4,785,606	13,705,975	0	24,736,092
26. Total expenses incurred (Lines 1 to 25)	90,751,966	50,046,948	417,524,328	431,413	(a) 558,754,655
27. Less expenses unpaid December 31, current year	6,022,793	3,321,387	42,953,403	114,863	52,412,446
28. Add expenses unpaid December 31, prior year	6,279,913	2,755,391	45,087,999	201,769	54,325,072
29. Amounts receivable relating to uninsured plans, prior year	0	0	3,074,177	0	3,074,177
30. Amounts receivable relating to uninsured plans, current year	0	0	17,311,385	0	17,311,385
31. Total expenses paid (Lines 26 minus 27 plus 28 minus 29 plus 30)	91,009,086	49,480,952	433,896,132	518,319	574,904,489
DETAILS OF WRITE-INS					
2501. Information Technology	401,902	217,787	1,031,495	0	1,651,184
2502. Interest	65,019	35,233	720,864	0	821,116
2503. Managed Care & Network Access	984,127	1,935,058	370,350	0	3,289,535
2598. Summary of remaining write-ins for Line 25 from overflow page	4,793,463	2,597,528	11,583,266	0	18,974,257
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	6,244,511	4,785,606	13,705,975	0	24,736,092

(a) Includes management fees of \$323,582,506 to affiliates and \$0 to non-affiliates.

EXHIBIT OF NET INVESTMENT INCOME

	1 Collected During Year	2 Earned During Year
1. U.S. government bonds	(a) 997,823	1,105,468
1.1 Bonds exempt from U.S. tax	(a) 0	0
1.2 Other bonds (unaffiliated)	(a) 15,140,300	15,216,900
1.3 Bonds of affiliates	(a) 0	0
2.1 Preferred stocks (unaffiliated)	(b) 0	0
2.11 Preferred stocks of affiliates	(b) 0	0
2.2 Common stocks (unaffiliated)	0	0
2.21 Common stocks of affiliates	0	0
3. Mortgage loans	(c) 0	0
4. Real estate	(d) 0	0
5. Contract Loans	0	0
6. Cash, cash equivalents and short-term investments	(e) 599,471	691,535
7. Derivative instruments	(f) 0	0
8. Other invested assets	(130,965)	(130,965)
9. Aggregate write-ins for investment income	0	0
10. Total gross investment income	16,606,629	16,882,938
11. Investment expenses		(g) 431,413
12. Investment taxes, licenses and fees, excluding federal income taxes		(g) 0
13. Interest expense		(h) 0
14. Depreciation on real estate and other invested assets		(i) 0
15. Aggregate write-ins for deductions from investment income		0
16. Total deductions (Lines 11 through 15)		431,413
17. Net investment income (Line 10 minus Line 16)		16,451,525
DETAILS OF WRITE-INS		
0901.		
0902.		
0903.		
0998. Summary of remaining write-ins for Line 9 from overflow page	0	0
0999. Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)	0	0
1501.		
1502.		
1503.		
1598. Summary of remaining write-ins for Line 15 from overflow page		0
1599. Totals (Lines 1501 thru 1503 plus 1598) (Line 15, above)		0

- (a) Includes \$ 94,310 accrual of discount less \$ 9,720,007 amortization of premium and less \$ 968,513 paid for accrued interest on purchases.
- (b) Includes \$ 0 accrual of discount less \$ 0 amortization of premium and less \$ 0 paid for accrued dividends on purchases.
- (c) Includes \$ 0 accrual of discount less \$ 0 amortization of premium and less \$ 0 paid for accrued interest on purchases.
- (d) Includes \$ 0 for company's occupancy of its own buildings; and excludes \$ 0 interest on encumbrances.
- (e) Includes \$ 11,399 accrual of discount less \$ 1,541,109 amortization of premium and less \$ 504,020 paid for accrued interest on purchases.
- (f) Includes \$ 0 accrual of discount less \$ 0 amortization of premium.
- (g) Includes \$ 431,413 investment expenses and \$ 0 investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.
- (h) Includes \$ 0 interest on surplus notes and \$ 0 interest on capital notes.
- (i) Includes \$ 0 depreciation on real estate and \$ 0 depreciation on other invested assets.

EXHIBIT OF CAPITAL GAINS (LOSSES)

	1 Realized Gain (Loss) On Sales or Maturity	2 Other Realized Adjustments	3 Total Realized Capital Gain (Loss) (Columns 1 + 2)	4 Change in Unrealized Capital Gain (Loss)	5 Change in Unrealized Foreign Exchange Capital Gain (Loss)
1. U.S. Government bonds	0	0	0	0	0
1.1 Bonds exempt from U.S. tax	0	0	0	0	0
1.2 Other bonds (unaffiliated)	2,476,782	0	2,476,782	0	0
1.3 Bonds of affiliates	0	0	0	0	0
2.1 Preferred stocks (unaffiliated)	0	0	0	0	0
2.11 Preferred stocks of affiliates	0	0	0	0	0
2.2 Common stocks (unaffiliated)	0	0	0	0	0
2.21 Common stocks of affiliates	0	0	0	0	0
3. Mortgage loans	0	0	0	0	0
4. Real estate	0	0	0	0	0
5. Contract loans	0	0	0	0	0
6. Cash, cash equivalents and short-term investments	766	0	766	0	0
7. Derivative instruments	0	0	0	0	0
8. Other invested assets	0	0	0	0	0
9. Aggregate write-ins for capital gains (losses)	0	0	0	0	0
10. Total capital gains (losses)	2,477,548	0	2,477,548	0	0
DETAILS OF WRITE-INS					
0901.					
0902.					
0903.					
0998. Summary of remaining write-ins for Line 9 from overflow page	0	0	0	0	0
0999. Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)	0	0	0	0	0

EXHIBIT OF NON-ADMITTED ASSETS

	1	2	3
	Current Year Total Nonadmitted Assets	Prior Year Total Nonadmitted Assets	Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1. Bonds (Schedule D)	0	0	0
2. Stocks (Schedule D):			
2.1 Preferred stocks	0	0	0
2.2 Common stocks	0	0	0
3. Mortgage loans on real estate (Schedule B):			
3.1 First liens	0	0	0
3.2 Other than first liens.....	0	0	0
4. Real estate (Schedule A):			
4.1 Properties occupied by the company	0	0	0
4.2 Properties held for the production of income.....	0	0	0
4.3 Properties held for sale	0	0	0
5. Cash (Schedule E - Part 1), cash equivalents (Schedule E - Part 2) and short-term investments (Schedule DA)	0	0	0
6. Contract loans	0	0	0
7. Derivatives (Schedule DB)	0	0	0
8. Other invested assets (Schedule BA)	0	0	0
9. Receivables for securities	0	0	0
10. Securities lending reinvested collateral assets (Schedule DL)	0	0	0
11. Aggregate write-ins for invested assets	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	0	0	0
13. Title plants (for Title insurers only)	0	0	0
14. Investment income due and accrued	0	0	0
15. Premiums and considerations:			
15.1 Uncollected premiums and agents' balances in the course of collection	982,827	402,008	(580,819)
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due	0	0	0
15.3 Accrued retrospective premiums	0	0	0
16. Reinsurance:			
16.1 Amounts recoverable from reinsurers	0	0	0
16.2 Funds held by or deposited with reinsured companies	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0
17. Amounts receivable relating to uninsured plans	353,891	46,282	(307,609)
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0
18.2 Net deferred tax asset	21,121,745	33,519,179	12,397,434
19. Guaranty funds receivable or on deposit	0	0	0
20. Electronic data processing equipment and software	0	0	0
21. Furniture and equipment, including health care delivery assets	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0
23. Receivable from parent, subsidiaries and affiliates	0	0	0
24. Health care and other amounts receivable	16,085,690	1,421,428	(14,664,262)
25. Aggregate write-ins for other than invested assets	3,276	0	(3,276)
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	38,547,429	35,388,897	(3,158,532)
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
28. Total (Lines 26 and 27)	38,547,429	35,388,897	(3,158,532)
DETAILS OF WRITE-INS			
1101.			
1102.			
1103.			
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)	0	0	0
2501. Service Fee Receivable	3,276	0	(3,276)
2502.			
2503.			
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	3,276	0	(3,276)

EXHIBIT 1 - ENROLLMENT BY PRODUCT TYPE FOR HEALTH BUSINESS ONLY

Source of Enrollment	Total Members at End of					6 Current Year Member Months
	1 Prior Year	2 First Quarter	3 Second Quarter	4 Third Quarter	5 Current Year	
1. Health Maintenance Organizations	780,183	780,972	780,427	785,212	781,421	9,410,333
2. Provider Service Organizations	0	0	0	0	0	0
3. Preferred Provider Organizations	0	0	0	0	0	0
4. Point of Service	0	0	0	0	0	0
5. Indemnity Only	0	0	0	0	0	0
6. Aggregate write-ins for other lines of business	0	0	0	0	0	0
7. Total	780,183	780,972	780,427	785,212	781,421	9,410,333
DETAILS OF WRITE-INS						
0601.						
0602.						
0603.						
0698. Summary of remaining write-ins for Line 6 from overflow page	0	0	0	0	0	0
0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)	0	0	0	0	0	0

EXHIBIT 8 - FURNITURE, EQUIPMENT AND SUPPLIES OWNED

Description	1 Cost	2 Improvements	3 Accumulated Depreciation	4 Book Value Less Encumbrances	5 Assets Not Admitted	6 Net Admitted Assets
1. Administrative furniture and equipment	NONE					
2. Medical furniture, equipment and fixtures						
3. Pharmaceuticals and surgical supplies						
4. Durable medical equipment						
5. Other property and equipment						
6. Total						

UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.

NOTES TO STATUTORY BASIS FINANCIAL STATEMENTS AS OF AND FOR THE YEARS ENDED DECEMBER 31, 2012 AND 2011 (IN THOUSANDS EXCEPT COMMON CAPITAL STOCK SHARE DATA)

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Organization and Operation

UnitedHealthcare Plan of the River Valley, Inc. (the “Company”), licensed as a health maintenance organization (HMO), offers its enrollees a variety of managed care programs and products through contractual arrangements with health care providers. The Company is a wholly owned subsidiary of UnitedHealthcare Services Company of the River Valley, Inc. (UHS-RV), an HMO management corporation that provides services to the company under the terms of a management agreement. UHS-RV is a wholly owned subsidiary of UnitedHealthcare, Inc. (UHC). UHC is a wholly owned subsidiary of United HealthCare Services, Inc. (UHS). UHS is a wholly owned subsidiary of UnitedHealth Group Incorporated (“UnitedHealth Group”). UnitedHealth Group is a publicly held company trading on the New York Stock Exchange.

The Company was incorporated on August 5, 1985, as a HMO and operations commenced in December 1985. The Company is certified as a HMO by the Illinois Department of Financial and Professional Regulation, Division of Insurance (IDOI). The Company has entered into contracts with physicians, hospitals, and other health care provider organizations to deliver health care services for all enrollees. The Company is licensed in four states.

As disclosed in Note 3, effective June 30, 2011, UnitedHealthcare of Tennessee (UHCTN), a Tennessee domiciled HMO, was merged into the Company under a statutory merger. The Company remains as the surviving entity. The transaction was approved by both the IDOI and Tennessee Department of Commerce and Insurance (TDCI).

The Company serves as a plan sponsor offering Medicare Advantage and Medicare Part D prescription drug insurance coverage (Medicare Part D program) under a contract with the Centers for Medicare and Medicaid Services (CMS). Under the Medicare Part D program, there are seven separate elements of payment received by the Company during the plan year; these payment elements are CMS premium, member premium, CMS low-income premium subsidy, CMS catastrophic reinsurance subsidy, CMS low-income member cost-sharing subsidy, CMS risk share and, the CMS coverage gap discount program.

The Company participates state-wide as a contracted managed care organization (MCO) in the TennCare program. TennCare is a project authorized through a conditional waiver by CMS that replaced the State of Tennessee’s Medicaid program on January 1, 1994. Uninsured individuals and Medicaid eligible individuals who enroll in the TennCare program receive prepaid health care through participating MCO’s. The Company has risk arrangements with TennCare servicing individuals in the Middle Grand Region Tennessee, the West Grand Region Tennessee, and the East Grand Region Tennessee.

The Company offers the CHOICES Program for individuals in the Middle Grand Region Tennessee, West Grand Region Tennessee and the East Grand Region Tennessee which provides long term care benefits to the uninsured individuals and Medicaid eligible individuals who are enrolled in the TennCare program and qualify for this benefit.

The Company has a contract with the Office of Personnel Management (OPM) to provide healthcare services to employees of the Federal government under the Federal Employee Health Benefit Plan (FEHBP). The contract has been renewed through December 31, 2013 and is subject to annual renewal provisions thereafter.

The Company has a contract with the State of Iowa, Department of Human Services, to provide healthcare services to Hawk-i (a program for uninsured children) eligible beneficiaries in Iowa. The current contract is effective through June 30, 2013, and is subject to renewal provisions as outlined in the contract.

A. Accounting Practices

The statutory basis financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the IDOI.

The IDOI recognizes only statutory accounting practices, prescribed or permitted by the State of Illinois, for determining and reporting the financial condition and results of operations of an HMO, for determining its solvency under Illinois Insurance Law. The state prescribes the use of the National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures manual (NAIC SAP) in effect for the accounting periods covered in the financial statement.

(1-8) No significant differences exist between the practices prescribed or permitted by the State of Illinois and those prescribed or permitted by the NAIC SAP which materially affect the statutory basis net income and capital and surplus, as illustrated in the table below:

	State of Domicile	2012	2011
NET INCOME			
(1) Company state basis	Illinois	\$ 169,954	\$ 211,901
(2) State Prescribed Practices that increase/(decrease) NAIC SAP: Not applicable	Illinois	-	-
(3) State Permitted Practices that increase/(decrease) NAIC SAP: Not applicable	Illinois	-	-
(4) NAIC SAP	Illinois	\$ 169,954	\$ 211,901
SURPLUS			
(5) Company state basis	Illinois	\$ 441,222	\$ 452,776
(6) State Prescribed Practices that increase/(decrease) NAIC SAP: Not applicable	Illinois	-	-
(7) State Permitted Practices that increase/(decrease) NAIC SAP: Not applicable	Illinois	-	-
(8) NAIC SAP	Illinois	\$ 441,222	\$ 452,776

B. Use of Estimates in the Preparation of the Statutory Basis Financial Statements

The preparation of these statutory basis financial statements in conformity with the NAIC Annual Statement Instructions and the NAIC SAP include certain amounts that are based on the Company's estimates and judgments. These estimates require the Company to apply complex assumptions and judgments, often because the Company must make estimates about the effects of matters that are inherently uncertain and will change in subsequent periods. The most significant estimates relate to hospital and medical benefits, claims unpaid, and aggregate health policy reserves [including medical loss ratio rebates] and aggregate health claim reserves (collectively known as "aggregate health reserves"). The Company adjusts these estimates each period as more current information becomes available. The impact of any changes in estimates is included in the determination of net income in the period in which the estimate is adjusted.

C. Accounting Policy

(1-13) Basis of Presentation — The Company prepares its statutory basis financial statements on the basis of accounting practices prescribed or permitted by the IDOI. These statutory practices differ from accounting principles generally accepted in the United States of America (GAAP).

The more significant differences are as follows:

- Cash, cash equivalents, and short-term investments in the statutory basis financial statements represent cash balances and investments with original maturities of one year or less from the time of acquisition, whereas under GAAP, the corresponding caption of cash, cash equivalents, and short-term investments includes cash balances and investments that will mature in one year or less from the balance sheet date;
- Certain debt investments categorized as available for sale or held to maturity are shown at fair value or amortized cost, respectively, under GAAP, whereas in the statutory basis financial statements, these investments are presented at the lower of amortized cost or fair value in accordance with the National Association of Insurance Commissioners' (NAIC) designations;
- Under statutory accounting, the change to deferred tax assets and liabilities is recorded directly to unassigned surplus and deferred tax assets are subject to limitations regarding the realization and admissibility of the assets in the statutory basis financial statements, whereas under GAAP, the change in deferred tax assets and liabilities is recorded as a component of the income tax

provision within the income statement and is based on the ultimate recoverability of the deferred tax assets. Based on the admissibility criteria under statutory accounting, any deferred tax assets determined to be nonadmitted are charged directly to surplus and excluded from the statutory basis financial statements, whereas under GAAP, such assets are included in the balance sheets;

- Certain assets, including certain aged premium receivables, certain health care receivables, certain receivables relating to uninsured plans, and components of deferred tax assets, are considered nonadmitted assets for statutory purposes and are excluded from the statutory basis statements of admitted assets, liabilities, and capital and surplus. Nonadmitted assets are excluded from the statutory basis financial statements and are charged directly to unassigned surplus. Under GAAP, such assets are included in the balance sheet;
- Minimum capital and surplus requirements calculated pursuant to the IDOI governing HMOs are reported as a contingency reserve and included as a component of aggregate write-ins for other than special surplus funds in the statutory basis statements of admitted assets, liabilities, and capital and surplus, whereas under GAAP, such reserves are included in retained earnings;
- Comprehensive income and its components are not presented in the statutory basis financial statements;
- Cash, cash equivalents, and short-term investments in the statutory basis statements of cash flows represent cash balances and investments with original maturities of one year or less from the time of acquisition. Under GAAP, the corresponding caption of cash and cash equivalents includes cash balances and cash equivalents with maturities of three months or less. The corresponding caption of short-term investments under GAAP represents securities with a final maturity of one year or less from the balance sheet date. The statutory basis statements of cash flows are prepared in accordance with the NAIC Annual Statement Instructions.

Cash and Invested Assets —

- Cash and cash equivalents represent cash held by the Company in disbursement accounts, agency discount notes, and commercial paper. Cash equivalents have original maturity dates of three months or less from the date of acquisition and are reported at cost or amortized cost depending on the nature of the underlying security, which approximates fair value.
- Short-term investments represent money market instruments, commercial paper, corporate bonds, and government obligations with a maturity of greater than three months but less than one year at the time of purchase. Short-term investments also consist of the Company's share of an investment pool sponsored and administered by UHS. The investment pool consists principally of investments with original maturities of less than one year, with the average life of the individual investments being less than 60 days. The Company's share of the pool represents an undivided ownership interest in the pool and is immediately convertible to cash at no cost or penalty. The participants within the pool have an individual fund number to track those investments owned by the Company. In addition, the Company has executed a custodial agreement whereby the Company's share in the investment pool is segregated and separately maintained. The pool is primarily invested in government obligations, commercial paper, certificates of deposit, and short-term agency notes and is recorded at cost or amortized cost. Interest income from the pool accrues daily to participating members based upon ownership percentage.
- Bonds include corporate bonds, government and state and state agency obligations and municipal securities with a maturity of greater than one year at the time of purchase.
- Bonds and short-term investments are stated at amortized cost if they meet NAIC designation of one or two and stated at the lower of amortized cost or fair value if they meet an NAIC designation of three or higher. Amortization of bond premium or discount is calculated using the constant-yield interest method. Bonds and short-term investments are valued and reported using market prices published by the Securities Valuation Office of the NAIC (SVO) in accordance with the NAIC Valuations of Securities manual prepared by the SVO or an external pricing service.
- The Company holds no mortgage loans on real estate.
- Corporate bonds and government obligations include mortgage-backed securities, which are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the amortized cost of mortgage-backed securities are based on a three-month constant prepayment rate history obtained from external data source vendors. The Company's investment policy limits investments in non-agency residential mortgage-backed securities,

including home equity and sub-prime mortgages, to 10% of total cash and invested assets and total investments in mortgage-backed securities to 30% of total cash and invested assets.

- The Company holds no common or preferred stock.
- The Company holds no investments in subsidiaries, controlled, or affiliated entities.
- The Company has no investment interests with respect to joint ventures, partnerships or limited liability companies.
- The Company holds no derivatives.
- Realized capital gains and losses on sales of investments are calculated based upon specific identification of the investments sold. These gains and losses are reported as net realized capital gains less capital gains tax in the statutory basis statements of operations.
- The Company continually monitors the difference between amortized cost and estimated fair value of its investments. If any of the Company's investments experience a decline in value that the Company has determined is other-than-temporary, or if the Company has determined it will sell a security that is in an impaired status, the Company will record a realized loss in net realized capital gains less capital gains tax in the statutory basis statements of operations. The new cost basis is not changed for subsequent recoveries in fair value. The prospective adjustment method is utilized for mortgage-backed securities for periods subsequent to the loss recognition. The Company recognized an other-than-temporary impairment of \$0 and \$53 for the years ended December 31, 2012 and 2011, respectively.

Payable for Securities —The Company reports payable for securities when investments are traded at the end of an accounting period in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The balance as of December 31, 2012 represent the future commitments for the low income housing tax credit investments the Company is obligated to purchase in the future.

Investment Income Due and Accrued — Investment income earned and due as of the reporting date in addition to investment income earned but not paid or collected until subsequent periods are reported as investment income due and accrued in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company evaluates the collectability of the amounts due and amounts determined to be uncollectible are written off in the period in which the determination is made.

Net Investment Income Earned — Net investment income earned includes investment income collected during the period, as well as the change in investment income due and accrued on the Company's holdings. Amortization of premium or discount on bonds and certain external investment management costs are also included in net investment income earned (see Note 7).

Amounts Due to Parent, Subsidiaries, and Affiliates — In the normal course of business, the Company has various transactions with related parties (see Note 10). The Company reports any unsettled amounts due to parent, subsidiaries, and affiliates in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company has excluded receivables that do not meet the admissibility criteria from the statutory basis statements of admitted assets, liabilities, and capital and surplus.

Properties Occupied by the Company, Properties Held for the Production of Income, Properties Held for Sale, Furniture and Equipment and Electronic Data Processing Equipment and Software — The Company does not carry any fixed assets on the statutory basis financial statements.

Hospital and Medical Expenses, Claims Unpaid, and Aggregate Health Reserves — Hospital and medical expenses and corresponding liabilities include claims paid, claims processed but not yet paid, estimates for claims received but not yet processed, estimates for the costs of health care services enrollees have received but for which claims have not yet been submitted, and payments and liabilities for physician, hospital, and other medical costs disputes.

The estimates for incurred but not yet reported claims are developed using an actuarial process that is consistently applied, centrally controlled and automated. The actuarial models consider factors such as historical submission and payment data, cost trends, customer and product mix, seasonality, utilization of health care services, contracted service rates, and other relevant factors. The Company estimates such liabilities for physician, hospital and other medical cost disputes based upon an analysis of potential outcomes, assuming a combination of litigation and settlement strategies. These estimates may change as actuarial methods change or as underlying facts upon which estimates are

based change. The Company did not change actuarial methods during 2012 and 2011. Management believes the amount of claims unpaid and aggregate health reserves is adequate to cover the Company's liability for unpaid claims and aggregate health reserves as of December 31, 2012; however, actual payments may differ from those established estimates. Adjustments to claims unpaid estimates and aggregate health reserves are reflected in statutory statement of operations in the period in which the change in estimate is identified.

The Company contracts with hospitals, physicians, and other providers of health care under capitated or discounted fee for service arrangements, including a hospital per diem to provide medical care services to enrollees. Some of these contracts are with related parties (see Note 10). Capitated providers are at risk for the cost of medical care services provided to the Company's enrollees; however, the Company is ultimately responsible for the provision of services to its enrollees should the capitated provider be unable to provide the contracted services.

Amounts Receivable Relating to Uninsured Plans and Liability for Amounts Held under Uninsured Plans — Receivables and liabilities for amounts held under uninsured plans represent the cost reimbursement under the Medicare Part D program for the catastrophic reinsurance subsidy and the low-income member cost-sharing subsidy. The Company is fully reimbursed by CMS for costs incurred for these contract elements and, accordingly, there is no insurance risk to the Company. Amounts received for these subsidies are received monthly and are not reflected as net premium income, but rather are accounted for as deposits. The Patient Protection and Affordable Care Act and its related reconciliation act "Health Reform Legislation" mandates consumer discounts of 50% on brand name prescription drugs for Part D plan participants in the coverage gap (Coverage Gap Discount Program or CGDP). These discounts are pre-funded by CMS, and ultimately reimbursed by pharmaceutical manufacturers, and the Company solely administers the application of these funds and has no insurance risk. If the Company incurs costs either in excess of or less than these subsidies, a corresponding receivable or payable is recorded in amounts receivable relating to uninsured plans or liability for amounts held under uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Related cash flows are presented within operating expenses paid within cash provided by (used in) operations in the statutory basis statements of cash flows. Also included in the receivable and payable balances are amounts due from/to TennCare related to the 2008 and 2007 Administrative Services Only (ASO) contract years. If the Company incurs costs in excess/below these subsidies, a corresponding receivable/payable is recorded in amounts receivable and payable relating to uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Related cash flows are presented within operating expenses paid within cash provided by (used in) operations in the statutory basis statements of cash flows.

Net Deferred Tax Asset and Federal Income Taxes Incurred — Statutory accounting provides for an amount to be recorded for deferred taxes on temporary differences between the financial reporting and tax bases of assets and liabilities, subject to a valuation allowance and admissibility limitations on deferred tax assets (see Note 9). The provision for federal income taxes incurred is calculated based on applying the statutory federal income tax rate of 35% to net income before federal income taxes plus capital gains tax subject to certain adjustments (see Note 9).

Claims Adjustment Expense — Claims adjustment expenses (CAE) are those costs expected to be incurred in connection with the adjustment and recording of accident and health claims. Pursuant to the terms of the management agreement (see Note 10), the Company pays a management fee to UHS-RV in exchange for administrative and management services. A detailed review of UHS-RV's and the Company's administrative expenses is performed to determine the allocation between CAE and general administrative expenses. It is the responsibility of UHS-RV to pay CAE in the event the Company ceases operations. The Company has recorded an estimate of unpaid claims adjustment expenses associated with incurred but unpaid claims, which is included in unpaid claims adjustment expenses in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Management believes the amount of the liability for unpaid claims adjustment expenses as of December 31, 2012 is adequate to cover the Company's cost for the adjustment and recording of unpaid claims; however, actual expenses may differ from those established estimates. Adjustments to the estimates for unpaid claims adjustment expenses are reflected in operating results in the period in which the change in estimate is identified.

General Administrative Expenses — Pursuant to the terms of the management agreement (see Note 10), the Company pays a management fee to UHS-RV in exchange for administrative and management services. Costs for items not included within the scope of the management agreement are directly expensed as incurred. State income taxes are also a component of general administrative expenses. A detailed review of UHS-RV's and the Company's administrative expenses is performed to determine the allocation between claims adjustment expenses and general administrative expenses to be reported in the statutory basis statements of operations.

Remittances and Items Not Allocated — Remittances and items not allocated generally represent monies received from policyholders for monthly premium billings that have not been entered into the billing system. The majority is from monies received in the lockbox account on the last day of the year.

Revenues — Revenues consist of commercial net premium income that is recognized in the period in which enrollees are entitled to receive health care services. Net premium income is shown net of reinsurance premiums. Commercial health plans with medical loss ratios on fully insured products, as calculated under the definitions in the Patient Protection and Affordable Care Act and its related reconciliation act “Health Reform Legislation” (see Note 14) and implementing regulations, that fall below certain targets are required to rebate ratable portions of premiums annually. The Company classifies its estimated rebates as change in reserves for rate credits in the accompanying statutory basis statements of operations. Premiums received in full during the current period which are not due until future periods are recorded as premiums received in advance in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus.

Net premium income includes the Medicare Advantage CMS premium, and the premium under the Medicare Part D program, which includes CMS premium, member premium, and low-income premium subsidy for the Company’s insurance risk coverage. Net premium income is recognized ratably over the period in which eligible individuals are entitled to receive health care services and prescription drug benefits. The Company estimates retrospective premium adjustments based on guidelines determined by CMS (see Note 24).

CMS deploys a risk adjustment model that apportions premiums paid to all health plans according to health severity and certain demographic factors. The CMS risk adjustment model pays more for members whose medical history indicates they have certain medical conditions. Under this risk adjustment methodology, CMS calculates the risk adjusted premium payment using diagnosis data from hospital inpatient, hospital outpatient and physician treatment settings. The Company and health care providers collect, capture, and submit the necessary and available diagnosis data to CMS within prescribed deadlines. The Company estimates risk adjustment revenues based upon the diagnosis data submitted and expected to be submitted to CMS. The Company recognizes such changes when the amounts become determinable and supportable and collectability is reasonably assured. The estimated risk-adjusted payments due to the Company at December 31, 2012 and 2011, were \$15,575 and \$18,907, respectively, and are recorded as premiums and considerations in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company recognized \$614 and (\$496) for changes in prior year Medicare risk factor estimates during the years ended December 31, 2012 and 2011, respectively, which is recorded as net premium income within the statutory basis statements of operations.

The Company also has an arrangement with TennCare whereby periodic changes in member risk factor adjustment scores, for the acuity of enrolled members, result in changes to its Medicaid revenues. These changes could cause the Company to have a net liability or a net receivable based on a comparison of updated risk scores and the premiums already paid in by TennCare. The Company recognizes such changes when the amounts become determinable and supportable. The estimated risk-adjusted payables at December 31, 2011 was \$4,838 which was recorded as risk adjustment factor payable within the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company did not recognize any changes in prior year Medicaid risk factor estimates during the years ended December 31, 2012 and 2011, and does not have a corresponding liability or receivable as of December 31, 2012.

Net premium income also includes amounts paid by TennCare for the membership enrolled under the at risk agreements. Premiums are contractual and are recognized in the coverage period in which members are entitled to receive services. The majority of net premium income recorded is based on capitated rates, which are monthly premiums paid for each member enrolled.

Administrative fee revenues consist primarily of fees derived from services performed for customers that self-insure the health care costs of their employees and employees’ dependents. Under these contracts, the Company recognizes revenue in the period in which the related services are performed. The customers retain the risk of financing health care costs for their employees and employees’ dependents, and the Company administers the payment of customer funds to physicians and other health care professionals from customer-funded bank accounts. As the Company has neither the obligation for funding the health care costs, nor the primary responsibility for providing the medical care, the Company does not recognize premium revenue and hospital/medical benefits for these contracts. Administrative fee revenue and related expenses are netted against general administrative expenses in the statutory basis statements of operations. The amounts included in the statutory basis statements of operations relate only to run-out activity related to the TennCare ASO contract (see Note 18).

Other health care related revenues consist of TennCare incentives which relate to certain performance metrics or other measures met by the Company in 2012 and 2011. Other non-health care related revenues consist of a TennCare reimbursement for implementation of electronic visit verification system in 2011.

The Company reports uncollected premium balances from its insured members as premiums and considerations in the statutory basis statements of admitted assets, liabilities and capital and surplus. Uncollected premium balances that are over 90 days past due, with the exception of amounts due from government insured plans, are considered nonadmitted assets. In addition to those balances, current balances are also considered nonadmitted if the corresponding balance greater than 90 days past due is deemed more than inconsequential.

Reinsurance Ceded — In the normal course of business, the Company seeks to limit its exposure to loss on point-of-service membership in the State of Illinois and to recover a portion of benefits paid by ceding premium to other insurance enterprises or reinsurers under excess coverage contracts or specific transfer of risk agreements. The Company remains primarily liable as the direct insurer on the risks reinsured. Reinsurance premiums paid and reinsurance premiums incurred but not paid are deducted from net premium income in the accompanying statutory basis financial statements. Any amounts due to the Company pursuant to this agreement is recorded as amounts recoverable from reinsurers in the accompanying statutory basis financial statements.

Ceded Reinsurance Premiums Payable — The Company has an excess loss reinsurance agreement on its point of service product with UnitedHealthcare Insurance Company (UHIC), whereby \$0.32 per member per month is ceded to UHIC to cover certain hospital claims in excess of defined limits. The Company also has an insolvency-only agreement with UHIC whereby 0.1% of net premium income is ceded to UHIC. The ceded reinsurance premiums payable balance represents amounts due to the reinsurer for coverage which will be paid based on the contract terms.

Incentive Pool — The Company has agreements with certain independent physicians and physician network organizations that provide for the establishment of a fund into which the Company places monthly premiums payable for members assigned to the physician. The Company manages the disbursement of funds from this account as well as reviews the utilization of nonprimary care medical services of members assigned to the physicians. Any surpluses or deficits in the fund are shared by the Company and the physician based upon predetermined risk-sharing percentage and the liability is included in accrued medical incentive pool and bonus amounts in the statutory basis statements of admitted assets, liabilities, and capital and surplus, and the corresponding expense or reduction to expense is included in incentive pool, withhold adjustments, and bonus amounts in the statutory basis statements of operations.

Medical Risk Share – Medicare Part D — The Company has settlements with CMS based on whether the ultimate per member per month benefit costs of any Medicare Part D program regional plan varies more than 5% above or below the level estimated in the original bid submitted by the Company and approved by CMS in 2012 and 2011. The estimated risk share adjustment of \$9,237 and \$4,723 in 2012 and 2011, respectively, is recorded as a decrease to change in reserve for rate credits in the statutory basis statements of operations and premiums and considerations and included in aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

Reserve for Experience Rated Refunds — A liability is established for estimated premium refunds on experience rated contracts based on actuarial methods and assumptions and minimum loss ratio requirements. The liability also includes the estimated rebate on the commercial health products for which the medical loss ratios on fully insured products, as calculated under the Health Reform Legislation (see Note 14) and implementing regulations, fall below certain targets. The Company is required to rebate the ratable portions of the premiums annually. Estimated accrued retrospective premiums due from the Company are recorded in aggregate health policy reserves on the statutory basis statements of admitted assets, liabilities, and capital and surplus and as a decrease to change in reserve for rate credits in the statutory basis statements of operations.

Health Care Receivables — Health care receivables consist of pharmacy rebate receivables estimated based on the most currently available data from the Company's claims processing systems and from data provided by the Company's unaffiliated pharmaceutical benefit manager and affiliated pharmaceutical benefit manager, OptumRx, Inc. ("OptumRx"). Also included in health care receivables are claim overpayments due from providers, gross of any amounts payable due to the same provider. Health care receivables are considered nonadmitted assets for statutory purposes if they do not meet admissibility requirements. Accordingly, the Company has excluded receivables that do not meet the admissibility criteria from the statutory basis statements of admitted assets, liabilities, and capital and surplus (see Note 28).

Premium Deficiency Reserves — Premium deficiency reserves and the related expenses are recognized when it is probable that expected future health care expenses, claim adjustment expenses, direct administration costs, and an allocation of indirect administration costs under a group of existing contracts will exceed anticipated future premiums and reinsurance recoveries considered over the remaining lives of the contracts and are recorded as aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Indirect administration costs arise from activities that are not specifically identifiable to a specific group of existing contracts and therefore, those costs are fully allocated among the various contract groupings. The allocation of indirect administration costs to each contract grouping is made proportionately to the expected margins remaining in the premiums after future health care expenses, claim adjustment expenses and direct administration costs are considered. The methods for making such estimates and for establishing the resulting reserves are periodically reviewed and updated. The Company anticipates investment income as a factor in the premium deficiency calculation (see Note 30).

FEHBP Receivable – The FEHBP contract includes provisions for funds to be accessible to the Company when expenses are paid. Funds cannot be withdrawn from the account until the expenses have been paid. In order to ensure the availability of cash to the Company, the OPM makes provision for excess funds which is included as a liability in claims unpaid in the statutory basis statements of admitted assets, liabilities, and capital and surplus. When the Company records an amount incurred but not reported for an FEHBP member, the Company records a corresponding receivable due from the excess funds established which is included in FEHBP receivable in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

Vulnerability Due to Certain Concentrations — The Company is subject to substantial federal and state government regulation, including licensing and other requirements relating to the offering of the Company's existing products in new markets and offerings of new products, both of which may restrict the Company's ability to expand its business.

Direct premium income from members and CMS related to Medicare Advantage and the Medicare Part D program as a percentage of direct premium income are 27% and 24% for the years ended December 31, 2012 and 2011, respectively.

Direct premium income from the State of Tennessee, TennCare program, as a percentage of direct premiums written is 63% and 65% for the years ended December 31, 2012 and 2011, respectively.

The Company did not have any Commercial customers that individually exceed 10% of direct premium income or uncollected premiums in 2012 or 2011.

Restricted Cash Reserves — The Company is required to hold minimum regulatory deposits in the amount of \$48,310 and \$46,010 as of December 31, 2012 and 2011, respectively, and is in compliance with the requirements. These restricted cash reserves consist principally of government obligations and are stated at amortized cost, which approximates fair value. These reserves are included in bonds in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus. Interest earned on these deposits accrues to the Company.

Minimum Capital and Surplus — Under the laws of the state of Illinois, the IDOI requires the Company to provide a contingency reserve based on 2% of the net capitation revenue from risk contracts limited to \$1,500. The Company's contingency reserve reached \$1,500 during 1989 and is recorded as aggregate write-ins for other than special surplus funds as a component of capital and surplus in the accompanying statutory basis statements of admitted assets, liabilities and capital and surplus.

Risk-based capital (RBC) is a regulatory tool for measuring the minimum amount of capital appropriate for a managed care organization to support its overall business operations in consideration of its size and risk profile. The Illinois Department of Insurance requires the Company to maintain minimum capital and surplus equal to the greater of the state statute as outlined above or 300% of the authorized control level as calculated by the RBC model. The Company is in compliance with the required amount.

Recently Issued Accounting Standards — In November 2011, the NAIC adopted SSAP No. 101, *Income Taxes — A Replacement of SSAP No. 10R and SSAP No. 10*, effective for 2012 interim and annual financial statements and beyond. The new standard includes revised guidance for tax contingencies, non-elective deferred tax asset admissibility test along with significant modifications to the deferred tax asset admissibility test, and disclosure modifications. A change resulting from the adoption of this revised statement shall be accounted for prospectively. The Company adopted SSAP No. 101 in 2012 and the resulting impact is summarized in Note 2 – *Accounting Changes and Correction of Errors*.

2. ACCOUNTING CHANGES AND CORRECTIONS

No changes in accounting principles and no correction of errors have been recorded during the years ended December 31, 2012 and 2011.

In 2012, the Company implemented Statement of Statutory Accounting Principles (SSAP) No. 101, *Income Taxes – A Replacement of SSAP No. 10R and SSAP No. 10*. The new statement includes revised guidance for tax contingencies, non-elective deferred tax asset admissibility test along with significant modifications to the deferred tax asset admissibility test. A change resulting from the adoption of this revised statement should be accounted for prospectively. As a result of the adoption, the Company recalculated the non-admitted deferred tax asset balance as of December 31, 2011 in accordance with SSAP No. 101. This resulted in an increase to the net deferred tax asset of approximately \$8,246 and a corresponding decrease of approximately \$8,246 to the non-admitted deferred tax asset balance. The cumulative effect of this change in accounting principle was recorded by the Company in accordance with SSAP No. 3, *Accounting Changes and Corrections of Errors* and is reflected as an increase to unassigned funds in the accompanying statutory basis financial statements as of December 31, 2012.

3. BUSINESS COMBINATIONS AND GOODWILL

A. Statutory Purchase Method – Not applicable

B. Statutory Merger

(1–5) Effective June 30, 2011, UHCTN, a Tennessee domiciled HMO, was merged into the Company under a statutory merger. The Company remains as the surviving entity. The transaction was approved by both the IDOI and TDCI. Pursuant to the requirements of SSAP No. 68, *Business Combinations and Goodwill*, the 2011 amounts reflect the balances had the merger occurred January 1, 2011.

C. Assumption Reinsurance — Not applicable

D. Impairment Loss — Not applicable

4. DISCONTINUED OPERATIONS

(1–5) The Company did not discontinue any operations during 2012 and 2011.

5. INVESTMENTS AND OTHER INVESTED ASSETS

For purposes of calculating gross realized gains and losses on sales of investments, the amortized cost of each investment sold is used. The gross realized gains and losses on sales of investments for bonds were \$2,477 and \$0 for 2012 and \$3,371 and \$9 for 2011. The gross realized gains on sales of investments for short-term investments were \$1 and \$0 for 2012 and 2011, respectively. The Company had no gross realized losses on sales of short-term investments in 2012 and 2011, respectively. The net realized gain is included in net realized capital gains less capital gains tax in the accompanying statutory basis statements of operations.

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

As of December 31, 2012 and 2011, the amortized cost, fair value, and gross unrealized holding gains and losses of the Company's investments, excluding cash and cash equivalents of \$10,491 and \$75,529 are as follows:

	2012				Fair Value
	Amortized Cost	Gross Unrealized Holding Gains	Gross Unrealized Holding Losses < 1 year	Gross Unrealized Holding Losses > 1 year	
U.S. government and agency	\$ 276,546	\$ 4,107	\$ (14)	\$ -	\$ 280,639
State and state agency	174,758	10,902	(49)	-	185,611
Municipalities and local agency	90,093	5,243	-	-	95,336
Corporate bonds	200,129	7,951	(101)	-	207,979
Commercial paper and money market funds	131,597	-	-	-	131,597
Total bonds and short-term investments	<u>\$ 873,123</u>	<u>\$ 28,203</u>	<u>\$ (164)</u>	<u>\$ -</u>	<u>\$ 901,162</u>

	2012				Fair Value
	Amortized Cost	Gross Unrealized Holding Gains	Gross Unrealized Holding Losses < 1 year	Gross Unrealized Holding Losses > 1 year	
Less than one year	\$ 351,972	\$ 597	\$ (12)	\$ -	\$ 352,557
One to five years	249,491	10,194	(22)	-	259,663
Five to ten years	168,308	14,169	(115)	-	182,362
Over ten years	103,352	3,243	(15)	-	106,580
Total bonds and short-term investments	<u>\$ 873,123</u>	<u>\$ 28,203</u>	<u>\$ (164)</u>	<u>\$ -</u>	<u>\$ 901,162</u>

	2011				Fair Value
	Amortized Cost	Gross Unrealized Holding Gains	Gross Unrealized Holding Losses < 1 year	Gross Unrealized Holding Losses > 1 year	
U.S. government and agency	\$ 217,358	\$ 3,879	\$ (6)	\$ (36)	\$ 221,195
State and state agency	184,302	12,723	(33)	-	196,992
Municipalities and local agency	87,884	5,729	-	-	93,613
Corporate bonds	165,497	6,123	(249)	-	171,371
Commercial paper and money market funds	167,750	-	-	-	167,750
Total bonds and short-term investments	<u>\$ 822,791</u>	<u>\$ 28,454</u>	<u>\$ (288)</u>	<u>\$ (36)</u>	<u>\$ 850,921</u>

Included in U.S. government and agency securities and corporate bonds in the tables above are mortgage-backed securities, which do not have a single maturity date. For the years to maturity table above, these securities have been presented in the maturity group based on the securities' final maturity date and at an amortized cost of \$96,725 and fair value of \$99,746.

The following table illustrates the fair value and gross unrealized losses, aggregated by investment category and length of time that the individual securities have been in a continuous unrealized loss position as of December 31, 2012 and 2011:

	2012					
	< 1 year		> 1 year		Total	
	Fair Value	Gross Unrealized Holding Losses	Fair Value	Gross Unrealized Holding Losses	Fair Value	Gross Unrealized Holding Losses
U.S. government and agency	\$ 19,851	\$ (14)	\$ -	\$ -	\$ 19,851	\$ (14)
State and state agency	5,013	(49)	-	-	5,013	(49)
Corporate bonds	45,265	(101)	-	-	45,265	(101)
Total bonds and short-term investments	<u>\$ 70,129</u>	<u>\$ (164)</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 70,129</u>	<u>\$ (164)</u>

	2011					
	< 1 year		> 1 year		Total	
	Fair Value	Gross Unrealized Holding Losses	Fair Value	Gross Unrealized Holding Losses	Fair Value	Gross Unrealized Holding Losses
U.S. government and agency	\$ 18,636	\$ (6)	\$ 1	\$ (36)	\$ 18,637	\$ (42)
State and state agency	5,219	(33)	-	-	5,219	(33)
Corporate bonds	43,528	(249)	-	-	43,528	(249)
Total bonds and short-term investments	<u>\$ 67,383</u>	<u>\$ (288)</u>	<u>\$ 1</u>	<u>\$ (36)</u>	<u>\$ 67,384</u>	<u>\$ (324)</u>

The unrealized losses on investments in U.S. government and agency obligations, state and state agency obligations, and corporate bonds at December 31, 2012 and 2011, were mainly caused by interest rate increases and not by unfavorable changes in the credit ratings associated with these securities. The Company evaluates impairment at each reporting period for each of the securities whereby the fair value of the investment is less than its amortized cost. The contractual cash flows of the U.S. government and agency obligations are either guaranteed by the U.S. government or an agency of the U.S. government. It is expected that the securities would not be settled at a price less than the cost of the investment, and the Company does not intend to sell the investment until the unrealized loss is fully recovered. The Company evaluated the credit ratings of the municipalities and local agency obligations and corporate obligations, noting whether a significant deterioration since purchase or other factors which may indicate an other-than-temporary impairment, such as the length of time and extent to which fair value has been less than cost, the financial condition, and near-term prospects of the issuer as well as specific events or circumstances that may influence the operations of the issuer and the Company's intent to sell the investment. Additionally, the Company evaluated its intent and ability to retain mortgage-backed securities for a period of time sufficient to recover the amortized cost. As a result of this review, the Company recorded other-than-temporary impairments of \$0 and \$53 as of December 31, 2012 and 2011, respectively, which is included in net realized capital gains less capital gains tax in the statutory basis statements of operations.

A–C. The Company has no mortgage loans, real estate loans, restructured debt, or reverse mortgages. The Company also has no real estate property occupied by the Company, real estate property held for the production of income, or real estate property held for sale. Total proceeds on the sale of investments for bonds were \$36,905 and \$70,415 and for short-term investments were \$7,371,375 and \$7,299,835 in 2012 and 2011, respectively.

D. Loan-Backed Securities

- (1) Corporate bonds and government obligations include mortgage-backed securities, which are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the amortized cost of mortgage-backed securities are based on a three-month constant prepayment rate history obtained from external data source vendors.
- (2) The Company did not recognize any other-than-temporary impairments on mortgage-backed securities as of December 31, 2012 and 2011.
- (3) The Company did not have mortgage-backed securities with an other-than-temporary impairment to report by CUSIP as of December 31, 2012 or 2011.
- (4) The following table illustrates the fair value, gross unrealized losses, and length of time that the mortgage-backed securities have been in a continuous unrealized loss position at December 31, 2012:

	<u>2012</u>
The aggregate amount of unrealized losses:	
1. Less than 12 months	\$ (11)
2. 12 Months or longer	-
The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 months	9,767
2. 12 Months or longer	-
	<u>2011</u>
The aggregate amount of unrealized losses:	
1. Less than 12 months	\$ (2)
2. 12 Months or longer	(36)
The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 months	1,588
2. 12 Months or longer	1

E. Repurchase Agreements and/or Securities Lending Transactions — Not applicable.

F. Real Estate — Not applicable.

G. Low-Income Housing Tax Credits

- (1–5) Low income housing investments of \$8,949 in 2012 are included in other invested assets in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The number of remaining years of unexpired tax credits is approximately 10 and the required holding period for the low income housing investments is 15 years. The low income housing investments are not currently subject to any regulatory reviews. The Company did not recognize any impairment losses, write-downs, or reclassifications during 2012. The Company did not have any low income housing investments in 2011.

6. JOINT VENTURES, PARTNERSHIPS, AND LIMITED LIABILITY COMPANIES

- A–B. The Company has no investments in joint ventures, partnerships, or limited liability companies that exceed 10% of admitted assets and did not recognize any impairment write down for its investments in joint ventures, partnerships and limited liability companies during the statement periods.

7. INVESTMENT INCOME

- A. The Company has admitted all investment income due and accrued in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus.

The components of net investment income earned at December 31, 2012 and 2011 are as follows:

	2012	2011
Bonds	\$ 16,322	\$ 16,486
Cash, cash equivalents, and short-term investments	692	519
Other invested assets	(131)	-
Total investment income earned	16,883	17,005
Expenses — investment management fees	(431)	(372)
Net investment income earned	<u>\$ 16,452</u>	<u>\$ 16,633</u>

- B. There were no investment income amounts excluded from the statutory basis financial statements.

8. DERIVATIVE INSTRUMENTS

- A–F. The Company has no derivative instruments.

9. INCOME TAXES

A. Deferred Tax Asset/Liability

- (1) The components of the net deferred tax asset for the years ended December 31, are as follows:

	(2012 information is computed under SSAP No. 101. 2011 information was computed under SSAP No. 10R)								
	2012			2011			Change		
	Ordinary	Capital	Total	Ordinary	Capital	Total	Ordinary	Capital	Total
(a) Gross deferred tax assets	\$43,769	\$ -	\$ 43,769	\$42,090	\$ -	\$42,090	\$ 1,679	\$ -	\$ 1,679
(b) Statutory valuation allowance adjustments	-	-	-	-	-	-	-	-	-
(c) Adjusted gross deferred tax assets (1a-1b)	43,769	-	43,769	42,090	-	42,090	1,679	-	1,679
(d) Deferred tax assets nonadmitted	21,122	-	21,122	33,519	-	33,519	(12,397)	-	(12,397)
(e) Subtotal net admitted deferred tax asset (1c-1d)	22,647	-	22,647	8,571	-	8,571	14,076	-	14,076
(f) Deferred tax liabilities	69	45	114	73	12	85	(4)	33	29
(g) Net admitted deferred tax asset/ (net deferred tax liability)	<u>\$22,578</u>	<u>\$ (45)</u>	<u>\$ 22,533</u>	<u>\$ 8,498</u>	<u>\$ (12)</u>	<u>\$ 8,486</u>	<u>\$ 14,080</u>	<u>\$ (33)</u>	<u>\$ 14,047</u>

- (2) The components of the adjusted gross deferred tax assets admissibility calculation under SSAP No. 101,
- Income Taxes — A Replacement of SSAP No. 10R and SSAP No. 10*
- , are as follows:

Admission Calculation Components SSAP No. 101	(2012 information is computed under SSAP No. 101. 2011 information was computed under SSAP No. 10R.)								
	2012			2011			Change		
	Ordinary	Capital	Total	Ordinary	Capital	Total	Ordinary	Capital	Total
(a) Federal income taxes paid in prior years recoverable through loss carrybacks	\$ 18,410	\$ -	\$ 18,410	\$ 8,486	\$ -	\$ 8,486	\$ 9,924	\$ -	\$ 9,924
(b) Adjusted gross deferred tax assets expected to be realized (excluding the amount of deferred tax assets from 2(a) above) after application of the threshold limitation. (The lesser of 2(b)1 and 2(b)2 below)	4,123	-	4,123	-	-	-	4,123	-	4,123
1. Adjusted gross deferred tax assets expected to be realized following the balance sheet date	4,123	-	4,123	-	-	-	4,123	-	4,123
2. Adjusted gross deferred tax assets allowed per limitation threshold	XXX	XXX	63,008	XXX	XXX	35,709	XXX	XXX	27,29
(c) Adjusted gross deferred tax assets (excluding the amount of deferred tax assets from 2(a) and 2(b) above) offset by gross deferred tax liabilities	114	-	114	85	-	85	29	-	2
(d) Deferred tax assets admitted as the result of application of SSAP No. 101 and SSAP No. 10R, respectively for 2012 and 2011									
Total 2(a)+2(b)+2(c))	<u>\$ 22,647</u>	<u>\$ -</u>	<u>\$ 22,647</u>	<u>\$ 8,571</u>	<u>\$ -</u>	<u>\$ 8,571</u>	<u>\$ 14,076</u>	<u>\$ -</u>	<u>\$ 14,076</u>

SSAP No. 101, *Income Taxes – A Replacement of SSAP No. 10R and SSAP No. 10*, is effective for 2012 interim and annual financial statements and beyond. The new standard includes revised guidance for tax contingencies, a non-elective deferred tax asset admissibility test along with significant modifications to the deferred tax assets admissibility test, and disclosure modifications. A change resulting from this adoption would be accounted for prospectively and reflected as a change in accounting principle in accordance with SSAP No. 3 — *Accounting Changes and Corrections of Errors*. The impact of the adoption of this pronouncement is disclosed in Note 2 — *Accounting Changes and Corrections of Errors*.

- (3) The ratio percentage and adjusted capital and surplus used to determine the recovery period and threshold limitations for the admission calculation are presented below:

	2012	2011
(a) Ratio percentage used to determine recovery period and threshold limitation amount	336 %	N/A
(b) Amount of adjusted capital and surplus used to determine recovery period and threshold limitation in 2 (b) (2) above	\$ 418,689	N/A

- (4) There was no impact to the deferred tax assets as a result of tax-planning strategies.

B. Unrecognized Deferred Tax Liabilities

- (1–4) There are no unrecognized deferred tax liabilities.

C. Significant Components of Income Taxes

- (1) The current federal income taxes incurred for the years ended December 31, are as follows:

	2012	2011	Change
1. Current income tax			
(a) Federal	\$ 81,324	\$ 84,691	\$ (3,367)
(b) Foreign	-	-	-
(c) Subtotal	81,324	84,691	(3,367)
(d) Federal income tax on net capital gains	868	1,178	(310)
(e) Utilization of capital loss carry-forwards	-	-	-
(f) Other	-	-	-
(g) Total federal and foreign income taxes incurred	82,192	85,869	(3,677)

(2-4) The tax effects of temporary differences that give rise to significant portions of the deferred tax assets and liabilities at December 31, 2012 and 2011, are as follows:

(2012 information is computed under SSAP No. 101.
2011 information was computed under SSAP No. 10R.)

	2012	2011	Change
2. Deferred tax assets:			
(a) Ordinary			
(1) Discounting of unpaid losses	\$ 1,966	\$2,380	\$ (414)
(2) Unearned premium reserve	2,094	873	1,221
(3) Policyholder reserves	-	-	-
(4) Investments	-	-	-
(5) Deferred acquisition costs	-	-	-
(6) Policyholder dividends accrual	-	-	-
(7) Fixed assets	-	-	-
(8) Compensation and benefits accrual	-	-	-
(9) Pension accrual	-	-	-
(10) Receivables - nonadmitted	6,099	654	5,445
(11) Net operating loss carry-forward	-	-	-
(12) Tax credit carry-forward	-	-	-
(13) Other (including items <5% of total ordinary tax assets)	<u>33,610</u>	<u>38,183</u>	<u>(4,573)</u>
(99) Subtotal	43,769	42,090	1,679
(b) Statutory valuation allowance adjustment	-	-	-
(c) Nonadmitted	<u>21,122</u>	<u>33,519</u>	<u>(12,397)</u>
(d) Admitted ordinary deferred tax assets (2a99 - 2b - 2c)	<u>22,647</u>	<u>8,571</u>	<u>14,076</u>
(e) Capital			
(1) Investments	-	-	-
(2) Net capital loss carry-forward	-	-	-
(3) Real estate	-	-	-
(4) Other (including items <5% of total capital tax assets)	<u>-</u>	<u>-</u>	<u>-</u>
(99) Subtotal	-	-	-
(f) Statutory valuation allowance adjustment	-	-	-
(g) Nonadmitted	<u>-</u>	<u>-</u>	<u>-</u>
(h) Admitted capital deferred tax assets (2e99 - 2f - 2g)	<u>-</u>	<u>-</u>	<u>-</u>
(i) Admitted deferred tax assets (2d + 2h)	<u>22,647</u>	<u>8,571</u>	<u>14,076</u>
3. Deferred tax liabilities:			
(a) Ordinary			
(1) Investments	69	73	(4)
(2) Fixed assets	-	-	-
(3) Deferred and uncollected premium	-	-	-
(4) Policyholder reserves	-	-	-
(5) Other (including items <5% of total ordinary tax liabilities)	<u>-</u>	<u>-</u>	<u>-</u>
(99) Subtotal	<u>69</u>	<u>73</u>	<u>(4)</u>
(b) Capital			
(1) Investments	45	12	33
(2) Real estate	-	-	-
(3) Other (including items <5% of total capital tax liabilities)	<u>-</u>	<u>-</u>	<u>-</u>
(99) Subtotal	<u>45</u>	<u>12</u>	<u>33</u>
(c) Deferred tax liabilities (3a99 + 3b99)	<u>114</u>	<u>85</u>	<u>29</u>
4. Net deferred tax assets/liabilities (2i - 3c)	<u>\$22,533</u>	<u>\$8,486</u>	<u>\$14,047</u>

The other ordinary deferred tax asset of \$33,610 for 2012 consists of general expenses due or accrued of \$6 and intangibles of \$33,604. The other ordinary deferred tax asset of \$38,183 for 2011 consists of general expenses due or accrued of \$205, bad debt of \$251, and intangibles of \$37,727.

The Company assessed the potential realization of the gross deferred tax asset and as a result no statutory valuation allowance was required and no allowance was established as of December 31, 2012 and 2011.

- D.** The provision for federal income taxes incurred is different from that which would be obtained by applying the statutory federal income tax rate of 35% to net income before federal income taxes plus capital gains tax. The significant items causing this difference are as follows:

	2012	2011
Tax provision at the federal statutory rate	\$ 88,251	\$ 104,219
Tax-exempt interest	(2,301)	(2,377)
Other	35	-
Tax effect of nonadmitted assets	<u>(5,443)</u>	<u>464</u>
Total	<u>\$ 80,542</u>	<u>\$ 102,306</u>
Federal income taxes incurred	\$ 81,324	\$ 84,691
Capital gains tax	868	1,178
Change in net deferred income tax	<u>(1,650)</u>	<u>16,437</u>
Total statutory income taxes	<u>\$ 80,542</u>	<u>\$ 102,306</u>

- E.** At December 31, 2012, the Company had no net operating loss carryforwards.

Current federal income taxes payable of \$701 and \$30,725 as of December 31, 2012 and 2011, respectively, are included in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus. Federal income taxes paid, net of refunds was \$112,216 and \$69,986 in 2012 and 2011, respectively.

Federal income taxes incurred of \$82,190 and \$85,873 for 2012 and 2011, respectively, is available for recoupment in the event of future net losses.

The Company has not admitted any aggregate amounts of deposits that are included within Section 6603 (“Deposits made to suspend running of interest on potential underpayments, etc.”) of the Internal Revenue Service Code.

- F.** The Company is included in a consolidated federal income tax return with its ultimate parent, UnitedHealth Group. The entities included within the consolidated return are included in NAIC Statutory Statement Schedule Y — Information Concerning Activities of Insurer Members Of A Holding Company Group. Federal income taxes are paid to or refunded by UnitedHealth Group pursuant to the terms of a tax-sharing agreement, approved by the Board of Directors, under which taxes approximate the amount that would have been computed on a separate company basis, with the exception of net operating losses and capital losses. For these losses, the Company receives a benefit at the federal rate in the current year for current taxable losses incurred in that year to the extent losses can be utilized in the consolidated federal income tax return of UnitedHealth Group. UnitedHealth Group currently files income tax returns in the U.S. federal jurisdiction, various states, and foreign jurisdictions. The U.S. Internal Revenue Service (IRS) has completed exams on UnitedHealth Group’s consolidated income tax returns for fiscal years 2011 and prior. UnitedHealth Group’s 2012 tax return is under advance review by the IRS under its Compliance Assurance Program. With the exception of a few states, UnitedHealth Group is no longer subject to income tax examinations prior to 2007 in major state and foreign jurisdictions. The Company does not believe any adjustments that may result from these examinations will be material to the Company.

- G. Tax Contingencies** — Not applicable

10. INFORMATION CONCERNING PARENT, SUBSIDIARIES, AND AFFILIATES**A–L. Material Related Party Transactions**

Pursuant to the terms of a management agreement, UHS-RV will provide management services to the Company, until terminated upon the written agreement of both parties, for a fee based on a percentage of net premium income and change in reserve for rate credits. Management fees under this arrangement totaled \$323,583 and \$318,204 in 2012 and 2011, respectively, and are included in general administrative expenses and claims adjustment expenses in the accompanying statutory basis statements of operations. In addition, UHS-RV pays certain direct expenses, such as broker commissions, DOI exam fees, and premium taxes on behalf of the Company. UHS-RV is reimbursed for these expenses by the Company.

Management believes that its transactions with affiliates are fair and reasonable; however, operations of the Company may not be indicative of those that would have occurred if it had operated as an independent company.

The Company expensed as hospital and medical expenses, general administrative expenses, and claims adjustment expenses \$38,227 and \$37,597 in capitation fees to related parties during 2012 and 2011, respectively. UHS' subsidiaries and divisions provide various services to enrollees of the Company during the year. OptumHealth, a division of UHS provides services including, but are not limited to, integrated personal health management solutions, such as disease management, treatment decision support and wellness services, including a 24-hour call-in service, as well as, access to a network of transplant providers. Health Allies, Inc. provides discount program services. OptumHealth Care Solutions, Inc. provides chiropractic and physical therapy services. Spectera, Inc. provides administrative services related to vision benefit management and claims processing. Dental Benefit Providers, Inc. provides dental care assistance. United Behavioral Health, Inc. provides mental health and substance abuse services. Collaborative Care Holdings LLC. owns, operates and provides services to health care delivery systems.

The capitation expenses, administrative services, and access fees paid to related parties, that are included as hospital and medical expenses, general administrative expenses, and claims adjustment expenses in the accompanying statutory basis statements of operations for the years ended December 31, 2012 and 2011, are shown below:

	2012	2011
OptumHealth, a division of UHS	\$ 6,742	\$ 6,439
United Behavioral Health	27,170	26,346
OptumHealth Care Solutions, Inc.	1,093	1,581
Dental Benefit Providers, Inc.	1,754	1,111
Spectera, Inc.	1,433	2,082
HealthAllies, Inc.	35	38
Total	<u>\$ 38,227</u>	<u>\$ 37,597</u>

The Company contracts with affiliates (UHS and OptumRx) to provide administrative services related to pharmacy management and pharmacy claims processing for its enrollees. Fees related to these agreements, which are calculated on a per-claim basis, of \$5,683 and \$5,538 in 2012 and 2011, respectively, are included in general administrative expenses and claims adjustment expenses in the accompanying statutory basis statements of operations.

The Company contracts with OptumRx, Inc. to provide personal health products catalogues showing the healthcare products and benefit credits needed to redeem the respective products. OptumRx, Inc. will mail the appropriate personal health products catalogues to the Company's members and manage the personal health products credit balance. OptumRx, Inc. also distributes personal health products to individual members based upon the terms of the agreement. Fees related to this agreement in 2012 and 2011, which are calculated on a per member per month basis of \$8,088 and \$6,137 are included in hospital and medical expenses in the accompanying statutory basis statements of operations.

The Company has agreements with OptumInsight, Inc., a wholly owned subsidiary of UnitedHealth Group, for services that lead up to and include the prevention and recovery of medical expense (*benefit*) overpayments. Service fees are either percentages of every recovery which are retained by OptumInsight, Inc. based on the services performed and recoveries, net of fees, are returned to the Company on a monthly basis and/or, all recoveries are returned to the Company by OptumInsight, Inc. on a monthly basis and a capitated service fee is charged to the Company as a PMPM. Service fees of \$7,646 and \$9,788 are included in hospital and medical expenses, claims

adjustment expenses and general administrative expenses in the accompanying statutory basis statements of operations for the years ended December 31, 2012 and 2011, respectively.

The Company has premium payments that are received and claim payments that are processed by an affiliated UnitedHealth Group entity. Both premiums and claims applicable to the Company are settled at regular intervals throughout the month via the intercompany settlement process and any amounts outstanding are reflected in amounts due to parent, subsidiaries, and affiliates in the accompanying statutory basis statements of admitted assets, liabilities and capital and surplus.

The Company has an insolvency-only reinsurance agreement with United Healthcare Insurance Company (UHIC) a wholly owned subsidiary of UHIC Holdings, Inc. which is a wholly owned subsidiary of UHS, to provide insolvency protection for its enrollees. Reinsurance premiums, which are calculated on a percentage of member premium income, of \$4,031 and \$3,930 in 2012 and 2011, respectively, are netted against net premium income in the accompanying statutory basis statements of operations.

The Company also has a reinsurance agreement on its point-of-service product with UHIC to cover certain inpatient hospital claims in excess of defined limits. Reinsurance premiums, which are calculated on a per member per month basis, of \$14 and \$17 in 2012 and 2011, respectively, are netted against net premium income in the accompanying statutory basis statements of operations. The Company did not receive any reinsurance recoveries and does not have any reinsurance receivables recorded as of December 31, 2012 and 2011. This agreement also has an insolvency provision which allows continued coverage to the enrollee through the date to which premiums were paid. Reinsurance contracts do not relieve the Company from its obligations to policyholders. Failure of reinsurers to honor their obligations could result in losses to the Company.

Effective July 1, 2012, the Company holds a \$200,000 subordinated revolving credit agreement with UnitedHealth Group at an interest rate of LIBOR plus a margin of 0.50%. This credit agreement is subordinate to the extent it does not conflict with any credit facility held by either party. This agreement shall be effective as of the effective date and shall continue until terminated pursuant to the terms of this Agreement which requires either party to give a 60 day written notice to the other party. No amounts were outstanding under the line of credit as of December 31, 2012.

At December 31, 2012 and 2011, the Company reported \$69,163 and \$42,511 as amounts due to parent, subsidiaries, and affiliates, which are included in the statutory basis statements of admitted assets, liabilities, and capital and surplus. These balances are generally settled within 90 days from the incurred date. Any balances due to the Company that are not settled within 90 days are considered nonadmitted assets.

In addition to the agreements above, UHS maintains a private short-term money market investment pool in which affiliated companies may participate (see Note 1). At December 31, 2012 and 2011, the Company's portion was \$21,096 and \$20,116 and is included in cash, cash equivalents, and short-term investments in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus.

The Company has entered into a Tax Sharing Agreement with UnitedHealth Group (see Note 9).

The Company paid dividends of \$180,000 and \$108,000 in 2012 and 2011, respectively, to its parent (see Note 13).

The Company does not have any amount deducted from the value of an upstream intermediate entity or ultimate parent owned, either directly or indirectly, via a downstream subsidiary, controlled, or affiliated entity.

The Company does not have any investments in a subsidiary, controlled, or affiliated entity that exceeds 10% of admitted assets.

The Company does not have any investments in impaired subsidiary, controlled, or affiliated entities.

The Company does not have any investments in foreign insurance subsidiaries.

The Company does not hold any investments in a downstream noninsurance holding company.

The Company has not extended any guarantees or undertakings for the benefit of an affiliate or related party, it does not have any investments in a foreign insurance subsidiary and it does not hold any investments in a downstream noninsurance holding company.

11. DEBT

A–B. The Company had no outstanding debt with third parties or outstanding federal home loan bank agreements during 2012 and 2011.

12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES, AND OTHER POSTRETIREMENT BENEFIT PLANS

A–F. The Company has no defined benefit plans, defined contribution plans, multiemployer plans, consolidated/holding company plans, postemployment benefits and compensated absences plans, and is not impacted by the Medicare Modernization Act on postretirement benefits, since all personnel are employees of UHS-RV, which provides services to the Company under the terms of a management agreement (see Note 10).

13. CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS, AND QUASI-REORGANIZATIONS

(1–2) The Company has 1,000 shares authorized and 10 shares issued and outstanding of no par value common stock. The Company has no preferred stock outstanding. All issued and outstanding shares of common stock are held by the Company's parent, UHS-RV.

As a result of the statutory merger, 2,000 authorized shares of \$50 par value common stock in UHCTN were cancelled on June 30, 2011. The result was a contribution of \$100 to gross paid in and contributed surplus at June 30, 2011 on the surviving company (see Note 3).

(3) Payment of dividends may be restricted by the IDOI and TDCI, which generally require that dividends be paid out of accumulated surplus.

(4) The Company paid an ordinary cash dividends to UHS-RV of \$20,000, \$60,000, \$60,000, and \$40,000 on March 29, June 8, September 12, and December 21, 2012, respectively, which required no approval and was recorded as a reduction to unassigned surplus in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus. The ordinary dividends complied with the provisions set forth in the statutes of Illinois and Tennessee.

(5) The amount of ordinary dividends that may be paid out during any given period are subject to certain restrictions as specified by state statute.

(6) There are no restrictions placed on the Company's unassigned surplus.

(7) Not applicable as the Company is not a mutual reciprocal or a similarly organized entity.

(8) The Company does not hold any stock, including stock of affiliated companies for special purposes, such as conversion of preferred stock, employee stock options or stock purchase warrants.

(9) The Company does not have any special surplus funds.

(10) The portion of unassigned funds represented or (reduced by) each item below is as follows:

	2012	2011
Net deferred income taxes	\$ 43,655	\$ 42,005
Nonadmitted assets	(38,547)	(35,389)
Change in accounting principles	<u>8,246</u>	<u>-</u>
Total	<u>\$ 13,354</u>	<u>\$ 6,616</u>

(11–13) The Company does not have any outstanding surplus notes and has never been a party to a quasi-reorganization.

14. CONTINGENCIES

A. Contingent Commitments

The Company has no contingent commitments.

B. Assessments

The Company is not aware of any assessments, potential or accrued, that could have a material financial effect on the operations of the entity.

C. Gain Contingencies

The Company is not aware of any gain contingencies that should be disclosed in the statutory basis financial statements.

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits — Not applicable

E. All Other Contingences

Because of the nature of the business, the Company is routinely made party to a variety of legal actions related to the design and management of its service offerings. The Company records liabilities for estimates of probable costs resulting from these matters where appropriate. These matters include, but are not limited to, claims relating to health care benefits coverage, medical malpractice actions, contract disputes, and claims related to certain other business practices. Although the outcomes of any such legal actions cannot be predicted, in the opinion of management, the resolution of any currently pending or threatened actions will not have a material adverse effect on the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus or statutory basis statements of operations of the Company.

The Company's business is regulated at the federal, state and local levels. The laws and rules governing the Company's business and interpretations of those laws and rules are subject to frequent change. Broad latitude is given to the agencies administering those regulations. Further, the Company must obtain and maintain regulatory approvals to market and sell many of its products.

The Company has been and is currently involved in various governmental investigations, audits and reviews. These include routine, regular and special investigations, audits and reviews by CMS, state insurance and health and welfare departments, state attorneys general, the Office of Inspector General, the Office of Personnel Management, the Office of Civil Rights, U.S. Congressional committees, the U.S. Department of Justice, U.S. Attorneys, the SEC, the IRS, the U.S. Department of Labor, the Federal Deposit Insurance Corporation and other governmental authorities. Examples of audits include the risk adjustment data validation (RADV) audits discussed below and a review by the U.S. Department of Labor of the Company's administration of applicable customer employee benefit plans with respect to ERISA compliance.

Government actions can result in assessment of damages, civil or criminal fines or penalties, or other sanctions, including loss of licensure or exclusion from participation in government programs and could have a material adverse impact on the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus or statutory basis statements of operations of the Company.

Risk Adjustment Data Validation Audit. CMS adjusts capitation payments to Medicare Advantage plans and Medicare Part D plans according to the predicted health status of each beneficiary as supported by data from health care providers as well as, for Medicare Part D plans only, based on comparing costs predicted in the Company's annual bids to actual prescription drug costs. The Company collects claim and encounter data from providers, who the Company generally relies on to appropriately code their claim submissions and document their medical records. CMS then determines the risk score and payment amount for each enrolled member based on the health care data submitted and member demographic information.

In February 2012, CMS announced a final RADV audit and payment adjustment methodology and that it will conduct RADV audits beginning with the 2011 payment year. These audits involve a review of medical records maintained by care providers and may result in retrospective adjustments to payments made to health plans. CMS has not communicated how the final payment adjustment under its methodology will be implemented. Any potential payment adjustments could have a material adverse effect on the Company's statutory basis financial statements.

During the first quarter of 2010, the Patient Protection and Affordable Care Act and a reconciliation measure, the Health Care and Education Reconciliation Act of 2010 (collectively known as Health Reform Legislation), were signed into law, and after being challenged, were substantially upheld in a U.S. Supreme Court decision in the second quarter of 2012. The Health Reform Legislation

expands access to coverage and modifies aspects of the commercial insurance market, as well as the Medicaid and Medicare programs, Children's Health Insurance Program, and other aspects of the health care system. Certain provisions of the Health Reform Legislation have already taken effect, and other provisions become effective at various dates over the next several years. The Department of Health and Human Services, the Department of Labor and the Treasury Department have issued regulations or proposed regulations on a number of aspects of Health Reform Legislation, but final rules and interim guidance on the other key aspects of the legislation remain pending.

The Health Reform Legislation and the related federal and state regulations will impact how the Company does business and could restrict revenue and enrollment growth in certain products and market segments, restrict premium growth rates for certain products and market segments, increase the Company's medical and administrative costs, expose the Company to an increased risk of liability (including increasing our liability in federal and state courts for coverage determinations and contract interpretation) or put the Company at risk for loss of business. In addition, the Company's results of operations, financial condition, and cash flows could be materially adversely affected by such changes. The Health Reform Legislation may create new or expand existing opportunities for business growth, but due to its complexity, the impact of the Health Reform Legislation remains difficult to predict and is not yet fully known.

There are no other assets that the Company considers to be impaired at December 31, 2012 and 2011, except as disclosed in Note 5 and Note 20.

15. LEASES

A–B. According to the management agreement between the Company and UHS-RV (see Note 10), operating leases for the rental of office facilities and equipment are the responsibility of UHS-RV. Fees associated with the lease agreements are included as a component of the Company's management fee.

16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE-SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

(1–4) The Company does not hold any financial instruments with off-balance-sheet risk or concentrations of credit risk.

17. SALE, TRANSFER, AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

A–C. The Company did not participate in any transfer of receivables, financial assets, or wash sales.

18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS

A. ASO Plans

Prior to January 1, 2009, the Company had an ASO contract in eastern Tennessee for the TennCare product, for which the State of Tennessee retains all health care service risk, while the Company assumes administrative risk. The Company recorded administrative fee revenues of \$0 and \$134 in 2012 and 2011, respectively, related to retroactive premiums for those ASO contracts. These amounts are included as a reduction to general administrative expenses in the accompanying statutory basis statements of operations. In addition, the Company has recorded receivables of \$32 and \$35 in amounts receivable related to uninsured plans and payables of \$87 and \$61 included in liability for amounts held under uninsured plans in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus at December 31, 2012 and 2011 related to those ASO contracts.

The net gain from operations of the uninsured portion of ASO uninsured plans and the uninsured portion of partially insured plans were as follows:

	2011		
	ASO Uninsured Plans	Uninsured Portions of Partially Insured Plans	Total ASO
a. Net reimbursement for administrative expenses (including administrative fees) in excess of actual expenses	\$ 134	\$ -	\$ 134
b. Total net other income or expenses (including interest paid to or received from plans)	-	-	-
c. Net gain or (loss) from operations	-	-	-
d. Total claim payment volume	-	-	-

The Company did not report any gains from operations of the uninsured portion of ASO plans and the uninsured portion of partially insured plans in 2012.

B. The Company has no operations from Administrative Services Contracts (ASC).

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

The Medicare Part D program is a partially insured plan. The Company recorded a receivable of \$15,408 at December 31, 2012 and a payable of \$505 at December 31, 2011 for cost reimbursements under the Medicare Part D program for the catastrophic reinsurance and low income member cost sharing subsidies as described in Note 1, *Amounts Receivable Relating to Uninsured Plans and Liability for Amounts Held Under Uninsured Plans*. The Company also recorded a receivable of \$1,871 and \$3,039 and a payable of \$7,732 and \$5,210 at December 31, 2012 and 2011, respectively, for the Medicare Part D Coverage Gap Discount Program as described in Note 1, *Amounts Receivable Relating to Uninsured Plans and Liability for Amounts Held Under Uninsured Plans*.

The Company has also recorded \$72 in liability for amounts held under uninsured plans in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus related to certain OptumRx reserves as of December 31, 2011.

19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD-PARTY ADMINISTRATORS

The Company did not have any direct premiums written or produced by managing general agents or third-party administrators.

20. FAIR VALUE MEASUREMENT

The NAIC SAP defines fair value, establishes a framework for measuring fair value, and outlines the disclosure requirements related to fair value measurements. The fair value hierarchy is as follows:

Level 1 — Quoted (unadjusted) prices for identical assets in active markets.

Level 2 — Other observable inputs, either directly or indirectly, including:

- Quoted prices for similar assets in active markets
- Quoted prices for identical or similar assets in nonactive markets (few transactions, limited information, noncurrent prices, high variability over time, etc.)
- Inputs other than quoted prices that are observable for the asset (interest rates, yield curves, volatilities, default rates, etc.)
- Inputs that are derived principally from or corroborated by other observable market data

Level 3 — Unobservable inputs that cannot be corroborated by observable market data.

