

ANNUAL STATEMENT

OF THE

UnitedHealthcare Plan of the River Valley, Inc.

TO THE

Insurance Department

OF THE

STATE OF

Tennessee

FOR THE YEAR ENDED
DECEMBER 31, 2013

HEALTH

2013



HEALTH ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2013
OF THE CONDITION AND AFFAIRS OF THE

UnitedHealthcare Plan of the River Valley, Inc.

NAIC Group Code 0707 0707 NAIC Company Code 95378 Employer's ID Number 36-3379945
(Current) (Prior)

Organized under the Laws of Illinois, State of Domicile or Port of Entry Illinois

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [] No [X]

Incorporated/Organized 08/05/1985 Commenced Business 12/19/1985

Statutory Home Office 1300 River Drive, Suite 200 Moline, IL, US 61265
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 1300 River Drive, Suite 200
(Street and Number)
Moline, IL, US 61265 309-736-4600
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 9700 Health Care Lane, MN017-E900 Minnetonka, MN, US 55343
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 1300 River Drive, Suite 200
(Street and Number)
Moline, IL, US 61265 309-736-4600
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address UHCRiverValley.com

Statutory Statement Contact Gretchen Lea Fischer 952-979-6138
(Name) (Area Code) (Telephone Number)
gretchen_l_fischer@uhc.com 952-979-7825
(E-mail Address) (FAX Number)

OFFICERS

Secretary Christina Regina Palme-Krizak Chief Financial Officer James Wesley Waters
Treasurer Robert Worth Oberrender

OTHER OFFICERS

Scott Andrew Bowers President, Medicaid Division Nyle Brent Cottingham Assistant Treasurer Michelle Marie Huntley Assistant Secretary
Tracey Irene McLoone M.D. # Chief Medical Director Steven Craig Walli President, Commercial

DIRECTORS

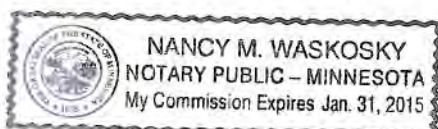
William Kenneth Appelgate Ph.D. Scott Andrew Bowers James Edward Hecker
Tracey Irene McLoone M.D. # Steven Craig Walli # James Wesley Waters
Cathie Sue Whiteside Scott Edward Williams #

State of Missouri State of MINNESOTA State of Tennessee
County of ST LOUIS County of HENNEPIN County of Davidson

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Steven Craig Walli Michelle Marie Huntley James Wesley Waters
President, Commercial Assistant Secretary Chief Financial Officer

Subscribed and sworn to before me this 16 day of February, 2014 30th day of January, 2014 10th day of February, 2014
Cynthia L. Dalpiaz Nancy M. Waskosky Dawn W. Anton



- a. Is this an original filing?..... Yes [X] No []
b. If no,
1. State the amendment number.....
2. Date filed.....
3. Number of pages attached.....



CYNTHIA L. DALPIAZ
My Commission Expires
May 12, 2015
St. Louis County
Commission #11389126



MY COMMISSION EXPIRES
November 5, 2014

ASSETS

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
1. Bonds (Schedule D)	676,536,942	0	676,536,942	690,266,794
2. Stocks (Schedule D):				
2.1 Preferred stocks	0	0	0	0
2.2 Common stocks	0	0	0	0
3. Mortgage loans on real estate (Schedule B):				
3.1 First liens	0	0	0	0
3.2 Other than first liens	0	0	0	0
4. Real estate (Schedule A):				
4.1 Properties occupied by the company (less \$0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less \$0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$0 encumbrances)	0	0	0	0
5. Cash (\$42,327,772 , Schedule E - Part 1), cash equivalents (\$74,999,771 , Schedule E - Part 2) and short-term investments (\$116,451,767 , Schedule DA)	233,779,310	0	233,779,310	193,347,621
6. Contract loans, (including \$0 premium notes)	0	0	0	0
7. Derivatives (Schedule DB)	0	0	0	0
8. Other invested assets (Schedule BA)	21,263,841	0	21,263,841	8,949,246
9. Receivables for securities	0	0	0	0
10. Securities lending reinvested collateral assets (Schedule DL)	0	0	0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	931,580,093	0	931,580,093	892,563,661
13. Title plants less \$0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	6,846,790	0	6,846,790	7,185,534
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	66,777,202	851,727	65,925,475	50,864,369
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$0 earned but unbilled premiums)	0	0	0	0
15.3 Accrued retrospective premiums	678,302	0	678,302	1,360,537
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	0	0	0	0
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0	0
17. Amounts receivable relating to uninsured plans	34,068,402	94,963	33,973,439	17,311,385
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0	0
18.2 Net deferred tax asset	38,825,460	17,055,008	21,770,452	22,533,270
19. Guaranty funds receivable or on deposit	1,404,737	0	1,404,737	1,780,333
20. Electronic data processing equipment and software	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$0)	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	0	0	0	0
24. Health care (\$29,152,424) and other amounts receivable	44,704,462	15,552,038	29,152,424	18,823,841
25. Aggregate write-ins for other than invested assets	2,356,860	33,621	2,323,239	4,935,684
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	1,127,242,308	33,587,357	1,093,654,951	1,017,358,614
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28. Total (Lines 26 and 27)	1,127,242,308	33,587,357	1,093,654,951	1,017,358,614
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. FEHBP Receivable	2,302,370	0	2,302,370	4,935,684
2502. Service Fee Receivable	33,621	33,621	0	0
2503. Premium Tax Receivable	20,869	0	20,869	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	2,356,860	33,621	2,323,239	4,935,684

LIABILITIES, CAPITAL AND SURPLUS

	Current Year			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$0 reinsurance ceded).....	398,807,155	0	398,807,155	386,814,073
2. Accrued medical incentive pool and bonus amounts.....	2,673,487	0	2,673,487	749,002
3. Unpaid claims adjustment expenses.....	7,501,050	0	7,501,050	9,344,180
4. Aggregate health policy reserves, including the liability of \$659,869 for medical loss ratio rebate per the Public Health Service Act.....	9,209,130	0	9,209,130	12,357,722
5. Aggregate life policy reserves.....	0	0	0	0
6. Property/casualty unearned premium reserves.....	0	0	0	0
7. Aggregate health claim reserves.....	198,785	0	198,785	196,081
8. Premiums received in advance.....	37,211,802	0	37,211,802	38,772,529
9. General expenses due or accrued.....	48,398,096	0	48,398,096	43,068,266
10.1 Current federal and foreign income tax payable and interest thereon (including \$0 on realized capital gains (losses)).....	4,007,884	0	4,007,884	701,493
10.2 Net deferred tax liability.....	0	0	0	0
11. Ceded reinsurance premiums payable.....	353,384	0	353,384	360,560
12. Amounts withheld or retained for the account of others.....	117,081	0	117,081	50,986
13. Remittance and items not allocated.....	25,126	0	25,126	12,651
14. Borrowed money (including \$0 current) and interest thereon \$0 (including \$0 current).....	0	0	0	0
15. Amounts due to parent, subsidiaries and affiliates.....	48,767,697	0	48,767,697	69,163,058
16. Derivatives.....	0	0	0	0
17. Payable for securities.....	9,899,967	0	9,899,967	6,727,155
18. Payable for securities lending.....	0	0	0	0
19. Funds held under reinsurance treaties (with \$0 authorized reinsurers, \$0 unauthorized reinsurers and \$0 certified reinsurers).....	0	0	0	0
20. Reinsurance in unauthorized and certified (\$0) companies.....	0	0	0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates.....	0	0	0	0
22. Liability for amounts held under uninsured plans.....	13,083,005	0	13,083,005	7,818,970
23. Aggregate write-ins for other liabilities (including \$0 current).....	172	0	172	112
24. Total liabilities (Lines 1 to 23).....	580,253,821	0	580,253,821	576,136,838
25. Aggregate write-ins for special surplus funds.....	XXX	XXX	0	0
26. Common capital stock.....	XXX	XXX	610,000	610,000
27. Preferred capital stock.....	XXX	XXX	0	0
28. Gross paid in and contributed surplus.....	XXX	XXX	44,977,137	44,977,137
29. Surplus notes.....	XXX	XXX	0	0
30. Aggregate write-ins for other than special surplus funds.....	XXX	XXX	1,500,000	1,500,000
31. Unassigned funds (surplus).....	XXX	XXX	466,313,993	394,134,639
32. Less treasury stock, at cost:				
32.10 shares common (value included in Line 26 \$0).....	XXX	XXX	0	0
32.20 shares preferred (value included in Line 27 \$0).....	XXX	XXX	0	0
33. Total capital and surplus (Lines 25 to 31 minus Line 32).....	XXX	XXX	513,401,130	441,221,776
34. Total liabilities, capital and surplus (Lines 24 and 33).....	XXX	XXX	1,093,654,951	1,017,358,614
DETAILS OF WRITE-INS				
2301. Unclaimed Property.....	172	0	172	112
2302.				
2303.				
2308. Summary of remaining write-ins for Line 23 from overflow page.....	0	0	0	0
2309. Totals (Lines 2301 thru 2303 plus 2308)(Line 23 above).....	172	0	172	112
2501.	XXX	XXX		
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page.....	XXX	XXX	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above).....	XXX	XXX	0	0
3001. Statutory Fund.....	XXX	XXX	1,500,000	1,500,000
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page.....	XXX	XXX	0	0
3099. Totals (Lines 3001 thru 3003 plus 3098)(Line 30 above).....	XXX	XXX	1,500,000	1,500,000

STATEMENT OF REVENUE AND EXPENSES

	Current Year		Prior Year
	1 Uncovered	2 Total	3 Total
1. Member Months.....	XXX	9,187,464	9,410,333
2. Net premium income (including \$0 non-health premium income).....	XXX	4,067,708,191	4,017,438,866
3. Change in unearned premium reserves and reserve for rate credits.....	XXX	2,466,357	(6,548,120)
4. Fee-for-service (net of \$0 medical expenses).....	XXX	0	0
5. Risk revenue.....	XXX	0	0
6. Aggregate write-ins for other health care related revenues.....	XXX	1,435,819	462,000
7. Aggregate write-ins for other non-health revenues.....	XXX	0	0
8. Total revenues (Lines 2 to 7).....	XXX	4,071,610,367	4,011,352,746
Hospital and Medical:			
9. Hospital/medical benefits.....	0	3,233,185,430	3,081,117,985
10. Other professional services.....	0	19,248,353	17,426,653
11. Outside referrals.....	0	0	0
12. Emergency room and out-of-area.....	0	0	0
13. Prescription drugs.....	0	110,565,377	118,451,761
14. Aggregate write-ins for other hospital and medical.....	0	0	0
15. Incentive pool, withhold adjustments, and bonus amounts.....	0	3,887,298	2,166,057
16. Subtotal (Lines 9 to 15).....	0	3,366,886,458	3,219,162,456
Less:			
17. Net reinsurance recoveries.....	0	104,034	0
18. Total hospital and medical (Lines 16 minus 17).....	0	3,366,782,424	3,219,162,456
19. Non-health claims (net).....	0	0	0
20. Claims adjustment expenses, including \$100,934,344 cost containment expenses.....	0	168,220,056	140,798,914
21. General administrative expenses.....	0	334,124,351	417,524,328
22. Increase in reserves for life and accident and health contracts (including \$0 increase in reserves for life only).....	0	0	0
23. Total underwriting deductions (Lines 18 through 22).....	0	3,869,126,831	3,777,485,698
24. Net underwriting gain or (loss) (Lines 8 minus 23).....	XXX	202,483,536	233,867,048
25. Net investment income earned (Exhibit of Net Investment Income, Line 17).....	0	14,741,899	16,451,525
26. Net realized capital gains (losses) less capital gains tax of \$2,358,508.....	0	4,229,347	1,609,316
27. Net investment gains (losses) (Lines 25 plus 26).....	0	18,971,246	18,060,841
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$15,963) (amount charged off \$(446,459))].....	0	(430,496)	(425,378)
29. Aggregate write-ins for other income or expenses.....	0	(456,833)	(224,654)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29).....	XXX	220,567,453	251,277,857
31. Federal and foreign income taxes incurred.....	XXX	68,518,617	81,323,963
32. Net income (loss) (Lines 30 minus 31).....	XXX	152,048,836	169,953,894
DETAILS OF WRITE-INS			
0601. TennCare Incentives.....	XXX	1,435,819	462,000
0602.	XXX		
0603.	XXX		
0698. Summary of remaining write-ins for Line 6 from overflow page.....	XXX	0	0
0699. Totals (Lines 0601 thru 0603 plus 0698)(Line 6 above).....	XXX	1,435,819	462,000
0701.	XXX		
0702.	XXX		
0703.	XXX		
0798. Summary of remaining write-ins for Line 7 from overflow page.....	XXX	0	0
0799. Totals (Lines 0701 thru 0703 plus 0798)(Line 7 above).....	XXX	0	0
1401.			
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498)(Line 14 above).....	0	0	0
2901. Fines & Penalties - Paid and Accrued.....	0	(456,861)	(224,654)
2902. Investment Settlement Proceeds.....	0	28	0
2903.			
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998)(Line 29 above).....	0	(456,833)	(224,654)

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year	2 Prior Year
CAPITAL AND SURPLUS ACCOUNT		
33. Capital and surplus prior reporting year.....	441,221,776	452,776,017
34. Net income or (loss) from Line 32.....	152,048,836	169,953,894
35. Change in valuation basis of aggregate policy and claim reserves.....	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ 0.....	0	0
37. Change in net unrealized foreign exchange capital gain or (loss).....	0	0
38. Change in net deferred income tax.....	(4,829,554)	1,650,397
39. Change in nonadmitted assets.....	4,960,072	(11,404,376)
40. Change in unauthorized and certified reinsurance.....	0	0
41. Change in treasury stock.....	0	0
42. Change in surplus notes.....	0	0
43. Cumulative effect of changes in accounting principles.....	0	8,245,843
44. Capital Changes:		
44.1 Paid in.....	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0
44.3 Transferred to surplus.....	0	0
45. Surplus adjustments:		
45.1 Paid in.....	0	0
45.2 Transferred to capital (Stock Dividend).....	0	0
45.3 Transferred from capital.....	0	0
46. Dividends to stockholders.....	(80,000,000)	(180,000,000)
47. Aggregate write-ins for gains or (losses) in surplus.....	0	0
48. Net change in capital and surplus (Lines 34 to 47).....	72,179,354	(11,554,242)
49. Capital and surplus end of reporting period (Line 33 plus 48)	513,401,130	441,221,776
DETAILS OF WRITE-INS		
4701.		
4702.		
4703.		
4798. Summary of remaining write-ins for Line 47 from overflow page.....	0	0
4799. Totals (Lines 4701 thru 4703 plus 4798)(Line 47 above)	0	0

CASH FLOW

	1	2
	Current Year	Prior Year
Cash from Operations		
1. Premiums collected net of reinsurance	4,051,210,283	4,059,638,953
2. Net investment income	25,517,019	25,856,367
3. Miscellaneous income	1,435,819	462,000
4. Total (Lines 1 through 3)	4,078,163,121	4,085,957,320
5. Benefit and loss related payments	3,362,657,084	3,244,045,408
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	510,539,264	579,742,229
8. Dividends paid to policyholders	0	0
9. Federal and foreign income taxes paid (recovered) net of \$0 tax on capital gains (losses)	67,570,735	112,215,918
10. Total (Lines 5 through 9)	3,940,767,083	3,936,003,555
11. Net cash from operations (Line 4 minus Line 10)	137,396,038	149,953,765
Cash from Investments		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds	421,857,333	177,120,066
12.2 Stocks	0	0
12.3 Mortgage loans	0	0
12.4 Real estate	0	0
12.5 Other invested assets	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	4,839	766
12.7 Miscellaneous proceeds	3,172,813	6,727,155
12.8 Total investment proceeds (Lines 12.1 to 12.7)	425,034,985	183,847,987
13. Cost of investments acquired (long-term only):		
13.1 Bonds	411,493,991	246,284,280
13.2 Stocks	0	0
13.3 Mortgage loans	0	0
13.4 Real estate	0	0
13.5 Other invested assets	12,791,583	9,080,212
13.6 Miscellaneous applications	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	424,285,574	255,364,492
14. Net increase (decrease) in contract loans and premium notes	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)	749,411	(71,516,505)
Cash from Financing and Miscellaneous Sources		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0
16.3 Borrowed funds	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0
16.5 Dividends to stockholders	80,000,000	180,000,000
16.6 Other cash provided (applied)	(17,713,760)	24,842,036
17. Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	(97,713,760)	(155,157,964)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	40,431,689	(76,720,703)
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year	193,347,621	270,068,324
19.2 End of year (Line 18 plus Line 19.1)	233,779,310	193,347,621

Note: Supplemental disclosures of cash flow information for non-cash transactions:

--	--	--

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS

	1	2	3	4	5	6	7	8	9	10
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other Health	Other Non-Health
1. Net premium income	4,067,708,191	306,449,876	0	0	0	18,079,852	1,177,968,949	2,565,209,514	0	0
2. Change in unearned premium reserves and reserve for rate credit	2,466,357	(806,322)	0	0	0	(682,235)	3,954,914	0	0	0
3. Fee-for-service (net of \$0 medical expenses)	0	0	0	0	0	0	0	0	0	XXX
4. Risk revenue	0	0	0	0	0	0	0	0	0	XXX
5. Aggregate write-ins for other health care related revenues	1,435,819	0	0	0	0	0	0	1,435,819	0	XXX
6. Aggregate write-ins for other non-health care related revenues	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
7. Total revenues (Lines 1 to 6)	4,071,610,367	305,643,554	0	0	0	17,397,617	1,181,923,863	2,566,645,333	0	0
8. Hospital/medical benefits	3,233,185,430	199,809,946	0	0	0	17,833,612	881,467,599	2,134,074,273	0	XXX
9. Other professional services	19,248,353	381,468	0	0	0	24,651	8,960,619	9,881,615	0	XXX
10. Outside referrals	0	0	0	0	0	0	0	0	0	XXX
11. Emergency room and out-of-area	0	0	0	0	0	0	0	0	0	XXX
12. Prescription drugs	110,565,377	31,825,488	0	0	0	2,614,863	76,125,026	0	0	XXX
13. Aggregate write-ins for other hospital and medical	0	0	0	0	0	0	0	0	0	XXX
14. Incentive pool, withhold adjustments and bonus amounts	3,887,298	30,328	0	0	0	0	1,767,606	2,089,364	0	XXX
15. Subtotal (Lines 8 to 14)	3,366,886,458	232,047,230	0	0	0	20,473,126	988,320,850	2,146,045,252	0	XXX
16. Net reinsurance recoveries	104,034	104,034	0	0	0	0	0	0	0	XXX
17. Total medical and hospital (Lines 15 minus 16)	3,366,782,424	231,943,196	0	0	0	20,473,126	988,320,850	2,146,045,252	0	XXX
18. Non-health claims (net)	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
19. Claims adjustment expenses including \$100,934,344 cost containment expenses	168,220,056	9,303,885	0	0	0	150,349	50,014,636	108,751,186	0	0
20. General administrative expenses	334,124,351	31,355,275	0	0	0	506,694	77,229,589	225,032,793	0	0
21. Increase in reserves for accident and health contracts	0	0	0	0	0	0	0	0	0	XXX
22. Increase in reserves for life contracts	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
23. Total underwriting deductions (Lines 17 to 22)	3,869,126,831	272,602,356	0	0	0	21,130,169	1,095,565,075	2,479,829,231	0	0
24. Total underwriting gain or (loss) (Line 7 minus Line 23)	202,483,536	33,041,198	0	0	0	(3,732,552)	86,358,788	86,816,102	0	0
DETAILS OF WRITE-INS										
0501. TennCare Incentives	1,435,819	0	0	0	0	0	0	1,435,819	0	XXX
0502.										XXX
0503.										XXX
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0	0	0	0	0	0	0	XXX
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above)	1,435,819	0	0	0	0	0	0	1,435,819	0	XXX
0601.		XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
0602.		XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
0603.		XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
0698. Summary of remaining write-ins for Line 6 from overflow page	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
1301.										XXX
1302.										XXX
1303.										XXX
1398. Summary of remaining write-ins for Line 13 from overflow page	0	0	0	0	0	0	0	0	0	XXX
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0	0	0	0	0	XXX

UNDERWRITING AND INVESTMENT EXHIBIT

PART 1 - PREMIUMS

Line of Business	1 Direct Business	2 Reinsurance Assumed	3 Reinsurance Ceded	4 Net Premium Income (Cols. 1 + 2 - 3)
1. Comprehensive (hospital and medical)	306,764,959	0	315,083	306,449,876
2. Medicare Supplement	0	0	0	0
3. Dental only	0	0	0	0
4. Vision only	0	0	0	0
5. Federal Employees Health Benefits Plan	18,097,267	0	17,415	18,079,852
6. Title XVIII - Medicare	1,179,152,055	0	1,183,107	1,177,968,948
7. Title XIX - Medicaid	2,567,778,729	0	2,569,214	2,565,209,515
8. Other health	0	0	0	0
9. Health subtotal (Lines 1 through 8)	4,071,793,010	0	4,084,819	4,067,708,191
10. Life	0	0	0	0
11. Property/casualty	0	0	0	0
12. Totals (Lines 9 to 11)	4,071,793,010	0	4,084,819	4,067,708,191

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2 - CLAIMS INCURRED DURING THE YEAR

	1	2	3	4	5	6	7	8	9	10
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other Health	Other Non-Health
1. Payments during the year:										
1.1 Direct	3,360,798,305	244,155,083	0	0	0	19,674,241	959,600,481	2,137,368,500	0	0
1.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
1.3 Reinsurance ceded	104,034	104,034	0	0	0	0	0	0	0	0
1.4 Net	3,360,694,271	244,051,049	0	0	0	19,674,241	959,600,481	2,137,368,500	0	0
2. Paid medical incentive pools and bonuses	1,962,813	138,751	0	0	0	21,917	1,500,501	301,644	0	0
3. Claim liability December 31, current year from Part 2A:										
3.1 Direct	398,807,155	23,928,765	0	0	0	3,741,762	120,216,551	250,920,077	0	0
3.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
3.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
3.4 Net	398,807,155	23,928,765	0	0	0	3,741,762	120,216,551	250,920,077	0	0
4. Claim reserve December 31, current year from Part 2D:										
4.1 Direct	198,785	0	0	0	0	0	129,982	68,803	0	0
4.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
4.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
4.4 Net	198,785	0	0	0	0	0	129,982	68,803	0	0
5. Accrued medical incentive pools and bonuses, current year	2,673,487	0	0	0	0	0	885,766	1,787,721	0	0
6. Net healthcare receivables (a)	9,794,931	1,570,686	0	0	0	247,203	5,214,793	2,762,249	0	0
7. Amounts recoverable from reinsurers December 31, current year	0	0	0	0	0	0	0	0	0	0
8. Claim liability December 31, prior year from Part 2A:										
8.1 Direct	386,814,073	34,496,260	0	0	0	2,695,674	108,056,083	241,566,056	0	0
8.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
8.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
8.4 Net	386,814,073	34,496,260	0	0	0	2,695,674	108,056,083	241,566,056	0	0
9. Claim reserve December 31, prior year from Part 2D:										
9.1 Direct	196,081	0	0	0	0	0	122,895	73,186	0	0
9.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
9.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
9.4 Net	196,081	0	0	0	0	0	122,895	73,186	0	0
10. Accrued medical incentive pools and bonuses, prior year	749,002	108,423	0	0	0	21,917	618,662	0	0	0
11. Amounts recoverable from reinsurers December 31, prior year	0	0	0	0	0	0	0	0	0	0
12. Incurred Benefits:										
12.1 Direct	3,362,999,160	232,016,902	0	0	0	20,473,126	966,553,243	2,143,955,889	0	0
12.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
12.3 Reinsurance ceded	104,034	104,034	0	0	0	0	0	0	0	0
12.4 Net	3,362,895,126	231,912,868	0	0	0	20,473,126	966,553,243	2,143,955,889	0	0
13. Incurred medical incentive pools and bonuses	3,887,298	30,328	0	0	0	0	1,767,605	2,089,365	0	0

(a) Excludes \$ 0 loans or advances to providers not yet expensed.

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2A - CLAIMS LIABILITY END OF CURRENT YEAR

	1	2	3	4	5	6	7	8	9	10
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other Health	Other Non-Health
1. Reported in Process of Adjustment:										
1.1 Direct	104,236,535	7,341,541	.0	.0	.0	603,956	24,155,921	72,135,117	.0	.0
1.2 Reinsurance assumed0	.0	.0	.0	.0	.0	.0	.0	.0	.0
1.3 Reinsurance ceded0	.0	.0	.0	.0	.0	.0	.0	.0	.0
1.4 Net	104,236,535	7,341,541	.0	.0	.0	603,956	24,155,921	72,135,117	.0	.0
2. Incurred but Unreported:										
2.1 Direct	294,475,818	16,524,504	.0	.0	.0	3,137,806	96,034,773	178,778,735	.0	.0
2.2 Reinsurance assumed0	.0	.0	.0	.0	.0	.0	.0	.0	.0
2.3 Reinsurance ceded0	.0	.0	.0	.0	.0	.0	.0	.0	.0
2.4 Net	294,475,818	16,524,504	.0	.0	.0	3,137,806	96,034,773	178,778,735	.0	.0
3. Amounts Withheld from Paid Claims and Capitations:										
3.1 Direct	94,802	62,720	.0	.0	.0	.0	25,857	6,225	.0	.0
3.2 Reinsurance assumed0	.0	.0	.0	.0	.0	.0	.0	.0	.0
3.3 Reinsurance ceded0	.0	.0	.0	.0	.0	.0	.0	.0	.0
3.4 Net	94,802	62,720	.0	.0	.0	.0	25,857	6,225	.0	.0
4. TOTALS:										
4.1 Direct	398,807,155	23,928,765	.0	.0	.0	3,741,762	120,216,551	250,920,077	.0	.0
4.2 Reinsurance assumed0	.0	.0	.0	.0	.0	.0	.0	.0	.0
4.3 Reinsurance ceded0	.0	.0	.0	.0	.0	.0	.0	.0	.0
4.4 Net	398,807,155	23,928,765	.0	.0	.0	3,741,762	120,216,551	250,920,077	.0	.0

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2B - ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid During the Year		Claim Reserve and Claim Liability December 31 of Current Year		5 Claims Incurred In Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1	2	3	4		
	On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid December 31 of Prior Year	On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)	25,915,886	218,135,164	74,305	23,854,459	25,990,191	34,496,260
2. Medicare Supplement	0	0	0	0	0	0
3. Dental Only	0	0	0	0	0	0
4. Vision Only	0	0	0	0	0	0
5. Federal Employees Health Benefits Plan	6,175,059	13,499,182	97,545	3,644,217	6,272,604	2,695,674
6. Title XVIII - Medicare	84,798,357	874,802,124	2,630,278	117,716,255	87,428,635	108,178,978
7. Title XIX - Medicaid	217,194,379	1,920,174,120	10,062,058	240,926,823	227,256,437	241,639,242
8. Other health	0	0	0	0	0	0
9. Health subtotal (Lines 1 to 8)	334,083,681	3,026,610,590	12,864,186	386,141,754	346,947,867	387,010,154
10. Healthcare receivables (a)	5,425,686	36,637,447	0	2,641,329	5,425,686	34,909,531
11. Other non-health	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts	1,291,790	671,023	698,474	1,975,013	1,990,264	749,002
13. Totals (Lines 9 - 10 + 11 + 12)	329,949,785	2,990,644,166	13,562,660	385,475,438	343,512,445	352,849,625

(a) Excludes \$ 0 loans or advances to providers not yet expensed.