The estimated fair values of bonds and short-term investments are based on quoted market prices, where available. The Company obtains one price for each security primarily from a third-party

pricing service (pricing service), which generally uses quoted or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, non-binding broker quotes, benchmark yields, credit spreads, default rates and prepayment speeds. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to prices reported by its custodian, its investment consultant and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. Based on the Company's internal price verification procedures and review of fair value methodology documentation provided by independent pricing services, the Company has not historically adjusted the prices obtained from the pricing service.

In instances in which the inputs used to measure fair value fall into different levels of the fair value hierarchy, the fair value measurement has been determined based on the lowest level input that is significant to the fair value measurement in its entirety. The Company's assessment of the significance of a particular item to the fair value measurement in its entirety requires judgment, including the consideration of inputs specific to the asset or liability.

A. Fair Value

The Company does not have any financial assets that are measured and reported at fair value on the statutory basis statements of admitted assets, liabilities, and capital and surplus at December 31, 2012 and 2011.

The Company does not have any financial assets with a fair value hierarchy of level 3.

B. Fair Value Combination — Not applicable

C. The aggregate fair value by hierarchy of all financial instruments as of December 31, 2012 and 2011 is presented in the table below:

Types of Financial Investment	2012				
	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)
Bonds	\$ 901,162	\$ 873,123	\$ 267,277	\$ 633,885	\$ -
Other invested assets	8,949	8,949	-	-	8,949

Types of Financial Investment	2011				
	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)
Bonds	\$ 843,822	\$ 815,692	\$ 264,264	\$ 579,558	\$ -

D. Not Practicable to Estimate Fair Value — Not applicable

21. OTHER ITEMS

The Company recorded receivables related to retroactive policies and estimated withholds of \$42,004 and \$6,923 as of December 31, 2011 for TennCare Medicaid and CHOICES, respectively. As of December 31, 2012, the Company has collected \$56,650 and \$13,144 in TennCare Medicaid and CHOICES retroactive premiums and estimated withholds related to prior year, respectively. Currently, there is \$8 and \$0 in retroactive receivables recorded for the prior year for TennCare Medicaid and CHOICES, respectively. The amounts above exclude activity related to the rate change accrual in 2011.

The Company continues to refine the accrual related to potential revenue take backs for members without services on the CHOICES product. The impact of further refining the estimates due to updated information and additional history with the CHOICES product could result in a reduction to the amounts previously reported as net premium income. However, the Company is unable to accurately estimate the financial impact of the change at this time and any change would be reflected in operating results in the period in which the change in the estimate is identified.

The Company's business is regulated at federal, state and local levels, and the Company must obtain and maintain regulatory approvals to market and sell many of its products. The laws and rules governing the Company's business and interpretations of those laws and rules are subject to frequent change. Broad latitude is given to the agencies administering those regulations. State legislatures and Congress continue to focus on health care issues.

- A. The Company did not encounter any extraordinary items for the years ended December 31, 2012 or 2011.
- B. The Company has no troubled debt restructurings as of December 31, 2012 or 2011.
- C. The Company does not have any amounts not recorded in the statutory basis financial statements that represent segregated funds held for others. The Company also does not have any exposures related to forward commitments.
- D. The Company routinely evaluates the collectability of all receivable amounts included within the statutory basis statements of admitted assets, liabilities, and capital and surplus. Impairment reserves are established for those amounts where collectability is uncertain. Based on the Company's past experience, exposure related to uncollectible balances and the potential of loss for those balances not currently reserved for is not material to the Company's financial condition.
- E. The Company has not received any business interruption insurance recoveries during 2012 and 2011.
- F. The Company has no transferrable or non-transferable state tax credits.
- G. **(1) Sub-Prime Mortgage Related Risk Exposure** — The investment policy for the Company limits investments in asset-backed securities, which includes the sub-prime issuers. Further, the policy limits investments in private-issuer mortgage securities to 10% of the portfolio, which also includes sub-prime issuers. The exposure to unrealized losses on sub-prime issuers is due to changes in market prices. There are no realized losses due to not receiving anticipated cash flows. The investments covered are rated NAIC rating of 1 or 2. The Company has no direct exposure through investments in sub-prime mortgage loans. The Company has no underwriting exposure to sub-prime mortgage risk through mortgage guaranty or financial guaranty insurance coverage.
- H. The Company does not have any retained asset accounts for beneficiaries.

22. EVENTS SUBSEQUENT

TYPE I – Recognized Subsequent events:

Subsequent events have been evaluated through 02/28/2013, which is the date these statutory basis financial statements were available for issuance.

Effective January 1, 2013, the Company entered into a management agreement with UHS. This agreement has been approved by the IDOI and TDCI and will replace the previous agreement with UHS-RV. UHS will provide similar services to the Company under a revised fee structure that is changing from a percent of premium to a direct charge based on UHS' expenses for services or use of assets provided to the Company. The Company anticipates the impact of this change to be a significant reduction to the amounts previously reported as general administrative expenses and claims adjustment expenses. However, the Company is unable to accurately estimate the financial impact of this change at this time.

Effective January 29, 2013, the State of Tennessee Bureau of TennCare (TennCare) reduced Medicaid capitation rates for East, Middle, and West Central Regions retrospective to July 1, 2012. As a result of the rate adjustment, the Company recorded an adjustment of \$18,311 to premium revenue for the July 1 to December 31, 2012 period, which resulted in a pre-tax net income increase of \$15,821 on the statutory basis statements of operations. The Company anticipates it will receive prospective payments beginning March 2013.

TYPE II – Non-Recognized Subsequent events:

Subsequent events have been evaluated through 02/28/2013, which is the date these statutory basis financial statements were available for issuance.

The Company has entered into a pharmaceutical benefits management agreement with an affiliated entity, OptumRx, Inc., with services to commence effective January 1, 2013. The agreement has been approved by the IDOI and will replace the previous agreement with Medco Health Solutions, Inc. The Company does not anticipate this change will have a significant impact on the statutory basis financial statements.

23. REINSURANCE

The Company does not have any unaffiliated reinsurance agreements (external reinsurance) in place as of December 31, 2012 or 2011.

A. Ceded Reinsurance Report

Section 1 — General Interrogatories

- (1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company?

Yes () No (X)

- (2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. branches of such companies) that is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor, or any other person not primarily engaged in the insurance business?

Yes () No (X)

Section 2 — Ceded Reinsurance Report— Part A

- (1) Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credit?

Yes () No (X)

- (2) Does the reporting entity have any reinsurance agreements in effect that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies?

Yes () No (X)

Section 3 — Ceded Reinsurance Report — Part B

- (1) What is the estimated amount of the aggregate reduction in surplus (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of all reinsurance agreements, by either party, as of the date of this statement? Where necessary, the Company may consider the current or anticipated experience of the business reinsured in making this estimate.

The Company estimates there should be no aggregate reduction in surplus for termination of all reinsurance agreements as of December 31, 2012.

- (2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts that were in force or which had existing reserves established by the Company as of the effective date of the agreement?

Yes () No (X)

- B. Uncollectible Reinsurance** — During 2012 and 2011, there were no uncollectible reinsurance recoverables.
- C. Commutation of Ceded Reinsurance** — There was no commutation of reinsurance in 2012 or 2011.

24. RETROSPECTIVELY RATED CONTRACTS AND CONTRACTS SUBJECT TO REDETERMINATION

- A.** The Company estimates accrued retrospective premium adjustments for its group health insurance business based on mathematical calculations in accordance with contractual terms.
- B.** Estimated accrued retrospective premiums from the Company are recorded in premiums and considerations and aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus and as an adjustment to reserves for rate credits in the statutory basis statements of operations.
- C.** The Company has Medicare Part D program business which is subject to a retrospective rating feature related to Part D Premiums. The Company has estimated accrued retrospective premiums related to certain Part D premiums based on guidelines determined by CMS. The formula is tiered and based on the bid medical loss ratio. The amount of Part D earned premiums subject to retrospective rating was \$92,995 and \$78,658 representing 2.3% and 2.0% of total net premium income for 2012 and 2011, respectively.

During 2012 and 2011, the Company contracted with the federal government through the OPM to administer the FEHBP. The Company is subject to rate adjustments through audits by the OPM. The amount of earned premiums subject to retrospective rating was \$18,310 and \$20,106 representing 0.5% and 0.5% of total net premium income as of December 31, 2012 and 2011, respectively.

During 2012 and 2011, the Company contracted with Ankeny Community School District that included a retrospective premium feature. The Company is subject to premium adjustments based on a medical target. The amount of earned premiums subject to retrospective rating was \$8,705 and \$9,150 representing 0.2% and 0.2% of total net premiums written as of December 31, 2012 and 2011, respectively.

- D.** Pursuant to the Health Reform Legislation (see Note 14), the Company is required to maintain specific minimum loss ratios. These minimum loss ratios apply to comprehensive major medical coverage and vary depending on group size. The following table discloses the minimum medical loss ratio rebates required pursuant to the Health Reform Legislation for the year ended December 31, 2011 and 2012:

	1 Individual	2 Small Group Employer	3 Large Group Employer	4 Other Categories with Rebates	5 Total
Prior reporting year:					
(1) Medical loss ratio rebates incurred	\$ -	\$ 173	\$ -	\$ -	\$ 173
(2) Medical loss ratio rebates paid	-	-	-	-	-
(3) Medical loss rebates unpaid	-	173	-	-	173
(4) Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
(5) Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
(6) Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	\$ 173
Current reporting year-to-date:					
(7) Medical loss ratio rebates incurred	\$ -	\$ 1,297	\$ -	\$ -	\$ 1,297
(8) Medical loss ratio rebates paid	-	1,469	-	-	1,469
(9) Medical loss rebates unpaid	-	-	-	-	-
(10) Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
(11) Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
(12) Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	\$ -

25. CHANGE IN INCURRED CLAIMS AND CLAIMS ADJUSTMENT EXPENSES

Changes in estimates related to the prior year incurred claims are included in total hospital and medical expenses in the current year in the accompanying statutory basis statements of operations. The following tables disclose paid claims, incurred claims, and the balance in the claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves and health care receivables for 2012 and 2011:

	2012		
	Current Year Incurred Claims	Prior Years Incurred Claims	Total
Beginning of year claim reserve	\$ -	\$ (394,147)	\$ (394,147)
Paid claims, net of health care receivables	2,935,153	308,892	3,244,045
End of year claim reserve	<u>369,850</u>	<u>17,909</u>	<u>387,759</u>
Incurred claims excluding the change in health care receivables	3,305,003	(67,346)	3,237,657
Beginning of year health care receivables	-	16,414	16,414
End of year health care receivables	<u>(28,795)</u>	<u>(6,114)</u>	<u>(34,909)</u>
Total incurred claims	<u>\$ 3,276,208</u>	<u>\$ (57,046)</u>	<u>\$ 3,219,162</u>
	2011		
	Current Year Incurred Claims	Prior Years Incurred Claims	Total
Beginning of year claim reserve	\$ -	\$ (398,111)	\$ (398,111)
Paid claims, net of health care receivables	2,783,173	326,024	3,109,197
End of year claim reserve	<u>371,895</u>	<u>22,252</u>	<u>394,147</u>
Incurred claims excluding the change in health care receivables	3,155,068	(49,835)	3,105,233
Beginning of year health care receivables	-	11,306	11,306
End of year health care receivables	<u>(15,706)</u>	<u>(708)</u>	<u>(16,414)</u>
Total incurred claims	<u>\$ 3,139,362</u>	<u>\$ (39,237)</u>	<u>\$ 3,100,125</u>

The liability for claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves and health care receivables as of December 31, 2011 were \$377,733. As of December 31, 2012, \$308,892 has been paid for incurred claims attributable to insured events of prior years. Reserves remaining for prior years are now \$11,795 as a result of re-estimation of unpaid claims. Therefore, there has been \$57,046 favorable prior year development since December 31, 2011 to December 31, 2012. The primary drivers consist of favorable development as a result of a change in the provision for adverse deviations in experience of \$18,890 and favorable development of \$39,848 in retroactivity for inpatient, outpatient, physician, and pharmacy claims. At December 31, 2011, the Company recorded \$39,237 of favorable development related to insured events of prior years primarily as a result of ongoing analysis of loss development trends and changes to the provider settlement reserves. The primary drivers consist of favorable development as a result of ongoing analysis of loss development trends related to the release and reestablishment of \$22,329 in known environmental claims offset by \$1,827 of unfavorable retroactivity for inpatient, outpatient, physician, and pharmacy claims. Original estimates are increased or decreased, as additional information becomes known regarding individual claims, including medical loss ratio rebates. Included in this favorable development is the impact related to retrospectively rated policies, including medical loss ratio rebates. As a result of the prior year effects, on a regular basis, the Company adjusts revenue and the corresponding liability and/or receivable related to retrospectively rated policies and the impact of the change is included as a component of change in reserves and reserve for rate credits in the statutory basis statements of operations.

The Company incurred claims adjustment expenses of \$140,799 and \$121,875 in 2012 and 2011, respectively. These costs are included in the management service fees paid by the Company to UHS-RV as a part of its management agreement (see Note 10). The following tables disclose paid CAE, incurred CAE, and the balance in the unpaid claim adjustment expenses reserve for 2012 and 2011:

	2012	2011
Total claims adjustment expenses incurred	\$ 140,799	\$ 121,875
Less current year unpaid claims adjustment expenses	(9,344)	(9,035)
Add prior year unpaid claims adjustment expenses	<u>9,035</u>	<u>9,778</u>
Total claims adjustment expenses paid	<u>\$ 140,490</u>	<u>\$ 122,618</u>

26. INTERCOMPANY POOLING ARRANGEMENTS

A–G. The Company did not have any intercompany pooling arrangements in 2012 or 2011.

27. STRUCTURED SETTLEMENTS

A–B. The Company did not have structured settlements in 2012 or 2011.

28. HEALTH CARE RECEIVABLES

A. Pharmaceutical rebates receivable are recorded when reasonably estimated or billed by the affiliated pharmaceutical benefit manager in accordance with pharmaceutical rebate contract provisions. Information used to support rebates billed to the manufacturer is based on utilization information gathered by the pharmaceutical benefit manager and adjusted for significant changes in pharmaceutical contract provisions. The Company has excluded receivables that do not meet the admissibility criteria from the statutory basis statements of admitted assets, liabilities, and capital and surplus.

For pharmacy rebates that meet the admissibility criteria, the collection history of pharmacy rebates is summarized as:

Quarter	Estimated Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received Within 90 Days of Billing	Actual Rebates Received Within 91 to 180 Days of Billing	Actual Rebates Received More Than 180 Days After Billing
12/31/2012	\$ 13,831	\$ -	\$ -	\$ -	\$ -
9/30/2012	12,737	12,984	7,954	-	-
6/30/2012	11,757	12,117	10,158	1,658	-
3/31/2012	11,095	11,416	9,704	1,286	378
12/31/2011	10,746	11,604	9,514	1,822	286
9/30/2011	10,280	10,624	8,910	1,226	398
6/30/2011	9,097	9,263	8,089	952	137
3/31/2011	8,199	8,370	7,385	772	179
12/31/2010	6,230	6,490	5,598	491	219
9/30/2010	5,835	5,929	4,740	1,199	160
6/30/2010	5,208	5,283	4,008	1,199	55
3/31/2010	4,742	4,856	3,927	829	103

Of the amount reported as health care receivables, \$17,914 and \$14,932 relates to pharmaceutical rebate receivables as of December 31, 2012 and 2011, respectively. The Company also admitted \$910 of claim overpayments due from providers at December 31, 2012 that were determined to be admissible. In addition, the Company has \$61 of other health care receivables related to members share cost of receivables and plan to plan receivables at December 31, 2011.

B. The Company does not have any risk sharing receivables.

29. PARTICIPATING POLICIES

The Company did not have any participating contracts in 2012 or 2011.

30. PREMIUM DEFICIENCY RESERVES

The Company has not recorded any premium deficiency reserves as of December 31, 2012 or 2011. This analysis of the premium deficiency reserve was completed as of December 31, 2012 and 2011. The Company did consider anticipated investment income when calculating the premium deficiency reserve.

31. ANTICIPATED SALVAGE AND SUBROGATION

Due to the type of business being written, the Company has no salvage. As of December 31, 2012 and 2011, the Company had no specific accruals established for outstanding subrogation, as it is considered a component of the actuarial calculations used to develop the estimates of claims unpaid and aggregate health claim reserves.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
- 1.2 If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent, or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations? Yes [X] No [] N/A []
- 1.3 State Regulating? Illinois
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [X] No []
- 2.2 If yes, date of change: 10/24/2012
- 3.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2011
- 3.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2007
- 3.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/22/2009
- 3.4 By what department or departments?
Illinois Department of Insurance
- 3.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 3.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [X] No [] N/A []
- 4.1 During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity), receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.11 sales of new business? Yes [] No [X]
4.12 renewals? Yes [] No [X]
- 4.2 During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.21 sales of new business? Yes [] No [X]
4.22 renewals? Yes [] No [X]
- 5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 5.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.
- | 1 | 2 | 3 |
|----------------|-------------------|-------------------|
| Name of Entity | NAIC Company Code | State of Domicile |
| | | |
- 6.1 Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 6.2 If yes, give full information:
.....
- 7.1 Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity? Yes [] No [X]
- 7.2 If yes,
7.21 State the percentage of foreign control; 0.0 %
7.22 State the nationality(s) of the foreign person(s) or entity(s) or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact; and identify the type of entity(s) (e.g., individual, corporation or government, manager or attorney in fact).

1	2
Nationality	Type of Entity

GENERAL INTERROGATORIES

- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
OptumHealth Bank, Inc.	Salt Lake City, Utah	NO	NO	YES	NO

- 9. What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?
Deloitte & Touche LLP
Minneapolis, MN
- 10.1 Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation? Yes [] No [X]
- 10.2 If the response to 10.1 is yes, provide information related to this exemption:
.....
- 10.3 Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 17A of the Model Regulation, or substantially similar state law or regulation? Yes [] No [X]
- 10.4 If the response to 10.3 is yes, provide information related to this exemption:
.....
- 10.5 Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws? Yes [X] No [] N/A []
- 10.6 If the response to 10.5 is no or n/a, please explain
.....
- 11. What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?
Allen Sorbo, Chief Actuary of UnitedHealthcare Insurance Company, an affiliate of UnitedHealthcare Plan of the River Valley, Inc.
Hartford, CT
- 12.1 Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly? Yes [] No [X]
 - 12.11 Name of real estate holding company
 - 12.12 Number of parcels involved 0
 - 12.13 Total book/adjusted carrying value \$ 0
- 12.2 If, yes provide explanation:
.....
- 13. **FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:**
- 13.1 What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?
.....
- 13.2 Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located? Yes [] No []
- 13.3 Have there been any changes made to any of the trust indentures during the year? Yes [] No []
- 13.4 If answer to (13.3) is yes, has the domiciliary or entry state approved the changes? Yes [] No [] N/A []
- 14.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []
 - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 - (c) Compliance with applicable governmental laws, rules and regulations;
 - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 - (e) Accountability for adherence to the code.
- 14.11 If the response to 14.1 is No, please explain:
.....
- 14.2 Has the code of ethics for senior managers been amended? Yes [] No [X]
- 14.21 If the response to 14.2 is yes, provide information related to amendment(s).
.....
- 14.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]
- 14.31 If the response to 14.3 is yes, provide the nature of any waiver(s).
.....

GENERAL INTERROGATORIES

- 15.1 Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List? Yes [] No [X]
- 15.2 If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.

1 American Bankers Association (ABA) Routing Number	2 Issuing or Confirming Bank Name	3 Circumstances That Can Trigger the Letter of Credit	4 Amount

BOARD OF DIRECTORS

16. Is the purchase or sale of all investments of the reporting entity passed upon either by the board of directors or a subordinate committee thereof? Yes [X] No []
17. Does the reporting entity keep a complete permanent record of the proceedings of its board of directors and all subordinate committees thereof? Yes [X] No []
18. Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict with the official duties of such person? Yes [X] No []

FINANCIAL

19. Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)? Yes [] No [X]
- 20.1 Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):
- 20.11 To directors or other officers.....\$ 0
 - 20.12 To stockholders not officers.....\$ 0
 - 20.13 Trustees, supreme or grand (Fraternal Only).....\$ 0
- 20.2 Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):
- 20.21 To directors or other officers.....\$ 0
 - 20.22 To stockholders not officers.....\$ 0
 - 20.23 Trustees, supreme or grand (Fraternal Only).....\$ 0
- 21.1 Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement? Yes [] No [X]
- 21.2 If yes, state the amount thereof at December 31 of the current year:
- 21.21 Rented from others.....\$ 0
 - 21.22 Borrowed from others.....\$ 0
 - 21.23 Leased from others.....\$ 0
 - 21.24 Other.....\$ 0
- 22.1 Does this statement include payments for assessments as described in the Annual Statement Instructions other than guaranty fund or guaranty association assessments? Yes [X] No []
- 22.2 If answer is yes:
- 22.21 Amount paid as losses or risk adjustment \$ 0
 - 22.22 Amount paid as expenses\$ 1,604,341
 - 22.23 Other amounts paid.....\$ 0
- 23.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No [X]
- 23.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

INVESTMENT

- 24.01 Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 24.03) Yes [X] No []
- 24.02 If no, give full and complete information relating thereto
- 24.03 For security lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided) Not applicable
- 24.04 Does the Company's security lending program meet the requirements for a conforming program as outlined in the Risk-Based Capital Instructions? Yes [] No [] N/A [X]
- 24.05 If answer to 24.04 is yes, report amount of collateral for conforming programs. \$ 0
- 24.06 If answer to 24.04 is no, report amount of collateral for other programs. \$ 0
- 24.07 Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract? Yes [] No [] N/A [X]
- 24.08 Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%? Yes [] No [] N/A [X]
- 24.09 Does the reporting entity or the reporting entity's securities lending agent utilize the Master Securities lending Agreement (MSLA) to conduct securities lending? Yes [] No [] N/A [X]

GENERAL INTERROGATORIES

24.10 For the reporting entity's security lending program state the amount of the following as December 31 of the current year:

24.101 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$	0
24.102 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$	0
24.103 Total payable for securities lending reported on the liability page	\$	0

25.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity, or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 24.03) Yes No

25.2 If yes, state the amount thereof at December 31 of the current year:

25.21 Subject to repurchase agreements	\$	0
25.22 Subject to reverse repurchase agreements	\$	0
25.23 Subject to dollar repurchase agreements	\$	0
25.24 Subject to reverse dollar repurchase agreements	\$	0
25.25 Pledged as collateral	\$	0
25.26 Placed under option agreements	\$	0
25.27 Letter stock or other securities restricted as to sale	\$	0
25.28 On deposit with state or other regulatory body	\$	50,086,004
25.29 Other	\$	0

25.3 For category (25.27) provide the following:

1 Nature of Restriction	2 Description	3 Amount

26.1 Does the reporting entity have any hedging transactions reported on Schedule DB? Yes No

26.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No N/A
If no, attach a description with this statement.

27.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity? Yes No

27.2 If yes, state the amount thereof at December 31 of the current year. \$

28. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?..... Yes No

28.01 For agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian's Address
Mellon Bank	One Mellon Center, Pittsburgh, PA 15258-0001
Northern Trust	50 S. LaSalle, Chicago, IL 60675

28.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year?..... Yes No

28.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

28.05 Identify all investment advisors, brokers/dealers or individuals acting on behalf of brokers/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository Number(s)	2 Name	3 Address
113972	Standish Mellon Asset Management Company	201 Washington Street Suite 2900 Boston, MA 02108-4408

GENERAL INTERROGATORIES

- 29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D, Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5(b)(1)])? Yes [] No [X]
- 29.2 If yes, complete the following schedule:

1 CUSIP #	2 Name of Mutual Fund	3 Book/Adjusted Carrying Value
29.2999 - Total		0

- 29.3 For each mutual fund listed in the table above, complete the following schedule:

1 Name of Mutual Fund (from above table)	2 Name of Significant Holding of the Mutual Fund	3 Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	4 Date of Valuation

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1 Statement (Admitted) Value	2 Fair Value	3 Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds	873,123,337	901,162,267	28,038,930
30.2 Preferred stocks	0	0	0
30.3 Totals	873,123,337	901,162,267	28,038,930

- 30.4 Describe the sources or methods utilized in determining the fair values:
 For those securities that had prices in the NAIC SVO ISIS database, those prices were used; for those securities that did not have prices in the NAIC SVO ISIS database, pricing was obtained from HUB which is an external data sources vendor. Hub utilizes various pricing sources.

- 31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? Yes [] No [X]
- 31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? Yes [] No []
- 31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:
<http://www.hubdata.com/HMDWeb/Logon.asp>
- 32.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes [X] No []
- 32.2 If no, list exceptions:

GENERAL INTERROGATORIES

OTHER

33.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any?\$0

33.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations and statistical or rating bureaus during the period covered by this statement.

1 Name	2 Amount Paid

34.1 Amount of payments for legal expenses, if any?\$0

34.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid

35.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any?\$0

35.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1 Name	2 Amount Paid

GENERAL INTERROGATORIES

PART 2 - HEALTH INTERROGATORIES

1.1 Does the reporting entity have any direct Medicare Supplement Insurance in force? Yes [] No [X]

1.2 If yes, indicate premium earned on U.S. business only. \$ 0

1.3 What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit? \$ 0

1.31 Reason for excluding

1.4 Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above \$ 0

1.5 Indicate total incurred claims on all Medicare Supplement Insurance. \$ 0

1.6 Individual policies:

Most current three years:

1.61 Total premium earned \$ 0

1.62 Total incurred claims \$ 0

1.63 Number of covered lives 0

All years prior to most current three years:

1.64 Total premium earned \$ 0

1.65 Total incurred claims \$ 0

1.66 Number of covered lives 0

1.7 Group policies:

Most current three years:

1.71 Total premium earned \$ 0

1.72 Total incurred claims \$ 0

1.73 Number of covered lives 0

All years prior to most current three years:

1.74 Total premium earned \$ 0

1.75 Total incurred claims \$ 0

1.76 Number of covered lives 0

2. Health Test:

	1 Current Year	2 Prior Year
2.1 Premium Numerator	4,017,438,866	3,922,804,998
2.2 Premium Denominator	4,017,438,866	3,922,804,998
2.3 Premium Ratio (2.1/2.2)	1.000	1.000
2.4 Reserve Numerator	400,116,879	400,673,693
2.5 Reserve Denominator	400,116,879	400,673,693
2.6 Reserve Ratio (2.4/2.5)	1.000	1.000

3.1 Has the reporting entity received any endowment or gift from contracting hospitals, physicians, dentists, or others that is agreed will be returned when, as and if the earnings of the reporting entity permits? Yes [] No [X]

3.2 If yes, give particulars:

4.1 Have copies of all agreements stating the period and nature of hospitals', physicians', and dentists' care offered to subscribers and dependents been filed with the appropriate regulatory agency? Yes [X] No []

4.2 If not previously filed, furnish herewith a copy(ies) of such agreement(s). Do these agreements include additional benefits offered? Yes [] No []

5.1 Does the reporting entity have stop-loss reinsurance? Yes [X] No []

5.2 If no, explain:

5.3 Maximum retained risk (see instructions)

5.31 Comprehensive Medical \$ 0

5.32 Medical Only \$ 0

5.33 Medicare Supplement \$ 0

5.34 Dental & Vision \$ 0

5.35 Other Limited Benefit Plan \$ 0

5.36 Other \$ 165,000

6. Describe arrangement which the reporting entity may have to protect subscribers and their dependents against the risk of insolvency including hold harmless provisions, conversion privileges with other carriers, agreements with providers to continue rendering services, and any other agreements:
 Hold harmless clauses in provider agreements and continuation of coverage endorsements in reinsurance agreement.

7.1 Does the reporting entity set up its claim liability for provider services on a service date basis? Yes [X] No []

7.2 If no, give details

8. Provide the following information regarding participating providers:

8.1 Number of providers at start of reporting year 121,044

8.2 Number of providers at end of reporting year 117,714

9.1 Does the reporting entity have business subject to premium rate guarantees? Yes [] No [X]

9.2 If yes, direct premium earned:

9.21 Business with rate guarantees between 15-36 months.. \$ 0

9.22 Business with rate guarantees over 36 months \$ 0

GENERAL INTERROGATORIES

- 10.1 Does the reporting entity have Incentive Pool, Withhold or Bonus Arrangements in its provider contracts? Yes No
- 10.2 If yes:
- | | | |
|--|----|-----------|
| 10.21 Maximum amount payable bonuses..... | \$ | 749,002 |
| 10.22 Amount actually paid for year bonuses..... | \$ | 2,711,290 |
| 10.23 Maximum amount payable withholds..... | \$ | 81,736 |
| 10.24 Amount actually paid for year withholds..... | \$ | 160,448 |
- 11.1 Is the reporting entity organized as:
- | | | |
|--|---|--|
| 11.12 A Medical Group/Staff Model, | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| 11.13 An Individual Practice Association (IPA), or, .. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| 11.14 A Mixed Model (combination of above)? | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
- 11.2 Is the reporting entity subject to Minimum Net Worth Requirements? Yes No
- 11.3 If yes, show the name of the state requiring such net worth. Illinois
- 11.4 If yes, show the amount required. \$ 373,299,168
- 11.5 Is this amount included as part of a contingency reserve in stockholder's equity? Yes No
- 11.6 If the amount is calculated, show the calculation
The 300% authorized control level calculated by the Risk Based Capital report as required by the State of Illinois.

12. List service areas in which reporting entity is licensed to operate:

1 Name of Service Area
Illinois Counties in Service Area: Bureau, Carroll, DeWitt, Fulton, Henderson, Henry, Jo Daviess, Knox, La Salle, Lee, Livingston, Marshall, McLean, Mercer, Peoria, Putnam, Rock Island, Stark, Tazewell, Warren, Whiteside, Woodford
Iowa Counties in Service Area: Adair, Adams, Appanoose, Audubon, Benton, Blackhawk, Boone, Bremer, Buchanan, Buena Vista, Butler, Calhoun, Carroll, Cass, Cedar, Cerro Gordo, Chickasaw, Clarke, Clayton, Clinton, Crawford, Dallas, Davis, Decatur, Delaware, Des Moines, Dubuque, Fayette, Floyd, Franklin, Fremont, Greene, Grundy, Guthrie, Hamilton, Hancock, Hardin, Harrison, Henry, Howard, Humboldt, Iowa, Jackson, Jasper, Jefferson, Johnson, Jones, Keokuk, Kossuth, Lee, Linn, Louisa, Lucas, Madison, Mahaska, Marion, Marshall, Mills, Mitchell, Monona, Monroe, Montgomery, Muscatine, Page, Palo Alto, Pocahontas, Polk, Pottawattamie, Poweshiek, Ringgold, Sac, Scott, Shelby, Story, Tama, Taylor, Union, Van Buren, Wapello, Warren, Washington, Wayne, Webster, Winnebago, Worth, Wright
Tennessee Counties in Service Area: Anderson, Bedford, Bledsoe, Blount, Bradley, Campbell, Cannon, Carroll, Carter, Cheatham, Chester, Claiborne, Clay, Cocke, Coffee, Crockett, Cumberland, Davidson, Decatur, DeKalb, Dickson, Dyer, Fayette, Fentress, Franklin, Gibson, Giles, Grainger, Greene, Grundy, Hamblen, Hamilton, Hancock, Hardeman, Hawkins, Haywood, Henderson, Hickman, Houston, Jackson, Jefferson, Johnson, Knox, Lake, Lauderdale, Lincoln, Loudon, Macon, Madison, Marion, Marshall, Maury, McMinn, Meigs, Monroe, Montgomery, Moore, Morgan, Obion, Overton, Pickett, Polk, Putnam, Rhea, Roane, Robertson, Rutherford, Scott, Sequatchie, Sevier, Shelby, Smith, Stewart, Sullivan, Sumner, Tipton, Trousdale, Unicoi, Union, Van Buren, Warren, Washington, Weakley, White, Williamson, Wilson
Virginia Counties in Service Area: Bedford, Bland, Botetourt, Buchanan, Carroll, Craig, Dickenson, Floyd, Franklin, Giles, Grayson, Henry, Lee, Montgomery, Pulaski, Roanoke, Rockbridge, Russell, Scott, Smyth, Tazewell, Washington, Wise, Wythe

- 13.1 Do you act as a custodian for health savings accounts? Yes No
- 13.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0
- 13.3 Do you act as an administrator for health savings accounts? Yes No
- 13.4 If yes, please provide the balance of funds administered as of the reporting date. \$ 0

FIVE-YEAR HISTORICAL DATA

	1 2012	2 2011	3 2010	4 2009	5 2008
Balance Sheet (Pages 2 and 3)					
1. Total admitted assets (Page 2, Line 28)	1,017,358,614	1,010,036,179	1,126,576,465	814,058,107	513,576,902
2. Total liabilities (Page 3, Line 24)	576,136,838	557,260,161	564,945,177	546,062,318	288,026,025
3. Statutory surplus	373,299,168	353,507,103	291,327,658	229,215,567	37,441,000
4. Total capital and surplus (Page 3, Line 33)	441,221,776	452,776,017	359,790,690	267,995,789	225,550,877
Income Statement (Page 4)					
5. Total revenues (Line 8)	4,011,352,746	3,923,592,289	3,186,787,512	2,370,700,094	1,438,002,359
6. Total medical and hospital expenses (Line 18)	3,219,162,456	3,100,125,101	2,528,710,533	1,965,096,525	1,197,756,313
7. Claims adjustment expenses (Line 20)	140,798,914	121,875,202	125,310,554	58,957,623	38,125,705
8. Total administrative expenses (Line 21)	417,524,328	424,870,100	336,002,202	252,624,509	135,687,168
9. Net underwriting gain (loss) (Line 24)	233,867,048	276,721,886	196,764,223	94,021,437	66,433,173
10. Net investment gain (loss) (Line 27)	18,060,841	18,764,225	16,365,879	15,099,731	16,203,288
11. Total other income (Lines 28 plus 29)	(650,032)	1,106,009	(2,410,088)	(1,011,187)	(16,139)
12. Net income or (loss) (Line 32)	169,953,894	211,900,766	130,020,120	76,327,723	56,995,211
Cash Flow (Page 6)					
13. Net cash from operations (Line 11)	149,953,765	(1,000,743)	554,688,210	81,284,674	105,401,156
Risk-Based Capital Analysis					
14. Total adjusted capital	441,221,776	452,776,017	359,790,690	267,995,789	225,550,877
15. Authorized control level risk-based capital	124,433,056	117,835,701	97,604,941	76,140,827	45,749,780
Enrollment (Exhibit 1)					
16. Total members at end of period (Column 5, Line 7)	781,421	780,183	751,054	684,162	506,485
17. Total members months (Column 6, Line 7)	9,410,333	9,365,383	8,795,788	8,244,539	4,390,043
Operating Percentage (Page 4) (Item divided by Page 4, sum of Lines 2, 3 and 5) x 100.0					
18. Premiums earned plus risk revenue (Line 2 plus Lines 3 and 5)	100.0	100.0	100.0	100.0	100.0
19. Total hospital and medical plus other non-health (Lines 18 plus Line 19)	80.3	79.0	79.3	82.9	83.3
20. Cost containment expenses	2.3	2.2	3.1	1.5	0.7
21. Other claims adjustment expenses	1.2	0.9	0.9	1.0	2.0
22. Total underwriting deductions (Line 23)	94.2	93.0	93.8	96.0	95.4
23. Total underwriting gain (loss) (Line 24)	5.8	7.1	6.2	4.0	4.6
Unpaid Claims Analysis (U&I Exhibit, Part 2B)					
24. Total claims incurred for prior years (Line 13, Col. 5)	320,686,830	347,567,947	240,438,064	199,721,843	176,000,097
25. Estimated liability of unpaid claims-[prior year (Line 13, Col. 6)]	377,732,578	386,804,949	251,243,748	226,973,184	173,118,571
Investments In Parent, Subsidiaries and Affiliates					
26. Affiliated bonds (Sch. D Summary, Line 12, Col. 1)	0	0	0	0	0
27. Affiliated preferred stocks (Sch. D Summary, Line 18, Col. 1)	0	0	0	0	0
28. Affiliated common stocks (Sch. D Summary, Line 24, Col. 1)	0	0	0	0	0
29. Affiliated short-term investments (subtotal included in Schedule DA Verification, Col. 5, Line 10)	0	0	0	0	0
30. Affiliated mortgage loans on real estate	0	0	0	0	0
31. All other affiliated	0	0	0	0	0
32. Total of above Lines 26 to 31	0	0	0	0	0
33. Total investment in parent included in Lines 26 to 31 above	0	0	0	0	0

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3, Accounting Changes and Correction of Errors? Yes [] No []
 If no, please explain:



ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF		DURING THE YEAR							(LOCATION)	
		Illinois		2012							NAIC Company Code	
		1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10	
	Total	2	3	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other		
Total Members at end of:												
1. Prior Year	13,811	0	6,330	0	0	0	0	7,481	0	0		
2. First Quarter	14,551	0	5,729	0	0	0	0	8,822	0	0		
3. Second Quarter	14,625	0	5,546	0	0	0	0	9,079	0	0		
4. Third Quarter	14,827	0	5,246	0	0	0	0	9,581	0	0		
5. Current Year	14,687	0	4,999	0	0	0	0	9,688	0	0		
6. Current Year Member Months	175,556	0	65,206	0	0	0	0	110,350	0	0		
Total Member Ambulatory Encounters for Year:												
7. Physician	65,763	0	29,875	0	0	0	0	35,888	0	0		
8. Non-Physician	14,775	0	7,134	0	0	0	0	7,641	0	0		
9. Total	80,538	0	37,009	0	0	0	0	43,529	0	0		
10. Hospital Patient Days Incurred	24,643	0	2,378	0	0	0	0	22,265	0	0		
11. Number of Inpatient Admissions	3,530	0	406	0	0	0	0	3,124	0	0		
12. Health Premiums Written (b)	106,517,226	0	27,262,319	0	0	0	0	79,254,907	0	0		
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0		
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0		
15. Health Premiums Earned	106,368,278	0	27,262,319	0	0	0	0	79,105,959	0	0		
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0		
17. Amount Paid for Provision of Health Care Services.....	89,210,610	0	24,656,207	0	0	0	0	64,554,403	0	0		
18. Amount Incurred for Provision of Health Care Services	89,128,828	0	21,230,742	0	0	0	0	67,898,086	0	0		

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$79,254,907



ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF		DURING THE YEAR							(LOCATION)	
		Iowa		2012							NAIC Company Code	
		1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10	
	Total	2	3	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other		
Total Members at end of:												
1. Prior Year	88,989	0	63,842	0	0	0	5,157	19,990	0	0		
2. First Quarter	91,178	0	64,508	0	0	0	4,505	22,165	0	0		
3. Second Quarter	90,178	0	63,317	0	0	0	4,486	22,375	0	0		
4. Third Quarter	89,882	0	62,822	0	0	0	4,357	22,703	0	0		
5. Current Year	89,358	0	62,163	0	0	0	4,340	22,855	0	0		
6. Current Year Member Months	1,078,812	0	756,929	0	0	0	52,491	269,392	0	0		
Total Member Ambulatory Encounters for Year:												
7. Physician	361,460	0	258,024	0	0	0	17,805	85,631	0	0		
8. Non-Physician	88,705	0	67,449	0	0	0	4,654	16,602	0	0		
9. Total	450,165	0	325,473	0	0	0	22,459	102,233	0	0		
10. Hospital Patient Days Incurred	58,473	0	15,488	0	0	0	1,021	41,964	0	0		
11. Number of Inpatient Admissions	10,257	0	3,519	0	0	0	233	6,505	0	0		
12. Health Premiums Written (b)	419,959,202	0	224,736,502	0	0	0	18,309,873	176,912,827	0	0		
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0		
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0		
15. Health Premiums Earned	416,603,181	0	223,398,084	0	0	0	17,592,459	175,612,638	0	0		
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0		
17. Amount Paid for Provision of Health Care Services	337,141,171	0	173,038,641	0	0	0	17,531,081	146,571,449	0	0		
18. Amount Incurred for Provision of Health Care Services	335,107,434	0	172,221,797	0	0	0	16,572,155	146,313,482	0	0		

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$176,912,827

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF		DURING THE YEAR							(LOCATION)	
		Tennessee		2012							NAIC Company Code	
		1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10	
	Total	2	3	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other		
Total Members at end of:												
1. Prior Year	658,047	0	30,049	0	0	0	0	59,932	568,066	0		
2. First Quarter	656,750	0	27,478	0	0	0	0	62,242	567,030	0		
3. Second Quarter	657,600	0	26,264	0	0	0	0	64,644	566,692	0		
4. Third Quarter	663,522	0	25,220	0	0	0	0	67,230	571,072	0		
5. Current Year	660,742	0	22,228	0	0	0	0	68,317	570,197	0		
6. Current Year Member Months	7,943,421	0	308,542	0	0	0	0	780,112	6,854,767	0		
Total Member Ambulatory Encounters for Year:												
7. Physician	3,715,035	0	149,174	0	0	0	0	248,490	3,317,371	0		
8. Non-Physician	931,820	0	25,675	0	0	0	0	51,010	855,135	0		
9. Total	4,646,855	0	174,849	0	0	0	0	299,500	4,172,506	0		
10. Hospital Patient Days Incurred	4,826,197	0	6,521	0	0	0	0	284,911	4,534,765	0		
11. Number of Inpatient Admissions	330,212	0	1,506	0	0	0	0	36,894	291,812	0		
12. Health Premiums Written (b)	3,364,443,199	0	110,432,593	0	0	0	0	722,519,140	2,531,491,466	0		
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0		
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0		
15. Health Premiums Earned	3,361,400,048	0	110,432,593	0	0	0	0	719,475,989	2,531,491,466	0		
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0		
17. Amount Paid for Provision of Health Care Services	2,726,442,345	0	91,442,915	0	0	0	0	585,121,498	2,049,877,932	0		
18. Amount Incurred for Provision of Health Care Services	2,703,409,822	0	87,770,258	0	0	0	0	575,985,427	2,039,654,137	0		

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$722,519,140



ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF		DURING THE YEAR							(LOCATION)	
		Virginia		2012							NAIC Company Code	
		1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10	
	Total	2	3	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other		
		Individual	Group									
Total Members at end of:												
1. Prior Year	19,336	0	8,738	0	0	0	0	10,598	0	0		
2. First Quarter	18,493	0	8,516	0	0	0	0	9,977	0	0		
3. Second Quarter	18,024	0	7,970	0	0	0	0	10,054	0	0		
4. Third Quarter	16,981	0	6,865	0	0	0	0	10,116	0	0		
5. Current Year	16,634	0	6,514	0	0	0	0	10,120	0	0		
6. Current Year Member Months	212,544	0	91,886	0	0	0	0	120,658	0	0		
Total Member Ambulatory Encounters for Year:												
7. Physician	87,087	0	46,002	0	0	0	0	41,085	0	0		
8. Non-Physician	20,594	0	8,457	0	0	0	0	12,137	0	0		
9. Total	107,681	0	54,459	0	0	0	0	53,222	0	0		
10. Hospital Patient Days Incurred	20,950	0	1,847	0	0	0	0	19,103	0	0		
11. Number of Inpatient Admissions	3,630	0	468	0	0	0	0	3,162	0	0		
12. Health Premiums Written (b)	130,550,179	0	34,139,550	0	0	0	0	96,410,629	0	0		
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0		
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0		
15. Health Premiums Earned	130,550,179	0	34,139,550	0	0	0	0	96,410,629	0	0		
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0		
17. Amount Paid for Provision of Health Care Services.....	91,251,283	0	31,053,250	0	0	0	0	60,198,033	0	0		
18. Amount Incurred for Provision of Health Care Services	91,516,372	0	29,355,022	0	0	0	0	62,161,350	0	0		

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$96,410,629



ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF	(LOCATION)									
			Grand Total		DURING THE YEAR				2012		NAIC Company Code	95378
			1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
Total	2	3	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other			
Total Members at end of:												
1. Prior Year	780,183	0	108,959	0	0	0	5,157	98,001	568,066	0		
2. First Quarter	780,972	0	106,231	0	0	0	4,505	103,206	567,030	0		
3. Second Quarter	780,427	0	103,097	0	0	0	4,486	106,152	566,692	0		
4. Third Quarter	785,212	0	100,153	0	0	0	4,357	109,630	571,072	0		
5. Current Year	781,421	0	95,904	0	0	0	4,340	110,980	570,197	0		
6. Current Year Member Months	9,410,333	0	1,222,563	0	0	0	52,491	1,280,512	6,854,767	0		
Total Member Ambulatory Encounters for Year:												
7. Physician	4,229,345	0	483,075	0	0	0	17,805	411,094	3,317,371	0		
8. Non-Physician	1,055,894	0	108,715	0	0	0	4,654	87,390	855,135	0		
9. Total	5,285,239	0	591,790	0	0	0	22,459	498,484	4,172,506	0		
10. Hospital Patient Days Incurred	4,930,263	0	26,234	0	0	0	1,021	368,243	4,534,765	0		
11. Number of Inpatient Admissions	347,629	0	5,899	0	0	0	233	49,685	291,812	0		
12. Health Premiums Written (b)	4,021,469,806	0	396,570,964	0	0	0	18,309,873	1,075,097,503	2,531,491,466	0		
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0		
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0		
15. Health Premiums Earned	4,014,921,686	0	395,232,546	0	0	0	17,592,459	1,070,605,215	2,531,491,466	0		
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0		
17. Amount Paid for Provision of Health Care Services	3,244,045,409	0	320,191,013	0	0	0	17,531,081	856,445,383	2,049,877,932	0		
18. Amount Incurred for Provision of Health Care Services	3,219,162,456	0	310,577,819	0	0	0	16,572,155	852,358,345	2,039,654,137	0		

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$1,075,097,503

29.GT

Schedule S - Part 1 - Section 2

N O N E

Schedule S - Part 2

N O N E

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE S - PART 3 - SECTION 2

Reinsurance Ceded Accident and Health Insurance Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 Federal ID Number	3 Effective Date	4 Name of Company	5 Domiciliary Jurisdiction	6 Type	7 Premiums	8 Unearned Premiums (Estimated)	9 Reserve Credit Taken Other than for Unearned Premiums	10 Outstanding Surplus Relief		12 Modified Coinsurance Reserve	13 Funds Withheld Under Coinsurance
									10 Current Year	11 Prior Year		
79413	36-2739571	07/01/2008	UnitedHealthcare Insurance Company	CT	SSL/L/G	14,402	0	0	0	0	0	0
79413	36-2739571	07/01/2008	UnitedHealthcare Insurance Company	CT	OTH/L/G	4,016,538	0	0	0	0	0	0
0199999. General Account - Authorized U.S. Affiliates						4,030,940	0	0	0	0	0	0
0399999. Total General Account - Authorized Affiliates						4,030,940	0	0	0	0	0	0
0699999. Total General Account - Authorized Non-Affiliates						0	0	0	0	0	0	0
0799999. Total General Account Authorized						4,030,940	0	0	0	0	0	0
1099999. Total General Account - Unauthorized Affiliates						0	0	0	0	0	0	0
1399999. Total General Account - Unauthorized Non-Affiliates						0	0	0	0	0	0	0
1499999. Total General Account Unauthorized						0	0	0	0	0	0	0
1799999. Total General Account - Certified Affiliates						0	0	0	0	0	0	0
2099999. Total General Account - Certified Non-Affiliates						0	0	0	0	0	0	0
2199999. Total General Account Certified						0	0	0	0	0	0	0
2299999. Total General Account Authorized, Unauthorized and Certified						4,030,940	0	0	0	0	0	0
2599999. Total Separate Accounts - Authorized Affiliates						0	0	0	0	0	0	0
2899999. Total Separate Accounts - Authorized Non-Affiliates						0	0	0	0	0	0	0
2999999. Total Separate Accounts Authorized						0	0	0	0	0	0	0
3299999. Total Separate Accounts - Unauthorized Affiliates						0	0	0	0	0	0	0
3599999. Total Separate Accounts - Unauthorized Non-Affiliates						0	0	0	0	0	0	0
3699999. Total Separate Accounts Unauthorized						0	0	0	0	0	0	0
3999999. Total Separate Accounts - Certified Affiliates						0	0	0	0	0	0	0
4299999. Total Separate Accounts - Certified Non-Affiliates						0	0	0	0	0	0	0
4399999. Total Separate Accounts Certified						0	0	0	0	0	0	0
4499999. Total Separate Accounts Authorized, Unauthorized and Certified						0	0	0	0	0	0	0
4599999. Total U.S. (Sum of 0199999, 0499999, 0899999, 1199999, 1599999, 1899999, 2399999, 2699999, 3099999, 3399999, 3799999 and 4099999)						4,030,940	0	0	0	0	0	0
4699999. Total Non-U.S. (Sum of 0299999, 0599999, 0999999, 1299999, 1699999, 1999999, 2499999, 2799999, 3199999, 3499999, 3899999 and 4199999)						0	0	0	0	0	0	0
4799999 - Totals						4,030,940	0	0	0	0	0	0

Schedule S - Part 4

N O N E

Schedule S - Part 4 - Bank Footnote

N O N E

Schedule S - Part 5

N O N E

Schedule S - Part 5 - Bank Footnote

N O N E

SCHEDULE S - PART 6

Five Year Exhibit of Reinsurance Ceded Business (000 Omitted)

	1 2012	2 2011	3 2010	4 2009	5 2008
A. OPERATIONS ITEMS					
1. Premiums	429	448	435	397	432
2. Title XVIII - Medicare	1,071	946	611	382	258
3. Title XIX - Medicaid	2,532	2,553	2,090	1,606	376
4. Commissions and reinsurance expense allowance	0	0	0	0	0
5. Total hospital and medical expenses	0	0	(52)	52	1,142
B. BALANCE SHEET ITEMS					
6. Premiums receivable	0	0	0	0	0
7. Claims payable	0	0	0	0	0
8. Reinsurance recoverable on paid losses	0	0	0	0	1,000
9. Experience rating refunds due or unpaid	0	0	0	0	0
10. Commissions and reinsurance expense allowances due	0	0	0	0	0
11. Unauthorized reinsurance offset	0	0	0	0	0
12. Offset for reinsurance with Certified Reinsurers	0	XXX	XXX	XXX	XXX
C. UNAUTHORIZED REINSURANCE (DEPOSITS BY AND FUNDS WITHHELD FROM)					
13. Funds deposited by and withheld from (F)	0	0	0	0	0
14. Letters of credit (L)	0	0	0	0	0
15. Trust agreements (T)	0	0	0	0	0
16. Other (O)	0	0	0	0	0
D. REINSURANCE WITH CERTIFIED REINSURERS (DEPOSITS BY AND FUNDS WITHHELD FROM)					
17. Multiple Beneficiary Trust	0	XXX	XXX	XXX	XXX
18. Funds deposited by and withheld from (F)	0	XXX	XXX	XXX	XXX
19. Letters of credit (L)	0	XXX	XXX	XXX	XXX
20. Trust agreements (T)	0	XXX	XXX	XXX	XXX
21. Other (O)	0	XXX	XXX	XXX	XXX

SCHEDULE S - PART 7

Restatement of Balance Sheet to Identify Net Credit For Ceded Reinsurance

	1 As Reported (net of ceded)	2 Restatement Adjustments	3 Restated (gross of ceded)
ASSETS (Page 2, Col. 3)			
1. Cash and invested assets (Line 12)	892,563,661	0	892,563,661
2. Accident and health premiums due and unpaid (Line 15)	52,224,906	0	52,224,906
3. Amounts recoverable from reinsurers (Line 16.1)	0	0	0
4. Net credit for ceded reinsurance	XXX	(360,560)	(360,560)
5. All other admitted assets (Balance)	72,570,047	0	72,570,047
6. Total assets (Line 28)	1,017,358,614	(360,560)	1,016,998,054
LIABILITIES, CAPITAL AND SURPLUS (Page 3)			
7. Claims unpaid (Line 1)	386,814,073	0	386,814,073
8. Accrued medical incentive pool and bonus payments (Line 2)	749,002	0	749,002
9. Premiums received in advance (Line 8)	38,772,529	0	38,772,529
10. Funds held under reinsurance treaties with authorized and unauthorized reinsurers (Line 19 first inset amount plus second inset amount)	0	0	0
11. Reinsurance in unauthorized companies (Line 20 minus inset amount)	0	0	0
12. Reinsurance with Certified Reinsurers (Line 20 inset amount)	0	0	0
13. Funds held under reinsurance treaties with Certified Reinsurers (Line 19 third inset amount)	0	0	0
14. All other liabilities (Balance)	149,801,234	(360,560)	149,440,674
15. Total liabilities (Line 24)	576,136,838	(360,560)	575,776,278
16. Total capital and surplus (Line 33)	441,221,776	XXX	441,221,776
17. Total liabilities, capital and surplus (Line 34)	1,017,358,614	(360,560)	1,016,998,054
NET CREDIT FOR CEDED REINSURANCE			
18. Claims unpaid	0		
19. Accrued medical incentive pool	0		
20. Premiums received in advance	0		
21. Reinsurance recoverable on paid losses	0		
22. Other ceded reinsurance recoverables	0		
23. Total ceded reinsurance recoverables	0		
24. Premiums receivable	0		
25. Funds held under reinsurance treaties with authorized and unauthorized reinsurers	0		
26. Unauthorized reinsurance	0		
27. Reinsurance with Certified Reinsurers	0		
28. Funds held under reinsurance treaties with Certified Reinsurers	0		
29. Other ceded reinsurance payables/offsets	360,560		
30. Total ceded reinsurance payables/offsets	360,560		
31. Total net credit for ceded reinsurance	(360,560)		

SCHEDULE T PREMIUMS AND OTHER CONSIDERATIONS

Allocated by States and Territories

1 States, etc.	Active Status	Direct Business Only								
		2 Accident & Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Program Premiums	6 Life & Annuity Premiums & Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7	9 Deposit-Type Contracts	
1. Alabama	AL	N	0	0	0	0	0	0	0	0
2. Alaska	AK	N	0	0	0	0	0	0	0	0
3. Arizona	AZ	N	0	0	0	0	0	0	0	0
4. Arkansas	AR	N	0	0	0	0	0	0	0	0
5. California	CA	N	0	0	0	0	0	0	0	0
6. Colorado	CO	N	0	0	0	0	0	0	0	0
7. Connecticut	CT	N	0	0	0	0	0	0	0	0
8. Delaware	DE	N	0	0	0	0	0	0	0	0
9. District of Columbia	DC	N	0	0	0	0	0	0	0	0
10. Florida	FL	N	0	0	0	0	0	0	0	0
11. Georgia	GA	N	0	0	0	0	0	0	0	0
12. Hawaii	HI	N	0	0	0	0	0	0	0	0
13. Idaho	ID	N	0	0	0	0	0	0	0	0
14. Illinois	IL	L	27,262,319	79,254,907	0	0	0	106,517,226	0	0
15. Indiana	IN	N	0	0	0	0	0	0	0	0
16. Iowa	IA	L	224,736,502	176,912,827	0	18,309,873	0	419,959,202	0	0
17. Kansas	KS	N	0	0	0	0	0	0	0	0
18. Kentucky	KY	N	0	0	0	0	0	0	0	0
19. Louisiana	LA	N	0	0	0	0	0	0	0	0
20. Maine	ME	N	0	0	0	0	0	0	0	0
21. Maryland	MD	N	0	0	0	0	0	0	0	0
22. Massachusetts	MA	N	0	0	0	0	0	0	0	0
23. Michigan	MI	N	0	0	0	0	0	0	0	0
24. Minnesota	MN	N	0	0	0	0	0	0	0	0
25. Mississippi	MS	N	0	0	0	0	0	0	0	0
26. Missouri	MO	N	0	0	0	0	0	0	0	0
27. Montana	MT	N	0	0	0	0	0	0	0	0
28. Nebraska	NE	N	0	0	0	0	0	0	0	0
29. Nevada	NV	N	0	0	0	0	0	0	0	0
30. New Hampshire	NH	N	0	0	0	0	0	0	0	0
31. New Jersey	NJ	N	0	0	0	0	0	0	0	0
32. New Mexico	NM	N	0	0	0	0	0	0	0	0
33. New York	NY	N	0	0	0	0	0	0	0	0
34. North Carolina	NC	N	0	0	0	0	0	0	0	0
35. North Dakota	ND	N	0	0	0	0	0	0	0	0
36. Ohio	OH	N	0	0	0	0	0	0	0	0
37. Oklahoma	OK	N	0	0	0	0	0	0	0	0
38. Oregon	OR	N	0	0	0	0	0	0	0	0
39. Pennsylvania	PA	N	0	0	0	0	0	0	0	0
40. Rhode Island	RI	N	0	0	0	0	0	0	0	0
41. South Carolina	SC	N	0	0	0	0	0	0	0	0
42. South Dakota	SD	N	0	0	0	0	0	0	0	0
43. Tennessee	TN	L	110,432,593	722,519,140	2,531,491,466	0	0	3,364,443,199	0	0
44. Texas	TX	N	0	0	0	0	0	0	0	0
45. Utah	UT	N	0	0	0	0	0	0	0	0
46. Vermont	VT	N	0	0	0	0	0	0	0	0
47. Virginia	VA	L	34,139,550	96,410,629	0	0	0	130,550,179	0	0
48. Washington	WA	N	0	0	0	0	0	0	0	0
49. West Virginia	WV	N	0	0	0	0	0	0	0	0
50. Wisconsin	WI	N	0	0	0	0	0	0	0	0
51. Wyoming	WY	N	0	0	0	0	0	0	0	0
52. American Samoa	AS	N	0	0	0	0	0	0	0	0
53. Guam	GU	N	0	0	0	0	0	0	0	0
54. Puerto Rico	PR	N	0	0	0	0	0	0	0	0
55. U.S. Virgin Islands	VI	N	0	0	0	0	0	0	0	0
56. Northern Mariana Islands	MP	N	0	0	0	0	0	0	0	0
57. Canada	CAN	N	0	0	0	0	0	0	0	0
58. Aggregate other alien	OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal	XXX	396,570,964	1,075,097,503	2,531,491,466	18,309,873	0	0	4,021,469,806	0	0
60. Reporting entity contributions for Employee Benefit Plans	XXX	0	0	0	0	0	0	0	0	0
61. Total (Direct Business)	(a) 4	396,570,964	1,075,097,503	2,531,491,466	18,309,873	0	0	4,021,469,806	0	0
DETAILS OF WRITE-INS										
58001.	XXX									
58002.	XXX									
58003.	XXX									
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

Explanation of basis of allocation by states, premiums by state, etc.

Premiums are allocated based on geographic market.

(a) Insert the number of L responses except for Canada and Other Alien.

**SCHEDULE T - PART 2
INTERSTATE COMPACT - EXHIBIT OF PREMIUMS WRITTEN**

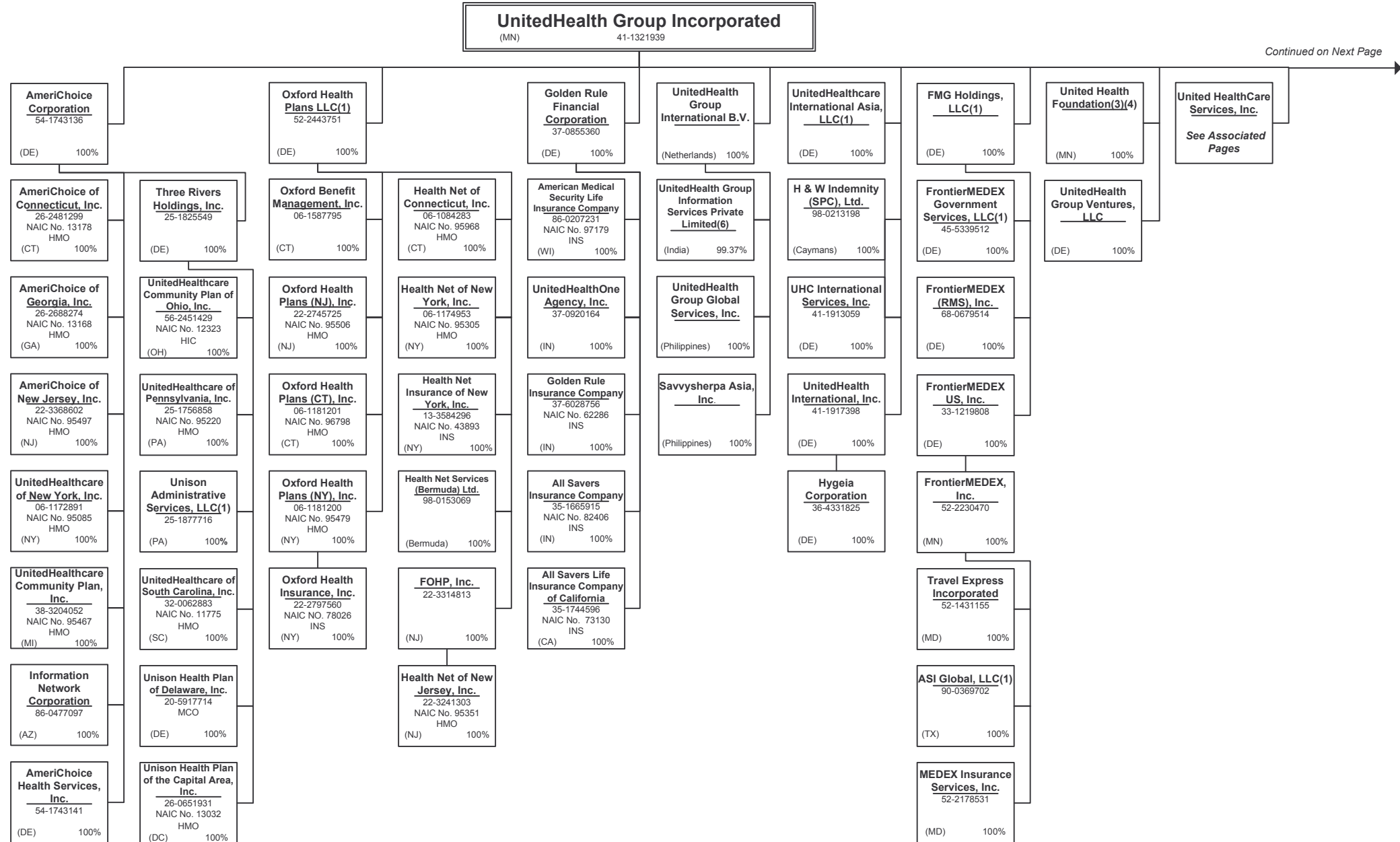
Allocated by States and Territories

States, Etc.	Direct Business Only					Totals
	1 Life (Group and Individual)	2 Annuities (Group and Individual)	3 Disability Income (Group and Individual)	4 Long-Term Care (Group and Individual)	5 Deposit-Type Contracts	
1. Alabama	AL					
2. Alaska	AK					
3. Arizona	AZ					
4. Arkansas	AR					
5. California	CA					
6. Colorado	CO					
7. Connecticut	CT					
8. Delaware	DE					
9. District of Columbia	DC					
10. Florida	FL					
11. Georgia	GA					
12. Hawaii	HI					
13. Idaho	ID					
14. Illinois	IL					
15. Indiana	IN					
16. Iowa	IA					
17. Kansas	KS					
18. Kentucky	KY					
19. Louisiana	LA					
20. Maine	ME					
21. Maryland	MD					
22. Massachusetts	MA					
23. Michigan	MI					
24. Minnesota	MN					
25. Mississippi	MS					
26. Missouri	MO					
27. Montana	MT					
28. Nebraska	NE					
29. Nevada	NV					
30. New Hampshire	NH					
31. New Jersey	NJ					
32. New Mexico	NM					
33. New York	NY					
34. North Carolina	NC					
35. North Dakota	ND					
36. Ohio	OH					
37. Oklahoma	OK					
38. Oregon	OR					
39. Pennsylvania	PA					
40. Rhode Island	RI					
41. South Carolina	SC					
42. South Dakota	SD					
43. Tennessee	TN					
44. Texas	TX					
45. Utah	UT					
46. Vermont	VT					
47. Virginia	VA					
48. Washington	WA					
49. West Virginia	WV					
50. Wisconsin	WI					
51. Wyoming	WY					
52. American Samoa	AS					
53. Guam	GU					
54. Puerto Rico	PR					
55. U.S. Virgin Islands	VI					
56. Northern Mariana Islands	MP					
57. Canada	CAN					
58. Aggregate Other Alien	OT					
59. Total						

NONE

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

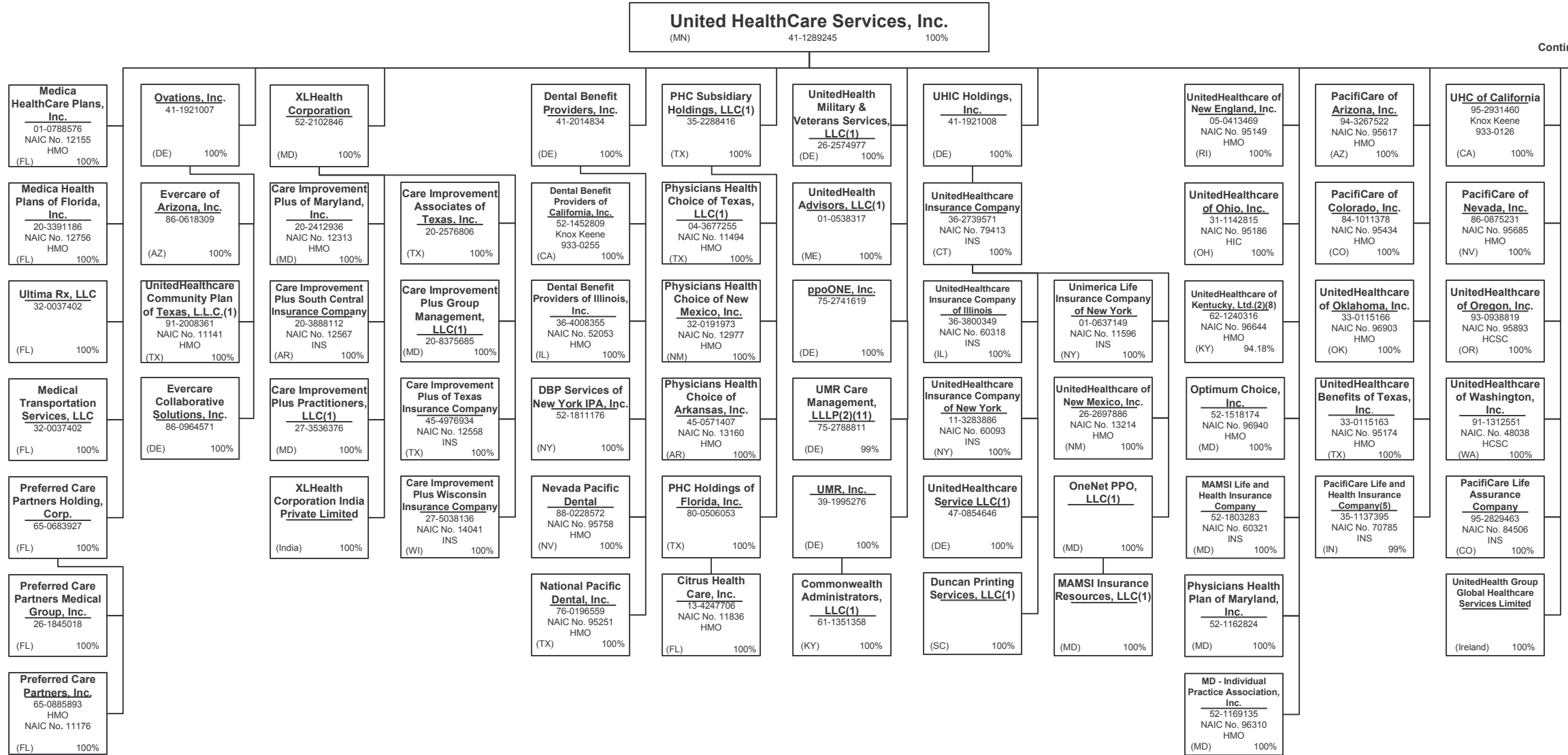


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SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Continued on Next Page



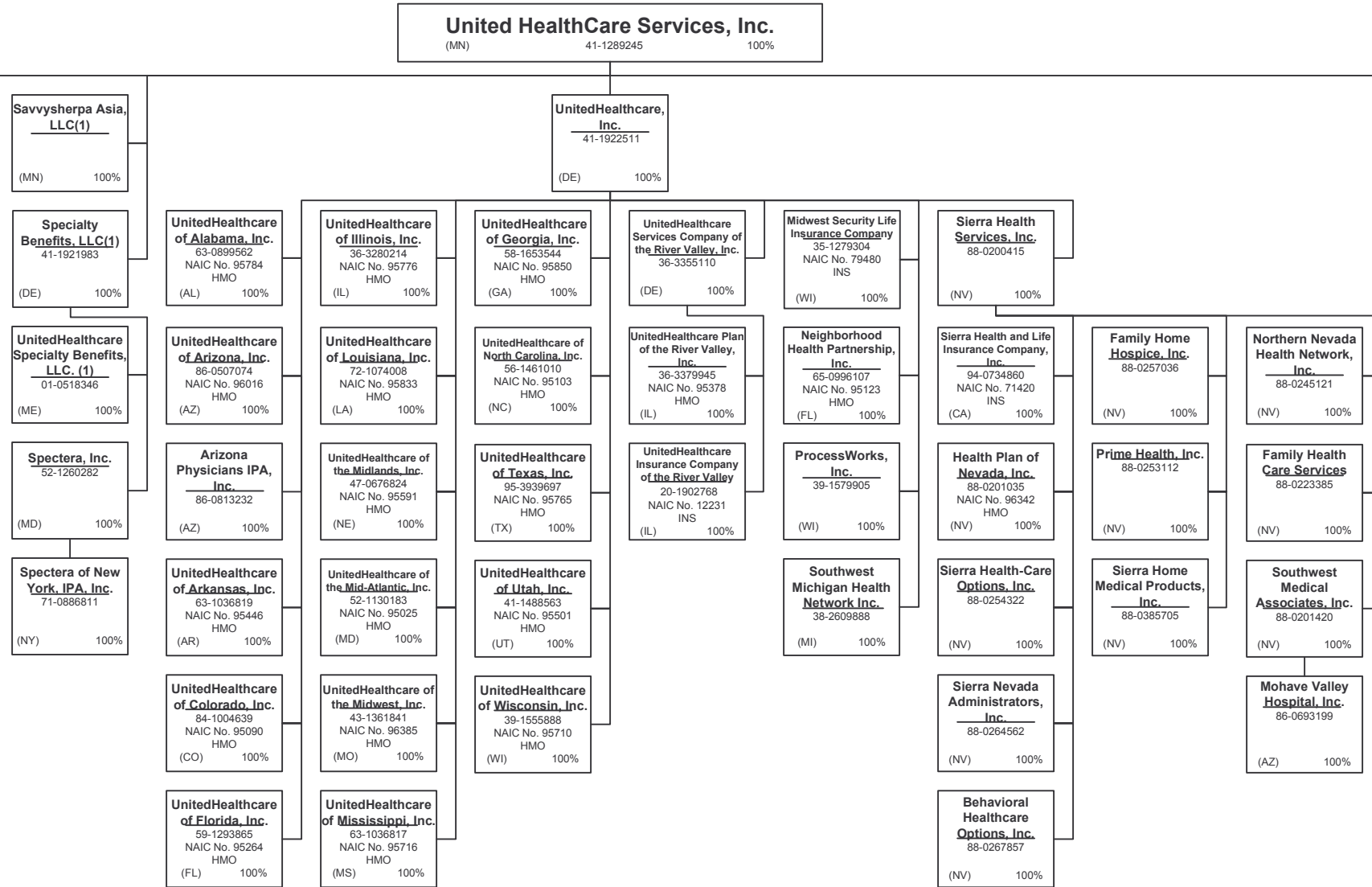
40.2

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Continued from Previous Page

Continued on Next Page



40.3

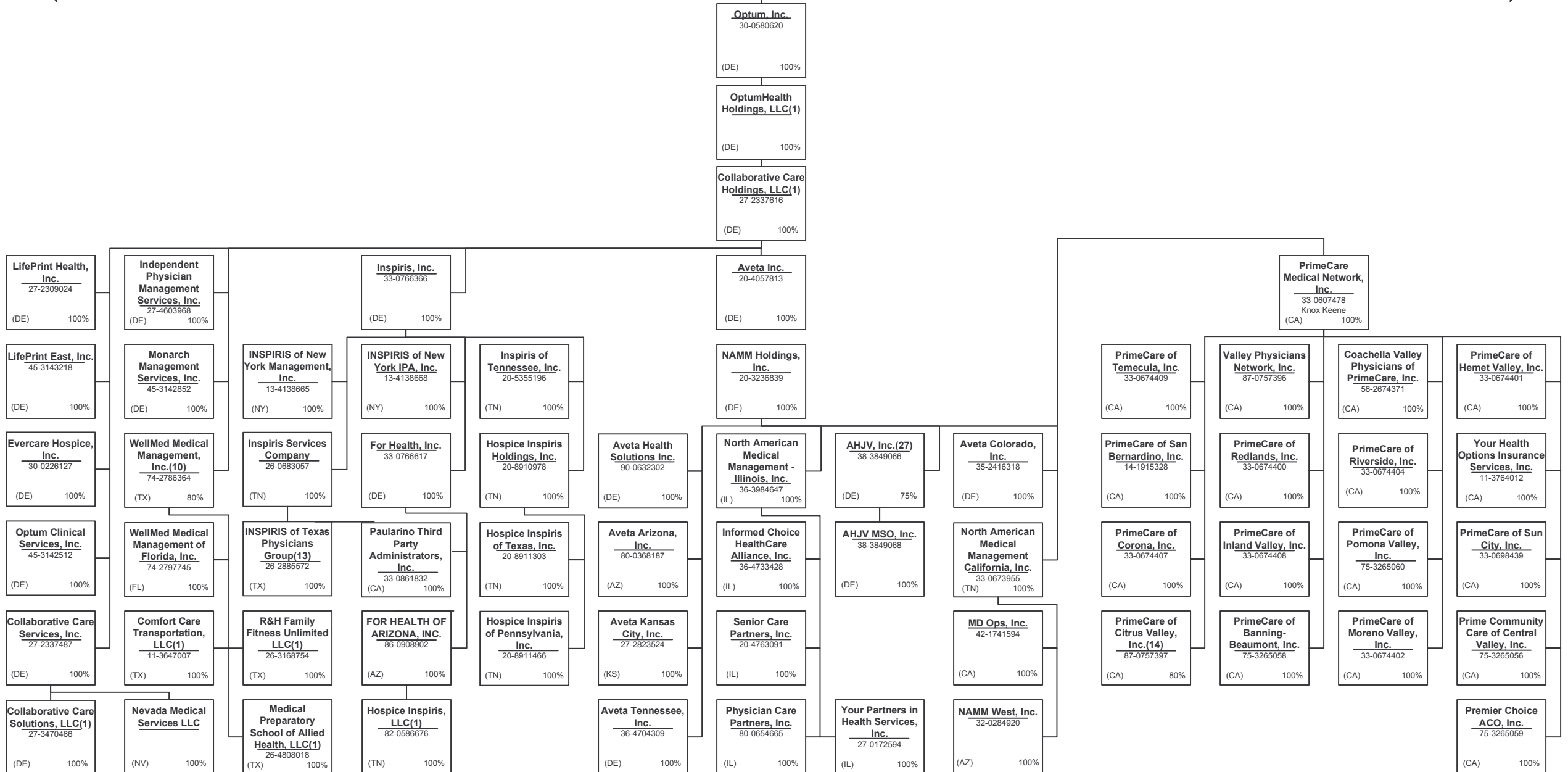
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Continued from Previous Page

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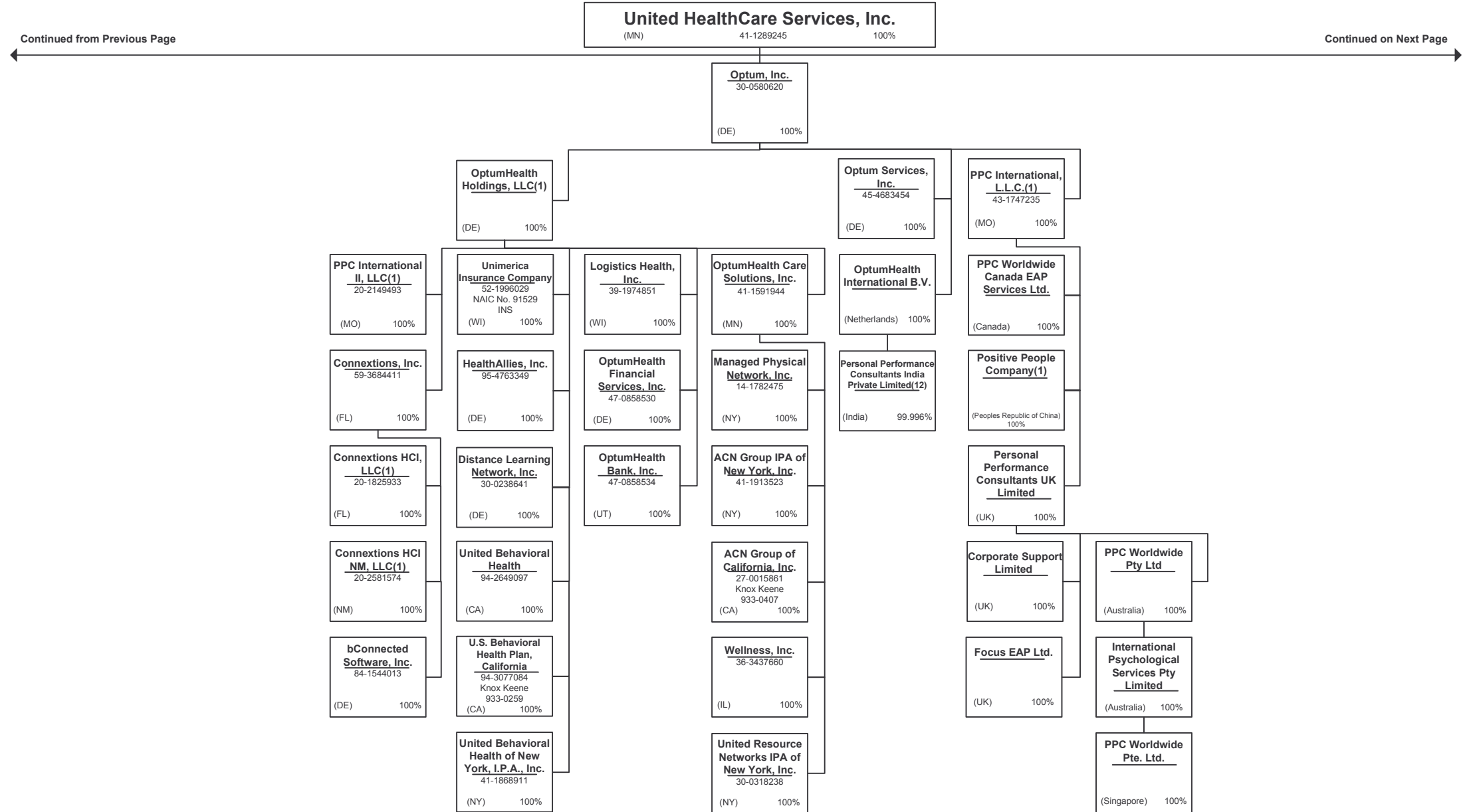
United HealthCare Services, Inc.
(MN) 41-1289245 100%



40.4

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

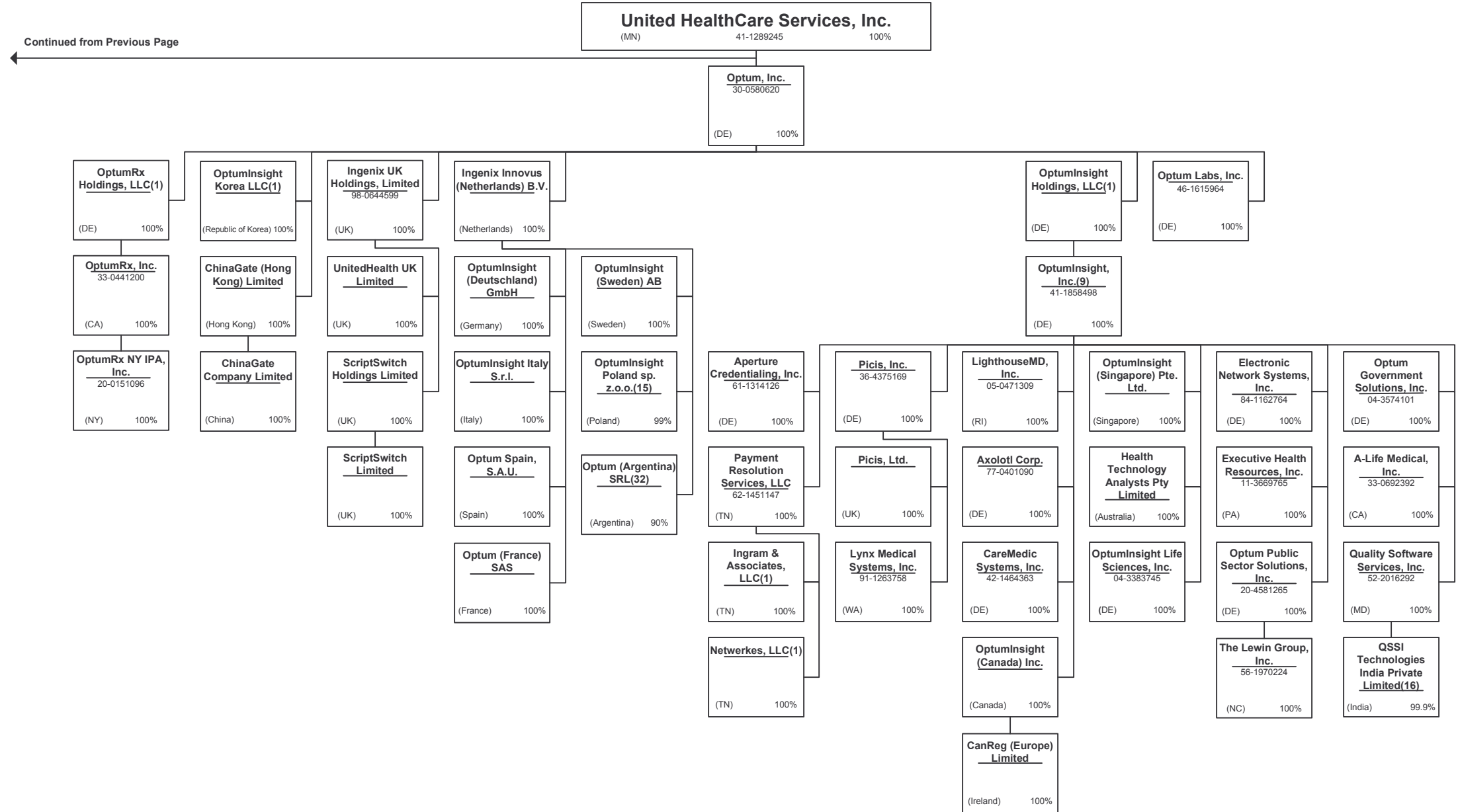


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SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



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SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Notes

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

(1) Entity is a Limited Liability Company

(2) Entity is a Partnership

(3) Entity is a Non-Profit Corporation

(4) Control of the Foundation is based on sole membership, not the ownership of voting securities

(5) PacifiCare Life and Health Insurance Company is 99% owned by PacifiCare Health Plan Administrators, Inc. and 1% owned by PacifiCare Health Systems, LLC

(6) UnitedHealth Group Information Services Private Limited is 99.37% owned by UnitedHealth Group International B.V.. The remaining 0.63% is owned by UnitedHealth International, Inc.

(7) United Healthcare India Private Limited is 99.9952% owned by UnitedHealthcare International II B.V. and 0.0048% owned by UnitedHealth International, Inc.

(8) General partnership interests are held by United HealthCare Services, Inc. (89.77%) and by UnitedHealthcare, Inc. (10.23%). United HealthCare Services, Inc. also holds 100% of the limited partnership interests. When combining general and limited partner interests, United HealthCare Services, Inc. owns 94.18% and UnitedHealthcare, Inc. owns 5.83%.

(9) Established a branch, Ingenix, Inc. – Abu Dhabi, located in Abut Dhabi, UAE.

(10) WellMed Medical Management, Inc. is 80% owned by Collaborative Care Holdings, LLC and 20% owned by WMG Healthcare Partners, L.P.

(11) Limited partnership interest is held by United HealthCare Services, Inc. (99%). General partnership interest is held by UMR, Inc. (1%)

(12) Personal Performance Consultants India Private Limited is 99.996% owned by OptumHealth International B.V. and 0.004 % owned by United Behavioral Health.

(13) INSPIRIS of Texas Physicians Group is a Texas non-profit (taxable) whose sole member is Inspiris Services Company.

(14) PrimeCare of Citrus Valley, Inc. is 80% owned by PrimeCare Medical Network, Inc. and 20% owned by Citrus Valley Medical Associates, Inc.

(15) OptumInsight Poland sp. z.o.o. is 99% owned by Ingenix Innovus (Netherlands) B.V. The remaining 1% is owned by OptumInsight, Inc.

(16) QSSI Technologies India Private Limited is 99.9% owned by Quality Software Services, Inc. and 0.1% owned by an Indian citizen.

(17) Amico Saúde Ltda. is 99.9999997% owned by Amil Participações S.A. and 0.0000003% owned by and officer of Amil.

(18) Esho – Empresa de Serviços Hospitalares S.A is 97.285887% owned by Amil Assistência Médica Internacional S.A.; 0.224917% owned by Amico Saúde Ltda.; 0.094901 owned by Treasury Shares and 2.4% owned by external shareholders.

(19) Etho – Empresa de Tecnologia Hospitalar Ltda. 50.01% owned by Amil Assistência Médica Internacional S.A. and 49.99% owned by an external shareholder.

(20) Orion Participações e Administração Ltda. is 99.99998% owned by Amil Assistência Médica Internacional S.A. and 0.00002% owned by Amico Saúde Ltda.

(21) Excellion Serviços Biomédicos S.A. is 99.21108% owned by Esho – Empresa de Serviços Hospitalares S.A and 0.78892% owned by external shareholders.

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SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Notes

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- (22) Aeromil Táxi Aéreo Ltda. Is 20% owned by Amil Assistência Médica Internacional S.A. and 80% owned by the Chairman and CEO of Amil.
- (23) Cemed Care Empresa de Atendimento Clínico Geral Ltda. Is 97.20% owned by Amil Assistência Médica Internacional S.A. and 2.8% owned by Amico Saúde Ltd.
- (24) Fernandópolis Assistência Médica Ltda. is 80% owned by Amil Assistência Médica Internacional S.A. and 20% owned by an external shareholder.
- (25) Ceame – Centro Especializado de Atendimento Médico S/C Ltda. Is 99.99% owned by Amil Assistência Médica Internacional S.A. and 0.01% owned by Cemed Care Empresa de Atendimento Clínico Geral Ltda.
- (26) Bosque Medical Center S.A. is 53.2889% owned by Amil Assistência Médica Internacional S.A.; 33.7727% owned by Amico Saúde Ltd. and 12.9384% owned by Esho – Empresa de Serviços Hospitalares S.A.
- (27) AHJV, Inc. is 75% owned by NAMB Holdings, Inc. and 25% owned by Humana, Inc.
- (28) ASL Assistência a Saúde Ltda. Is 99.99995% owned by Amil Assistência Médica Internacional S.A and 0.00005% owned by an officer of Amil.
- (29) Promarket Propaganda e Marketing Ltda. is 99.792% owned by Amil Assistência Médica Internacional S.A and 0.208% owned by Amico Saúde Ltd.
- (30) Amil Clinical Research Participações Ltda. is 99.95% owned by Amil Lifesciences Participações Ltda. and .05% owned by an officer of Amil.
- (31) Imed Star Serviços Médicos e Odontológicos Ltda. is 50% owned by Amil Assistência Médica Internacional S.A and 50% owned by Amico Saúde Ltd.
- (32) Optum Argentina is 90% owned by Ingenix Innovus (Netherlands) BV and 10% owned by ScriptSwitch Holdings Limited.
- (33) Hospital Alvorada Taguatinga Ltda. Is 99.99995% owned by Amil Assistência Médica Internacional S.A. and 0.00005% owned by an officer of Amil.
- (34) Amil Lifesciences Participações Ltda. Is 99.98486% owned by Amil Assistência Médica Internacional S.A and 0.01514% owned by an officer of Amil.
- (35) Amil Participações S.A. is 74.49% owned by Mind Solutions S.A and the remaining 25.51% is owned by other parties.
- (36) Amil Planos por Administração Ltda. is 98.69121% owned by Amil Assistência Médica Internacional S.A; 1.30196% owned by Amico Saúde Ltd and 0.00683% owned by an officer of Amil.
- (37) The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC (4.41%), UnitedHealthcare International LATAM Corporation (2.3%), Hygeia Corporation (DE) (0.33%) and UnitedHealth Group Incorporated (92.97%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P.
- (38) Polar II Fundo de Investimento em Participações is a Brazilian private equity investment fund incorporated in the form of a closed-end condominium.
- (39) UnitedHealthcare International I, B.V. is 75.76% owned by UnitedHealth Group International L.P. and 24.24% owned by UnitedHealth Group International B.V.
- (40) Mind Solutions S.A. is 90% owned by Polar II Fundo de Investimento em Participações and 10% owned by Amil's founders.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	41-1913523				ACN Group IPA of New York, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-0015861				ACN Group of California, Inc.	CA	IA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Aeromil Táxi Aéreo Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	20.000	UnitedHealth Group Incorporated	2
		00000	38-3849068				AHJV MSO, Inc.	DE	NIA	AHJV, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	38-3849066				AHJV, Inc.	CA	NIA	NAMM Holdings, Inc.	Ownership	75.000	UnitedHealth Group Incorporated	3
		00000	33-0692392				A-Life Medical, Inc.	CA	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	82406	35-1665915				All Savers Insurance Company	IN	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	73130	35-1744596				All Savers Life Insurance Company of California	CA	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	97179	86-0207231				American Medical Security Life Insurance Company	WI	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	54-1743136				AmeriChoice Corporation	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	54-1743141				AmeriChoice Health Services, Inc.	DE	NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	13178	26-2481299				AmeriChoice of Connecticut, Inc.	CT	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	13168	26-2688274				AmeriChoice of Georgia, Inc.	GA	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95497	22-3368602				AmeriChoice of New Jersey, Inc.	NJ	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Amico Saúde Ltda.	BRA	NIA	Amil Participações S.A.	Ownership	100.000	UnitedHealth Group Incorporated	4
		00000					Amil Assistência Médica Internacional S.A.	BRA	NIA	Amil Participações S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Amil Clinical Research Participações Ltda.	BRA	NIA	Amil Participações S.A.	Ownership	100.000	UnitedHealth Group Incorporated	4
		00000					Amil Lifesciences Participações Ltda.	BRA	NIA	Amil Lifesciences Participações Ltda.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Amil Lifesciences Participações Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.000	UnitedHealth Group Incorporated	4
		00000					Amil Participações S.A.	BRA	NIA	Mind Solutions S.A.	Ownership	74.000	UnitedHealth Group Incorporated	5
		00000					Amil Planos por Administração Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	99.000	UnitedHealth Group Incorporated	6
		00000					Amil Planos por Administração Ltda.	BRA	NIA	Amico Saúde Ltda.	Ownership	1.000	UnitedHealth Group Incorporated	6
		00000	61-1314126				Aperture Credentialing, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	86-0813232				Arizona Physicians IPA, Inc.	AZ	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	90-0369702				ASI Global, LLC	TX	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					ASL Assistência a Saúde Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.000	UnitedHealth Group Incorporated	3
		00000	80-0368187				Aveta Arizona, Inc.	AZ	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	35-2416318				Aveta Colorado, Inc.	DE	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	90-0632302				Aveta Health Solutions Inc.	DE	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-4057813				Aveta Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2823524				Aveta Kansas City, Inc.	KS	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-4704309				Aveta Tennessee, Inc.	DE	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	77-0401090				Axolotl Corp.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	84-1544013				bConnected Software, Inc.	DE	NIA	Connexions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0267857				Behavioral Healthcare Options, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Bosque Medical Center S.A.	BRA	NIA	Amico Saúde Ltda.	Ownership	34.000	UnitedHealth Group Incorporated	
		00000					Bosque Medical Center S.A.	BRA	NIA	Esho - Empresa de Serviços Hospitalares S.A.	Ownership	13.000	UnitedHealth Group Incorporated	
		00000					Bosque Medical Center S.A.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	53.000	UnitedHealth Group Incorporated	
		00000					Canada Health Group International Limited	CAN	NIA	FrontierMEDEX Canada Holdings Ltd.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					CanReg (Europe) Limited	JRL	NIA	OptumInsight (Canada) Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-2576806				Care Improvement Associates of Texas, Inc.	TX	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	20-8375685				Care Improvement Plus Group Management, LLC	MD	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	12313	20-2412936				Care Improvement Plus of Maryland, Inc.	MD	IA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		12558	45-4976934				Care Improvement Plus of Texas Insurance Company	TX	IA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	00000	27-3536376				Care Improvement Plus Practitioners, LLC	MD	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		12567	20-3888112				Care Improvement Plus South Central Insurance Company	AR	IA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	14041	27-5038136				Care Improvement Plus Wisconsin Insurance Company	WI	IA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	42-1464363				CareMedic Systems, Inc.	CA	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Ceame - Centro Especializado de Atendimento Médico S/C Ltda.	BRA	NIA	Cemed Care Empresa de Atendimento Clínico Geral Ltda.	Ownership	0.000	UnitedHealth Group Incorporated	
		00000					Ceame - Centro Especializado de Atendimento Médico S/C Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Cemed Care Empresa de Atendimento Clínico Geral Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	97.000	UnitedHealth Group Incorporated	
		00000					Cemed Care Empresa de Atendimento Clínico Geral Ltda.	BRA	NIA	Amico Saúde Ltda.	Ownership	3.000	UnitedHealth Group Incorporated	
		00000					ChinaGate (Hong Kong) Limited	HKG	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					ChinaGate Company Limited	CHN	NIA	ChinaGate (Hong Kong) Limited	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	11836	13-4247706				Citrus Health Care, Inc.	FL	IA	PHC Holdings of Florida, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	56-2674371				Coachella Valley Physicians of PrimeCare, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2337616				Collaborative Care Holdings, LLC	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2337487				Collaborative Care Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-3470466				Collaborative Care Solutions, LLC	DE	NIA	Collaborative Care Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	11-3647007				Comfort Care Transportation, LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	61-1351358				Commonwealth Administrators, LLC	KY	NIA	UMR, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-2581574				Connexions HCl NM, LLC	NM	NIA	Connexions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-1825933				Connexions HCl, LLC	FL	NIA	Connexions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	59-3684411				Connexions, Inc.	FL	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Corporate Support Limited		NIA	Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-1811176				DBP Services of New York IPA, Inc.	NY	NIA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Dental Benefit Providers of California, Inc.	CA	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-1452809				Dental Benefit Providers of Illinois, Inc.		IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	52053	36-4008355				Dental Benefit Providers, Inc.	IL	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-2014834				Dental Benefit Providers, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	30-0238641				Distance Learning Network, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Duncan Printing Services, LLC	SC	NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	84-1162764				Electronic Network Systems, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					ELG FZE	ARE	NIA	FrontierMEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Esho - Empresa de Serviços Hospitalares S.A.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	97.000	UnitedHealth Group Incorporated	7
		00000					Esho - Empresa de Serviços Hospitalares S.A.	BRA	NIA	Amico Saúde Ltda.	Ownership	0.000	UnitedHealth Group Incorporated	7
		00000					Etho - Empresa de Tecnologia Hospitalar Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	50.000	UnitedHealth Group Incorporated	5
		00000	86-0964571				Evercare Collaborative Solutions, Inc.	DE	NIA	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

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SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000	30-0226127				Evercare Hospice, Inc.	.DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	86-0618309				Evercare of Arizona, Inc.	.AZ	IA	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Excellion Serviços Biomédicos S.A.	.BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A	Ownership	99.000	UnitedHealth Group Incorporated	5
		.00000					Excelsior Med S.A.	.BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	11-3669765				Executive Health Resources, Inc.	.PA	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Exlogs EBT Holdings Limited	.JEY	NIA	FrontierMEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Exlogs HSE, Inc. (Canada)	.CAN	NIA	FrontierMEDEX Canada Holdings Ltd.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Exploration for Mine Clearance, LLC	.IRQ	NIA	FrontierMEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Exploration Logistics BC Limited	.CAN	NIA	FrontierMEDEX Canada Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Exploration Logistics Group for Medical Services PLC LTD	.IRQ	NIA	FrontierMEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Exploration Logistics Limited		NIA	FrontierMEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Exploration Logistics Newfoundland Limited	.CAN	NIA	FrontierMEDEX Canada Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Exploration Logistics Nova Scotia Limited	.CAN	NIA	FrontierMEDEX Canada Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	88-0223385				Family Health Care Services	.NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	88-0257036				Family Home Hospice, Inc.	.NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Fernandópolis Assistência Médica Ltda.	.BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	80.000	UnitedHealth Group Incorporated	5
		.00000	35-2456267				FMG Holdings, LLC	.DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Focus EAP Ltd.		NIA	Personal Performance Consultants UK Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	22-3314813				FOHP, Inc.	.NJ	NIA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	86-0908902				FOR HEALTH OF ARIZONA, INC.	.AZ	NIA	For Health, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	33-0766617				For Health, Inc.	.DE	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Frontier Medical Services Limited		NIA	FrontierMEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	68-0679514				FrontierMEDEX (RMS), Inc.	.DE	NIA	FMG Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					FrontierMEDEX Canada Holdings Ltd.	.CAN	NIA	FrontierMEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					FrontierMEDEX Canada Limited	.CAN	NIA	Canada Health Group International Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	45-5339512				FrontierMEDEX Government Services, LLC	.DE	NIA	FMG Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					FrontierMEDEX Group Limited		NIA	UnitedHealthcare International I, B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					FrontierMEDEX Limited		NIA	FrontierMEDEX UK Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					FrontierMEDEX UK Limited		NIA	FrontierMEDEX Group Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	33-1219808				FrontierMEDEX US, Inc.	.DE	NIA	FMG Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	52-2230470				FrontierMEDEX, Inc.	.MN	NIA	FrontierMEDEX US, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	37-0855360				Golden Rule Financial Corporation	.DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.62286	37-6028756	3057283			Golden Rule Insurance Company	.IN	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-0213198				H&W Indemnity (SPC), Ltd.	.CYM	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.43893	13-3584296				Health Net Insurance of New York, Inc.	.NY	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95968	06-1084283				Health Net of Connecticut, Inc.	.CT	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95351	22-3241303				Health Net of New Jersey, Inc.	.NJ	IA	FOHP, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95305	06-1174953				Health Net of New York, Inc.	.NY	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-0153069				Health Net Services (Bermuda) Ltd.	.BMU	NIA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.96342	88-0201035				Health Plan of Nevada, Inc.	.NV	IA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Health Technology Analysts Pty Limited	.AUS	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	95-4763349				HealthAllies, Inc.	.DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	20-8910978				Hospice Inspiris Holdings, Inc.	.TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	20-8911466				Hospice Inspiris of Pennsylvania, Inc.	.TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

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SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000	20-8911303				Hospice Inspiris of Texas, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	82-0586676				Hospice Inspiris, LLC	TN	NIA	FOR HEALTH OF ARIZONA, INC.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Hospital Alvorada de Taguatinga Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.000	UnitedHealth Group Incorporated	4
		.00000	36-4331825				Hygeia Corporation	DE	NIA	UnitedHealth International, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Hygeia Corporation (Ontario)	CAN	NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Imed Star Serviços Médicos e Odontológicos Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	50.000	UnitedHealth Group Incorporated	
		.00000					Imed Star Serviços Médicos e Odontológicos Ltda.	BRA	NIA	Amico Saúde Ltda.	Ownership	50.000	UnitedHealth Group Incorporated	
		.00000	27-4603968				Independent Physician Management Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	86-0477097				Information Network Corporation	AZ	NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	36-4733428				Informed Choice HealthCare Alliance, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Ingenix Innovus (Netherlands) B.V.	NLD	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	98-0644599				Ingenix UK Holdings Limited		NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	62-1641102				Ingram & Associates, LLC	TN	NIA	Payment Resolution Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	13-4138668				INSPIRIS of New York IPA, Inc.	NY	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	13-4138665				INSPIRIS of New York Management, Inc.	NY	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	20-5355196				Inspiris of Tennessee, Inc.	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	26-2885572				INSPIRIS of Texas Physician Group	TX	NIA	Inspiris Services Company	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	26-0683057				Inspiris Services Company	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	33-0766366				Inspiris, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					International Psychological Services Pty Limited	AUS	NIA	PPC Worldwide Pty Ltd	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	45-3143218				Lifeprint East, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	27-2309024				Lifeprint Health, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	05-0471309				LighthouseMD, Inc.	RI	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	39-1974851				Logistics Health, Inc.	WI	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	91-1263758				Lynx Medical Systems, Inc.	WA	NIA	Picis, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	52-2129787				MANSI Insurance Resources, LLC	MD	NIA	OneNet PPO, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	.60321	52-1803283				MANSI Life and Health Insurance Company	MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	14-1782475				Managed Physical Network, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	42-1741594				MD Ops, Inc.	CA	NIA	North American Medical Management California, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	.96310	52-1169135				MD-Individual Practice Association, Inc.	MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Medekit.com Limited		NIA	FrontierMEDEX Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	52-2178531				MEDEX Insurance Services, Inc.	MD	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	.12756	20-3391186				Medica Health Plans of Florida, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	.12155	01-0788576				Medica HealthCare Plans, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	26-4808018				Medical Preparatory School of Allied Health, LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	32-0037402				Medical Transportation Services, LLC	FL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	.79480	35-1279304				Midwest Security Life Insurance Company	WI	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000					Mind Solutions S.A.	BRA	NIA	Polar II Fundo de Investimento em Participações	Ownership	86.000	UnitedHealth Group Incorporated	5
		.00000	86-0693199				Mohave Valley Hospital, Inc.	AZ	NIA	Southwest Medical Associates, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	45-3142852				Monarch Management Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.00000	20-3236839				NAAM Holdings, Inc.	DE	NIA	Aveta Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	32-0284920				NAMM West, Inc.	CA	NIA	North American Medical Management California, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95251	76-0196559				National Pacific Dental, Inc.	TX	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95123	65-0996107				Neighborhood Health Partnership, Inc.	FL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-4755277				Netwerkes, LLC	TN	NIA	Payment Resolution Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Nevada Medical Services LLC	NV	NIA	Collaborative Care Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95758	88-0228572				Nevada Pacific Dental	NV	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					North American Medical Management - Illinois, Inc.	IL	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-3984647				North American Medical Management California, Inc.	TN	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0673955				Northern Nevada Health Network, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0245121				OneNet PPO, LLC	MD	NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	96940	52-2129786				Optimum Choice, Inc.	MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-1518174				Optum (Argentina) SRL	ARG	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	90.000	UnitedHealth Group Incorporated	
		00000					Optum (Argentina) SRL	ARG	NIA	ScriptSwitch Holdings Limited	Ownership	10.000	UnitedHealth Group Incorporated	
		00000					Optum (France) SAS	FRA	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Optum (Spain), S.A.U.	ESP	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-0858534				Optum Bank, Inc.	UT	NIA	OptumHealth Financial Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-3142512				Optum Clinical Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	04-3574101				Optum Government Solutions, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-1615964				Optum Labs, Inc.	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-4581265				Optum Public Sector Solutions, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-4683454				Optum Services, Inc.	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	30-0580620	3119994			Optum, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1591944				OptumHealth Care Solutions, Inc.	MN	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-0858530				OptumHealth Financial Services, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumHealth Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumHealth International B.V.	NLD	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumInsight (Canada) Inc.	CAN	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumInsight (Deutschland) GmbH	DEU	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumInsight (Singapore) Pte. Ltd.	SGP	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumInsight (Sweden) AB	SWE	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumInsight Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumInsight Italy S.r.l.	ITA	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumInsight Korea LLC		NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	04-3383745				OptumInsight Life Sciences, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumInsight Poland sp. z.o.o.	POL	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	99.000	UnitedHealth Group Incorporated	
		00000					OptumInsight Poland sp. z.o.o.	POL	NIA	OptumInsight, Inc.	Ownership	1.000	UnitedHealth Group Incorporated	
		00000	41-1858498				OptumInsight, Inc.	DE	NIA	OptumInsight Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					OptumRx Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-0151096				OptumRx NY IPA, Inc.	NY	NIA	OptumRx, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0441200				OptumRx, Inc.	CA	NIA	OptumRx Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Orion Participações e Administração Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Orion Participações e Administração Ltda.	BRA	NIA	Amico Saúde Ltda.	Ownership	0.000	UnitedHealth Group Incorporated	
		00000	41-1921007				Ovations, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	06-1587795				Oxford Benefit Management, Inc.	CT	NIA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	78026	22-2797560				Oxford Health Insurance, Inc.	NY	IA	Oxford Health Plans (NY), Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	96798	06-1181201				Oxford Health Plans (CT), Inc.	CT	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95506	22-2745725				Oxford Health Plans (NJ), Inc.	NJ	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
...0707	UnitedHealth Group Incorporated	95479	06-1181200				Oxford Health Plans (NY), Inc.	..NY	..IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2443751				Oxford Health Plans LLC	..DE	..NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
...0707	UnitedHealth Group Incorporated	70785	35-1137395				PacifiCare Life and Health Insurance Company	..IN	..IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
...0707	UnitedHealth Group Incorporated	84506	95-2829463				PacifiCare Life Assurance Company	..CO	..IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
...0707	UnitedHealth Group Incorporated	95617	94-3267522				PacifiCare of Arizona, Inc.	..AZ	..IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
...0707	UnitedHealth Group Incorporated	95434	84-1011378				PacifiCare of Colorado, Inc.	..CO	..IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
...0707	UnitedHealth Group Incorporated	95685	86-0875231				PacifiCare of Nevada, Inc.	..NV	..IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0861832				Paularino Third Party Administrators, Inc.	..CA	..NIA	For Health, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	62-1451147				Payment Resolution Services, LLC	..TN	..NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Personal Performance Consultants India Private Limited	..IND	..NIA	United Behavioral Health	Ownership	0.000	UnitedHealth Group Incorporated	
		00000					Personal Performance Consultants India Private Limited	..IND	..NIA	OptumHealth International B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Personal Performance Consultants UK Limited	..NIA	..NIA	PPC International, L.L.C.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	80-0506053				PHC Holdings of Florida, Inc.	..TX	..NIA	PHC Subsidiary Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	35-2288416				PHC Subsidiary Holdings, LLC	..TX	..NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	80-0654665				Physician Care Partners, Inc.	..IL	..NIA	North American Medical Management - Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
...0707	UnitedHealth Group Incorporated	13160	45-0571407				Physicians Health Choice of Arkansas, Inc.	..AR	..IA	PHC Subsidiary Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
...0707	UnitedHealth Group Incorporated	12977	32-0191973				Physicians Health Choice of New Mexico, Inc.	..NM	..IA	PHC Subsidiary Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
...0707	UnitedHealth Group Incorporated	11494	04-3677255				Physicians Health Choice of Texas, LLC	..TX	..IA	PHC Subsidiary Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-1162824				Physicians Health Plan of Maryland, Inc.	..MD	..NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-4375169	3886791			Picis, Inc.	..DE	..NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Picis, Ltd.	..NIA	..NIA	Picis, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1083164				Polar II Fundo de Investimento em Participações	..BRA	..NIA	UnitedHealthcare International IV S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Positive People Company	..CHN	..NIA	PPC International, L.L.C.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-2149493				PPC International II, LLC	..MO	..NIA	United Behavioral Health	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	43-1747235				PPC International, L.L.C.	..MO	..NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					PPC Worldwide Canada EAP Services Ltd.	..CAN	..NIA	PPC International, L.L.C.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					PPC Worldwide Pte. Ltd.	..SGP	..NIA	International Psychological Services Pty Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					PPC Worldwide Pty Ltd	..AUS	..NIA	Personal Performance Consultants UK Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	75-2741619				ppoONE, Inc.	..DE	..NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	65-0683927				Preferred Care Partners Holding, Corp.	..FL	..NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-1845018				Preferred Care Partners Medical Group, Inc.	..FL	..NIA	Preferred Care Partners Holding, Corp.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-1845018				Preferred Care Partners Medical Group, Inc.	..FL	..NIA	Preferred Care Partners Holding, Corp.	Ownership	100.000	UnitedHealth Group Incorporated	
...0707	UnitedHealth Group Incorporated	11176	65-0885893				Preferred Care Partners, Inc.	..FL	..IA	Preferred Care Partners Holding, Corp.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	75-3265059				Premier Choice ACO, Inc.	..CA	..NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	75-3265056				Prime Community Care of Central Valley, Inc.	..CA	..NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0253112				Prime Health, Inc.	..NV	..IA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0607478				PrimeCare Medical Network, Inc.	..CA	..IA	NAAM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.0000	75-3265058				PrimeCare of Banning-Beaumont, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	87-0757397				PrimeCare of Citrus Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	80.000	UnitedHealth Group Incorporated	3
		.0000	33-0674407				PrimeCare of Corona, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	33-0674401				PrimeCare of Hemet Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	33-0674408				PrimeCare of Inland Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	33-0674402				PrimeCare of Moreno Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	75-3265060				PrimeCare of Pomona Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	33-0674400				PrimeCare of Redlands, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	33-0674404				PrimeCare of Riverside, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	14-1915328				PrimeCare of San Bernardino, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	33-0698439				PrimeCare of Sun City, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	33-0674409				PrimeCare of Temecula, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	39-1579905				Processworks, Inc.	WI	NIA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000					Promarket Propaganda e Marketing Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000					Promarket Propaganda e Marketing Ltda.	BRA	NIA	Amico Saúde Ltda.	Ownership	0.000	UnitedHealth Group Incorporated	
		.0000					QSSI Technologies India Private Limited	IND	NIA	Quality Software Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	5
		.0000	52-2016292				Quality Software Services, Inc.	MD	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	26-3168754				R&H Family Fitness Unlimited LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000					Savvysherpa Asia, Inc.	PHL	NIA	UnitedHealth Group International B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000					Savvysherpa Asia, LLC	MN	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000					ScriptSwitch Holdings Limited		NIA	Ingenix UK Holdings Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000					ScriptSwitch Limited		NIA	ScriptSwitch Holdings Limited	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000					Senior Care Partners, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.71420	94-0734860				Sierra Health and Life Insurance Company, Inc.	CA	IA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	88-0200415				Sierra Health Services, Inc.	NV	NIA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	88-0254322				Sierra Health-Care Options, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	88-0385705				Sierra Home Medical Products, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	88-0264562				Sierra Nevada Administrators, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	88-0201420				Southwest Medical Associates, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	38-2609888				Southwest Michigan Health Network Inc.	MI	NIA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	41-1921983				Specialty Benefits, LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	71-0886811				Spectera of New York, IPA, Inc.	NY	NIA	Spectera, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	52-1260282				Spectera, Inc.	MD	NIA	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	56-1970224				The Lewin Group, Inc.	NC	NIA	Optum Public Sector Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	25-1825549				Three Rivers Holdings, Inc.	DE	NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	52-1431155				Travel Express Incorporated	MD	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	94-3077084				U.S. Behavioral Health Plan, California	CA	IA	United Behavioral Health	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	41-1913059				UHC International Services, Inc.	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	95-2931460				UHC of California	CA	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	41-1921008				UHC Holdings, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	14-1892398				Ultima Rx, LLC	FL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	75-2788811				UMR Care Management, LLLP	DE	NIA	United HealthCare Services, Inc.	Ownership	99.000	UnitedHealth Group Incorporated	
		.0000	75-2788811				UMR Care Management, LLLP	DE	NIA	UMR, Inc.	Ownership	1.000	UnitedHealth Group Incorporated	
		.0000	39-1995276				UMR, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.91529	52-1996029				Unimerica Insurance Company	WI	IA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.11596	01-0637149				Unimerica Life Insurance Company of New York	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
		.0000	25-1877716				Unison Administrative Services, LLC	PA	NIA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	20-5917714				Unison Health Plan of Delaware, Inc.	DE	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	13032	26-0651931				Unison Health Plan of the Capital Area, Inc.	DC	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	94-2649097				United Behavioral Health	CA	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1868911				United Behavioral Health of New York, I.P.A., Inc.	NY	NIA	United Behavioral Health	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1941615				United Health Foundation	MN	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1289245	3410132			United HealthCare Services, Inc.	MN	UIP	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	30-0318238				United Resource Networks IPA of New York, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	01-0538317				UnitedHealth Advisors, LLC	ME	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UnitedHealth Group Global Healthcare Services Limited	JRL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UnitedHealth Group Global Services, Inc.	PHL	NIA	UnitedHealth Group International B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1321939		0000731766	New York Stock Exchange	UnitedHealth Group Incorporated	MN	UIP	Self	Ownership	0.000	UnitedHealth Group Incorporated	
		00000					UnitedHealth Group Information Services Private Limited	JND	NIA	UnitedHealth International, Inc.	Ownership	1.000	UnitedHealth Group Incorporated	
		00000					UnitedHealth Group Information Services Private Limited	JND	NIA	UnitedHealth Group International B.V.	Ownership	99.000	UnitedHealth Group Incorporated	
		00000					UnitedHealth Group International B.V.	NLD	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UnitedHealth Group International GP		NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UnitedHealthcare International LATAM Corporation		NIA	UnitedHealthcare International LATAM Corporation	Ownership	2.000	UnitedHealth Group Incorporated	1
		00000					UnitedHealth Group International L.P.		NIA	Hygeia Corporation	Ownership	0.000	UnitedHealth Group Incorporated	1
		00000					UnitedHealth Group International L.P.		NIA	UnitedHealth Group Incorporated	Ownership	93.000	UnitedHealth Group Incorporated	1
		00000					UnitedHealth Group International L.P.		NIA	FMG Holdings, LLC	Ownership	4.000	UnitedHealth Group Incorporated	1
		00000					UnitedHealth Group Ventures, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1917398				UnitedHealth International, Inc.	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UnitedHealth Military & Veterans Services, LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-2574977				UnitedHealth UK Limited		NIA	Ingenix UK Holdings Limited	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95174	33-0115163				UnitedHealthcare Benefits of Texas, Inc.	TX	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	12323	56-2451429				UnitedHealthcare Community Plan of Ohio, Inc.	OH	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	11141	91-2008361				UnitedHealthcare Community Plan of Texas, L.L.C.	TX	IA	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95467	38-3204052				UnitedHealthcare Community Plan, Inc.	MI	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UnitedHealthcare India Private Limited	JND	NIA	UnitedHealth International, Inc.	Ownership	0.000	UnitedHealth Group Incorporated	
		00000					UnitedHealthcare India Private Limited	JND	NIA	UnitedHealthcare International II, B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	79413	36-2739571				UnitedHealthcare Insurance Company	CT	IA	UHC Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UnitedHealthcare Insurance Company of Illinois	IL	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	60318	36-3800349				UnitedHealthcare Insurance Company of New York	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UnitedHealthcare Insurance Company of the River Valley	IL	IA	UnitedHealthcare Services Company of the River Valley, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	12231	20-1902768				UnitedHealthcare International Asia, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1988797				UnitedHealthcare International I S.a.r.l.	LUX	NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UnitedHealthcare International I, B.V.	NLD	NIA	UnitedHealth Group International L.P.	Ownership	76.000	UnitedHealth Group Incorporated	
		00000					UnitedHealthcare International I, B.V.	NLD	NIA	UnitedHealth Group International B.V.	Ownership	24.000	UnitedHealth Group Incorporated	

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000					UnitedHealthcare International II S.á.r.l.	LUX	NIA	UnitedHealthcare International I S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UnitedHealthcare International II, B.V.	NLD	NIA	UnitedHealth Group International B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					UnitedHealthcare International IV S.á.r.l.	LUX	NIA	UnitedHealthcare International II S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	99-0382287				UnitedHealthcare International LATAM Corporation	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95784	63-0899562				UnitedHealthcare of Alabama, Inc.	AL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96016	86-0507074				UnitedHealthcare of Arizona, Inc.	AZ	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95446	63-1036819				UnitedHealthcare of Arkansas, Inc.	AR	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95090	84-1004639				UnitedHealthcare of Colorado, Inc.	CO	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95264	59-1293865				UnitedHealthcare of Florida, Inc.	FL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95850	58-1653544				UnitedHealthcare of Georgia, Inc.	GA	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95776	36-3280214				UnitedHealthcare of Illinois, Inc.	IL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96644	62-1240316				UnitedHealthcare of Kentucky, Ltd.	KY	IA	United HealthCare Services, Inc.	Ownership	94.000	UnitedHealth Group Incorporated	B
0707	UnitedHealth Group Incorporated	96644	62-1240316				UnitedHealthcare of Kentucky, Ltd.	KY	IA	UnitedHealthcare, Inc.	Ownership	6.000	UnitedHealth Group Incorporated	B
0707	UnitedHealth Group Incorporated	95833	72-1074008				UnitedHealthcare of Louisiana, Inc.	LA	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95716	63-1036817				UnitedHealthcare of Mississippi, Inc.	MS	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95149	05-0413469				UnitedHealthcare of New England, Inc.	RI	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	13214	26-2697886				UnitedHealthcare of New Mexico, Inc.	NM	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95085	06-1172891				UnitedHealthcare of New York, Inc.	NY	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95103	56-1461010				UnitedHealthcare of North Carolina, Inc.	NC	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95186	31-1142815				UnitedHealthcare of Ohio, Inc.	OH	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96903	33-0115166				UnitedHealthcare of Oklahoma, Inc.	OK	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95893	93-0938819				UnitedHealthcare of Oregon, Inc.	OR	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95220	25-1756858				UnitedHealthcare of Pennsylvania, Inc.	PA	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	11775	32-0062883				UnitedHealthcare of South Carolina, Inc.	SC	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95765	95-3939697				UnitedHealthcare of Texas, Inc.	TX	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
							UnitedHealthcare of the Mid-Atlantic, Inc.	MD	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95591	47-0676824				UnitedHealthcare of the Midlands, Inc.	NE	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	96385	43-1361841				UnitedHealthcare of the Midwest, Inc.	MO	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95501	41-1488563				UnitedHealthcare of Utah, Inc.	UT	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	48038	91-1312551				UnitedHealthcare of Washington, Inc.	WA	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95710	39-1555888				UnitedHealthcare of Wisconsin, Inc.	WI	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
0707	UnitedHealth Group Incorporated	95378	36-3379945				UnitedHealthcare Plan of the River Valley, Inc.	IL	IA	UnitedHealthcare Services Company of the River Valley, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-0854646				UnitedHealthcare Service LLC	DE	NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-3355110				UnitedHealthcare Services Company of the River Valley, Inc.	DE	UDP	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	01-0518346				UnitedHealthcare Specialty Benefits, LLC	ME	NIA	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1922511				UnitedHealthcare, Inc.	DE	UIP	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	37-0920164				UnitedHealthOne Agency, Inc.	IN	NIA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	87-0757396				Valley Physicians Network, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	74-2797745				WellMed Medical Management of Florida, Inc.	FL	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	74-2786364				WellMed Medical Management, Inc.	TX	NIA	Collaborative Care Holdings, LLC	Ownership	80.000	UnitedHealth Group Incorporated	3
		00000	36-3437660				Wellness, Inc.	IL	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2102846				XLHealth Corporation	MD	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					XLHealth Corporation India Private Limited	JND	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1 Group Code	2 Group Name	3 NAIC Company Code	4 Federal ID Number	5 Federal RSSD	6 CIK	7 Name of Securities Exchange if Publicly Traded (U.S. or International)	8 Names of Parent, Subsidiaries Or Affiliates	9 Domiciliary Location	10 Relationship to Reporting Entity	11 Directly Controlled by (Name of Entity/Person)	12 Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	13 If Control is Ownership Provide Percentage	14 Ultimate Controlling Entity(ies)/Person(s)	15 *
	00000	11-3764012				Your Health Options Insurance Services, Inc.CA.....NIA.....	PrimeCare Medical Network, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	
	00000	27-0172594				Your Partners in Health Services, Inc.IL.....NIA.....	North American Medical Management - Illinois, Inc.	Ownership.....	..100.000	UnitedHealth Group Incorporated	

Asterisk	Explanation
1	The limited partners include FMG Holdings, LLC (4.41%), UnitedHealthcare International LATAM Corporation (2.3%), Hygeia Corporation (DE) (0.33%) and UnitedHealth Group Incorporated (92.96%). The general partner is UnitedHealth Group International GP.
2	The remaining amount is owned by the Chairmen and CEO of Amil
3	The remaining amount is owned by a non-affiliated company.
4	The remaining amount is owned by an officer of Amil.
5	The remaining amount is owned by outside parties.
6	The remaining 1.30196% is owned by Amico Saúde Ltda. and an officer of Amil owns 0.00683%.
7	The remaining 0.224917% is owned by Amico Saúde Ltda.; 0.094901% by Treasury Shares and 2.4% owned by external shareholders.
8	The general partnership interest of 89.77% is held by United HealthCare Services, Inc. (UHS) and 10.23% is held by UnitedHealthcare, Inc. (UHC). UHS also holds 100% of the limited partnership interests. When combining general and limited partner interest

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	Federal ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
	41-1913523	ACN Group IPA of New York, Inc.	0	0	0	0	4,680,546	0		0	4,680,546	0
	27-0015861	ACN Group of California, Inc.	(1,000,000)	0	0	0	7,406,214	0		0	6,406,214	0
82406	35-1665915	All Savers Insurance Company	0	0	0	0	(4,222,573)	0		0	(4,222,573)	0
73130	35-1744596	All Savers Life Insurance Company of California	(1,100,000)	0	0	0	(29,826)	0		0	(1,129,826)	0
97179	86-0207231	American Medical Security Life Insurance Company	(18,000,000)	0	0	0	16,888,454	0		0	(1,111,546)	(13,039)
	54-1743136	AmeriChoice Corporation	0	(22,500,000)	0	0	0	0		0	(22,500,000)	0
13178	26-2481299	AmeriChoice of Connecticut, Inc.	(19,500,000)	0	0	0	249,591	0		0	(19,250,409)	0
13168	26-2688274	AmeriChoice of Georgia, Inc.	0	0	0	0	1,199	0		0	1,199	0
95497	22-3368602	AmeriChoice of New Jersey, Inc.	(15,000,000)	22,500,000	0	0	(150,172,786)	0		0	(142,672,786)	0
	86-0813232	Arizona Physicians IPA, Inc.	(10,000,000)	0	0	0	0	0		0	(10,000,000)	0
	88-0267857	Behavioral Healthcare Options, Inc.	0	0	0	0	13,605,841	0		0	13,605,841	0
12313	20-2412936	Care Improvement Plus of Maryland, Inc.	(7,500,000)	0	0	0	(1,390,996)	0		0	(8,890,996)	0
12558	45-4976934	Care Improvement Plus of Texas Insurance Company	(30,000,000)	0	0	0	(121,731,757)	0		0	(151,731,757)	0
12567	20-3888112	Care Improvement Plus South Central Insurance Company	0	0	0	0	(310,586,982)	0		0	(310,586,982)	0
14041	27-5038136	Care Improvement Plus Wisconsin Insurance Company	0	8,605,000	0	0	87,245	0		0	8,692,245	0
11836	13-4247706	Citrus Health Care, Inc.	0	0	0	0	(3,381,559)	0		0	(3,381,559)	0
	27-2337487	Collaborative Care Services, Inc.	0	0	0	0	(27,420)	0		0	(27,420)	0
	52-1811176	DBP Services of New York IPA, Inc.	0	0	0	0	36,948,811	0		0	36,948,811	0
	52-1452809	Dental Benefit Providers of California, Inc.	(15,000,000)	0	0	0	(2,804,111)	0		(20,998)	(17,825,109)	0
52053	36-4008355	Dental Benefit Providers of Illinois, Inc.	0	0	0	0	(467,071)	0		0	(467,071)	0
	41-2014834	Dental Benefit Providers, Inc.	0	0	0	0	128,402,879	0		0	128,402,879	0
	84-1162764	Electronic Network Systems, Inc.	0	0	0	0	612,207	0		0	612,207	0
	88-0223385	Family Health Care Services	0	0	0	0	25,663,456	0		0	25,663,456	0
	88-0257036	Family Home Hospice, Inc.	0	0	0	0	3,101,277	0		0	3,101,277	0
	86-0908902	FOR HEALTH OF ARIZONA, INC.	0	0	0	0	1,655,204	0		0	1,655,204	0
	37-0855360	Golden Rule Financial Corporation	0	0	0	0	4,167,584	0		0	4,167,584	0
62286	37-6028756	Golden Rule Insurance Company	(150,000,000)	0	0	0	(138,492,307)	0		0	(288,492,307)	0
43893	13-3584296	Health Net Insurance of New York, Inc.	(75,000,000)	0	0	0	(1,815,043)	0		0	(76,815,043)	0
95968	06-1084283	Health Net of Connecticut, Inc.	(27,000,000)	0	0	0	554,426	746		0	(26,444,828)	0
95351	22-3241303	Health Net of New Jersey, Inc.	(22,500,000)	0	0	0	941,419	0		0	(21,558,581)	0
95305	06-1174953	Health Net of New York, Inc.	(15,000,000)	0	0	0	2,232,774	0		0	(12,767,226)	0
96342	88-0201035	Health Plan of Nevada, Inc.	(122,000,000)	0	0	0	(599,098,825)	(164,294)		0	(721,263,119)	93,360
	95-4763349	HealthAllies, Inc.	0	0	0	0	64,573	0		0	64,573	0
	13-4138668	INSPIRIS of New York IPA, Inc.	0	0	0	0	110,014,734	0		0	110,014,734	0
	45-3143218	Lifeprint East, Inc.	0	0	0	0	25,023,424	0		0	25,023,424	0
	27-2309024	Lifeprint Health, Inc.	0	0	0	0	685,147,041	0		0	685,147,041	0
60321	52-1803283	MAMSI Life and Health Insurance Company	(12,300,000)	0	0	0	(5,307,164)	0		0	(17,607,164)	0

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	Federal ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
96310	52-1169135	MD-Individual Practice Association, Inc.	(45,000,000)	0	0	0	(56,341,325)	(489,718)		0	(101,831,043)	0
12756	20-3391186	Medica Health Plans of Florida, Inc.	0	2,000,000	0	0	(712,983)	0		0	1,287,017	0
12155	01-0788576	Medica Healthcare Plans, Inc.	0	12,500,000	0	0	(13,057,426)	0		0	(557,426)	0
	32-0037402	Medical Transportation Services, LLC	0	0	0	0	4,677,982	0		0	4,677,982	0
79480	35-1279304	Midwest Security Life Insurance Company	0	500,000	0	0	144,881	0		0	644,881	0
95251	76-0196559	National Pacific Dental, Inc.	(1,700,000)	0	0	0	(2,940,751)	0		0	(4,640,751)	0
95123	65-0996107	Neighborhood Health Partnership, Inc.	(41,000,000)	0	0	0	(50,444,724)	0		0	(91,444,724)	0
95758	88-0228572	Nevada Pacific Dental	(2,000,000)	0	0	0	(235,423)	0		0	(2,235,423)	0
96940	52-1518174	Optimum Choice, Inc.	(40,000,000)	0	0	0	(29,743,546)	(248,871)		0	(69,992,417)	0
	47-0858534	Optum Bank, Inc.	0	0	0	0	61,621	0		0	61,621	0
	41-1591944	OptumHealth Care Solutions, Inc.	0	0	0	0	51,439,009	0		0	51,439,009	0
	41-1858498	OptumInsight, Inc.	0	0	0	0	117,793,381	0		0	117,793,381	0
	33-0441200	OptumRx, Inc.	0	0	0	0	507,132,982	0		(6,189,526)	500,943,456	0
78026	22-2797560	Oxford Health Insurance, Inc.	0	0	0	0	(336,742,879)	(253,094,400)		0	(589,837,279)	467,429,574
96798	06-1181201	Oxford Health Plans (CT), Inc.	(17,500,000)	0	0	0	(75,178,122)	(653,826)		0	(93,331,948)	0
95506	22-2745725	Oxford Health Plans (NJ), Inc.	(70,000,000)	0	0	0	(118,158,906)	(2,295,390)		0	(190,454,296)	2,891,046
95479	06-1181200	Oxford Health Plans (NY), Inc.	(210,000,000)	0	0	0	(238,574,662)	0		0	(448,574,662)	0
70785	35-1137395	PacifiCare Life and Health Insurance Company	(87,000,000)	0	0	0	(14,204,043)	0		22,045,793	(79,158,250)	13,039
84506	95-2829463	PacifiCare Life Assurance Company	(10,800,000)	0	0	0	131,316	31,907		0	(10,636,777)	0
95617	94-3267522	PacifiCare of Arizona, Inc.	(65,000,000)	0	0	0	(395,017,515)	(964,265)		0	(460,981,780)	0
95434	84-1011378	PacifiCare of Colorado, Inc.	(60,000,000)	0	0	0	(111,500,593)	(813,876)		(1,069,538)	(173,384,007)	0
95685	86-0875231	PacifiCare of Nevada, Inc.	(10,000,000)	0	0	0	(1,729,467)	(102,132)		(34,144)	(11,865,743)	476,119
	35-2288416	PHC Subsidiary Holdings, LLC	0	0	0	0	2,682,126	0		0	2,682,126	0
13160	45-0571407	Physicians Health Choice of Arkansas, Inc.	0	0	0	0	1,326	0		0	1,326	0
12977	32-0191973	Physicians Health Choice of New Mexico, Inc.	0	0	0	0	10,074	0		0	10,074	0
11494	04-3677255	Physicians Health Choice of Texas, LLC	0	0	0	0	(32,530,066)	0		0	(32,530,066)	0
	65-0683927	Preferred Care Partners Holding, Corp.	0	(1,000,000)	0	0	0	0		0	(1,000,000)	0
11176	65-0885893	Preferred Care Partners, Inc.	0	1,000,000	0	0	(4,845,118)	0		0	(3,845,118)	0
71420	94-0734860	Sierra Health and Life Insurance Company, Inc.	(12,500,000)	0	0	0	(18,037,511)	(418,868)		0	(30,956,379)	(93,360)
	88-0385705	Sierra Home Medical Products, Inc.	0	0	0	0	27,983,063	0		0	27,983,063	0
	88-0201420	Southwest Medical Associates, Inc.	0	0	0	0	468,081,723	0		0	468,081,723	0
	52-1260282	Spectera, Inc.	0	0	0	0	20,196,845	0		0	20,196,845	0
	94-3077084	U.S. Behavioral Health Plan, California	(19,000,000)	0	0	0	44,690,684	0		(76,985)	25,613,699	0
	95-2931460	UHC of California	(600,000,000)	0	0	0	(458,591,739)	0		(8,352,477)	(1,066,944,216)	0
	14-1892398	Ultima Rx, LLC	0	0	0	0	3,474,863	0		0	3,474,863	0
91529	52-1996029	Unimerica Insurance Company	(9,400,000)	0	0	0	(40,606,504)	0		0	(50,006,504)	0
11596	01-0637149	Unimerica Life Insurance Company of New York	0	0	0	0	(1,460,377)	0		0	(1,460,377)	0
	25-1877716	Unison Administrative Services, LLC	0	0	0	0	31,649,434	0		0	31,649,434	0
	20-5917714	Unison Health Plan of Delaware, Inc.	(5,000,000)	0	0	0	(52,571,838)	(2,812,045)		0	(60,383,883)	0

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SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	Federal ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
13032	26-0651931	Unison Health Plan of the Capital Area, Inc.	0	0	0	0	(22,935,045)	0		0	(22,935,045)	0
	94-2649097	United Behavioral Health	0	0	0	0	602,173,685	0		(51,104)	602,122,581	0
	41-1868911	United Behavioral Health of New York, I.P.A., Inc.	0	0	0	0	2,624,140	0		0	2,624,140	0
	41-1289245	United HealthCare Services, Inc.	4,184,800,000	(14,500,000)	0	0	5,487,008,266	0		(4,679,015)	9,652,629,251	0
	41-1321939	UnitedHealth Group Incorporated	715,200,000	0	0	0	2,517,011,615	0		0	3,232,211,615	0
95174	33-0115163	UnitedHealthcare Benefits of Texas, Inc.	(169,000,000)	0	0	0	(243,453,529)	(2,264,034)		0	(414,717,563)	0
12323	56-2451429	UnitedHealthcare Community Plan of Ohio, Inc.	0	0	0	0	(63,150,267)	538,409		0	(62,611,858)	1,936,345
11141	91-2008361	UnitedHealthcare Community Plan of Texas, L.L.C.	0	0	0	0	(129,414,380)	0		0	(129,414,380)	0
95467	38-3204052	UnitedHealthcare Community Plan, Inc.	0	0	0	0	(87,707,593)	(748,421)		0	(88,456,014)	1,423,567
79413	36-2739571	UnitedHealthcare Insurance Company	(2,077,200,000)	0	0	0	(5,049,119,487)	396,141,471		(769,897)	(6,730,947,913)	(838,013,475)
60318	36-3800349	UnitedHealthcare Insurance Company of Illinois	(36,600,000)	0	0	0	(62,932,257)	0		0	(99,532,257)	0
60093	11-3283886	UnitedHealthcare Insurance Company of New York	(90,000,000)	0	0	0	(380,950,011)	(115,705,688)		0	(586,655,699)	351,209,678
12231	20-1902768	UnitedHealthcare Insurance Company of the River Valley	0	13,000,000	0	0	(33,031,060)	0		0	(20,031,060)	0
95784	63-0899562	UnitedHealthcare of Alabama, Inc.	(30,000,000)	0	0	0	(61,898,108)	(411,374)		0	(92,309,482)	0
96016	86-0507074	UnitedHealthcare of Arizona, Inc.	(10,000,000)	0	0	0	(35,751,817)	(320,840)		0	(46,072,657)	0
95446	63-1036819	UnitedHealthcare of Arkansas, Inc.	(1,400,000)	0	0	0	(6,395,983)	(44,019)		0	(7,840,002)	0
95090	84-1004639	UnitedHealthcare of Colorado, Inc.	0	2,000,000	0	0	(666,771)	(12,155)		0	1,321,074	0
95264	59-1293865	UnitedHealthcare of Florida, Inc.	(100,000,000)	0	0	0	(185,949,685)	(1,303,555)		0	(287,253,240)	0
95850	58-1653544	UnitedHealthcare of Georgia, Inc.	(21,700,000)	0	0	0	(15,538,239)	(146,999)		0	(37,385,238)	0
95776	36-3280214	UnitedHealthcare of Illinois, Inc.	(16,000,000)	0	0	0	(5,599,666)	(44,417)		0	(21,644,083)	0
96644	62-1240316	UnitedHealthcare of Kentucky, Ltd.	(6,000,000)	0	0	0	(9,465,579)	(87,002)		0	(15,552,581)	0
95833	72-1074008	UnitedHealthcare of Louisiana, Inc.	0	2,000,000	0	0	(28,808,951)	(4,933)		0	(26,813,884)	0
95716	63-1036817	UnitedHealthcare of Mississippi, Inc.	(15,000,000)	0	0	0	(13,093,677)	(81,667)		0	(28,175,344)	1,673,069
95149	05-0413469	UnitedHealthcare of New England, Inc.	(13,000,000)	0	0	0	(93,896,277)	(2,236,731)		0	(109,133,008)	1,544,952
13214	26-2697886	UnitedHealthcare of New Mexico, Inc.	(30,200,000)	0	0	0	(42,097,447)	0		0	(72,297,447)	0
95085	06-1172891	UnitedHealthcare of New York, Inc.	0	0	0	0	(349,943,368)	0		0	(349,943,368)	0
95103	56-1461010	UnitedHealthcare of North Carolina, Inc.	(74,000,000)	0	0	0	(177,149,846)	(1,086,541)		0	(252,236,387)	0
95186	31-1142815	UnitedHealthcare of Ohio, Inc.	(9,000,000)	0	0	0	(118,421,422)	(926,795)		0	(128,348,217)	0
96903	33-0115166	UnitedHealthcare of Oklahoma, Inc.	(17,800,000)	0	0	0	(52,280,251)	0		(538,859)	(70,619,110)	0
95893	93-0938819	UnitedHealthcare of Oregon, Inc.	(25,800,000)	0	0	0	(22,156,154)	0		(263,250)	(48,219,404)	0
95220	25-1756858	UnitedHealthcare of Pennsylvania, Inc.	(29,600,000)	0	0	0	(160,062,774)	0		0	(189,662,774)	0
11775	32-0062883	UnitedHealthcare of South Carolina, Inc.	(20,000,000)	0	0	0	(27,427,697)	1,360,733		0	(46,066,964)	2,572,790
95765	95-3939697	UnitedHealthcare of Texas, Inc.	(8,000,000)	0	0	0	(408,918)	(1,987)		0	(8,410,905)	0
95025	52-1130183	UnitedHealthcare of the Mid-Atlantic, Inc.	0	0	0	0	0	0		0	0	0
			(25,000,000)	0	0	0	(87,836,549)	(698,077)		0	(113,534,626)	0
95591	47-0676824	UnitedHealthcare of the Midlands, Inc.	(12,400,000)	0	0	0	(25,650,683)	(732,140)		0	(38,782,823)	0
96385	43-1361841	UnitedHealthcare of the Midwest, Inc.	(40,000,000)	0	0	0	(72,334,144)	(2,355,867)		0	(114,690,011)	5,799,175

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SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	Federal ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
95501	41-1488563	UnitedHealthcare of Utah, Inc.	0	20,000,000	0	0	(32,887,710)	(294,247)		0	(13,181,957)	0
48038	91-1312551	UnitedHealthcare of Washington, Inc.	(20,000,000)	0	0	0	(77,245,200)	0		0	(97,245,200)	0
95710	39-1555888	UnitedHealthcare of Wisconsin, Inc.	(13,000,000)	0	0	0	(234,255,656)	(2,477,152)		0	(249,732,808)	1,057,160
95378	36-3379945	UnitedHealthcare Plan of the River Valley, Inc.	(180,000,000)	0	0	0	(464,552,188)	(4,030,940)		0	(648,583,128)	0
	47-0854646	UnitedHealthcare Service LLC	0	0	0	0	255,956,624	0		0	255,956,624	0
	36-3355110	UnitedHealthcare Services Company of the River Valley, Inc.	0	(13,000,000)	0	0	323,582,505	0		0	310,582,505	0
	41-1922511	UnitedHealthcare, Inc.	0	(24,500,000)	0	0	0	0		0	(24,500,000)	0
	37-0920164	UnitedHealthOne Agency, Inc.	0	0	0	0	(99,792)	0		0	(99,792)	0
	74-2797745	WellMed Medical Management of Florida, Inc.	0	0	0	0	207,116	0		0	207,116	0
	74-2786364	WellMed Medical Management, Inc.	0	0	0	0	4,055,395	0		0	4,055,395	0
	52-2102846	XLHealth Corporation	37,500,000	(8,605,000)	0	0	327,102,564	0		0	355,997,564	0
9999999	Control Totals		0	0	0	0	0	0	XXX	0	0	0

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.











	Responses
MARCH FILING	
1. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?	YES
2. Will an actuarial opinion be filed by March 1?	YES
3. Will the confidential Risk-based Capital Report be filed with the NAIC by March 1?.....	YES
4. Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1?.....	YES
APRIL FILING	
5. Will Management's Discussion and Analysis be filed by April 1?	YES
6. Will the Supplemental Investment Risks Interrogatories be filed by April 1?	YES
7. Will the Accident and Health Policy Experience Exhibit be filed by April 1?	YES
JUNE FILING	
8. Will an audited financial report be filed by June 1?	YES
9. Will Accountant's Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1?	YES
AUGUST FILING	
10. Will Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile by August 1?	YES

The following supplemental reports are required to be filed as part of your annual statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

MARCH FILING	
11. Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?	NO
12. Will the Supplemental Life data due March 1 be filed with the state of domicile and the NAIC?	NO
13. Will the Supplemental Property/Casualty data due March 1 be filed with the state of domicile and the NAIC?.....	NO
14. Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1?.....	NO
15. Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 on Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1?.....	NO
16. Will the actuarial opinion on non-guaranteed elements as required in Interrogatory 3 to Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1?.....	NO
17. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1?.....	NO
18. Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1?	NO
19. Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?	NO
20. Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1?.....	NO
APRIL FILING	
21. Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1?	NO
22. Will the Supplemental Life data due April 1 be filed with the state of domicile and the NAIC?	NO
23. Will the Supplemental Property/Casualty Insurance Expense Exhibit due April 1 be filed with any state that requires it, and, if so, the NAIC?	NO
24. Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1?	YES
25. Will the regulator only (non-public) Supplemental Health Care Exhibit's Expense Allocation Report be filed with the state of domicile and the NAIC by April 1?	YES
AUGUST FILING	
26. Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1?	YES

- Explanations:
- 11.
 - 12.
 - 13.
 - 14.
 - 15.
 - 16.
 - 17.
 - 18.
 - 19.
 - 20.
 - 21.
 - 22.
 - 23.

Bar Codes:

11. Medicare Supplement Insurance Experience Exhibit [Document Identifier 360]	
12. Life Supplement [Document Identifier 205]	
13. Property/Casualty Supplement [Document Identifier 207]	
14. SIS Stockholder Information Supplement [Document Identifier 420]	
15. Participating Opinion for Exhibit 5 [Document Identifier 371]	
16. Non-Guaranteed Opinion for Exhibit 5 [Document Identifier 370]	
17. Medicare Part D Coverage Supplement [Document Identifier 365]	
18. Relief from the five-year rotation requirement for lead audit partner [Document Identifier 224]	
19. Relief from the one-year cooling off period for independent CPA [Document Identifier 225]	
20. Relief from the Requirements for Audit Committees [Document Identifier 226]	

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

21. Long-Term Care Experience Reporting Forms [Document Identifier 306]



22. Life Supplement [Document Identifier 211]



23. Property/Casualty Supplement Insurance Expense Exhibit [Document Identifier 213]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
2504. State Tax Receivable	0	0	0	10,244
2505. Service Fee Receivable	3,276	3,276	0	0
2597. Summary of remaining write-ins for Line 25 from overflow page	3,276	3,276	0	10,244

Additional Write-ins for Underwriting and Investment Exhibit Part 3 Line 25

	Claim Adjustment Expenses		3 General Administrative Expenses	4 Investment Expenses	5 Total
	1 Cost Containment Expenses	2 Other Claim Adjustment Expenses			
2504. Miscellaneous Losses	2,823	1,530	(668,836)	0	(664,483)
2505. Professional Fees\Consulting	11,637	6,306	29,866	0	47,809
2506. Sundry General Expenses	4,779,003	2,589,692	12,222,236	0	19,590,931
2597. Summary of remaining write-ins for Line 25 from overflow page	4,793,463	2,597,528	11,583,266	0	18,974,257

SUMMARY INVESTMENT SCHEDULE

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1 Amount	2 Percentage	3 Amount	4 Securities Lending Reinvested Collateral Amount	5 Total (Col. 3 + 4) Amount	6 Percentage
1. Bonds:						
1.1 U.S. treasury securities	127,286,764	14.261	127,286,764	0	127,286,764	14.261
1.2 U.S. government agency obligations (excluding mortgage-backed securities):						
1.21 Issued by U.S. government agencies	2,050,935	0.230	2,050,935	0	2,050,935	0.230
1.22 Issued by U.S. government sponsored agencies	37,374,683	4.187	37,374,683	0	37,374,683	4.187
1.3 Non-U.S. government (including Canada, excluding mortgaged-backed securities)	2,604,917	0.292	2,604,917	0	2,604,917	0.292
1.4 Securities issued by states, territories, and possessions and political subdivisions in the U.S.:						
1.41 States, territories and possessions general obligations	61,291,673	6.867	61,291,673	0	61,291,673	6.867
1.42 Political subdivisions of states, territories and possessions and political subdivisions general obligations	43,792,560	4.906	43,792,560	0	43,792,560	4.906
1.43 Revenue and assessment obligations	159,767,448	17.900	159,767,448	0	159,767,448	17.900
1.44 Industrial development and similar obligations	0	0.000	0	0	0	0.000
1.5 Mortgage-backed securities (includes residential and commercial MBS):						
1.51 Pass-through securities:						
1.511 Issued or guaranteed by GNMA	5,924	0.001	5,924	0	5,924	0.001
1.512 Issued or guaranteed by FNMA and FHLMC	96,682,723	10.832	96,682,723	0	96,682,723	10.832
1.513 All other	0	0.000	0	0	0	0.000
1.52 CMOs and REMICs:						
1.521 Issued or guaranteed by GNMA, FNMA, FHLMC or VA	36,759	0.004	36,759	0	36,759	0.004
1.522 Issued by non-U.S. Government issuers and collateralized by mortgage-backed securities issued or guaranteed by agencies shown in Line 1.521	0	0.000	0	0	0	0.000
1.523 All other	0	0.000	0	0	0	0.000
2. Other debt and other fixed income securities (excluding short-term):						
2.1 Unaffiliated domestic securities (includes credit tenant loans and hybrid securities)	143,241,773	16.048	143,241,773	0	143,241,773	16.048
2.2 Unaffiliated non-U.S. securities (including Canada)	16,130,635	1.807	16,130,635	0	16,130,635	1.807
2.3 Affiliated securities	0	0.000	0	0	0	0.000
3. Equity interests:						
3.1 Investments in mutual funds	0	0.000	0	0	0	0.000
3.2 Preferred stocks:						
3.21 Affiliated	0	0.000	0	0	0	0.000
3.22 Unaffiliated	0	0.000	0	0	0	0.000
3.3 Publicly traded equity securities (excluding preferred stocks):						
3.31 Affiliated	0	0.000	0	0	0	0.000
3.32 Unaffiliated	0	0.000	0	0	0	0.000
3.4 Other equity securities:						
3.41 Affiliated	0	0.000	0	0	0	0.000
3.42 Unaffiliated	0	0.000	0	0	0	0.000
3.5 Other equity interests including tangible personal property under lease:						
3.51 Affiliated	0	0.000	0	0	0	0.000
3.52 Unaffiliated	0	0.000	0	0	0	0.000
4. Mortgage loans:						
4.1 Construction and land development	0	0.000	0	0	0	0.000
4.2 Agricultural	0	0.000	0	0	0	0.000
4.3 Single family residential properties	0	0.000	0	0	0	0.000
4.4 Multifamily residential properties	0	0.000	0	0	0	0.000
4.5 Commercial loans	0	0.000	0	0	0	0.000
4.6 Mezzanine real estate loans	0	0.000	0	0	0	0.000
5. Real estate investments:						
5.1 Property occupied by company	0	0.000	0	0	0	0.000
5.2 Property held for production of income (including \$ 0 of property acquired in satisfaction of debt)	0	0.000	0	0	0	0.000
5.3 Property held for sale (including \$ 0 property acquired in satisfaction of debt)	0	0.000	0	0	0	0.000
6. Contract loans	0	0.000	0	0	0	0.000
7. Derivatives	0	0.000	0	0	0	0.000
8. Receivables for securities	0	0.000	0	0	0	0.000
9. Securities Lending (Line 10, Asset Page reinvested collateral)	0	0.000	0	XXX	XXX	XXX
10. Cash, cash equivalents and short-term investments	193,347,621	21.662	193,347,621	0	193,347,621	21.662
11. Other invested assets	8,949,246	1.003	8,949,246	0	8,949,246	1.003
12. Total invested assets	892,563,661	100.000	892,563,661	0	892,563,661	100.000

Schedule A - Verification - Real Estate

N O N E

Schedule B - Verification - Mortgage Loans

N O N E

SCHEDULE BA - VERIFICATION BETWEEN YEARS

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year	0
2.	Cost of acquired:	
2.1	Actual cost at time of acquisition (Part 2, Column 8)	9,080,212
2.2	Additional investment made after acquisition (Part 2, Column 9)	0
		9,080,212
3.	Capitalized deferred interest and other:	
3.1	Totals, Part 1, Column 16	0
3.2	Totals, Part 3, Column 12	0
		0
4.	Accrual of discount	0
5.	Unrealized valuation increase (decrease):	
5.1	Totals, Part 1, Column 13	0
5.2	Totals, Part 3, Column 9	0
		0
6.	Total gain (loss) on disposals, Part 3, Column 19	0
7.	Deduct amounts received on disposals, Part 3, Column 16	0
8.	Deduct amortization of premium and depreciation	130,966
9.	Total foreign exchange change in book/adjusted carrying value:	
9.1	Totals, Part 1, Column 17	0
9.2	Totals, Part 3, Column 14	0
		0
10.	Deduct current year's other than temporary impairment recognized:	
10.1	Totals, Part 1, Column 15	0
10.2	Totals, Part 3, Column 11	0
		0
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	8,949,246
12.	Deduct total nonadmitted amounts	0
13.	Statement value at end of current period (Line 11 minus Line 12)	8,949,246

SCHEDULE D - VERIFICATION BETWEEN YEARS

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year	628,251,495
2.	Cost of bonds and stocks acquired, Part 3, Column 7	246,284,280
3.	Accrual of discount	94,310
4.	Unrealized valuation increase (decrease):	
4.1	Part 1, Column 12	0
4.2	Part 2, Section 1, Column 15	0
4.3	Part 2, Section 2, Column 13	0
4.4	Part 4, Column 11	0
		0
5.	Total gain (loss) on disposals, Part 4, Column 19	2,476,782
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7	177,120,066
7.	Deduct amortization of premium	9,720,007
8.	Total foreign exchange change in book/adjusted carrying value:	
8.1	Part 1, Column 15	0
8.2	Part 2, Section 1, Column 19	0
8.3	Part 2, Section 2, Column 16	0
8.4	Part 4, Column 15	0
		0
9.	Deduct current year's other than temporary impairment recognized:	
9.1	Part 1, Column 14	0
9.2	Part 2, Section 1, Column 17	0
9.3	Part 2, Section 2, Column 14	0
9.4	Part 4, Column 13	0
		0
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	690,266,794
11.	Deduct total nonadmitted amounts	0
12.	Statement value at end of current period (Line 10 minus Line 11)	690,266,794

SCHEDULE D - SUMMARY BY COUNTRY

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description		1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
BONDS					
Governments (Including all obligations guaranteed by governments)	1. United States	127,292,686	128,086,947	130,713,426	124,990,934
	2. Canada	2,604,917	2,682,732	2,606,344	2,600,000
	3. Other Countries	0	0	0	0
	4. Totals	129,897,603	130,769,679	133,319,770	127,590,934
U.S. States, Territories and Possessions (Direct and guaranteed)	5. Totals	61,291,673	64,910,786	64,199,628	55,635,000
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6. Totals	43,792,559	46,119,659	46,180,225	40,860,000
U.S. Special revenue and special assessment obligations and all non- guaranteed obligations of agencies and authorities of governments and their political subdivisions	7. Totals	295,912,539	309,811,860	302,871,100	277,858,878
Industrial and Miscellaneous and Hybrid Securities (unaffiliated)	8. United States	143,241,784	149,526,665	144,587,005	141,113,000
	9. Canada	3,604,854	3,890,033	3,606,928	3,605,000
	10. Other Countries	12,525,782	13,927,921	12,564,256	12,433,000
	11. Totals	159,372,420	167,344,619	160,758,189	157,151,000
Parent, Subsidiaries and Affiliates	12. Totals	0	0	0	0
	13. Total Bonds	690,266,794	718,956,603	707,328,912	659,095,812
PREFERRED STOCKS					
Industrial and Miscellaneous (unaffiliated)	14. United States	0	0	0	0
	15. Canada	0	0	0	0
	16. Other Countries	0	0	0	0
	17. Totals	0	0	0	0
Parent, Subsidiaries and Affiliates	18. Totals	0	0	0	0
	19. Total Preferred Stocks	0	0	0	0
COMMON STOCKS					
Industrial and Miscellaneous (unaffiliated)	20. United States	0	0	0	0
	21. Canada	0	0	0	0
	22. Other Countries	0	0	0	0
	23. Totals	0	0	0	0
Parent, Subsidiaries and Affiliates	24. Totals	0	0	0	0
	25. Total Common Stocks	0	0	0	0
	26. Total Stocks	0	0	0	0
	27. Total Bonds and Stocks	690,266,794	718,956,603	707,328,912	

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	1	2	3	4	5	6	7	8	9	10	11
Quality Rating per the NAIC Designation	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	Total Current Year	Col. 6 as a % of Line 9.7	Total from Col. 6 Prior Year	% From Col. 7 Prior Year	Total Publicly Traded	Total Privately Placed (a)
1. U.S. Governments											
1.1 Class 1	105,933,666	20,064,850	8,902,627	0	0	134,901,143	15.5	152,040,318	18.2	134,901,143	0
1.2 Class 2	0	0	0	0	0	0	0.0	0	0.0	0	0
1.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	0	0
1.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	0	0
1.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	0	0
1.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	0	0
1.7 Totals	105,933,666	20,064,850	8,902,627	0	0	134,901,143	15.5	152,040,318	18.2	134,901,143	0
2. All Other Governments											
2.1 Class 1	0	2,604,917	0	0	0	2,604,917	0.3	3,987,287	0.5	2,604,917	0
2.2 Class 2	0	0	0	0	0	0	0.0	0	0.0	0	0
2.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	0	0
2.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	0	0
2.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	0	0
2.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	0	0
2.7 Totals	0	2,604,917	0	0	0	2,604,917	0.3	3,987,287	0.5	2,604,917	0
3. U.S. States, Territories and Possessions etc., Guaranteed											
3.1 Class 1	6,219,982	24,682,091	30,389,600	0	0	61,291,673	7.0	72,383,037	8.7	61,291,673	0
3.2 Class 2	0	0	0	0	0	0	0.0	0	0.0	0	0
3.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	0	0
3.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	0	0
3.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	0	0
3.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	0	0
3.7 Totals	6,219,982	24,682,091	30,389,600	0	0	61,291,673	7.0	72,383,037	8.7	61,291,673	0
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed											
4.1 Class 1	0	37,378,115	6,414,445	0	0	43,792,560	5.0	44,163,746	5.3	43,792,560	0
4.2 Class 2	0	0	0	0	0	0	0.0	0	0.0	0	0
4.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	0	0
4.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	0	0
4.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	0	0
4.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	0	0
4.7 Totals	0	37,378,115	6,414,445	0	0	43,792,560	5.0	44,163,746	5.3	43,792,560	0
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed											
5.1 Class 1	55,201,455	149,585,233	87,703,944	7,020,718	1,909,502	301,420,852	34.5	242,209,295	29.1	301,420,852	0
5.2 Class 2	0	0	0	0	0	0	0.0	0	0.0	0	0
5.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	0	0
5.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	0	0
5.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	0	0
5.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	0	0
5.7 Totals	55,201,455	149,585,233	87,703,944	7,020,718	1,909,502	301,420,852	34.5	242,209,295	29.1	301,420,852	0

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

Quality Rating per the NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.7	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed (a)
6. Industrial & Miscellaneous (Unaffiliated)											
6.1 Class 1	202,131,197	46,937,126	20,508,225	0	0	269,576,548	30.9	277,598,326	33.3	247,594,097	21,982,451
6.2 Class 2	13,084,547	24,554,394	21,048,352	848,355	0	59,535,648	6.8	40,994,963	4.9	55,754,590	3,781,058
6.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	0	0
6.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	0	0
6.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	0	0
6.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	0	0
6.7 Totals	215,215,744	71,491,520	41,556,577	848,355	0	329,112,196	37.7	318,593,289	38.2	303,348,687	25,763,509
7. Hybrid Securities											
7.1 Class 1	0	0	0	0	0	0	0.0	0	0.0	0	0
7.2 Class 2	0	0	0	0	0	0	0.0	0	0.0	0	0
7.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	0	0
7.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	0	0
7.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	0	0
7.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	0	0
7.7 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0
8. Parent, Subsidiaries and Affiliates											
8.1 Class 1	0	0	0	0	0	0	0.0	0	0.0	0	0
8.2 Class 2	0	0	0	0	0	0	0.0	0	0.0	0	0
8.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	0	0
8.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	0	0
8.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	0	0
8.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	0	0
8.7 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

	1	2	3	4	5	6	7	8	9	10	11
Quality Rating per the NAIC Designation	1 Year or Less	Over 1 Year Through 5 Years	Over 5 Years Through 10 Years	Over 10 Years Through 20 Years	Over 20 Years	Total Current Year	Col. 6 as a % of Line 9.7	Total from Col. 6 Prior Year	% From Col. 7 Prior Year	Total Publicly Traded	Total Privately Placed (a)
9. Total Bonds Current Year											
9.1 Class 1	(d) 369,486,300	281,252,332	153,918,841	7,020,718	1,909,502	813,587,693	93.2	XXX	XXX	791,605,242	21,982,451
9.2 Class 2	(d) 13,084,547	24,554,394	21,048,352	848,355	0	59,535,648	6.8	XXX	XXX	55,754,590	3,781,058
9.3 Class 3	(d) 0	0	0	0	0	0	0.0	XXX	XXX	0	0
9.4 Class 4	(d) 0	0	0	0	0	0	0.0	XXX	XXX	0	0
9.5 Class 5	(d) 0	0	0	0	0	0	0.0	XXX	XXX	0	0
9.6 Class 6	(d) 0	0	0	0	0	0	0.0	XXX	XXX	0	0
9.7 Totals	382,570,847	305,806,726	174,967,193	7,869,073	1,909,502	873,123,341	100.0	XXX	XXX	847,359,832	25,763,509
9.8 Line 9.7 as a % of Col. 6	43.8	35.0	20.0	0.9	0.2	100.0	XXX	XXX	XXX	97.0	3.0
10. Total Bonds Prior Year											
10.1 Class 1	320,142,816	304,554,407	163,832,012	3,845,711	7,063	XXX	XXX	792,382,009	95.1	768,495,581	23,886,428
10.2 Class 2	9,006,764	18,829,125	13,159,074	0	0	XXX	XXX	40,994,963	4.9	38,983,076	2,011,887
10.3 Class 3	0	0	0	0	0	XXX	XXX	0	0.0	0	0
10.4 Class 4	0	0	0	0	0	XXX	XXX	0	0.0	0	0
10.5 Class 5	0	0	0	0	0	XXX	XXX	0	0.0	0	0
10.6 Class 6	0	0	0	0	0	XXX	XXX	0	0.0	0	0
10.7 Totals	329,149,580	323,383,532	176,991,086	3,845,711	7,063	XXX	XXX	833,376,972	100.0	807,478,657	25,898,315
10.8 Line 10.7 as a % of Col. 8	39.5	38.8	21.2	0.5	0.0	XXX	XXX	100.0	XXX	96.9	3.1
11. Total Publicly Traded Bonds											
11.1 Class 1	360,412,599	272,340,145	149,922,282	7,020,718	1,909,502	791,605,246	90.7	768,495,581	92.2	791,605,246	XXX
11.2 Class 2	13,084,547	21,140,558	20,681,130	848,355	0	55,754,590	6.4	38,983,076	4.7	55,754,590	XXX
11.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	0	XXX
11.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	0	XXX
11.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	0	XXX
11.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	0	XXX
11.7 Totals	373,497,146	293,480,703	170,603,412	7,869,073	1,909,502	847,359,836	97.0	807,478,657	96.9	847,359,836	XXX
11.8 Line 11.7 as a % of Col. 6	44.1	34.6	20.1	0.9	0.2	100.0	XXX	XXX	XXX	100.0	XXX
11.9 Line 11.7 as a % of Line 9.7, Col. 6, Section 9	42.8	33.6	19.5	0.9	0.2	97.0	XXX	XXX	XXX	97.0	XXX
12. Total Privately Placed Bonds											
12.1 Class 1	9,073,701	8,912,187	3,996,559	0	0	21,982,447	2.5	23,886,428	2.9	XXX	21,982,447
12.2 Class 2	0	3,413,836	367,222	0	0	3,781,058	0.4	2,011,887	0.2	XXX	3,781,058
12.3 Class 3	0	0	0	0	0	0	0.0	0	0.0	XXX	0
12.4 Class 4	0	0	0	0	0	0	0.0	0	0.0	XXX	0
12.5 Class 5	0	0	0	0	0	0	0.0	0	0.0	XXX	0
12.6 Class 6	0	0	0	0	0	0	0.0	0	0.0	XXX	0
12.7 Totals	9,073,701	12,326,023	4,363,781	0	0	25,763,505	3.0	25,898,315	3.1	XXX	25,763,505
12.8 Line 12.7 as a % of Col. 6	35.2	47.8	16.9	0.0	0.0	100.0	XXX	XXX	XXX	XXX	100.0
12.9 Line 12.7 as a % of Line 9.7, Col. 6, Section 9	1.0	1.4	0.5	0.0	0.0	3.0	XXX	XXX	XXX	XXX	3.0

(a) Includes \$ 0 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.