UNDERWRITING AND INVESTMENT EXHIBIT

**PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS
(000 Omitted)**

Section A - Paid Health Claims - Comprehensive (Hospital & Medical)

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2009	2 2010	3 2011	4 2012	5 2013
1.	Prior	30,276	30,244	30,262	30,465	30,483
2.	2009	291,768	318,100	318,467	318,105	318,064
3.	2010	XXX	276,693	307,179	306,648	306,425
4.	2011	XXX	XXX	292,929	325,894	326,260
5.	2012	XXX	XXX	XXX	287,915	313,850
6.	2013	XXX	XXX	XXX	XXX	218,135

Section B - Incurred Health Claims - Comprehensive (Hospital & Medical)

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2009	2 2010	3 2011	4 2012	5 2013
1.	Prior	30,449	30,307	30,262	30,465	30,483
2.	2009	320,161	318,161	318,467	318,105	318,064
3.	2010	XXX	314,996	310,877	306,648	306,425
4.	2011	XXX	XXX	331,898	330,827	326,260
5.	2012	XXX	XXX	XXX	317,586	313,925
6.	2013	XXX	XXX	XXX	XXX	241,990

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Comprehensive (Hospital & Medical)

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2009	375,940	318,064	9,290	2.9	327,354	87.1	0	0	327,354	87.1
2. 2010	401,088	306,424	8,025	2.6	314,449	78.4	0	0	314,449	78.4
3. 2011	411,494	326,260	10,108	3.1	336,368	81.7	0	0	336,368	81.7
4. 2012	395,233	313,850	9,544	3.0	323,394	81.8	74	0	323,468	81.8
5. 2013	305,959	218,135	7,892	3.6	226,027	73.9	23,854	295	250,176	81.8

UNDERWRITING AND INVESTMENT EXHIBIT

**PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS
(000 Omitted)**

Section A - Paid Health Claims - Federal Employees Health Benefits Plan Premium

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2009	2 2010	3 2011	4 2012	5 2013
1.	Prior	2,642	2,639	2,640	2,655	2,654
2.	2009	15,190	17,448	17,468	17,436	17,439
3.	2010	XXX	18,130	19,808	19,763	19,749
4.	2011	XXX	XXX	19,301	22,232	22,403
5.	2012	XXX	XXX	XXX	14,663	20,701
6.	2013	XXX	XXX	XXX	XXX	13,499

Section B - Incurred Health Claims - Federal Employees Health Benefits Plan Premium

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2009	2 2010	3 2011	4 2012	5 2013
1.	Prior	2,643	2,639	2,640	2,655	2,654
2.	2009	17,087	17,493	17,468	17,436	17,439
3.	2010	XXX	20,679	20,122	19,763	19,749
4.	2011	XXX	XXX	22,664	22,247	22,403
5.	2012	XXX	XXX	XXX	17,365	20,798
6.	2013	XXX	XXX	XXX	XXX	17,143

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Federal Employees Health Benefits Plan Premium

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2009	17,098	17,439	424	2.4	17,863	104.5	0	0	17,863	104.5
2. 2010	20,729	19,749	90	0.5	19,839	95.7	0	0	19,839	95.7
3. 2011	19,680	22,403	138	0.6	22,541	114.5	0	0	22,541	114.5
4. 2012	17,592	20,701	136	0.7	20,837	118.4	98	0	20,935	119.0
5. 2013	17,415	13,499	127	0.9	13,626	78.2	3,644	16	17,286	99.3

UNDERWRITING AND INVESTMENT EXHIBIT

**PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS
(000 Omitted)**

Section A - Paid Health Claims - Title XVIII

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2009	2 2010	3 2011	4 2012	5 2013
1.	Prior	41,205	41,038	40,967	40,917	40,880
2.	2009	361,254	402,281	400,095	399,792	399,631
3.	2010	XXX	445,603	493,835	494,290	493,948
4.	2011	XXX	XXX	671,155	743,291	743,357
5.	2012	XXX	XXX	XXX	784,207	870,611
6.	2013	XXX	XXX	XXX	XXX	875,172

Section B - Incurred Health Claims - Title XVIII

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2009	2 2010	3 2011	4 2012	5 2013
1.	Prior	41,399	41,034	40,967	40,917	40,880
2.	2009	405,114	404,301	400,095	399,792	399,631
3.	2010	XXX	533,445	499,939	494,290	493,948
4.	2011	XXX	XXX	768,068	749,310	743,357
5.	2012	XXX	XXX	XXX	886,986	873,340
6.	2013	XXX	XXX	XXX	XXX	993,675

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Title XVIII

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2009	523,993	399,631	12,900	3.2	412,531	78.7	0	0	412,531	78.7
2. 2010	642,194	493,948	6,301	1.3	500,249	77.9	0	0	500,249	77.9
3. 2011	942,257	743,357	18,320	2.5	761,677	80.8	0	0	761,677	80.8
4. 2012	1,070,605	870,611	25,561	2.9	896,172	83.7	2,729	0	898,901	84.0
5. 2013	1,183,107	875,172	50,015	5.7	925,187	78.2	118,504	1,973	1,045,664	88.4

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS
(000 Omitted)

Section A - Paid Health Claims - Title XIX

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2009	2 2010	3 2011	4 2012	5 2013
1.	Prior	125,479	128,015	128,358	127,240	127,222
2.	2009	1,086,288	1,248,966	1,255,010	1,253,276	1,252,759
3.	2010	XXX	1,418,095	1,659,185	1,659,485	1,659,183
4.	2011	XXX	XXX	1,799,788	2,003,851	2,006,552
5.	2012	XXX	XXX	XXX	1,848,367	2,063,699
6.	2013	XXX	XXX	XXX	XXX	1,920,476

Section B - Incurred Health Claims - Title XIX

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2009	2 2010	3 2011	4 2012	5 2013
1.	Prior	127,038	128,015	128,358	127,240	127,222
2.	2009	1,267,976	1,253,881	1,255,010	1,253,276	1,252,759
3.	2010	XXX	1,680,411	1,671,321	1,659,485	1,659,183
4.	2011	XXX	XXX	2,032,438	2,010,792	2,006,552
5.	2012	XXX	XXX	XXX	2,083,066	2,074,361
6.	2013	XXX	XXX	XXX	XXX	2,162,590

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Title XIX

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2009	1,486,287	1,252,759	36,871	2.9	1,289,630	86.8	0	0	1,289,630	86.8
2. 2010	2,125,509	1,659,183	107,337	6.5	1,766,520	83.1	0	0	1,766,520	83.1
3. 2011	2,553,313	2,006,552	93,309	4.7	2,099,861	82.2	0	0	2,099,861	82.2
4. 2012	2,531,491	2,063,699	105,249	5.1	2,168,948	85.7	10,662	0	2,179,610	86.1
5. 2013	2,567,778	1,920,476	110,186	5.7	2,030,662	79.1	242,115	5,217	2,277,994	88.7

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS (000 Omitted)

Section A - Paid Health Claims - Grand Total

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2009	2 2010	3 2011	4 2012	5 2013
1.	Prior	199,602	201,936	202,227	201,277	201,239
2.	2009	1,754,500	1,986,795	1,991,040	1,988,609	1,987,893
3.	2010	XXX	2,158,521	2,480,007	2,480,186	2,479,305
4.	2011	XXX	XXX	2,783,173	3,095,268	3,098,572
5.	2012	XXX	XXX	XXX	2,935,152	3,268,861
6.	2013	XXX	XXX	XXX	XXX	3,027,282

Section B - Incurred Health Claims - Grand Total

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2009	2 2010	3 2011	4 2012	5 2013
1.	Prior	201,529	201,995	202,227	201,277	201,239
2.	2009	2,010,338	1,993,836	1,991,040	1,988,609	1,987,893
3.	2010	XXX	2,549,531	2,502,259	2,480,186	2,479,305
4.	2011	XXX	XXX	3,155,068	3,113,176	3,098,572
5.	2012	XXX	XXX	XXX	3,305,003	3,282,424
6.	2013	XXX	XXX	XXX	XXX	3,415,398

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Grand Total

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2009	2,403,318	1,987,893	59,485	3.0	2,047,378	85.2	0	0	2,047,378	85.2
2. 2010	3,189,520	2,479,304	121,753	4.9	2,601,057	81.6	0	0	2,601,057	81.6
3. 2011	3,926,744	3,098,572	121,875	3.9	3,220,447	82.0	0	0	3,220,447	82.0
4. 2012	4,014,921	3,268,861	140,490	4.3	3,409,351	84.9	13,563	0	3,422,914	85.3
5. 2013	4,074,259	3,027,282	168,220	5.6	3,195,502	78.4	388,117	7,501	3,591,120	88.1

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2D - AGGREGATE RESERVE FOR ACCIDENT AND HEALTH CONTRACTS ONLY

	1	2	3	4	5	6	7	8	9
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other
1. Unearned premium reserves	0	0	0	0	0	0	0	0	0
2. Additional policy reserves (a)	0	0	0	0	0	0	0	0	0
3. Reserve for future contingent benefits	0	0	0	0	0	0	0	0	0
4. Reserve for rate credits or experience rating refunds (including \$0) for investment income	9,209,130	3,926,636	0	0	0	0	5,282,494	0	0
5. Aggregate write-ins for other policy reserves	0	0	0	0	0	0	0	0	0
6. Totals (gross)	9,209,130	3,926,636	0	0	0	0	5,282,494	0	0
7. Reinsurance ceded	0	0	0	0	0	0	0	0	0
8. Totals (Net)(Page 3, Line 4)	9,209,130	3,926,636	0	0	0	0	5,282,494	0	0
9. Present value of amounts not yet due on claims	0	0	0	0	0	0	0	0	0
10. Reserve for future contingent benefits	198,785	0	0	0	0	0	129,982	68,803	0
11. Aggregate write-ins for other claim reserves	0	0	0	0	0	0	0	0	0
12. Totals (gross)	198,785	0	0	0	0	0	129,982	68,803	0
13. Reinsurance ceded	0	0	0	0	0	0	0	0	0
14. Totals (Net)(Page 3, Line 7)	198,785	0	0	0	0	0	129,982	68,803	0
DETAILS OF WRITE-INS									
0501.									
0502.									
0503.									
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0	0	0	0	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above)	0	0	0	0	0	0	0	0	0
1101.									
1102.									
1103.									
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0	0	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above)	0	0	0	0	0	0	0	0	0

(a) Includes \$0 premium deficiency reserve.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 3 - ANALYSIS OF EXPENSES

	Claim Adjustment Expenses		3 General Administrative Expenses	4 Investment Expenses	5 Total
	1 Cost Containment Expenses	2 Other Claim Adjustment Expenses			
1. Rent (\$0 for occupancy of own building)	2,551,167	1,702,588	3,925,482	0	8,179,237
2. Salary, wages and other benefits	50,435,779	33,659,624	77,605,560	0	161,700,963
3. Commissions (less \$0 ceded plus \$0 assumed)	0	0	31,384,384	0	31,384,384
4. Legal fees and expenses	153,423	102,391	236,072	0	491,886
5. Certifications and accreditation fees	0	0	0	0	0
6. Auditing, actuarial and other consulting services	5,544,856	3,700,503	8,531,873	0	17,777,232
7. Traveling expenses	1,698,331	1,133,425	2,613,253	0	5,445,009
8. Marketing and advertising	3,841,798	2,563,923	5,911,376	0	12,317,097
9. Postage, express and telephone	3,341,944	2,230,333	5,142,250	0	10,714,527
10. Printing and office supplies	1,093,128	729,527	1,681,996	0	3,504,651
11. Occupancy, depreciation and amortization	1,282,583	855,965	1,973,512	0	4,112,060
12. Equipment	188,932	126,089	290,710	0	605,731
13. Cost or depreciation of EDP equipment and software	6,128,246	4,089,844	9,429,534	0	19,647,624
14. Outsourced services including EDP, claims, and other services	7,770,972	4,114,475	8,558,322	0	20,443,769
15. Boards, bureaus and association fees	106,180	70,862	163,379	0	340,421
16. Insurance, except on real estate	1,044,880	697,328	1,607,758	0	3,349,966
17. Collection and bank service charges	332,169	221,682	511,109	0	1,064,960
18. Group service and administration fees	149,284	99,629	(240,283)	0	8,630
19. Reimbursements by uninsured plans	0	0	0	0	0
20. Reimbursements from fiscal intermediaries	0	0	0	0	0
21. Real estate expenses	0	0	0	0	0
22. Real estate taxes	139,572	106,751	348,793	0	595,116
23. Taxes, licenses and fees:					
23.1 State and local insurance taxes	0	0	592,917	0	592,917
23.2 State premium taxes	0	0	144,833,358	0	144,833,358
23.3 Regulatory authority licenses and fees	0	0	2,357,602	0	2,357,602
23.4 Payroll taxes	2,322,955	1,781,026	5,805,995	0	9,909,976
23.5 Other (excluding federal income and real estate taxes)	0	0	0	0	0
24. Investment expenses not included elsewhere	0	0	0	448,605	448,605
25. Aggregate write-ins for expenses	12,808,145	9,299,747	20,859,399	0	42,967,291
26. Total expenses incurred (Lines 1 to 25)	100,934,344	67,285,712	334,124,351	448,605	(a) 502,793,012
27. Less expenses unpaid December 31, current year	4,500,733	3,000,317	48,273,372	124,724	55,899,146
28. Add expenses unpaid December 31, prior year	6,022,793	3,321,387	42,953,403	114,863	52,412,446
29. Amounts receivable relating to uninsured plans, prior year	0	0	17,311,385	0	17,311,385
30. Amounts receivable relating to uninsured plans, current year	0	0	33,973,439	0	33,973,439
31. Total expenses paid (Lines 26 minus 27 plus 28 minus 29 plus 30)	102,456,404	67,606,782	345,466,436	438,744	515,968,366
DETAILS OF WRITE-INS					
2501. Information Technology	701,492	468,159	1,736,478	0	2,906,129
2502. Interest	83,203	98,361	294,932	0	476,496
2503. Manage Care and Network Access	36,928	733,711	273,892	0	1,044,531
2598. Summary of remaining write-ins for Line 25 from overflow page	11,986,522	7,999,516	18,554,097	0	38,540,135
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	12,808,145	9,299,747	20,859,399	0	42,967,291

(a) Includes management fees of \$257,280,948 to affiliates and \$0 to non-affiliates.

EXHIBIT OF NET INVESTMENT INCOME

	1 Collected During Year	2 Earned During Year
1. U.S. government bonds	(a) 960,696	896,006
1.1 Bonds exempt from U.S. tax	(a) 0	0
1.2 Other bonds (unaffiliated)	(a) 14,301,905	14,378,762
1.3 Bonds of affiliates	(a) 0	0
2.1 Preferred stocks (unaffiliated)	(b) 0	0
2.11 Preferred stocks of affiliates	(b) 0	0
2.2 Common stocks (unaffiliated)	0	0
2.21 Common stocks of affiliates	0	0
3. Mortgage loans	(c) 0	0
4. Real estate	(d) 0	0
5. Contract Loans	0	0
6. Cash, cash equivalents and short-term investments	(e) 734,990	392,724
7. Derivative instruments	(f) 0	0
8. Other invested assets	(476,988)	(476,988)
9. Aggregate write-ins for investment income	0	0
10. Total gross investment income	15,520,603	15,190,504
11. Investment expenses		(g) 448,605
12. Investment taxes, licenses and fees, excluding federal income taxes		(g) 0
13. Interest expense		(h) 0
14. Depreciation on real estate and other invested assets		(i) 0
15. Aggregate write-ins for deductions from investment income		0
16. Total deductions (Lines 11 through 15)		448,605
17. Net investment income (Line 10 minus Line 16)		14,741,899
DETAILS OF WRITE-INS		
0901.		
0902.		
0903.		
0998. Summary of remaining write-ins for Line 9 from overflow page	0	0
0999. Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)	0	0
1501.		
1502.		
1503.		
1598. Summary of remaining write-ins for Line 15 from overflow page		0
1599. Totals (Lines 1501 thru 1503 plus 1598) (Line 15, above)		0

- (a) Includes \$ 151,378 accrual of discount less \$ 10,100,905 amortization of premium and less \$ 1,625,457 paid for accrued interest on purchases.
- (b) Includes \$ 0 accrual of discount less \$ 0 amortization of premium and less \$ 0 paid for accrued dividends on purchases.
- (c) Includes \$ 0 accrual of discount less \$ 0 amortization of premium and less \$ 0 paid for accrued interest on purchases.
- (d) Includes \$ 0 for company's occupancy of its own buildings; and excludes \$ 0 interest on encumbrances.
- (e) Includes \$ 7,904 accrual of discount less \$ 1,158,043 amortization of premium and less \$ 222,774 paid for accrued interest on purchases.
- (f) Includes \$ 0 accrual of discount less \$ 0 amortization of premium.
- (g) Includes \$ 448,605 investment expenses and \$ 0 investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.
- (h) Includes \$ 0 interest on surplus notes and \$ 0 interest on capital notes.
- (i) Includes \$ 0 depreciation on real estate and \$ 0 depreciation on other invested assets.

EXHIBIT OF CAPITAL GAINS (LOSSES)

	1	2	3	4	5
	Realized Gain (Loss) On Sales or Maturity	Other Realized Adjustments	Total Realized Capital Gain (Loss) (Columns 1 + 2)	Change in Unrealized Capital Gain (Loss)	Change in Unrealized Foreign Exchange Capital Gain (Loss)
1. U.S. Government bonds	159,054	0	159,054	0	0
1.1 Bonds exempt from U.S. tax	0	0	0	0	0
1.2 Other bonds (unaffiliated)	6,423,963	0	6,423,963	0	0
1.3 Bonds of affiliates	0	0	0	0	0
2.1 Preferred stocks (unaffiliated)	0	0	0	0	0
2.11 Preferred stocks of affiliates	0	0	0	0	0
2.2 Common stocks (unaffiliated)	0	0	0	0	0
2.21 Common stocks of affiliates	0	0	0	0	0
3. Mortgage loans	0	0	0	0	0
4. Real estate	0	0	0	0	0
5. Contract loans	0	0	0	0	0
6. Cash, cash equivalents and short-term investments	4,839	0	4,839	0	0
7. Derivative instruments	0	0	0	0	0
8. Other invested assets	0	0	0	0	0
9. Aggregate write-ins for capital gains (losses)	0	0	0	0	0
10. Total capital gains (losses)	6,587,856	0	6,587,856	0	0
DETAILS OF WRITE-INS					
0901.					
0902.					
0903.					
0998. Summary of remaining write-ins for Line 9 from overflow page	0	0	0	0	0
0999. Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)	0	0	0	0	0

EXHIBIT OF NON-ADMITTED ASSETS

	1	2	3
	Current Year Total Nonadmitted Assets	Prior Year Total Nonadmitted Assets	Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1. Bonds (Schedule D)	0	0	0
2. Stocks (Schedule D):			
2.1 Preferred stocks	0	0	0
2.2 Common stocks	0	0	0
3. Mortgage loans on real estate (Schedule B):			
3.1 First liens	0	0	0
3.2 Other than first liens.....	0	0	0
4. Real estate (Schedule A):			
4.1 Properties occupied by the company	0	0	0
4.2 Properties held for the production of income.....	0	0	0
4.3 Properties held for sale	0	0	0
5. Cash (Schedule E - Part 1), cash equivalents (Schedule E - Part 2) and short-term investments (Schedule DA)	0	0	0
6. Contract loans	0	0	0
7. Derivatives (Schedule DB)	0	0	0
8. Other invested assets (Schedule BA)	0	0	0
9. Receivables for securities	0	0	0
10. Securities lending reinvested collateral assets (Schedule DL)	0	0	0
11. Aggregate write-ins for invested assets	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	0	0	0
13. Title plants (for Title insurers only)	0	0	0
14. Investment income due and accrued	0	0	0
15. Premiums and considerations:			
15.1 Uncollected premiums and agents' balances in the course of collection	851,727	982,827	131,100
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due	0	0	0
15.3 Accrued retrospective premiums	0	0	0
16. Reinsurance:			
16.1 Amounts recoverable from reinsurers	0	0	0
16.2 Funds held by or deposited with reinsured companies	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0
17. Amounts receivable relating to uninsured plans	94,963	353,891	258,928
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0
18.2 Net deferred tax asset	17,055,008	21,121,745	4,066,737
19. Guaranty funds receivable or on deposit	0	0	0
20. Electronic data processing equipment and software	0	0	0
21. Furniture and equipment, including health care delivery assets	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0
23. Receivable from parent, subsidiaries and affiliates	0	0	0
24. Health care and other amounts receivable	15,552,038	16,085,690	533,652
25. Aggregate write-ins for other than invested assets	33,621	3,276	(30,345)
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	33,587,357	38,547,429	4,960,072
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
28. Total (Lines 26 and 27)	33,587,357	38,547,429	4,960,072
DETAILS OF WRITE-INS			
1101.			
1102.			
1103.			
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)	0	0	0
2501. Service Fee Receivable	33,621	3,276	(30,345)
2502.			
2503.			
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	33,621	3,276	(30,345)

EXHIBIT 1 - ENROLLMENT BY PRODUCT TYPE FOR HEALTH BUSINESS ONLY

Source of Enrollment	Total Members at End of					6 Current Year Member Months
	1 Prior Year	2 First Quarter	3 Second Quarter	4 Third Quarter	5 Current Year	
1. Health Maintenance Organizations	781,421	764,672	768,661	767,154	760,431	9,187,464
2. Provider Service Organizations	0	0	0	0	0	0
3. Preferred Provider Organizations	0	0	0	0	0	0
4. Point of Service	0	0	0	0	0	0
5. Indemnity Only	0	0	0	0	0	0
6. Aggregate write-ins for other lines of business	0	0	0	0	0	0
7. Total	781,421	764,672	768,661	767,154	760,431	9,187,464
DETAILS OF WRITE-INS						
0601.						
0602.						
0603.						
0698. Summary of remaining write-ins for Line 6 from overflow page	0	0	0	0	0	0
0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)	0	0	0	0	0	0

EXHIBIT 3A - ANALYSIS OF HEALTH CARE RECEIVABLES COLLECTED AND ACCRUED

Type of Health Care Receivable	Health Care Receivables Collected During the Year		Health Care Receivables Accrued as of December 31 of Current Year		5 Health Care Receivables in Prior Years (Columns 1 + 3)	6 Estimated Health Care Receivables Accrued as of December 31 of Prior Year
	1 On Amounts Accrued Prior to January 1 of Current Year	2 On Amounts Accrued During the Year	3 On Amounts Accrued December 31 of Prior Year	4 On Amounts Accrued During the Year		
1. Pharmaceutical rebate receivables	20,424,419	40,523,270	268,323	27,000,599	20,692,742	20,008,080
2. Claim overpayment receivables	10,592,208	86,408,238	5,171,364	12,154,101	15,763,572	14,817,300
3. Loans and advances to providers	0	0	0	0	0	0
4. Capitation arrangement receivables	0	0	0	0	0	0
5. Risk sharing receivables	0	0	0	5,208	0	57,414
6. Other health care receivables.....	8,399	9,987	0	104,867	8,399	26,737
7. Totals (Lines 1 through 6)	31,025,026	126,941,495	5,439,687	39,264,775	36,464,713	34,909,531

Note that the accrued amounts in Columns 3, 4, and 6 are the total health care receivables, not just the admitted portion.

EXHIBIT 4 - CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims Unpaid (Reported)						
0199999. Individually listed claims unpaid	0	0	0	0	0	0
0299999. Aggregate accounts not individually listed- uncovered	0	0	0	0	0	0
0399999. Aggregate accounts not individually listed-covered	92,966,863	9,512,561	1,003,375	183,206	570,529	104,236,534
0499999. Subtotals	92,966,863	9,512,561	1,003,375	183,206	570,529	104,236,534
0599999. Unreported claims and other claim reserves						294,475,819
0699999. Total amounts withheld						94,802
0799999. Total claims unpaid						398,807,155
.....						
.....						
.....						
.....						
.....						
.....						
.....						
.....						
.....						
.....						
.....						
.....						
0899999. Accrued medical incentive pool and bonus amounts						2,673,487

EXHIBIT 8 - FURNITURE, EQUIPMENT AND SUPPLIES OWNED

Description	1 Cost	2 Improvements	3 Accumulated Depreciation	4 Book Value Less Encumbrances	5 Assets Not Admitted	6 Net Admitted Assets
1. Administrative furniture and equipment	NONE					
2. Medical furniture, equipment and fixtures						
3. Pharmaceuticals and surgical supplies						
4. Durable medical equipment						
5. Other property and equipment						
6. Total						

UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.

**NOTES TO STATUTORY BASIS FINANCIAL STATEMENTS
AS OF AND FOR THE YEARS ENDED DECEMBER 31, 2013 AND 2012
(In thousands except capital stock share data)**

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Organization and Operation

UnitedHealthcare Plan of the River Valley, Inc. (the "Company"), licensed as a health maintenance organization ("HMO"), offers its enrollees a variety of managed care programs and products through contractual arrangements with health care providers. The Company is a wholly owned subsidiary of UnitedHealthcare Services Company of the River Valley, Inc. ("UHS-RV"). UHS-RV is a wholly owned subsidiary of UnitedHealthcare, Inc. ("UHC"). UHC is a wholly owned subsidiary of United HealthCare Services, Inc. ("UHS"), an HMO management corporation that provides services to the Company under the terms of a management agreement. UHS is a wholly owned subsidiary of UnitedHealth Group Incorporated ("UnitedHealth Group"). UnitedHealth Group is a publicly held company trading on the New York Stock Exchange.

The Company was incorporated on August 5, 1985, as a HMO and operations commenced in December 1985. The Company is certified as a HMO by the Illinois Department of Insurance ("IDOI"). The Company has entered into contracts with physicians, hospitals, and other health care provider organizations to deliver health care services for all enrollees. The Company is licensed in four states.

The Company serves as a plan sponsor offering Medicare Advantage and Medicare Part D prescription drug insurance coverage ("Medicare Part D program") under a contract with the Centers for Medicare and Medicaid Services ("CMS"). Under the Medicare Part D program, there are seven separate elements of payment received by the Company during the plan year; these payment elements are CMS premium, member premium, CMS low-income premium subsidy, CMS catastrophic reinsurance subsidy, CMS low-income member cost-sharing subsidy, CMS risk share, and the CMS Coverage Gap Discount Program. Each component of the Medicare Part D program is further defined throughout Note 1.

The Company participates state-wide as a contracted managed care organization ("MCO") in the State of Tennessee Bureau of TennCare ("TennCare") program. TennCare is a project authorized through a conditional waiver by CMS that replaced the State of Tennessee's Medicaid program on January 1, 1994. Uninsured individuals and Medicaid eligible individuals who enroll in the TennCare program receive prepaid health care through participating MCO's. The Company has risk arrangements with TennCare servicing individuals in the Middle Grand Region Tennessee, the West Grand Region Tennessee, and the East Grand Region Tennessee. The contract for the Middle Grand Region is effective through December 31, 2014 and the contracts for the East and Middle Grand Regions are effective through June 30, 2014. Each region is subject to renewal provisions as outlined in the contract.

The Company offers the CHOICES Program for individuals in the Middle Grand Region Tennessee, West Grand Region Tennessee and the East Grand Region Tennessee which provides long term care benefits to the uninsured individuals and Medicaid eligible individuals who are enrolled in the TennCare program and qualify for this benefit. The Company also offers the CHOICES 3 program for individuals who qualify for CHOICES but are considered at-risk for nursing facility care.

The Company has a contract with the Office of Personnel Management ("OPM") to provide healthcare services to employees of the Federal government under the Federal Employee Health Benefit Plan ("FEHBP"). The contract has been renewed through December 31, 2014 and is subject to annual renewal provisions thereafter.

The Company has a contract with the State of Iowa, Department of Human Services, to provide healthcare services to Hawk-i (a program for uninsured children) eligible beneficiaries in Iowa. The current contract is effective through June 30, 2014, and is subject to renewal provisions as outlined in the contract.

A. Accounting Practices

The statutory basis financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the IDOI.

The Department recognizes only statutory accounting practices, prescribed or permitted by the State of Illinois, for determining and reporting the financial condition and results of operations of an HMO, for determining its solvency under Illinois Insurance Law. The state prescribes the use of the National Association of Insurance Commissioners' ("NAIC") Accounting Practices and Procedures manual ("NAIC SAP") in effect for the accounting periods covered in the statutory basis financial statements.