(b) Includes \$ 1,792,903 current year, \$ 0 prior year of bonds with Z designations and \$ 0, current year \$ 0 prior year of bonds with Z* designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement. "Z*" means the SVO could not evaluate the obligation because valuation procedures for the security class are under regulatory review.

(c) Includes \$ 0 current year, \$ 0 prior year of bonds with 5* designations and \$ 0, current year \$ 0 prior year of bonds with 6* designations. "5*" means the NAIC designation was assigned by the (SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.

(d) Includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ 35,318,724 ; NAIC 2 \$ 8,341,183 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 2

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.5	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed
1. U.S. Governments											
1.1 Issuer Obligations	105,932,611	20,061,363	8,901,244	0	0	134,895,218	15.4	152,031,732	18.2	134,895,218	0
1.2 Residential Mortgage-Backed Securities	1,055	3,487	1,382	0	0	5,924	0.0	8,587	0.0	5,924	0
1.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
1.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
1.5 Totals	105,933,666	20,064,850	8,902,626	0	0	134,901,142	15.5	152,040,319	18.2	134,901,142	0
2. All Other Governments											
2.1 Issuer Obligations	0	2,604,917	0	0	0	2,604,917	0.3	3,987,287	0.5	2,604,917	0
2.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
2.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
2.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
2.5 Totals	0	2,604,917	0	0	0	2,604,917	0.3	3,987,287	0.5	2,604,917	0
3. U.S. States, Territories and Possessions, Guaranteed											
3.1 Issuer Obligations	6,219,982	24,682,091	30,389,600	0	0	61,291,673	7.0	72,383,037	8.7	61,291,673	0
3.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
3.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
3.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
3.5 Totals	6,219,982	24,682,091	30,389,600	0	0	61,291,673	7.0	72,383,037	8.7	61,291,673	0
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed											
4.1 Issuer Obligations	0	37,378,115	6,414,445	0	0	43,792,560	5.0	44,163,746	5.3	43,792,560	0
4.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
4.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
4.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
4.5 Totals	0	37,378,115	6,414,445	0	0	43,792,560	5.0	44,163,746	5.3	43,792,560	0
5. U.S. Special Revenue & Special Assessment Obligations etc., Non-Guaranteed											
5.1 Issuer Obligations	33,218,856	102,137,385	69,345,132	0	0	204,701,373	23.4	155,639,501	18.7	204,701,373	0
5.2 Residential Mortgage-Backed Securities	21,982,600	47,447,848	18,358,811	7,020,718	1,909,502	96,719,479	11.1	86,265,771	10.4	96,719,479	0
5.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	304,023	0.0	0	0
5.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
5.5 Totals	55,201,456	149,585,233	87,703,943	7,020,718	1,909,502	301,420,852	34.5	242,209,295	29.1	301,420,852	0
6. Industrial and Miscellaneous											
6.1 Issuer Obligations	215,215,740	71,491,520	41,556,578	848,355	0	329,112,193	37.7	318,456,450	38.2	303,348,687	25,763,506
6.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
6.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
6.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	136,840	0.0	0	0
6.5 Totals	215,215,740	71,491,520	41,556,578	848,355	0	329,112,193	37.7	318,593,290	38.2	303,348,687	25,763,506
7. Hybrid Securities											
7.1 Issuer Obligations	0	0	0	0	0	0	0.0	0	0.0	0	0
7.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
7.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
7.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
7.5 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0
8. Parent, Subsidiaries and Affiliates											
8.1 Issuer Obligations	0	0	0	0	0	0	0.0	0	0.0	0	0
8.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
8.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
8.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
8.5 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.5	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed
9. Total Bonds Current Year											
9.1 Issuer Obligations	360,587,189	258,355,391	156,606,999	848,355	0	776,397,934	88.9	XXX	XXX	750,634,428	25,763,506
9.2 Residential Mortgage-Backed Securities	21,983,655	47,451,335	18,360,193	7,020,718	1,909,502	96,725,403	11.1	XXX	XXX	96,725,403	0
9.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	XXX	XXX	0	0
9.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	XXX	XXX	0	0
9.5 Totals	382,570,844	305,806,726	174,967,192	7,869,073	1,909,502	873,123,337	100.0	XXX	XXX	847,359,831	25,763,506
9.6 Line 9.5 as a % of Col. 6	43.8	35.0	20.0	0.9	0.2	100.0	XXX	XXX	XXX	97.0	3.0
10. Total Bonds Prior Year											
10.1 Issuer Obligations	309,273,631	278,458,985	158,929,137	0	0	XXX	XXX	746,661,753	89.6	720,763,437	25,898,316
10.2 Residential Mortgage-Backed Securities	19,714,996	44,812,718	17,893,870	3,845,711	7,063	XXX	XXX	86,274,358	10.4	86,274,357	1
10.3 Commercial Mortgage-Backed Securities	24,115	111,829	168,079	0	0	XXX	XXX	304,023	0.0	304,024	(1)
10.4 Other Loan-Backed and Structured Securities	136,840	0	0	0	0	XXX	XXX	136,840	0.0	136,840	0
10.5 Totals	329,149,582	323,383,532	176,991,086	3,845,711	7,063	XXX	XXX	833,376,974	100.0	807,478,658	25,898,316
10.6 Line 10.5 as a % of Col. 8	39.5	38.8	21.2	0.5	0.0	XXX	XXX	100.0	XXX	96.9	3.1
11. Total Publicly Traded Bonds											
11.1 Issuer Obligations	351,513,488	246,029,368	152,243,218	848,355	0	750,634,429	86.0	720,763,437	86.5	750,634,429	XXX
11.2 Residential Mortgage-Backed Securities	21,983,655	47,451,335	18,360,193	7,020,718	1,909,502	96,725,403	11.1	86,274,357	10.4	96,725,403	XXX
11.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	304,024	0.0	0	XXX
11.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	136,840	0.0	0	XXX
11.5 Totals	373,497,143	293,480,703	170,603,411	7,869,073	1,909,502	847,359,832	97.0	807,478,658	96.9	847,359,832	XXX
11.6 Line 11.5 as a % of Col. 6	44.1	34.6	20.1	0.9	0.2	100.0	XXX	XXX	XXX	100.0	XXX
11.7 Line 11.5 as a % of Line 9.5, Col. 6, Section 9	42.8	33.6	19.5	0.9	0.2	97.0	XXX	XXX	XXX	97.0	XXX
12. Total Privately Placed Bonds											
12.1 Issuer Obligations	9,073,701	12,326,023	4,363,781	0	0	25,763,505	3.0	25,898,316	3.1	XXX	25,763,505
12.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	1	0.0	XXX	0
12.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	(1)	0.0	XXX	0
12.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	XXX	0
12.5 Totals	9,073,701	12,326,023	4,363,781	0	0	25,763,505	3.0	25,898,316	3.1	XXX	25,763,505
12.6 Line 12.5 as a % of Col. 6	35.2	47.8	16.9	0.0	0.0	100.0	XXX	XXX	XXX	XXX	100.0
12.7 Line 12.5 as a % of Line 9.5, Col. 6, Section 9	1.0	1.4	0.5	0.0	0.0	3.0	XXX	XXX	XXX	XXX	3.0

SCHEDULE DA - VERIFICATION BETWEEN YEARS

Short-Term Investments

	1	2	3	4	5
	Total	Bonds	Mortgage Loans	Other Short-term Investment Assets (a)	Investments in Parent, Subsidiaries and Affiliates
1. Book/adjusted carrying value, December 31 of prior year	194,539,154	194,539,154	0	0	0
2. Cost of short-term investments acquired	7,416,279,068	7,416,279,068	0	0	0
3. Accrual of discount	9,600	9,600	0	0	0
4. Unrealized valuation increase (decrease)	0	0	0	0	0
5. Total gain (loss) on disposals	766	766	0	0	0
6. Deduct consideration received on disposals	7,426,326,406	7,426,326,406	0	0	0
7. Deduct amortization of premium	1,645,639	1,645,639	0	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0	0	0	0
9. Deduct current year's other than temporary impairment recognized	0	0	0	0	0
10. Book adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	182,856,543	182,856,543	0	0	0
11. Deduct total nonadmitted amounts	0	0	0	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	182,856,543	182,856,543	0	0	0

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - VERIFICATION BETWEEN YEARS

(Cash Equivalents)

	1	2	3
	Total	Bonds	Other (a)
1. Book/adjusted carrying value, December 31 of prior year	10,586,325	10,586,325	0
2. Cost of cash equivalents acquired	269,768,311	269,768,311	0
3. Accrual of discount	1,799	1,799	0
4. Unrealized valuation increase (decrease)	0	0	0
5. Total gain (loss) on disposals	0	0	0
6. Deduct consideration received on disposals	280,330,000	280,330,000	0
7. Deduct amortization of premium	26,435	26,435	0
8. Total foreign exchange change in book/adjusted carrying value	0	0	0
9. Deduct current year's other than temporary impairment recognized	0	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	0	0
11. Deduct total nonadmitted amounts	0	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	0	0	0

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule A - Part 1 - Real Estate Owned

N O N E

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 1 - Mortgage Loans Owned

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE BA - PART 1

Showing Other Long-Term Invested Assets OWNED December 31 of Current Year

1 CUSIP Identi- fication	2 Name or Description	3 Code	4 Location		6 Name of Vendor or General Partner	7 NAIC Designation	8 Date Originally Acquired	9 Type and Strategy	10 Actual Cost	11 Fair Value	12 Book/ Adjusted Carrying Value Less Encum- brances	Change in Book/Adjusted Carrying Value					18 Invest- ment Income	19 Commit- ment for Additional Invest- ment	20 Percen- tage of Own- ership	
			4 City	5 State								13 Unrealized Valuation Increase (Decrease)	14 Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion	15 Current Year's Other Than Temporary Impair- ment Recogn- ized	16 Capital- ized Deferred Interest and Other	17 Total Foreign Exchange Change in Book/ Adjusted Carrying Value				
	US Bancorp LIH Fund 2012-5 LLC Prv P 0.000% 12/31/23				US Bancorp	.12	.07/02/2012		8,949,246	8,949,246	8,949,246	0	0	0	0	0	0	0	0	0.000
2999999. Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated									8,949,246	8,949,246	8,949,246	0	0	0	0	0	0	0	0	XXX
3999999. Total - Unaffiliated									8,949,246	8,949,246	8,949,246	0	0	0	0	0	0	0	0	XXX
4099999. Total - Affiliated									0	0	0	0	0	0	0	0	0	0	XXX	
4199999 - Totals									8,949,246	8,949,246	8,949,246	0	0	0	0	0	0	0	XXX	

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Year

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/ Adjusted Carrying Value Less Encum- brances, Prior Year	Change in Book/Adjusted Carrying Value						15 Book/ Adjusted Carrying Value Less Encum- brances on Disposal	16 Consid- eration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Invest- ment Income		
		3 City	4 State					9 Unrealized Valuation Increase (De- crease)	10 Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion	11 Current Year's Other Than Temporary Impair- ment Recogn- ized	12 Capital- ized Deferred Interest and Other	13 Total Change in Book/ Adjusted Carrying Value (9+10- 11+12)	14 Total Foreign Exchange Change in Book/ Adjusted Carrying Value								
	US Bancorp LIH Fund 2012-5 LLC Prv P 0.000% 12/31/23			Paydown	07/02/2012	09/15/2012	0	0	(65,484)	0	0	(65,484)	0	65,484	0	0	0	0	0		
	US Bancorp LIH Fund 2012-5 LLC Prv P Low 0.000% 12/31/23			Paydown	07/02/2012	12/15/2012	0	0	(65,481)	0	0	(65,481)	0	65,481	0	0	0	0	0		
2999999. Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated								0	0	(130,965)	0	0	(130,965)	0	130,965	0	0	0	0	0	
3999999. Total - Unaffiliated								0	0	(130,965)	0	0	(130,965)	0	130,965	0	0	0	0	0	0
4099999. Total - Affiliated								0	0	0	0	0	0	0	0	0	0	0	0	0	0
4199999 - Totals								0	0	(130,965)	0	0	(130,965)	0	130,965	0	0	0	0	0	0

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
912828-AU-4	US Treasury Note	SD			1	21,015,938	100.4650	19,590,675	19,500,000	19,561,360	0	(499,429)	0	0	3.875	1.285	FA	285,413	755,625	06/17/2010	02/15/2013
912828-AU-4	US Treasury Note				1	1,560,762	100.4650	1,506,975	1,500,000	1,506,822	0	(53,940)	0	0	3.875	0.153	FA	21,955	58,125	01/12/2012	02/15/2013
912828-BR-0	US Treasury Note	SD			1	22,732,823	103.5360	21,959,986	21,210,000	21,756,933	0	(625,727)	0	0	4.250	1.257	MN	117,036	901,425	06/07/2011	11/15/2013
912828-CJ-7	US Treasury Note				1	2,274,149	106.1840	2,123,680	2,000,000	2,074,785	0	(53,384)	0	0	4.750	1.970	MN	12,334	95,000	02/26/2009	05/15/2014
912828-EI-6	US Treasury Note	SD			1	1,016,469	112.7110	1,014,399	900,000	970,516	0	(21,748)	0	0	4.500	1.901	FA	15,298	40,500	02/08/2011	02/15/2016
912828-GS-3	US Treasury Note	SD			1	1,986,344	116.7860	1,985,022	1,700,000	1,944,619	0	(41,724)	0	0	4.500	1.117	MN	9,932	76,500	03/23/2012	05/15/2017
912828-HH-6	US Treasury Note	SD			1	5,871,875	117.0470	5,852,350	5,000,000	5,845,988	0	(25,887)	0	0	4.250	0.709	MN	27,590	106,250	11/06/2012	11/15/2017
912828-HQ-6	US Treasury Note				1	2,063,750	100.2350	2,004,700	2,000,000	2,004,370	0	(53,566)	0	0	2.875	0.192	JJ	24,063	57,500	11/21/2011	01/31/2013
912828-HT-0	US Treasury Bond				1	3,086,064	100.4340	3,013,020	3,000,000	3,012,310	0	(72,385)	0	0	2.750	0.187	FA	28,032	82,500	02/10/2012	02/28/2013
912828-HV-5	US Treasury Note				1	4,727,961	100.5980	4,627,508	4,600,000	4,625,602	0	(97,014)	0	0	2.500	0.221	MS	29,382	115,000	02/27/2012	03/31/2013
912828-HY-9	US Treasury Note				1	3,132,070	101.0040	3,030,120	3,000,000	3,028,463	0	(86,549)	0	0	3.125	0.235	AO	16,057	93,750	10/20/2011	04/30/2013
912828-JB-7	US Treasury Note				1	3,146,250	101.4070	3,042,210	3,000,000	3,040,481	0	(98,136)	0	0	3.500	0.222	MN	9,231	105,000	12/02/2011	05/31/2013
912828-JG-6	US Treasury Note				1	1,044,844	101.8790	1,018,790	1,000,000	1,018,168	0	(26,676)	0	0	3.375	0.246	JJ	14,124	16,875	02/22/2012	07/31/2013
912828-JK-7	US Treasury Note				1	1,043,047	101.9610	1,019,610	1,000,000	1,018,795	0	(24,252)	0	0	3.125	0.273	FA	10,618	31,250	02/23/2012	08/31/2013
912828-JQ-4	US Treasury Note				1	4,109,219	102.1370	4,085,480	4,000,000	4,084,344	0	(24,875)	0	0	2.750	0.202	AO	18,840	55,000	10/03/2012	10/31/2013
912828-JT-8	US Treasury Note				1	8,156,094	101.6610	8,132,880	8,000,000	8,129,487	0	(26,607)	0	0	2.000	0.223	MN	14,066	80,000	10/31/2012	11/30/2013
912828-JZ-4	US Treasury Note				1	2,034,766	101.6840	2,033,680	2,000,000	2,032,947	0	(1,818)	0	0	1.750	0.224	JJ	14,647	0	12/07/2012	01/31/2014
912828-NH-9	US Treasury Note				1	3,546,328	100.4690	3,516,415	3,500,000	3,514,216	0	(29,737)	0	0	1.125	0.228	JD	1,839	39,375	02/13/2012	06/15/2014
912828-NN-6	US Treasury Note				1	2,016,953	100.4770	2,009,540	2,000,000	2,008,285	0	(8,668)	0	0	1.000	0.229	JJ	9,239	10,000	06/07/2012	07/15/2013
912828-NU-0	US Treasury Note				1	3,026,133	100.3870	3,011,610	3,000,000	3,010,239	0	(15,894)	0	0	0.750	0.201	FA	8,499	22,500	01/12/2012	08/15/2013
912828-PB-0	US Treasury Note				1	1,004,102	100.2740	1,002,740	1,000,000	1,001,779	0	(2,261)	0	0	0.500	0.273	AO	1,071	5,000	12/21/2011	10/15/2013
912828-PR-5	US Treasury Note				1	1,507,500	100.0590	1,500,885	1,500,000	1,500,548	0	(6,715)	0	0	0.625	0.177	JJ	3,923	9,375	12/16/2011	01/31/2013
912828-PU-8	US Treasury Note				1	1,003,672	100.2930	1,002,930	1,000,000	1,001,878	0	(1,794)	0	0	0.500	0.284	MN	649	5,000	03/01/2012	11/15/2013
912828-QK-9	US Treasury Note				1	1,508,555	100.1020	1,501,530	1,500,000	1,501,051	0	(6,568)	0	0	0.625	0.187	FA	3,185	9,375	11/09/2011	02/28/2013
912828-OZ-6	US Treasury Note				1	5,002,165	100.1760	5,008,800	5,000,000	5,000,448	0	(1,085)	0	0	0.500	0.478	MN	2,198	25,000	05/31/2011	05/31/2013
912828-RA-0	US Treasury Note				1	2,002,734	100.1370	2,002,740	2,000,000	2,001,235	0	(1,499)	0	0	0.375	0.251	JD	21	7,500	05/23/2012	06/30/2013
912828-RK-8	US Treasury Note				1	2,993,203	99.9890	2,999,670	3,000,000	2,996,836	0	3,633	0	0	0.125	0.267	MS	958	3,750	02/22/2012	09/30/2013
912828-RM-4	US Treasury Note				1	5,191,689	101.8830	5,297,916	5,200,000	5,193,595	0	1,634	0	0	1.000	1.033	AO	8,906	52,000	10/31/2011	10/31/2016
912828-RN-2	US Treasury Note				1	2,000,625	100.0790	2,001,580	2,000,000	2,000,507	0	(118)	0	0	0.250	0.219	AO	856	2,500	10/22/2012	10/31/2013
912828-RR-3	US Treasury Note				1	3,571,934	103.6720	3,711,458	3,580,000	3,572,737	0	768	0	0	2.000	2.025	MN	9,296	71,600	12/27/2011	11/15/2021
912828-SB-7	US Treasury Note				1	1,998,047	100.0790	2,001,580	2,000,000	1,998,911	0	864	0	0	0.250	0.300	JJ	2,092	2,500	02/17/2012	01/31/2014
912828-SF-8	US Treasury Note				1	5,331,424	103.2970	5,469,576	5,295,000	5,328,507	0	(2,917)	0	0	2.000	1.924	FA	40,000	52,900	02/15/2012	02/15/2022
0199999	Subtotal - Bonds - U.S. Governments - Issuer Obligations					130,707,489	XXX	128,080,055	124,985,000	127,286,762	0	(1,904,074)	0	0	XXX	XXX	XXX	761,350	2,988,725	XXX	XXX
36220N-VU-8	GNMA Pool 283327 MBS			2	1	5,937	116.1460	6,892	5,934	5,924	0	(4)	0	0	9.000	9.093	MON	45	534	11/16/1989	12/15/2019
0299999	Subtotal - Bonds - U.S. Governments - Residential Mortgage-Backed Securities					5,937	XXX	6,892	5,934	5,924	0	(4)	0	0	XXX	XXX	XXX	45	534	XXX	XXX
0599999	Total - U.S. Government Bonds					130,713,426	XXX	128,086,947	124,990,934	127,292,686	0	(1,904,078)	0	0	XXX	XXX	XXX	761,395	2,989,259	XXX	XXX
683234-DP-0	Ontario Province Onda Corp Note Non Call		A		1FE	2,606,344	103.1820	2,682,732	2,600,000	2,604,917	0	(1,268)	0	0	1.600	1.547	MS	11,556	41,600	11/09/2011	09/21/2016
0699999	Subtotal - Bonds - All Other Governments - Issuer Obligations					2,606,344	XXX	2,682,732	2,600,000	2,604,917	0	(1,268)	0	0	XXX	XXX	XXX	11,556	41,600	XXX	XXX
1099999	Total - All Other Government Bonds					2,606,344	XXX	2,682,732	2,600,000	2,604,917	0	(1,268)	0	0	XXX	XXX	XXX	11,556	41,600	XXX	XXX
20772J-B0-4	CT St GO Non Call				1FE	4,897,916	126.1150	5,296,830	4,200,000	4,884,902	0	(71,727)	0	0	5.000	2.801	MN	26,833	210,000	05/19/2011	05/15/2021
246380-3M-9	DE St GO Non Call				1FE	3,968,986	108.2430	3,631,553	3,355,000	3,541,742	0	(104,522)	0	0	5.000	1.751	AO	41,938	167,750	10/09/2009	10/01/2014
34153P-YX-0	FL St Brd Ed Pub GO Non Call				1FE	5,604,150	123.4600	6,173,000	5,000,000	5,484,300	0	(66,759)	0	0	5.000	3.311	JD	20,833	250,000	02/16/2011	06/01/2019
373384-UQ-7	GA St GO Non Call				1FE	3,731,880	127.0150	3,810,450	3,000,000	3,628,534	0	(77,003)	0	0	5.000	1.980	JJ	75,000	144,583	08/23/2011	07/01/2020
574193-DX-3	MD St GO Non Call				1FE	6,032,600	117.8930	5,894,650	5,000,000	5,872,702	0	(159,898)	0	0	5.000	0.770	MS	73,611	121,528	03/08/2012	03/15/2017
57582N-2Y-0	MA St GO Cont Call Prfd		1		1FE	4,394,726	112.0040	4,648,166	4,150,000	4,252,755	0	(35,946)	0	0	5.000	4.010	MS	69,167	207,500	10/10/2008	09/01/2025
604129-XB-7	MN St GO Non Call				1FE	3,657,180	122.3080	3,669,240	3,000,000	3,478,307	0	(80,264)	0	0	5.000	1.971	FA	62,500	150,000	09/09/2010	08/01/2018
606301-JG-5	MO St GO Non Call				1FE	5,052,780	116.3910	5,237,595	4,500,000	4,775,652	0	(88,215)	0	0	5.000	3.250	AO	56,250	225,000	09/16/2008	10/01/2016
658256-VC-3	NC St GO Non Call				1FE	3,269,599	105.9310	3,225,599	3,045,000	3,099,849	0	(42,383)	0	0	5.000	3.510	AO	38,063	152,250	10/01/2008	04/01/2014
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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22	
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date	
1199999. Subtotal - Bonds - U.S. States, Territories and Possessions - Issuer Obligations						64,199,628	XXX	64,910,786	55,635,000	61,291,673	0	(1,189,306)	0	0	XXX	XXX	XXX		939,008	2,647,861	XXX	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds						64,199,628	XXX	64,910,786	55,635,000	61,291,673	0	(1,189,306)	0	0	XXX	XXX	XXX		939,008	2,647,861	XXX	XXX
088275-7A-4	Bexar Cnty TX GO Cont Call			1	1FE	2,384,470	110.7530	2,525,168	2,280,000	2,323,091	0	(16,286)	0	0	5.250	4.428	JD	5,320	119,700	11/19/2008	06/15/2019	
184540-ZJ-4	Clear Creek TX GO Non Call				1FE	2,764,802	122.8800	2,973,696	2,420,000	2,643,543	0	(32,424)	0	0	5.000	3.320	FA	45,711	121,000	01/22/2009	02/15/2019	
232760-F8-0	Cypress TX Indpt Sch GO Non Call				1FE	2,521,765	105.3020	2,448,272	2,325,000	2,370,227	0	(38,982)	0	0	5.000	3.220	FA	43,917	116,250	11/25/2008	02/15/2014	
235218-4B-7	Dallas TX GO Non Call				1FE	3,410,550	105.2790	3,158,370	3,000,000	3,101,045	0	(88,236)	0	0	5.000	1.950	FA	56,667	150,000	05/20/2009	02/15/2014	
235218-N8-3	Dallas TX GO Cont Call			1	1FE	2,225,420	109.4400	2,188,800	2,000,000	2,091,280	0	(41,210)	0	0	5.000	2.770	FA	37,778	100,000	08/18/2009	02/15/2017	
349460-Y3-3	Fort Worth TX Sch Dist GO Non Call				1FE	4,981,747	109.5540	4,825,854	4,405,000	4,629,265	0	(101,638)	0	0	5.000	2.521	FA	83,206	220,250	04/29/2009	02/15/2015	
358802-Y9-1	Frisco TX Indp Sch Dist GO Cont Call			1	1FE	1,248,000	118.1270	1,417,524	1,200,000	1,227,295	0	(5,211)	0	0	5.000	4.450	FA	22,667	60,000	09/17/2008	08/15/2021	
401784-WK-5	Guilford Cnty NC GO Non Call				1FE	2,832,224	120.9070	2,877,587	2,380,000	2,649,157	0	(48,894)	0	0	5.000	2.610	FA	49,583	119,000	02/09/2009	02/01/2018	
442402-4F-7	Houston TX Ind Sch Dist GO Non Call				1FE	1,217,712	107.0530	1,231,110	1,150,000	1,169,564	0	(12,121)	0	0	5.000	3.850	JJ	26,514	57,500	10/07/2008	07/15/2014	
49474F-BD-1	King Cnty WA GO Non Call				1FE	2,539,530	119.1740	2,502,654	2,100,000	2,508,487	0	(31,043)	0	0	5.000	0.941	JD	8,750	31,208	07/17/2012	12/01/2017	
584002-MW-6	Mecklenburg Cnty NC GO Non Call				1FE	1,195,140	122.5520	1,225,520	1,000,000	1,121,745	0	(19,982)	0	0	5.000	2.641	FA	20,833	50,000	02/12/2009	08/01/2018	
584002-PC-7	Mecklenburg Cnty NC GO Non Call				1FE	5,252,285	114.0810	5,156,461	4,500,000	4,890,159	0	(111,793)	0	0	5.000	2.300	MS	75,333	226,000	03/20/2009	03/01/2016	
591852-TM-0	Metropolitan Council MN GO Non Call				1FE	4,662,389	119.3600	4,595,360	3,850,000	4,582,811	0	(79,577)	0	0	5.000	0.830	MS	104,806	0	06/06/2012	09/01/2017	
659154-3Z-9	North East ISD TX GO Cont Call			1	1FE	1,058,871	107.0780	1,102,903	1,030,000	1,038,568	0	(5,111)	0	0	5.000	4.450	FA	21,458	51,500	09/17/2008	08/01/2021	
717146-MG-6	Pharr San Juan Alama TX GO Non Call				1FE	2,166,100	105.1260	2,102,520	2,000,000	2,036,970	0	(32,988)	0	0	5.000	3.250	FA	41,667	100,000	11/14/2008	02/01/2014	
779239-R6-0	Round Rock TX Sch Dist GO Cont Call			1	1FE	5,719,220	111.3050	5,787,860	5,200,000	5,409,352	0	(76,313)	0	0	5.000	3.360	FA	108,333	260,000	09/12/2008	08/01/2016	
1899999. Subtotal - Bonds - U.S. Political Subdivisions - Issuer Obligations						46,180,225	XXX	46,119,659	40,860,000	43,792,559	0	(741,809)	0	0	XXX	XXX	XXX		752,543	1,782,408	XXX	XXX
2499999. Total - U.S. Political Subdivisions Bonds						46,180,225	XXX	46,119,659	40,860,000	43,792,559	0	(741,809)	0	0	XXX	XXX	XXX		752,543	1,782,408	XXX	XXX
040580-FA-5	AZ Sch Fac Rev Bond Non Call				1FE	4,254,000	109.0180	4,360,720	4,000,000	4,088,505	0	(41,791)	0	0	5.000	3.840	JJ	100,000	200,000	10/09/2008	01/01/2015	
052476-AA-0	Austin TX Wtr & Wstwr Rev Bond Cont Call			1	1FE	4,971,880	125.5820	5,023,280	4,000,000	4,936,609	0	(35,271)	0	0	5.000	2.331	MN	25,556	74,444	07/12/2012	11/15/2023	
13034A-BA-7	CA St Infra Rev Bond Non Call				1FE	2,613,525	123.2080	2,556,566	2,075,000	2,545,190	0	(68,335)	0	0	5.000	0.940	AO	25,938	63,115	02/02/2012	10/01/2018	
13066Y-QM-4	CA St Dpt of Wtr Rev Bond Non Call				1FE	3,314,578	123.8090	3,621,413	2,925,000	3,213,059	0	(40,377)	0	0	5.000	3.265	MN	146,250	146,250	05/28/2010	05/01/2019	
13066Y-QN-2	CA St Dpt of Wtr Rev Bond Non Call				1FE	3,946,697	124.8890	4,308,671	3,450,000	3,836,190	0	(45,960)	0	0	5.000	3.270	MN	28,750	172,500	07/09/2010	05/01/2020	
13066Y-SP-5	CA St Dpt of Wtr Rev Bond Non Call				1FE	2,389,080	124.8890	2,497,780	2,000,000	2,334,258	0	(41,110)	0	0	5.000	2.492	MN	16,667	100,000	08/17/2011	05/01/2020	
167593-FZ-0	Chicago IL O'Hare Arpt Rev Bond Non Call				1FE	4,331,080	115.9360	4,637,440	4,000,000	4,256,173	0	(45,990)	0	0	5.000	3.589	JJ	100,000	231,111	04/27/2011	01/01/2018	
176553-GL-3	Citizens PPTY Ins Corp FL Rev Bond Non Call				1FE	4,476,160	112.9670	4,518,680	4,000,000	4,427,742	0	(48,418)	0	0	5.000	2.431	JD	16,667	88,889	06/13/2012	06/01/2017	
207758-KW-2	CT St Spl Tax Rev Bond Non Call				1FE	3,999,543	122.1960	4,295,189	3,515,000	3,892,433	0	(58,495)	0	0	5.000	2.980	MN	29,232	175,750	02/17/2011	11/01/2018	
254776-CR-4	DC Inc Tax Rev Bond Non Call				1FE	1,585,304	104.4380	1,462,132	1,400,000	1,441,085	0	(44,202)	0	0	5.000	1.751	JD	5,833	70,000	08/19/2009	12/01/2013	
29270C-HI-8	Energy NW WA Elec Rev Bond Cont Call			1	1FE	3,117,510	107.0500	3,099,098	2,895,000	2,959,038	0	(40,767)	0	0	5.250	3.720	JJ	75,994	151,988	11/14/2008	07/01/2015	
29270C-LU-7	Energy NW WA Elec Rev Bond Non Call				1FE	3,789,760	114.9730	4,254,001	3,700,000	3,745,646	0	(12,028)	0	0	5.000	4.609	JJ	92,500	185,000	03/02/2009	07/01/2016	
313376-A9-6	FHLB Note Non Call				1FE	1,000,540	100.0840	1,000,840	1,000,000	1,000,122	0	(361)	0	0	0.370	0.334	MN	596	3,700	11/03/2011	05/03/2013	
313380-3D-7	FHLB Note Call			1	1FE	1,000,000	100.0140	1,000,140	1,000,000	1,000,000	0	0	0	0	0.300	0.300	FA	1,192	0	07/10/2012	08/08/2013	
3133XW-KV-0	FHLB Note Non Call				1FE	2,053,996	102.5960	2,051,920	2,000,000	2,050,935	0	(3,061)	0	0	2.375	0.248	MS	14,118	0	12/05/2012	03/14/2014	
3134G1-U6-9	FHLB Note Non Call				1	1,499,497	100.0040	1,500,060	1,500,000	1,499,994	0	254	0	0	0.280	0.377	JAJO	1,218	4,744	02/02/2011	01/10/2013	
3134G2-HF-2	FHLB Note Non Call				1	1,500,315	99.9910	1,499,865	1,500,000	1,500,082	0	(223)	0	0	0.154	0.213	MON	103	2,865	12/15/2011	05/16/2013	
3134G3-JR-2	FHLB Note Non Call				1	1,499,700	100.2710	1,504,065	1,500,000	1,499,846	0	146	0	0	0.450	0.360	JJ	3,225	3,375	01/05/2012	01/09/2014	
3134G3-W7-1	FHLB Note Call			1	1	3,000,000	99.9940	2,999,820	3,000,000	3,000,000	0	0	0	0	0.350	0.350	MN	1,021	0	11/07/2012	11/26/2014	
3134G3-Y6-1	FHLB Note Call			1	1	2,000,000	100.0310	2,000,620	2,000,000	2,000,000	0	0	0	0	0.375	0.375	JD	438	0	11/16/2012	12/10/2014	
3135G0-BR-3	FNMA Note Non Call				1	2,005,350	100.1930	2,003,860	2,000,000	2,001,972	0	(3,240)	0	0	0.500	0.337	FA	3,944	10,000	12/15/2011	08/09/2013	
3135G0-P0-0	FNMA Note Non Call				1	2,399,880	100.4050	2,409,720	2,400,000	2,399,884	0	4	0	0	0.875	0.876	AO	3,792	1,867	09/20/2012	10/26/2017	
3135G0-RD-7	FNMA Note Call				1	4,080,000	99.9390	4,077,511	4,080,000	4,080,000	0	0	0	0	1.550	1.548	AO	10,891	0	10/12/2012	10/29/2019	
3136F9-CB-7	FNMA Note Non Call				1	1,041,180	100.7430	1,007,430	1,000,000	1,007,231	0	(33,949)	0	0	4.000	0.202	MS	12,222	40,000	02/09/2012	03/11/2013	
3137EA-CL-1	FHLB Note Non Call				1	2,018,376	100.5710	2,011,420	2,000,000	2,008,349	0	(10,027)	0	0	0.875	0.365	AO	3,063	17,500	01/05/2012	10/28/2013	
3137EA-CT-4	FHLB Note Non Call				1	11,513,636	106.6950	11,619,086	10,890,000	11,363,218	0	(135,525)	0	0	2.500	1.193	MN	25,713	272,250	11/23/2011	05/27/2016	
31398A-2S-0	FNMA Note Non Call				1	3,034,538	100.5790	3,017,370	3,000,000	3,013,984	0	(19,141)	0	0	1.000	0.354	MS	8,167	30,000	01/05/2012	09/23/2013	
37358M-DF-5	GA St Rd and Twy Rev Bond Non Call				1FE	4,050,197																

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
544712-R7-9	LA Onty CA Met Trans Rev Bond Non Call				1FE	3,333,930		115,1230	3,453,690	3,000,000		3,178,374			5.000	3.191	JJ	75,000	150,000	07/24/2009	07/01/2016
544712-Y3-0	LA Onty CA Met Trans Rev Bond Non Call				1FE	4,337,437		111,3650	4,204,029	3,775,000		4,030,638			5.000	2.201	JJ	94,375	188,750	10/07/2009	07/01/2015
549208-EH-5	Lubbock TX health Fac Dev Rev Bond Non Call				1FE	3,290,700		118,2030	3,546,090	3,000,000		3,243,217			5.000	3.590	JJ	75,000	144,583	07/07/2011	07/01/2019
574204-TR-4	MD Dept Trans Cons Rev Bond Non Call				1FE	2,177,040		113,7730	2,275,460	2,000,000		2,081,971			5.000	3.600	FA	37,778	100,000	11/13/2008	02/15/2016
575579-VT-1	MA Bay Tran Auth Rev Bond Non Call				1FE	4,144,767		118,9930	4,355,144	3,680,000		3,957,786			5.000	3.051	JJ	91,500	183,000	10/20/2009	07/01/2017
575579-XH-5	MA Bay Tran Auth Rev Bond Non Call				1	42,328		117,1550	46,862	40,000		41,676			5.500	4.200	JJ	1,100	2,200	06/27/2011	07/01/2016
575579-XK-8	MA Bay Tran Auth Rev Bond Non Call				1FE	1,015,863		116,8130	1,121,405	960,000		1,000,229			5.500	4.200	JJ	26,400	52,800	06/27/2011	07/01/2016
59259N-BR-3	NY St Metro Trans Rev Bond Non Call				1FE	5,672,300		111,3760	5,568,800	5,000,000		5,247,337			5.500	3.200	AO	68,750	275,000	09/17/2008	04/01/2015
59447P-NG-2	MI St Fin Auth Rev Bond Cont Call				1	2,674,710		118,1670	2,658,758	2,250,000		2,644,754			5.000	1.650	JJ	57,500		07/18/2012	07/01/2021
60636W-HK-4	MO St Hwy Trans Rev Bond Non Call				1FE	5,304,922		110,6770	5,069,007	4,580,000		5,051,466			5.000	0.550	MN	38,167	229,000	09/21/2011	05/01/2015
647310-K2-6	MM St Sev Tax Rev Bond Non Call				1FE	1,928,870		123,8720	2,043,888	1,650,000		1,851,742			5.000	2.921	JJ	41,250	82,500	03/02/2010	07/01/2019
649717-FN-7	NY NYC Tr Cultural Rev Bond Non Call				1FE	2,156,944		113,5750	2,129,531	1,875,000		2,123,734			4.000	1.030	FA	50,000		05/09/2012	08/01/2017
64971K-M8-6	NY NYC Trans Fin Rev Bond Non Call				1FE	2,769,702		104,0390	2,705,014	2,600,000		2,629,946			5.000	3.580	MN	21,667	130,000	10/01/2008	11/01/2013
64971Q-U5-0	NY NYC Trans Fin Rev Bond Non Call				1Z	1,763,385		100,0000	1,620,000	1,620,000		1,762,329			5.000	3.030	MN			12/18/2012	11/01/2017
64971Q-U8-4	NY NYC Trans Fin Rev Bond Non Call				1FE	3,679,161		119,2110	4,029,332	3,380,000		3,676,959			5.000	3.030	MN	28,167		12/18/2012	11/01/2017
64972F-A7-1	NY NYC Wtr Fin Auth Rev Bond Non Call				1FE	1,148,120		120,3960	1,203,960	1,000,000		1,091,967			5.000	3.151	JD		50,000	02/19/2009	06/15/2018
650028-OZ-9	NY St Twy Auth Rev Bond Non Call				1FE	4,458,400		116,7060	4,668,240	4,000,000		4,282,840			5.000	3.312	MS	58,889	200,000	06/10/2009	03/15/2017
759911-K5-8	Reg Trns Auth IL Rev Bond Non Call				1FE	3,675,210		131,9730	3,959,190	3,000,000		3,505,892			6.250	3.900	JJ	93,750	187,500	05/08/2009	07/01/2021
759911-WB-2	Reg Trns Auth IL Rev Bond Non Call				1FE	1,760,419		121,1940	1,872,447	1,545,000		1,674,796			5.500	3.770	JD	7,081	84,975	09/09/2008	06/01/2018
759911-WC-0	Reg Trns Auth IL Rev Bond Non Call				1FE	4,765,646		123,9580	5,200,038	4,195,000		4,565,212			5.500	3.930	JD	19,227	230,725	09/09/2008	06/01/2019
796253-P4-8	San Antonio TX Elec Gas Rev Bond Non Call				1FE	4,311,240		100,4450	4,017,800	4,000,000		4,006,784			5.500	3.452	FA	91,667	220,000	12/12/2008	02/01/2013
796253-W3-2	San Antonio TX Elec Gas Rev Bond Non Call				1Z	31,836		100,0000	30,000	30,000		30,573			5.250	3.438	FA	656	1,575	06/16/2010	02/01/2014
796253-W4-0	San Antonio TX Elec Gas Rev Bond Non Call				1FE	3,151,788		105,3960	3,130,261	2,970,000		3,026,755			5.250	3.438	FA	64,969	155,925	06/16/2010	02/01/2014
812643-FS-8	Seattle WA Lt & Pwr Rev Bond Cont Call				1FE	2,166,660		124,0970	2,481,940	2,000,000		2,139,799			5.000	3.960	FA	41,667	100,000	01/13/2011	02/01/2022
812728-PF-4	Seattle WA Water Sys Rev Bond Non Call				1FE	1,660,875		119,9120	1,852,640	1,545,000		1,614,624			5.000	4.011	FA	32,188	77,250	11/20/2008	02/01/2018
875128-HP-8	Tampa Bay FL Util Rev Bond Non Call				1FE	2,328,900		130,1570	2,603,140	2,000,000		2,252,568			5.500	3.790	AO	27,500	110,000	08/31/2009	10/01/2021
882135-T4-8	TX A&M Univ Rev Bond Non Call				1FE	4,494,520		110,5750	4,423,000	4,000,000		4,221,114			5.000	2.580	MN	25,556	200,000	10/15/2009	05/15/2015
927781-K5-2	VA Cig Bldg Auth Rev Bond Non Call				1FE	2,519,600		123,7880	2,475,760	2,000,000		2,516,049			5.000	1.190	FA	5,000		12/07/2012	02/01/2020
927793-UN-7	VA Comwlth Trans Brd Rev Bond Non Call				1FE	4,390,540		123,3550	4,317,425	3,500,000		4,289,084			5.000	1.301	MN	22,361	127,361	02/01/2012	05/15/2019
92817F-Q2-5	VA St Pub Sch Auth Rev Bond Cont Call				1FE	3,276,679		102,7500	2,964,338	2,885,000		2,949,028			5.000	1.176	FA	60,104	144,250	03/04/2010	08/01/2022
92817S-GP-7	VA St Pub Sch Auth Rev Bond Non Call				1FE	3,012,897		111,4850	3,199,620	2,870,000		2,929,348			5.000	4.148	FA	59,792	143,500	10/10/2008	08/01/2015
92817S-LR-7	VA St Pub Sch Auth Rev Bond Cont Call				1FE	3,442,500		123,0700	3,692,100	3,000,000		3,301,647			5.000	3.289	FA	62,500	150,000	04/22/2009	08/01/2020
977109-BU-0	WI St Petro Rev Bond Non Call				1FE	3,416,340		110,7300	3,321,900	3,000,000		3,189,728			5.000	2.379	JJ	75,000	150,000	10/09/2009	07/01/2015
2599999	Subtotal - Bonds - U.S. Special Revenues - Issuer Obligations					205,914,093	XXX	210,072,640	185,355,000	199,193,060	0	(2,720,313)	0	0	XXX	XXX	XXX	2,398,983	7,010,992	XXX	XXX
3128PC-2X-9	FHLMC Pool J01690 MBS				1	165,518		108,8150	180,164	165,570		165,451			5.500	5.503	MON	759	9,106	09/21/2006	04/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS				2	282,859		110,8140	308,339	278,250		281,720			6.000	5.484	MON	1,391	16,695	11/02/2006	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS				2	240,086		109,9400	260,291	236,757		239,253			6.000	5.558	MON	1,184	14,205	11/09/2006	10/01/2021
3128PE-4R-2	FHLMC Pool J03002 MBS				2	270,268		108,5490	294,063	270,903		270,279			5.500	5.570	MON	1,242	14,900	09/05/2006	07/01/2021
3128PK-MU-5	FHLMC Pool J07571 MBS				2	430,411		107,8110	458,870	425,623		429,589			5.500	5.151	MON	1,951	23,409	11/05/2008	04/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS				2	818,670		108,8150	880,922	809,563		817,241			5.500	5.135	MON	3,711	44,526	11/05/2008	08/01/2023
3128PP-2M-4	FHLMC Pool J10780 MBS				2	1,387,887		108,6510	1,453,661	1,337,924		1,383,064			4.500	3.237	MON	5,017	60,206	10/29/2009	09/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS				2	2,461,968		108,6510	2,606,719	2,399,177		2,455,809			4.500	3.575	MON	8,997	107,963	07/21/2009	07/01/2024
3128PP-YL-1	FHLMC Pool J10715 MBS				2	1,642,051		109,0260	1,719,849	1,577,473		1,636,001			4.500	3.077	MON	5,916	70,986	10/30/2009	09/01/2024
3128PQ-RG-8	FHLMC Pool J11387 MBS				2	624,884		108,5650	669,407	624,113		624,113			4.000	3.549	MON	2,055	24,664	12/22/2009	12/01/2024
3128PR-SS-4	FHLMC Pool J12657 MBS				2	367,696		107,7440	373,526	346,678		366,615			4.500	2.334	MON	1,300	15,601	05/16/2011	07/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS				2	897,273		108,6510	922,565	849,112		893,978			4.500	2.479	MON	3,184	38,210	06/16/2010	06/01/2025
3128PT-FL-4	FHLMC Pool J13771 MBS				2	1,034,026		106,5930	1,034,500	1,026,806		1,033,553			3.500	3.237	MON	2,995	35,938	01/10/2011	12/01/2025
3128PT-MF-9	FHLMC Pool J13958 MBS				2	1,223,089		107,9080	1,278,078	1,184,411		1,220,656			4.000	2.889	MON	3,948	47,376	12/13/2010	12/01/2025
3128PU-KU-5	FHLMC Pool J14807 MBS				2	270,029		107,6510	273,951	254,482		254,482			4.500	2.429	MON	954	11,452	05/19/2011	03/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS				2																

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	N A I C D e s .	A c t u a l C o s t	R a t e U s e d t o O b t a i n F a i r V a l u e	F a i r V a l u e	P a r V a l u e	B o o k / A d j u s t e d C a r r y i n g V a l u e	U n r e a l i z e d V a l u a t i o n I n c r e a s e / (D e c r e a s e)	C u r r e n t Y e a r ' s (A m o r t i z a t i o n) A c c r e t i o n	C u r r e n t Y e a r ' s T e m p o r a r y I m p a i r m e n t R e c o g n i z e d	T o t a l F o r e i g n E x c h a n g e C h a n g e i n B o o k / A d j u s t e d C a r r y i n g V a l u e	R a t e o f	E f f e c t i v e R a t e o f	W h e n P a i d	A d m i t t e d A m o u n t D u e a n d A c c r u e d	A m o u n t R e c e i v e d D u r i n g Y e a r	A c q u i r e d	S t a t e d C o n t r a c t u a l M a t u r i t y D a t e
3128PV-LY-4	FHLMC Pool J15743 MBS			2	1	124,561	107.1270	126,613	118,190	124,287	0	(152)	0	0	3.500	1.709	MON	345	4,137	08/16/2011	07/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS			2	1	960,556	108.5650	991,911	913,660	958,416	0	(1,170)	0	0	4.000	2.368	MON	3,046	36,546	06/20/2011	06/01/2026
3128PW-E2-0	FHLMC Pool J16453 MBS			2	1	65,525	106.9080	66,429	62,137	65,390	0	(80)	0	0	3.500	1.705	MON	181	2,175	08/17/2011	09/01/2026
3128PY-WH-3	FHLMC Pool J18748 MBS			2	1	1,939,425	106.6600	1,969,215	1,846,247	1,936,858	0	(2,567)	0	0	3.000	1.603	MON	4,616	32,309	05/15/2012	04/01/2027
312906-FZ-8	FHLMC FHR 1105 C1s EZ CMO			2	1	37,038	107.1400	39,474	36,843	36,759	0	(6)	0	0	7.950	8.023	MON	244	46	08/31/1999	07/01/2021
312926-2P-2	FHLMC Pool A80782 MBS			2	1	564,329	108.8250	603,011	554,112	564,214	0	43	0	0	6.000	4.135	MON	2,771	33,247	09/24/2008	08/01/2038
31292L-YA-7	FHLMC Pool C04305 MBS			2	1	780,901	104.6470	783,642	748,841	780,819	0	(82)	0	0	3.000	2.510	MON	1,872	0	12/18/2012	11/01/2042
312972-LE-0	FHLMC Pool B19325 MBS			2	1	217,734	107.6970	239,317	222,213	218,722	0	242	0	0	5.000	5.702	MON	926	11,111	09/05/2006	05/01/2020
3132GR-LZ-2	FHLMC Pool Q06344 MBS			2	1	2,438,545	108.6620	2,514,797	2,314,331	2,437,227	0	(1,318)	0	0	4.000	2.329	MON	7,714	69,430	03/19/2012	02/01/2042
3132GV-JF-0	FHLMC Pool Q09862 MBS			2	1	635,523	107.6720	640,169	594,554	634,954	0	(569)	0	0	3.500	1.663	MON	1,734	5,202	09/04/2012	08/01/2042
3132HQ-DB-5	FHLMC Pool Q13698 MBS			2	1	1,864,788	104.6470	1,863,516	1,780,759	1,864,556	0	(233)	0	0	3.000	2.467	MON	4,452	0	12/17/2012	12/01/2042
3132HQ-MA-1	FHLMC Pool Q13979 MBS			2	1	1,172,116	104.6470	1,171,666	1,119,633	1,171,969	0	(147)	0	0	3.000	2.466	MON	2,799	0	12/17/2012	12/01/2042
3132HQ-MR-0	FHLMC Pool Q13968 MBS			2	1	900,065	105.1770	901,311	856,950	899,945	0	(120)	0	0	3.000	2.429	MON	2,142	0	12/17/2012	12/01/2042
31385X-EH-3	FNMA Pool 555549 MBS			2	1	1,440,595	108.6740	1,510,786	1,390,201	1,426,235	0	(4,235)	0	0	5.000	3.497	MON	5,793	69,510	06/11/2009	06/01/2018
3138A3-5Y-9	FNMA Pool AH2662 MBS			2	1	551,703	107.9240	567,531	525,862	550,497	0	(707)	0	0	3.500	1.862	MON	1,534	18,405	08/16/2011	01/01/2026
3138AB-20-1	FNMA Pool AH9782 MBS			2	1	189,969	109.5490	199,058	181,707	189,561	0	(212)	0	0	4.000	2.511	MON	7,268	0	05/18/2011	03/01/2026
3138AB-TT-6	FNMA Pool AH9561 MBS			2	1	828,827	107.6740	848,167	787,718	827,312	0	(830)	0	0	3.500	1.539	MON	2,298	27,570	08/17/2011	08/01/2026
3138AD-FA-8	FNMA Pool A10160 MBS			2	1	718,713	107.1110	734,696	685,917	717,148	0	(874)	0	0	4.000	2.488	MON	2,286	27,437	06/21/2011	04/01/2026
3138AE-ZT-3	FNMA Pool A11653 MBS			2	1	434,046	108.0330	441,266	408,454	432,876	0	(566)	0	0	4.500	2.209	MON	1,532	18,380	05/17/2011	04/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS			2	1	1,543,157	107.1430	1,585,739	1,480,025	1,540,188	0	(1,447)	0	0	4.000	2.436	MON	4,933	59,201	05/16/2011	05/01/2026
3138AG-XV-5	FNMA Pool A13391 MBS			2	1	627,917	109.2360	653,787	598,506	626,772	0	(578)	0	0	4.000	2.090	MON	1,995	23,940	06/20/2011	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS			2	1	1,021,806	110.1110	1,068,923	970,765	1,019,530	0	(1,240)	0	0	4.000	2.247	MON	3,236	38,831	06/20/2011	06/01/2026
3138AK-LS-6	FNMA Pool A15747 MBS			2	1	929,993	109.5490	969,634	885,115	928,109	0	(978)	0	0	4.000	2.193	MON	2,950	35,405	06/20/2011	06/01/2026
3138AM-3T-0	FNMA Pool A18009 MBS			2	1	431,404	108.2680	443,214	409,369	430,447	0	(534)	0	0	3.500	1.721	MON	1,194	14,328	08/16/2011	08/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS			2	1	2,254,191	107.6740	2,319,535	2,154,221	2,250,371	0	(2,633)	0	0	3.500	1.901	MON	6,283	75,398	10/31/2011	10/01/2026
3138AN-6M-0	FNMA Pool A18975 MBS			2	1	1,148,087	107.8300	1,176,844	1,091,386	1,145,678	0	(1,337)	0	0	3.500	1.753	MON	3,183	38,198	08/16/2011	09/01/2026
3138AP-DP-0	FNMA Pool A19109 MBS			2	1	5,391,564	109.2120	5,456,022	4,995,802	5,387,093	0	(4,711)	0	0	4.000	2.326	MON	16,653	116,569	05/15/2012	05/01/2042
3138AT-CX-6	FNMA Pool AJ1885 MBS			2	1	14,159	105.5050	14,108	13,372	14,157	0	(2)	0	0	3.000	2.543	MON	33	0	12/10/2012	03/01/2042
3138AU-YJ-0	FNMA Pool AJ3412 MBS			2	1	3,371,140	107.8300	3,470,787	3,218,752	3,364,916	0	(4,429)	0	0	3.500	2.058	MON	9,388	112,656	10/31/2011	11/01/2026
3138E9-YH-7	FNMA Pool AK5211 MBS			2	1	3,372,672	106.8780	3,427,389	3,206,819	3,368,030	0	(4,642)	0	0	3.000	1.581	MON	8,017	56,119	05/15/2012	04/01/2027
3138EA-SG-3	FNMA Pool AK5918 MBS			2	1	2,348,118	107.9310	2,395,481	2,219,459	2,345,737	0	(2,381)	0	0	4.000	2.172	MON	7,398	59,186	04/02/2012	03/01/2042
3138EE-7J-2	FNMA Pool AK9896 MBS			2	1	1,344,062	107.5120	1,354,250	1,259,628	1,342,741	0	(1,321)	0	0	3.500	1.462	MON	3,674	11,022	09/04/2012	07/01/2042
3138M4-E3-2	FNMA Pool AP1053 MBS			2	1	1,625,027	107.5120	1,637,105	1,522,720	1,623,562	0	(1,465)	0	0	3.500	1.647	MON	4,441	13,324	09/04/2012	07/01/2042
3138M4-TC-6	FNMA Pool AP1446 MBS			2	1	957,165	107.8870	965,240	894,677	956,439	0	(726)	0	0	3.500	2.009	MON	2,609	7,828	09/05/2012	08/01/2042
3138M7-6J-9	FNMA Pool AP4472 MBS			2	1	211,920	107.8870	214,302	198,636	211,760	0	(160)	0	0	3.500	2.003	MON	579	1,738	09/06/2012	08/01/2042
3138M9-3C-3	FNMA Pool AP6194 MBS			2	1	562,173	108.2620	567,477	524,170	561,717	0	(455)	0	0	3.500	1.891	MON	1,529	4,586	09/05/2012	08/01/2042
3138MK-VC-7	FNMA Pool A04210 MBS			2	1	2,807,176	104.9890	2,797,725	2,664,777	2,806,357	0	(818)	0	0	3.000	2.305	MON	6,662	0	12/10/2012	11/01/2042
3138MM-NT-5	FNMA Pool A05801 MBS			2	1	2,192,264	105.3480	2,191,794	2,080,518	2,191,890	0	(374)	0	0	3.000	2.298	MON	5,201	0	12/17/2012	11/01/2042
3138MS-AA-1	FNMA Pool A09926 MBS			2	1	835,959	105.2390	836,493	794,850	835,822	0	(136)	0	0	3.000	2.327	MON	1,987	0	12/17/2012	12/01/2042
3138NI-YS-3	FNMA Pool A06688 MBS			2	1	406,132	105.1140	406,634	386,850	406,067	0	(65)	0	0	3.000	2.346	MON	967	0	12/17/2012	12/01/2042
31402Q-R6-0	FNMA Pool 735009 MBS			2	1	215,094	108.6740	237,915	218,925	215,984	0	169	0	0	5.000	5.556	MON	912	10,946	09/14/2006	05/01/2019
31402R-JE-0	FNMA Pool 735661 MBS			2	1	2,083,110	107.7130	2,063,543	1,915,779	2,044,352	0	(18,144)	0	0	5.500	1.747	MON	8,781	105,868	11/10/2010	12/01/2017
31407N-FK-4	FNMA Pool 835470 MBS			2	1	207,604	108.6740	230,289	211,909	208,439	0	129	0	0	5.000	5.643	MON	883	10,595	09/14/2006	09/01/2020
31407S-GA-4	FNMA Pool 839093 MBS			2	1	216,094	108.6740	239,516	220,399	216,923	0	127	0	0	5.000	5.606	MON	918	11,020	09/05/2006	10/01/2020
31410X-VR-4	FNMA Pool 900724 MBS			2	1	82,029	108.3380	88,862	82,022	81,973	0	0	0	0	5.500	5.423	MON	376	4,511	09/21/2006	08/01/2021
31412U-MN-7	FNMA Pool 935165 MBS			2	1	1,586,893	108.2680	1,672,110	1,544,422	1,582,384	0	(1,168)	0	0	5.000	3.931	MON	6,435	77,221	06/08/2009	05/01/2024
31412V-AG-3	FNMA Pool 935707 MBS			2	1	563,828	110.1430	583,028	529,338</												

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
31417D-M7-5	FNMA Pool AB6681 MBS			2	1	1,320,803	104.9730	1,321,256	1,258,657	1,320,594	0	(209)	0	0	3.000	2.351	MON	3,147	0	12/17/2012	10/01/2042
31417E-CM-1	FNMA Pool AB7275 MBS			2	1	1,729,006	104.8880	1,727,926	1,647,408	1,728,733	0	(274)	0	0	3.000	2.350	MON	4,119	0	12/17/2012	12/01/2042
31417N-6B-2	FNMA Pool AC4465 MBS			2	1	539,225	108.5800	551,375	507,804	536,387	0	(927)	0	0	5.000	3.053	MON	2,116	25,390	05/12/2010	11/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS			2	1	2,070,469	107.1430	2,160,298	2,016,281	2,066,296	0	(526)	0	0	4.000	2.785	MON	6,721	80,651	12/01/2009	11/01/2024
31417T-6Z-6	FNMA Pool AC7187 MBS			2	1	1,032,866	109.2050	1,059,724	970,396	1,026,499	0	(1,848)	0	0	5.000	3.024	MON	4,043	48,520	11/30/2009	11/01/2024
31417Y-VY-0	FNMA Pool NAO630 MBS			2	1	752,470	107.1110	774,400	722,986	748,784	0	(3,314)	0	0	4.000	2.453	MON	2,410	28,919	12/16/2010	01/01/2021
31418T-HR-1	FNMA Pool AD5639 MBS			2	1	710,228	108.8930	729,180	669,632	706,670	0	(1,409)	0	0	5.000	3.150	MON	33,482	0	05/07/2010	04/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS			2	1	1,608,638	110.1900	1,675,182	1,520,272	1,601,717	0	(2,489)	0	0	4.500	2.647	MON	5,701	68,412	06/16/2010	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS			2	1	895,890	110.1900	933,639	847,303	892,046	0	(1,326)	0	0	4.500	2.688	MON	3,177	38,129	06/16/2010	06/01/2025
31419C-L9-2	FNMA Pool AE2151 MBS			2	1	1,975,058	109.2360	2,096,871	1,919,571	1,972,159	0	(1,276)	0	0	4.000	2.930	MON	6,399	76,783	04/11/2011	03/01/2026
31419G-SG-0	FNMA Pool AE5918 MBS			2	1	661,942	107.8770	672,228	623,142	659,568	0	(1,346)	0	0	4.500	2.706	MON	2,337	28,041	05/16/2011	10/01/2025
31419M-CW-9	FNMA Pool AE9984 MBS			2	1	233,227	106.2990	235,902	221,923	232,678	0	(333)	0	0	3.500	1.972	MON	647	7,767	08/16/2011	05/01/2026
31419M-CZ-2	FNMA Pool AE9987 MBS			2	1	527,585	106.2990	533,834	502,200	526,443	0	(695)	0	0	3.500	1.964	MON	1,465	17,577	08/17/2011	07/01/2026
2699999. Subtotal - Bonds - U.S. Special Revenues - Residential Mortgage-Backed Securities						96,957,007	XXX	99,739,220	92,503,878	96,719,479	0	(110,835)	0	0	XXX	XXX	XXX	310,896	2,997,014	XXX	XXX
3199999. Total - U.S. Special Revenues Bonds						302,871,100	XXX	309,811,860	277,858,878	295,912,539	0	(2,831,148)	0	0	XXX	XXX	XXX	2,709,879	10,008,006	XXX	XXX
00287Y-AE-9	ABBVIE Inc Corp Note Non Call Prv Plc				2FE	2,265,000	101.2500	2,293,313	2,265,000	2,265,000	0	0	0	0	1.073	1.073	FMAN	3,645	0	11/05/2012	11/06/2015
00440E-AG-2	Ace INA Holdings Corp Note MW 20BP	1			1FE	899,300	107.6090	887,774	825,000	848,904	0	(15,712)	0	0	5.875	3.806	JD	2,154	48,469	08/19/2009	06/15/2014
00440E-AJ-6	Ace INA Holdings Corp Note Cont Call	1			1FE	313,468	117.8570	384,214	326,000	318,595	0	1,527	0	0	5.700	6.335	FA	7,020	18,582	05/22/2009	02/15/2017
020002-AR-2	Allstate Corp Note Non Call				1FE	1,209,615	107.1460	1,178,606	1,100,000	1,165,074	0	(39,441)	0	0	5.000	1.302	FA	20,778	55,000	11/08/2011	08/15/2014
02003M-B0-6	Allstate Life Corp Note Non Call				1FE	2,898,244	101.6460	2,774,936	2,730,000	2,771,507	0	(113,229)	0	0	5.375	0.733	AO	24,864	146,738	03/05/2012	04/30/2013
025815-AB-7	American Express Corp Note Non Call				1FE	1,660,000	100.3150	1,665,229	1,660,000	1,660,000	0	0	0	0	0.760	0.760	FMAN	1,682	0	11/08/2012	11/13/2015
02666Q-D6-7	American Honda Fin Corp Note Non Call Prv Plc				1FE	647,688	104.4370	626,622	600,000	626,778	0	(20,910)	0	0	6.700	0.700	AO	10,050	20,100	05/29/2012	10/01/2013
02666Q-L8-4	American Honda Fin Corp Note Non Call Prv Plc				1FE	800,000	100.0310	800,248	800,000	800,000	0	0	0	0	0.533	0.533	FMAN	568	0	11/08/2012	11/03/2014
031162-BG-4	Angen Inc Corp Note Call MW 20BP	1			2FE	1,299,785	111.1340	1,339,165	1,205,000	1,289,377	0	(8,889)	0	0	4.100	3.126	JD	2,196	49,405	10/25/2011	06/15/2021
032511-AX-5	Anadarko Petroleum Corp Note MW 25BP	1			2FE	1,396,572	115.2280	1,382,736	1,200,000	1,388,011	0	(8,561)	0	0	5.950	1.575	MS	21,023	0	10/25/2012	09/15/2016
035229-CV-3	Anheuser Busch Corp Note Cont Call				1FE	1,215,514	114.5680	1,346,174	1,175,000	1,199,226	0	(5,762)	0	0	5.050	4.452	AO	12,527	59,338	01/11/2010	10/15/2016
037389-AV-5	AON Corp Note MW 35BP				2FE	875,570	105.2980	926,622	880,000	877,609	0	816	0	0	3.500	3.805	MS	7,786	30,800	09/07/2010	09/30/2015
037411-AT-2	Apache Corp Note MW 12.5BP				1FE	1,051,040	101.4490	1,014,490	1,000,000	1,013,517	0	(37,523)	0	0	5.250	0.507	AO	52,500	0	03/13/2012	04/15/2013
039483-BB-7	Archer Daniels Corp Note MW 15BP	1			1FE	532,449	113.1570	537,496	475,000	526,034	0	(5,448)	0	0	4.479	2.986	MS	7,092	21,275	10/25/2011	03/01/2021
049560-AJ-4	ATMOS Energy Corp Note MW 50BP	1			2FE	613,430	134.4020	672,010	500,000	580,010	0	(10,612)	0	0	8.500	5.426	MS	12,514	42,500	08/19/2009	03/15/2019
053332-AK-8	AutoZone Inc Corp Note MW 50BP PPut	1			2FE	1,158,193	109.5220	1,204,742	1,100,000	1,123,827	0	(10,908)	0	0	5.750	4.624	JJ	29,165	63,250	09/01/2009	01/15/2015
05348E-AH-2	Avalonbay Communities Corp Note MW 20BP	1			2FE	647,878	105.6990	676,474	640,000	642,388	0	(1,758)	0	0	5.375	5.071	AO	7,262	34,400	08/28/2009	04/15/2014
05348E-AR-0	Avalonbay Communities Corp Note Call	1			2FE	848,331	98.7270	844,116	855,000	848,355	0	24	0	0	2.850	2.939	MS	1,151	0	12/05/2012	03/15/2023
05531F-AE-3	BB&T Corp Note Non Call				2FE	1,210,414	102.1280	1,200,004	1,175,000	1,197,490	0	(12,923)	0	0	3.375	0.738	MS	10,575	19,828	07/27/2012	09/25/2013
059172-AA-4	Balt Gas & Elec Corp Note MW 35BP	1			2FE	533,645	102.7310	513,655	500,000	512,963	0	(20,682)	0	0	6.125	0.916	JJ	15,313	0	03/07/2012	07/01/2013
06051G-EB-1	Bank of America Corp Note Non Call				1FE	724,268	106.6990	773,568	725,000	724,665	0	139	0	0	4.500	4.522	AO	8,156	32,625	03/08/2010	04/01/2015
06051G-EC-9	Bank of America Corp Note Non Call				1FE	1,367,507	118.5890	1,565,375	1,320,000	1,363,403	0	(4,104)	0	0	5.625	5.092	JJ	37,125	37,125	02/03/2012	07/01/2020
06051G-EQ-8	Bank of America Corp Note Non Call				1FE	498,540	108.6430	543,215	500,000	498,749	0	209	0	0	3.875	3.940	MS	5,328	9,688	03/19/2012	03/22/2017
06406H-BL-2	BNY Mellon Corp Note Non Call				1FE	2,107,060	105.2210	2,104,420	2,000,000	2,103,324	0	(3,736)	0	0	4.300	0.510	MN	10,989	0	12/11/2012	05/15/2014
075887-BB-4	Becton Dickinson Corp Note MW 15BP	1			1FE	699,769	103.0400	721,280	700,000	699,820	0	45	0	0	1.750	1.757	MN	1,803	12,250	11/03/2011	11/08/2016
084684-BD-2	Berkshire Hathaway Corp Note MW 20BP	1			1FE	417,579	101.4860	405,944	400,000	405,986	0	(11,593)	0	0	4.600	0.546	MN	2,351	18,400	04/10/2012	05/15/2013
084670-AY-4	Berkshire Hathaway Corp Note Non Call				1FE	1,504,851	100.2000	1,503,000	1,500,000	1,500,415	0	(3,693)	0	0	0.740	0.465	FMAN	11,511	13,632	10/14/2011	02/11/2013
09247X-AG-6	BlackRock Inc Corp Note Non Call				1FE	2,254,344	100.2710	2,256,098	2,250,000	2,251,367	0	(2,977)	0	0	0.612	0.560	FMAN	1,376	14,684	02/21/2012	05/24/2013
097023-AY-1	Boeing Company Corp Note MW 25BP	1			2FE	912,244	106.2560	977,555	920,000	916,854	0	1,401	0	0	3.500	3.669	FA	12,164	32,200	07/23/2009	02/15/2015
10112R-AT-1	Boston Prop Corp Note MW 35BP	1			2FE	1,247,088	109.0300	1,362,875	1,250,000	1,247,518	0	380	0	0	3.700	3.738	MN	5,910	46,892	11/03/2011	11/15/2014
10138M-AH-8	Bottling Group Corp Note Cont Call				1FE	1,746,378	107.8040	1,886,570	1,750,000	1,749,030	0	751	0	0	6.950	6.999	MS	35,812	121,625	10/21/2008	03/15/2014
12189T-BB-9	Burlington Santa Fe Corp Note MW 50BP	1			2FE	319,946	106.8320	341,862	320,000	319,977	0	20	0	0	7.000	7.007	FA	9,333	22,400	11/25/2008	02/01/2014
12572Q-AA-3	CME Group Corp Note MW 35BP	1			1FE	2,668,125	102.9150	2,572,875	2,500,000	2,566,954	0	(