(1-8) No significant differences exist between the practices prescribed or permitted by the State of Illinois and those prescribed or permitted by the NAIC SAP that materially affect the statutory basis net income and capital and surplus, as illustrated in the table below:

	State of Domicile	2013	2012
Net Income			
(1) Company state basis	Illinois	\$ 152,049	\$ 169,954
(2) State prescribed practices that increase/(decrease) NAIC SAP: None	Illinois	-	-
(3) State permitted practices that increase/(decrease) NAIC SAP: None	Illinois	-	-
(4) NAIC SAP (1-2-3=4)	Illinois	<u>\$ 152,049</u>	<u>\$ 169,954</u>
Surplus			
(5) Company state basis	Illinois	\$ 513,401	\$ 441,222
(6) State prescribed practices that increase/(decrease) NAIC SAP: None	Illinois	-	-
(7) State permitted practices that increase/(decrease) NAIC SAP: None	Illinois	-	-
(8) NAIC SAP (5-6-7=8)	Illinois	<u>\$ 513,401</u>	<u>\$ 441,222</u>

B. Use of Estimates in the Preparation of the Statutory Basis Financial Statements

The preparation of these statutory basis financial statements in conformity with the NAIC Annual Statement Instructions and the NAIC SAP include certain amounts that are based on the Company's estimates and judgments. These estimates require the Company to apply complex assumptions and judgments, often because the Company must make estimates about the effects of matters that are inherently uncertain and will change in subsequent periods. The most significant estimates relate to hospital and medical benefits, claims unpaid, and aggregate health policy reserves (including medical loss ratio rebates) and aggregate health claim reserves (collectively known as "aggregate health reserves"). The Company adjusts these estimates each period as more current information becomes available. The impact of any changes in estimates is included in the determination of net income in the period in which the estimate is adjusted.

C. Accounting Policy

(1-13) Basis of Presentation — The Company prepares its statutory basis financial statements on the basis of accounting practices prescribed or permitted by the IDOI. These statutory practices differ from accounting principles generally accepted in the United States of America ("GAAP").

The more significant differences are as follows:

- Cash, cash equivalents, and short-term investments in the statutory basis financial statements represent cash balances and investments with original maturities of one year or less from the time of acquisition, whereas under GAAP, the corresponding caption of cash, cash equivalents, and short-term investments includes cash balances and investments that will mature in one year or less from the balance sheet date;
- Outstanding checks are required to be netted against cash balances or presented as cash overdrafts if in excess of cash balances in the statutory basis statements of admitted assets, liabilities, and capital and surplus as opposed to being presented as other liabilities under GAAP;
- Certain debt investments categorized as available for sale or held to maturity are presented at the lower of amortized cost or fair value in accordance with the NAIC designations in the statutory basis financial statements, whereas under GAAP, these investments are shown at fair value or amortized cost, respectively;
- Under statutory accounting, the change to deferred tax assets and liabilities is recorded directly to unassigned surplus and deferred tax assets are subject to a valuation allowance and admissibility limitations of the assets in the statutory basis financial statements, whereas under GAAP, the change in deferred tax assets and liabilities is recorded as a component of the income tax provision within the income statement and is based on the ultimate recoverability of the deferred tax assets. Based on the

admissibility criteria under statutory accounting, any deferred tax assets determined to be nonadmitted are charged directly to surplus and excluded from the statutory basis financial statements, whereas under GAAP, such assets are included in the balance sheets

- Certain assets, including certain aged premium receivables, certain health care receivables, certain receivables related to uninsured plans, and components of deferred tax assets, are considered nonadmitted assets for statutory purposes and are excluded from the statutory basis statements of admitted assets, liabilities, and capital and surplus and charged directly to unassigned surplus. Under GAAP, such assets are included in the balance sheets;
- Minimum capital and surplus requirements calculated pursuant to the IDOI governing HMOs are reported as a contingency reserve and included as a component of aggregate write-ins for other than special surplus funds in the statutory basis statements of admitted assets, liabilities, and capital and surplus, whereas under GAAP, such reserves are included in retained earnings;
- Comprehensive income and its components are not separately presented in the statutory basis financial statements, whereas under GAAP, it is a requirement to present comprehensive income and its components in the financial statements;
- Cash, cash equivalents, and short-term investments in the statutory basis statements of cash flows represent cash balances and investments with original maturities of one year or less from the time of acquisition. Under GAAP, the corresponding caption of cash and cash equivalents includes cash balances and cash equivalents with maturities of three months or less. The corresponding caption of short-term investments under GAAP represents securities with a final maturity of one year or less from the balance sheet date. The statutory basis statements of cash flows are prepared in accordance with the NAIC Annual Statement Instructions.

Cash and Invested Assets —

- Cash and cash equivalents represent cash held by the Company in disbursement accounts, treasury bills, agency discount notes, and commercial paper. Cash equivalents have original maturity dates of three months or less from the date of acquisition and are reported at cost or amortized cost depending on the nature of the underlying security, which approximates fair value;
- Short-term investments represent money market instruments, corporate debt securities, and government obligations with a maturity of greater than three months but less than one year at the time of purchase. Short-term investments also consist of the Company's share of an investment pool sponsored and administered by UHS. The investment pool consists principally of investments with original maturities of less than one year, with the average life of the individual investments being less than 60 days. The Company's share of the pool represents an undivided ownership interest in the pool and is immediately convertible to cash at no cost or penalty. The participants within the pool have an individual fund number to track those investments owned by the Company. In addition, the Company is listed as a participant in the executed custodial agreement between UHS and the custodian whereby the Company's share in the investment pool is segregated and separately maintained. The pool is primarily invested in government obligations, commercial paper, certificates of deposit, and short-term agency notes and is recorded at cost or amortized cost. Interest income from the pool accrues daily to participating members based upon ownership percentage;
- Bonds include corporate debt securities, U.S. government and state agency obligations, and municipal securities with a maturity of greater than one year at the time of purchase;
- Bonds and short-term investments are stated at amortized cost if they meet NAIC designation of one or two and stated at the lower of amortized cost or fair value if they meet an NAIC designation of three or higher. Amortization of bond premium or discount is calculated using the constant-yield interest method. Bonds and short-term investments are valued and reported using market prices published by the SVO in accordance with the NAIC Valuations of Securities manual prepared by the SVO or an external pricing service;
- The Company holds no mortgage loans on real estate.
- Corporate bonds and government obligations include mortgage-backed securities, which are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the amortized cost of mortgage-backed securities

are based on a three-month constant prepayment rate history obtained from external data source vendors. The Company's investment policy limits investments in nonagency residential mortgage-backed securities, including home equity and sub-prime mortgages, to 10% of total cash and invested assets and total investments in mortgage-backed securities to 30% of total cash and invested assets;

- The Company holds no common or preferred stock;
- The Company holds no investments in subsidiaries, controlled, or affiliated entities;
- The Company has no investment interests with respect to joint ventures, partnerships, or limited liability companies;
- The Company holds no derivatives;
- Realized capital gains and losses on sales of investments are calculated based upon specific identification of the investments sold. These gains and losses are reported as net realized capital gains less capital gains tax in the statutory basis statements of operations;
- The Company continually monitors the difference between amortized cost and estimated fair value of its investments. If any of the Company's investments experience a decline in value that the Company has determined is other-than-temporary, or if the Company has determined it will sell a security that is in an impaired status, the Company will record a realized loss in net realized capital gains less capital gains tax in the statutory basis statements of operations. The new cost basis is not changed for subsequent recoveries in fair value. The prospective adjustment method is utilized for mortgage-backed securities for periods subsequent to the loss recognition. The Company has not recorded any other-than-temporary impairments for the years ended December 31, 2013 and 2012.

Payable for Securities — The Company reports payable for securities when investments are traded at the end of an accounting period and the settlement does not occur until the following month in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The balance as of December 31, 2013 and 2012, represent the future commitments for the low income housing tax credit investments the Company is obligated to purchase in the future.

Investment Income Due and Accrued — Investment income earned and due as of the reporting date, in addition to investment income earned but not paid or collected until subsequent periods, is reported as investment income due and accrued in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company evaluates the collectability of the amounts due and amounts determined to be uncollectible are written off in the period in which the determination is made.

Net Investment Income Earned — Net investment income earned includes investment income collected during the period, as well as the change in investment income due and accrued on the Company's holdings. Amortization of premium or discount on bonds and certain external investment management costs are also included in net investment income earned (see Note 7).

Properties Occupied by the Company, Properties Held for the Production of Income, Properties Held for Sale, Furniture and Equipment, and Electronic Data Processing Equipment and Software — The Company does not carry any fixed assets on the statutory basis financial statements.

Amounts Due to Parent, Subsidiaries, and Affiliates, Net — In the normal course of business, the Company has various transactions with related parties (see Note 10). The Company reports any unsettled amounts due to parent, subsidiaries, and affiliates, net, in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company has excluded receivables that do not meet the admissibility criteria from the statutory basis statements of admitted assets, liabilities, and capital and surplus.

Hospital and Medical Expenses, Claims Unpaid, and Aggregate Health Reserves — Hospital and medical expenses and corresponding liabilities include claims paid, claims processed but not yet paid, estimates for claims received but not yet processed, estimates for the costs of health care services enrollees have received but for which claims have not yet been submitted, and payments and liabilities for physician, hospital, and other medical costs disputes.

The estimates for incurred but not yet reported claims are developed using an actuarial process that is consistently applied, centrally controlled, and automated. The actuarial models consider factors such as historical submission and payment data, cost trends, customer and product mix, seasonality, utilization of health care services, contracted service rates, and other relevant factors. The Company estimates such liabilities for physician, hospital, and other medical cost disputes based upon an analysis of potential outcomes, assuming a combination of litigation and settlement strategies. These estimates may change as actuarial methods change or as underlying facts upon

which estimates are based change. The Company did not change actuarial methods during 2013 and 2012. Management believes the amount of claims unpaid and aggregate health reserves is adequate to cover the Company's liability for unpaid claims and aggregate health reserves as of December 31, 2013; however, actual payments may differ from those established estimates. Adjustments to claims unpaid estimates and aggregate health reserves are reflected in the statutory basis statement of operations in the period in which the change in estimate is identified.

The Company contracts with hospitals, physicians, and other providers of health care under capitated or discounted fee for service arrangements, including a hospital per diem to provide medical care services to enrollees. Some of these contracts are with related parties (see Note 10). Capitated providers are at risk for the cost of medical care services provided to the Company's enrollees; however, the Company is ultimately responsible for the provision of services to its enrollees should the capitated provider be unable to provide the contracted services.

Amounts Receivable Relating to Uninsured Plans and Liability for Amounts Held under Uninsured Plans — Receivables and liabilities for amounts held under uninsured plans represent the cost reimbursement under the Medicare Part D program for the catastrophic reinsurance subsidy and the low-income member cost-sharing subsidy. The Company is fully reimbursed by CMS for costs incurred for these contract elements, and accordingly, there is no insurance risk to the Company. Amounts received for these subsidies are received monthly and are not reflected as net premium income, but rather are accounted for as deposits. The Patient Protection and Affordable Care Act and its related reconciliation act ("Health Reform Legislation") mandate consumer discounts of 50% on brand name prescription drugs for Part D plan participants in the coverage gap ("Coverage Gap Discount Program" or "CGDP"). These discounts are pre-funded by CMS, and ultimately reimbursed by pharmaceutical manufacturers. The Company solely administers the application of these funds and has no insurance risk. If the Company incurs costs either in excess of or less than these subsidies, a corresponding receivable or payable is recorded in amounts receivable relating to uninsured plans or liability for amounts held under uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Related cash flows are presented within operating expenses paid within cash provided by operations in the statutory basis statements of cash flows. Also included in the receivable and payable balances are amounts due from/to TennCare related to the 2008 and 2007 Administrative Services Only (ASO) contract years. If the Company incurs costs in excess/below these subsidies, a corresponding receivable/payable is recorded in amounts receivable and payable relating to uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Related cash flows are presented within operating expenses paid within cash provided by operations in the statutory basis statements of cash flows.

The Company also records receivables and liabilities related to the administration of TennCare's pharmaceutical benefit program ("PBM"). The PBM submits weekly invoices to the Company that reflects the total payment amount to be made to the TennCare Pharmacy Providers. When the invoice is received, the Company records a PBM receivable and corresponding payable in amounts receivable relating to uninsured plans and liability for amounts held for uninsured plans, respectively. The Company will then receive a reimbursement for the invoice from TennCare and remits the amount received to the PBM. The Company records a premium tax receivable and a corresponding premium tax payable for any amounts received from TennCare that remains unremitted at month end, which is included in amounts receivable relating to uninsured plans and general expenses due or accrued, respectively, in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company will have no financial or member risk as a result of this relationship. The Company is acting in a pass through capacity only.

Net Deferred Tax Asset and Federal Income Taxes Incurred — Statutory accounting provides for an amount to be recorded for deferred taxes on temporary differences between the financial reporting and tax bases of assets and liabilities, subject to a valuation allowance and admissibility limitations on deferred tax assets (see Note 9). The provision for federal income taxes incurred is calculated based on applying the statutory federal income tax rate of 35% to net income before federal income taxes plus capital gains tax subject to certain adjustments (see Note 9).

Remittances and Items Not Allocated — Remittances and items not allocated generally represent monies received from policyholders for monthly premium billings that have not been entered into the billing system. The majority is from monies received in the lockbox account on the last day of the year.

Claims Adjustment Expenses — Claims adjustment expenses ("CAE") are those costs expected to be incurred in connection with the adjustment and recording of accident and health claims. Pursuant to the terms of the management agreement (see Note 10), effective January 1, 2013, the Company pays a management fee to UHS in exchange for administrative and management services. Prior to January 1, 2013, the Company paid UHS-RV for similar services. A detailed review of UHS', UHS-RV's, and the Company's administrative expenses is performed to determine the allocation between claims adjustment expenses and general administrative expenses to be reported in the statutory basis statement of operations. Effective January 1, 2013, it is the responsibility of UHS to pay CAE in the event the Company ceases operations. Prior to January 1, 2013, it was the responsibility of UHS-RV. The Company has recorded an estimate of unpaid claims adjustment expenses associated with incurred but unpaid claims, which is included in unpaid claims adjustment

expenses in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Management believes the amount of the liability for unpaid claims adjustment expenses as of December 31, 2013 is adequate to cover the Company's cost for the adjustment and recording of unpaid claims; however, actual expenses may differ from those established estimates. Adjustments to the estimates for unpaid claims adjustment expenses are reflected in operating results in the period in which the change in estimate is identified.