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
172967-EY-3	Citigroup Inc Corp Note Non Call				1FE	581,290	108.1840	595,012	550,000	562,085	0	(7,028)	0	0	6.375	4.941	FA	13,538	35,063	02/17/2010	08/12/2014
19122T-AA-7	Coca Cola Corp Note MW 10BP				2FE	1,508,925	100.7000	1,510,500	1,500,000	1,506,860	0	(1,125)	0	0	1.125	0.592	MN	2,297	8,438	09/26/2012	11/12/2013
199575-AR-2	Columbus SO PIIR Corp Note MW 25BP				2FE	1,262,879	100.8580	1,215,339	1,205,000	1,214,199	0	(48,680)	0	0	5.500	0.798	MS	22,902	60,630	02/27/2012	03/01/2013
20030N-AW-1	Comcast Corp Note Cont Call				2FE	1,214,647	120.8910	1,378,157	1,140,000	1,192,169	0	(8,359)	0	0	5.700	4.725	MN	8,303	64,988	03/03/2010	05/15/2018
20825C-AR-5	Conoco Phillips Corp Note MW 50BP				1FE	1,514,722	123.0930	1,877,168	1,525,000	1,518,054	0	927	0	0	5.750	5.840	FA	36,536	87,688	01/29/2009	02/01/2019
209111-EA-7	Cons Edison NY Corp Note Cont Call				1FE	946,532	100.3820	905,446	902,000	904,948	0	(34,857)	0	0	4.875	0.977	FA	18,322	43,973	10/18/2011	02/01/2013
233851-AC-8	Daimler Finance Corp Note Non Call Prv Plc				1FE	350,000	100.4340	351,519	350,000	350,000	0	0	0	0	0.920	0.919	MJSD	36	3,833	03/21/2011	03/28/2014
235851-AJ-1	Danaher Corp Note Non Call				1FE	903,051	100.3870	903,483	900,000	901,109	0	(1,942)	0	0	0.560	0.557	MJSD	154	6,598	03/02/2012	06/21/2013
24422E-RP-6	John Deere Capital Corp Note Non Call				1FE	901,990	100.1690	901,521	900,000	901,744	0	(246)	0	0	0.478	0.427	MJSD	179	1,271	10/15/2012	06/16/2014
24422E-RX-9	John Deere Capital Corp Note Non Call				1FE	600,000	100.0360	600,216	600,000	600,000	0	0	0	0	0.452	0.452	JAJO	611	0	10/09/2012	10/08/2014
25459H-AN-5	DirectTV Holding Corp Note MW 20BP				2FE	1,299,036	105.3410	1,369,433	1,300,000	1,299,560	0	189	0	0	3.550	3.566	MS	13,589	46,150	06/15/2010	03/15/2015
25746U-AM-1	Dominion Resources Corp Note MW 20BP				2FE	522,605	100.8450	504,225	500,000	504,294	0	(18,311)	0	0	5.000	0.726	MS	7,361	25,000	02/16/2012	03/15/2013
260543-CD-3	Dow Chemical Corp Note MW 25BP PPut				2FE	813,778	104.1790	849,059	815,000	814,246	0	229	0	0	2.500	2.531	FA	7,697	20,375	11/04/2010	02/15/2016
263534-BV-0	Dupont E I De Nemours Corp Note MW 50BP				1FE	50,761	105.8640	53,991	51,000	50,946	0	49	0	0	5.875	5.981	JJ	1,382	2,996	12/09/2008	01/15/2014
263534-BZ-1	Dupont E I De Nemours Corp Note MW 20BP				1FE	473,029	118.1330	561,132	475,000	473,588	0	166	0	0	4.625	4.675	JJ	10,130	21,969	11/04/2009	01/15/2020
26441C-AE-5	Duke Energy Corp Note MW 15BP				2FE	1,249,313	105.7390	1,321,738	1,250,000	1,249,681	0	135	0	0	3.350	3.362	AO	10,469	41,875	03/22/2010	04/01/2015
26441Y-AV-9	Duke Realty Corp Note Call MW 45BP				2FE	1,369,570	106.1430	1,358,630	1,280,000	1,368,228	0	(1,342)	0	0	4.375	3.493	JD	2,489	28,622	10/25/2012	06/15/2022
26884L-AB-5	EQT Corp Note MW 45BP				1FE	663,870	106.9800	716,766	670,000	664,439	0	501	0	0	4.875	4.992	MN	4,174	33,388	11/02/2011	11/15/2021
28379V-AS-2	Enterprise Products Oper Corp Note MW 20BP				2FE	1,233,777	105.2780	1,300,183	1,235,000	1,234,241	0	231	0	0	3.200	3.221	FA	16,467	39,520	01/04/2011	02/01/2016
345397-VT-7	Ford Motor Credit Corp Note Non Call				2FE	834,100	111.0890	844,276	760,000	827,364	0	(6,736)	0	0	5.000	3.191	MN	4,856	19,000	05/23/2012	05/15/2018
345397-WC-3	Ford Motor Credit Corp Note Non Call				2FE	1,116,500	102.5620	1,128,182	1,100,000	1,114,507	0	(1,993)	0	0	2.750	2.176	MN	3,865	15,125	08/27/2012	05/15/2015
36962G-3H-5	GE Cap Corp Note Non Call				1FE	1,048,010	118.0580	1,180,580	1,000,000	1,032,012	0	(5,938)	0	0	5.625	4.855	MS	16,563	56,250	03/03/2010	09/15/2017
36962G-3T-9	GE Cap Corp Note Non Call				1FE	1,047,300	101.4600	1,014,600	1,000,000	1,013,228	0	(34,072)	0	0	4.800	0.794	MN	8,000	48,000	02/17/2012	05/01/2013
36962G-4H-4	GE Cap Corp Note Non Call				1FE	1,019,320	100.0500	1,000,500	1,000,000	1,000,369	0	(18,951)	0	0	2.800	0.850	JJ	13,456	14,000	01/04/2012	01/08/2013
36962G-6N-9	GE Cap Corp Note MW 0BP				1FE	1,150,000	100.1160	1,151,334	1,150,000	1,150,000	0	0	0	0	0.910	0.910	MJSD	581	0	12/05/2012	12/11/2015
369668-AA-6	GECC/LJ VP Hold Corp Note Non Call Prv Plc				1FE	533,359	107.9340	576,968	534,000	533,395	0	36	0	0	3.800	3.820	JD	733	12,852	04/25/2012	06/18/2019
373334-JM-4	Georgia Power Corp Note MW 50BP				1FE	424,728	104.6910	444,937	425,000	424,946	0	62	0	0	6.000	6.016	MN	4,250	25,500	11/12/2008	11/01/2013
38141E-B3-2	Goldman Sachs Corp Note Non Call				1FE	1,868,467	100.7030	1,883,146	1,870,000	1,886,521	0	54	0	0	1.600	1.628	MN	3,158	0	11/15/2012	11/23/2015
40414L-AF-6	HCP Corp Note Call				2FE	701,637	106.5480	751,163	705,000	702,042	0	404	0	0	3.750	3.828	FA	11,016	13,806	01/18/2012	02/01/2019
437076-AR-3	Home Depot Inc Corp Note Cont Call				2FE	1,148,433	104.6630	1,151,293	1,100,000	1,111,325	0	(11,388)	0	0	5.250	4.140	JD	57,750	57,750	07/23/2009	12/16/2013
459200-GM-7	IBM Corp Note MW 50BP				1FE	886,853	134.0160	1,005,120	750,000	839,814	0	(13,014)	0	0	7.625	5.201	AO	12,073	57,188	02/04/2009	10/15/2018
46623E-JR-1	JP Morgan Chase Corp Note Non Call				1FE	1,264,481	100.0960	1,266,214	1,285,000	1,264,516	0	35	0	0	1.100	1.114	AO	2,822	0	10/15/2012	10/15/2015
46625H-HL-7	JP Morgan Chase Corp Note Non Call				1FE	449,375	123.3460	555,057	450,000	449,562	0	55	0	0	6.300	6.319	AO	5,355	28,350	04/16/2009	04/23/2019
46625H-HP-8	JP Morgan Chase Corp Note Non Call				1FE	1,214,100	105.3220	1,263,864	1,200,000	1,206,245	0	(2,890)	0	0	3.700	3.435	JJ	19,857	44,400	03/03/2010	01/20/2015
46625H-JE-1	JP Morgan Chase Corp Note Non Call				1FE	1,336,822	103.2470	1,388,672	1,345,000	1,337,012	0	190	0	0	3.250	3.322	MS	11,778	0	09/19/2012	09/23/2022
494550-BE-5	Kinder Morgan Corp Note MW 30BP				2FE	1,204,530	117.7140	1,418,454	1,205,000	1,204,552	0	22	0	0	5.300	5.306	MS	18,805	63,865	05/12/2010	09/15/2020
501044-CM-1	Kroger Company Corp Note MW 25BP PPut				2FE	879,023	107.9530	949,986	880,000	879,525	0	161	0	0	3.900	3.921	AO	8,580	34,320	09/24/2009	10/01/2015
534187-BC-2	Lincoln National Corp Note Non Call				2FE	660,000	107.6280	710,345	660,000	660,000	0	0	0	0	4.200	4.200	MS	8,162	12,782	03/26/2012	03/15/2022
571748-AS-1	Marsh & McLennan Co Inc Corp Note Non Call				2FE	1,154,890	102.2220	1,181,686	1,156,000	1,155,066	0	176	0	0	2.300	2.320	AO	6,647	14,697	03/07/2012	04/01/2017
57629J-BL-3	Massmutual Glob Corp Note Non Call Prv Plc				1FE	1,506,780	100.5510	1,508,265	1,500,000	1,503,980	0	(2,800)	0	0	0.810	0.642	MJSD	169	9,542	07/11/2012	09/27/2013
59156R-AU-2	MetLife Inc Corp Note MW 50BP				1FE	329,776	118.5570	391,238	330,000	329,878	0	31	0	0	6.750	6.762	JD	1,856	22,275	05/26/2009	06/01/2016
59157B-AF-9	MetLife Institute Corp Note Non Call Prv Plc				1FE	1,608,976	100.6930	1,611,088	1,600,000	1,606,593	0	(2,383)	0	0	1.253	1.034	JAJO	4,959	5,503	07/13/2012	04/04/2014
592179-JG-1	MetLife Global Corp Note Non Call Prv Plc				1FE	1,047,890	101.2320	1,012,320	1,000,000	1,011,555	0	(36,335)	0	0	5.125	0.858	AO	11,531	51,250	02/17/2012	04/10/2013
59217G-AQ-2	MetLife Global Corp Note Non Call Prv Plc				1FE	1,083,839	108.4310	1,176,476	1,085,000	1,085,000	0	71	0	0	3.875	3.888	AO	3,343	21,139	04/04/2012	04/11/2022
59217G-AW-9	MetLife Global Corp Note Non Call Prv Plc				1FE	750,000	100.0960	750,720	750,000	750,000	0	0	0	0	0.659	0.731	MJSD	178	1,385	09/12/2012	03/19/2014
617446-HR-3	Morgan Stanley Corp Note Cont Call				1FE	314,015	100.7670	327,493	325,000	324,492	0	3,047	0	0	5.300	6.290	MS	5,742	17,225	04/06/2009	03/01/2013
61747Y-CL-7	Morgan Stanley Corp Note Non Call				1FE	849,813	104.3760	887,196	850,000	849,918	0	37	0	0	4.100	4.105	JJ	15,005	34,850	01/21/2010	01/26/2015
634902-MU-1	National City Bank Corp Note Non Call				1FE	999,630	100.2650	1,002,650	1,000,000	999,943	0	313	0	0							

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
6944P0-AH-8	Pacific Life Global Fdg Note Non Call Prv Plc				1FE	522,341	100,8260	504,130	500,000	505,825	0	(16,516)	0	0	5.150	1.051	AO	5,436	25,750	03/14/2012	04/15/2013
737415-AL-3	Post Apartment Homes Corp Note Call MW 25BP	1			2FE	1,799,496	99,4970	1,799,946	1,800,000	1,799,506	0	10	0	0	3.375	3.378	JD	9,113	0	11/02/2012	12/01/2022
74005P-AS-3	Praxair Inc Corp Note MW 40BP	1			1FE	498,420	104,9700	524,850	500,000	499,576	0	325	0	0	4.375	4.446	MS	5,530	21,875	03/23/2009	03/31/2014
74005P-AV-6	Praxair Inc Corp Note MW 15BP	1			1FE	812,905	106,8150	870,542	815,000	814,016	0	343	0	0	3.250	3.297	MS	7,799	26,488	08/27/2009	09/15/2015
74153W-BK-4	Priscoa Global Corp Note Non Call Prv Plc				1FE	842,008	102,9220	823,376	800,000	826,253	0	(15,755)	0	0	5.300	0.810	MS	11,071	21,200	07/18/2012	09/27/2013
74153W-BY-4	Priscoa Global Corp Note Non Call Prv Plc				1FE	1,576,240	105,8930	1,588,395	1,500,000	1,567,857	0	(8,889)	0	0	5.450	2.223	JD	4,542	54,500	10/17/2012	06/11/2014
74256L-AA-7	Principal Life Global Note Non Call Prv Plc				1FE	500,000	100,1570	500,785	500,000	500,000	0	0	0	0	0.976	1.085	JAJO	1,139	1,260	07/10/2012	07/09/2014
7425A0-A5-9	Principal Life Inc Fdg Note Non Call Prv Plc				1FE	1,635,859	100,1830	1,552,837	1,550,000	1,552,269	0	(59,395)	0	0	5.250	1.376	JJ	37,523	81,375	12/07/2011	01/15/2013
743263-AM-7	Progress Energy Inc Corp Note MW 50BP	1			2FE	448,763	106,6450	479,903	450,000	449,666	0	261	0	0	6.050	6.115	MS	8,016	27,225	03/16/2009	03/15/2014
744320-BJ-3	Prudential Financial Corp Note Non Call				2FE	698,369	110,0850	770,595	700,000	699,209	0	268	0	0	4.750	4.795	MS	9,606	33,250	09/10/2009	09/17/2015
760759-AH-3	Republic Services Corp Note MW 25BP PPut	1			2FE	999,851	116,2060	1,162,060	1,000,000	999,915	0	10	0	0	5.000	5.001	MS	16,667	50,000	08/01/2010	03/01/2020
783876-AP-8	SBC Communications Inc Corp Note Cont Call	1			1FE	2,064,129	107,6810	2,045,939	1,900,000	2,045,515	0	(18,614)	0	0	5.100	0.571	MS	28,532	0	12/04/2012	09/15/2014
790849-AG-8	St Jude Medical Corp Note MW 15BP	1			1FE	763,388	101,1470	758,603	750,000	758,007	0	(5,381)	0	0	2.200	0.672	MS	4,858	8,250	07/09/2012	09/15/2013
816851-AR-0	Sempra Energy Corp Note Non Call				2FE	1,394,367	100,6000	1,398,340	1,390,000	1,391,773	0	(1,469)	0	0	1.068	0.964	MJSD	619	17,465	03/18/2011	03/15/2014
828807-CB-1	Simon Property Corp Note Call MW 50BP	1			1FE	1,073,600	105,5720	1,055,720	1,000,000	1,066,710	0	(6,890)	0	0	6.750	0.755	MN	8,625	0	11/15/2012	05/15/2014
828807-CF-2	Simon Property Corp Note MW 25BP	1			1FE	1,245,063	113,5110	1,418,888	1,250,000	1,246,009	0	399	0	0	4.375	4.422	MS	18,229	54,688	08/09/2010	03/01/2021
882508-AS-3	Texas Instruments Corp Note Non Call				1FE	3,120,000	100,2390	3,127,457	3,120,000	3,120,000	0	0	0	0	0.490	0.441	FIHAN	1,996	20,462	05/16/2011	05/15/2013
887317-AF-2	Time Warner Corp Note MW 20BP	1			2FE	1,434,251	117,1200	1,685,357	1,439,000	1,435,368	0	412	0	0	4.875	4.917	MS	20,656	70,151	03/15/2010	03/15/2020
88732J-AO-1	Time Warner Corp Note MW 50BP	1			2FE	1,562,637	108,3940	1,696,366	1,565,000	1,564,328	0	552	0	0	8.250	8.291	FA	49,134	129,113	11/13/2008	02/14/2014
89233P-6M-3	Toyota Motor Cr Corp Note Non Call				1FE	1,501,986	100,1050	1,501,575	1,500,000	1,501,707	0	(279)	0	0	0.478	0.373	JAJO	1,275	0	10/24/2012	01/27/2014
89417E-AG-4	Travelers Corp Note MW 15BP	1			1FE	1,241,960	113,8320	1,371,676	1,205,000	1,237,817	0	(3,643)	0	0	3.900	3.495	MN	7,833	46,995	11/02/2011	11/01/2020
911312-AL-0	UPS Corp Note Non Call				1FE	399,112	104,2410	416,964	400,000	399,764	0	182	0	0	3.875	3.924	AO	3,875	15,500	03/19/2009	04/01/2014
91159H-GW-4	US Bancorp Corp Note Non Call				1FE	2,038,670	100,7300	2,014,600	2,000,000	2,013,277	0	(25,393)	0	0	2.000	0.023	JD	1,889	40,000	02/21/2012	06/14/2013
91159H-GY-0	US Bancorp Corp Note Cont Call				1FE	755,168	100,6060	754,545	750,000	751,963	0	(3,179)	0	0	1.375	0.946	MS	3,094	10,313	12/23/2011	09/13/2013
91159H-HA-1	US Bancorp Corp Note Call MW 15BP				1FE	1,295,047	114,0230	1,482,299	1,300,000	1,295,711	0	419	0	0	4.125	4.172	MN	5,511	53,625	05/19/2011	05/24/2021
913017-BW-8	United Tech Corp Note Non Call				1FE	1,500,000	100,5120	1,507,680	1,500,000	1,500,000	0	0	0	0	0.581	0.737	MJSD	701	5,498	05/24/2012	12/02/2013
918204-AU-2	VF Corp Note Call				1FE	502,890	100,5260	502,630	500,000	501,680	0	(1,210)	0	0	1.062	0.698	FIHAN	575	3,067	07/11/2012	08/23/2013
92276M-AX-3	Ventas Realty LP Corp Note Non Call				2FE	620,088	105,8210	661,381	625,000	620,461	0	374	0	0	4.250	4.347	MS	8,854	14,831	02/01/2012	03/01/2022
92343V-AM-6	Verizon Comm Corp Note Cont Call	1			1FE	649,150	123,2430	770,269	625,000	640,902	0	(2,529)	0	0	6.100	5.538	AO	8,049	38,125	06/23/2009	04/15/2018
92344S-AJ-9	Verizon Wireless Corp Note MW 75BP	1			1FE	1,092,617	105,6520	1,162,172	1,100,000	1,098,203	0	1,932	0	0	7.375	7.573	MN	10,366	81,125	11/10/2009	11/15/2013
927804-FH-2	VA Electric Corp Note MW 25BP	1			1FE	898,245	119,3100	1,073,790	900,000	898,769	0	157	0	0	5.000	5.025	JD	125	45,000	06/23/2009	06/30/2019
92976W-BJ-4	Wachovia Bank Corp Note Non Call				1FE	1,582,425	101,7230	1,525,845	1,500,000	1,523,055	0	(59,370)	0	0	5.500	0.844	MN	13,750	82,500	02/17/2012	05/01/2013
92976W-BK-1	Wachovia Bank Corp Note Non Call				1FE	410,252	100,5330	402,132	400,000	401,879	0	(5,708)	0	0	2.083	0.632	FIHAN	1,412	9,115	07/11/2011	05/01/2013
931422-AF-6	Walgreen Corp Note Non Call				2FE	500,000	100,3760	501,880	500,000	500,000	0	0	0	0	0.810	0.899	MJSD	214	1,123	09/10/2012	03/13/2014
94106L-AS-8	Waste Management Corp Note MW 40BP	1			2FE	752,414	120,9240	786,006	650,000	735,027	0	(14,741)	0	0	6.100	3.339	MS	11,675	39,650	10/21/2011	03/15/2018
94106L-AT-6	Waste Management Corp Note MW 50BP	1			2FE	608,566	111,9650	660,594	590,000	597,979	0	(3,408)	0	0	6.375	5.702	MS	11,493	37,613	09/09/2009	03/11/2015
94974B-EU-0	Wells Fargo Corp Note Non Call				1FE	1,298,375	106,4130	1,383,369	1,300,000	1,299,236	0	315	0	0	3.625	3.652	AO	9,949	62,125	03/23/2010	04/15/2015
96950F-AH-7	Williams Partners LP Corp Note MW 30BP	1			2FE	1,558,630	106,4930	1,666,615	1,565,000	1,559,224	0	529	0	0	4.000	4.050	MN	7,999	62,252	11/14/2011	11/15/2021
984121-BY-8	Xerox Corp Note MW 75BP PPut	1			2FE	997,310	109,1170	1,037,125	950,000	965,047	0	(10,138)	0	0	8.250	7.016	MN	10,015	78,375	07/07/2009	05/15/2014
136375-BS-0	Canadian Natl Rail Corp Note Non Call				1FE	541,708	104,8940	566,428	540,000	540,342	0	(314)	0	0	4.950	4.887	JJ	12,326	26,730	05/07/2008	01/15/2014
15135U-AB-5	Cenovus Energy Corp Note MW 35BP				2FE	924,228	106,0620	981,074	925,000	924,694	0	169	0	0	4.500	4.520	MS	12,256	41,625	06/30/2010	09/15/2014
71644E-AH-5	Petro Canada Corp Note MW 35BP				2FE	584,695	121,7640	700,143	575,000	581,521	0	(1,009)	0	0	6.050	5.801	MN	4,445	34,788	07/22/2009	05/15/2018
89114Q-AE-8	Toronto Dominion Bk Corp Note Non Call				1FE	1,556,299	104,9950	1,642,389	1,565,000	1,558,296	0	1,665	0	0	2.375	2.494	AO	7,434	37,169	10/12/2011	10/19/2016
00084D-AE-0	ABN Amro Bank Corp Note Non Call Prv Plc				1FE	774,241	109,0990	845,517	775,000	774,368	0	127	0	0	4.250	4.272	FA	13,632	16,469	01/30/2012	02/02/2017
02364W-AU-9	America Movil SA Corp Note MW 20BP	1			1FE	489,041	105,9510	519,160	490,000	489,513	0	205	0	0	3.625	3.672	MS	4,490	17,763	09/09/2010	03/30/2015
055451-AG-3	BHP Billiton Fin Corp Note Non Call				1FE	425,818	106,1250	425,031	425,000	425,224	0	(170)	0	0	5.500	5.456	AO	5,844	23,375	03/19/2009	04/01/2014
055650-BT-4	BP Capital PLC Corp Note Non Call				1FE	1,300,000	104,5880	1,359,644	1,300,000	1,300,000	0	0	0	0	2.248	2.248	MN	4,871	29,224	10/27/2011	11/01/2016
111013-AG-3	British Sky Broad Corp Note MW 40BP Prv Plc				2FE	349,516	118,5970	474,388	400,00												

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization) Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
767201-AH-9	Rio Tinto Fin USA Corp Note MW 50BP	F	1		1FE	702,000	136.1440	816,864	600,000	673,294	0	(9,071)	0	0	9.000	6.607	MN	9,000	54,000	07/21/2009	05/01/2019
92857W-AS-9	Vodafone Group Corp Note MW 30BP	F	1		1FE	498,705	121.4020	607,010	500,000	499,090	0	0	0	0	5.450	5.484	JD	1,590	27,250	06/03/2009	06/10/2019
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						160,758,189	XXX	167,344,619	157,151,000	159,372,420	0	(1,064,938)	0	0	XXX	XXX	XXX	1,473,117	4,937,695	XXX	XXX
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						160,758,189	XXX	167,344,619	157,151,000	159,372,420	0	(1,064,938)	0	0	XXX	XXX	XXX	1,473,117	4,937,695	XXX	XXX
4899999. Total - Hybrid Securities						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
7799999. Total - Issuer Obligations						610,365,968	XXX	619,210,491	566,586,000	593,541,391	0	(7,621,708)	0	0	XXX	XXX	XXX	6,336,557	19,409,281	XXX	XXX
7899999. Total - Residential Mortgage-Backed Securities						96,962,944	XXX	99,746,112	92,509,812	96,725,403	0	(110,839)	0	0	XXX	XXX	XXX	310,941	2,997,548	XXX	XXX
7999999. Total - Commercial Mortgage-Backed Securities						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
8099999. Total - Other Loan-Backed and Structured Securities						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
8399999 - Total Bonds						707,328,912	XXX	718,956,603	659,095,812	690,266,794	0	(7,732,547)	0	0	XXX	XXX	XXX	6,647,498	22,406,829	XXX	XXX

Schedule D - Part 2 - Section 1 - Preferred Stocks Owned

N O N E

Schedule D - Part 2 - Section 2 - Common Stocks Owned

N O N E

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
912828-AU-4	US Treasury Note 3.875% 02/15/13		01/12/2012	Credit Suisse		1,560,782	1,500,000	23,950
912828-GS-3	US Treasury Note 4.500% 05/15/17		03/23/2012	Bank New York Mellon		1,986,344	1,700,000	27,742
912828-HH-6	US Treasury Note 4.250% 11/15/17		11/06/2012	Bank New York Mellon		5,871,875	5,000,000	101,630
912828-HT-0	US Treasury Bond 2.750% 02/28/13		02/10/2012	DAIWA CAPITAL MARKETS		1,540,068	1,500,000	18,812
912828-HV-5	US Treasury Note 2.500% 03/31/13		01/05/2012	Mizuho Investor Sec Co		1,542,625	1,500,000	10,041
912828-HV-5	US Treasury Note 2.500% 03/31/13		02/27/2012	Morgan Stanley		2,049,844	2,000,000	20,628
912828-JG-6	US Treasury Note 3.375% 07/31/13		02/22/2012	Deutsche Bank		1,044,844	1,000,000	2,133
912828-JK-7	US Treasury Note 3.125% 08/31/13		02/23/2012	BNP Paribar Securities Corp		1,043,047	1,000,000	15,196
912828-JQ-4	US Treasury Note 2.750% 10/31/13		10/03/2012	Morgan Stanley		4,109,219	4,000,000	46,929
912828-JT-8	US Treasury Note 2.000% 11/30/13		10/16/2012	Goldman Sachs		4,079,531	4,000,000	30,383
912828-JT-8	US Treasury Note 2.000% 11/30/13		10/31/2012	Goldman Sachs		4,076,563	4,000,000	33,661
912828-JZ-4	US Treasury Note 1.750% 01/31/14		12/07/2012	Merrill Lynch		2,034,766	2,000,000	12,554
912828-NH-9	US Treasury Note 1.125% 06/15/13		02/13/2012	UBS Financial Services		1,517,578	1,500,000	2,813
912828-NN-6	US Treasury Note 1.000% 07/15/13		06/07/2012	Goldman Sachs		2,016,953	2,000,000	7,967
912828-NU-0	US Treasury Note 0.750% 08/15/13		01/12/2012	CitiGroup		3,026,133	3,000,000	9,232
912828-PU-8	US Treasury Note 0.500% 11/15/13		03/01/2012	Morgan Stanley		1,003,672	1,000,000	1,484
912828-RA-0	US Treasury Note 0.375% 06/30/13		05/23/2012	Goldman Sachs		2,002,734	2,000,000	2,988
912828-RK-8	US Treasury Note 0.125% 09/30/13		02/22/2012	UBS Financial Services		2,993,203	3,000,000	1,496
912828-RN-2	US Treasury Note 0.250% 10/31/13		10/22/2012	UBS Financial Services		2,000,625	2,000,000	2,391
912828-SB-7	US Treasury Note 0.250% 01/31/14		02/17/2012	UBS Financial Services		1,998,047	2,000,000	288
912828-SF-8	US Treasury Note 2.000% 02/15/22		02/15/2012	Deutsche Bank		5,331,424	5,295,000	291
0599999. Subtotal - Bonds - U.S. Governments						52,829,857	50,995,000	372,509
574193-DX-3	MD St GO Non Call 5.000% 03/15/17		03/08/2012	Montgomery Securities		6,032,600	5,000,000	0
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						6,032,600	5,000,000	0
49474F-BD-1	King Cnty WA GO Non Call 5.000% 12/01/17		07/17/2012	JP Morgan Chase		2,539,530	2,100,000	0
591852-TM-0	Metropolitan Council MN GO Non Call 5.000% 09/01/17		06/06/2012	JP Morgan Chase		4,662,389	3,850,000	5,882
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						7,201,919	5,950,000	5,882
052476-AA-0	Austin TX Wtr & Wstwr Rev Bond Cont Call 5.000% 11/15/23		07/12/2012	Goldman Sachs		4,971,880	4,000,000	16,667
130344-BA-7	CA St Infra Rev Bond Non Call 5.000% 10/01/18		02/02/2012	Morgan Stanley		2,613,525	2,075,000	0
176553-GL-3	Citizens PPTY Ins Corp FL Rev Bond Non Call 5.000% 06/01/17		06/13/2012	JP Morgan Chase		4,476,160	4,000,000	0
3128PY-IH-3	FHLMC Pool J18748 MBS 3.000% 04/01/27		05/15/2012	Montgomery Securities		1,939,425	1,846,247	2,462
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		12/18/2012	Nomura Securities		780,901	748,841	1,248
3132GR-LZ-2	FHLMC Pool Q06344 MBS 4.000% 02/01/42		03/19/2012	Morgan Stanley		2,438,545	2,314,331	5,400
3132GV-JF-0	FHLMC Pool Q09862 MBS 3.500% 08/01/42		09/04/2012	Nomura Securities		635,523	594,554	694
3132HQ-DB-5	FHLMC Pool Q13698 MBS 3.000% 12/01/42		12/17/2012	Nomura Securities		1,864,788	1,780,759	2,820
3132HQ-MA-1	FHLMC Pool Q13979 MBS 3.000% 12/01/42		12/17/2012	Jefferys and Company		1,172,116	1,119,633	1,773
3132HQ-MR-0	FHLMC Pool Q13968 MBS 3.000% 12/01/42		12/17/2012	Jefferys and Company		900,065	856,950	1,357
313380-3D-7	FHLMC Note Call 0.300% 08/08/13		07/10/2012	BNP Paribar Securities Corp		1,000,000	1,000,000	0
3133XV-KV-0	FHLMC Note Non Call 2.375% 03/14/14		12/05/2012	RBC Capital Markets		2,053,996	2,000,000	10,819
3134G3-JR-2	FHLMC Note Non Call 0.450% 01/09/14		01/05/2012	UBS Financial Services		1,499,700	1,500,000	0
3134G3-W7-1	FHLMC Note Call 0.350% 11/26/14		11/07/2012	Piper Jaffray		3,000,000	3,000,000	0
3134G3-Y6-1	FHLMC Note Call 0.375% 12/10/14		11/16/2012	Toronto Dominion Sec		2,000,000	2,000,000	0
3135G0-PO-0	FNMA Note Non Call 0.875% 10/26/17		09/20/2012	Barclays Group Inc.		2,399,880	2,400,000	0
3135G0-RD-7	FNMA Note Call 1.550% 10/29/19		10/12/2012	JP Morgan Chase		4,080,000	4,080,000	0
3136F9-CB-7	FNMA Note Non Call 4.000% 03/11/13		02/09/2012	CastleOak Securities		1,041,180	1,000,000	16,556
3137EA-CL-1	FHLMC Note Non Call 0.875% 10/28/13		01/05/2012	Credit Suisse		2,018,376	2,000,000	3,306
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		05/15/2012	Nomura Securities		5,391,564	4,995,802	9,437
3138AT-CX-6	FNMA Pool AJ1885 MBS 3.000% 03/01/42		12/10/2012	Nomura Securities		13,159	13,372	0
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		05/15/2012	Barclays Group Inc.		3,372,672	3,206,819	4,276
3138EA-SG-3	FNMA Pool AK5818 MBS 4.000% 03/01/42		04/02/2012	Nomura Securities		2,348,118	2,219,459	2,713
3138EE-7J-2	FNMA Pool AK9896 MBS 3.500% 07/01/42		09/04/2012	Nomura Securities		1,344,062	1,259,628	1,470
3138M4-E3-2	FNMA Pool AP1053 MBS 3.500% 07/01/42		09/04/2012	Montgomery Securities		1,625,027	1,522,720	1,777
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		09/05/2012	Montgomery Securities		957,165	894,677	1,044
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		09/06/2012	JP Morgan Chase		211,920	198,636	232
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		09/05/2012	Montgomery Securities		562,173	524,170	612
3138MK-VC-7	FNMA Pool AQ4210 MBS 3.000% 11/01/42		12/10/2012	Goldman Sachs		2,807,176	2,664,777	2,443
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		12/17/2012	Goldman Sachs		2,192,264	2,080,518	3,294
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		12/17/2012	Nomura Securities		835,959	794,850	1,259
3138NW-KS-3	FNMA Pool AR0688 MBS 3.000% 12/01/42		12/17/2012	Jefferys and Company		406,132	386,850	613
31398A-2S-0	FNMA Note Non Call 1.000% 09/23/13		01/05/2012	UBS Financial Services		2,023,000	2,000,000	5,722
31417D-W7-5	FNMA Pool AB6681 MBS 3.000% 10/01/42		12/17/2012	Nomura Securities		1,320,803	1,258,657	1,993
31417E-OM-1	FNMA Pool AB7275 MBS 3.000% 12/01/42		12/17/2012	JP Morgan Chase		1,729,006	1,647,408	2,608
59447P-NG-2	MI St Fin Auth Rev Bond Cont Call 5.000% 07/01/21		07/18/2012	Merrill Lynch		2,674,710	2,250,000	8,125
649717-RN-7	NY NYC Tr Cultural Rev Bond Non Call 4.000% 08/01/17		05/09/2012	Southwest Securities		2,156,944	1,875,000	2,708

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
649710-US-0	NY NYC Trans Fin Rev Bond Non Call 5.000% 11/01/17		12/18/2012	Tax Free Exchange		1,763,385	1,620,000	10,575
649710-US-4	NY NYC Trans Fin Rev Bond Non Call 5.000% 11/01/17		12/18/2012	Tax Free Exchange		3,679,161	3,380,000	22,064
927781-K5-2	VA Ctg Bldg Auth Rev Bond Non Call 5.000% 02/01/20		12/07/2012	Barclays Group Inc.		2,519,600	2,000,000	0
927793-LN-7	VA Comwth Trans Brd Rev Bond Non Call 5.000% 05/15/19		02/01/2012	Montgomery Securities		4,390,540	3,500,000	0
3199999. Subtotal - Bonds - U.S. Special Revenues						85,211,600	78,609,658	146,079
00287Y-AE-9	ABBVIE Inc Corp Note Non Call Prv Plc 1.073% 11/06/15		11/05/2012	Morgan Stanley		2,265,000	2,265,000	0
02003M-B0-6	Allstate Life Corp Note Non Call 5.375% 04/30/13		01/31/2012	Mizuho Investor Sec Co		1,057,300	1,000,000	13,885
02003M-B0-6	Allstate Life Corp Note Non Call 5.375% 04/30/13		03/05/2012	Piper Jaffray		1,033,194	980,000	18,729
025815-AB-7	American Express Corp Note Non Call 0.760% 11/13/15		11/08/2012	Goldman Sachs		1,660,000	1,660,000	0
02666Q-D6-7	American Honda Fin Corp Note Non Call Prv Plc 6.700% 10/01/13		05/29/2012	Barclays Group Inc.		647,688	600,000	6,700
02666Q-L8-4	American Honda Fin Corp Note Non Call Prv Plc 0.533% 11/03/14		11/08/2012	Montgomery Securities		800,000	800,000	0
032511-AX-5	Anadarko Petroleum Corp Note MW 25BP 5.950% 09/15/16		10/25/2012	Credit Suisse		1,396,572	1,200,000	8,925
037411-AT-2	Apache Corp Note MW 12.5BP 5.250% 04/15/13		03/13/2012	Wachovia Bank		1,051,040	1,000,000	22,021
05348E-AR-0	Avalonbay Communities Corp Note Call 2.850% 03/15/23		12/05/2012	Goldman Sachs		848,331	855,000	0
05531F-AE-3	BB&T Corp Note Non Call 3.375% 09/25/13		07/27/2012	Scott & Stringfellow		170,254	175,000	2,067
05531F-AE-3	BB&T Corp Note Non Call 3.375% 09/25/13		07/27/2012	HSBC Securities Inc		1,030,160	1,000,000	11,813
059172-AA-4	Balt Gas & Elec Corp Note MW 35BP 6.125% 07/01/13		03/07/2012	Sterne Agee and Leach		533,645	500,000	6,040
06051G-EC-9	Bank of America Corp Note Non Call 5.625% 07/01/20		02/03/2012	Deutsche Bank		1,367,507	1,320,000	7,631
06051G-EQ-8	Bank of America Corp Note Non Call 3.875% 03/22/17		03/19/2012	Montgomery Securities		498,540	500,000	0
06406H-BL-2	BNY Mellon Corp Note Non Call 4.300% 05/15/14		12/11/2012	US Bancorp		2,107,060	2,000,000	6,928
084664-BD-2	Berkshire Hathaway Corp Note MW 20BP 4.600% 05/15/13		04/10/2012	HSBC Securities Inc		417,579	400,000	7,564
09247X-AG-6	BlackRock Inc Corp Note Non Call 0.612% 05/24/13		02/21/2012	Mizuho Investor Sec Co		1,504,344	1,500,000	0
12572Q-AA-3	CME Group Corp Note MW 35BP 5.400% 08/01/13		01/12/2012	Wachovia Bank		1,068,890	1,000,000	25,050
12572Q-AA-3	CME Group Corp Note MW 35BP 5.400% 08/01/13		01/17/2012	Wachovia Bank		534,415	500,000	12,675
12572Q-AA-3	CME Group Corp Note MW 35BP 5.400% 08/01/13		02/21/2012	Wall Street Access		1,064,820	1,000,000	3,450
133131-AT-9	Camden Prop Tr Corp Note MW 25BP 2.950% 12/15/22		12/04/2012	JP Morgan Chase		1,959,111	1,989,000	0
149123-BP-5	Caterpillar Corp Note MW 50BP 7.000% 12/15/13		01/06/2012	Castleock Securities		1,117,500	1,000,000	5,056
14912L-4Q-1	Caterpillar Fin Corp Note Non Call 1.550% 12/20/13		03/07/2012	Wachovia Bank		1,017,650	1,000,000	3,531
19122T-AA-7	Coca Cola Corp Note MW 10BP 1.125% 11/12/13		09/26/2012	Bank of New York		1,508,925	1,500,000	6,375
199575-AR-2	Columbus SO PWIR Corp Note MW 25BP 5.500% 03/01/13		02/10/2012	Jefferys and Company		419,568	400,000	10,022
199575-AR-2	Columbus SO PWIR Corp Note MW 25BP 5.500% 03/01/13		02/10/2012	Morgan Stanley		104,857	100,000	2,506
199575-AR-2	Columbus SO PWIR Corp Note MW 25BP 5.500% 03/01/13		02/17/2012	Loop Capital Markets		523,960	500,000	13,139
199575-AR-2	Columbus SO PWIR Corp Note MW 25BP 5.500% 03/01/13		02/27/2012	Loop Capital Markets		214,494	205,000	0
235851-AJ-1	Danaher Corp Note Non Call 0.560% 06/21/13		03/02/2012	Mizuho Investor Sec Co		903,051	900,000	1,573
24422E-HP-6	John Deere Capital Corp Note Non Call 0.478% 06/16/14		10/15/2012	Barclays Group Inc.		901,990	900,000	433
24422E-RX-9	John Deere Capital Corp Note Non Call 0.452% 10/08/14		10/09/2012	Montgomery Securities		600,000	600,000	0
25746U-AM-1	Dominion Resources Corp Note MW 20BP 5.000% 03/15/13		02/16/2012	Loop Capital Markets		452,576	433,000	9,442
25746U-AM-1	Dominion Resources Corp Note MW 20BP 5.000% 03/15/13		02/16/2012	US Bancorp		70,029	67,000	1,461
26441Y-AV-9	Duke Realty Corp Note Call MW 45BP 4.375% 06/15/22		10/25/2012	Wachovia Bank		782,115	730,000	12,331
26441Y-AV-9	Duke Realty Corp Note Call MW 45BP 4.375% 06/15/22		10/25/2012	Morgan Stanley		587,455	550,000	9,291
345397-VT-7	Ford Motor Credit Corp Note Non Call 5.000% 05/15/18		05/23/2012	Montgomery Securities		834,100	760,000	1,478
345397-WC-3	Ford Motor Credit Corp Note Non Call 2.750% 05/15/15		08/27/2012	Cantor Fitzgerald		1,116,500	1,100,000	8,823
36962G-3T-9	GE Cap Corp Note Non Call 4.800% 05/01/13		02/17/2012	Wachovia Bank		1,047,300	1,000,000	14,933
36962G-4H-4	GE Cap Corp Note Non Call 2.800% 01/08/13		01/04/2012	Barclays Group Inc.		1,019,320	1,000,000	78
36962G-6N-9	GE Cap Corp Note MW 0BP 0.910% 12/11/15		12/05/2012	CitiGroup		1,150,000	1,150,000	0
369668-AA-6	GECC/LJ VP Hold Corp Note Non Call Prv Plc 3.800% 06/18/19		04/25/2012	JP Morgan Chase		533,359	534,000	0
38141E-B3-2	Goldman Sachs Corp Note Non Call 1.600% 11/23/15		11/15/2012	Goldman Sachs		1,868,467	1,870,000	0
40414L-AF-6	HCP Corp Note Call 3.750% 02/01/19		01/18/2012	UBS Financial Services		701,637	705,000	0
46623E-JR-1	JP Morgan Chase Corp Note Non Call 1.100% 10/15/15		10/15/2012	JP Morgan Chase		1,264,481	1,265,000	0
46625H-JE-1	JP Morgan Chase Corp Note Non Call 3.250% 09/23/22		09/19/2012	JP Morgan Chase		1,346,822	1,345,000	0
534187-BC-2	Lincoln National Corp Note Non Call 4.200% 03/15/22		03/26/2012	Credit Suisse		660,000	660,000	0
571748-AS-1	Marsh & McLennan Co Inc Corp Note Non Call 2.300% 04/01/17		03/07/2012	Barclays Group Inc.		1,154,890	1,156,000	0
57629W-BL-3	Massmutual Glob Corp Note Non Call Prv Plc 0.810% 09/27/13		02/28/2012	First Tennessee		501,300	500,000	969
57629W-BL-3	Massmutual Glob Corp Note Non Call Prv Plc 0.810% 09/27/13		07/11/2012	Mizuho Investor Sec Co		1,005,480	1,000,000	507
59157B-AF-9	MetLife Institute Corp Note Non Call Prv Plc 1.253% 04/04/14		07/13/2012	Mizuho Investor Sec Co		1,608,976	1,600,000	786
592179-JG-1	MetLife Global Corp Note Non Call Prv Plc 5.125% 04/10/13		02/17/2012	US Bancorp		1,047,890	1,000,000	18,934
59217G-AQ-2	MetLife Global Corp Note Non Call Prv Plc 3.875% 04/11/22		04/04/2012	UBS Financial Services		1,083,839	1,085,000	0
59217G-AW-9	MetLife Global Corp Note Non Call Prv Plc 0.659% 03/19/14		09/12/2012	US Bancorp		750,000	750,000	0
634902-MU-1	National City Bank Corp Note Non Call 0.411% 03/01/13		02/06/2012	Morgan Keegan		999,630	1,000,000	1,219
64952W-AX-1	New York Life Corp Note Non Call Prv Plc 1.850% 12/13/13		10/24/2012	Castleock Securities		915,278	900,000	6,290
653522-DQ-2	Niagara Mohawk Corp Note MW 20BP Prv Plc 3.553% 10/01/14		12/13/2012	Stifel Nicolaus & Co.		1,366,183	1,300,000	9,879
66989H-AE-8	Novartis Capital Corp Note MW 10BP 2.400% 09/21/22		09/18/2012	JP Morgan Chase		1,339,538	1,350,000	0
693476-BA-0	PNC Funding Corp Note Non Call 0.513% 01/31/14		03/12/2012	Credit Suisse		297,557	300,000	275
69362B-AV-4	PSEG Power Corp Note MW 15BP 2.500% 04/15/13		02/16/2012	Credit Suisse		1,020,215	1,000,000	8,819
69371R-J9-8	PACCAR Inc Corp Note Non Call 2.050% 06/17/13		03/20/2012	US Bancorp		508,640	500,000	2,733

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
69371R-K7-0	PACCAR Inc Corp Note Non Call 0.561% 06/05/14		09/14/2012	Mizuho Investor Sec Co		1,259,292	1,255,000	324
6944P0-AH-8	Pacific Life Global Fdg Note Non Call Prv Plc 5.150% 04/15/13		02/17/2012	Pershing		208,808	200,000	3,662
6944P0-AH-8	Pacific Life Global Fdg Note Non Call Prv Plc 5.150% 04/15/13		03/14/2012	Barclays Group Inc.		313,533	300,000	6,609
737415-AL-3	Post Apartment Homes Corp Note Call MW 25BP 3.375% 12/01/22		11/02/2012	Wachovia Bank		1,799,496	1,800,000	.0
74153W-BK-4	Pricoa Global Corp Note Non Call Prv Plc 5.300% 09/27/13		07/18/2012	CitiGroup		842,008	800,000	13,662
74153W-BY-4	Pricoa Global Corp Note Non Call Prv Plc 5.450% 06/11/14		10/17/2012	Jefferys and Company		1,077,300	1,000,000	19,832
74256L-AA-7	Principal Life Global Note Non Call Prv Plc 0.976% 07/09/14		07/10/2012	US Bancorp		500,000	500,000	.0
78387G-AP-8	SBC Communications Inc Corp Note Cont Call 5.100% 09/15/14		09/14/2012	Wachovia Bank		1,416,519	1,300,000	737
78387G-AP-8	SBC Communications Inc Corp Note Cont Call 5.100% 09/15/14		12/04/2012	Bank of New York		64,761	60,000	697
78387G-AP-8	SBC Communications Inc Corp Note Cont Call 5.100% 09/15/14		12/04/2012	US Bancorp		239,616	222,000	2,579
78387G-AP-8	SBC Communications Inc Corp Note Cont Call 5.100% 09/15/14		12/04/2012	Mizuho Investor Sec Co		343,233	318,000	3,694
790849-AG-8	St Jude Medical Corp Note MW 15BP 2.200% 09/15/13		07/09/2012	Jefferys and Company		763,388	750,000	5,363
828807-CB-1	Simon Property Corp Note Call MW 50BP 6.750% 05/15/14		11/15/2012	Wachovia Bank		1,073,600	1,000,000	938
89233P-BM-3	Toyota Motor Cr Corp Note Non Call 0.478% 01/27/14		10/24/2012	Barclays Group Inc.		1,501,986	1,500,000	.0
91159H-GW-4	US Bancorp Corp Note Non Call 2.000% 06/14/13		02/14/2012	UBS Financial Services		1,019,510	1,000,000	3,500
91159H-GW-4	US Bancorp Corp Note Non Call 2.000% 06/14/13		02/21/2012	UBS Financial Services		1,019,160	1,000,000	3,889
913017-BW-8	United Tech Corp Note Non Call 0.581% 12/02/13		05/24/2012	Montgomery Securities		1,500,000	1,500,000	.0
918204-AU-2	VF Corp Note Call 1.062% 08/23/13		07/11/2012	Mizuho Investor Sec Co		502,890	500,000	913
92276M-AX-3	Ventas Realty LP Corp Note Non Call 4.250% 03/01/22		02/01/2012	Montgomery Securities		620,088	625,000	.0
92976W-BJ-4	Wachovia Bank Corp Note Non Call 5.500% 05/01/13		02/17/2012	First Tennessee		1,582,425	1,500,000	25,667
931422-AF-6	Walgreen Corp Note Non Call 0.810% 03/13/14		09/10/2012	Goldman Sachs		500,000	500,000	.0
00084D-AE-0	ABN Amro Bank Corp Note Non Call Prv Plc 4.250% 02/02/17	F	01/30/2012	Morgan Stanley		774,241	775,000	.0
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)						76,282,876	74,355,000	396,427
8399997. Total - Bonds - Part 3						227,558,852	214,909,658	920,897
8399998. Total - Bonds - Part 5						18,725,428	18,197,952	47,619
8399999. Total - Bonds						246,284,280	233,107,610	968,516
8999997. Total - Preferred Stocks - Part 3						0	XXX	0
8999998. Total - Preferred Stocks - Part 5						0	XXX	0
8999999. Total - Preferred Stocks						0	XXX	0
9799997. Total - Common Stocks - Part 3						0	XXX	0
9799998. Total - Common Stocks - Part 5						0	XXX	0
9799999. Total - Common Stocks						0	XXX	0
9899999. Total - Preferred and Common Stocks						0	XXX	0
9999999 - Totals						246,284,280	XXX	968,516

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
06050B-AA-9	Bank of America Corp Note Non Call FDIC 3.125% 06/15/12		06/15/2012	Maturity		1,250,000	1,250,000	1,301,282	1,264,732	0	(14,732)	0	(14,732)	0	1,250,000	0	0	0	19,531	06/15/2012
06050B-AH-4	Bank of America Corp Note Non Call FDIC 0.853% 04/30/12		04/30/2012	Maturity		250,000	250,000	251,109	250,252	0	(252)	0	(252)	0	250,000	0	0	0	999	04/30/2012
17313U-AE-9	Citigroup Inc Corp Note Non Call FDIC 2.125% 04/30/12		04/30/2012	Maturity		1,250,000	1,250,000	1,280,594	1,256,854	0	(6,854)	0	(6,854)	0	1,250,000	0	0	0	13,281	04/30/2012
17313Y-AJ-0	Citigroup Corp Note Non Call FDIC 2.250% 12/10/12		12/10/2012	Maturity		1,000,000	1,000,000	1,021,944	1,017,856	0	(17,856)	0	(17,856)	0	1,000,000	0	0	0	22,500	12/10/2012
3128X9-YV-6	FHLB Note Non Call 0.215% 02/10/12		02/10/2012	Maturity		500,000	500,000	499,752	499,978	0	22	0	22	0	500,000	0	0	0	212	02/10/2012
313375-5H-6	FHLB Note Call 0.440% 08/28/12		02/15/2012	Call	100.0000	1,000,000	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	0	0	1,100	08/28/2012
313375-6E-1	FHLB Note Call 0.350% 09/18/12		03/13/2012	Call	100.0000	666,667	666,667	666,667	666,667	0	0	0	0	0	666,667	0	0	0	583	09/18/2012
36185J-AA-7	GMAC Inc Corp Note Non Call FDIC 1.750% 10/30/12		10/30/2012	Maturity		1,000,000	1,000,000	1,023,110	1,009,813	0	(9,813)	0	(9,813)	0	1,000,000	0	0	0	17,500	10/30/2012
36186C-BF-9	GMAC LLC Corp Note Non Call FDIC 2.200% 12/19/12		12/19/2012	Maturity		1,999,000	1,999,000	2,042,373	2,034,451	0	(35,451)	0	(35,451)	0	1,999,000	0	0	0	43,978	12/19/2012
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		01/01/2012	Paydown		86	86	86	86	0	0	0	0	0	86	0	0	0	1	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		02/01/2012	Paydown		87	87	87	87	0	0	0	0	0	87	0	0	0	1	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		03/01/2012	Paydown		88	88	88	88	0	0	0	0	0	88	0	0	0	2	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		04/01/2012	Paydown		874	874	874	873	0	1	0	1	0	874	0	0	0	26	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		05/01/2012	Paydown		181	181	181	181	0	0	0	0	0	181	0	0	0	7	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		06/01/2012	Paydown		74	74	74	74	0	0	0	0	0	74	0	0	0	3	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		07/01/2012	Paydown		76	76	76	76	0	0	0	0	0	76	0	0	0	4	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		08/01/2012	Paydown		78	78	78	78	0	0	0	0	0	78	0	0	0	5	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		09/01/2012	Paydown		73	73	73	73	0	0	0	0	0	73	0	0	0	5	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		10/01/2012	Paydown		907	907	908	906	0	1	0	1	0	907	0	0	0	68	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		11/01/2012	Paydown		67	67	68	67	0	0	0	0	0	67	0	0	0	6	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		12/01/2012	Paydown		68	68	68	68	0	0	0	0	0	68	0	0	0	6	12/15/2019
36967H-AY-3	GE Cap Corp Note Non Call FDIC 2.625% 12/28/12		12/28/2012	Maturity		1,000,000	1,000,000	1,031,610	1,021,833	0	(21,833)	0	(21,833)	0	1,000,000	0	0	0	26,250	12/28/2012
38146F-AA-9	Goldman Sachs Corp Note Non Call FDIC 3.250% 06/15/12		06/15/2012	Maturity		1,250,000	1,250,000	1,305,275	1,265,791	0	(15,791)	0	(15,791)	0	1,250,000	0	0	0	20,313	06/15/2012
61757U-AL-4	Morgan Stanley Corp Note Non Call FDIC 0.793% 02/10/12		02/10/2012	Maturity		700,000	700,000	702,689	700,242	0	(242)	0	(242)	0	700,000	0	0	0	1,295	02/10/2012
69351C-AD-5	PNC Funding Corp Note Non Call FDIC 0.668% 04/01/12		04/02/2012	Maturity		1,250,000	1,250,000	1,254,026	1,250,740	0	(740)	0	(740)	0	1,250,000	0	0	0	4,241	04/01/2012
912827-7L-0	US Treasury Note 4.875% 02/15/12		02/15/2012	Maturity		1,000,000	1,000,000	1,055,667	1,005,478	0	(5,478)	0	(5,478)	0	1,000,000	0	0	0	24,375	02/15/2012
912828-AP-5	US Treasury Note 4.000% 11/15/12		11/15/2012	Maturity		5,000,000	5,000,000	5,418,750	5,105,703	0	(105,703)	0	(105,703)	0	5,000,000	0	0	0	200,000	11/15/2012
912828-HK-9	US Treasury Note 3.375% 11/30/12		11/30/2012	Maturity		3,000,000	3,000,000	3,102,773	3,087,578	0	(87,578)	0	(87,578)	0	3,000,000	0	0	0	101,250	11/30/2012
912828-KB-5	US Treasury Note 1.125% 01/15/12		01/15/2012	Maturity		4,500,000	4,500,000	4,434,800	4,499,026	0	974	0	974	0	4,500,000	0	0	0	25,313	01/15/2012
912828-LM-0	US Treasury Note 1.375% 09/15/12		09/15/2012	Maturity		10,000,000	10,000,000	10,094,174	10,028,762	0	(28,762)	0	(28,762)	0	10,000,000	0	0	0	137,500	09/15/2012
912828-MQ-0	US Treasury Note 0.875% 02/29/12		02/29/2012	Maturity		1,000,000	1,000,000	1,005,580	1,000,849	0	(849)	0	(849)	0	1,000,000	0	0	0	4,375	02/29/2012
912828-NS-5	US Treasury Note 0.625% 06/30/12		06/30/2012	Maturity		1,500,000	1,500,000	1,504,224	1,501,303	0	(1,303)	0	(1,303)	0	1,500,000	0	0	0	4,688	06/30/2012
0599999	Subtotal - Bonds - U.S. Governments					39,118,326	39,118,326	39,999,060	39,470,565	0	(352,239)	0	(352,239)	0	39,118,326	0	0	0	669,418	XXX
683234-BK-7	Ontario Province Cnda Corp Note Non Call 4.400% 04/14/20	A	04/26/2012	ScotiaMcLeod Corporation		1,595,589	1,385,000	1,380,457	1,381,103	0	130	0	130	0	1,381,232	0	214,357	214,357	33,348	04/14/2020
1099999	Subtotal - Bonds - All Other Governments					1,595,589	1,385,000	1,380,457	1,381,103	0	130	0	130	0	1,381,232	0	214,357	214,357	33,348	XXX
604129-EB-8	MN St GO Non Call 5.000% 08/01/12		08/01/2012	Maturity		2,030,000	2,030,000	2,216,841	2,056,387	0	(26,387)	0	(26,387)	0	2,030,000	0	0	0	101,500	08/01/2012
658256-VF-6	NC St GO Non Call 5.000% 04/01/17		03/07/2012	Montgomery Securities		5,362,891	4,435,000	4,988,178	4,793,338	0	(12,138)	0	(12,138)	0	4,781,200	0	581,690	581,690	99,172	04/01/2017
709141-05-7	PA St GO Non Call 5.000% 09/01/12		09/01/2012	Maturity		2,000,000	2,000,000	2,147,980	2,027,158	0	(27,158)	0	(27,158)	0	2,000,000	0	0	0	100,000	09/01/2012
709141-WG-6	PA St GO Cont Call Prfd 5.500% 05/01/18		05/01/2012	Call	100.0000	7,000,000	7,000,000	7,579,460	7,057,773	0	(57,773)	0	(57,773)	0	7,000,000	0	0	0	192,500	05/01/2018
1799999	Subtotal - Bonds - U.S. States, Territories and Possessions					16,392,891	15,465,000	16,932,459	15,934,656	0	(123,456)	0	(123,456)	0	15,811,200	0	581,690	581,690	493,172	XXX
180847-VT-9	Clark Cnty NV GO Cont Call Prfd 5.250% 06/01/21		12/01/2012	Call	100.0000	2,000,000	2,000,000	2,164,940	2,033,951	0	(33,951)	0	(33,951)	0	2,000,000	0	0	0	105,000	06/01/2021
251129-ZS-4	Detroit MI City Schl Dist GO Cont Call Prfd 5.500% 05/01/17		05/01/2012	Call	100.0000	1,750,000	1,750,000	1,939,630	1,766,129	0	(16,129)	0	(16,129)	0	1,750,000	0	0	0	48,125	05/01/2017
442403-CV-1	Houston TX Ind Sch GO Non Call 5.000% 02/15/12		02/15/2012	Maturity		1,000,000	1,000,000	1,079,350	1,002,616	0	(2,616)	0	(2,616)	0	1,000,000	0	0	0	25,000	02/15/2012

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
720390-UL-5	Pierce Cnty WA Sch Dist GO Non Call		06/01/2012	Maturity		2,000,000	2,000,000	2,211,480	2,028,601	0	(28,601)	0	(28,601)	0	2,000,000	0	0	0	50,000	06/01/2012
2499999	Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					6,750,000	6,750,000	7,395,400	6,831,297	0	(81,297)	0	(81,297)	0	6,750,000	0	0	0	228,125	XXX
130795-RR-0	CA Statewide Cmty Dev Rev Bond Non Call		06/14/2012	Oppenheimer and Co.		1,502,025	1,500,000	1,613,490	1,514,089	0	(13,160)	0	(13,160)	0	1,500,929	0	1,096	1,096	72,500	07/01/2012
16753T-AG-6	Chicago IL HSG Auth Cap Rev Non Call		07/01/2012	Maturity		2,100,000	2,100,000	2,365,671	2,140,249	0	(40,249)	0	(40,249)	0	2,100,000	0	0	0	110,250	07/01/2012
3128PC-2X-9	FHLMC Pool J01690 MBS	5.500%	04/01/21	Paydown		4,162	4,162	4,161	4,160	0	3	0	3	0	4,162	0	0	0	19	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS	5.500%	04/01/21	Paydown		2,178	2,178	2,177	2,177	0	1	0	1	0	2,178	0	0	0	20	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS	5.500%	04/01/21	Paydown		10,400	10,400	10,399	10,399	0	7	0	7	0	10,400	0	0	0	143	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS	5.500%	04/01/21	Paydown		13,052	13,052	13,048	13,044	0	8	0	8	0	13,052	0	0	0	239	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS	5.500%	04/01/21	Paydown		1,612	1,612	1,611	1,611	0	1	0	1	0	1,612	0	0	0	37	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS	5.500%	04/01/21	Paydown		11,980	11,980	11,976	11,972	0	8	0	8	0	11,980	0	0	0	329	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS	5.500%	04/01/21	Paydown		1,576	1,576	1,575	1,575	0	1	0	1	0	1,576	0	0	0	51	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS	5.500%	04/01/21	Paydown		1,573	1,573	1,573	1,572	0	1	0	1	0	1,573	0	0	0	58	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS	5.500%	04/01/21	Paydown		1,579	1,579	1,579	1,578	0	1	0	1	0	1,579	0	0	0	65	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS	5.500%	04/01/21	Paydown		1,591	1,591	1,591	1,590	0	1	0	1	0	1,591	0	0	0	73	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS	5.500%	04/01/21	Paydown		1,607	1,607	1,606	1,606	0	1	0	1	0	1,607	0	0	0	81	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS	5.500%	04/01/21	Paydown		8,189	8,189	8,187	8,184	0	5	0	5	0	8,189	0	0	0	450	04/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS	6.000%	10/01/21	Paydown		8,497	8,497	8,638	8,613	0	(115)	0	(115)	0	8,497	0	0	0	42	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS	6.000%	10/01/21	Paydown		2,697	2,697	2,742	2,734	0	(37)	0	(37)	0	2,697	0	0	0	27	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS	6.000%	10/01/21	Paydown		2,751	2,751	2,797	2,789	0	(37)	0	(37)	0	2,751	0	0	0	41	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS	6.000%	10/01/21	Paydown		2,680	2,680	2,725	2,717	0	(36)	0	(36)	0	2,680	0	0	0	54	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS	6.000%	10/01/21	Paydown		9,772	9,772	9,934	9,905	0	(133)	0	(133)	0	9,772	0	0	0	244	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS	6.000%	10/01/21	Paydown		9,212	9,212	9,365	9,337	0	(125)	0	(125)	0	9,212	0	0	0	276	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS	6.000%	10/01/21	Paydown		2,777	2,777	2,823	2,815	0	(38)	0	(38)	0	2,777	0	0	0	97	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS	6.000%	10/01/21	Paydown		9,012	9,012	9,162	9,135	0	(122)	0	(122)	0	9,012	0	0	0	360	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS	6.000%	10/01/21	Paydown		11,786	11,786	11,981	11,946	0	(160)	0	(160)	0	11,786	0	0	0	530	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS	6.000%	10/01/21	Paydown		8,570	8,570	8,712	8,687	0	(116)	0	(116)	0	8,570	0	0	0	429	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS	6.000%	10/01/21	Paydown		7,468	7,468	7,592	7,569	0	(101)	0	(101)	0	7,468	0	0	0	411	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS	6.000%	10/01/21	Paydown		2,627	2,627	2,663	2,663	0	(36)	0	(36)	0	2,627	0	0	0	158	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS	6.000%	10/01/21	Paydown		2,008	2,008	2,036	2,030	0	(23)	0	(23)	0	2,008	0	0	0	10	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS	6.000%	10/01/21	Paydown		10,888	10,888	11,041	11,013	0	(124)	0	(124)	0	10,888	0	0	0	109	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS	6.000%	10/01/21	Paydown		2,002	2,002	2,030	2,024	0	(23)	0	(23)	0	2,002	0	0	0	30	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS	6.000%	10/01/21	Paydown		12,500	12,500	12,676	12,643	0	(143)	0	(143)	0	12,500	0	0	0	250	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS	6.000%	10/01/21	Paydown		1,891	1,891	1,917	1,912	0	(22)	0	(22)	0	1,891	0	0	0	47	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS	6.000%	10/01/21	Paydown		25,436	25,436	25,793	25,726	0	(291)	0	(291)	0	25,436	0	0	0	763	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS	6.000%	10/01/21	Paydown		1,818	1,818	1,844	1,839	0	(21)	0	(21)	0	1,818	0	0	0	64	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS	6.000%	10/01/21	Paydown		1,826	1,826	1,852	1,847	0	(21)	0	(21)	0	1,826	0	0	0	73	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS	6.000%	10/01/21	Paydown		1,820	1,820	1,845	1,841	0	(21)	0	(21)	0	1,820	0	0	0	82	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS	6.000%	10/01/21	Paydown		1,846	1,846	1,872	1,868	0	(21)	0	(21)	0	1,846	0	0	0	92	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS	6.000%	10/01/21	Paydown		1,848	1,848	1,874	1,869	0	(21)	0	(21)	0	1,848	0	0	0	102	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS	6.000%	10/01/21	Paydown		1,910	1,910	1,937	1,932	0	(22)	0	(22)	0	1,910	0	0	0	115	10/01/2021
3128PE-KP-2	FHLMC Pool J03002 MBS	5.500%	07/01/21	Paydown		20,386	20,386	20,339	20,339	0	47	0	47	0	20,386	0	0	0	93	07/01/2021
3128PE-KP-2	FHLMC Pool J03002 MBS	5.500%	07/01/21	Paydown		2,718	2,718	2,711	2,711	0	6	0	6	0	2,718	0	0	0	25	07/01/2021
3128PE-KP-2	FHLMC Pool J03002 MBS	5.500%	07/01/21	Paydown		2,694	2,694	2,687	2,687	0	6	0	6	0	2,694	0	0	0	37	07/01/2021
3128PE-KP-2	FHLMC Pool J03002 MBS	5.500%	07/01/21	Paydown		6,102	6,102	6,088	6,088	0	14	0	14	0	6,102	0	0	0	112	07/01/2021
3128PE-KP-2	FHLMC Pool J03002 MBS	5.500%	07/01/21	Paydown		3,028	3,028	3,021	3,021	0	7	0	7	0	3,028	0	0	0	69	07/01/2021
3128PE-KP-2	FHLMC Pool J03002 MBS	5.500%	07/01/21	Paydown		2,400	2,400	2,394	2,394	0	6	0	6	0	2,400	0	0	0	66	07/01/2021
3128PE-KP-2	FHLMC Pool J03002 MBS	5.500%	07/01/21	Paydown		3,675	3,675	3,666	3,666	0	9	0	9	0	3,675	0	0	0	118	07/01/2021
3128PE-KP-2	FHLMC Pool J03002 MBS	5.500%	07/01/21	Paydown		2,149	2,149	2,144	2,144	0	5	0	5	0	2,149	0	0	0	79	07/01/2021
3128PE-KP-2	FHLMC Pool J03002 MBS	5.500%	07/01/21	Paydown		1,965	1,965	1,961	1,961	0	5	0	5	0	1,965	0	0	0	81	07/01/2021
3128PE-KP-2	FHLMC Pool J03002 MBS	5.500%	07/01/21	Paydown		2,078	2,078	2,073	2,073	0	5	0	5	0	2,078	0	0	0	95	07/01/2021
3128PE-KP-2	FHLMC Pool J03002 MBS	5.500%	07/01/21	Paydown		2,164	2,164	2,159	2,159	0	5	0	5	0	2,164	0	0	0	109	07/01/2021
3128PE-KP-2	FHLMC Pool J03002 MBS	5.500%	07/01/21	Paydown		2,163	2,163	2,158	2,158	0	5	0	5	0	2,163	0	0	0	119	07/01/2021
3128PK-MU-5	FHLMC Pool J07571 MBS	5.500%	04/01/23	Paydown		54,514	54,514	55,127	55,061	0	(547)	0	(547)	0	54,514	0	0	0	250	04/01/2023
3128PK-MU-5	FHLMC Pool J07571 MBS	5.500%	04/01/23	Paydown		53,305	53,305													

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3128PK-MU-5	FHLMC Pool J07571 MBS	5.500%	04/01/23	03/01/2012	Paydown		3,898	3,898	3,942	3,937	0	(39)	(39)	0	3,898	0	0	0	54	04/01/2023
3128PK-MU-5	FHLMC Pool J07571 MBS	5.500%	04/01/23	04/01/2012	Paydown		4,197	4,197	4,244	4,239	0	(42)	(42)	0	4,197	0	0	0	77	04/01/2023
3128PK-MU-5	FHLMC Pool J07571 MBS	5.500%	04/01/23	05/01/2012	Paydown		3,877	3,877	3,921	3,916	0	(39)	(39)	0	3,877	0	0	0	89	04/01/2023
3128PK-MU-5	FHLMC Pool J07571 MBS	5.500%	04/01/23	06/01/2012	Paydown		36,451	36,451	36,862	36,817	0	(366)	(366)	0	36,451	0	0	0	1,002	04/01/2023
3128PK-MU-5	FHLMC Pool J07571 MBS	5.500%	04/01/23	07/01/2012	Paydown		42,305	42,305	42,781	42,730	0	(425)	(425)	0	42,305	0	0	0	1,357	04/01/2023
3128PK-MU-5	FHLMC Pool J07571 MBS	5.500%	04/01/23	08/01/2012	Paydown		3,260	3,260	3,296	3,292	0	(33)	(33)	0	3,260	0	0	0	120	04/01/2023
3128PK-MU-5	FHLMC Pool J07571 MBS	5.500%	04/01/23	09/01/2012	Paydown		42,609	42,609	43,088	43,036	0	(428)	(428)	0	42,609	0	0	0	1,758	04/01/2023
3128PK-MU-5	FHLMC Pool J07571 MBS	5.500%	04/01/23	10/01/2012	Paydown		15,675	15,675	15,851	15,832	0	(157)	(157)	0	15,675	0	0	0	718	04/01/2023
3128PK-MU-5	FHLMC Pool J07571 MBS	5.500%	04/01/23	11/01/2012	Paydown		3,183	3,183	3,218	3,215	0	(32)	(32)	0	3,183	0	0	0	160	04/01/2023
3128PK-MU-5	FHLMC Pool J07571 MBS	5.500%	04/01/23	12/01/2012	Paydown		40,363	40,363	40,817	40,768	0	(405)	(405)	0	40,363	0	0	0	2,220	04/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500%	08/01/23	01/01/2012	Paydown		7,047	7,047	7,126	7,118	0	(70)	(70)	0	7,047	0	0	0	32	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500%	08/01/23	02/01/2012	Paydown		7,230	7,230	7,312	7,302	0	(72)	(72)	0	7,230	0	0	0	66	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500%	08/01/23	03/01/2012	Paydown		7,138	7,138	7,219	7,210	0	(71)	(71)	0	7,138	0	0	0	98	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500%	08/01/23	04/01/2012	Paydown		7,222	7,222	7,303	7,294	0	(72)	(72)	0	7,222	0	0	0	132	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500%	08/01/23	05/01/2012	Paydown		47,718	47,718	48,255	48,195	0	(477)	(477)	0	47,718	0	0	0	1,094	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500%	08/01/23	06/01/2012	Paydown		8,994	8,994	9,095	9,084	0	(90)	(90)	0	8,994	0	0	0	247	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500%	08/01/23	07/01/2012	Paydown		11,762	11,762	11,894	11,880	0	(118)	(118)	0	11,762	0	0	0	377	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500%	08/01/23	08/01/2012	Paydown		40,321	40,321	40,774	40,724	0	(403)	(403)	0	40,321	0	0	0	1,478	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500%	08/01/23	09/01/2012	Paydown		9,744	9,744	9,853	9,841	0	(97)	(97)	0	9,744	0	0	0	402	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500%	08/01/23	10/01/2012	Paydown		7,361	7,361	7,443	7,434	0	(74)	(74)	0	7,361	0	0	0	337	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500%	08/01/23	11/01/2012	Paydown		9,247	9,247	9,352	9,340	0	(92)	(92)	0	9,247	0	0	0	466	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500%	08/01/23	12/01/2012	Paydown		6,902	6,902	6,980	6,971	0	(69)	(69)	0	6,902	0	0	0	380	08/01/2023
3128PP-2M-4	FHLMC Pool J10780 MBS	4.500%	09/01/24	01/01/2012	Paydown		8,743	8,743	9,070	9,047	0	(304)	(304)	0	8,743	0	0	0	33	09/01/2024
3128PP-2M-4	FHLMC Pool J10780 MBS	4.500%	09/01/24	02/01/2012	Paydown		13,295	13,295	13,791	13,757	0	(462)	(462)	0	13,295	0	0	0	100	09/01/2024
3128PP-2M-4	FHLMC Pool J10780 MBS	4.500%	09/01/24	03/01/2012	Paydown		8,816	8,816	9,145	9,122	0	(307)	(307)	0	8,816	0	0	0	99	09/01/2024
3128PP-2M-4	FHLMC Pool J10780 MBS	4.500%	09/01/24	04/01/2012	Paydown		8,905	8,905	9,238	9,215	0	(310)	(310)	0	8,905	0	0	0	134	09/01/2024
3128PP-2M-4	FHLMC Pool J10780 MBS	4.500%	09/01/24	05/01/2012	Paydown		10,765	10,765	11,167	11,139	0	(374)	(374)	0	10,765	0	0	0	202	09/01/2024
3128PP-2M-4	FHLMC Pool J10780 MBS	4.500%	09/01/24	06/01/2012	Paydown		9,545	9,545	9,902	9,877	0	(332)	(332)	0	9,545	0	0	0	215	09/01/2024
3128PP-2M-4	FHLMC Pool J10780 MBS	4.500%	09/01/24	07/01/2012	Paydown		9,530	9,530	9,886	9,862	0	(331)	(331)	0	9,530	0	0	0	250	09/01/2024
3128PP-2M-4	FHLMC Pool J10780 MBS	4.500%	09/01/24	08/01/2012	Paydown		10,584	10,584	10,979	10,952	0	(368)	(368)	0	10,584	0	0	0	318	09/01/2024
3128PP-2M-4	FHLMC Pool J10780 MBS	4.500%	09/01/24	09/01/2012	Paydown		97,586	97,586	101,230	100,979	0	(3,394)	(3,394)	0	97,586	0	0	0	3,294	09/01/2024
3128PP-2M-4	FHLMC Pool J10780 MBS	4.500%	09/01/24	10/01/2012	Paydown		9,567	9,567	9,924	9,900	0	(333)	(333)	0	9,567	0	0	0	359	09/01/2024
3128PP-2M-4	FHLMC Pool J10780 MBS	4.500%	09/01/24	11/01/2012	Paydown		78,917	78,917	81,864	81,661	0	(2,744)	(2,744)	0	78,917	0	0	0	3,255	09/01/2024
3128PP-2M-4	FHLMC Pool J10780 MBS	4.500%	09/01/24	12/01/2012	Paydown		7,733	7,733	8,022	8,002	0	(269)	(269)	0	7,733	0	0	0	348	09/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS	4.500%	07/01/24	01/01/2012	Paydown		71,545	71,545	73,417	73,283	0	(1,738)	(1,738)	0	71,545	0	0	0	268	07/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS	4.500%	07/01/24	02/01/2012	Paydown		64,415	64,415	66,101	65,980	0	(1,565)	(1,565)	0	64,415	0	0	0	483	07/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS	4.500%	07/01/24	03/01/2012	Paydown		51,733	51,733	53,087	52,990	0	(1,257)	(1,257)	0	51,733	0	0	0	582	07/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS	4.500%	07/01/24	04/01/2012	Paydown		94,979	94,979	97,465	97,287	0	(2,307)	(2,307)	0	94,979	0	0	0	1,425	07/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS	4.500%	07/01/24	05/01/2012	Paydown		92,753	92,753	95,181	95,007	0	(2,253)	(2,253)	0	92,753	0	0	0	1,739	07/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS	4.500%	07/01/24	06/01/2012	Paydown		60,710	60,710	62,299	62,185	0	(1,475)	(1,475)	0	60,710	0	0	0	1,366	07/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS	4.500%	07/01/24	07/01/2012	Paydown		81,290	81,290	83,417	83,265	0	(1,975)	(1,975)	0	81,290	0	0	0	2,134	07/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS	4.500%	07/01/24	08/01/2012	Paydown		60,016	60,016	61,587	61,474	0	(1,458)	(1,458)	0	60,016	0	0	0	1,800	07/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS	4.500%	07/01/24	09/01/2012	Paydown		78,741	78,741	80,802	80,654	0	(1,913)	(1,913)	0	78,741	0	0	0	2,658	07/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS	4.500%	07/01/24	10/01/2012	Paydown		44,934	44,934	46,110	46,026	0	(1,092)	(1,092)	0	44,934	0	0	0	1,685	07/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS	4.500%	07/01/24	11/01/2012	Paydown		66,862	66,862	68,612	68,486	0	(1,624)	(1,624)	0	66,862	0	0	0	2,758	07/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS	4.500%	07/01/24	12/01/2012	Paydown		51,353	51,353	52,697	52,600	0	(1,247)	(1,247)	0	51,353	0	0	0	2,311	07/01/2024
3128PP-YL-1	FHLMC Pool J10715 MBS	4.500%	09/01/24	01/01/2012	Paydown		47,805	47,805	49,762	49,621	0	(1,816)	(1,816)	0	47,805	0	0	0	179	09/01/2024
3128PP-YL-1	FHLMC Pool J10715 MBS	4.500%	09/01/24	02/01/2012	Paydown		32,066	32,066	33,378	33,283	0	(1,218)	(1,218)	0	32,066	0	0	0	241	09/01/2024
3128PP-YL-1	FHLMC Pool J10715 MBS	4.500%	09/01/24	03/01/2012	Paydown		52,137	52,137	54,272	54,118	0	(1,980)	(1,980)	0	52,137	0	0	0	587	09/01/2024
3128PP-YL-1	FHLMC Pool J10715 MBS	4.500%	09/01/24	04/01/2012	Paydown		107,187	107,187	111,574	111,258	0	(4,071)	(4,071)	0	107,187	0	0	0	1,608	09/01/2024
3128PP-YL-1	FHLMC Pool J10715 MBS	4.500%	09/01/24	05/01/2012	Paydown		35,106	35,106	36,544	36,440	0	(1,333)	(1,333)	0	35,106	0	0	0	658	09/01/2024
3128PP-YL-1	FHLMC Pool J10715 MBS	4.500%	09/01/24	06/01/2012	Paydown		85,398	85,398	88,894	88,641	0	(3,243)	(3,243)	0	85,398	0	0	0	1,921	09/01/2024
3128PP-YL-1	FHLMC Pool J10715 MBS	4.500%	09/01/24	07/01/2012	Paydown		11,755	11,755	12,236	12,201	0	(446)	(446)	0	11,755	0	0	0	309	09/01/2024
3128PP-YL-1	FHLMC Pool J10715 MBS	4.500%	09/01/24	08/01/2012	Paydown		12,262	12,262	12,764	12,727	0	(466)	(466)	0	12,262	0	0	0	368	09/01/2024
3128PP-YL-1	FHLMC Pool J10715 MBS	4.500%	09/01/24	09/01/2012	Paydown		13,688	13,688	14,248	14,208	0	(520)	(520)	0	13,688	0	0	0	462	09/01/2024
3128PP-YL-1	FHLMC Pool J10715 MBS	4.500%	09/01/24	10/01/2012	Paydown		11,686	11,686	12,164	12,130	0	(444)	(444)	0	11,686</					

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3128PQ-RG-8	FHLMC Pool J11387 MBS	4.000%	12/01/24		Paydown	4,991	4,991	5,058	5,053	0	(62)	0	(62)	0	4,991	0	0	0	.17	12/01/2024
3128PQ-RG-8	FHLMC Pool J11387 MBS	4.000%	12/01/24		Paydown	5,349	5,349	5,421	5,416	0	(66)	0	(66)	0	5,349	0	0	0	.36	12/01/2024
3128PQ-RG-8	FHLMC Pool J11387 MBS	4.000%	12/01/24		Paydown	4,931	4,931	4,997	4,991	0	(61)	0	(61)	0	4,931	0	0	0	.49	12/01/2024
3128PQ-RG-8	FHLMC Pool J11387 MBS	4.000%	12/01/24		Paydown	4,190	4,190	4,246	4,242	0	(52)	0	(52)	0	4,190	0	0	0	.56	12/01/2024
3128PQ-RG-8	FHLMC Pool J11387 MBS	4.000%	12/01/24		Paydown	4,478	4,478	4,539	4,534	0	(56)	0	(56)	0	4,478	0	0	0	.75	12/01/2024
3128PQ-RG-8	FHLMC Pool J11387 MBS	4.000%	12/01/24		Paydown	4,481	4,481	4,541	4,537	0	(56)	0	(56)	0	4,481	0	0	0	.90	12/01/2024
3128PQ-RG-8	FHLMC Pool J11387 MBS	4.000%	12/01/24		Paydown	4,377	4,377	4,436	4,431	0	(54)	0	(54)	0	4,377	0	0	0	1.02	12/01/2024
3128PQ-RG-8	FHLMC Pool J11387 MBS	4.000%	12/01/24		Paydown	62,762	62,762	63,606	63,541	0	(779)	0	(779)	0	62,762	0	0	0	1,674	12/01/2024
3128PQ-RG-8	FHLMC Pool J11387 MBS	4.000%	12/01/24		Paydown	4,214	4,214	4,270	4,266	0	(52)	0	(52)	0	4,214	0	0	0	1.26	12/01/2024
3128PQ-RG-8	FHLMC Pool J11387 MBS	4.000%	12/01/24		Paydown	4,188	4,188	4,245	4,240	0	(52)	0	(52)	0	4,188	0	0	0	1.40	12/01/2024
3128PQ-RG-8	FHLMC Pool J11387 MBS	4.000%	12/01/24		Paydown	4,137	4,137	4,192	4,188	0	(51)	0	(51)	0	4,137	0	0	0	1.52	12/01/2024
3128PQ-RG-8	FHLMC Pool J11387 MBS	4.000%	12/01/24		Paydown	52,247	52,247	52,949	52,896	0	(649)	0	(649)	0	52,247	0	0	0	2,090	12/01/2024
3128PR-SS-4	FHLMC Pool J12657 MBS	4.500%	07/01/25		Paydown	2,316	2,316	2,456	2,453	0	(137)	0	(137)	0	2,316	0	0	0	.9	07/01/2025
3128PR-SS-4	FHLMC Pool J12657 MBS	4.500%	07/01/25		Paydown	2,325	2,325	2,466	2,463	0	(137)	0	(137)	0	2,325	0	0	0	.17	07/01/2025
3128PR-SS-4	FHLMC Pool J12657 MBS	4.500%	07/01/25		Paydown	2,353	2,353	2,496	2,492	0	(139)	0	(139)	0	2,353	0	0	0	.26	07/01/2025
3128PR-SS-4	FHLMC Pool J12657 MBS	4.500%	07/01/25		Paydown	2,345	2,345	2,487	2,484	0	(139)	0	(139)	0	2,345	0	0	0	.35	07/01/2025
3128PR-SS-4	FHLMC Pool J12657 MBS	4.500%	07/01/25		Paydown	2,358	2,358	2,501	2,497	0	(139)	0	(139)	0	2,358	0	0	0	.44	07/01/2025
3128PR-SS-4	FHLMC Pool J12657 MBS	4.500%	07/01/25		Paydown	5,425	5,425	5,754	5,746	0	(321)	0	(321)	0	5,425	0	0	0	1.22	07/01/2025
3128PR-SS-4	FHLMC Pool J12657 MBS	4.500%	07/01/25		Paydown	2,388	2,388	2,533	2,529	0	(141)	0	(141)	0	2,388	0	0	0	.63	07/01/2025
3128PR-SS-4	FHLMC Pool J12657 MBS	4.500%	07/01/25		Paydown	2,398	2,398	2,543	2,540	0	(142)	0	(142)	0	2,398	0	0	0	.72	07/01/2025
3128PR-SS-4	FHLMC Pool J12657 MBS	4.500%	07/01/25		Paydown	41,887	41,887	44,426	44,361	0	(2,475)	0	(2,475)	0	41,887	0	0	0	1,414	07/01/2025
3128PR-SS-4	FHLMC Pool J12657 MBS	4.500%	07/01/25		Paydown	38,637	38,637	40,980	40,920	0	(2,283)	0	(2,283)	0	38,637	0	0	0	1,449	07/01/2025
3128PR-SS-4	FHLMC Pool J12657 MBS	4.500%	07/01/25		Paydown	2,029	2,029	2,152	2,149	0	(120)	0	(120)	0	2,029	0	0	0	.84	07/01/2025
3128PR-SS-4	FHLMC Pool J12657 MBS	4.500%	07/01/25		Paydown	2,037	2,037	2,160	2,157	0	(120)	0	(120)	0	2,037	0	0	0	.92	07/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS	4.500%	06/01/25		Paydown	5,430	5,430	5,738	5,724	0	(294)	0	(294)	0	5,430	0	0	0	.20	06/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS	4.500%	06/01/25		Paydown	5,189	5,189	5,484	5,470	0	(281)	0	(281)	0	5,189	0	0	0	.39	06/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS	4.500%	06/01/25		Paydown	38,887	38,887	41,092	40,992	0	(2,105)	0	(2,105)	0	38,887	0	0	0	437	06/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS	4.500%	06/01/25		Paydown	5,191	5,191	5,485	5,472	0	(281)	0	(281)	0	5,191	0	0	0	.78	06/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS	4.500%	06/01/25		Paydown	5,347	5,347	5,650	5,636	0	(289)	0	(289)	0	5,347	0	0	0	1.00	06/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS	4.500%	06/01/25		Paydown	5,385	5,385	5,691	5,677	0	(292)	0	(292)	0	5,385	0	0	0	1.21	06/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS	4.500%	06/01/25		Paydown	5,282	5,282	5,581	5,568	0	(286)	0	(286)	0	5,282	0	0	0	1.39	06/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS	4.500%	06/01/25		Paydown	5,307	5,307	5,608	5,594	0	(287)	0	(287)	0	5,307	0	0	0	1.59	06/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS	4.500%	06/01/25		Paydown	5,262	5,262	5,561	5,547	0	(285)	0	(285)	0	5,262	0	0	0	1.78	06/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS	4.500%	06/01/25		Paydown	5,319	5,319	5,621	5,607	0	(288)	0	(288)	0	5,319	0	0	0	2.00	06/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS	4.500%	06/01/25		Paydown	5,373	5,373	5,678	5,664	0	(291)	0	(291)	0	5,373	0	0	0	2.22	06/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS	4.500%	06/01/25		Paydown	5,707	5,707	6,030	6,016	0	(309)	0	(309)	0	5,707	0	0	0	2.57	06/01/2025
3128PT-FL-4	FHLMC Pool J13771 MBS	3.500%	12/01/25		Paydown	7,204	7,204	7,255	7,253	0	(49)	0	(49)	0	7,204	0	0	0	.21	12/01/2025
3128PT-FL-4	FHLMC Pool J13771 MBS	3.500%	12/01/25		Paydown	11,135	11,135	11,213	11,210	0	(75)	0	(75)	0	11,135	0	0	0	.65	12/01/2025
3128PT-FL-4	FHLMC Pool J13771 MBS	3.500%	12/01/25		Paydown	7,298	7,298	7,349	7,347	0	(49)	0	(49)	0	7,298	0	0	0	.64	12/01/2025
3128PT-FL-4	FHLMC Pool J13771 MBS	3.500%	12/01/25		Paydown	7,314	7,314	7,366	7,363	0	(49)	0	(49)	0	7,314	0	0	0	.85	12/01/2025
3128PT-FL-4	FHLMC Pool J13771 MBS	3.500%	12/01/25		Paydown	7,832	7,832	7,887	7,885	0	(53)	0	(53)	0	7,832	0	0	0	1.14	12/01/2025
3128PT-FL-4	FHLMC Pool J13771 MBS	3.500%	12/01/25		Paydown	7,780	7,780	7,835	7,833	0	(52)	0	(52)	0	7,780	0	0	0	1.36	12/01/2025
3128PT-FL-4	FHLMC Pool J13771 MBS	3.500%	12/01/25		Paydown	7,558	7,558	7,611	7,609	0	(51)	0	(51)	0	7,558	0	0	0	1.54	12/01/2025
3128PT-FL-4	FHLMC Pool J13771 MBS	3.500%	12/01/25		Paydown	7,499	7,499	7,552	7,550	0	(51)	0	(51)	0	7,499	0	0	0	1.75	12/01/2025
3128PT-FL-4	FHLMC Pool J13771 MBS	3.500%	12/01/25		Paydown	9,066	9,066	9,130	9,127	0	(61)	0	(61)	0	9,066	0	0	0	2.38	12/01/2025
3128PT-FL-4	FHLMC Pool J13771 MBS	3.500%	12/01/25		Paydown	188,553	188,553	189,879	189,824	0	(1,270)	0	(1,270)	0	188,553	0	0	0	5,500	12/01/2025
3128PT-FL-4	FHLMC Pool J13771 MBS	3.500%	12/01/25		Paydown	11,746	11,746	11,829	11,825	0	(79)	0	(79)	0	11,746	0	0	0	3.77	12/01/2025
3128PT-FL-4	FHLMC Pool J13771 MBS	3.500%	12/01/25		Paydown	6,313	6,313	6,358	6,356	0	(43)	0	(43)	0	6,313	0	0	0	.21	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25		Paydown	6,170	6,170	6,371	6,363	0	(194)	0	(194)	0	6,170	0	0	0	2.21	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25		Paydown	6,365	6,365	6,573	6,565	0	(200)	0	(200)	0	6,365	0	0	0	.42	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25		Paydown	6,399	6,399	6,608	6,599	0	(201)	0	(201)	0	6,399	0	0	0	.64	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25		Paydown	8,195	8,195	8,463	8,452	0	(257)	0	(257)	0	8,195	0	0	0	1.09	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25		Paydown	6,669	6,669	6,887	6,878	0	(209)	0	(209)	0	6,669	0	0	0	1.11	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25		Paydown	84,616	84,616	87,379	87,270	0	(2,654)	0	(2,654)	0	84,616	0	0	0	1,692	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25		Paydown	9,923	9,923	10,247	10,235	0	(311)	0	(311)	0	9,923	0	0	0	2.32	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25		Paydown	8,658	8,658	8,940	8,929	0	(272)	0	(272)	0	8,658	0	0	0	2.31	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25		Paydown	8,410	8,410	8,685	8,674	0	(264)	0	(264)	0	8,410	0	0	0	2.52	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25		Paydown	8,044	8,044	8,306	8,296	0	(252)	0	(252)	0	8,044	0	0	0	2.68	12/01/2025

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25	11/01/2012	Paydown		8,086	8,086	8,350	8,339	0	(254)	(254)	0	8,086	0	0	0	296	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25	12/01/2012	Paydown		8,239	8,239	8,508	8,497	0	(258)	(258)	0	8,239	0	0	0	330	12/01/2025
3128PU-KU-5	FHLMC Pool J14807 MBS	4.500%	03/01/26	01/01/2012	Paydown		1,912	1,912	2,029	2,027	0	(114)	(114)	0	1,912	0	0	0	7	03/01/2026
3128PU-KU-5	FHLMC Pool J14807 MBS	4.500%	03/01/26	02/01/2012	Paydown		2,078	2,078	2,205	2,202	0	(124)	(124)	0	2,078	0	0	0	26	03/01/2026
3128PU-KU-5	FHLMC Pool J14807 MBS	4.500%	03/01/26	03/01/2012	Paydown		2,014	2,014	2,137	2,135	0	(121)	(121)	0	2,014	0	0	0	13	03/01/2026
3128PU-KU-5	FHLMC Pool J14807 MBS	4.500%	03/01/26	04/01/2012	Paydown		34,718	34,718	36,839	36,795	0	(2,077)	(2,077)	0	34,718	0	0	0	521	03/01/2026
3128PU-KU-5	FHLMC Pool J14807 MBS	4.500%	03/01/26	05/01/2012	Paydown		1,885	1,885	2,000	1,997	0	(113)	(113)	0	1,885	0	0	0	35	03/01/2026
3128PU-KU-5	FHLMC Pool J14807 MBS	4.500%	03/01/26	06/01/2012	Paydown		48,439	48,439	51,398	51,337	0	(2,898)	(2,898)	0	48,439	0	0	0	1,090	03/01/2026
3128PU-KU-5	FHLMC Pool J14807 MBS	4.500%	03/01/26	07/01/2012	Paydown		2,009	2,009	2,132	2,129	0	(120)	(120)	0	2,009	0	0	0	53	03/01/2026
3128PU-KU-5	FHLMC Pool J14807 MBS	4.500%	03/01/26	08/01/2012	Paydown		1,676	1,676	1,778	1,776	0	(100)	(100)	0	1,676	0	0	0	50	03/01/2026
3128PU-KU-5	FHLMC Pool J14807 MBS	4.500%	03/01/26	09/01/2012	Paydown		84,945	84,945	90,134	90,027	0	(5,082)	(5,082)	0	84,945	0	0	0	2,867	03/01/2026
3128PU-KU-5	FHLMC Pool J14807 MBS	4.500%	03/01/26	10/01/2012	Paydown		1,358	1,358	1,441	1,439	0	(81)	(81)	0	1,358	0	0	0	51	03/01/2026
3128PU-KU-5	FHLMC Pool J14807 MBS	4.500%	03/01/26	11/01/2012	Paydown		1,361	1,361	1,444	1,442	0	(81)	(81)	0	1,361	0	0	0	56	03/01/2026
3128PU-KU-5	FHLMC Pool J14807 MBS	4.500%	03/01/26	12/01/2012	Paydown		1,452	1,452	1,541	1,539	0	(87)	(87)	0	1,452	0	0	0	65	03/01/2026
3128PU-T6-9	FHLMC Pool J15073 MBS	4.000%	04/01/26	01/01/2012	Paydown		14,511	14,511	14,969	14,956	0	(445)	(445)	0	14,511	0	0	0	48	04/01/2026
3128PU-T6-9	FHLMC Pool J15073 MBS	4.000%	04/01/26	02/01/2012	Paydown		13,112	13,112	13,526	13,514	0	(402)	(402)	0	13,112	0	0	0	87	04/01/2026
3128PU-T6-9	FHLMC Pool J15073 MBS	4.000%	04/01/26	03/01/2012	Paydown		12,857	12,857	13,263	13,251	0	(395)	(395)	0	12,857	0	0	0	129	04/01/2026
3128PU-T6-9	FHLMC Pool J15073 MBS	4.000%	04/01/26	04/01/2012	Paydown		57,213	57,213	59,019	58,969	0	(1,756)	(1,756)	0	57,213	0	0	0	763	04/01/2026
3128PU-T6-9	FHLMC Pool J15073 MBS	4.000%	04/01/26	05/01/2012	Paydown		14,161	14,161	14,608	14,596	0	(435)	(435)	0	14,161	0	0	0	236	04/01/2026
3128PU-T6-9	FHLMC Pool J15073 MBS	4.000%	04/01/26	06/01/2012	Paydown		45,881	45,881	47,329	47,289	0	(1,408)	(1,408)	0	45,881	0	0	0	918	04/01/2026
3128PU-T6-9	FHLMC Pool J15073 MBS	4.000%	04/01/26	07/01/2012	Paydown		124,223	124,223	128,144	128,036	0	(3,813)	(3,813)	0	124,223	0	0	0	2,899	04/01/2026
3128PU-T6-9	FHLMC Pool J15073 MBS	4.000%	04/01/26	08/01/2012	Paydown		48,532	48,532	50,064	50,022	0	(1,490)	(1,490)	0	48,532	0	0	0	1,294	04/01/2026
3128PU-T6-9	FHLMC Pool J15073 MBS	4.000%	04/01/26	09/01/2012	Paydown		53,123	53,123	54,800	54,754	0	(1,630)	(1,630)	0	53,123	0	0	0	1,594	04/01/2026
3128PU-T6-9	FHLMC Pool J15073 MBS	4.000%	04/01/26	10/01/2012	Paydown		13,815	13,815	14,251	14,239	0	(424)	(424)	0	13,815	0	0	0	461	04/01/2026
3128PU-T6-9	FHLMC Pool J15073 MBS	4.000%	04/01/26	11/01/2012	Paydown		75,262	75,262	77,637	77,572	0	(2,310)	(2,310)	0	75,262	0	0	0	2,760	04/01/2026
3128PU-T6-9	FHLMC Pool J15073 MBS	4.000%	04/01/26	12/01/2012	Paydown		17,407	17,407	17,956	17,941	0	(534)	(534)	0	17,407	0	0	0	696	04/01/2026
3128PU-T6-9	FHLMC Pool J15073 MBS	4.000%	04/01/26	12/06/2012	Goldman Sachs		2,256,176	2,107,960	2,174,492	2,172,658	0	(609)	(609)	0	2,172,049	0	84,126	84,126	88,300	04/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS	4.500%	04/01/26	01/01/2012	Paydown		29,342	29,342	31,126	31,085	0	(1,743)	(1,743)	0	29,342	0	0	0	110	04/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS	4.500%	04/01/26	02/01/2012	Paydown		3,957	3,957	4,197	4,192	0	(235)	(235)	0	3,957	0	0	0	30	04/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS	4.500%	04/01/26	03/01/2012	Paydown		176,749	176,749	187,492	187,246	0	(10,497)	(10,497)	0	176,749	0	0	0	1,988	04/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS	4.500%	04/01/26	04/01/2012	Paydown		6,468	6,468	6,861	6,852	0	(384)	(384)	0	6,468	0	0	0	97	04/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS	4.500%	04/01/26	05/01/2012	Paydown		2,913	2,913	3,090	3,086	0	(173)	(173)	0	2,913	0	0	0	55	04/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS	4.500%	04/01/26	06/01/2012	Paydown		79,814	79,814	84,665	84,554	0	(4,740)	(4,740)	0	79,814	0	0	0	1,796	04/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS	4.500%	04/01/26	07/01/2012	Paydown		2,569	2,569	2,725	2,722	0	(153)	(153)	0	2,569	0	0	0	67	04/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS	4.500%	04/01/26	08/01/2012	Paydown		2,637	2,637	2,797	2,793	0	(157)	(157)	0	2,637	0	0	0	79	04/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS	4.500%	04/01/26	09/01/2012	Paydown		2,647	2,647	2,808	2,804	0	(157)	(157)	0	2,647	0	0	0	89	04/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS	4.500%	04/01/26	10/01/2012	Paydown		2,659	2,659	2,821	2,817	0	(158)	(158)	0	2,659	0	0	0	100	04/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS	4.500%	04/01/26	11/01/2012	Paydown		2,670	2,670	2,832	2,828	0	(159)	(159)	0	2,670	0	0	0	110	04/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS	4.500%	04/01/26	12/01/2012	Paydown		2,131	2,131	2,261	2,258	0	(127)	(127)	0	2,131	0	0	0	96	04/01/2026
3128PU-HF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	01/01/2012	Paydown		23,020	23,020	24,470	24,435	0	(1,414)	(1,414)	0	23,020	0	0	0	86	04/01/2026
3128PU-HF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	02/01/2012	Paydown		4,481	4,481	4,763	4,757	0	(275)	(275)	0	4,481	0	0	0	34	04/01/2026
3128PU-HF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	03/01/2012	Paydown		4,343	4,343	4,616	4,609	0	(267)	(267)	0	4,343	0	0	0	49	04/01/2026
3128PU-HF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	04/01/2012	Paydown		4,405	4,405	4,682	4,675	0	(271)	(271)	0	4,405	0	0	0	66	04/01/2026
3128PU-HF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	05/01/2012	Paydown		4,170	4,170	4,433	4,427	0	(256)	(256)	0	4,170	0	0	0	78	04/01/2026
3128PU-HF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	06/01/2012	Paydown		4,474	4,474	4,755	4,749	0	(275)	(275)	0	4,474	0	0	0	101	04/01/2026
3128PU-HF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	07/01/2012	Paydown		4,291	4,291	4,562	4,555	0	(264)	(264)	0	4,291	0	0	0	113	04/01/2026
3128PU-HF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	08/01/2012	Paydown		4,268	4,268	4,537	4,531	0	(262)	(262)	0	4,268	0	0	0	128	04/01/2026
3128PU-HF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	09/01/2012	Paydown		4,280	4,280	4,549	4,543	0	(263)	(263)	0	4,280	0	0	0	144	04/01/2026
3128PU-HF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	10/01/2012	Paydown		4,406	4,406	4,683	4,676	0	(271)	(271)	0	4,406	0	0	0	165	04/01/2026
3128PU-HF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	11/01/2012	Paydown		4,389	4,389	4,665	4,659	0	(270)	(270)	0	4,389	0	0	0	181	04/01/2026
3128PU-HF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	12/01/2012	Paydown		112,168	112,168	119,231	119,059	0	(6,891)	(6,891)	0	112,168	0	0	0	5,048	04/01/2026
3128PU-YZ-9	FHLMC Pool J15228 MBS	4.500%	04/01/26	01/01/2012	Paydown		3,417	3,417	3,636	3,631	0	(214)	(214)	0	3,417	0	0	0	13	04/01/2026
3128PU-YZ-9	FHLMC Pool J15228 MBS	4.500%	04/01/26	02/01/2012	Paydown		3,448	3,448	3,669	3,664	0	(216)	(216)	0	3,448	0	0	0	26	04/01/2026
3128PU-YZ-9	FHLMC Pool J15228 MBS	4.500%	04/01/26	03/01/2012	Paydown		13,675	13,675	14,552	14,530	0	(855)	(855)	0	13,675	0	0	0	154	04/01/2026
3128PU-YZ-9	FHLMC Pool J15228 MBS	4.500%	04/01/26	04/01/2012	Paydown		3,710	3,710	3,947	3,942	0	(232)	(232)	0	3,710	0	0	0	56	04/01/2026
3128PU-YZ-9	FHLMC Pool J15228 MBS	4.500%	04/01/26	05/01/2012	Paydown		3,988	3,988	4,243	4,237	0	(249)	(249)	0	3,988	0	0	0	75	04/01/2026
3128PU-YZ-9	FHLMC Pool J15228 MBS																			

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3128PU-YZ-9	FHLMC Pool J15228 MBS	4.500%	04/01/26			4,078	4,078	4,340	4,333	0	(255)	0	(255)	0	4,078	0	0	0	122	04/01/2026
3128PU-YZ-9	FHLMC Pool J15228 MBS	4.500%	04/01/26			47,059	47,059	50,073	50,000	0	(2,941)	0	(2,941)	0	47,059	0	0	0	1,588	04/01/2026
3128PU-YZ-9	FHLMC Pool J15228 MBS	4.500%	04/01/26			14,927	14,927	15,883	15,860	0	(933)	0	(933)	0	14,927	0	0	0	560	04/01/2026
3128PU-YZ-9	FHLMC Pool J15228 MBS	4.500%	04/01/26			10,882	10,882	11,580	11,563	0	(680)	0	(680)	0	10,882	0	0	0	449	04/01/2026
3128PU-YZ-9	FHLMC Pool J15228 MBS	4.500%	04/01/26			3,770	3,770	4,012	4,006	0	(236)	0	(236)	0	3,770	0	0	0	170	04/01/2026
3128PV-6T-2	FHLMC Pool J16282 MBS	3.500%	08/01/26			2,134	2,134	2,247	2,245	0	(111)	0	(111)	0	2,134	0	0	0	6	08/01/2026
3128PV-6T-2	FHLMC Pool J16282 MBS	3.500%	08/01/26			2,357	2,357	2,481	2,479	0	(122)	0	(122)	0	2,357	0	0	0	14	08/01/2026
3128PV-6T-2	FHLMC Pool J16282 MBS	3.500%	08/01/26			2,229	2,229	2,346	2,344	0	(115)	0	(115)	0	2,229	0	0	0	20	08/01/2026
3128PV-6T-2	FHLMC Pool J16282 MBS	3.500%	08/01/26			2,317	2,317	2,439	2,437	0	(120)	0	(120)	0	2,317	0	0	0	27	08/01/2026
3128PV-6T-2	FHLMC Pool J16282 MBS	3.500%	08/01/26			2,178	2,178	2,293	2,291	0	(113)	0	(113)	0	2,178	0	0	0	32	08/01/2026
3128PV-6T-2	FHLMC Pool J16282 MBS	3.500%	08/01/26			2,196	2,196	2,312	2,310	0	(114)	0	(114)	0	2,196	0	0	0	38	08/01/2026
3128PV-6T-2	FHLMC Pool J16282 MBS	3.500%	08/01/26			2,200	2,200	2,316	2,314	0	(114)	0	(114)	0	2,200	0	0	0	45	08/01/2026
3128PV-6T-2	FHLMC Pool J16282 MBS	3.500%	08/01/26			2,230	2,230	2,348	2,346	0	(116)	0	(116)	0	2,230	0	0	0	52	08/01/2026
3128PV-6T-2	FHLMC Pool J16282 MBS	3.500%	08/01/26			2,253	2,253	2,372	2,369	0	(117)	0	(117)	0	2,253	0	0	0	59	08/01/2026
3128PV-6T-2	FHLMC Pool J16282 MBS	3.500%	08/01/26			2,212	2,212	2,329	2,327	0	(115)	0	(115)	0	2,212	0	0	0	65	08/01/2026
3128PV-6T-2	FHLMC Pool J16282 MBS	3.500%	08/01/26			14,205	14,205	14,955	14,941	0	(736)	0	(736)	0	14,205	0	0	0	456	08/01/2026
3128PV-6T-2	FHLMC Pool J16282 MBS	3.500%	08/01/26			31,839	31,839	33,521	33,489	0	(1,650)	0	(1,650)	0	31,839	0	0	0	1,114	08/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26			2,946	2,946	3,133	3,128	0	(182)	0	(182)	0	2,946	0	0	0	11	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26			2,748	2,748	2,922	2,918	0	(170)	0	(170)	0	2,748	0	0	0	21	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26			2,777	2,777	2,953	2,949	0	(172)	0	(172)	0	2,777	0	0	0	31	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26			13,630	13,630	14,495	14,474	0	(844)	0	(844)	0	13,630	0	0	0	204	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26			2,923	2,923	3,109	3,104	0	(181)	0	(181)	0	2,923	0	0	0	55	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26			3,044	3,044	3,237	3,232	0	(188)	0	(188)	0	3,044	0	0	0	68	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26			3,056	3,056	3,250	3,245	0	(189)	0	(189)	0	3,056	0	0	0	80	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26			2,881	2,881	3,063	3,059	0	(178)	0	(178)	0	2,881	0	0	0	86	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26			2,892	2,892	3,076	3,071	0	(179)	0	(179)	0	2,892	0	0	0	98	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26			3,104	3,104	3,301	3,296	0	(192)	0	(192)	0	3,104	0	0	0	116	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26			2,972	2,972	3,160	3,156	0	(184)	0	(184)	0	2,972	0	0	0	123	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26			3,171	3,171	3,372	3,367	0	(196)	0	(196)	0	3,171	0	0	0	143	05/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26			3,864	3,864	4,107	4,102	0	(238)	0	(238)	0	3,864	0	0	0	14	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26			4,559	4,559	4,847	4,840	0	(281)	0	(281)	0	4,559	0	0	0	34	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26			3,895	3,895	4,141	4,136	0	(240)	0	(240)	0	3,895	0	0	0	44	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26			3,879	3,879	4,124	4,119	0	(239)	0	(239)	0	3,879	0	0	0	58	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26			3,810	3,810	4,051	4,045	0	(235)	0	(235)	0	3,810	0	0	0	71	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26			3,838	3,838	4,080	4,075	0	(237)	0	(237)	0	3,838	0	0	0	86	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26			4,559	4,559	4,847	4,840	0	(281)	0	(281)	0	4,559	0	0	0	120	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26			3,749	3,749	3,986	3,981	0	(231)	0	(231)	0	3,749	0	0	0	112	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26			4,195	4,195	4,460	4,454	0	(259)	0	(259)	0	4,195	0	0	0	142	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26			3,974	3,974	4,225	4,220	0	(245)	0	(245)	0	3,974	0	0	0	149	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26			3,989	3,989	4,240	4,235	0	(246)	0	(246)	0	3,989	0	0	0	165	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26			4,003	4,003	4,256	4,250	0	(247)	0	(247)	0	4,003	0	0	0	180	06/01/2026
3128PV-HG-8	FHLMC Pool J15631 MBS	4.000%	06/01/26			8,250	8,250	8,620	8,614	0	(364)	0	(364)	0	8,250	0	0	0	28	06/01/2026
3128PV-HG-8	FHLMC Pool J15631 MBS	4.000%	06/01/26			865	865	904	903	0	(38)	0	(38)	0	865	0	0	0	6	06/01/2026
3128PV-HG-8	FHLMC Pool J15631 MBS	4.000%	06/01/26			774	774	808	808	0	(34)	0	(34)	0	774	0	0	0	8	06/01/2026
3128PV-HG-8	FHLMC Pool J15631 MBS	4.000%	06/01/26			18,038	18,038	18,847	18,833	0	(795)	0	(795)	0	18,038	0	0	0	241	06/01/2026
3128PV-HG-8	FHLMC Pool J15631 MBS	4.000%	06/01/26			6,838	6,838	7,144	7,139	0	(301)	0	(301)	0	6,838	0	0	0	114	06/01/2026
3128PV-HG-8	FHLMC Pool J15631 MBS	4.000%	06/01/26			11,315	11,315	11,822	11,813	0	(499)	0	(499)	0	11,315	0	0	0	226	06/01/2026
3128PV-HG-8	FHLMC Pool J15631 MBS	4.000%	06/01/26			653	653	682	682	0	(29)	0	(29)	0	653	0	0	0	15	06/01/2026
3128PV-HG-8	FHLMC Pool J15631 MBS	4.000%	06/01/26			8,159	8,159	8,525	8,519	0	(360)	0	(360)	0	8,159	0	0	0	218	06/01/2026
3128PV-HG-8	FHLMC Pool J15631 MBS	4.000%	06/01/26			16,445	16,445	17,182	17,170	0	(725)	0	(725)	0	16,445	0	0	0	493	06/01/2026
3128PV-HG-8	FHLMC Pool J15631 MBS	4.000%	06/01/26			545	545	569	569	0	(24)	0	(24)	0	545	0	0	0	18	06/01/2026
3128PV-HG-8	FHLMC Pool J15631 MBS	4.000%	06/01/26			537	537	561	560	0	(24)	0	(24)	0	537	0	0	0	20	06/01/2026
3128PV-HG-8	FHLMC Pool J15631 MBS	4.000%	06/01/26			12,958	12,958	13,539	13,529	0	(571)	0	(571)	0	12,958	0	0	0	518	06/01/2026
3128PV-LY-4	FHLMC Pool J15743 MBS	3.500%	07/01/26			971	971	1,023	1,022	0	(51)	0	(51)	0	971	0	0	0	3	07/01/2026
3128PV-LY-4	FHLMC Pool J15743 MBS	3.500%	07/01/26			4,118	4,118	4,340	4,336	0	(218)	0	(218)	0	4,118	0	0	0	24	07/01/2026
3128PV-LY-4	FHLMC Pool J15743 MBS	3.500%	07/01/26			9,779	9,779	10,306	10,296	0	(517)	0	(517)	0	9,779	0	0	0	86	07/01/2026
3128PV-LY-4	FHLMC Pool J15743 MBS	3.500%	07/01/26			1,121	1,121	1,181	1,180	0	(59)	0	(59)	0	1,121	0	0	0	13	07/01/2026
3128PV-LY-4	FHLMC Pool J15743 MBS	3.500%	07/01/26			1,616	1,616	1,704	1,702	0	(85)	0	(85)	0	1,616	0	0	0	24	07/01/2026

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3128PV-LY-4	FHLMC Pool J15743 MBS 3.500% 07/01/26		06/01/2012	Paydown		1,515	1,515	1,597	1,595	0	(80)	0	(80)	0	1,515	0	0	0	0	07/01/2026
3128PV-LY-4	FHLMC Pool J15743 MBS 3.500% 07/01/26		07/01/2012	Paydown		3,118	3,118	3,286	3,283	0	(165)	0	(165)	0	3,118	0	0	0	0	07/01/2026
3128PV-LY-4	FHLMC Pool J15743 MBS 3.500% 07/01/26		08/01/2012	Paydown		12,012	12,012	12,659	12,647	0	(635)	0	(635)	0	12,012	0	0	0	0	07/01/2026
3128PV-LY-4	FHLMC Pool J15743 MBS 3.500% 07/01/26		09/01/2012	Paydown		1,871	1,871	1,972	1,970	0	(99)	0	(99)	0	1,871	0	0	0	0	07/01/2026
3128PV-LY-4	FHLMC Pool J15743 MBS 3.500% 07/01/26		10/01/2012	Paydown		999	999	1,053	1,052	0	(53)	0	(53)	0	999	0	0	0	0	07/01/2026
3128PV-LY-4	FHLMC Pool J15743 MBS 3.500% 07/01/26		11/01/2012	Paydown		915	915	964	963	0	(48)	0	(48)	0	915	0	0	0	0	07/01/2026
3128PV-LY-4	FHLMC Pool J15743 MBS 3.500% 07/01/26		12/01/2012	Paydown		1,301	1,301	1,371	1,370	0	(69)	0	(69)	0	1,301	0	0	0	0	07/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS 4.000% 06/01/26		01/01/2012	Paydown		6,620	6,620	6,960	6,953	0	(333)	0	(333)	0	6,620	0	0	0	0	06/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS 4.000% 06/01/26		02/01/2012	Paydown		9,797	9,797	10,299	10,289	0	(492)	0	(492)	0	9,797	0	0	0	0	06/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS 4.000% 06/01/26		03/01/2012	Paydown		6,161	6,161	6,478	6,471	0	(310)	0	(310)	0	6,161	0	0	0	0	06/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS 4.000% 06/01/26		04/01/2012	Paydown		13,396	13,396	14,083	14,069	0	(673)	0	(673)	0	13,396	0	0	0	0	06/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS 4.000% 06/01/26		05/01/2012	Paydown		17,261	17,261	18,147	18,129	0	(868)	0	(868)	0	17,261	0	0	0	0	06/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS 4.000% 06/01/26		06/01/2012	Paydown		6,039	6,039	6,349	6,343	0	(304)	0	(304)	0	6,039	0	0	0	0	06/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS 4.000% 06/01/26		07/01/2012	Paydown		15,436	15,436	16,229	16,212	0	(776)	0	(776)	0	15,436	0	0	0	0	06/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS 4.000% 06/01/26		08/01/2012	Paydown		10,233	10,233	10,759	10,748	0	(514)	0	(514)	0	10,233	0	0	0	0	06/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS 4.000% 06/01/26		09/01/2012	Paydown		6,925	6,925	7,281	7,273	0	(348)	0	(348)	0	6,925	0	0	0	0	06/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS 4.000% 06/01/26		10/01/2012	Paydown		19,652	19,652	20,660	20,640	0	(988)	0	(988)	0	19,652	0	0	0	0	06/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS 4.000% 06/01/26		11/01/2012	Paydown		23,849	23,849	25,074	25,048	0	(1,199)	0	(1,199)	0	23,849	0	0	0	0	06/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS 4.000% 06/01/26		12/01/2012	Paydown		11,151	11,151	11,723	11,711	0	(561)	0	(561)	0	11,151	0	0	0	0	06/01/2026
3128PWI-E2-0	FHLMC Pool J16453 MBS 3.500% 09/01/26		01/01/2012	Paydown		358	358	378	378	0	(19)	0	(19)	0	358	0	0	0	0	09/01/2026
3128PWI-E2-0	FHLMC Pool J16453 MBS 3.500% 09/01/26		02/01/2012	Paydown		345	345	364	364	0	(19)	0	(19)	0	345	0	0	0	0	09/01/2026
3128PWI-E2-0	FHLMC Pool J16453 MBS 3.500% 09/01/26		03/01/2012	Paydown		371	371	392	391	0	(20)	0	(20)	0	371	0	0	0	0	09/01/2026
3128PWI-E2-0	FHLMC Pool J16453 MBS 3.500% 09/01/26		04/01/2012	Paydown		393	393	414	414	0	(21)	0	(21)	0	393	0	0	0	0	09/01/2026
3128PWI-E2-0	FHLMC Pool J16453 MBS 3.500% 09/01/26		05/01/2012	Paydown		341	341	359	359	0	(18)	0	(18)	0	341	0	0	0	0	09/01/2026
3128PWI-E2-0	FHLMC Pool J16453 MBS 3.500% 09/01/26		06/01/2012	Paydown		340	340	359	359	0	(18)	0	(18)	0	340	0	0	0	0	09/01/2026
3128PWI-E2-0	FHLMC Pool J16453 MBS 3.500% 09/01/26		07/01/2012	Paydown		341	341	360	360	0	(18)	0	(18)	0	341	0	0	0	0	09/01/2026
3128PWI-E2-0	FHLMC Pool J16453 MBS 3.500% 09/01/26		08/01/2012	Paydown		342	342	361	361	0	(18)	0	(18)	0	342	0	0	0	0	09/01/2026
3128PWI-E2-0	FHLMC Pool J16453 MBS 3.500% 09/01/26		09/01/2012	Paydown		7,739	7,739	8,161	8,154	0	(415)	0	(415)	0	7,739	0	0	0	0	09/01/2026
3128PWI-E2-0	FHLMC Pool J16453 MBS 3.500% 09/01/26		10/01/2012	Paydown		307	307	324	324	0	(16)	0	(16)	0	307	0	0	0	0	09/01/2026
3128PWI-E2-0	FHLMC Pool J16453 MBS 3.500% 09/01/26		11/01/2012	Paydown		308	308	325	325	0	(17)	0	(17)	0	308	0	0	0	0	09/01/2026
3128PWI-E2-0	FHLMC Pool J16453 MBS 3.500% 09/01/26		12/01/2012	Paydown		332	332	350	350	0	(18)	0	(18)	0	332	0	0	0	0	09/01/2026
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		01/01/2012	Paydown		14	14	14	14	0	0	0	0	0	14	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		02/01/2012	Paydown		12	12	12	11	0	0	0	0	0	12	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		03/01/2012	Paydown		11	11	12	11	0	0	0	0	0	11	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		04/01/2012	Paydown		14	14	14	14	0	0	0	0	0	14	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		05/01/2012	Paydown		13	13	13	13	0	0	0	0	0	13	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		06/01/2012	Paydown		11	11	11	11	0	0	0	0	0	11	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		07/01/2012	Paydown		12	12	12	12	0	0	0	0	0	12	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		08/01/2012	Paydown		11	11	11	11	0	0	0	0	0	11	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		09/01/2012	Paydown		10	10	10	10	0	0	0	0	0	10	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		10/01/2012	Paydown		11	11	11	11	0	0	0	0	0	11	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		11/01/2012	Paydown		10	10	10	10	0	0	0	0	0	10	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		12/01/2012	Paydown		13	13	13	13	0	0	0	0	0	13	0	0	0	0	07/01/2021
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		01/01/2012	Paydown		26,997	26,997	27,495	27,487	0	(490)	0	(490)	0	26,997	0	0	0	0	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		02/01/2012	Paydown		35,633	35,633	36,290	36,280	0	(647)	0	(647)	0	35,633	0	0	0	0	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		03/01/2012	Paydown		63,408	63,408	64,577	64,559	0	(1,151)	0	(1,151)	0	63,408	0	0	0	0	08/01/2038

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
312926-2P-2	FHLMC Pool A80782 MBS	6.000%	08/01/38	04/01/2012	Paydown	37,735	37,735	38,430	38,420	0	(685)	0	(685)	0	37,735	0	0	0	755	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS	6.000%	08/01/38	05/01/2012	Paydown	1,311	1,311	1,335	1,335	0	(24)	0	(24)	0	1,311	0	0	0	33	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS	6.000%	08/01/38	06/01/2012	Paydown	78,554	78,554	80,002	79,980	0	(1,426)	0	(1,426)	0	78,554	0	0	0	2,357	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS	6.000%	08/01/38	07/01/2012	Paydown	61,496	61,496	62,629	62,612	0	(1,116)	0	(1,116)	0	61,496	0	0	0	2,152	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS	6.000%	08/01/38	08/01/2012	Paydown	26,564	26,564	27,054	27,046	0	(482)	0	(482)	0	26,564	0	0	0	1,063	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS	6.000%	08/01/38	09/01/2012	Paydown	109,272	109,272	111,287	111,256	0	(1,984)	0	(1,984)	0	109,272	0	0	0	4,917	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS	6.000%	08/01/38	10/01/2012	Paydown	828	828	843	843	0	(15)	0	(15)	0	828	0	0	0	41	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS	6.000%	08/01/38	11/01/2012	Paydown	37,379	37,379	38,068	38,058	0	(679)	0	(679)	0	37,379	0	0	0	2,056	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS	6.000%	08/01/38	12/01/2012	Paydown	788	788	803	802	0	(14)	0	(14)	0	788	0	0	0	47	08/01/2038
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	01/01/2012	Paydown	4,533	4,533	4,441	4,457	0	76	0	76	0	4,533	0	0	0	19	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	02/01/2012	Paydown	9,202	9,202	9,016	9,047	0	155	0	155	0	9,202	0	0	0	77	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	03/01/2012	Paydown	4,342	4,342	4,254	4,269	0	73	0	73	0	4,342	0	0	0	54	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	04/01/2012	Paydown	3,134	3,134	3,070	3,081	0	53	0	53	0	3,134	0	0	0	52	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	05/01/2012	Paydown	9,649	9,649	9,454	9,487	0	162	0	162	0	9,649	0	0	0	201	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	06/01/2012	Paydown	9,630	9,630	9,435	9,468	0	162	0	162	0	9,630	0	0	0	241	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	07/01/2012	Paydown	22,930	22,930	22,468	22,545	0	385	0	385	0	22,930	0	0	0	669	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	08/01/2012	Paydown	2,296	2,296	2,250	2,257	0	39	0	39	0	2,296	0	0	0	77	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	09/01/2012	Paydown	2,300	2,300	2,253	2,261	0	39	0	39	0	2,300	0	0	0	86	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	10/01/2012	Paydown	2,289	2,289	2,242	2,250	0	38	0	38	0	2,289	0	0	0	95	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	11/01/2012	Paydown	2,300	2,300	2,254	2,261	0	39	0	39	0	2,300	0	0	0	105	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	12/01/2012	Paydown	2,429	2,429	2,380	2,389	0	41	0	41	0	2,429	0	0	0	121	05/01/2020
313374-SU-5	FHLMC Note Call 0.350%	08/10/12	01/28/2012	Call	100,000	656,250	656,250	656,250	656,250	0	0	0	0	0	656,250	0	0	0	574	08/10/2012
313374-SU-5	FHLMC Note Call 0.350%	08/10/12	04/28/2012	Call	100,000	93,750	93,750	93,750	93,750	0	0	0	0	0	93,750	0	0	0	164	08/10/2012
313462-66-3	FHLMC Note Call 0.550%	09/23/13	03/23/2012	Call	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	0	0	2,750	09/23/2013
313462-L3-4	FHLMC Note Call 0.550%	09/30/13	03/30/2012	Call	100,000	1,250,000	1,250,000	1,249,875	1,249,891	0	109	0	109	0	1,250,000	0	0	0	3,438	09/30/2013
313462-V4-1	FHLMC Note Call 0.600%	10/25/13	02/25/2012	Call	100,000	1,500,000	1,500,000	1,500,000	1,500,000	0	0	0	0	0	1,500,000	0	0	0	3,000	10/25/2013
313500-66-2	FNMA Note Call 0.750%	12/06/13	06/06/2012	Call	100,000	1,500,000	1,500,000	1,500,000	1,500,000	0	0	0	0	0	1,500,000	0	0	0	5,625	12/06/2013
3137EA-CC-1	FHLMC Note Non Call 1.750%	06/15/12	06/15/2012	Maturity	1,500,000	1,500,000	1,500,000	1,508,286	1,508,457	0	(8,457)	0	(8,457)	0	1,500,000	0	0	0	13,125	06/15/2012
31385X-EW-3	FNMA Pool 555549 MBS	5.000%	06/01/18	01/01/2012	Paydown	63,808	63,808	66,121	65,656	0	(1,848)	0	(1,848)	0	63,808	0	0	0	266	06/01/2018
31385X-EW-3	FNMA Pool 555549 MBS	5.000%	06/01/18	02/01/2012	Paydown	57,276	57,276	59,352	58,935	0	(1,659)	0	(1,659)	0	57,276	0	0	0	477	06/01/2018
31385X-EW-3	FNMA Pool 555549 MBS	5.000%	06/01/18	03/01/2012	Paydown	58,224	58,224	60,335	59,911	0	(1,687)	0	(1,687)	0	58,224	0	0	0	728	06/01/2018
31385X-EW-3	FNMA Pool 555549 MBS	5.000%	06/01/18	04/01/2012	Paydown	64,838	64,838	67,188	66,716	0	(1,878)	0	(1,878)	0	64,838	0	0	0	1,081	06/01/2018
31385X-EW-3	FNMA Pool 555549 MBS	5.000%	06/01/18	05/01/2012	Paydown	53,388	53,388	55,323	54,934	0	(1,546)	0	(1,546)	0	53,388	0	0	0	1,112	06/01/2018
31385X-EW-3	FNMA Pool 555549 MBS	5.000%	06/01/18	06/01/2012	Paydown	55,433	55,433	57,443	57,039	0	(1,606)	0	(1,606)	0	55,433	0	0	0	1,386	06/01/2018
31385X-EW-3	FNMA Pool 555549 MBS	5.000%	06/01/18	07/01/2012	Paydown	47,579	47,579	49,304	48,957	0	(1,378)	0	(1,378)	0	47,579	0	0	0	1,388	06/01/2018
31385X-EW-3	FNMA Pool 555549 MBS	5.000%	06/01/18	08/01/2012	Paydown	51,814	51,814	53,692	53,315	0	(1,501)	0	(1,501)	0	51,814	0	0	0	1,727	06/01/2018
31385X-EW-3	FNMA Pool 555549 MBS	5.000%	06/01/18	09/01/2012	Paydown	52,635	52,635	54,543	54,159	0	(1,525)	0	(1,525)	0	52,635	0	0	0	1,974	06/01/2018
31385X-EW-3	FNMA Pool 555549 MBS	5.000%	06/01/18	10/01/2012	Paydown	44,955	44,955	46,584	46,257	0	(1,302)	0	(1,302)	0	44,955	0	0	0	1,873	06/01/2018
31385X-EW-3	FNMA Pool 555549 MBS	5.000%	06/01/18	11/01/2012	Paydown	54,959	54,959	56,951	56,551	0	(1,592)	0	(1,592)	0	54,959	0	0	0	2,519	06/01/2018
31385X-EW-3	FNMA Pool 555549 MBS	5.000%	06/01/18	12/01/2012	Paydown	46,701	46,701	48,394	48,054	0	(1,353)	0	(1,353)	0	46,701	0	0	0	2,335	06/01/2018
3138A3-5Y-9	FNMA Pool AH2662 MBS	3.500%	01/01/26	01/01/2012	Paydown	3,260	3,260	3,420	3,417	0	(157)	0	(157)	0	3,260	0	0	0	10	01/01/2026
3138A3-5Y-9	FNMA Pool AH2662 MBS	3.500%	01/01/26	02/01/2012	Paydown	20,236	20,236	21,230	21,211	0	(975)	0	(975)	0	20,236	0	0	0	118	01/01/2026
3138A3-5Y-9	FNMA Pool AH2662 MBS	3.500%	01/01/26	03/01/2012	Paydown	3,099	3,099	3,251	3,248	0	(149)	0	(149)	0	3,099	0	0	0	27	01/01/2026
3138A3-5Y-9	FNMA Pool AH2662 MBS	3.500%	01/01/26	04/01/2012	Paydown	3,104	3,104	3,256	3,253	0	(150)	0	(150)	0	3,104	0	0	0	36	01/01/2026
3138A3-5Y-9	FNMA Pool AH2662 MBS	3.500%	01/01/26	05/01/2012	Paydown	31,816	31,816	33,380	33,350	0	(1,533)	0	(1,533)	0	31,816	0	0	0	464	01/01/2026
3138A3-5Y-9	FNMA Pool AH2662 MBS	3.500%	01/01/26	06/01/2012	Paydown	2,971	2,971	3,117	3,114	0	(143)	0	(143)	0	2,971	0	0	0	52	01/01/2026
3138A3-5Y-9	FNMA Pool AH2662 MBS	3.500%	01/01/26	07/01/2012	Paydown	3,080	3,080	3,232	3,229	0	(148)	0	(148)	0	3,080	0	0	0	63	01/01/2026
3138A3-5Y-9	FNMA Pool AH2662 MBS	3.500%	01/01/26	08/01/2012	Paydown	3,073	3,073	3,224	3,221	0	(148)	0	(148)	0	3,073	0	0	0	72	01/01/2026
3138A3-5Y-9	FNMA Pool AH2662 MBS	3.500%	01/01/26	09/01/2012	Paydown	3,232	3,232	3,390	3,387	0	(156)	0	(156)	0	3,232	0	0	0	85	01/01/2026
3138A3-5Y-9	FNMA Pool AH2662 MBS	3.500%	01/01/26	10/01/2012	Paydown	24,547	24,547	25,754	25,730	0	(1,183)	0	(1,183)	0	24,547	0	0	0	716	01/01/2026
3138A3-5Y-9	FNMA Pool AH2662 MBS	3.500%	01/01/26	11/01/2012	Paydown	2,930	2,930	3,074	3,071	0	(141)	0	(141)	0	2,930	0	0	0	94	01/01/2026
3138A3-5Y-9	FNMA Pool AH2662 MBS	3.500%	01/01/26	12/01/2012	Paydown	35,331	35,331	37,067	37,034	0	(1,703)	0	(1,703)	0	35,331	0	0	0	1,237	01/01/2026
3138AB-20-1	FNMA Pool AH9782 MBS	4.000%	03/01/26	01/01/2012	Paydown	949	949	992	991	0	(42)	0	(42)	0	949	0	0	0	3	03/01/2026
3138AB-20-1	FNMA Pool AH9782 MBS	4.000%	03/01/26	02/01/2012	Paydown	895	895	936	935	0	(40)	0	(40)	0	895	0	0	0	6	03/01/2026
3138AB-20-1	FNMA Pool AH9782 MBS	4.000%	03/01/26	03/01/2012	Paydown	898	898	939	938	0	(40)	0	(40)	0	898	0	0	0	9	03/01/2026
3138AB-20-1	FNMA Pool AH9782 MBS	4.000%																		

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3138AB-20-1	FNMA Pool AH9782 MBS	4.000%	03/01/26					876	876	915	915	0	(39)	0	876	0	0	0	0	03/01/2026
3138AB-20-1	FNMA Pool AH9782 MBS	4.000%	03/01/26					859	859	898	897	0	(38)	0	859	0	0	0	0	03/01/2026
3138AB-20-1	FNMA Pool AH9782 MBS	4.000%	03/01/26					893	893	934	933	0	(40)	0	893	0	0	0	0	03/01/2026
3138AB-20-1	FNMA Pool AH9782 MBS	4.000%	03/01/26					861	861	900	899	0	(38)	0	861	0	0	0	0	03/01/2026
3138AB-20-1	FNMA Pool AH9782 MBS	4.000%	03/01/26					914	914	955	954	0	(41)	0	914	0	0	0	0	03/01/2026
3138AB-20-1	FNMA Pool AH9782 MBS	4.000%	03/01/26					1,018	1,018	1,065	1,064	0	(45)	0	1,018	0	0	0	0	03/01/2026
3138AB-TT-6	FNMA Pool AH9561 MBS	3.500%	08/01/26					4,217	4,217	4,437	4,434	0	(216)	0	4,217	0	0	0	0	08/01/2026
3138AB-TT-6	FNMA Pool AH9561 MBS	3.500%	08/01/26					3,909	3,909	4,113	4,109	0	(201)	0	3,909	0	0	0	0	08/01/2026
3138AB-TT-6	FNMA Pool AH9561 MBS	3.500%	08/01/26					3,886	3,886	4,089	4,086	0	(199)	0	3,886	0	0	0	0	08/01/2026
3138AB-TT-6	FNMA Pool AH9561 MBS	3.500%	08/01/26					3,889	3,889	4,092	4,089	0	(200)	0	3,889	0	0	0	0	08/01/2026
3138AB-TT-6	FNMA Pool AH9561 MBS	3.500%	08/01/26					3,858	3,858	4,060	4,056	0	(198)	0	3,858	0	0	0	0	08/01/2026
3138AB-TT-6	FNMA Pool AH9561 MBS	3.500%	08/01/26					3,887	3,887	4,090	4,087	0	(199)	0	3,887	0	0	0	0	08/01/2026
3138AB-TT-6	FNMA Pool AH9561 MBS	3.500%	08/01/26					4,075	4,075	4,288	4,284	0	(209)	0	4,075	0	0	0	0	08/01/2026
3138AB-TT-6	FNMA Pool AH9561 MBS	3.500%	08/01/26					4,378	4,378	4,606	4,602	0	(225)	0	4,378	0	0	0	0	08/01/2026
3138AB-TT-6	FNMA Pool AH9561 MBS	3.500%	08/01/26					18,011	18,011	18,951	18,935	0	(924)	0	18,011	0	0	0	0	08/01/2026
3138AB-TT-6	FNMA Pool AH9561 MBS	3.500%	08/01/26					18,326	18,326	19,282	19,266	0	(940)	0	18,326	0	0	0	0	08/01/2026
3138AB-TT-6	FNMA Pool AH9561 MBS	3.500%	08/01/26					4,192	4,192	4,411	4,407	0	(215)	0	4,192	0	0	0	0	08/01/2026
3138AB-TT-6	FNMA Pool AH9561 MBS	3.500%	08/01/26					4,827	4,827	5,079	5,075	0	(248)	0	4,827	0	0	0	0	08/01/2026
3138AD-FA-8	FNMA Pool A10160 MBS	4.000%	04/01/26					3,188	3,188	3,341	3,338	0	(149)	0	3,188	0	0	0	0	04/01/2026
3138AD-FA-8	FNMA Pool A10160 MBS	4.000%	04/01/26					3,354	3,354	3,515	3,511	0	(157)	0	3,354	0	0	0	0	04/01/2026
3138AD-FA-8	FNMA Pool A10160 MBS	4.000%	04/01/26					5,982	5,982	6,268	6,262	0	(280)	0	5,982	0	0	0	0	04/01/2026
3138AD-FA-8	FNMA Pool A10160 MBS	4.000%	04/01/26					3,233	3,233	3,387	3,384	0	(151)	0	3,233	0	0	0	0	04/01/2026
3138AD-FA-8	FNMA Pool A10160 MBS	4.000%	04/01/26					9,876	9,876	10,348	10,338	0	(462)	0	9,876	0	0	0	0	04/01/2026
3138AD-FA-8	FNMA Pool A10160 MBS	4.000%	04/01/26					3,264	3,264	3,420	3,417	0	(153)	0	3,264	0	0	0	0	04/01/2026
3138AD-FA-8	FNMA Pool A10160 MBS	4.000%	04/01/26					3,593	3,593	3,765	3,761	0	(168)	0	3,593	0	0	0	0	04/01/2026
3138AD-FA-8	FNMA Pool A10160 MBS	4.000%	04/01/26					3,461	3,461	3,627	3,623	0	(162)	0	3,461	0	0	0	0	04/01/2026
3138AD-FA-8	FNMA Pool A10160 MBS	4.000%	04/01/26					3,568	3,568	3,739	3,735	0	(167)	0	3,568	0	0	0	0	04/01/2026
3138AD-FA-8	FNMA Pool A10160 MBS	4.000%	04/01/26					3,736	3,736	3,914	3,911	0	(175)	0	3,736	0	0	0	0	04/01/2026
3138AD-FA-8	FNMA Pool A10160 MBS	4.000%	04/01/26					3,347	3,347	3,507	3,503	0	(157)	0	3,347	0	0	0	0	04/01/2026
3138AD-FA-8	FNMA Pool A10160 MBS	4.000%	04/01/26					3,359	3,359	3,520	3,516	0	(157)	0	3,359	0	0	0	0	04/01/2026
3138AE-ZT-3	FNMA Pool A11653 MBS	4.500%	04/01/26					2,727	2,727	2,898	2,894	0	(167)	0	2,727	0	0	0	0	04/01/2026
3138AE-ZT-3	FNMA Pool A11653 MBS	4.500%	04/01/26					2,370	2,370	2,518	2,515	0	(145)	0	2,370	0	0	0	0	04/01/2026
3138AE-ZT-3	FNMA Pool A11653 MBS	4.500%	04/01/26					2,349	2,349	2,496	2,493	0	(144)	0	2,349	0	0	0	0	04/01/2026
3138AE-ZT-3	FNMA Pool A11653 MBS	4.500%	04/01/26					2,458	2,458	2,611	2,608	0	(150)	0	2,458	0	0	0	0	04/01/2026
3138AE-ZT-3	FNMA Pool A11653 MBS	4.500%	04/01/26					2,373	2,373	2,522	2,518	0	(145)	0	2,373	0	0	0	0	04/01/2026
3138AE-ZT-3	FNMA Pool A11653 MBS	4.500%	04/01/26					2,370	2,370	2,519	2,515	0	(145)	0	2,370	0	0	0	0	04/01/2026
3138AE-ZT-3	FNMA Pool A11653 MBS	4.500%	04/01/26					2,777	2,777	2,951	2,947	0	(170)	0	2,777	0	0	0	0	04/01/2026
3138AE-ZT-3	FNMA Pool A11653 MBS	4.500%	04/01/26					35,027	35,027	37,222	37,170	0	(2,143)	0	35,027	0	0	0	1,051	04/01/2026
3138AE-ZT-3	FNMA Pool A11653 MBS	4.500%	04/01/26					2,272	2,272	2,414	2,411	0	(139)	0	2,272	0	0	0	0	04/01/2026
3138AE-ZT-3	FNMA Pool A11653 MBS	4.500%	04/01/26					2,268	2,268	2,410	2,407	0	(139)	0	2,268	0	0	0	0	04/01/2026
3138AE-ZT-3	FNMA Pool A11653 MBS	4.500%	04/01/26					2,100	2,100	2,231	2,228	0	(128)	0	2,100	0	0	0	0	04/01/2026
3138AE-ZT-3	FNMA Pool A11653 MBS	4.500%	04/01/26					2,670	2,670	2,837	2,833	0	(163)	0	2,670	0	0	0	0	04/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS	4.000%	05/01/26					31,812	31,812	33,169	33,136	0	(1,324)	0	31,812	0	0	0	0	05/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS	4.000%	05/01/26					12,184	12,184	12,704	12,692	0	(507)	0	12,184	0	0	0	0	05/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS	4.000%	05/01/26					51,637	51,637	53,839	53,786	0	(2,150)	0	51,637	0	0	0	0	05/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS	4.000%	05/01/26					13,474	13,474	14,049	14,035	0	(561)	0	13,474	0	0	0	0	05/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS	4.000%	05/01/26					76,582	76,582	79,849	79,770	0	(3,188)	0	76,582	0	0	0	1,276	05/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS	4.000%	05/01/26					15,288	15,288	15,940	15,925	0	(636)	0	15,288	0	0	0	0	05/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS	4.000%	05/01/26					36,325	36,325	37,875	37,837	0	(1,512)	0	36,325	0	0	0	0	05/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS	4.000%	05/01/26					15,623	15,623	16,290	16,274	0	(650)	0	15,623	0	0	0	0	05/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS	4.000%	05/01/26					40,105	40,105	41,816	41,775	0	(1,669)	0	40,105	0	0	0	1,203	05/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS	4.000%	05/01/26					24,827	24,827	25,886	25,860	0	(1,033)	0	24,827	0	0	0	0	05/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS	4.000%	05/01/26					23,096	23,096	24,082	24,058	0	(961)	0	23,096	0	0	0	0	05/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS	4.000%	05/01/26					21,812	21,812	22,742	22,720	0	(908)	0	21,812	0	0	0	0	05/01/2026
3138AG-XV-5	FNMA Pool A13391 MBS	4.000%	06/01/26					3,033	3,033	3,182	3,180	0	(146)	0	3,033	0	0	0	0	06/01/2026
3138AG-XV-5	FNMA Pool A13391 MBS	4.000%	06/01/26					2,967	2,967	3,113	3,110	0	(143)	0	2,967	0	0	0	0	06/01/2026
3138AG-XV-5	FNMA Pool A13391 MBS	4.000%	06/01/26					3,125	3,125	3,278	3,275	0	(151)	0	3,125	0	0	0	0	06/01/2026
3138AG-XV-5	FNMA Pool A13391 MBS	4.000%	06/01/26					4,715	4,715	4,947	4,942	0	(227)	0	4,715	0	0	0	0	06/01/2026

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3138AG-XV-5	FNMA Pool A13391 MBS	4.000%	06/01/26			3,180	3,180	3,337	3,334	0	(153)	0	(153)	0	3,180	0	0	0	53	06/01/2026
3138AG-XV-5	FNMA Pool A13391 MBS	4.000%	06/01/26			3,143	3,143	3,298	3,295	0	(151)	0	(151)	0	3,143	0	0	0	63	06/01/2026
3138AG-XV-5	FNMA Pool A13391 MBS	4.000%	06/01/26			3,164	3,164	3,319	3,316	0	(152)	0	(152)	0	3,164	0	0	0	74	06/01/2026
3138AG-XV-5	FNMA Pool A13391 MBS	4.000%	06/01/26			3,186	3,186	3,342	3,339	0	(154)	0	(154)	0	3,186	0	0	0	85	06/01/2026
3138AG-XV-5	FNMA Pool A13391 MBS	4.000%	06/01/26			39,934	39,934	41,897	41,859	0	(1,925)	0	(1,925)	0	39,934	0	0	0	1,198	06/01/2026
3138AG-XV-5	FNMA Pool A13391 MBS	4.000%	06/01/26			21,585	21,585	22,646	22,625	0	(1,040)	0	(1,040)	0	21,585	0	0	0	720	06/01/2026
3138AG-XV-5	FNMA Pool A13391 MBS	4.000%	06/01/26			2,946	2,946	3,091	3,088	0	(142)	0	(142)	0	2,946	0	0	0	108	06/01/2026
3138AG-XV-5	FNMA Pool A13391 MBS	4.000%	06/01/26			21,241	21,241	22,284	22,264	0	(1,024)	0	(1,024)	0	21,241	0	0	0	850	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS	4.000%	06/01/26			17,554	17,554	18,477	18,458	0	(904)	0	(904)	0	17,554	0	0	0	59	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS	4.000%	06/01/26			17,686	17,686	18,616	18,597	0	(911)	0	(911)	0	17,686	0	0	0	118	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS	4.000%	06/01/26			7,594	7,594	7,993	7,985	0	(391)	0	(391)	0	7,594	0	0	0	76	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS	4.000%	06/01/26			10,142	10,142	10,676	10,665	0	(522)	0	(522)	0	10,142	0	0	0	135	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS	4.000%	06/01/26			7,131	7,131	7,506	7,499	0	(367)	0	(367)	0	7,131	0	0	0	119	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS	4.000%	06/01/26			6,843	6,843	7,203	7,196	0	(352)	0	(352)	0	6,843	0	0	0	137	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS	4.000%	06/01/26			7,447	7,447	7,839	7,831	0	(384)	0	(384)	0	7,447	0	0	0	174	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS	4.000%	06/01/26			7,265	7,265	7,647	7,639	0	(374)	0	(374)	0	7,265	0	0	0	194	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS	4.000%	06/01/26			19,048	19,048	20,049	20,029	0	(981)	0	(981)	0	19,048	0	0	0	571	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS	4.000%	06/01/26			7,702	7,702	8,107	8,099	0	(397)	0	(397)	0	7,702	0	0	0	257	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS	4.000%	06/01/26			6,560	6,560	6,905	6,898	0	(338)	0	(338)	0	6,560	0	0	0	241	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS	4.000%	06/01/26			15,137	15,137	15,932	15,916	0	(780)	0	(780)	0	15,137	0	0	0	606	06/01/2026
3138AK-L5-6	FNMA Pool A15747 MBS	4.000%	06/01/26			16,538	16,538	17,377	17,360	0	(822)	0	(822)	0	16,538	0	0	0	55	06/01/2026
3138AK-L5-6	FNMA Pool A15747 MBS	4.000%	06/01/26			24,144	24,144	25,368	25,343	0	(1,199)	0	(1,199)	0	24,144	0	0	0	161	06/01/2026
3138AK-L5-6	FNMA Pool A15747 MBS	4.000%	06/01/26			5,698	5,698	5,987	5,981	0	(263)	0	(263)	0	5,698	0	0	0	57	06/01/2026
3138AK-L5-6	FNMA Pool A15747 MBS	4.000%	06/01/26			22,705	22,705	23,856	23,833	0	(1,128)	0	(1,128)	0	22,705	0	0	0	303	06/01/2026
3138AK-L5-6	FNMA Pool A15747 MBS	4.000%	06/01/26			5,592	5,592	5,876	5,870	0	(278)	0	(278)	0	5,592	0	0	0	93	06/01/2026
3138AK-L5-6	FNMA Pool A15747 MBS	4.000%	06/01/26			5,388	5,388	5,661	5,656	0	(268)	0	(268)	0	5,388	0	0	0	108	06/01/2026
3138AK-L5-6	FNMA Pool A15747 MBS	4.000%	06/01/26			6,804	6,804	7,149	7,142	0	(338)	0	(338)	0	6,804	0	0	0	159	06/01/2026
3138AK-L5-6	FNMA Pool A15747 MBS	4.000%	06/01/26			5,533	5,533	5,813	5,808	0	(275)	0	(275)	0	5,533	0	0	0	148	06/01/2026
3138AK-L5-6	FNMA Pool A15747 MBS	4.000%	06/01/26			31,008	31,008	32,580	32,548	0	(1,540)	0	(1,540)	0	31,008	0	0	0	930	06/01/2026
3138AK-L5-6	FNMA Pool A15747 MBS	4.000%	06/01/26			20,625	20,625	21,671	21,650	0	(1,025)	0	(1,025)	0	20,625	0	0	0	688	06/01/2026
3138AK-L5-6	FNMA Pool A15747 MBS	4.000%	06/01/26			5,164	5,164	5,426	5,420	0	(257)	0	(257)	0	5,164	0	0	0	189	06/01/2026
3138AK-L5-6	FNMA Pool A15747 MBS	4.000%	06/01/26			19,856	19,856	20,862	20,842	0	(986)	0	(986)	0	19,856	0	0	0	794	06/01/2026
3138AM-3T-0	FNMA Pool A18009 MBS	3.500%	08/01/26			2,700	2,700	2,845	2,843	0	(143)	0	(143)	0	2,700	0	0	0	8	08/01/2026
3138AM-3T-0	FNMA Pool A18009 MBS	3.500%	08/01/26			2,556	2,556	2,694	2,691	0	(135)	0	(135)	0	2,556	0	0	0	15	08/01/2026
3138AM-3T-0	FNMA Pool A18009 MBS	3.500%	08/01/26			3,697	3,697	3,896	3,893	0	(195)	0	(195)	0	3,697	0	0	0	32	08/01/2026
3138AM-3T-0	FNMA Pool A18009 MBS	3.500%	08/01/26			2,543	2,543	2,680	2,677	0	(134)	0	(134)	0	2,543	0	0	0	30	08/01/2026
3138AM-3T-0	FNMA Pool A18009 MBS	3.500%	08/01/26			2,461	2,461	2,593	2,591	0	(130)	0	(130)	0	2,461	0	0	0	36	08/01/2026
3138AM-3T-0	FNMA Pool A18009 MBS	3.500%	08/01/26			2,650	2,650	2,792	2,789	0	(140)	0	(140)	0	2,650	0	0	0	46	08/01/2026
3138AM-3T-0	FNMA Pool A18009 MBS	3.500%	08/01/26			2,467	2,467	2,600	2,597	0	(130)	0	(130)	0	2,467	0	0	0	50	08/01/2026
3138AM-3T-0	FNMA Pool A18009 MBS	3.500%	08/01/26			2,400	2,400	2,530	2,527	0	(127)	0	(127)	0	2,400	0	0	0	56	08/01/2026
3138AM-3T-0	FNMA Pool A18009 MBS	3.500%	08/01/26			2,520	2,520	2,656	2,653	0	(133)	0	(133)	0	2,520	0	0	0	66	08/01/2026
3138AM-3T-0	FNMA Pool A18009 MBS	3.500%	08/01/26			2,463	2,463	2,596	2,593	0	(130)	0	(130)	0	2,463	0	0	0	72	08/01/2026
3138AM-3T-0	FNMA Pool A18009 MBS	3.500%	08/01/26			2,707	2,707	2,852	2,850	0	(143)	0	(143)	0	2,707	0	0	0	97	08/01/2026
3138AM-3T-0	FNMA Pool A18009 MBS	3.500%	08/01/26			22,441	22,441	23,649	23,625	0	(1,185)	0	(1,185)	0	22,441	0	0	0	785	08/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS	3.500%	10/01/26			10,657	10,657	11,152	11,146	0	(489)	0	(489)	0	10,657	0	0	0	31	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS	3.500%	10/01/26			11,551	11,551	12,087	12,081	0	(530)	0	(530)	0	11,551	0	0	0	67	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS	3.500%	10/01/26			10,618	10,618	11,110	11,104	0	(487)	0	(487)	0	10,618	0	0	0	93	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS	3.500%	10/01/26			10,952	10,952	11,460	11,454	0	(502)	0	(502)	0	10,952	0	0	0	128	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS	3.500%	10/01/26			10,538	10,538	11,027	11,022	0	(483)	0	(483)	0	10,538	0	0	0	154	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS	3.500%	10/01/26			10,677	10,677	11,172	11,166	0	(490)	0	(490)	0	10,677	0	0	0	187	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS	3.500%	10/01/26			10,858	10,858	11,361	11,355	0	(498)	0	(498)	0	10,858	0	0	0	222	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS	3.500%	10/01/26			10,668	10,668	11,163	11,157	0	(489)	0	(489)	0	10,668	0	0	0	249	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS	3.500%	10/01/26			10,974	10,974	11,483	11,477	0	(503)	0	(503)	0	10,974	0	0	0	288	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS	3.500%	10/01/26			10,672	10,672	11,167	11,161	0	(489)	0	(489)	0	10,672	0	0	0	311	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS	3.500%	10/01/26			10,750	10,750	11,249	11,243	0	(493)	0	(493)	0	10,750	0	0	0	345	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS	3.500%	10/01/26			10,846	10,846	11,349	11,343	0	(497)	0	(497)	0	10,846	0	0	0	380	10/01/2026
3138AN-6M-0	FNMA Pool A18975 MBS	3.500%	09/01/26			5,903	5,903	6,209	6,204	0	(301)	0	(301)	0	5,903	0	0	0	17	09/01/2026
3138AN-6M-0	FNMA Pool A18975 MBS	3.500%	09/01/26			5,801	5,801	6,103	6,097	0	(296)	0	(296)	0	5,801	0	0	0	34	09/01/2026