General Administrative Expenses — Pursuant to the terms of the management agreement (see Note 10), effective January 1, 2013, the Company pays a management fee to UHS in exchange for administrative and management services. Prior to January 1, 2013, the Company paid UHS-RV for similar services. Costs for items not included within the scope of the management agreement are directly expensed as incurred. State income taxes are also a component of general administrative expenses. A detailed review of the administrative expenses of the Company, UHS, and UHS-RV is performed to determine the allocation between claims adjustment expenses and general administrative expenses to be reported in the statutory basis statements of operations.

Revenues, Premiums Received in Advance, Premiums and Considerations — Revenues consist of net premium income that is recognized in the period in which enrollees are entitled to receive health care services. Net premium income is shown net of reinsurance premiums. Premiums received in full during the current period that are not due until future periods are recorded as premiums received in advance in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus.

Commercial health plans with medical loss ratios on fully insured products, as calculated under the definitions in the Patient Protection and Affordable Care Act and its related reconciliation act, the Health Care and Education Reconciliation Act of 2010 (collectively known as "Health Reform Legislation") (see Note 14) and implementing regulations, that fall below certain targets are required to rebate ratable portions of premiums annually. The Company classifies its estimated rebates as change in reserves for rate credits in the accompanying statutory basis statements of operations.

Net premium income includes the Medicare Advantage CMS premium, and the premium under the Medicare Part D program, which includes, CMS premium, member premium, and low-income premium subsidy for the Company's insurance risk coverage. Net premium income is recognized ratably over the period in which eligible individuals are entitled to receive health care services and prescription drug benefits. The Company estimates retrospective premium adjustments based on guidelines determined by CMS (see Note 24).

CMS deploys a risk adjustment model that apportions premiums paid to all health plans according to health severity and certain demographic factors. The CMS risk adjustment model pays more for members whose medical history indicates they have certain medical conditions. Under this risk adjustment methodology, CMS calculates the risk-adjusted premium payment using diagnosis data from hospital inpatient, hospital outpatient, and physician treatment settings. The Company and health care providers collect, capture, and submit the necessary and available diagnosis data to CMS within prescribed deadlines. The Company estimates risk adjustment revenues based upon the diagnosis data submitted and expected to be submitted to CMS. The Company recognizes such changes when the amounts become determinable and supportable and collectability is reasonably assured. The estimated risk-adjusted payments due to the Company at December 31, 2013 and 2012, were \$22,749 and \$8,641, respectively, and are recorded as premiums and considerations in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company recognized \$2,492 and \$614 for changes in prior year Medicare risk factor estimates during the years ended December 31, 2013 and 2012, respectively, which is recorded as net premium income within the statutory basis statements of operations.

Net premium income also includes amounts paid by TennCare for the membership enrolled under the at-risk agreement. Premiums are contractual and are recognized in the coverage period in which members are entitled to receive services. The majority of net premium income recorded is based on capitated rates, which are monthly premiums paid for each member enrolled.

Administrative fee revenues consist primarily of fees derived from services performed for customers that self-insure the health care costs of their employees and employees' dependents. Under these contracts, the Company recognizes revenue in the period in which the related services are performed. The customers retain the risk of financing health care costs for their employees and employees' dependents, and the Company administers the payment of customer funds to physicians and other health care professionals from customer-funded bank accounts. As the Company has neither the obligation for funding the health care costs, nor the primary responsibility for providing the medical care, the Company does not recognize premium revenue and hospital/medical benefits for these contracts. Administrative fee revenue and related expenses relate only to run-out activity associated with the TennCare ASO contract (see Note 18) are netted against general administrative expenses in the statutory basis statements of operations.

Other health care related revenues consist of TennCare incentives which relate to certain performance metrics or other measures met by the Company in 2013 and 2012.

The Company reports uncollected premium balances from its insured members as premiums and considerations in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Uncollected premium balances that are over 90 days past due, with the exception of amounts due from government insured plans, are considered nonadmitted assets. In addition to those balances, current balances are also considered nonadmitted if the corresponding balance greater than 90 days past due is deemed more than inconsequential.

Reinsurance Ceded — In the normal course of business, the Company seeks to limit its exposure to loss on any single insured and to recover a portion of benefits paid by ceding premium to other insurance enterprises or reinsurers under excess coverage contracts or specific transfer of risk agreements. The Company remains primarily liable as the direct insurer on the risks reinsured. Any amounts due to the Company pursuant to this agreement are recorded as amounts recoverable from reinsurers in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus.

The Company also has an insolvency-only reinsurance agreement.

Reinsurance premiums paid and incurred are deducted from net premium income in the accompanying statutory basis financial statements.

Ceded Reinsurance Premiums Payable — The Company has an excess loss reinsurance agreement on its point of service product with UnitedHealthcare Insurance Company (“UHIC”), whereby \$0.32 per member per month (“PMPM”) is ceded to UHIC to cover certain hospital claims in excess of defined limits. The Company also has an insolvency-only agreement with UHIC whereby 0.1% of net premium income is ceded to UHIC. The ceded reinsurance premiums payable balance represents amounts due to the reinsurer for coverage which will be paid based on the contract terms.

Incentive Pool — The Company has agreements with certain independent physicians and physician network organizations that provide for the establishment of a fund into which the Company places monthly premiums payable for members assigned to the physician. The Company manages the disbursement of funds from this account as well as reviews the utilization of nonprimary care medical services of members assigned to the physicians. Any surpluses or deficits in the fund are shared by the Company and the physician based upon predetermined risk-sharing percentage and the liability is included in accrued medical incentive pool and bonus amounts in the statutory basis statements of admitted assets, liabilities, and capital and surplus, and the corresponding expense or reduction to expense is included in incentive pool, withhold adjustments, and bonus amounts in the statutory basis statements of operations.

Medical Risk Share — Medicare Part D — The Company has settlements with CMS based on whether the ultimate per member per month (“PMPM”) benefit costs of any Medicare Part D program regional plan varies more than 5% above or below the level estimated in the original bid submitted by the Company and approved by CMS in 2013 and 2012. The estimated risk share adjustment of \$5,282 and \$9,237 in 2013 and 2012, respectively, is recorded as aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus with the corresponding change in the balance reflected as a change in reserve for rate credits in the statutory basis statements of operations.

Reserve for Experience Rated Refunds — A liability is established for estimated premium refunds on experience rated contracts based on actuarial methods and assumptions and minimum loss ratio requirements. The liability also includes the estimated rebate on the commercial health products, for which the medical loss ratios on fully insured products, as calculated under the Health Reform Legislation (see Note 14) and implementing regulations, fall below certain targets. The Company is required to rebate the ratable portions of the premiums annually. Estimated accrued retrospective premiums due from the Company are recorded in aggregate health policy reserves on the statutory basis statements of admitted assets, liabilities, and capital and surplus and as a change in reserve for rate credits in the statutory basis statements of operations.

Health Care Receivables — Health care receivables consist of pharmacy rebate receivables estimated based on the most currently available data from the Company’s claims processing systems and from data provided by the Company’s unaffiliated pharmaceutical benefit manager and affiliated pharmaceutical benefit manager, OptumRx, Inc. (“OptumRx”). Also included in health care receivables are claim overpayments due from providers, gross of any amounts payable to the same provider. Health care receivables are considered nonadmitted assets for statutory purposes if they do not meet admissibility requirements. Accordingly, the Company has excluded receivables that do not meet the admissibility criteria from the statutory basis statements of admitted assets, liabilities, and capital and surplus (see Note 28).

Premium Deficiency Reserves — Premium deficiency reserves and the related expenses are recognized when it is probable that expected future health care expenses, claim adjustment expenses, direct administration costs, and an allocation of indirect administration costs under a group of existing contracts will exceed anticipated future premiums and reinsurance recoveries considered over the remaining lives of the contracts, and are recorded as aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

Indirect administration costs arise from activities that are not specifically identifiable to a specific group of existing contracts, and therefore, those costs are fully allocated among the various contract groupings. The allocation of indirect administration costs to each contract grouping is made proportionately to the expected margins remaining in the premiums after future health care expenses, CAE and direct administration costs are considered. The methods for making such estimates and for establishing the resulting reserves are periodically reviewed and updated. The Company anticipates investment income as a factor in the premium deficiency calculation (see Note 30).

FEHBP Receivable — The FEHBP contract includes provisions for funds to be accessible to the Company when expenses are paid. Funds cannot be withdrawn from the account until the expenses have been paid. In order to ensure the availability of cash to the Company, the OPM makes provision for excess funds which is included as a liability in claims unpaid in the statutory basis statements of admitted assets, liabilities, and capital and surplus. When the Company records an amount incurred but not reported for an FEHBP member, the Company records a corresponding receivable due from the excess funds established which is included in FEHBP receivable in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

Vulnerability Due to Certain Concentrations — The Company is subject to substantial federal and state government regulation, including licensing and other requirements relating to the offering of the Company's existing products in new markets and offerings of new products, both of which may restrict

Direct premiums written and uncollected premiums from members and CMS related to Medicare Advantage and the Medicare Part D program as a percentage of total direct premiums written and total uncollected premiums are 29% and 28% as of December 31, 2013, and 27% and 15% at December 31, 2012, respectively.

Direct premiums written and uncollected premiums from the State of Tennessee, TennCare program, as a percentage of total direct premiums written and total uncollected premiums are 63% and 71% as of December 31, 2013, and 63% and 81% at December 31, 2012, respectively.

The Company did not have any Commercial customers that individually exceed 10% of total direct premiums written or uncollected premiums in 2013 or 2012.

Restricted Cash Reserves — The Company held regulatory deposits in the amount of \$55,606 and \$50,086 as of December 31, 2013 and 2012, respectively, and is in compliance with the various states requirements. These restricted deposits represent special deposits required by the IDOI and various other states for qualification purposes as a domestic HMO. These restricted cash reserves consist principally of government obligations and are stated at amortized cost, which approximates fair value. These reserves are included in bonds in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus. Interest earned on these deposits accrues to the Company.

Minimum Capital and Surplus — Under the laws of the State of Illinois, the IDOI requires the Company to provide a contingency reserve based on 2% of the net capitation revenue from risk contracts limited to \$1,500. The Company's accumulated reserve reached \$1,500 during 1989 and this reserve has been recorded as aggregate write-ins for other than special surplus funds as a component of capital and surplus in the accompany statutory basis statements of admitted assets, liabilities, and total capital and surplus.

The Tennessee Department of Commerce and Insurance ("TDCI") requires the Company to maintain minimum capital and surplus of \$1,500 or an amount totaling 4% of the first \$150,000 of direct premiums written as reported on the most recent annual statement filed with the commissioner and 1.5% of the direct premiums written in excess of \$150,000. TennCare has a similar minimum capital and surplus requirement however, the calculation is based on the greater of premium revenue remitted to the Company pursuant to the federal waiver or direct premiums written reported on the annual statement. The Company was in compliance with the required amounts as of December 31, 2013 and 2012.

Risk-based capital ("RBC") is a regulatory tool for measuring the minimum amount of capital appropriate for a managed care organization to support its overall business operations in consideration of its size and risk profile. The IDOI requires the Company to maintain minimum capital and surplus equal to the greater of the state statute as outlined above or the health trend test level as recently adopted by the State of Illinois, which requires the Company to maintain higher capital and surplus levels based on the current excess over the predefined RBC limits as calculated by the RBC formula. The Company is in compliance with the required amount.

Recently Issued Accounting Standards — The Company reviewed all recently issued guidance in 2012 and 2013 that has been adopted for 2013 or subsequent year's implementation and has determined that none of the items would have a significant impact to the statutory basis financial statements.

2. ACCOUNTING CHANGES AND CORRECTION OF ERRORS

In 2012, the Company implemented SSAP No. 101. The new statement includes revised guidance for tax contingencies, non-elective deferred tax asset admissibility test along with significant modifications to the deferred tax asset admissibility test. A change resulting from the adoption of this revised statement should be accounted for prospectively. As a result of the adoption, the Company recalculated the non-admitted deferred tax asset balance as of December 31, 2011 in accordance with SSAP No. 101. This resulted in an increase to the net deferred tax asset of \$8,246 and a corresponding decrease of \$8,246 to the non-admitted deferred tax asset balance in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The cumulative effect of this change in accounting principle was recorded by the Company in accordance with SSAP No. 3, *Accounting Changes and Corrections of Errors* and is reflected as an increase to unassigned funds in the accompanying statutory basis financial statements as of December 31, 2012.

No changes in accounting principles have been recorded during the year ended December 31, 2013.

No correction of errors have been recorded for the years ended December 31, 2013 and 2012.

3. BUSINESS COMBINATIONS AND GOODWILL

A–D. The Company was not party to a business combination during the years ended December 31, 2013 and 2012, and does not carry goodwill in its statutory basis statements of admitted assets, liabilities, and capital and surplus.

4. DISCONTINUED OPERATIONS

(1–5) The Company did not discontinue any operations during 2013 and 2012.