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		03/01/2012	Paydown		6,131	6,131	6,449	6,443	0	(313)	0	(313)	0	6,131	0	0	0	54	09/01/2026
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		04/01/2012	Paydown		5,804	5,804	6,106	6,100	0	(296)	0	(296)	0	5,804	0	0	0	68	09/01/2026
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		05/01/2012	Paydown		6,002	6,002	6,314	6,308	0	(306)	0	(306)	0	6,002	0	0	0	88	09/01/2026
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		06/01/2012	Paydown		5,909	5,909	6,216	6,210	0	(301)	0	(301)	0	5,909	0	0	0	103	09/01/2026
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		07/01/2012	Paydown		6,290	6,290	6,617	6,611	0	(321)	0	(321)	0	6,290	0	0	0	128	09/01/2026
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		08/01/2012	Paydown		6,525	6,525	6,864	6,858	0	(333)	0	(333)	0	6,525	0	0	0	152	09/01/2026
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		09/01/2012	Paydown		6,293	6,293	6,620	6,613	0	(321)	0	(321)	0	6,293	0	0	0	165	09/01/2026
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		10/01/2012	Paydown		6,215	6,215	6,538	6,532	0	(317)	0	(317)	0	6,215	0	0	0	181	09/01/2026
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		11/01/2012	Paydown		6,348	6,348	6,678	6,671	0	(324)	0	(324)	0	6,348	0	0	0	204	09/01/2026
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		12/01/2012	Paydown		8,546	8,546	8,990	8,982	0	(436)	0	(436)	0	8,546	0	0	0	299	09/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		01/01/2012	Paydown		21,680	21,680	22,707	22,694	0	(1,014)	0	(1,014)	0	21,680	0	0	0	63	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		02/01/2012	Paydown		19,386	19,386	20,304	20,293	0	(907)	0	(907)	0	19,386	0	0	0	113	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		03/01/2012	Paydown		18,462	18,462	19,336	19,325	0	(864)	0	(864)	0	18,462	0	0	0	162	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		04/01/2012	Paydown		18,729	18,729	19,616	19,606	0	(876)	0	(876)	0	18,729	0	0	0	219	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		05/01/2012	Paydown		22,181	22,181	23,231	23,218	0	(1,038)	0	(1,038)	0	22,181	0	0	0	323	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		06/01/2012	Paydown		20,628	20,628	21,604	21,593	0	(965)	0	(965)	0	20,628	0	0	0	361	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		07/01/2012	Paydown		19,376	19,376	20,294	20,283	0	(907)	0	(907)	0	19,376	0	0	0	396	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		08/01/2012	Paydown		21,684	21,684	22,711	22,699	0	(1,015)	0	(1,015)	0	21,684	0	0	0	506	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		09/01/2012	Paydown		36,270	36,270	37,987	37,967	0	(1,697)	0	(1,697)	0	36,270	0	0	0	952	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		10/01/2012	Paydown		16,633	16,633	17,421	17,411	0	(778)	0	(778)	0	16,633	0	0	0	485	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		11/01/2012	Paydown		33,260	33,260	34,835	34,816	0	(1,556)	0	(1,556)	0	33,260	0	0	0	1,067	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		12/01/2012	Paydown		16,860	16,860	17,658	17,649	0	(789)	0	(789)	0	16,860	0	0	0	590	11/01/2026
3139BA-RR-8	FNMA Note Non Call 0.360% 11/23/12		11/23/2012	Maturity		1,000,000	1,000,000	999,591	999,817	0	183	0	183	0	1,000,000	0	0	0	3,370	11/23/2012
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		01/01/2012	Paydown		9,501	9,501	9,335	9,366	0	135	0	135	0	9,501	0	0	0	40	05/01/2019
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		02/01/2012	Paydown		10,215	10,215	10,036	10,070	0	145	0	145	0	10,215	0	0	0	85	05/01/2019
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		03/01/2012	Paydown		9,645	9,645	9,477	9,508	0	137	0	137	0	9,645	0	0	0	121	05/01/2019
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		04/01/2012	Paydown		8,891	8,891	8,735	8,765	0	126	0	126	0	8,891	0	0	0	148	05/01/2019
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		05/01/2012	Paydown		9,859	9,859	9,687	9,719	0	140	0	140	0	9,859	0	0	0	205	05/01/2019
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		06/01/2012	Paydown		7,921	7,921	7,783	7,809	0	113	0	113	0	7,921	0	0	0	198	05/01/2019
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		07/01/2012	Paydown		8,841	8,841	8,687	8,716	0	126	0	126	0	8,841	0	0	0	258	05/01/2019
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		08/01/2012	Paydown		7,449	7,449	7,318	7,343	0	106	0	106	0	7,449	0	0	0	248	05/01/2019
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		09/01/2012	Paydown		8,805	8,805	8,651	8,680	0	125	0	125	0	8,805	0	0	0	330	05/01/2019
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		10/01/2012	Paydown		7,390	7,390	7,261	7,285	0	105	0	105	0	7,390	0	0	0	308	05/01/2019
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		11/01/2012	Paydown		8,205	8,205	8,061	8,088	0	117	0	117	0	8,205	0	0	0	376	05/01/2019
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		12/01/2012	Paydown		7,510	7,510	7,378	7,403	0	107	0	107	0	7,510	0	0	0	375	05/01/2019
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		01/01/2012	Paydown		91,372	91,372	99,353	98,370	0	(6,998)	0	(6,998)	0	91,372	0	0	0	419	12/01/2017
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		02/01/2012	Paydown		86,607	86,607	94,171	93,239	0	(6,633)	0	(6,633)	0	86,607	0	0	0	794	12/01/2017
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		03/01/2012	Paydown		87,238	87,238	94,857	93,919	0	(6,681)	0	(6,681)	0	87,238	0	0	0	1,200	12/01/2017
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		04/01/2012	Paydown		88,782	88,782	96,536	95,581	0	(6,799)	0	(6,799)	0	88,782	0	0	0	1,628	12/01/2017
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		05/01/2012	Paydown		88,935	88,935	96,702	95,745	0	(6,811)	0	(6,811)	0	88,935	0	0	0	2,038	12/01/2017
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		06/01/2012	Paydown		93,891	93,891	102,092	101,081	0	(7,190)	0	(7,190)	0	93,891	0	0	0	2,582	12/01/2017
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		07/01/2012	Paydown		73,520	73,520	79,942	79,151	0	(5,630)	0	(5,630)	0	73,520	0	0	0	2,359	12/01/2017
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		08/01/2012	Paydown		76,865	76,865	83,579	82,752	0	(5,887)	0	(5,887)	0	76,865	0	0	0	2,818	12/01/2017
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		09/01/2012	Paydown		65,416	65,416	71,129	70,425	0	(5,010)	0	(5,010)	0	65,416	0	0	0	2,698	12/01/2017
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		10/01/2012	Paydown		78,975	78,975	85,873	85,023	0	(6,048)	0	(6,048)	0	78,975	0	0	0	3,620	12/01/2017
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		11/01/2012	Paydown		75,699	75,699	82,311	81,496	0	(5,797)	0	(5,797)	0	75,699	0	0	0	3,816	12/01/2017
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		12/01/2012	Paydown		68,600	68,600	74,592	73,854	0	(5,254)	0	(5,254)	0	68,600	0	0	0	3,773	12/01/2017
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		01/01/2012	Paydown		11,778	11,778	11,539	11,578	0	200	0	200	0	11,778	0	0	0	49	09/01/2020
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		02/01/2012	Paydown		6,392	6,392	6,262	6,284	0	109	0	109	0	6,392	0	0	0	53	09/01/2020
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		03/01/2012	Paydown		6,831	6,831	6,692	6,715	0	116	0	116	0	6,831	0	0	0	85	09/01/2020
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		04/01/2012	Paydown		7,487	7,487	7,335	7,360	0	127	0	127	0	7,487	0	0	0	125	09/01/2020
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		05/01/2012	Paydown		6,440	6,440	6,409	6,331	0	109	0	109	0	6,440	0	0	0	134	09/01/2020
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		06/01/2012	Paydown		12,797	12,797	12,537	12,580	0	217	0	217	0	12,797	0	0	0	320	09/01/2020
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		07/01/2012	Paydown		7,681	7,681	7,525	7,551	0	130	0	130	0	7,681	0	0	0	224	09/01/2020
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		08/01/2012	Paydown		3,535	3,535	3,463	3,475	0	60	0	60	0	3,535	0	0	0	118	09/01/2020
31407N-FK-4	FNMA Pool 835470 MBS 5.0																			

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con- sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		12/01/2012	Paydown		7,428	7,428	7,278	7,302	0	126	0	126	0	7,428	0	0	0	371	09/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		01/01/2012	Paydown		6,035	6,035	5,917	5,936	0	99	0	99	0	6,035	0	0	0	25	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		02/01/2012	Paydown		3,016	3,016	2,957	2,967	0	49	0	49	0	3,016	0	0	0	25	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		03/01/2012	Paydown		6,436	6,436	6,311	6,331	0	105	0	105	0	6,436	0	0	0	80	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		04/01/2012	Paydown		18,716	18,716	18,350	18,410	0	306	0	306	0	18,716	0	0	0	312	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		05/01/2012	Paydown		3,032	3,032	2,972	2,982	0	50	0	50	0	3,032	0	0	0	63	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		06/01/2012	Paydown		7,416	7,416	7,272	7,295	0	121	0	121	0	7,416	0	0	0	185	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		07/01/2012	Paydown		8,802	8,802	8,630	8,658	0	144	0	144	0	8,802	0	0	0	257	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		08/01/2012	Paydown		9,234	9,234	9,053	9,083	0	151	0	151	0	9,234	0	0	0	308	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		09/01/2012	Paydown		6,524	6,524	6,397	6,417	0	107	0	107	0	6,524	0	0	0	245	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		10/01/2012	Paydown		2,549	2,549	2,499	2,507	0	42	0	42	0	2,549	0	0	0	106	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		11/01/2012	Paydown		6,582	6,582	6,454	6,475	0	108	0	108	0	6,582	0	0	0	302	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		12/01/2012	Paydown		3,016	3,016	2,957	2,967	0	49	0	49	0	3,016	0	0	0	151	10/01/2020
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		01/01/2012	Paydown		1,021	1,021	1,020	1,020	0	1	0	1	0	1,021	0	0	0	5	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		02/01/2012	Paydown		5,797	5,797	5,798	5,794	0	3	0	3	0	5,797	0	0	0	53	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		03/01/2012	Paydown		1,993	1,993	1,994	1,992	0	1	0	1	0	1,993	0	0	0	27	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		04/01/2012	Paydown		900	900	900	899	0	1	0	1	0	900	0	0	0	16	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		05/01/2012	Paydown		1,805	1,805	1,805	1,804	0	1	0	1	0	1,805	0	0	0	41	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		06/01/2012	Paydown		4,633	4,633	4,633	4,630	0	3	0	3	0	4,633	0	0	0	127	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		07/01/2012	Paydown		2,939	2,939	2,939	2,937	0	2	0	2	0	2,939	0	0	0	94	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		08/01/2012	Paydown		1,906	1,906	1,906	1,906	0	1	0	1	0	1,906	0	0	0	70	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		09/01/2012	Paydown		5,926	5,926	5,927	5,923	0	4	0	4	0	5,926	0	0	0	244	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		10/01/2012	Paydown		3,761	3,761	3,762	3,759	0	2	0	2	0	3,761	0	0	0	172	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		11/01/2012	Paydown		5,042	5,042	5,042	5,039	0	3	0	3	0	5,042	0	0	0	254	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		12/01/2012	Paydown		4,847	4,847	4,848	4,844	0	3	0	3	0	4,847	0	0	0	267	08/01/2021
31412U-MN-7	FNMA Pool 935165 MBS 5.000% 05/01/24		01/01/2012	Paydown		9,921	9,921	10,194	10,172	0	(251)	0	(251)	0	9,921	0	0	0	41	05/01/2024
31412U-MN-7	FNMA Pool 935165 MBS 5.000% 05/01/24		02/01/2012	Paydown		9,950	9,950	10,224	10,202	0	(252)	0	(252)	0	9,950	0	0	0	83	05/01/2024
31412U-MN-7	FNMA Pool 935165 MBS 5.000% 05/01/24		03/01/2012	Paydown		9,994	9,994	10,269	10,248	0	(253)	0	(253)	0	9,994	0	0	0	125	05/01/2024
31412U-MN-7	FNMA Pool 935165 MBS 5.000% 05/01/24		04/01/2012	Paydown		100,241	100,241	102,998	102,781	0	(2,540)	0	(2,540)	0	100,241	0	0	0	1,671	05/01/2024
31412U-MN-7	FNMA Pool 935165 MBS 5.000% 05/01/24		05/01/2012	Paydown		9,624	9,624	9,888	9,868	0	(244)	0	(244)	0	9,624	0	0	0	201	05/01/2024
31412U-MN-7	FNMA Pool 935165 MBS 5.000% 05/01/24		06/01/2012	Paydown		173,711	173,711	178,488	178,112	0	(4,401)	0	(4,401)	0	173,711	0	0	0	4,343	05/01/2024
31412U-MN-7	FNMA Pool 935165 MBS 5.000% 05/01/24		07/01/2012	Paydown		8,849	8,849	9,092	9,073	0	(224)	0	(224)	0	8,849	0	0	0	258	05/01/2024
31412U-MN-7	FNMA Pool 935165 MBS 5.000% 05/01/24		08/01/2012	Paydown		8,883	8,883	9,127	9,108	0	(225)	0	(225)	0	8,883	0	0	0	296	05/01/2024
31412U-MN-7	FNMA Pool 935165 MBS 5.000% 05/01/24		09/01/2012	Paydown		8,677	8,677	8,916	8,897	0	(220)	0	(220)	0	8,677	0	0	0	325	05/01/2024
31412U-MN-7	FNMA Pool 935165 MBS 5.000% 05/01/24		10/01/2012	Paydown		8,713	8,713	8,953	8,934	0	(221)	0	(221)	0	8,713	0	0	0	363	05/01/2024
31412U-MN-7	FNMA Pool 935165 MBS 5.000% 05/01/24		11/01/2012	Paydown		8,771	8,771	9,012	8,993	0	(222)	0	(222)	0	8,771	0	0	0	402	05/01/2024
31412U-MN-7	FNMA Pool 935165 MBS 5.000% 05/01/24		12/01/2012	Paydown		8,794	8,794	9,036	9,017	0	(223)	0	(223)	0	8,794	0	0	0	440	05/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		01/01/2012	Paydown		4,361	4,361	4,645	4,626	0	(265)	0	(265)	0	4,361	0	0	0	18	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		02/01/2012	Paydown		3,710	3,710	3,951	3,935	0	(226)	0	(226)	0	3,710	0	0	0	31	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		03/01/2012	Paydown		3,970	3,970	4,229	4,211	0	(241)	0	(241)	0	3,970	0	0	0	50	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		04/01/2012	Paydown		33,703	33,703	35,899	35,753	0	(2,050)	0	(2,050)	0	33,703	0	0	0	562	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		05/01/2012	Paydown		3,765	3,765	4,011	3,994	0	(229)	0	(229)	0	3,765	0	0	0	78	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		06/01/2012	Paydown		3,836	3,836	4,086	4,069	0	(233)	0	(233)	0	3,836	0	0	0	96	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		07/01/2012	Paydown		5,006	5,006	5,332	5,311	0	(304)	0	(304)	0	5,006	0	0	0	146	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		08/01/2012	Paydown		3,650	3,650	3,888	3,872	0	(222)	0	(222)	0	3,650	0	0	0	122	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		09/01/2012	Paydown		34,142	34,142	36,366	36,218	0	(2,076)	0	(2,076)	0	34,142	0	0	0	1,280	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		10/01/2012	Paydown		4,627	4,627	4,929	4,909	0	(281)	0	(281)	0	4,627	0	0	0	193	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		11/01/2012	Paydown		3,488	3,488	3,715	3,700	0	(212)	0	(212)	0	3,488	0	0	0	160	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		12/01/2012	Paydown		3,314	3,314	3,530	3,516	0	(202)	0	(202)	0	3,314	0	0	0	166	11/01/2024
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		01/01/2012	Paydown		6,894	6,894	6,958	6,949	0	(56)	0	(56)	0	6,894	0	0	0	29	08/01/2023
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		02/01/2012	Paydown		32,155	32,155	32,456	32,415	0	(260)	0	(260)	0	32,155	0	0	0	268	08/01/2023
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		03/01/2012	Paydown		6,146	6,146	6,204	6,196	0	(50)	0	(50)	0	6,146	0	0	0	77	08/01/2023
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		04/01/2012	Paydown		42,713	42,713	43,113	43,058	0	(345)	0	(345)	0	42,713	0	0	0	712	08/01/2023
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		05/01/2012	Paydown		38,444	38,444	38,804	38,755	0	(311)	0	(311)	0	38,444	0	0	0	801	08/01/2023
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		06/01/2012	Paydown		7,997	7,997	8,072	8,062	0	(65)	0	(65)	0	7,997	0	0	0	200	08/01/2023
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		07/01/2012	Paydown		36,011	36,011	36,349	36,303	0	(291)	0	(291)	0	36,011	0	0	0	1,050	08/01/2023
31414F-K9																				

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		10/01/2012	Paydown		17,559	17,559	17,724	17,701	0	(142)	0	(142)	0	17,559	0	0	0	732	08/01/2023
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		11/01/2012	Paydown		43,203	43,203	43,609	43,553	0	(349)	0	(349)	0	43,203	0	0	0	1,980	08/01/2023
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		12/01/2012	Paydown		14,335	14,335	14,469	14,451	0	(116)	0	(116)	0	14,335	0	0	0	717	08/01/2023
31415L-3X-3	FNMA Pool 983814 MBS 4.000% 11/01/24		01/01/2012	Paydown		6,385	6,385	6,466	6,460	0	(75)	0	(75)	0	6,385	0	0	0	21	11/01/2024
31415L-3X-3	FNMA Pool 983814 MBS 4.000% 11/01/24		02/01/2012	Paydown		6,416	6,416	6,496	6,491	0	(75)	0	(75)	0	6,416	0	0	0	43	11/01/2024
31415L-3X-3	FNMA Pool 983814 MBS 4.000% 11/01/24		03/01/2012	Paydown		6,397	6,397	6,478	6,472	0	(75)	0	(75)	0	6,397	0	0	0	64	11/01/2024
31415L-3X-3	FNMA Pool 983814 MBS 4.000% 11/01/24		04/01/2012	Paydown		6,974	6,974	7,062	7,056	0	(82)	0	(82)	0	6,974	0	0	0	93	11/01/2024
31415L-3X-3	FNMA Pool 983814 MBS 4.000% 11/01/24		05/01/2012	Paydown		6,962	6,962	7,050	7,044	0	(82)	0	(82)	0	6,962	0	0	0	116	11/01/2024
31415L-3X-3	FNMA Pool 983814 MBS 4.000% 11/01/24		06/01/2012	Paydown		10,781	10,781	10,917	10,908	0	(127)	0	(127)	0	10,781	0	0	0	216	11/01/2024
31415L-3X-3	FNMA Pool 983814 MBS 4.000% 11/01/24		07/01/2012	Paydown		6,991	6,991	7,079	7,074	0	(82)	0	(82)	0	6,991	0	0	0	163	11/01/2024
31415L-3X-3	FNMA Pool 983814 MBS 4.000% 11/01/24		08/01/2012	Paydown		7,940	7,940	8,040	8,033	0	(93)	0	(93)	0	7,940	0	0	0	212	11/01/2024
31415L-3X-3	FNMA Pool 983814 MBS 4.000% 11/01/24		09/01/2012	Paydown		7,070	7,070	7,159	7,153	0	(83)	0	(83)	0	7,070	0	0	0	212	11/01/2024
31415L-3X-3	FNMA Pool 983814 MBS 4.000% 11/01/24		10/01/2012	Paydown		30,441	30,441	30,824	30,799	0	(358)	0	(358)	0	30,441	0	0	0	1,015	11/01/2024
31415L-3X-3	FNMA Pool 983814 MBS 4.000% 11/01/24		11/01/2012	Paydown		9,956	9,956	10,081	10,073	0	(117)	0	(117)	0	9,956	0	0	0	365	11/01/2024
31415L-3X-3	FNMA Pool 983814 MBS 4.000% 11/01/24		12/01/2012	Paydown		109,573	109,573	110,951	110,861	0	(1,289)	0	(1,289)	0	109,573	0	0	0	4,383	11/01/2024
31415Y-WZ-8	FNMA Pool 993564 MBS 4.500% 04/01/24		01/01/2012	Paydown		4,772	4,772	4,921	4,909	0	(136)	0	(136)	0	4,772	0	0	0	18	04/01/2024
31415Y-WZ-8	FNMA Pool 993564 MBS 4.500% 04/01/24		02/01/2012	Paydown		16,131	16,131	16,635	16,592	0	(461)	0	(461)	0	16,131	0	0	0	121	04/01/2024
31415Y-WZ-8	FNMA Pool 993564 MBS 4.500% 04/01/24		03/01/2012	Paydown		16,269	16,269	16,777	16,733	0	(465)	0	(465)	0	16,269	0	0	0	183	04/01/2024
31415Y-WZ-8	FNMA Pool 993564 MBS 4.500% 04/01/24		04/01/2012	Paydown		17,878	17,878	18,437	18,388	0	(510)	0	(510)	0	17,878	0	0	0	268	04/01/2024
31415Y-WZ-8	FNMA Pool 993564 MBS 4.500% 04/01/24		05/01/2012	Paydown		15,164	15,164	15,638	15,597	0	(433)	0	(433)	0	15,164	0	0	0	284	04/01/2024
31415Y-WZ-8	FNMA Pool 993564 MBS 4.500% 04/01/24		06/01/2012	Paydown		4,435	4,435	4,574	4,574	0	(127)	0	(127)	0	4,435	0	0	0	100	04/01/2024
31415Y-WZ-8	FNMA Pool 993564 MBS 4.500% 04/01/24		07/01/2012	Paydown		15,830	15,830	16,325	16,282	0	(452)	0	(452)	0	15,830	0	0	0	416	04/01/2024
31415Y-WZ-8	FNMA Pool 993564 MBS 4.500% 04/01/24		08/01/2012	Paydown		16,671	16,671	17,192	17,147	0	(476)	0	(476)	0	16,671	0	0	0	500	04/01/2024
31415Y-WZ-8	FNMA Pool 993564 MBS 4.500% 04/01/24		09/01/2012	Paydown		17,002	17,002	17,533	17,487	0	(485)	0	(485)	0	17,002	0	0	0	574	04/01/2024
31415Y-WZ-8	FNMA Pool 993564 MBS 4.500% 04/01/24		10/01/2012	Paydown		8,708	8,708	8,981	8,957	0	(249)	0	(249)	0	8,708	0	0	0	327	04/01/2024
31415Y-WZ-8	FNMA Pool 993564 MBS 4.500% 04/01/24		11/01/2012	Paydown		15,196	15,196	15,671	15,630	0	(434)	0	(434)	0	15,196	0	0	0	627	04/01/2024
31415Y-WZ-8	FNMA Pool 993564 MBS 4.500% 04/01/24		12/01/2012	Paydown		3,956	3,956	4,080	4,069	0	(113)	0	(113)	0	3,956	0	0	0	178	04/01/2024
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		01/01/2012	Paydown		30,600	30,600	33,459	33,246	0	(2,646)	0	(2,646)	0	30,600	0	0	0	140	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		02/01/2012	Paydown		29,462	29,462	32,214	32,009	0	(2,547)	0	(2,547)	0	29,462	0	0	0	270	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		03/01/2012	Paydown		28,464	28,464	31,124	30,925	0	(2,461)	0	(2,461)	0	28,464	0	0	0	391	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		04/01/2012	Paydown		30,283	30,283	33,113	32,902	0	(2,618)	0	(2,618)	0	30,283	0	0	0	555	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		05/01/2012	Paydown		30,347	30,347	33,183	32,971	0	(2,624)	0	(2,624)	0	30,347	0	0	0	695	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		06/01/2012	Paydown		29,187	29,187	31,914	31,710	0	(2,524)	0	(2,524)	0	29,187	0	0	0	803	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		07/01/2012	Paydown		24,353	24,353	26,628	26,458	0	(2,106)	0	(2,106)	0	24,353	0	0	0	781	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		08/01/2012	Paydown		26,186	26,186	28,633	28,450	0	(2,264)	0	(2,264)	0	26,186	0	0	0	960	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		09/01/2012	Paydown		27,844	27,844	30,446	30,251	0	(2,408)	0	(2,408)	0	27,844	0	0	0	1,149	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		10/01/2012	Paydown		24,218	24,218	26,481	26,312	0	(2,094)	0	(2,094)	0	24,218	0	0	0	1,110	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		11/01/2012	Paydown		27,083	27,083	29,614	29,425	0	(2,342)	0	(2,342)	0	27,083	0	0	0	1,365	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		12/01/2012	Paydown		26,935	26,935	29,452	29,264	0	(2,329)	0	(2,329)	0	26,935	0	0	0	1,481	06/01/2020
31416L-MF-0	FNMA Pool AA3057 MBS 4.500% 05/01/24		01/01/2012	Paydown		63,496	63,496	65,867	65,707	0	(2,211)	0	(2,211)	0	63,496	0	0	0	238	05/01/2024
31416L-MF-0	FNMA Pool AA3057 MBS 4.500% 05/01/24		02/01/2012	Paydown		61,965	61,965	64,279	64,123	0	(2,158)	0	(2,158)	0	61,965	0	0	0	465	05/01/2024
31416L-MF-0	FNMA Pool AA3057 MBS 4.500% 05/01/24		03/01/2012	Paydown		63,695	63,695	66,074	65,913	0	(2,218)	0	(2,218)	0	63,695	0	0	0	717	05/01/2024
31416L-MF-0	FNMA Pool AA3057 MBS 4.500% 05/01/24		04/01/2012	Paydown		65,905	65,905	68,366	68,200	0	(2,295)	0	(2,295)	0	65,905	0	0	0	989	05/01/2024
31416L-MF-0	FNMA Pool AA3057 MBS 4.500% 05/01/24		05/01/2012	Paydown		69,138	69,138	71,719	71,545	0	(2,408)	0	(2,408)	0	69,138	0	0	0	1,296	05/01/2024
31416L-MF-0	FNMA Pool AA3057 MBS 4.500% 05/01/24		06/01/2012	Paydown		147,143	147,143	152,638	152,268	0	(5,124)	0	(5,124)	0	147,143	0	0	0	3,311	05/01/2024
31416L-MF-0	FNMA Pool AA3057 MBS 4.500% 05/01/24		07/01/2012	Paydown		105,907	105,907	109,862	109,596	0	(3,688)	0	(3,688)	0	105,907	0	0	0	2,780	05/01/2024
31416L-MF-0	FNMA Pool AA3057 MBS 4.500% 05/01/24		08/01/2012	Paydown		93,100	93,100	96,577	96,342	0	(3,242)	0	(3,242)	0	93,100	0	0	0	2,793	05/01/2024
31416L-MF-0	FNMA Pool AA3057 MBS 4.500% 05/01/24		09/01/2012	Paydown		30,949	30,949	32,105	32,027	0	(1,078)	0	(1,078)	0	30,949	0	0	0	1,045	05/01/2024
31416L-MF-0	FNMA Pool AA3057 MBS 4.500% 05/01/24		10/01/2012	Paydown		87,517	87,517	90,785	90,564	0	(3,048)	0	(3,048)	0	87,517	0	0	0	3,282	05/01/2024
31416L-MF-0	FNMA Pool AA3057 MBS 4.500% 05/01/24		11/01/2012	Paydown		100,779	100,779	104,542	104,288	0	(3,510)	0	(3,510)	0	100,779	0	0	0	4,157	05/01/2024
31416L-MF-0	FNMA Pool AA3057 MBS 4.500% 05/01/24		12/01/2012	Paydown		7,329	7,329	7,603	7,584	0	(255)	0	(255)	0	7,329	0	0	0	330	05/01/2024
31416L-QY-5	FNMA Pool AA3170 MBS 4.500% 04/01/24		01/01/2012	Paydown		17,414	17,414	18,034	17,974	0	(560)	0	(560)	0	17,414	0	0	0	65	04/01/2024
31416L-QY-5	FNMA Pool AA3170 MBS 4.500% 04/01/24		02/01/2012	Paydown		33,009	33,009	34,185	34,070	0	(1,061)	0	(1,061)	0	33,009	0	0	0	248	04/01/2024
31416L-QY-5	FNMA Pool AA3170 MBS 4.500% 04/01/24		03/01/2012	Paydown		36,173	36,173	37,462	37,336	0	(1,163)	0	(1,163)	0	36,173	0	0	0	407	04/01/2024
31416L-QY-5	FNMA Pool AA3170 MBS 4.500% 04/01/24		04/01/2012	Paydown		8,516	8,516	8,819												

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
31416L-QY-5	FNMA Pool AA3170 MBS 4.500% 04/01/24		08/01/2012	Paydown		36,518	36,518	37,819	37,692	0	(1,174)	0	(1,174)	0	36,518	0	0	0	1,096	04/01/2024
31416L-QY-5	FNMA Pool AA3170 MBS 4.500% 04/01/24		09/01/2012	Paydown		34,866	34,866	36,108	35,987	0	(1,121)	0	(1,121)	0	34,866	0	0	0	1,177	04/01/2024
31416L-QY-5	FNMA Pool AA3170 MBS 4.500% 04/01/24		10/01/2012	Paydown		12,534	12,534	12,980	12,937	0	(403)	0	(403)	0	12,534	0	0	0	470	04/01/2024
31416L-QY-5	FNMA Pool AA3170 MBS 4.500% 04/01/24		11/01/2012	Paydown		32,821	32,821	33,991	33,877	0	(1,055)	0	(1,055)	0	32,821	0	0	0	1,354	04/01/2024
31416L-QY-5	FNMA Pool AA3170 MBS 4.500% 04/01/24		12/01/2012	Paydown		21,059	21,059	21,810	21,736	0	(677)	0	(677)	0	21,059	0	0	0	948	04/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		01/01/2012	Paydown		11,601	11,601	12,065	12,028	0	(427)	0	(427)	0	11,601	0	0	0	48	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		02/01/2012	Paydown		32,139	32,139	33,425	33,321	0	(1,182)	0	(1,182)	0	32,139	0	0	0	268	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		03/01/2012	Paydown		11,144	11,144	11,589	11,553	0	(410)	0	(410)	0	11,144	0	0	0	139	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		04/01/2012	Paydown		48,890	48,890	50,846	50,688	0	(1,798)	0	(1,798)	0	48,890	0	0	0	815	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		05/01/2012	Paydown		10,965	10,965	11,404	11,369	0	(403)	0	(403)	0	10,965	0	0	0	228	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		06/01/2012	Paydown		10,970	10,970	11,409	11,374	0	(403)	0	(403)	0	10,970	0	0	0	274	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		07/01/2012	Paydown		11,160	11,160	11,607	11,571	0	(410)	0	(410)	0	11,160	0	0	0	326	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		08/01/2012	Paydown		11,269	11,269	11,720	11,684	0	(414)	0	(414)	0	11,269	0	0	0	376	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		09/01/2012	Paydown		11,210	11,210	11,658	11,622	0	(412)	0	(412)	0	11,210	0	0	0	420	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		10/01/2012	Paydown		11,228	11,228	11,677	11,641	0	(413)	0	(413)	0	11,228	0	0	0	468	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		11/01/2012	Paydown		12,099	12,099	12,583	12,544	0	(445)	0	(445)	0	12,099	0	0	0	555	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		12/01/2012	Paydown		62,121	62,121	64,606	64,406	0	(2,285)	0	(2,285)	0	62,121	0	0	0	3,106	06/01/2024
31416S-QC-8	FNMA Pool AA8550 MBS 4.500% 07/01/24		01/01/2012	Paydown		90,472	90,472	92,875	92,690	0	(2,217)	0	(2,217)	0	90,472	0	0	0	339	07/01/2024
31416S-QC-8	FNMA Pool AA8550 MBS 4.500% 07/01/24		02/01/2012	Paydown		21,702	21,702	22,279	22,234	0	(532)	0	(532)	0	21,702	0	0	0	163	07/01/2024
31416S-QC-8	FNMA Pool AA8550 MBS 4.500% 07/01/24		03/01/2012	Paydown		89,814	89,814	92,200	92,016	0	(2,201)	0	(2,201)	0	89,814	0	0	0	1,010	07/01/2024
31416S-QC-8	FNMA Pool AA8550 MBS 4.500% 07/01/24		04/01/2012	Paydown		83,040	83,040	85,246	85,076	0	(2,035)	0	(2,035)	0	83,040	0	0	0	1,246	07/01/2024
31416S-QC-8	FNMA Pool AA8550 MBS 4.500% 07/01/24		05/01/2012	Paydown		78,177	78,177	80,253	80,093	0	(1,916)	0	(1,916)	0	78,177	0	0	0	1,466	07/01/2024
31416S-QC-8	FNMA Pool AA8550 MBS 4.500% 07/01/24		06/01/2012	Paydown		77,664	77,664	79,727	79,567	0	(1,904)	0	(1,904)	0	77,664	0	0	0	1,747	07/01/2024
31416S-QC-8	FNMA Pool AA8550 MBS 4.500% 07/01/24		07/01/2012	Paydown		25,791	25,791	26,476	26,424	0	(632)	0	(632)	0	25,791	0	0	0	677	07/01/2024
31416S-QC-8	FNMA Pool AA8550 MBS 4.500% 07/01/24		08/01/2012	Paydown		21,791	21,791	22,370	22,325	0	(534)	0	(534)	0	21,791	0	0	0	654	07/01/2024
31416S-QC-8	FNMA Pool AA8550 MBS 4.500% 07/01/24		09/01/2012	Paydown		20,457	20,457	21,000	20,958	0	(501)	0	(501)	0	20,457	0	0	0	690	07/01/2024
31416S-QC-8	FNMA Pool AA8550 MBS 4.500% 07/01/24		10/01/2012	Paydown		74,507	74,507	76,486	76,333	0	(1,826)	0	(1,826)	0	74,507	0	0	0	2,794	07/01/2024
31416S-QC-8	FNMA Pool AA8550 MBS 4.500% 07/01/24		11/01/2012	Paydown		85,961	85,961	88,244	88,068	0	(2,107)	0	(2,107)	0	85,961	0	0	0	3,546	07/01/2024
31416S-QC-8	FNMA Pool AA8550 MBS 4.500% 07/01/24		12/01/2012	Paydown		58,270	58,270	59,818	59,698	0	(1,428)	0	(1,428)	0	58,270	0	0	0	2,622	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		01/01/2012	Paydown		12,097	12,097	12,562	12,530	0	(432)	0	(432)	0	12,097	0	0	0	50	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		02/01/2012	Paydown		12,142	12,142	12,608	12,575	0	(434)	0	(434)	0	12,142	0	0	0	101	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		03/01/2012	Paydown		15,633	15,633	16,234	16,191	0	(558)	0	(558)	0	15,633	0	0	0	195	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		04/01/2012	Paydown		10,099	10,099	10,488	10,460	0	(361)	0	(361)	0	10,099	0	0	0	168	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		05/01/2012	Paydown		74,828	74,828	77,704	77,501	0	(2,672)	0	(2,672)	0	74,828	0	0	0	1,559	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		06/01/2012	Paydown		61,729	61,729	64,102	63,934	0	(2,205)	0	(2,205)	0	61,729	0	0	0	1,543	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		07/01/2012	Paydown		92,884	92,884	96,454	96,201	0	(3,317)	0	(3,317)	0	92,884	0	0	0	2,709	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		08/01/2012	Paydown		9,071	9,071	9,420	9,395	0	(324)	0	(324)	0	9,071	0	0	0	302	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		09/01/2012	Paydown		74,376	74,376	77,234	77,032	0	(2,656)	0	(2,656)	0	74,376	0	0	0	2,789	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		10/01/2012	Paydown		9,003	9,003	9,349	9,324	0	(322)	0	(322)	0	9,003	0	0	0	375	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		11/01/2012	Paydown		47,219	47,219	49,034	48,906	0	(1,686)	0	(1,686)	0	47,219	0	0	0	2,164	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		12/01/2012	Paydown		37,386	37,386	38,823	38,721	0	(1,335)	0	(1,335)	0	37,386	0	0	0	1,869	07/01/2024
31416X-6C-9	FNMA Pool AB2666 MBS 4.500% 04/01/26		01/01/2012	Paydown		59,441	59,441	63,323	63,229	0	(3,788)	0	(3,788)	0	59,441	0	0	0	2,223	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS 4.500% 04/01/26		02/01/2012	Paydown		8,691	8,691	9,258	9,245	0	(554)	0	(554)	0	8,691	0	0	0	65	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS 4.500% 04/01/26		03/01/2012	Paydown		8,811	8,811	9,386	9,372	0	(562)	0	(562)	0	8,811	0	0	0	99	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS 4.500% 04/01/26		04/01/2012	Paydown		9,147	9,147	9,744	9,729	0	(583)	0	(583)	0	9,147	0	0	0	137	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS 4.500% 04/01/26		05/01/2012	Paydown		8,749	8,749	9,320	9,306	0	(558)	0	(558)	0	8,749	0	0	0	164	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS 4.500% 04/01/26		06/01/2012	Paydown		9,479	9,479	10,098	10,083	0	(604)	0	(604)	0	9,479	0	0	0	213	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS 4.500% 04/01/26		07/01/2012	Paydown		9,531	9,531	10,154	10,139	0	(607)	0	(607)	0	9,531	0	0	0	250	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS 4.500% 04/01/26		08/01/2012	Paydown		9,604	9,604	10,232	10,216	0	(612)	0	(612)	0	9,604	0	0	0	288	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS 4.500% 04/01/26		09/01/2012	Paydown		10,482	10,482	11,167	11,151	0	(668)	0	(668)	0	10,482	0	0	0	354	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS 4.500% 04/01/26		10/01/2012	Paydown		54,167	54,167	57,705	57,619	0	(3,452)	0	(3,452)	0	54,167	0	0	0	2,031	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS 4.500% 04/01/26		11/01/2012	Paydown		9,477	9,477	10,096	10,081	0	(604)	0	(604)	0	9,477	0	0	0	391	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS 4.500% 04/01/26		12/01/2012	Paydown		9,297	9,297	9,904	9,889	0	(592)	0	(592)	0	9,297	0	0	0	418	04/01/2026
31417N-6B-2	FNMA Pool AC4465 MBS 5.000% 11/01/24		01/01/2012	Paydown		3,513	3,513	3,730	3,717	0	(204)	0	(204)	0	3,513	0	0	0	15	11/01/2024
31417N-6B-2	FNMA Pool AC4465 MBS 5.000% 11/01/24		02/01/2012	Paydown		3,389	3,389	3,599	3,586	0	(197)	0	(197)	0	3,389	0				

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
31417N-6B-2	FNMA Pool AC4465 MBS 5.000% 11/01/24		06/01/2012	Paydown		3,502	3,502	3,719	3,706	0	(204)	0	(204)	0	3,502	0	0	0	.88	11/01/2024
31417N-6B-2	FNMA Pool AC4465 MBS 5.000% 11/01/24		07/01/2012	Paydown		3,818	3,818	4,054	4,040	0	(222)	0	(222)	0	3,818	0	0	0	.111	11/01/2024
31417N-6B-2	FNMA Pool AC4465 MBS 5.000% 11/01/24		08/01/2012	Paydown		3,584	3,584	3,806	3,792	0	(208)	0	(208)	0	3,584	0	0	0	.119	11/01/2024
31417N-6B-2	FNMA Pool AC4465 MBS 5.000% 11/01/24		09/01/2012	Paydown		3,800	3,800	4,035	4,021	0	(221)	0	(221)	0	3,800	0	0	0	.142	11/01/2024
31417N-6B-2	FNMA Pool AC4465 MBS 5.000% 11/01/24		10/01/2012	Paydown		3,817	3,817	4,053	4,039	0	(222)	0	(222)	0	3,817	0	0	0	.159	11/01/2024
31417N-6B-2	FNMA Pool AC4465 MBS 5.000% 11/01/24		11/01/2012	Paydown		119,920	119,920	127,340	126,888	0	(6,969)	0	(6,969)	0	119,920	0	0	0	5,496	11/01/2024
31417N-6B-2	FNMA Pool AC4465 MBS 5.000% 11/01/24		12/01/2012	Paydown		3,198	3,198	3,396	3,384	0	(186)	0	(186)	0	3,198	0	0	0	.160	11/01/2024
31417S-5T-3	FNMA Pool AC6257 MBS 4.000% 12/01/24		01/01/2012	Paydown		65,104	65,104	66,834	66,695	0	(1,590)	0	(1,590)	0	65,104	0	0	0	.217	12/01/2024
31417S-5T-3	FNMA Pool AC6257 MBS 4.000% 12/01/24		02/01/2012	Paydown		46,237	46,237	47,465	47,366	0	(1,129)	0	(1,129)	0	46,237	0	0	0	.308	12/01/2024
31417S-5T-3	FNMA Pool AC6257 MBS 4.000% 12/01/24		03/01/2012	Paydown		27,408	27,408	28,136	28,077	0	(670)	0	(670)	0	27,408	0	0	0	.274	12/01/2024
31417S-5T-3	FNMA Pool AC6257 MBS 4.000% 12/01/24		04/01/2012	Paydown		38,646	38,646	39,673	39,590	0	(944)	0	(944)	0	38,646	0	0	0	.515	12/01/2024
31417S-5T-3	FNMA Pool AC6257 MBS 4.000% 12/01/24		04/26/2012	JP Morgan Chase		3,685,787	3,389,230	3,479,256	3,472,021	0	(990)	0	(990)	0	3,471,031	0	214,756	214,756	56,111	12/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS 4.000% 11/01/24		01/01/2012	Paydown		189,309	189,309	194,397	194,054	0	(4,745)	0	(4,745)	0	189,309	0	0	0	.631	11/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS 4.000% 11/01/24		02/01/2012	Paydown		51,397	51,397	52,778	52,685	0	(1,288)	0	(1,288)	0	51,397	0	0	0	.343	11/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS 4.000% 11/01/24		03/01/2012	Paydown		16,162	16,162	16,596	16,567	0	(405)	0	(405)	0	16,162	0	0	0	.162	11/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS 4.000% 11/01/24		04/01/2012	Paydown		14,711	14,711	15,106	15,079	0	(369)	0	(369)	0	14,711	0	0	0	.196	11/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS 4.000% 11/01/24		05/01/2012	Paydown		14,576	14,576	14,968	14,941	0	(365)	0	(365)	0	14,576	0	0	0	.243	11/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS 4.000% 11/01/24		06/01/2012	Paydown		14,598	14,598	14,991	14,964	0	(366)	0	(366)	0	14,598	0	0	0	.292	11/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS 4.000% 11/01/24		07/01/2012	Paydown		14,737	14,737	15,133	15,107	0	(369)	0	(369)	0	14,737	0	0	0	.344	11/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS 4.000% 11/01/24		08/01/2012	Paydown		15,040	15,040	15,445	15,417	0	(377)	0	(377)	0	15,040	0	0	0	.401	11/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS 4.000% 11/01/24		09/01/2012	Paydown		14,808	14,808	15,206	15,180	0	(371)	0	(371)	0	14,808	0	0	0	.444	11/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS 4.000% 11/01/24		10/01/2012	Paydown		223,125	223,125	229,122	228,718	0	(5,593)	0	(5,593)	0	223,125	0	0	0	7,438	11/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS 4.000% 11/01/24		11/01/2012	Paydown		13,842	13,842	14,214	14,189	0	(347)	0	(347)	0	13,842	0	0	0	.508	11/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS 4.000% 11/01/24		12/01/2012	Paydown		141,052	141,052	144,843	144,588	0	(3,536)	0	(3,536)	0	141,052	0	0	0	5,642	11/01/2024
31417T-6Z-6	FNMA Pool AC7187 MBS 5.000% 11/01/24		01/01/2012	Paydown		6,352	6,352	6,761	6,732	0	(379)	0	(379)	0	6,352	0	0	0	.26	11/01/2024
31417T-6Z-6	FNMA Pool AC7187 MBS 5.000% 11/01/24		02/01/2012	Paydown		71,545	71,545	76,150	75,817	0	(4,272)	0	(4,272)	0	71,545	0	0	0	596	11/01/2024
31417T-6Z-6	FNMA Pool AC7187 MBS 5.000% 11/01/24		03/01/2012	Paydown		6,164	6,164	6,561	6,532	0	(368)	0	(368)	0	6,164	0	0	0	.77	11/01/2024
31417T-6Z-6	FNMA Pool AC7187 MBS 5.000% 11/01/24		04/01/2012	Paydown		53,516	53,516	56,961	56,712	0	(3,196)	0	(3,196)	0	53,516	0	0	0	.892	11/01/2024
31417T-6Z-6	FNMA Pool AC7187 MBS 5.000% 11/01/24		05/01/2012	Paydown		5,626	5,626	5,989	5,962	0	(336)	0	(336)	0	5,626	0	0	0	.117	11/01/2024
31417T-6Z-6	FNMA Pool AC7187 MBS 5.000% 11/01/24		06/01/2012	Paydown		5,608	5,608	5,969	5,943	0	(335)	0	(335)	0	5,608	0	0	0	.140	11/01/2024
31417T-6Z-6	FNMA Pool AC7187 MBS 5.000% 11/01/24		07/01/2012	Paydown		44,852	44,852	47,739	47,530	0	(2,678)	0	(2,678)	0	44,852	0	0	0	1,308	11/01/2024
31417T-6Z-6	FNMA Pool AC7187 MBS 5.000% 11/01/24		08/01/2012	Paydown		98,620	98,620	104,968	104,509	0	(5,889)	0	(5,889)	0	98,620	0	0	0	3,287	11/01/2024
31417T-6Z-6	FNMA Pool AC7187 MBS 5.000% 11/01/24		09/01/2012	Paydown		5,014	5,014	5,337	5,314	0	(299)	0	(299)	0	5,014	0	0	0	.188	11/01/2024
31417T-6Z-6	FNMA Pool AC7187 MBS 5.000% 11/01/24		10/01/2012	Paydown		7,167	7,167	7,629	7,595	0	(428)	0	(428)	0	7,167	0	0	0	.299	11/01/2024
31417T-6Z-6	FNMA Pool AC7187 MBS 5.000% 11/01/24		11/01/2012	Paydown		7,891	7,891	8,399	8,362	0	(471)	0	(471)	0	7,891	0	0	0	.362	11/01/2024
31417T-6Z-6	FNMA Pool AC7187 MBS 5.000% 11/01/24		12/01/2012	Paydown		19,519	19,519	20,776	20,685	0	(1,166)	0	(1,166)	0	19,519	0	0	0	.976	11/01/2024
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		01/01/2012	Paydown		19,787	19,787	20,594	20,584	0	(797)	0	(797)	0	19,787	0	0	0	.66	01/01/2021
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		02/01/2012	Paydown		10,216	10,216	10,632	10,627	0	(411)	0	(411)	0	10,216	0	0	0	.68	01/01/2021
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		03/01/2012	Paydown		20,876	20,876	21,727	21,716	0	(841)	0	(841)	0	20,876	0	0	0	.209	01/01/2021
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		04/01/2012	Paydown		14,057	14,057	14,631	14,623	0	(566)	0	(566)	0	14,057	0	0	0	.187	01/01/2021
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		05/01/2012	Paydown		22,811	22,811	23,742	23,730	0	(919)	0	(919)	0	22,811	0	0	0	.380	01/01/2021
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		06/01/2012	Paydown		12,254	12,254	12,753	12,747	0	(493)	0	(493)	0	12,254	0	0	0	.245	01/01/2021
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		07/01/2012	Paydown		25,286	25,286	26,317	26,304	0	(1,018)	0	(1,018)	0	25,286	0	0	0	.590	01/01/2021
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		08/01/2012	Paydown		15,101	15,101	15,717	15,709	0	(608)	0	(608)	0	15,101	0	0	0	.403	01/01/2021
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		09/01/2012	Paydown		13,596	13,596	14,151	14,144	0	(547)	0	(547)	0	13,596	0	0	0	.408	01/01/2021
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		10/01/2012	Paydown		13,809	13,809	14,372	14,365	0	(566)	0	(566)	0	13,809	0	0	0	.460	01/01/2021
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		11/01/2012	Paydown		17,861	17,861	18,589	18,580	0	(719)	0	(719)	0	17,861	0	0	0	.655	01/01/2021
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		12/01/2012	Paydown		12,520	12,520	13,030	13,024	0	(504)	0	(504)	0	12,520	0	0	0	.501	01/01/2021
31418T-HR-1	FNMA Pool AD5639 MBS 5.000% 04/01/25		01/01/2012	Paydown		3,790	3,790	4,020	4,008	0	(218)	0	(218)	0	3,790	0	0	0	.16	04/01/2025
31418T-HR-1	FNMA Pool AD5639 MBS 5.000% 04/01/25		02/01/2012	Paydown		7,054	7,054	7,481	7,459	0	(405)	0	(405)	0	7,054	0	0	0	.59	04/01/2025
31418T-HR-1	FNMA Pool AD5639 MBS 5.000% 04/01/25		03/01/2012	Paydown		4,048	4,048	4,293	4,280	0	(232)	0	(232)	0	4,048	0	0	0	.51	04/01/2025
31418T-HR-1	FNMA Pool AD5639 MBS 5.000% 04/01/25		04/01/2012	Paydown		4,112	4,112	4,361	4,348	0	(236)	0	(236)	0	4,112	0	0	0	.59	04/01/2025
31418T-HR-1	FNMA Pool AD5639 MBS 5.000% 04/01/25		05/01/2012	Paydown		18,903	18,903	20,049	19,988	0	(1,085)	0	(1,085)	0	18,903	0	0	0	.394	04/01/2025
31418T-HR-1	FNMA Pool AD5639 MBS 5.000% 04/01/25		06/01/2012	Paydown		3,985	3,985	4,227	4,214	0	(229)	0	(229)	0	3,985	0	0	0	.100	04/01/2025
31418T-HR-1	FNMA Pool AD5639 MBS 5.000% 04/01/25		07/01/2012	Paydown		28,134	28,134	29,840	29,750	0	(1,615)	0	(1,615)	0	28,134	0	0	0	.821	04/01

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
31418T-HR-1	FNMA Pool AD5639 MBS 5.000% 04/01/25		11/01/2012	Paydown		4,086	4,086	4,333	4,320	0	(235)	0	(235)	0	4,086	0	0	0	187	04/01/2025
31418T-HR-1	FNMA Pool AD5639 MBS 5.000% 04/01/25		12/01/2012	Paydown		3,310	3,310	3,511	3,500	0	(190)	0	(190)	0	3,310	0	0	0	165	04/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		01/01/2012	Paydown		8,831	8,831	9,345	9,319	0	(488)	0	(488)	0	8,831	0	0	0	33	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		02/01/2012	Paydown		9,126	9,126	9,657	9,630	0	(504)	0	(504)	0	9,126	0	0	0	68	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		03/01/2012	Paydown		9,460	9,460	10,009	9,982	0	(522)	0	(522)	0	9,460	0	0	0	106	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		04/01/2012	Paydown		9,279	9,279	9,819	9,792	0	(512)	0	(512)	0	9,279	0	0	0	139	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		05/01/2012	Paydown		59,240	59,240	62,684	62,511	0	(3,271)	0	(3,271)	0	59,240	0	0	0	139	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		06/01/2012	Paydown		9,781	9,781	10,349	10,321	0	(540)	0	(540)	0	9,781	0	0	0	111	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		07/01/2012	Paydown		8,991	8,991	9,514	9,487	0	(496)	0	(496)	0	8,991	0	0	0	220	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		08/01/2012	Paydown		80,144	80,144	84,803	84,569	0	(4,425)	0	(4,425)	0	80,144	0	0	0	236	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		09/01/2012	Paydown		9,178	9,178	9,712	9,685	0	(507)	0	(507)	0	9,178	0	0	0	240	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		10/01/2012	Paydown		76,245	76,245	80,677	80,454	0	(4,209)	0	(4,209)	0	76,245	0	0	0	310	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		11/01/2012	Paydown		8,383	8,383	8,871	8,846	0	(463)	0	(463)	0	8,383	0	0	0	285	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		12/01/2012	Paydown		8,429	8,429	8,919	8,895	0	(465)	0	(465)	0	8,429	0	0	0	346	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		01/01/2012	Paydown		5,307	5,307	5,612	5,596	0	(289)	0	(289)	0	5,307	0	0	0	379	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		02/01/2012	Paydown		5,331	5,331	5,637	5,621	0	(290)	0	(290)	0	5,331	0	0	0	40	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		03/01/2012	Paydown		5,223	5,223	5,522	5,507	0	(284)	0	(284)	0	5,223	0	0	0	59	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		04/01/2012	Paydown		5,309	5,309	5,613	5,598	0	(289)	0	(289)	0	5,309	0	0	0	80	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		05/01/2012	Paydown		28,708	28,708	30,354	30,269	0	(1,561)	0	(1,561)	0	28,708	0	0	0	538	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		06/01/2012	Paydown		34,008	34,008	35,958	35,857	0	(1,849)	0	(1,849)	0	34,008	0	0	0	765	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		07/01/2012	Paydown		5,457	5,457	5,770	5,754	0	(297)	0	(297)	0	5,457	0	0	0	143	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		08/01/2012	Paydown		5,368	5,368	5,676	5,660	0	(292)	0	(292)	0	5,368	0	0	0	161	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		09/01/2012	Paydown		5,092	5,092	5,384	5,369	0	(277)	0	(277)	0	5,092	0	0	0	172	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		10/01/2012	Paydown		29,909	29,909	31,625	31,536	0	(1,626)	0	(1,626)	0	29,909	0	0	0	122	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		11/01/2012	Paydown		7,321	7,321	7,741	7,719	0	(398)	0	(398)	0	7,321	0	0	0	302	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		12/01/2012	Paydown		5,227	5,227	5,527	5,512	0	(284)	0	(284)	0	5,227	0	0	0	235	06/01/2025
31419C-L9-2	FNMA Pool AE2151 MBS 4.000% 03/01/26		01/01/2012	Paydown		12,415	12,415	12,774	12,763	0	(348)	0	(348)	0	12,415	0	0	0	41	03/01/2026
31419C-L9-2	FNMA Pool AE2151 MBS 4.000% 03/01/26		02/01/2012	Paydown		10,577	10,577	10,883	10,874	0	(297)	0	(297)	0	10,577	0	0	0	71	03/01/2026
31419C-L9-2	FNMA Pool AE2151 MBS 4.000% 03/01/26		03/01/2012	Paydown		10,530	10,530	10,834	10,826	0	(295)	0	(295)	0	10,530	0	0	0	105	03/01/2026
31419C-L9-2	FNMA Pool AE2151 MBS 4.000% 03/01/26		04/01/2012	Paydown		13,830	13,830	14,230	14,218	0	(388)	0	(388)	0	13,830	0	0	0	184	03/01/2026
31419C-L9-2	FNMA Pool AE2151 MBS 4.000% 03/01/26		05/01/2012	Paydown		51,020	51,020	52,495	52,451	0	(1,432)	0	(1,432)	0	51,020	0	0	0	850	03/01/2026
31419C-L9-2	FNMA Pool AE2151 MBS 4.000% 03/01/26		06/01/2012	Paydown		14,296	14,296	14,709	14,697	0	(401)	0	(401)	0	14,296	0	0	0	286	03/01/2026
31419C-L9-2	FNMA Pool AE2151 MBS 4.000% 03/01/26		07/01/2012	Paydown		21,403	21,403	22,022	22,004	0	(601)	0	(601)	0	21,403	0	0	0	499	03/01/2026
31419C-L9-2	FNMA Pool AE2151 MBS 4.000% 03/01/26		08/01/2012	Paydown		11,427	11,427	11,758	11,748	0	(321)	0	(321)	0	11,427	0	0	0	305	03/01/2026
31419C-L9-2	FNMA Pool AE2151 MBS 4.000% 03/01/26		09/01/2012	Paydown		11,467	11,467	11,798	11,789	0	(322)	0	(322)	0	11,467	0	0	0	344	03/01/2026
31419C-L9-2	FNMA Pool AE2151 MBS 4.000% 03/01/26		10/01/2012	Paydown		15,071	15,071	15,507	15,494	0	(423)	0	(423)	0	15,071	0	0	0	502	03/01/2026
31419C-L9-2	FNMA Pool AE2151 MBS 4.000% 03/01/26		11/01/2012	Paydown		11,762	11,762	12,102	12,092	0	(330)	0	(330)	0	11,762	0	0	0	431	03/01/2026
31419C-L9-2	FNMA Pool AE2151 MBS 4.000% 03/01/26		12/01/2012	Paydown		11,603	11,603	11,939	11,929	0	(326)	0	(326)	0	11,603	0	0	0	464	03/01/2026
31419G-SG-0	FNMA Pool AE5918 MBS 4.500% 10/01/25		01/01/2012	Paydown		3,264	3,264	3,468	3,462	0	(198)	0	(198)	0	3,264	0	0	0	12	10/01/2025
31419G-SG-0	FNMA Pool AE5918 MBS 4.500% 10/01/25		02/01/2012	Paydown		3,278	3,278	3,482	3,476	0	(199)	0	(199)	0	3,278	0	0	0	25	10/01/2025
31419G-SG-0	FNMA Pool AE5918 MBS 4.500% 10/01/25		03/01/2012	Paydown		3,293	3,293	3,498	3,493	0	(200)	0	(200)	0	3,293	0	0	0	37	10/01/2025
31419G-SG-0	FNMA Pool AE5918 MBS 4.500% 10/01/25		04/01/2012	Paydown		3,306	3,306	3,512	3,507	0	(200)	0	(200)	0	3,306	0	0	0	50	10/01/2025
31419G-SG-0	FNMA Pool AE5918 MBS 4.500% 10/01/25		05/01/2012	Paydown		3,320	3,320	3,526	3,521	0	(201)	0	(201)	0	3,320	0	0	0	62	10/01/2025
31419G-SG-0	FNMA Pool AE5918 MBS 4.500% 10/01/25		06/01/2012	Paydown		3,332	3,332	3,539	3,534	0	(202)	0	(202)	0	3,332	0	0	0	75	10/01/2025
31419G-SG-0	FNMA Pool AE5918 MBS 4.500% 10/01/25		07/01/2012	Paydown		3,345	3,345	3,554	3,548	0	(203)	0	(203)	0	3,345	0	0	0	88	10/01/2025
31419G-SG-0	FNMA Pool AE5918 MBS 4.500% 10/01/25		08/01/2012	Paydown		3,296	3,296	3,501	3,495	0	(200)	0	(200)	0	3,296	0	0	0	99	10/01/2025
31419G-SG-0	FNMA Pool AE5918 MBS 4.500% 10/01/25		09/01/2012	Paydown		3,309	3,309	3,515	3,510	0	(201)	0	(201)	0	3,309	0	0	0	112	10/01/2025
31419G-SG-0	FNMA Pool AE5918 MBS 4.500% 10/01/25		10/01/2012	Paydown		3,323	3,323	3,529	3,524	0	(201)	0	(201)	0	3,323	0	0	0	125	10/01/2025
31419G-SG-0	FNMA Pool AE5918 MBS 4.500% 10/01/25		11/01/2012	Paydown		96,139	96,139	102,126	101,967	0	(5,828)	0	(5,828)	0	96,139	0	0	0	3,966	10/01/2025
31419G-SG-0	FNMA Pool AE5918 MBS 4.500% 10/01/25		12/01/2012	Paydown		2,983	2,983	3,168	3,164	0	(181)	0	(181)	0	2,983	0	0	0	134	10/01/2025
31419H-2F-8	FNMA Pool AE7073 MBS 3.500% 12/01/25		01/01/2012	Paydown		24,766	24,766	24,937	24,927	0	(161)	0	(161)	0	24,766	0	0	0	72	12/01/2025
31419H-2F-8	FNMA Pool AE7073 MBS 3.500% 12/01/25		02/01/2012	Paydown		13,132	13,132	13,223	13,217	0	(85)	0	(85)	0	13,132	0	0	0	77	12/01/2025
31419H-2F-8	FNMA Pool AE7073 MBS 3.500% 12/01/25		03/01/2012	Paydown		12,937	12,937	13,026	13,021	0	(84)	0	(84)	0	12,937	0	0	0	113	12/01/2025
31419H-2F-8	FNMA Pool AE7073 MBS 3.500% 12/01/25		04/01/2012	Paydown		14,597	14,597	14,692	14,692	0	(95)	0	(95)	0	14,597	0	0	0	170	12/01/2025
31419H-2F-8	FNMA Pool AE7073 MBS 3.500% 12/01/25		05/01/2012	Paydown		19,622	19,622	19,757	19,749	0	(127)	0	(127)	0	19,622	0	0	0	286	12/01/2025
31419H-2F-8	FNMA Pool AE7073 MBS 3.500% 12/01/25		06/01/2012	Paydown		13,943	13,943	14,039	14,034	0	(90)	0	(90)	0	13,943	0				

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
31419H-2F-8	FNMA Pool AE7073 MBS 3.500% 12/01/25		09/01/2012	Paydown		32,912	32,912	33,138	33,125	0	(213)	0	(213)	0	32,912	0	0	0	864	12/01/2025
31419H-2F-8	FNMA Pool AE7073 MBS 3.500% 12/01/25		10/01/2012	Paydown		51,116	51,116	51,467	51,447	0	(331)	0	(331)	0	51,116	0	0	0	1,491	12/01/2025
31419H-2F-8	FNMA Pool AE7073 MBS 3.500% 12/01/25		11/01/2012	Paydown		31,619	31,619	31,836	31,824	0	(205)	0	(205)	0	31,619	0	0	0	1,014	12/01/2025
31419H-2F-8	FNMA Pool AE7073 MBS 3.500% 12/01/25		12/01/2012	Paydown		12,755	12,755	12,843	12,838	0	(83)	0	(83)	0	12,755	0	0	0	446	12/01/2025
31419H-2F-8	FNMA Pool AE7073 MBS 3.500% 12/01/25		12/06/2012	Goldman Sachs		2,057,459	1,911,138	1,924,277	1,923,529	0	(223)	0	(223)	0	1,923,305	0	134,154	134,154	70,049	12/01/2025
31419M-CW-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		01/01/2012	Paydown		1,193	1,193	1,254	1,253	0	(60)	0	(60)	0	1,193	0	0	0	3	05/01/2026
31419M-CW-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		02/01/2012	Paydown		1,197	1,197	1,258	1,257	0	(60)	0	(60)	0	1,197	0	0	0	7	05/01/2026
31419M-CW-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		03/01/2012	Paydown		1,439	1,439	1,512	1,510	0	(72)	0	(72)	0	1,439	0	0	0	13	05/01/2026
31419M-CW-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		04/01/2012	Paydown		1,206	1,206	1,268	1,267	0	(60)	0	(60)	0	1,206	0	0	0	14	05/01/2026
31419M-CW-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		05/01/2012	Paydown		1,211	1,211	1,272	1,271	0	(60)	0	(60)	0	1,211	0	0	0	18	05/01/2026
31419M-CW-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		06/01/2012	Paydown		1,215	1,215	1,277	1,276	0	(61)	0	(61)	0	1,215	0	0	0	21	05/01/2026
31419M-CW-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		07/01/2012	Paydown		1,219	1,219	1,281	1,280	0	(61)	0	(61)	0	1,219	0	0	0	25	05/01/2026
31419M-CW-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		08/01/2012	Paydown		1,223	1,223	1,286	1,285	0	(61)	0	(61)	0	1,223	0	0	0	29	05/01/2026
31419M-CW-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		09/01/2012	Paydown		1,228	1,228	1,290	1,289	0	(61)	0	(61)	0	1,228	0	0	0	32	05/01/2026
31419M-CW-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		10/01/2012	Paydown		1,232	1,232	1,295	1,293	0	(62)	0	(62)	0	1,232	0	0	0	36	05/01/2026
31419M-CW-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		11/01/2012	Paydown		1,236	1,236	1,299	1,298	0	(62)	0	(62)	0	1,236	0	0	0	40	05/01/2026
31419M-CW-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		12/01/2012	Paydown		1,240	1,240	1,304	1,302	0	(62)	0	(62)	0	1,240	0	0	0	43	05/01/2026
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		01/01/2012	Paydown		2,392	2,392	2,513	2,511	0	(119)	0	(119)	0	2,392	0	0	0	7	07/01/2026
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		02/01/2012	Paydown		2,879	2,879	3,024	3,022	0	(143)	0	(143)	0	2,879	0	0	0	17	07/01/2026
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		03/01/2012	Paydown		2,960	2,960	3,110	3,107	0	(147)	0	(147)	0	2,960	0	0	0	26	07/01/2026
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		04/01/2012	Paydown		2,898	2,898	3,042	3,042	0	(144)	0	(144)	0	2,898	0	0	0	34	07/01/2026
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		05/01/2012	Paydown		2,879	2,879	3,024	3,022	0	(143)	0	(143)	0	2,879	0	0	0	42	07/01/2026
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		06/01/2012	Paydown		2,888	2,888	3,033	3,031	0	(143)	0	(143)	0	2,888	0	0	0	51	07/01/2026
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		07/01/2012	Paydown		2,897	2,897	3,043	3,041	0	(144)	0	(144)	0	2,897	0	0	0	59	07/01/2026
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		08/01/2012	Paydown		2,914	2,914	3,061	3,059	0	(145)	0	(145)	0	2,914	0	0	0	68	07/01/2026
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		09/01/2012	Paydown		8,646	8,646	9,084	9,076	0	(429)	0	(429)	0	8,646	0	0	0	227	07/01/2026
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		10/01/2012	Paydown		2,902	2,902	3,049	3,046	0	(144)	0	(144)	0	2,902	0	0	0	85	07/01/2026
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		11/01/2012	Paydown		3,017	3,017	3,169	3,166	0	(150)	0	(150)	0	3,017	0	0	0	97	07/01/2026
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		12/01/2012	Paydown		2,920	2,920	3,068	3,065	0	(145)	0	(145)	0	2,920	0	0	0	102	07/01/2026
38373M-J2-7	GNMA GNR 2007-52 A CMBS 4.054% 01/16/48		01/01/2012	Paydown		96,960	96,960	94,152	95,230	0	1,730	0	1,730	0	96,960	0	0	0	328	01/16/2048
38373M-J2-7	GNMA GNR 2007-52 A CMBS 4.054% 01/16/48		02/01/2012	Paydown		64,353	64,353	62,489	63,205	0	1,148	0	1,148	0	64,353	0	0	0	435	01/16/2048
38373M-J2-7	GNMA GNR 2007-52 A CMBS 4.054% 01/16/48		03/01/2012	Paydown		1,700	1,700	1,651	1,670	0	30	0	30	0	1,700	0	0	0	17	01/16/2048
38373M-J2-7	GNMA GNR 2007-52 A CMBS 4.054% 01/16/48		04/01/2012	Paydown		104,508	104,508	101,482	102,643	0	1,865	0	1,865	0	104,508	0	0	0	1,412	01/16/2048
38373M-J2-7	GNMA GNR 2007-52 A CMBS 4.054% 01/16/48		05/01/2012	Paydown		1,573	1,573	1,527	1,544	0	28	0	28	0	1,573	0	0	0	27	01/16/2048
38373M-J2-7	GNMA GNR 2007-52 A CMBS 4.054% 01/16/48		06/01/2012	Paydown		40,453	40,453	39,281	39,731	0	722	0	722	0	40,453	0	0	0	820	01/16/2048
60636W-CP-8	5.125% 02/01/17		02/01/2012	Call	100,000	2,480,000	2,480,000	2,711,880	2,485,249	0	(5,249)	0	(5,249)	0	2,480,000	0	0	0	63,550	02/01/2017
645918-ZX-4	NJ St Econ Dev Rev Bond Non Call 5.000% 09/01/17		12/11/2012	Wachovia Bank		5,896,300	5,000,000	5,384,100	5,334,729	0	(51,403)	0	(51,403)	0	5,283,326	0	612,974	612,974	320,833	09/01/2017
64971M-VS-8	San Antonio TX Elec Gas Rev Bond Non Call 5.250% 02/01/12		12/18/2012	Tax Free Exchange		5,442,546	5,000,000	5,708,500	5,522,575	0	(80,029)	0	(80,029)	0	5,442,546	0	0	0	282,639	11/01/2017
796253-SK-9	SC Pub Svc Auth Rev Bond Non Call 5.000% 12/01/21		02/01/2012	Maturity		1,750,000	1,750,000	1,893,710	1,753,371	0	(3,371)	0	(3,371)	0	1,750,000	0	0	0	45,938	02/01/2012
837151-BV-0	TX Tech Univ Rev Bond Cont Call 5.500% 08/15/17		12/07/2012	Wachovia Bank		2,533,580	2,000,000	2,405,420	2,393,781	0	(33,158)	0	(33,158)	0	2,360,623	0	172,957	172,957	103,056	12/01/2021
882797-SF-6	Call 100,000		02/15/2012	Call	100,000	1,455,000	1,455,000	1,567,923	1,458,727	0	(3,727)	0	(3,727)	0	1,455,000	0	0	0	40,013	08/15/2017
3199999	Subtotal - Bonds - U.S. Special Revenues					56,089,292	53,623,747	56,816,077	55,699,989	0	(830,736)	0	(830,736)	0	54,869,228	0	1,220,063	1,220,063	1,694,129	XXX
00206R-AF-9	AT&T Inc Corp Note Cont Call 4.950% 01/15/13		06/29/2012	Call	102,4617	1,127,079	1,100,000	1,185,305	1,131,970	0	(4,891)	0	(4,891)	0	1,127,079	0	0	0	52,030	01/15/2013
010392-EY-0	Alabama Power Corp Note Cont Call 4.850% 12/15/12		12/15/2012	Maturity		1,000,000	1,000,000	1,041,430	1,038,552	0	(38,552)	0	(38,552)	0	1,000,000	0	0	0	48,500	12/15/2012
02666Q-H5-5	American Honda Fin Corp Note Non Call Prv Plc 0.682% 11/07/12		11/07/2012	Maturity		750,000	750,000	750,285	750,126	0	(126)	0	(126)	0	750,000	0	0	0	6,379	11/07/2012
02666Q-K4-4	American Honda Fin Corp Note Non Call Prv Plc 0.559% 12/19/12		12/19/2012	Maturity		1,400,000	1,400,000	1,400,000	1,400,000	0	0	0	0	0	1,400,000	0	0	0	10,253	12/19/2012

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Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
03073E-AD-7	Amerisourcebergen Corp Note MW 50BP 5.625% 09/15/12		09/15/2012	Maturity		375,000	375,000	390,983	386,381	0	(11,381)	0	(11,381)	0	375,000	0	0	0	21,094	09/15/2012
039483-BA-9	Archer Daniels Corp Note Non Call 0.627% 08/13/12		08/13/2012	Maturity		1,000,000	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	0	0	4,843	08/13/2012
04044T-AC-0	Aristotle Holding Corp Note MW 40BP Prv Plc 3.500% 11/15/16		02/02/2012	Wachovia Bank		576,079	555,000	554,850	554,853	0	2	0	2	0	554,855	0	21,224	21,224	4,101	11/15/2016
04044T-AC-0	Aristotle Holding Corp Note MW 40BP Prv Plc 3.500% 11/15/16		02/03/2012	Wachovia Bank		768,818	745,000	744,799	744,802	0	3	0	3	0	744,805	0	24,012	24,012	5,577	11/15/2016
05531F-AC-7	BB&T Corp Note Non Call 3.850% 07/27/12		07/27/2012	Maturity		750,000	750,000	782,798	761,658	0	(11,658)	0	(11,658)	0	750,000	0	0	0	28,875	07/27/2012
06406H-BC-2	BNY Mellon Corp Note Non Call 0.574% 03/23/12		03/23/2012	Maturity		750,000	750,000	750,720	750,142	0	(142)	0	(142)	0	750,000	0	0	0	1,273	03/23/2012
06406H-BE-8	BNY Mellon Corp Note Non Call 4.950% 11/01/12		11/01/2012	Maturity		500,000	500,000	537,870	516,670	0	(16,670)	0	(16,670)	0	500,000	0	0	0	24,750	11/01/2012
084670-AZ-1	Berkshire Hathaway Corp Note Non Call 1.400% 02/10/12		02/10/2012	Maturity		790,000	790,000	796,936	790,652	0	(652)	0	(652)	0	790,000	0	0	0	5,530	02/10/2012
09247X-AF-8	BlackRock Inc Corp Note MW 15BP 2.250% 12/10/12		12/10/2012	Maturity		1,500,000	1,500,000	1,520,995	1,518,969	0	(18,969)	0	(18,969)	0	1,500,000	0	0	0	33,750	12/10/2012
097014-AG-9	Boeing Cap Corp Note MW 30BP 6.500% 02/15/12		02/15/2012	Maturity		680,000	680,000	726,273	684,766	0	(4,766)	0	(4,766)	0	680,000	0	0	0	22,100	02/15/2012
10112R-AB-0	Boston Prop Corp Note Cont Call 6.250% 01/15/13		08/24/2012	Call	102.2452	162,570	159,000	164,230	160,711	0	1,859	0	1,859	0	162,570	0	0	0	11,014	01/15/2013
141781-AV-6	Cargill Inc Note Cont Call Prv Plc 5.600% 09/15/12		09/15/2012	Maturity		765,000	765,000	812,751	788,613	0	(23,613)	0	(23,613)	0	765,000	0	0	0	42,840	09/15/2012
14912L-2R-1	Caterpillar Fin Corp Note Non Call 4.700% 03/15/12		03/15/2012	Maturity		150,000	150,000	157,449	151,177	0	(1,177)	0	(1,177)	0	150,000	0	0	0	3,525	03/15/2012
14912L-4G-3	Caterpillar Fin Corp Note Non Call 5.750% 02/15/12		02/15/2012	Maturity		150,000	150,000	158,666	150,907	0	(907)	0	(907)	0	150,000	0	0	0	4,313	02/15/2012
172070-CN-2	Cincinnati Gas & Electric Corp Note MW 25BP 5.700% 09/15/12		09/15/2012	Maturity		500,000	500,000	528,849	517,666	0	(17,666)	0	(17,666)	0	500,000	0	0	0	28,500	09/15/2012
191216-AQ-3	Coca Cola Corp Note Non Call 0.553% 05/15/12		05/15/2012	Maturity		1,000,000	1,000,000	1,000,577	1,000,153	0	(153)	0	(153)	0	1,000,000	0	0	0	2,678	05/15/2012
20825C-AE-4	Conoco Phillips Corp Note Cont Call 4.750% 10/15/12		10/15/2012	Maturity		522,000	522,000	543,898	538,380	0	(16,380)	0	(16,380)	0	522,000	0	0	0	24,795	10/15/2012
24422E-QM-4	John Deere Capital Corp Note Non Call 4.950% 12/17/12		12/17/2012	Maturity		1,000,000	1,000,000	1,043,930	1,040,350	0	(40,350)	0	(40,350)	0	1,000,000	0	0	0	49,500	12/17/2012
24422E-QW-2	John Deere Capital Corp Note Non Call 5.250% 10/01/12		10/01/2012	Maturity		435,000	435,000	433,913	434,751	0	249	0	249	0	435,000	0	0	0	22,838	10/01/2012
24422E-QW-2	John Deere Capital Corp Note Non Call 5.250% 10/01/12		10/01/2012	Maturity		925,000	925,000	992,992	955,046	0	(30,046)	0	(30,046)	0	925,000	0	0	0	48,563	10/01/2012
302570-BG-0	FPL Group Cap Corp Note Non Call 0.710% 11/09/12		11/09/2012	Maturity		400,000	400,000	400,901	400,653	0	(653)	0	(653)	0	400,000	0	0	0	3,522	11/09/2012
34528X-AF-6	Ford Credit Auto FORDO 2008-C A4A ABS 5.160% 04/15/13		01/15/2012	Paydown		22,592	22,592	22,588	22,591	0	0	0	0	0	22,592	0	0	0	97	04/15/2013
34528X-AF-6	Ford Credit Auto FORDO 2008-C A4A ABS 5.160% 04/15/13		02/15/2012	Paydown		22,685	22,685	22,682	22,685	0	0	0	0	0	22,685	0	0	0	195	04/15/2013
34528X-AF-6	Ford Credit Auto FORDO 2008-C A4A ABS 5.160% 04/15/13		03/15/2012	Paydown		21,283	21,283	21,279	21,282	0	0	0	0	0	21,283	0	0	0	275	04/15/2013
34528X-AF-6	Ford Credit Auto FORDO 2008-C A4A ABS 5.160% 04/15/13		04/15/2012	Paydown		21,269	21,269	21,265	21,268	0	0	0	0	0	21,269	0	0	0	366	04/15/2013
34528X-AF-6	Ford Credit Auto FORDO 2008-C A4A ABS 5.160% 04/15/13		05/15/2012	Paydown		18,846	18,846	18,843	18,846	0	0	0	0	0	18,846	0	0	0	405	04/15/2013
34528X-AF-6	Ford Credit Auto FORDO 2008-C A4A ABS 5.160% 04/15/13		06/15/2012	Paydown		17,734	17,734	17,731	17,733	0	0	0	0	0	17,734	0	0	0	458	04/15/2013
34528X-AF-6	Ford Credit Auto FORDO 2008-C A4A ABS 5.160% 04/15/13		07/15/2012	Paydown		12,434	12,434	12,432	12,433	0	0	0	0	0	12,434	0	0	0	374	04/15/2013
36962G-3K-8	GE Cap Corp Note Non Call 5.250% 10/19/12		10/19/2012	Maturity		1,000,000	1,000,000	1,002,880	1,000,601	0	(601)	0	(601)	0	1,000,000	0	0	0	52,500	10/19/2012

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SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