5. INVESTMENTS AND OTHER INVESTED ASSETS

For purposes of calculating gross realized gains and losses on sales of investments, the amortized cost of each investment sold is used. The gross realized gains and losses on sales of investments for bonds were \$6,615 and \$32, respectively, for 2013 and \$2,476 and \$0, respectively, for 2012. The gross realized gains on sales of short-term investments were \$5 and \$0 respectively, for 2013 and 2012. The Company had no gross realized losses on sales of short-term investments in 2013 and 2012, respectively. The net realized gain is included in net realized capital gains less capital gains tax in the accompanying statutory basis statements of operations. Total proceeds on the sale of investments for bonds were \$214,621 and \$36,905 and for short-term investments were \$7,429,553 and \$7,371,375 in 2013 and 2012, respectively

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

As of December 31, 2013 and 2012, the amortized cost, fair value, and gross unrealized holding gains and losses of the Company's investments, excluding cash and cash equivalents of \$117,328 and \$10,491, respectively, are as follows:

	2013				
	Amortized Cost	Gross Unrealized Holding Gains	Gross Unrealized Holding Losses < 1 year	Gross Unrealized Holding Losses > 1 year	Fair Value
U.S. government and agency securities	#####	\$ 894	\$ (3,049)	\$ (891)	\$ 201,858
State and agency municipalities	169,992	3,688	(702)	(106)	172,872
City and county municipalities	141,424	2,240	(1,059)	-	142,605
Corporate debt securities (includes commercial pap	177,039	4,252	(1,213)	-	180,078
Money-market funds	99,630	-	-	-	99,630
Other invested assets	<u>21,264</u>	<u>65</u>	<u>(4)</u>	<u>-</u>	<u>21,325</u>
Total bonds, short-term investments, and other invested assets	#####	<u>\$ 11,139</u>	<u>\$ (6,027)</u>	<u>\$ (997)</u>	<u>\$ 818,368</u>

	2013				
	Amortized Cost	Gross Unrealized Holding Gains	Gross Unrealized Holding Losses < 1 year	Gross Unrealized Holding Losses > 1 year	Fair Value
Less than one year	#####	\$ 360	\$ (4)	\$ -	\$ 183,891
One to five years	299,666	5,332	(1,270)	-	303,728
Five to ten years	234,301	5,035	(2,949)	(106)	236,281
Over ten years	<u>96,751</u>	<u>412</u>	<u>(1,804)</u>	<u>(891)</u>	<u>94,468</u>
Total bonds, short-term investments, and other invested assets	#####	<u>\$ 11,139</u>	<u>\$ (6,027)</u>	<u>\$ (997)</u>	<u>\$ 818,368</u>

	2012 *				
	Amortized Cost	Gross Unrealized Holding Gains	Gross Unrealized Holding Losses < 1 year	Gross Unrealized Holding Losses > 1 year	Fair Value
U.S. government and agency securities	\$ 276,547	\$ 4,107	\$ (14)	\$ -	\$ 280,640
State and agency municipalities	174,758	10,902	(49)	-	185,611
City and county municipalities	90,093	5,243	-	-	95,336
Corporate debt securities (includes commercial paper	200,129	7,951	(101)	-	207,979
Money-market funds	131,597	-	-	-	-
Other invested assets	<u>8,949</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>8,949</u>
Total bonds, short-term investments, and other invested assets	<u>\$ 882,073</u>	<u>\$ 28,203</u>	<u>\$ (164)</u>	<u>\$ -</u>	<u>\$ 910,112</u>

* The 2012 amounts presented in the table above have been adjusted to reflect the amounts in other invested assets.

Included in U.S. government and agency securities and corporate debt securities in the tables above are mortgage-backed securities, which do not have a single maturity date. For the years to maturity table above, these securities have been presented in the maturity group based on the securities' final maturity date and at an amortized cost of \$80,235 and fair value of \$78,350.

The following table illustrates the fair value and gross unrealized losses, aggregated by investment category and length of time that the individual securities have been in a continuous unrealized loss position as of December 31, 2013 and 2012:

	2013					
	< 1 year		> 1 year		Total	
	Fair Value	Gross Unrealized Holding Losses	Fair Value	Gross Unrealized Holding Losses	Fair Value	Gross Unrealized Holding Losses
U.S. government and agency securities	#####	\$ (3,050)	\$ 8,473	\$ (891)	#####	\$ (3,941)
State and agency municipalities	44,396	(702)	2,340	(106)	46,736	(808)
City and county municipalities	68,843	(1,059)	-	-	68,843	(1,059)
Corporate debt securities (includes commercial paper)	57,490	(1,213)	-	-	57,490	(1,213)
Other invested assets	12,788	(3)	-	-	12,788	(3)
Total bonds, short-term investments, and other invested assets	#####	\$ (6,027)	\$ 10,813	\$ (997)	#####	\$ (7,024)

	2012					
	< 1 year		> 1 year		Total	
	Fair Value	Gross Unrealized Holding Losses	Fair Value	Gross Unrealized Holding Losses	Fair Value	Gross Unrealized Holding Losses
U.S. government and agency securities	\$ 19,851	\$ (14)	\$ -	\$ -	\$ 19,851	\$ (14)
State and agency municipalities	5,013	(49)	-	-	5,013	(49)
Corporate debt securities	45,265	(101)	-	-	45,265	(101)
Total bonds and short-term investments	\$ 70,129	\$ (164)	\$ -	\$ -	\$ 70,129	\$ (164)

The unrealized losses on investments in U.S. government and agency securities, state and agency municipalities, city and county municipalities, and corporate debt securities at December 31, 2013 and 2012, were mainly caused by interest rate increases and not by unfavorable changes in the credit ratings associated with these securities. The Company evaluates impairment at each reporting period for each of the securities whereby the fair value of the investment is less than its amortized cost. The contractual cash flows of the U.S. government and agency obligations are either guaranteed by the U.S. government or an agency of the U.S. government. It is expected that the securities would not be settled at a price less than the cost of the investment, and the Company does not intend to sell the investment until the unrealized loss is fully recovered. The Company evaluated the credit ratings of the municipalities and local agency obligations and corporate obligations, noting whether a significant deterioration since purchase or other factors that may indicate an other-than-temporary impairment, such as the length of time and extent to which fair value has been less than cost, the financial condition, and near-term prospects of the issuer as well as specific events or circumstances that may influence the operations of the issuer and the Company's intent to sell the investment. Additionally, the Company evaluated its intent and ability to retain mortgage-backed securities for a period of time sufficient to recover the amortized cost. As a result of this review, no other-than-temporary impairments were recorded by the Company as of December 31, 2013 and 2012.

A-C. The Company has no mortgage loans, real estate loans, restructured debt, or reverse mortgages. The Company also has no real estate property occupied by the Company, real estate property held for the production of income, or real estate property held for sale.

D. Loan-Backed Securities

- (1) Corporate bonds and government obligations include mortgage-backed securities, which are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the amortized cost of mortgage-backed securities are based on a three-month constant prepayment rate history obtained from external data source vendors.
- (2) The Company did not recognize any other-than-temporary impariments on mortgage-backed securities as of December 31, 2013 and 2012.
- (3) The Company did not have mortgage-backed securities with an other-than-temporary impariment to report by CUSIP as of December 31, 2013 or 2012.

- (4) The following table illustrates the fair value, gross unrealized losses, and length of time that the mortgage-backed securities have been in a continuous unrealized loss position as of December 31, 2013 and 2012:

	2013
The aggregate amount of unrealized losses:	
1. Less than 12 months	\$ (1,616)
2. 12 Months or longer	(892)
The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 months	51,164
2. 12 Months or longer	8,473
	2012
The aggregate amount of unrealized losses:	
1. Less than 12 months	\$ (11)
2. 12 Months or longer	-
The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 months	9,767
2. 12 Months or longer	-

- (5) The Company believes that it will collect all principal and interest due on all investments that have an amortized cost in excess of fair value. The unrealized losses as December 31, 2013 were primarily caused by interest rate increases and not by unfavorable changes in the credit ratings associated with these securities.

E. Repurchase Agreements and/or Securities Lending Transactions — Not applicable.

F. Real Estate — Not applicable.

G. Low-Income Housing Tax Credits

- (1–5) Low-income housing investments of \$21,264 and \$8,949 as of December 31, 2013 and 2012, respectively, are included in other invested assets in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The number of remaining years of unexpired tax credits is 11 and the required holding period for the low-income housing investments is 15 years. The low-income housing investments are not currently subject to any regulatory reviews. The Company did not recognize any impairment losses, write-downs, or reclassifications during 2013 or 2012.

H. Restricted Assets

(1) Restricted assets – including pledged as of December 31, 2013 and 2012 are as follows:

	1	2	3	4	5	6
Restricted Asset Category	Total Gross Restricted from Current Year	Total Gross Restricted from Prior Year	Increase/ (Decrease) (1 minus 2)	Total Current Year Admitted Restricted	Percentage Gross Restricted to Total Assets	Percentage Admitted Restricted to Total Admitted Assets
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	\$ -	\$ -	0%	0%
b. Collateral held under security lending agreements	-	-	-	-	0%	0%
c. Subject to repurchase agreements	-	-	-	-	0%	0%
d. Subject to reverse repurchase agreements	-	-	-	-	0%	0%
e. Subject to dollar repurchase agreements	-	-	-	-	0%	0%
f. Subject to dollar reverse repurchase agreements	-	-	-	-	0%	0%
g. Placed under option contracts	-	-	-	-	0%	0%
h. Letter stock or securities restricted as to sale	-	-	-	-	0%	0%
i. On deposit with state	\$ 55,606	\$ 50,086	\$ 5,520	\$ 55,606	5%	5%
j. On deposit with other regulatory bodies	-	-	-	-	0%	0%
k. Pledged as collateral not captured in other categories	-	-	-	-	0%	0%
l. Other restricted assets	-	-	-	-	0%	0%
m. Total Restricted Assets	<u>\$ 55,606</u>	<u>\$ 50,086</u>	<u>\$ 5,520</u>	<u>\$ 55,606</u>	<u>5%</u>	<u>5%</u>

(2-3) The Company has no assets pledged as collateral not captured in other categories and no other restricted assets as of December 31, 2013 or 2012.

6. JOINT VENTURES, PARTNERSHIPS, AND LIMITED LIABILITY COMPANIES

A–B. The Company has no investments in joint ventures, partnerships, or limited liability companies that exceed 10% of admitted assets and did not recognize any impairment write-down for its investments in joint ventures, partnerships, and limited liability companies during the statement periods.

7. INVESTMENT INCOME

A. The Company has admitted all investment income due and accrued in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus.

The components of net investment income earned as of December 31, 2013 and 2012 are as follows:

	2013	2012
Bonds	\$ 15,275	\$ 16,322
Cash, cash equivalents, and short-term investments	393	692
Other invested assets	<u>(477)</u>	<u>(131)</u>
Total investment income earned	15,191	16,883
Expenses — investment management fees	<u>(449)</u>	<u>(431)</u>
Net investment income earned	<u>\$ 14,742</u>	<u>\$ 16,452</u>

B. There were no investment income amounts excluded from the statutory basis financial statements.

8. DERIVATIVE INSTRUMENTS

A–F. The Company has no derivative instruments.

9. INCOME TAXES

A. Deferred Tax Asset/Liability

(1) The components of the net deferred tax asset at December 31, 2013 and 2012, are as follows:

	2013			2012			Change		
	1 Ordinary	2 Capital	3 (Col 1+2) Total	4 Ordinary	5 Capital	6 (Col 4+5) Total	7 (Col 1-4) Ordinary	8 (Col 2-5) Capital	9 (Col 7+8) Total
(a) Gross deferred tax assets	#####	\$ -	#####	\$43,769	\$ -	#####	\$ (4,873)	\$ -	\$ (4,873)
(b) Statutory valuation allowance adjustments	-	-	-	-	-	-	-	-	-
(c) Adjusted gross deferred tax assets (1a-1b)	38,896	-	38,896	43,769	-	43,769	(4,873)	-	(4,873)
(d) Deferred tax assets nonadmitt	17,055	-	17,055	21,122	-	21,122	(4,067)	-	(4,067)
(e) Subtotal net admitted deferred tax asset (1c-1d)	21,841	-	21,841	22,647	-	22,647	(806)	-	(806)
(f) Deferred tax liabilities	53	18	71	69	45	114	(16)	(27)	(43)
(g) Net admitted deferred tax asset/ (net deferred tax liability) (1e-1f)	#####	\$ (18)	#####	\$22,578	\$ (45)	#####	\$ (790)	\$ 27	\$ (763)

(2) The components of the adjusted gross deferred tax assets admissibility calculation under SSAP No. 101, *Income Taxes — A Replacement of SSAP No. 10R and SSAP No. 10*, are as follows:

Admission Calculation Components SSAP No. 101	2013			2012			Change		
	1 Ordinary	2 Capital	3 (Col 1+2) Total	4 Ordinary	5 Capital	6 (Col 4+5) Total	7 (Col 1-4) Ordinary	8 (Col 2-5) Capital	9 (Col 7+8) Total
(a) Federal income taxes paid in prior years recoverable through loss carrybacks	#####	\$ -	\$17,647	\$ 18,410	\$ -	\$ 18,410	\$ (763)	\$ -	\$ (763)
(b) Adjusted gross deferred tax assets expected to be realized (excluding the amount of deferred tax assets from 2(a) above) after application of the threshold limitation. (The lesser of 2(b)1 and 2(b)2 below)	4,123	-	4,123	4,123	-	4,123	-	-	-
1. Adjusted gross deferred tax assets expected to be realized following the balance sheet date	4,123	-	4,123	4,123	-	4,123	-	-	-
2. Adjusted gross deferred tax assets allowed per limitation threshold	XXX	XXX	73,745	XXX	XXX	62,803	XXX	XXX	10,942
(c) Adjusted gross deferred tax assets (excluding the amount of deferred tax assets from 2(a) and 2(b) above) offset by gross deferred tax liabilities	71	-	71	114	-	114	(43)	-	(43)
(d) Deferred tax assets admitted as the result of application of SSAP No. 101									
Total (2(a)+2(b)+2(c))	#####	\$ -	\$21,841	\$ 22,647	\$ -	\$ 22,647	\$ (806)	\$ -	\$ (806)

(3) The ratio percentage and adjusted capital and surplus used to determine the recovery period and threshold limitations for the admission calculation are presented below:

	2013	2012
(a) Ratio percentage used to determine recovery period and threshold limitation amount	385 %	336 %
(b) Amount of adjusted capital and surplus used to determine recovery period and threshold limitation in 2(b)(2) above (in thousands)	\$ 491,631	\$ 418,689

(4) There was no impact to the deferred tax assets as a result of tax-planning strategies.

B. Unrecognized Deferred Tax Liabilities

(1-4) There are no unrecognized deferred tax liabilities.