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CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	
369626-X5-8	GE Cap Corp Note Non Call 0.573% 08/02/12		08/02/2012	Maturity		600,000	600,000	598,592	598,827	0	1,173	0	1,173	0	600,000	0	0	0	2,780	08/02/2012	
369626-Z4-9	GE Cap Corp Note Non Call 0.443% 11/01/12		11/01/2012	Maturity		500,000	500,000	496,361	498,442	0	1,558	0	1,558	0	500,000	0	0	0	3,056	11/01/2012	
428236-AL-7	Hewlett Packard Co Corp Note Cont Call 5.250% 03/01/12		03/01/2012	Maturity		850,000	850,000	898,000	856,334	0	(6,334)	0	(6,334)	0	850,000	0	0	0	22,313	03/01/2012	
459200-GV-7	IBM Corp Note Non Call 0.498% 06/15/12		06/15/2012	Maturity		750,000	750,000	750,000	750,000	0	0	0	0	0	750,000	0	0	0	2,058	06/15/2012	
50075N-AH-7	Kraft Foods Inc Corp Note Non Call 6.250% 06/01/12		06/01/2012	Maturity		590,000	590,000	606,449	591,920	0	(1,920)	0	(1,920)	0	590,000	0	0	0	18,438	06/01/2012	
57629W-BH-2	Massmutual Glob Note Non Call Prv Plc 3.625% 07/16/12		07/16/2012	Maturity		200,000	200,000	206,410	203,198	0	(3,198)	0	(3,198)	0	200,000	0	0	0	7,250	07/16/2012	
57629W-BK-5	MetLife Global Corp Note Non Call Prv Plc 2.875% 04/21/14		03/08/2012	UBS Financial Services		701,210	675,000	672,854	673,734	0	105	0	105	0	673,838	0	27,372	27,372	7,655	04/21/2014	
59217E-AP-9	MetLife Global Corp Note Non Call Prv Plc 0.796% 03/15/12		03/15/2012	Maturity		700,000	700,000	699,363	699,902	0	98	0	98	0	700,000	0	0	0	1,409	03/15/2012	
59217E-BZ-6	MetLife Global Corp Note Non Call Prv Plc 2.875% 09/17/12		09/17/2012	Maturity		750,000	750,000	773,220	759,034	0	(9,034)	0	(9,034)	0	750,000	0	0	0	21,563	09/17/2012	
59562V-AV-9	MidAmerican Energy Corp Note MW 30BP 3.150% 07/15/12		07/15/2012	Maturity		1,200,000	1,200,000	1,199,676	1,199,944	0	56	0	56	0	1,200,000	0	0	0	37,800	07/15/2012	
64952W-AJ-2	New York Life Corp Note Non Call Prv Plc 5.250% 10/16/12		10/16/2012	Maturity		700,000	700,000	755,629	723,715	0	(23,715)	0	(23,715)	0	700,000	0	0	0	36,750	10/16/2012	
64952W-AW-3	New York Life Corp Note Non Call Prv Plc 3.000% 05/04/15		03/07/2012	JP Morgan Chase		2,481,013	2,350,000	2,343,608	2,345,624	0	244	0	244	0	2,345,867	0	135,145	135,145	25,067	05/04/2015	
64952W-AY-9	New York Life Corp Note Non Call Prv Plc 0.631% 04/04/12		04/04/2012	Maturity		150,000	150,000	149,868	149,973	0	27	0	27	0	150,000	0	0	0	402	04/04/2012	
64952W-BA-0	New York Life Corp Note Non Call Prv Plc 0.484% 08/22/12		08/22/2012	Maturity		685,000	685,000	685,049	685,027	0	(27)	0	(27)	0	685,000	0	0	0	2,776	08/22/2012	
665772-BW-8	Northern States Power Corp Note Cont Call 8.000% 08/28/12		08/28/2012	Maturity		300,000	300,000	333,243	305,740	0	(5,740)	0	(5,740)	0	300,000	0	0	0	24,000	08/28/2012	
670346-AF-2	Nucor Corp Note MW 25BP PPut 5.000% 12/01/12		12/01/2012	Maturity		200,000	200,000	210,063	207,738	0	(7,738)	0	(7,738)	0	200,000	0	0	0	10,000	12/01/2012	
68371R-J7-2	PACCAR Inc Corp Note Non Call 1.950% 12/17/12		12/17/2012	Maturity		1,100,000	1,100,000	1,121,227	1,111,311	0	(11,311)	0	(11,311)	0	1,100,000	0	0	0	21,450	12/17/2012	
741531-EZ-6	Pricoa Global Corp Note Non Call Prv Plc 5.400% 10/18/12		10/18/2012	Maturity		450,000	450,000	485,209	464,935	0	(14,935)	0	(14,935)	0	450,000	0	0	0	24,300	10/18/2012	
74153W-AS-8	Pricoa Global Corp Note Non Call Prv Plc 4.625% 06/25/12		06/25/2012	Maturity		290,000	290,000	305,002	294,677	0	(4,677)	0	(4,677)	0	290,000	0	0	0	6,706	06/25/2012	
742718-DT-3	Proctor & Gamble Corp Note Non Call 0.350% 11/14/12		11/14/2012	Maturity		900,000	900,000	900,380	900,180	0	(180)	0	(180)	0	900,000	0	0	0	4,616	11/14/2012	
744320-BH-7	Prudential Financial Corp Note Non Call 3.625% 09/17/12		09/17/2012	Maturity		700,000	700,000	699,881	699,971	0	29	0	29	0	700,000	0	0	0	25,375	09/17/2012	
90331H-MB-6	US Bank Corp Note Non Call 0.534% 10/26/12		09/26/2012	Call	100.0000	850,000	850,000	852,613	851,152	0	(1,152)	0	(1,152)	0	850,000	0	0	0	5,516	10/26/2012	
927804-FD-1	VA Electric Corp Note Cont Call 5.100% 11/30/12		11/30/2012	Maturity		500,000	500,000	527,711	519,868	0	(19,868)	0	(19,868)	0	500,000	0	0	0	25,500	11/30/2012	
949746-NP-2	Wells Fargo Corp Note Non Call 0.651% 01/24/12		01/24/2012	Maturity		500,000	500,000	498,770	499,934	0	66	0	66	0	500,000	0	0	0	644	01/24/2012	
802815-AS-9	Santander US Corp Note Non Call Prv Plc 2.991% 10/07/13	F	03/20/2012	JP Morgan Chase		1,194,480	1,200,000	1,147,212	1,147,212	0	6,503	0	6,503	0	1,153,715	0	40,765	40,765	16,550	10/07/2013	
961214-BJ-1	Westpac Banking Corp Note Non Call 2.250% 11/19/12	F	11/19/2012	Maturity		1,450,000	1,450,000	1,448,782	1,449,634	0	366	0	366	0	1,450,000	0	0	0	32,625	11/19/2012	
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					38,405,092	38,177,843	38,924,272	38,493,413	0	(336,844)	0	(336,844)	0	38,156,572	0	248,518	248,518	952,412	XXX	
8399997	Total - Bonds - Part 4					158,351,190	154,519,916	161,447,725	157,811,023	0	(1,724,442)	0	(1,724,442)	0	156,086,558	0	2,264,628	2,264,628	4,070,604	XXX	
8399998	Total - Bonds - Part 5					18,768,876	18,197,952	18,725,428	0	0	(168,708)	0	(168,708)	0	18,556,723	0	212,154	212,154	254,064	XXX	
8399999	Total - Bonds					177,120,066	172,717,868	180,173,153	157,811,023	0	(1,893,150)	0	(1,893,150)	0	174,643,281	0	2,476,782	2,476,782	4,324,668	XXX	
8999997	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1 CUSIP Identi- fication	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Con- sideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date
										11 Unrealized Valuation Increase/ Decrease	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other Than Temporary Impairment Recognized	14 Total Change in Book/ Adjusted Carrying Value (11+12-13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value						
8999998. Total - Preferred Stocks - Part 5						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9799997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9799998. Total - Common Stocks - Part 5						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9799999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9999999 - Totals						177,120,066	XXX	180,173,153	157,811,023	0	(1,893,150)	0	(1,893,150)	0	174,643,281	0	2,476,782	2,476,782	4,324,668	XXX

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21
											12	13	14	15	16					
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consideration	Book/ Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (12 + 13 - 14)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends
313379-GP-8	FHLB Note Call 0.300% 06/10/13		05/09/2012	CastleOak Securities	07/25/2012	Call	100.0000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	0	0	0	1,000	0
313379-JC-4	FHLB Note Call 0.500% 06/05/14		05/31/2012	Mizuho Investor Sec Co	07/05/2012	Call	100.0000	1,500,000	1,500,000	1,500,000	0	0	0	0	0	0	0	0	625	0
0599999. Subtotal - Bonds - U.S. Governments								3,500,000	3,500,000	3,500,000	0	0	0	0	0	0	0	0	1,625	0
452152-MT-5	IL St GO Non Call 5.000% 08/01/19		05/02/2012	DEFFA First Albany	12/11/2012	CitiGroup		2,500,000	2,817,525	2,930,475	0	(23,555)	0	(23,555)	0	0	136,505	136,505	75,000	0
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions								2,500,000	2,817,525	2,930,475	0	(23,555)	0	(23,555)	0	0	136,505	136,505	75,000	0
3128PY-IH-3	FHLMC Pool J18748 MBS 3.000% 04/01/27		05/15/2012	Montgomery Securities	06/01/2012	Paydown	8,978	9,431	8,978	8,978	0	(453)	0	(453)	0	0	0	0	22	12
3128PY-IH-3	FHLMC Pool J18748 MBS 3.000% 04/01/27		05/15/2012	Montgomery Securities	07/01/2012	Paydown	7,886	8,284	7,886	7,886	0	(398)	0	(398)	0	0	0	0	39	11
3128PY-IH-3	FHLMC Pool J18748 MBS 3.000% 04/01/27		05/15/2012	Montgomery Securities	08/01/2012	Paydown	8,418	8,843	8,418	8,418	0	(425)	0	(425)	0	0	0	0	63	11
3128PY-IH-3	FHLMC Pool J18748 MBS 3.000% 04/01/27		05/15/2012	Montgomery Securities	09/01/2012	Paydown	8,542	8,973	8,542	8,542	0	(431)	0	(431)	0	0	0	0	85	11
3128PY-IH-3	FHLMC Pool J18748 MBS 3.000% 04/01/27		05/15/2012	Montgomery Securities	10/01/2012	Paydown	8,490	8,919	8,490	8,490	0	(429)	0	(429)	0	0	0	0	106	11
3128PY-IH-3	FHLMC Pool J18748 MBS 3.000% 04/01/27		05/15/2012	Montgomery Securities	11/01/2012	Paydown	8,510	8,940	8,510	8,510	0	(430)	0	(430)	0	0	0	0	128	11
3128PY-IH-3	FHLMC Pool Q06344 MBS 4.000% 02/01/42		05/15/2012	Montgomery Securities	12/01/2012	Paydown	8,476	8,904	8,476	8,476	0	(428)	0	(428)	0	0	0	0	148	11
3132GR-LZ-2	FHLMC Pool Q06344 MBS 4.000% 02/01/42		03/19/2012	Morgan Stanley	04/01/2012	Paydown	4,042	4,259	4,042	4,042	0	(217)	0	(217)	0	0	0	0	13	9
3132GR-LZ-2	FHLMC Pool Q06344 MBS 4.000% 02/01/42		03/19/2012	Morgan Stanley	05/01/2012	Paydown	21,159	22,295	21,159	21,159	0	(1,136)	0	(1,136)	0	0	0	0	141	49
3132GR-LZ-2	FHLMC Pool Q06344 MBS 4.000% 02/01/42		03/19/2012	Morgan Stanley	06/01/2012	Paydown	3,894	4,103	3,894	3,894	0	(209)	0	(209)	0	0	0	0	39	9
3132GR-LZ-2	FHLMC Pool Q06344 MBS 4.000% 02/01/42		03/19/2012	Morgan Stanley	07/01/2012	Paydown	3,892	4,101	3,892	3,892	0	(209)	0	(209)	0	0	0	0	52	9
3132GR-LZ-2	FHLMC Pool Q06344 MBS 4.000% 02/01/42		03/19/2012	Morgan Stanley	08/01/2012	Paydown	40,606	42,785	40,606	40,606	0	(2,179)	0	(2,179)	0	0	0	0	677	95
3132GR-LZ-2	FHLMC Pool Q06344 MBS 4.000% 02/01/42		03/19/2012	Morgan Stanley	09/01/2012	Paydown	56,847	59,899	56,847	56,847	0	(3,051)	0	(3,051)	0	0	0	0	1,137	133
3132GR-LZ-2	FHLMC Pool Q06344 MBS 4.000% 02/01/42		03/19/2012	Morgan Stanley	10/01/2012	Paydown	55,404	58,378	55,404	55,404	0	(2,974)	0	(2,974)	0	0	0	0	1,293	129
3132GR-LZ-2	FHLMC Pool Q06344 MBS 4.000% 02/01/42		03/19/2012	Morgan Stanley	11/01/2012	Paydown	39,432	41,548	39,432	39,432	0	(2,116)	0	(2,116)	0	0	0	0	1,052	92
3132GR-LZ-2	FHLMC Pool Q09862 MBS 3.500% 08/01/42		03/19/2012	Morgan Stanley	12/01/2012	Paydown	56,710	59,754	56,710	56,710	0	(3,044)	0	(3,044)	0	0	0	0	1,701	132
3132GV-JF-0	FHLMC Pool Q09862 MBS 3.500% 08/01/42		09/04/2012	Nomura Securities	10/01/2012	Paydown	1,282	1,371	1,282	1,282	0	(88)	0	(88)	0	0	0	0	4	2
3132GV-JF-0	FHLMC Pool Q09862 MBS 3.500% 08/01/42		09/04/2012	Nomura Securities	11/01/2012	Paydown	1,139	1,217	1,139	1,139	0	(78)	0	(78)	0	0	0	0	7	1
3132GV-JF-0	FHLMC Pool Q09862 MBS 3.500% 08/01/42		09/04/2012	Nomura Securities	12/01/2012	Paydown	1,012	1,081	1,012	1,012	0	(70)	0	(70)	0	0	0	0	9	1
313376-VS-1	FHLB Note Call 0.200% 02/20/13		01/20/2012	BNP Paribar Securities Corp	10/24/2012	Call	100.0000	1,500,000	1,500,000	1,500,000	0	0	0	0	0	0	0	0	2,250	0
313378-SH-0	FHLB Note Call 0.230% 03/08/13		02/09/2012	Scotiabank Corporation	04/28/2012	Call	100.0000	1,500,000	1,500,000	1,500,000	0	0	0	0	0	0	0	0	575	0
313378-GR-6	FHLB Note Call 0.260% 03/05/13		02/24/2012	BNP Paribar Securities Corp	09/05/2012	Call	100.0000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	0	0	0	2,600	0
313380-K9-7	FHLB Note Call 0.450% 09/04/14		08/16/2012	BNP Paribar Securities Corp	10/04/2012	Call	100.0000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	0	0	0	750	0
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		05/15/2012	Nomura Securities	06/01/2012	Paydown	7,547	8,144	7,547	7,547	0	(598)	0	(598)	0	0	0	0	25	14
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		05/15/2012	Nomura Securities	07/01/2012	Paydown	7,138	7,703	7,138	7,138	0	(565)	0	(565)	0	0	0	0	48	13
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		05/15/2012	Nomura Securities	08/01/2012	Paydown	7,164	7,731	7,164	7,164	0	(568)	0	(568)	0	0	0	0	72	14
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		05/15/2012	Nomura Securities	09/01/2012	Paydown	7,190	7,760	7,190	7,190	0	(570)	0	(570)	0	0	0	0	96	14
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		05/15/2012	Nomura Securities	10/01/2012	Paydown	7,216	7,788	7,216	7,216	0	(572)	0	(572)	0	0	0	0	120	14

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21
											12	13	14	15	16					
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consideration	Book/ Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Valu (12 + 13 - 14)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		05/15/2012	Nomura Securities	11/01/2012	Paydown	222,357	239,972	222,357	222,357	0	(17,615)	0	(17,615)	0	0	0	0	4,447	420
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		05/15/2012	Nomura Securities	12/01/2012	Paydown	6,976	7,528	6,976	6,976	0	(553)	0	(553)	0	0	0	0	163	13
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		05/15/2012	Barclays Group Inc.	06/01/2012	Paydown	15,195	15,981	15,195	15,195	0	(786)	0	(786)	0	0	0	0	38	20
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		05/15/2012	Barclays Group Inc.	07/01/2012	Paydown	70,674	74,329	70,674	70,674	0	(3,655)	0	(3,655)	0	0	0	0	353	94
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		05/15/2012	Barclays Group Inc.	08/01/2012	Paydown	16,750	17,616	16,750	16,750	0	(866)	0	(866)	0	0	0	0	126	22
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		05/15/2012	Barclays Group Inc.	09/01/2012	Paydown	16,330	17,175	16,330	16,330	0	(845)	0	(845)	0	0	0	0	163	22
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		05/15/2012	Barclays Group Inc.	10/01/2012	Paydown	16,898	17,771	16,898	16,898	0	(874)	0	(874)	0	0	0	0	211	23
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		05/15/2012	Barclays Group Inc.	11/01/2012	Paydown	16,417	17,266	16,417	16,417	0	(849)	0	(849)	0	0	0	0	246	22
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		05/15/2012	Barclays Group Inc.	12/01/2012	Paydown	16,475	17,327	16,475	16,475	0	(852)	0	(852)	0	0	0	0	288	22
3138EA-SG-3	FNMA Pool AK5918 MBS 4.000% 03/01/42		04/02/2012	Nomura Securities	05/01/2012	Paydown	4,369	4,622	4,369	4,369	0	(253)	0	(253)	0	0	0	0	15	5
3138EA-SG-3	FNMA Pool AK5918 MBS 4.000% 03/01/42		04/02/2012	Nomura Securities	06/01/2012	Paydown	4,375	4,629	4,375	4,375	0	(254)	0	(254)	0	0	0	0	29	5
3138EA-SG-3	FNMA Pool AK5918 MBS 4.000% 03/01/42		04/02/2012	Nomura Securities	07/01/2012	Paydown	72,849	77,072	72,849	72,849	0	(4,223)	0	(4,223)	0	0	0	0	728	89
3138EA-SG-3	FNMA Pool AK5918 MBS 4.000% 03/01/42		04/02/2012	Nomura Securities	08/01/2012	Paydown	3,880	4,105	3,880	3,880	0	(225)	0	(225)	0	0	0	0	52	5
3138EA-SG-3	FNMA Pool AK5918 MBS 4.000% 03/01/42		04/02/2012	Nomura Securities	09/01/2012	Paydown	79,882	84,513	79,882	79,882	0	(4,631)	0	(4,631)	0	0	0	0	1,331	98
3138EA-SG-3	FNMA Pool AK5918 MBS 4.000% 03/01/42		04/02/2012	Nomura Securities	10/01/2012	Paydown	3,511	3,714	3,511	3,511	0	(204)	0	(204)	0	0	0	0	70	4
3138EA-SG-3	FNMA Pool AK5918 MBS 4.000% 03/01/42		04/02/2012	Nomura Securities	11/01/2012	Paydown	4,220	4,465	4,220	4,220	0	(245)	0	(245)	0	0	0	0	98	5
3138EA-SG-3	FNMA Pool AK9896 MBS 3.500% 07/01/42		04/02/2012	Nomura Securities	12/01/2012	Paydown	149,961	158,654	149,961	149,961	0	(8,693)	0	(8,693)	0	0	0	0	3,999	183
3138EE-7J-2	FNMA Pool AK9896 MBS 3.500% 07/01/42		09/04/2012	Nomura Securities	10/01/2012	Paydown	2,032	2,168	2,032	2,032	0	(136)	0	(136)	0	0	0	0	6	2
3138EE-7J-2	FNMA Pool AK9896 MBS 3.500% 07/01/42		09/04/2012	Nomura Securities	11/01/2012	Paydown	2,098	2,239	2,098	2,098	0	(141)	0	(141)	0	0	0	0	12	2
3138EE-7J-2	FNMA Pool AK9896 MBS 3.500% 07/01/42		09/04/2012	Nomura Securities	12/01/2012	Paydown	2,107	2,248	2,107	2,107	0	(141)	0	(141)	0	0	0	0	18	2
3138M4-E3-2	FNMA Pool AP1053 MBS 3.500% 07/01/42		09/04/2012	Montgomery Securities	10/01/2012	Paydown	23,876	25,480	23,876	23,876	0	(1,604)	0	(1,604)	0	0	0	0	70	28
3138M4-E3-2	FNMA Pool AP1053 MBS 3.500% 07/01/42		09/04/2012	Montgomery Securities	11/01/2012	Paydown	3,224	3,441	3,224	3,224	0	(217)	0	(217)	0	0	0	0	19	4
3138M4-E3-2	FNMA Pool AP1053 MBS 3.500% 07/01/42		09/04/2012	Montgomery Securities	12/01/2012	Paydown	2,839	3,030	2,839	2,839	0	(191)	0	(191)	0	0	0	0	25	3
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		09/05/2012	Montgomery Securities	10/01/2012	Paydown	1,398	1,496	1,398	1,398	0	(98)	0	(98)	0	0	0	0	4	2
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		09/05/2012	Montgomery Securities	11/01/2012	Paydown	1,320	1,413	1,320	1,320	0	(92)	0	(92)	0	0	0	0	8	2
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		09/05/2012	Montgomery Securities	12/01/2012	Paydown	1,320	1,413	1,320	1,320	0	(92)	0	(92)	0	0	0	0	12	2
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		09/06/2012	JP Morgan Chase	10/01/2012	Paydown	334	357	334	334	0	(22)	0	(22)	0	0	0	0	1	0
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		09/06/2012	JP Morgan Chase	11/01/2012	Paydown	345	368	345	345	0	(23)	0	(23)	0	0	0	0	2	0
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		09/06/2012	JP Morgan Chase	12/01/2012	Paydown	335	357	335	335	0	(22)	0	(22)	0	0	0	0	3	0

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21	
											12	13	14	15	16						
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consideration	Book/ Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Valu (12 + 13 - 14)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends	
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		09/05/2012	Montgomery Securities	10/01/2012	Paydown	937	1,004	937	937	0	(68)	0	(68)	0	0	0	0	3	1	
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		09/05/2012	Montgomery Securities	11/01/2012	Paydown	1,437	1,541	1,437	1,437	0	(104)	0	(104)	0	0	0	0	8	2	
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		09/05/2012	Montgomery Securities	12/01/2012	Paydown	22,257	23,871	22,257	22,257	0	(1,614)	0	(1,614)	0	0	0	0	195	26	
3199999. Subtotal - Bonds - U.S. Special Revenues							8,167,952	8,239,376	8,167,952	8,167,952	0	(71,426)	0	(71,426)	0	0	0	0	25,995	1,936	
564480-AQ-9	Mack Cali Realty Corp Note Call 4.500% 04/18/22		04/10/2012	CitiGroup	07/30/2012	Montgomery Securities	1,080,000	1,077,851	1,142,672	1,077,897	0	46	0	46	0	0	64,776	64,776	13,905	0	
629491-AA-9	NYSE EURONEXT Corp Note Non Call 4.800% 06/28/13		01/23/2012	Merrill Lynch	10/05/2012	Redemption	1,150,000	1,210,076	1,187,927	1,180,922	0	(29,154)	0	(29,154)	0	0	7,005	7,005	42,473	4,293	
629491-AA-9	NYSE EURONEXT Corp Note Non Call 4.800% 06/28/13		06/11/2012	Barclays Group Inc.	10/05/2012	Redemption	1,000,000	1,041,418	1,032,980	1,029,112	0	(12,306)	0	(12,306)	0	0	3,868	3,868	36,933	22,133	
907818-CY-2	Union Pacific Corp Note MW 20BP PPut 5.450% 01/31/13		01/04/2012	Mizuho Investor Sec Co	11/30/2012	Call	800,000	839,182	806,870	806,870	0	(32,313)	0	(32,313)	0	0	0	0	58,133	19,257	
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)							4,030,000	4,168,527	4,170,449	4,094,801	0	(73,727)	0	(73,727)	0	0	75,649	75,649	151,444	45,683	
8399998. Total - Bonds							18,197,952	18,725,428	18,768,876	18,556,723	0	(168,708)	0	(168,708)	0	0	212,154	212,154	254,064	47,619	
8999998. Total - Preferred Stocks							0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
9799998. Total - Common Stocks							0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
9899999. Total - Preferred and Common Stocks							0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
9999999 - Totals							18,725,428	18,768,876	18,556,723	0	(168,708)	0	(168,708)	0	0	212,154	212,154	254,064	47,619		

Schedule D-Part 6-Section 1-Valuation of Shares of Subsidiary, Controlled or Affiliated Companies

N O N E

Schedule D - Part 6 - Section 2

N O N E

ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE DA - PART 1

Showing All SHORT-TERM INVESTMENTS Owned December 31 of Current Year

1	2	Codes		5	6	7	8	Change in Book/Adjusted Carrying Value				13	14	Interest					21				
		3	4					9	10	11	12			15	16	17	18	19		20			
CUSIP Identification	Description	Code	Foreign	Date Acquired	Name of Vendor	Maturity Date	Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Par Value	Actual Cost	Amount Due and Accrued Dec. 31 of Current Year on Bonds not in Default	Non-Admitted Due and Accrued	Rate of	Effective Rate of	When Paid	Amount Received During Year	Paid for Accrued Interest			
	US Treasury Note			09/14/2012	Montgomery Securities	08/15/2013	2,050,533	0	(23,373)	0	0	2,000,000	2,073,906	32,106	0	4.250	0.185	FA	0	7,622			
	US Treasury Note			08/14/2012	Morgan Stanley	07/31/2013	2,036,719	0	(23,828)	0	0	2,000,000	2,060,547	28,247	0	3.375	0.214	JJ	0	2,751			
	US Treasury Bond			03/05/2012	Morgan Stanley	02/15/2013	1,502,162	0	(14,537)	0	0	1,500,000	1,516,699	7,790	0	1.375	0.195	FA	10,313	1,133			
	US Treasury Note			12/19/2012	Goldman Sachs	12/15/2013	2,010,572	0	(365)	0	0	2,000,000	2,010,938	701	0	0.750	0.195	JD	0	206			
0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations							7,599,986	0	(62,103)	0	0	7,500,000	7,662,090	68,844	0	XXX	XXX	XXX	10,313	11,712			
0599999. Total - U.S. Government Bonds							7,599,986	0	(62,103)	0	0	7,500,000	7,662,090	68,844	0	XXX	XXX	XXX	10,313	11,712			
1099999. Total - All Other Government Bonds							0	0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0		
1799999. Total - U.S. States, Territories and Possessions Bonds							0	0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0		
2499999. Total - U.S. Political Subdivisions Bonds							0	0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0		
	FHLB Note Non Call			03/29/2012	ScotiaMcleod Corporation	01/29/2013	2,000,198	0	(1,962)	0	0	2,000,000	2,002,160	3,167	0	0.375	0.245	JJ	3,750	1,271			
	FHLB Note Non Call			02/15/2012	HSBC Securities Inc	01/03/2013	1,499,993	0	1,163	0	0	1,500,000	1,498,830	927	0	0.125	0.214	JJ	938	224			
	FHLMC Note Non Call			07/31/2012	RBC Capital Markets	04/15/2013	2,008,122	0	(11,926)	0	0	2,000,000	2,020,050	6,861	0	1.625	0.202	AO	16,250	9,569			
2599999. Subtotal - Bonds - U.S. Special Revenues - Issuer Obligations							5,508,313	0	(12,727)	0	0	5,500,000	5,521,040	10,955	0	XXX	XXX	XXX	20,938	11,064			
3199999. Total - U.S. Special Revenues Bonds							5,508,313	0	(12,727)	0	0	5,500,000	5,521,040	10,955	0	XXX	XXX	XXX	20,938	11,064			
	AT&T Broadband Corp Note Non Call			07/19/2012	Wachovia Bank	03/15/2013	1,015,743	0	(34,319)	0	0	1,000,000	1,050,062	24,660	0	8.375	0.547	MS	41,875	30,010			
	American Express Cr Corp Note Non Call			10/17/2012	Bank of New York	08/20/2013	2,087,010	0	(26,290)	0	0	2,000,000	2,113,300	53,128	0	7.300	0.435	FA	0	25,144			
	Anheuser Busch Corp Note MW 15BP			12/12/2012	KEYBANC CAP/ McDonald	03/26/2013	1,005,074	0	(906)	0	0	1,000,000	1,005,980	6,587	0	2.500	0.322	MS	0	5,625			
	Apache Corp Note MW 12.5BP			10/19/2012	Mizuho Investor Sec Co	04/15/2013	608,462	0	(5,614)	0	0	600,000	614,076	6,650	0	5.250	0.304	AO	0	788			
	BNY Mellon Corp Note Non Call			09/17/2012	US Bancorp	04/01/2013	1,010,337	0	(11,843)	0	0	1,000,000	1,022,180	11,250	0	4.500	0.313	AO	22,500	21,125			
	Berkshire Hathaway Corp Note Cont Call			03/23/2012	Barclays Group Inc.	01/15/2013	1,001,545	0	(30,815)	0	0	1,000,000	1,032,360	20,750	0	4.500	0.429	JJ	22,500	9,125			
	Berkshire Hathaway Corp Note Non Call			03/12/2012	Barclays Group Inc.	02/11/2013	1,001,909	0	(13,591)	0	0	1,000,000	1,015,500	8,264	0	2.125	0.408	FA	10,625	2,007			
	Cardinal Health Inc Corp Note MW 35BP			07/10/2012	Morgan Stanley	06/15/2013	1,021,619	0	(22,291)	0	0	1,000,000	1,043,910	2,444	0	5.500	0.714	JD	27,500	4,278			
	Caterpillar Fin Corp Note Non Call			05/21/2012	BNP Paribas Securities Corp	04/05/2013	1,003,926	0	(9,194)	0	0	1,000,000	1,013,120	4,778	0	2.000	0.476	AO	10,000	2,722			
	Chubb Corp Note MW 20BP			04/26/2012	Montgomery Securities	04/01/2013	1,011,371	0	(31,239)	0	0	1,000,000	1,042,610	13,000	0	5.200	0.588	AO	26,000	3,756			
	Cons Edison NY Corp Note Cont Call			02/23/2012	Scott & Stringfellow	02/01/2013	1,003,608	0	(36,432)	0	0	1,000,000	1,040,040	20,313	0	4.875	0.580	FA	24,375	3,115			
	Danaher Corp Note Non Call			09/06/2012	Barclays Group Inc.	06/21/2013	500,762	0	(498)	0	0	500,000	501,260	86	0	0.560	0.397	MJSD	1,708	818			
	Duke Capital Corp Note MW 30BP			03/02/2012	Mizuho Investor Sec Co	02/15/2013	905,730	0	(38,724)	0	0	900,000	944,454	21,250	0	6.250	1.017	FA	28,125	2,760			
	Duke Realty Corp Note MW 50BP			11/26/2012	Cortview Capital	05/15/2013	1,020,068	0	(4,942)	0	0	1,000,000	1,025,010	7,986	0	6.250	0.804	JN	0	2,431			
	Florida Pwr Corp Note Cont Call			03/05/2012	Mizuho Investor Sec Co	02/01/2013	1,003,691	0	(35,576)	0	0	1,000,000	1,039,267	20,208	0	4.850	0.459	FA	24,250	4,985			
	Goldman Sachs Corp Note Non Call			12/14/2012	Barclays Group Inc.	04/01/2013	2,225,440	0	(3,855)	0	0	2,200,000	2,229,294	28,875	0	5.250	0.566	AO	0	24,821			
	Kroger Company Corp Note Cont Call			03/01/2012	Wachovia Bank	02/01/2013	251,031	0	(9,999)	0	0	250,000	261,030	5,729	0	5.500	0.592	FA	6,875	1,337			
	Kroger Company Corp Note MW 40BP PPut			04/26/2012	Bank of New York	04/15/2013	475,812	0	(13,595)	0	0	470,000	489,406	4,961	0	5.000	0.658	AO	11,750	1,044			
	McKesson Corp Note MW 15BP			10/03/2012	Barclays Group Inc.	03/01/2013	1,007,578	0	(33,956)	0	0	1,000,000	1,041,534	17,500	0	5.250	0.587	MS	26,250	5,542			
	National City Bank Corp Note Non Call			10/22/2012	Mizuho Investor Sec Co	03/01/2013	600,159	0	(189)	0	0	600,000	600,348	198	0	0.411	0.359	MJSD	777	425			
	National City Bank Corp Note Non Call			05/29/2012	First Tennessee	05/01/2013	1,012,068	0	(21,228)	0	0	1,000,000	1,033,296	7,708	0	4.625	0.967	JN	23,125	3,854			
	Nestle Cap Corp Disc Comm Paper	E		10/03/2012	CitiGroup	05/13/2013	2,397,711	0	1,524	0	0	2,400,000	2,396,187	0	0	0.260	0.264	JMAT	0	0			
	New York Life Corp Note Non Call Prv Plc			07/11/2012	US Bancorp	05/09/2013	1,014,927	0	(19,403)	0	0	1,000,000	1,034,330	6,717	0	4.650	0.420	JN	23,250	8,654			
	Prisco Global Corp Note Non Call Prv Plc			10/05/2012	Mizuho Investor Sec Co	09/27/2013	776,612	0	(8,188)	0	0	750,000	784,800	10,379	0	5.300	0.456	MS	0	1,546			
	Principal Life Inc Fdg Note Non Call Prv Plc			03/21/2012	First Tennessee	01/15/2013	342,595	0	(11,940)	0	0	342,000	354,534	8,279	0	5.250	0.664	JJ	8,978	3,541			
	St Jude Medical Corp Note MW 15BP			09/20/2012	Goldman Sachs	09/15/2013	606,538	0	(2,516)	0	0	600,000	609,054	3,887	0	2.200	0.640	MS	0	367			
	Time Warner Corp Note Cont Call			07/26/2012	Cortview Capital	07/01/2013	1,027,765	0	(23,065)	0	0	1,000,000	1,050,830	31,000	0	6.200	0.629	JJ	0	5,167			
	Toyota Motor Cr Corp Note Non Call			07/19/2012	Deutsche Bank	07/19/2013	2,550,000	0	0	0	0	2,550,000	2,550,000	2,101	0	0.401	0.535	JAJO	3,279	0			
	Travelers Corp Note Cont Call			04/20/2012	Bank of New York	03/15/2013	2,017,788	0	(63,721)	0	0	2,000,000	2,081,509	29,444	0	5.000	0.577	MS	50,000	7,500			
	VF Corp Note Call			09/05/2012	Mizuho Investor Sec Co	08/23/2013	1,004,707	0	(2,269)	0	0	1,000,000	1,006,976	1,150	0	1.062	0.458	FMAN	3,025	592			
	Valero Energy Corp Note MW 25BP			08/07/2012	Jefferys and Company	06/15/2013	1,018,325	0	(15,875)	0	0	1,000,000	1,034,200	2,111	0	4.750	0.693	JD	23,750	7,257			
	Walgreen Corp Note MW 30BP PPut			12/05/2012	Barclays Group Inc.	08/01/2013	1,605,091	0	(11,493)	0	0	1,566,000	1,616,583	31,809	0	4.875	0.581	FA	0	18,695			
	Wells Fargo Corp Note Non Call			03/27/2012	Barclays Group Inc.	01/31/2013	1,003,058	0	(28,162)	0	0	1,000,000	1,031,220	18,351	0	4.375	0.612	JJ	21,875	7,292			
	Wellpoint Inc Disc Comm Paper			08/16/2012	Montgomery Securities	02/11/2013	999,544	0	1,522	0	0	1,000,000	998,022	0	0	0.400	0.406	JMAT	0	0			
	WI Electric Power Corp Note MW 12.5BP			05/29/2012	Barclays Group Inc.	05/15/2013	1,013,993	0	(22,067)	0	0	1,000,000	1,036,060	5,750	0	4.500	0.707	JN	23,466	2,000			
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations							38,151,597	0	(590,749)	0	0	37,728,000	38,742,342	437,313	0	XXX	XXX	XXX	465,858	218,323			
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds							38,151,597	0	(590,749)	0	0	37,728,000	38,742,342	437,313	0	XXX	XXX	XXX	465,858	218,323			
4899999. Total - Hybrid Securities							0	0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0		
5599999. Total - Parent, Subsidiaries and Affiliates Bonds							0	0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0		
7799999. Total - Issuer Obligations							51,259,896	0	(665,579)	0	0	50,728,000	51,925,472	517,112	0	XXX	XXX	XXX	497,109	241,099			
7899999. Total - Residential Mortgage-Backed Securities							0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	
7999999. Total - Commercial Mortgage-Backed Securities							0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0

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ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE DA - PART 1

Showing All SHORT-TERM INVESTMENTS Owned December 31 of Current Year

1	2	Codes		5	6	7	8	Change in Book/Adjusted Carrying Value				13	14	Interest					21			
		3	4					9	10	11	12			15	16	17	18	19		20		
CUSIP Identi- fication	Description	Code	For- eign	Date Acquired	Name of Vendor	Maturity Date	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Par Value	Actual Cost	Amount Due and Accrued Dec. 31 of Current Year on Bonds not in Default	Non- Admitted Due and Accrued	Rate of	Effective Rate of	When Paid	Amount Received During Year	Paid for Accrued Interest		
8099999. Total - Other Loan-Backed and Structured Securities							0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
8399999. Total Bonds							51,259,896	0	(665,579)	0	0	0	50,728,000	51,925,472	517,112	0	0	XXX	XXX	XXX	497,109	241,099
8699999. Total - Parent, Subsidiaries and Affiliates							0	0	0	0	0	0	XXX	0	0	0	0	XXX	XXX	XXX	0	0
31846V-54-2	First American Treasury Obligations Fund Z			09/02/2011	Bank New York Mellon	XXX	605	0	0	0	0	0	605	0	0	0.000	0.000		0	0		
31846V-56-7	First American Government Obligations Fund Z			11/02/2012	No Broker	XXX	531	0	0	0	0	0	531	0	0	0.000	0.000		3	0		
609068-DF-5	Federated Treas Oblg Fund 60934N500	SD		11/15/2012	Sun Trust Bank	XXX	6,588	0	0	0	0	0	6,588	0	0	0.000	0.000		0	0		
61747C-70-7	Morgan Stanley Institutional Liquidity Fund Government Port			11/02/2012	Bank New York Mellon	XXX	711	0	0	0	0	0	711	0	0	0.000	0.000		3	0		
94975H-29-6	Wells Fargo Treasury Plus MMKT Fund - Inst			02/02/2010	Bank New York Mellon	XXX	36	0	0	0	0	0	36	0	0	0.000	0.000		0	0		
8899999. Subtotal - Exempt Money Market Mutual Funds							8,471	0	0	0	0	0	XXX	8,471	0	0	XXX	XXX	XXX	6	0	
097100-51-5	Bank of America Money Market Reserves			12/27/2012	No Broker	XXX	734,046	0	0	0	0	0	734,046	0	0	0.000	0.000		58	0		
23337T-20-1	DWS MMKT Inst Shares			12/14/2012	No Broker	XXX	13,983,996	0	0	0	0	0	13,983,996	0	0	0.000	0.000		230	0		
26200T-10-9	Dreyfus Inst Preferred Money Market			12/14/2012	No Broker	XXX	10,062,632	0	0	0	0	0	10,062,632	0	0	0.000	0.000		104	0		
26200V-10-4	Dreyfus Institutional Cash Advantage			12/10/2012	No Broker	XXX	466,513	0	0	0	0	0	466,513	0	0	0.000	0.000		1,949	0		
31846V-62-5	First American Prime Obligations MMF Class Z			12/14/2012	No Broker	XXX	445,680	0	0	0	0	0	445,680	0	0	0.000	0.000		360	0		
38141W-23-2	Goldman Sachs Money Market Fund			12/26/2012	No Broker	XXX	13,584,727	0	0	0	0	0	13,584,727	0	0	0.000	0.000		3,479	0		
38141W-27-3	Goldman Sachs Government Fund Morgan Stanley Institutional Liquidity Funds Prime Port			11/02/2012	No Broker	XXX	682	0	0	0	0	0	682	0	0	0.000	0.000		11	0		
61747C-71-5	Prime Port			12/14/2012	No Broker	XXX	50,390,323	0	0	0	0	0	50,390,323	0	0	0.000	0.000		12,499	0		
665278-70-1	Northern Inst Govt Select Port			12/31/2012	No Broker	XXX	19,515,279	0	0	0	0	0	19,515,279	0	0	0.000	0.000		392	0		
90262Y-50-5	UBS Global Asset Mgmt Select Prime Inst Fund			12/19/2012	No Broker	XXX	1,126,363	0	0	0	0	0	1,126,363	0	0	0.000	0.000		325	0		
94975P-40-5	Wells Fargo Government MMKT Fund-Inst Cls			05/02/2012	No Broker	XXX	46	0	0	0	0	0	46	0	0	0.000	0.000		0	0		
949917-39-7	Wells Fargo Advantage Heritage Money Fund			12/10/2012	No Broker	XXX	182,333	0	0	0	0	0	182,333	0	0	0.000	0.000		729	0		
	UHC Liquidity Pool Pro Rata Share Portion			12/31/2012	No Broker	XXX	21,095,556	0	0	0	0	0	21,095,561	0	0	0.000	0.000		4,757	0		
8999999. Subtotal - Class One Money Market Mutual Funds							131,588,176	0	0	0	0	0	XXX	131,588,181	0	0	XXX	XXX	XXX	24,893	0	
9199999 - Totals							182,856,543	0	(665,579)	0	0	0	0	XXX	183,522,124	517,112	0	XXX	XXX	XXX	522,008	241,099

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part A - Section 2 - Options, Caps, Floors, Collars, Swaps and Forwards Terminated

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part B - Section 2 - Futures Contracts Terminated

N O N E

Schedule DB - Part B - Section 2B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part D - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned December 31 of Current Year

1 Description	2 Code	3 Date Acquired	4 Rate of Interest	5 Maturity Date	6 Book/Adjusted Carrying Value	7 Amount of Interest Due and Accrued	8 Amount Received During Year
NONE							
8699999 - Total Cash Equivalents							

SCHEDULE E - PART 3 - SPECIAL DEPOSITS

States, Etc.	1 Type of Deposit	2 Purpose of Deposit	Deposits For the Benefit of All Policyholders		All Other Special Deposits	
			3 Book/Adjusted Carrying Value	4 Fair Value	5 Book/Adjusted Carrying Value	6 Fair Value
1. Alabama	AL		0	0	0	0
2. Alaska	AK		0	0	0	0
3. Arizona	AZ		0	0	0	0
4. Arkansas	AR		0	0	0	0
5. California	CA		0	0	0	0
6. Colorado	CO		0	0	0	0
7. Connecticut	CT		0	0	0	0
8. Delaware	DE		0	0	0	0
9. District of Columbia	DC		0	0	0	0
10. Florida	FL		0	0	0	0
11. Georgia	GA		0	0	0	0
12. Hawaii	HI		0	0	0	0
13. Idaho	ID		0	0	0	0
14. Illinois	IL	B II ins code 215 ilcs 5-26	6,816,504	6,866,749	0	0
15. Indiana	IN		0	0	0	0
16. Iowa	IA		0	0	0	0
17. Kansas	KS		0	0	0	0
18. Kentucky	KY		0	0	0	0
19. Louisiana	LA		0	0	0	0
20. Maine	ME		0	0	0	0
21. Maryland	MD		0	0	0	0
22. Massachusetts	MA		0	0	0	0
23. Michigan	MI		0	0	0	0
24. Minnesota	MN		0	0	0	0
25. Mississippi	MS		0	0	0	0
26. Missouri	MO		0	0	0	0
27. Montana	MT		0	0	0	0
28. Nebraska	NE		0	0	0	0
29. Nevada	NV		0	0	0	0
30. New Hampshire	NH		0	0	0	0
31. New Jersey	NJ		0	0	0	0
32. New Mexico	NM		0	0	0	0
33. New York	NY		0	0	0	0
34. North Carolina	NC		0	0	0	0
35. North Dakota	ND		0	0	0	0
36. Ohio	OH		0	0	0	0
37. Oklahoma	OK		0	0	0	0
38. Oregon	OR		0	0	0	0
39. Pennsylvania	PA		0	0	0	0
40. Rhode Island	RI		0	0	0	0
41. South Carolina	SC		0	0	0	0
42. South Dakota	SD		0	0	0	0
43. Tennessee	TN	B Multiple	0	0	42,944,919	43,214,721
44. Texas	TX		0	0	0	0
45. Utah	UT		0	0	0	0
46. Vermont	VT		0	0	0	0
47. Virginia	VA	0 Multiple	0	0	324,581	327,549
48. Washington	WA		0	0	0	0
49. West Virginia	WV		0	0	0	0
50. Wisconsin	WI		0	0	0	0
51. Wyoming	WY		0	0	0	0
52. American Samoa	AS		0	0	0	0
53. Guam	GU		0	0	0	0
54. Puerto Rico	PR		0	0	0	0
55. U.S. Virgin Islands	VI		0	0	0	0
56. Northern Mariana Islands	MP		0	0	0	0
57. Canada	CAN		0	0	0	0
58. Aggregate Alien and Other	OT	XXX XXX	0	0	0	0
59. Subtotal	XXX	XXX	6,816,504	6,866,749	43,269,500	43,542,270
DETAILS OF WRITE-INS						
5801.						
5802.						
5803.						
5898. Summary of remaining write-ins for Line 58 from overflow page	XXX	XXX	0	0	0	0
5899. Totals (Lines 5801 thru 5803 plus 5898)(Line 58 above)	XXX	XXX	0	0	0	0



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 15, 2013
Valuation Date: December 2012
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2012 – Risk Business – Eastern Tennessee – Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$ 27,038,271 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2012, this block of business had approximately 6,300 members.

Kevin Francis, FSA, MAAA
Vice President of Actuarial Services
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Minnetonka, MN 55343
(952) 931-4690
E-mail: kevin.francis@uhc.com



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 15, 2013
Valuation Date: December 2012
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2012 – Risk Business – Eastern Tennessee – Excluding Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$ 57,811,166 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2012, this block of business had approximately 190,000 members.

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Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 15, 2013
Valuation Date: December 2012
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2012 – Risk Business – Middle Tennessee – Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$ 24,144,817 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2012, this block of business had approximately 5,600 members.

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Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 15, 2013
Valuation Date: December 2012
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2012 – Risk Business – Middle Tennessee – Excluding Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$ 58,102,158 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2012, this block of business had approximately 194,000 members.

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Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 15, 2013
Valuation Date: December 2012
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2012 – Risk Business –
Western Tennessee – Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$ 22,137,850 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2012, this block of business had approximately 4,900 members.

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Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 15, 2013
Valuation Date: December 2012
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2012 – Risk Business –
Western Tennessee – Excluding Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$ 51,135,576 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2012, this block of business had approximately 170,000 members.

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January 15, 2013

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Eastern Tennessee, Long Term Care

As of and for the Period Ended December 31, 2012

I, Kevin Francis, am a Member of the American Academy of Actuaries (Academy) and Vice President of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid Long Term Care risk business in Eastern Tennessee, which had approximately 6,300 members in December 2012. This business became effective August 1, 2010.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2012.

I have determined that the appropriate level for claims liability for this block of business is \$27,038,271.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

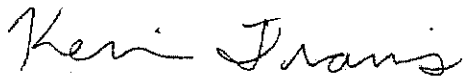
- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;
- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;

- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

January 15, 2013



Kevin Francis, FSA, MAAA

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January 15, 2013

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Eastern Tennessee, Excluding Long Term Care

As of and for the Period Ended December 31, 2012

I, Kevin Francis, am a Member of the American Academy of Actuaries (Academy) and Vice President of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid risk business in Eastern Tennessee, which had approximately 190,000 members in December 2012. This business became effective January 1, 2009.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2012.

I have determined that the appropriate level for claims liability for this block of business is \$57,811,166.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:


- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;
- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;

- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

January 15, 2013



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January 15, 2013

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Middle Tennessee, Long Term Care

As of and for the Period Ended December 31, 2012

I, Kevin Francis, am a Member of the American Academy of Actuaries (Academy) and Vice President of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid Long Term Care risk business in Middle Tennessee, which had approximately 5,600 members in December 2012. This business became effective March 1, 2010.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2012.

I have determined that the appropriate level for claims liability for this block of business is \$24,144,817.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

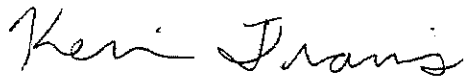
- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;
- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;

- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

January 15, 2013



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January 15, 2013

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Middle Tennessee, Excluding Long Term Care

As of and for the Period Ended December 31, 2012

I, Kevin Francis, am a Member of the American Academy of Actuaries (Academy) and Vice President of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid risk business in Middle Tennessee, which had approximately 194,000 members in December 2012. This business became effective April 1, 2007.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2012.

I have determined that the appropriate level for claims liability for this block of business is \$58,102,158.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

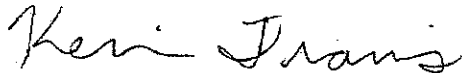
- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;
- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;

- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

January 15, 2013



Kevin Francis, FSA, MAAA

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January 15, 2013

STATEMENT OF ACTUARIAL
OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley,
Inc.

Medicaid Risk Business in Western Tennessee, Long Term Care

As of and for the Period Ended December 31,
2012

I, Kevin Francis, am a Member of the American Academy of Actuaries (Academy) and Vice President of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid Long Term Care risk business in Western Tennessee, which had approximately 4,900 members in December 2012. This business became effective August 1, 2010.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2012.

I have determined that the appropriate level for claims liability for this block of business is \$22,137,850.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

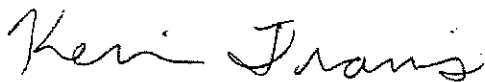
- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;

- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;
- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;
- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

January 15, 2013



Kevin Francis, FSA, MAAA

Vice President of Actuarial Services

United HealthCare Community and State

9800 Health Care Lane,

Minnetonka, MN 55343

(952) 931-4690

E-mail: kevin.francis@uhc.com



January 15, 2013

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Western Tennessee, Excluding Long Term Care

As of and for the Period Ended December 31, 2012

I, Kevin Francis, am a Member of the American Academy of Actuaries (Academy) and Vice President of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid risk business in Western Tennessee, which had approximately 170,000 members in December 2012. This business became effective November 1, 2008.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2012.

I have determined that the appropriate level for claims liability for this block of business is \$51,135,576.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

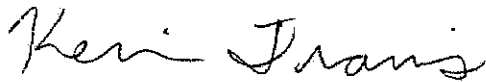
- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;

- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;
- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

January 15, 2013



Kevin Francis, FSA, MAAA
Vice President of Actuarial Services
United HealthCare Community and State
9800 Health Care Lane,
Minnetonka, MN 55343
(952) 931-4690
E-mail: kevin.francis@uhc.com

ANNUAL STATEMENT FOR THE YEAR 2012
UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY
STATEMENT OF ACTUARIAL OPINION

Table of Key Indicators.

This Opinion is: Unqualified Qualified Adverse Inconclusive

IDENTIFICATION SECTION:

Prescribed Wording Only Prescribed Wording with Additional Wording Revised Wording

SCOPE SECTION:

Prescribed Wording Only Prescribed Wording with Additional Wording Revised Wording

RELIANCE SECTION:

Prescribed Wording Only Prescribed Wording with Additional Wording Revised Wording

OPINION SECTION:

Prescribed Wording Only Prescribed Wording with Additional Wording Revised Wording

RELEVANT COMMENTS:

Revised Wording

The Actuarial Memorandum includes "Deviation from Standard" wording regarding conformity with an Actuarial Standard of Practice.

Identification.

I, Allen J. Sorbo, am Chief Actuary of UnitedHealthcare Insurance Company, of which UnitedHealthcare Plan of the River Valley is an affiliate. I am a member of the American Academy of Actuaries. I was appointed on August 29, 2012 in accordance with the requirements of the annual statement instructions. I meet the Academy qualification standards for rendering the opinion.

Scope.

I have examined the assumptions and methods used in determining loss reserves, actuarial liabilities, and related items listed below, as shown in the annual statement of the organization as prepared for filing with state regulatory officials, as of December 31, 2012.

A. Claims unpaid (Page 3, Line 1)	\$386,814,073
B. Accrued medical incentive pool and bonus payments (Page 3, Line 2)	\$749,002
C. Unpaid claims adjustment expenses (Page 3, Line 3)	\$9,344,180
D. Aggregate health policy reserves (Page 3, Line 4) including unearned premium reserves, premium deficiency reserves, and additional policy reserves from the Underwriting and Investment Exhibit – Part 2D	\$12,357,722
E. Aggregate life policy reserves (Page 3, Line 5)	\$0

ANNUAL STATEMENT FOR THE YEAR 2012
UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY
STATEMENT OF ACTUARIAL OPINION

F. Property/casualty unearned premium reserves (Page 3, Line 6)	\$0
G. Aggregate health claim reserves (Page 3, Line 7)	\$196,081
H. Any other loss reserves, actuarial liabilities, or related items presented as liabilities in the annual statement: Not Applicable	
I. Specified actuarial items presented as assets in the annual statement:	
1. Accrued retrospective premiums (Page 2, Line 15.3)	\$1,360,537
2. Healthcare and other amounts receivable (Page 2; Line 24)	\$34,909,531

Reliance.

In forming my opinion on the items identified above, I relied upon data prepared by Nyle Brent Cottingham, Vice President and Regulatory Controller, United HealthCare Services Inc.; Daniel J. Herr, Director of Accounting UnitedHealthcare; William J.Hnath, United Healthcare Medicare & Retirement, Vice President and Controller; Karin O'Hara, UnitedHealthcare Services, Inc., Controller of UnitedHealthcare Community and State; and Scott M. Dreyling, OptumHealth, Vice President and Controller as certified in the attached statements. I evaluated that data for reasonableness and consistency. I also reconciled that data to the Underwriting and Investment Exhibit - Part 2B of the company's current annual statement. In other respects, my examination included review of the actuarial assumptions and actuarial methods used and tests of the calculations I considered necessary.

Opinion.

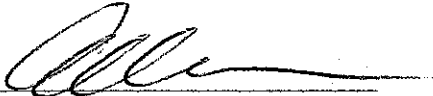
In my opinion, the amounts carried in the balance sheet on account of the items identified above:

- A. Are in accordance with accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- B. Are based on actuarial assumptions relevant to contract provisions and appropriate to the purpose for which the statement was prepared;
- C. Meet the requirements of the Insurance Laws and regulations of the state of Illinois, and are at least as great as the minimum aggregate amounts required by any state;
- D. Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization under the terms of its contracts and agreements;
- E. Are computed on the basis of assumptions and methods consistent with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- F. Include appropriate provision for all actuarial items that ought to be established.

The Underwriting and Investment Exhibit - Part 2B was reviewed for reasonableness and consistency with the applicable Actuarial Standards of Practice.

Actuarial methods, considerations, and analyses used in forming my opinion conform to the relevant Standards of Practice as promulgated from time to time by the Actuarial Standards Board, which standards form the basis of this statement of opinion.

ANNUAL STATEMENT FOR THE YEAR 2012
UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY
STATEMENT OF ACTUARIAL OPINION



Allen J. Sorbo, F.S.A., M.A.A.A.

Mail Route CT039-004A
UnitedHealthcare Insurance Company
185 Asylum Street
Hartford, CT 06103

Telephone: (561) 622-6507

February 25, 2013

Nyle Brent Cottington
Vice President & Regulatory Controller
MN017-E900
9700 Health Care Lane
Minnetonka, MN 55343
952-979-6133 phone
952-979-7825 fax

February 12, 2013

I, Nyle Brent Cottington, am employed by United HealthCare Services, Inc. as Vice President and Regulatory Controller. Listings and summaries reconciling the annual statement as of December 31, 2012, for UnitedHealthcare Plan of the River Valley, Inc., to the company's general ledger were prepared under my direction and submitted to Allen J. Sorbo in support of his statement of actuarial opinion for that company as of December 31, 2012. I hereby affirm that those listings and summaries, to the best of my knowledge and belief, are substantially accurate and complete and are the same as, or derived from, the records and other data that form the basis for the company's annual statement for the year ended December 31, 2012.



Nyle Brent Cottington
United HealthCare Services, Inc.



February 12, 2013

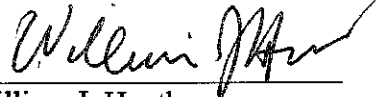
I, Daniel J. Herr, am employed by UnitedHealthcare as Director of Accounting. Listings, summaries, and analyses of claim and exposure data and of balances in the general ledger of UnitedHealthcare Plan of the River Valley, Inc., all relating specifically to the UnitedHealthcare Employer & Individual business of that organization, were prepared under my direction and submitted to Allen J. Sorbo in support of his actuarial opinion for that organization as of December 31, 2012. I hereby affirm that those listings, summaries, and analyses are, to the best of my knowledge and belief, substantially accurate and complete and the same as, or derived from, the records and other data which form the basis of the annual statement of the organization for the year ended December 31, 2012.

A handwritten signature in cursive script that reads 'Daniel J. Herr'. The signature is written in black ink and is positioned above a horizontal line.

Daniel J. Herr
9700 Health Care Lane
MN017-W900
Minnetonka, MN 55343
952-979-6244

February 12, 2013

I, William J. Hnath, am employed by UnitedHealthcare Medicare & Retirement as VP, Controller. Listings, summaries, and analyses of claim and exposure data and of balances in the general ledger of UnitedHealthcare Plan of the River Valley, Inc., all relating specifically to the UnitedHealthcare Medicare & Retirement business of that organization, were prepared under my direction and submitted to Allen J. Sorbo in support of his actuarial opinion for that organization as of December 31, 2012. I hereby affirm that those listings, summaries, and analyses are, to the best of my knowledge and belief, substantially accurate and complete and the same as, or derived from, the records and other data which form the basis of the annual statement of the organization for the year ended December 31, 2012.



William J. Hnath
Tel. #952-931-4860



February 12, 2013

I, Karin O'Hara, am employed by UnitedHealthcare Services, Inc. as Controller, UnitedHealthcare Community and State. Listings, summaries, and analyses of claim and exposure data and of balances in the general ledger of UnitedHealthcare Plan of the River Valley, Inc., all relating specifically to the UnitedHealthcare Community & State business of that organization, were prepared under my direction and submitted to Allen J. Sorbo in support of his actuarial opinion for that organization as of December 31, 2012. I hereby affirm that those listings, summaries, and analyses are, to the best of my knowledge and belief, substantially accurate and complete and the same as, or derived from, the records and other data which form the basis of the annual statement of the organization for the year ended December 31, 2012.

A handwritten signature in cursive script that reads 'Karin O'Hara'. The signature is written in black ink and is positioned above a horizontal line.

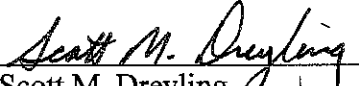
[Signature]

Karin O'Hara
9800 Health Care Lane, MN006-W600,
Minnetonka, MN 55343
952-931-5643



February 12, 2013

I, Scott M. Dreyling, am employed by OptumHealth as Vice President and Controller, listings, summaries, and analyses of claim and exposure data and of balances in the general ledger of UnitedHealthcare Plan of the River Valley, Inc., all relating specifically to the OptumHealth business of that organization, were prepared under my direction and submitted to Allen J. Sorbo in support of his actuarial opinion for that organization as of December 31, 2012. I hereby affirm that those listings, summaries, and analyses are, to the best of my knowledge and belief, substantially accurate and complete and the same as, or derived from, the records and other data which form the basis of the annual statement of the organization for the year ended December 31, 2012.


Scott M. Dreyling
13625 Technology Drive
Eden Prairie, MN 55344
(952) 917-7184

**UnitedHealthcare Community Plan - East Tennessee
 Reconciliation Between 2A and MLR Report
 As of December 31, 2012**

<u>Capitation Revenue</u>	<u>Revenue</u>
Revenue reported per MLR report	855,220,110
STAT Adjustment/Other Revenue	7,313,150
	862,533,260
TennCare Capitation per 2A	870,703,569
Less: Restated revenue for prior months	(8,170,309)
	862,533,260
Difference	0
<u>Claims & Reserve</u>	<u>Claims</u>
Total 2A Paid Claims and Change in Reserves	711,815,458
Incurred Claims per the MLR for the reporting period	731,880,277
Restated prior year incurred claims	(20,064,819)
Adjusted MLR	711,815,458
Difference (rounding)	0

**UnitedHealthcare Community Plan - Middle Tennessee
Reconciliation Between 2A and MLR Report
As of December 31, 2012**

<u>Capitation Revenue</u>	<u>Revenue</u>
Revenue reported per MLR report	910,091,500
STAT Adjustment/Other Revenue	7,259,380
	917,350,880
TennCare Capitation per 2A	926,107,893
Less: Restated revenue for prior months	(8,757,012)
	917,350,880
Difference	0
<u>Claims & Reserve</u>	<u>Claims</u>
Total 2A Paid Claims and Change in Reserves	752,526,686
	752,526,686
Incurred Claims per the MLR for the reporting period	772,921,292
Restated prior year incurred claims	(20,394,606)
Adjusted MLR	752,526,686
Difference (rounding)	0

**UnitedHealthcare Community Plan - West Tennessee
 Reconciliation Between 2A and MLR Report
 As of December 31, 2012**

<u>Capitation Revenue</u>	<u>Revenue</u>
Revenue reported per MLR report	721,971,439
STAT Adjustment/Other Revenue	3,738,972
	725,710,410
TennCare Capitation per 2A	734,680,003
Less: Restated revenue for prior months	(8,969,593)
	725,710,410
Difference	0
<u>Claims & Reserve</u>	<u>Claims</u>
Total 2A Paid Claims and Change in Reserves	575,311,993
Incurred Claims per the MLR for the reporting period	595,438,114
Restated prior year incurred claims	(20,126,120)
Adjusted MLR	575,311,993
Difference (rounding)	0

UnitedHealthcare Community Plan - East Tennessee
 Report 2A - TennCare Income Statement
 CRA 2.30.14.3.3 and 2.30.14.3.4

	Current Qtr YTD Total as of 12/31/2012	YTD Total as of 12/31/2012	Prior Year as of 12/31/2011
Member Months	590,468	2,342,621	2,319,567
Revenues:			
TennCare Capitation Investment	217,444,194	863,390,419	876,253,140
Other Revenues	7,313,150	7,313,150	678
Total Revenues	224,757,343	870,703,569	876,253,819
Estimated Expenses:			
Hospital and Medical (w/o Mental Health)			
Capitated Physician Services	-	-	-
Fee-for Service Physician Services	36,386,170	145,689,179	137,837,103
Inpatient Hospital Services	28,421,602	106,448,419	117,475,266
Outpatient Hospital Services	11,006,767	48,964,479	48,852,459
Emergency Room Services	15,769,926	62,608,845	56,377,598
Dental Services	-	-	-
Vision Services	1,456,605	2,736,080	1,868,328
Pharmacy Services	-	-	-
Home Health Services	2,711,363	9,663,458	10,600,148
Chiropractic Services	-	-	-
Radiology Services	3,778,374	17,263,577	29,940,038
Laboratory Services	3,742,308	15,520,320	16,076,815
Durable Medical Equipment Services	2,483,517	10,608,797	9,461,072
Transportation Services	3,141,610	12,443,537	12,839,591
Outside Referrals	-	-	-
Medical Incentive Pool and Withhold Adj	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Nursing Facility Care	53,410,127	199,777,972	206,374,626
HCBS Services	10,071,148	34,502,957	25,262,887
Other Medical and Hospital Services - Write-Ins	(2,141,096)	(1,542,412)	246,553
Subtotal Medical and Hospital	170,238,421	664,685,208	673,212,484
Mental Health and Substance Abuse Services			
Inpatient Psychiatric Facility Services	1,412,901	7,154,581	8,087,054
Inpatient Substance Abuse Treatment and Detox	349,721	1,318,955	1,699,592
Outpatient Mental Health Services	1,398,502	5,379,874	5,254,374
Outpatient Substance Abuse Treatment and Detox	24,018	198,042	286,530
Housing/Residential Treatment	1,432,936	5,760,936	5,560,498
Specialized Crisis Services	555,332	2,794,542	2,861,688
Psychiatric Rehab and Support Services	867,184	4,204,747	4,592,793
Case Management	4,313,192	18,032,026	16,730,630
Forensics	-	-	-
Other Judicial	-	-	-
Pharmacy	-	-	-
Lab Services	-	-	-
Transportation	312,400	1,434,594	1,445,559
Medical Incentive Pool and Withhold Adjustments	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Other Mental Health and Substance Abuse Services	180,110	851,951	1,398,831
PCP and Specialist Services	-	-	-
Other Mental Health Services - Write-Ins	-	-	-
Subtotal MH&SAS	10,846,295	47,130,250	47,917,549
Subtotal Hospital, Medical, MH&SAS, CHOICES	181,084,716	711,815,458	721,130,033
LESS:			
Net Reinsurance Recoveries Incurred	-	-	-
Copayments	-	-	-
Subrogation and Corrdination of Benefits	-	-	-
Subtotal Reinsurance, Copay, Subrogation	-	-	-
Total Hospital, Medical, MHS&S	181,084,716	711,815,458	721,130,033
Administration:			
Compensation	-	-	-
Direct and Allocated Admin expenses	-	-	-
Marketing	-	-	-
Interest Expense	-	-	-
Premium Tax Expense	12,136,522	47,828,183	44,279,729
Occupancy, Depreciation, and Amortization	-	-	-
Other Administration - Write-Ins	20,851,989	78,936,540	79,355,025
Fines and Penalties - Other Write-Ins	(173,833)	92,100	(297,276)
Total Administration Expenses	32,814,677	126,856,824	123,337,478
Total Expenses	213,899,393	838,672,281	844,467,511
Extraordinary Item	-	-	-
Provision for Income Tax	3,800,282	11,210,951	11,125,208
Net Income (Loss)	7,057,667	20,820,337	20,661,100
Write-Ins for Other Revenues:			
Total Other Revenues	-	-	-
Write-Ins for Other Medical and Hospital:			
Other Miscellaneous	(1,645,918)	(2,050,046)	(1,127,342)
Other Capitated Services	(495,178)	507,634	1,373,895
Total Other Medical and Hospital	(2,141,096)	(1,542,412)	246,553
Detail of Other MH and SAS:			
Total Other MH and SAS	-	-	-
Write-Ins for Other Administration:			
Administrative Services Fee	17,401,456	69,080,914	70,100,251
Behaviorial Healthcare Services	1,392,889	5,522,535	5,475,516
Spectera Administration Fees	-	227,972	539,693
Other Miscellaneous	1,883,811	4,197,220	3,239,565
Total Other Administration	20,678,155	79,028,641	79,355,025

UnitedHealthcare Community Plan - Middle Tennessee
 Report 2A - TennCare Income Statement
 CRA 2.30.14.3.3 and 2.30.14.3.4

Member Months	Current Qtr YTD Total as of 12/31/2012 603,188	YTD Total as of 12/31/2012 2,409,563	Prior Year as of 12/31/2011 2,419,816
Revenues:			
TennCare Capitation Investment	232,031,728	918,848,513	942,991,556
Other Revenues	7,259,380	7,259,380	604,922
Total Revenues	239,291,108	926,107,893	943,596,478
Estimated Expenses:			
Hospital and Medical (w/o Mental Health)			
Capitated Physician Services	-	-	-
Fee-for Service Physician Services	39,498,186	162,710,031	149,302,501
Inpatient Hospital Services	34,717,688	136,312,469	134,767,254
Outpatient Hospital Services	11,075,894	42,892,485	42,335,880
Emergency Room Services	11,660,938	47,904,393	43,441,637
Dental Services	-	-	-
Vision Services	1,569,474	3,438,713	2,704,227
Pharmacy Services	-	-	-
Home Health Services	4,287,417	16,949,396	16,889,677
Chiropractic Services	-	-	-
Radiology Services	4,093,022	17,889,151	27,575,620
Laboratory Services	4,444,579	17,958,776	17,709,914
Durable Medical Equipment Services	2,468,036	11,022,066	10,407,468
Transportation Services	4,639,177	20,138,582	19,903,549
Outside Referrals	-	-	-
Medical Incentive Pool and Withhold Adj	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Nursing Facility Care	43,513,454	161,697,721	166,663,361
HCBS Services	11,459,088	40,334,412	29,079,152
Other Medical and Hospital Services - Write-Ins	(933,754)	822,396	2,810,605
Subtotal Medical and Hospital	172,493,199	680,070,590	663,590,846
Mental Health and Substance Abuse Services			
Inpatient Psychiatric Facility Services	1,964,967	8,608,845	10,566,015
Inpatient Substance Abuse Treatment and Detox	462,748	1,635,403	2,158,535
Outpatient Mental Health Services	2,490,411	9,647,326	9,246,813
Outpatient Substance Abuse Treatment and Detox	17,142	82,555	377,271
Housing/Residential Treatment	1,717,988	6,704,451	6,698,310
Specialized Crisis Services	973,323	4,103,742	4,358,985
Psychiatric Rehab and Support Services	2,176,598	8,963,382	7,881,109
Case Management	6,876,722	30,152,400	29,752,552
Forensics	-	-	-
Other Judicial	-	-	-
Pharmacy	-	-	-
Lab Services	-	-	-
Transportation	362,139	1,508,876	1,593,978
Medical Incentive Pool and Withhold Adjustments	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Other Mental Health and Substance Abuse Services	253,815	1,049,116	2,186,302
PCP and Specialist Services	-	-	-
Other Mental Health Services - Write-Ins	-	-	-
Subtotal MH&SAS	17,295,851	72,456,096	74,819,869
Subtotal Hospital, Medical, MH&SAS, CHOICES	189,789,050	752,526,686	738,410,715
LESS:			
Net Reinsurance Recoveries Incurred	-	-	-
Copayments	-	-	-
Subrogation and Coordination of Benefits	-	-	-
Subtotal Reinsurance, Copay, Subrogation	-	-	-
Total Hospital, Medical, MHS&S	189,789,050	752,526,686	738,410,715
Administration:			
Compensation	-	-	-
Direct and Allocated Admin expenses	-	-	-
Marketing	-	-	-
Interest Expense	-	-	-
Premium Tax Expense	12,885,576	51,796,935	46,849,063
Occupancy, Depreciation, and Amortization	-	-	-
Other Administration - Write-Ins	22,005,455	85,376,659	85,622,648
Fines and Penalties - Other Write-Ins	(166,833)	164,972	(291,567)
Total Administration Expenses	34,724,198	137,338,566	132,180,144
Total Expenses	224,513,248	889,865,252	870,590,859
Extraordinary Item	-	-	-
Provision for Income Tax	5,172,251	12,684,924	25,551,966
Net Income (Loss)	9,605,608	23,557,716	47,453,652
Write-Ins for Other Revenues:			
Total Other Revenues	-	-	-
Write-Ins for Other Medical and Hospital:			
Other Miscellaneous	(591,753)	(539,083)	978,035
Other Capitated Services	(342,001)	1,361,479	1,832,569
Total Other Medical and Hospital	(933,754)	822,396	2,810,605
Detail of Other MH and SAS:			
Total Other MH and SAS	-	-	-
Write-Ins for Other Administration:			
Administrative Services Fee	18,333,982	72,595,432	74,496,333
Behavioral Healthcare Services	1,743,121	6,976,087	6,997,048
Spectera Administration Fees	-	251,089	426,383
Other Miscellaneous	1,761,519	5,719,023	3,702,884
Total Other Administration	21,838,622	85,541,631	85,622,648

UnitedHealthcare Community Plan - West Tennessee
 Report 2A - TennCare Income Statement
 West Tennessee CRA 2.30.14.3.3 and 2.30.14.3.4

Member Months	Current Qtr YTD Total as of 12/31/2012 <u>529,645</u>	YTD Total as of 12/31/2012 <u>2,098,607</u>	Prior Year as of 12/31/2011 <u>2,075,390</u>
Revenues:			
TennCare Capitation	182,737,486	730,941,031	734,068,106
Investment	-	-	-
Other Revenues	3,738,972	3,738,972	-
Total Revenues	<u>186,476,458</u>	<u>734,680,003</u>	<u>734,068,106</u>
Estimated Expenses:			
Hospital and Medical (w/o Mental Health)			
Capitated Physician Services	-	-	-
Fee-for Service Physician Services	28,617,898	108,656,248	96,191,465
Inpatient Hospital Services	32,390,052	117,549,111	123,175,859
Outpatient Hospital Services	6,406,808	25,906,101	25,312,923
Emergency Room Services	9,285,264	34,325,300	32,723,179
Dental Services	-	-	-
Vision Services	1,420,099	2,854,403	1,940,916
Pharmacy Services	-	-	-
Home Health Services	3,155,774	12,118,695	11,511,184
Chiropractic Services	-	-	-
Radiology Services	2,623,810	10,552,557	17,781,256
Laboratory Services	2,471,189	9,314,417	9,146,039
Durable Medical Equipment Services	1,584,979	6,594,836	5,967,215
Transportation Services	4,033,264	14,935,875	14,421,699
Outside Referrals	-	-	-
Medical Incentive Pool and Withhold Adj	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Nursing Facility Care	39,069,498	146,771,072	153,832,875
HCBS Services	10,094,058	34,146,263	22,660,462
Other Medical and Hospital Services - Write-Ins	(1,264,035)	2,821,849	5,104,657
Subtotal Medical and Hospital	<u>139,888,659</u>	<u>526,546,727</u>	<u>519,769,729</u>
Mental Health and Substance Abuse Services			
Inpatient Psychiatric Facility Services	2,734,577	11,371,974	11,360,260
Inpatient Substance Abuse Treatment and Detox	111,184	626,806	624,637
Outpatient Mental Health Services	646,752	3,049,578	2,823,138
Outpatient Substance Abuse Treatment and Detox	26,420	113,372	90,627
Housing/Residential Treatment	1,968,353	7,005,785	4,918,715
Specialized Crisis Services	495,108	2,080,551	2,102,687
Psychiatric Rehab and Support Services	1,736,317	6,512,760	6,107,487
Case Management	3,758,811	15,941,434	16,462,660
Forensics	-	-	-
Other Judicial	-	-	-
Pharmacy	-	-	-
Lab Services	-	-	-
Transportation	329,476	1,383,714	1,313,908
Medical Incentive Pool and Withhold Adjustments	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Other Mental Health and Substance Abuse Services	189,649	679,293	933,201
PCP and Specialist Services	-	-	-
Other Mental Health Services - Write-Ins	-	-	-
Subtotal MH&SAS	<u>11,996,645</u>	<u>48,765,267</u>	<u>46,737,319</u>
Subtotal Hospital, Medical, MH&SAS, CHOICES	<u>151,885,304</u>	<u>575,311,993</u>	<u>566,507,048</u>
LESS:			
Net Reinsurance Recoveries Incurred	-	-	-
Copayments	-	-	-
Subrogation and Corrdination of Benefits	-	-	-
Subtotal Reinsurance, Copay, Subrogation	<u>-</u>	<u>-</u>	<u>-</u>
Total Hospital, Medical, MHS&S	<u>151,885,304</u>	<u>575,311,993</u>	<u>566,507,048</u>
Administration:			
Compensation	-	-	-
Direct and Allocated Admin expenses	-	-	-
Marketing	-	-	-
Interest Expense	-	-	-
Premium Tax Expense	10,525,397	41,107,274	37,259,256
Occupancy, Depreciation, and Amortization	-	-	-
Other Administration - Write-Ins	17,353,829	67,151,486	67,008,075
Fines and Penalties - Other Write-Ins	(175,051)	(132,418)	(342,167)
Total Administration Expenses	<u>27,704,175</u>	<u>108,126,341</u>	<u>103,925,164</u>
Total Expenses	<u>179,589,479</u>	<u>683,438,335</u>	<u>670,432,212</u>
Extraordinary Item	-	-	-
Provision for Income Tax	2,410,443	17,934,584	22,272,563
Net Income (Loss)	<u>4,476,536</u>	<u>33,307,084</u>	<u>41,363,331</u>
Write-Ins for Other Revenues:			
Total Other Revenues	-	-	-
Write-Ins for Other Medical and Hospital:			
Other Miscellaneous	(1,785,168)	(1,749,810)	(779,495)
Other Capitated Services	521,133	4,571,659	5,884,152
Total Other Medical and Hospital	<u>(1,264,035)</u>	<u>2,821,849</u>	<u>5,104,657</u>
Detail of Other MH and SAS:			
Total Other MH and SAS	-	-	-
Write-Ins for Other Administration:			
Administrative Services Fee	14,990,050	59,945,283	60,193,585
Behavioral Healthcare Services	921,615	3,655,460	3,609,198
Spectera Administration Fees	-	219,102	438,948
Other Miscellaneous	1,267,113	3,199,223	2,766,343
Total Other Administration	<u>17,178,778</u>	<u>67,019,067</u>	<u>67,008,075</u>



ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SUPPLEMENTAL COMPENSATION EXHIBIT

For the Year Ended December 31, 2012

(To be filed by March 1)

PART 1 - INTERROGATORIES

- 1. The reporting insurer is a member of a group of insurers or other holding company system: Yes [X] No [] ...
2. Did any person while an officer, director, or trustee of the reporting entity receive directly or indirectly, during the period covered by this statement any commission on the business transactions of the reporting entity? Yes [] No [X]
3. Except for retirement plans generally applicable to its staff employees, has the reporting entity any agreement with any person, other than contracts with its agents for the payment of commissions whereby it agrees that for any service rendered or to be rendered, that he/she shall receive directly or indirectly, any salary, compensation or emolument that will extend beyond the period of 12 months from the date of the agreement? Yes [] No [X]

PART 2 - OFFICERS AND EMPLOYEES COMPENSATION

Table with 6 columns: 1. Name and Principal Position, 2. Year, 3. Salary, 4. Bonus, 5. All Other Compensation, 6. Totals. Rows include President, Commercial; Scott Andrew Bowers; Daniel Roger Kueter; Bruce Chase Steffens, M.D.; James Wesley Waters.

PART 3 - DIRECTOR COMPENSATION

Table with 4 columns: 1. Name and Principal Position or Occupation, 2. Compensation Paid or Deferred for Services as Director, 3. All Other Compensation Paid or Deferred, 4. Totals. Rows include William Kenneth Appelgate, Ph. D. - Consumer Director; James Edward Hecker - Consumer Director; Victoria Jean Kauzlarich - Former Consumer Director; Steven Eugene Meeker - Director; Cathie Sue Whiteside - Consumer Director.



ANNUAL STATEMENT FOR THE YEAR 2012 OF THE UnitedHealthcare Plan of the River Valley, Inc.

PART 3 - DIRECTOR COMPENSATION

1 Name and Principal Position or Occupation	2 Compensation Paid or Deferred for Services as Director	3 All Other Compensation Paid or Deferred	4 Totals
			0
			0