C. Significant Components of Income Taxes

(1) The current federal income taxes incurred for the years ended December 31, 2013 and 2012 are as follows:

	1	2	3
	2013	2012	(Col 1-2) Change
1. Current income tax			
(a) Federal	\$ 68,518	\$ 81,324	\$ (12,806)
(b) Foreign	<u>-</u>	<u>-</u>	<u>-</u>
(c) Subtotal	68,518	81,324	(12,806)
(d) Federal income tax on net capital gains	2,359	868	1,491
(e) Utilization of capital loss carryforwards	-	-	-
(f) Other	<u>-</u>	<u>-</u>	<u>-</u>
(g) Total federal and foreign income taxes incurred	<u>\$ 70,877</u>	<u>\$ 82,192</u>	<u>\$ (11,315)</u>

(2-4) The tax effects of temporary differences that give rise to significant portions of the deferred tax assets and liabilities as of December 31, 2013 and 2012, are as follows:

	1	2	3 (Col 1-2)
	2013	2012	Change
2. Deferred tax assets:			
(a) Ordinary			
(1) Discounting of unpaid losses	\$ 1,522	\$ 1,966	\$ (444)
(2) Unearned premium reserve	2,042	2,094	(52)
(3) Policyholder reserves	-	-	-
(4) Investments	-	-	-
(5) Deferred acquisition costs	-	-	-
(6) Policyholder dividends accrual	-	-	-
(7) Fixed assets	-	-	-
(8) Compensation and benefits accrual	-	-	-
(9) Pension accrual	-	-	-
(10) Receivables - nonadmitted	5,786	6,099	(313)
(11) Net operating loss carryforward	-	-	-
(12) Tax credit carryforward	-	-	-
(13) Other (including items <5% of total ordinary tax assets)	<u>29,546</u>	<u>33,610</u>	<u>(4,064)</u>
(99) Subtotal	38,896	43,769	(4,873)
(b) Statutory valuation allowance adjustment	-	-	-
(c) Nonadmitted	<u>17,055</u>	<u>21,122</u>	<u>(4,067)</u>
(d) Admitted ordinary deferred tax assets (2a99 - 2b - 2c)	<u>21,841</u>	<u>22,647</u>	<u>(806)</u>
(e) Capital			
(1) Investments	-	-	-
(2) Net capital loss carryforward	-	-	-
(3) Real estate	-	-	-
(4) Other (including items <5% of total capital tax assets)	<u>-</u>	<u>-</u>	<u>-</u>
(99) Subtotal	-	-	-
(f) Statutory valuation allowance adjustment	-	-	-
(g) Nonadmitted	<u>-</u>	<u>-</u>	<u>-</u>
(h) Admitted capital deferred tax assets (2e99 - 2f - 2g)	<u>-</u>	<u>-</u>	<u>-</u>
(i) Admitted deferred tax assets (2d + 2h)	<u>21,841</u>	<u>22,647</u>	<u>(806)</u>
3. Deferred tax liabilities:			
(a) Ordinary	53	69	(16)
(1) Investments	-	-	-
(2) Fixed assets	-	-	-
(3) Deferred and uncollected premium	-	-	-
(4) Policyholder reserves	-	-	-
(5) Other (including items <5% of total ordinary tax liabilities)	<u>-</u>	<u>-</u>	<u>-</u>
(99) Subtotal	<u>53</u>	<u>69</u>	<u>(16)</u>
(b) Capital			
(1) Investments	18	45	(27)
(2) Real estate	-	-	-
(3) Other (including items <5% of total capital tax liabilities)	<u>-</u>	<u>-</u>	<u>-</u>
(99) Subtotal	<u>18</u>	<u>45</u>	<u>(27)</u>
(c) Deferred tax liabilities (3a99 + 3b99)	<u>71</u>	<u>114</u>	<u>(43)</u>
4. Net deferred tax assets/liabilities (2i - 3c)	<u>#####</u>	<u>#####</u>	<u>\$ (763)</u>

The other ordinary deferred tax asset of \$29,546 for 2013 consists of intangibles of \$29,482, bad debt of \$38, general expenses due or accrued of \$13, and other items of \$13.. The other ordinary deferred tax asset of \$33,610 for 2012 consists of of intangibles of \$33,604 and general expenses due or accrued of \$6.

The Company assessed the potential realization of the gross deferred tax asset and as a result no statutory valuation allowance was required and no allowance was established as of December 31, 2013 and 2012.

- D. The provision for federal income taxes incurred is different from that which would be obtained by applying the statutory federal income tax rate of 35% to net income before federal income taxes, plus capital gains tax. The significant items causing this difference are as follows:

	2013	2012
Tax provision at the federal statutory rate	\$ 78,024	\$ 88,251
Tax-exempt interest	(2,125)	(2,301)
Prior year true-up	44	-
Other	(549)	35
Tax effect of nonadmitted assets	<u>313</u>	<u>(5,443)</u>
Total statutory income taxes	<u>\$ 75,707</u>	<u>\$ 80,542</u>
Federal income taxes incurred	\$ 68,518	\$ 81,324
Capital gains tax	2,359	868
Change in net deferred income tax	<u>4,830</u>	<u>(1,650)</u>
Total statutory income taxes	<u>\$ 75,707</u>	<u>\$ 80,542</u>

- E. At December 31, 2013, the Company had no net operating loss carryforwards.

Current federal income taxes payable of \$4,008 and \$701 as of December 31, 2013 and 2012, respectively, are included in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus.

Federal income taxes incurred of \$70,952 and \$82,116 for 2013 and 2012, respectively, are available for recoupment in the event of future net losses.

The Company has not admitted any aggregate amounts of deposits that are included within Section 6603 ("Deposits made to suspend running of interest on potential underpayments, etc.") of the Internal Revenue Service Code ("IRS").

- F. The Company is included in a consolidated federal income tax return with its ultimate parent, UnitedHealth Group. The entities included within the consolidated return are included in NAIC Statutory Statement Schedule Y — Information Concerning Activities of Insurer Members Of A Holding Company Group. Federal income taxes are paid to or refunded by UnitedHealth Group pursuant to the terms of a tax-sharing agreement, approved by the Board of Directors, under which taxes approximate the amount that would have been computed on a separate company basis, with the exception of net operating losses and capital losses. For these losses, the Company receives a benefit at the federal rate in the current year for current taxable losses incurred in that year to the extent losses can be utilized in the consolidated federal income tax return of UnitedHealth Group. UnitedHealth Group currently files income tax returns in the U.S. federal jurisdiction, various states, and foreign jurisdictions. The IRS has completed exams on UnitedHealth Group's consolidated income tax returns for fiscal years 2012 and prior. UnitedHealth Group's 2013 tax return is under advance review by the IRS under its Compliance Assurance Program. With the exception of a few states, UnitedHealth Group is no longer subject to income tax examinations prior to 2008 in major state and foreign jurisdictions. The Company does not believe any adjustments that may result from these examinations will be material to the Company.

- G. **Tax Contingencies** — Not applicable.

10. INFORMATION CONCERNING PARENT, SUBSIDIARIES, AND AFFILIATES

A–L. Material Related Party Transactions

Effective January 1, 2013, the Company entered into a new Management Agreement (the "Agreement") with UHS. This Agreement has been approved by the IDOI and TDCI and will replace the previous agreement with UHS-RV. UHS will provide similar services to the Company under a revised fee structure that is changing from a percentage of premiums to a direct charge based on UHS' expenses for services or use of assets provided to the Company. Management fees under these arrangements totaled \$257,281 and \$323,583 in 2013 and 2012, respectively, and are included in general administrative expenses and claims adjustment expenses in the accompanying statutory basis statements of operations. Direct expenses not included in the management agreement, such as broker commissions, DOI exam fees, and premium taxes, are paid by UHS on the behalf of the Company. UHS is reimbursed by the Company for these direct expenses.

Management believes that its transactions with affiliates are fair and reasonable; however, operations of the Company may not be indicative of those that would have occurred if it had operated as an independent company.

The Company expensed as hospital and medical expenses, general administrative expenses, and claims adjustment expenses \$56,364 and \$38,227 in capitation fees to related parties during 2013 and 2012, respectively. Under the the Agreement effective January 1, 2013, UHS provides or arranges for services on behalf of the Company using a pass-through of charges incurred by UHS on a PMPM basis (where the charge incurred by UHS is on a PMPM basis) or using another allocation methodology consistent with the Agreement. These services include, but are not limited to, integrated personal health management solutions, such as disease management, treatment decision support, and wellness services, including a 24-hour call-in service, access to a network of transplant providers, and discount program services. OptumHealth Care Solutions, Inc. provides chiropractic, physical therapy and complex medical conditions services. Spectera, Inc. provides administrative services related to vision benefit management and claims processing. Dental Benefit Providers, Inc., provides dental care assistance. United Behavioral Health provides mental health and substance abuse services. Inspiris Services Company provides services to health care delivery systems.

The capitation expenses, administrative services, and access fees paid to related parties, that are included as hospital and medical expenses, general administrative expenses, and claims adjustment expenses in the accompanying statutory basis statements of operations for the years ended December 31, 2013 and 2012, are shown below:

	2013	2012
UHS (includes OptumHealth and HealthAllies)	\$ 22,965	\$ 6,777
United Behavioral Health	27,089	27,170
OptumHealth Care Solutions, Inc.	774	1,093
Dental Benefit Providers, Inc.	4,502	1,754
Spectera, Inc.	746	1,433
Inspiris Service Company	<u>288</u>	<u>-</u>
Total	<u>\$ 56,364</u>	<u>\$ 38,227</u>

The Company contracts with affiliates (UHS and OptumRx) to provide administrative services related to pharmacy management and pharmacy claims processing for its enrollees. Fees related to these agreements, which are calculated on a per-claim basis, of \$8,751 and \$5,683 in 2013 and 2012, respectively, are included in general administrative expenses and claims adjustment expenses in the accompanying statutory basis statements of operations.

The Company contracts with OptumRx to provide personal health products catalogues showing the healthcare products and benefit credits needed to redeem the respective products. OptumRx will mail the appropriate personal health products' catalogues to the Company's members and manage the personal health products credit balance. OptumRx also distributes personal health products to individual members based upon the terms of the agreement. Fees related to this agreement in 2013 and 2012, which are calculated on a PMPM basis of \$9,889 and \$8,088 are included in hospital and medical expenses in the accompanying statutory basis statements of operations.

The Company has agreements with OptumInsight, Inc., an affiliate of the Company, for services that lead up to and include the prevention and recovery of medical expense (benefit) overpayments. Service fees are either percentages of every recovery that are retained by OptumInsight, Inc. based on the services performed and recoveries, net of fees, are returned to the Company on a monthly basis and/or all recoveries are returned to the Company by OptumInsight, Inc. on a monthly basis and a capitated service fee is charged to the Company as a PMPM. Service fees of \$8,549 and \$7,646 are included in hospital and medical expenses, claims adjustment expenses, and general administrative expenses in the accompanying statutory basis statements of operations for the years ended December 31, 2013 and 2012, respectively.

The Company has premium payments that are received and claim payments that are processed by an affiliated UnitedHealth Group entity. Both premiums and claims applicable to the Company are settled at regular intervals throughout the month via the intercompany settlement process and any amounts outstanding are reflected in amounts due to parent, subsidiaries, and affiliates in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus.

The Company has an insolvency-only reinsurance agreement with UHIC, an affiliate of the Company, to provide insolvency protection for its enrollees. Reinsurance premiums, which are calculated on a percentage of member premium income, of \$4,076 and \$4,031 in 2013 and 2012, respectively, are netted against net premium income in the accompanying statutory basis statements of operations.

The Company also has a reinsurance agreement on its point-of-service product with UHIC to cover certain inpatient hospital claims in excess of defined limits. Reinsurance premiums, which are calculated on a PMPM basis, of \$8 and \$14 in 2013 and 2012, respectively, are netted against net premium income in the accompanying statutory basis statements of operations. The Company received \$104 and \$0 in reinsurance recoveries as of December 31, 2013 and 2012, respectively. The Company does not have any reinsurance receivables recorded as of December 31, 2013 and 2012. This agreement also has an insolvency provision which allows continued coverage to the enrollee through the date to which premiums were paid. Reinsurance contracts do not relieve the Company from its obligations to policyholders. Failure of reinsurers to honor their obligations could result in losses to the Company.

Effective July 1, 2012, The Company holds a \$200,000 subordinated revolving credit agreement with UnitedHealth Group at an interest rate of of London Interbank Offered Rate plus a margin of 0.50%. This credit agreement is subordinate to the extent it does not conflict with any credit facility held by either party. This agreement shall be effective as of the effective date and shall continue until terminated pursuant to the terms of this agreement which requires either party to give a 60 day written notice to the other party. No amounts were outstanding under the line of credit as of December 31, 2013. This agreement replaces the previous subordinated revolving credit agreement that was in place prior to July 1, 2012 which had an outstanding limit of \$12,000. All other terms remained relatively consistent.

At December 31, 2013 and 2012, the Company reported \$48,768 and \$69,163, respectively, as amounts due to parent, subsidiaries, and affiliates, which are included in the statutory basis statements of admitted assets, liabilities, and capital and surplus. These balances are generally settled within 90 days from the incurred date. Any balances due to the Company that are not settled within 90 days are considered nonadmitted assets.

In addition to the agreements above, UHS maintains a private short-term money market investment pool in which affiliated companies may participate (see Note 1). At December 31, 2013 and 2012, the Company's portion was \$15,184 and \$21,096, respectively, and is included in cash, cash equivalents, and short-term investments in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus.

The Company has entered into a Tax Sharing Agreement with UnitedHealth Group (see Note 9).

The Company paid dividends of \$80,000 and \$180,000 in 2013 and 2012, respectively, to its parent (see Note 13).

The Company does not have any amount deducted from the value of an upstream intermediate entity or ultimate parent owned, either directly or indirectly, via a downstream subsidiary, controlled, or affiliated entity.

The Company does not have any investments in a subsidiary, controlled, or affiliated entity that exceeds 10% of admitted assets.

The Company does not have any investments in impaired subsidiary, controlled, or affiliated entities.

The Company does not have any investments in foreign insurance subsidiaries.

The Company does not hold any investments in a downstream noninsurance holding company.

The Company has not extended any guarantees or undertakings for the benefit of an affiliate or related party.

11. DEBT

A–B. The Company had no outstanding debt with third parties or outstanding federal home loan bank agreements during 2013 and 2012.

12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES, AND OTHER POSTRETIREMENT BENEFIT PLANS

A–I. The Company has no defined benefit plans, defined contribution plans, multiemployer plans, consolidated/holding company plans, postemployment benefits, and compensated absences plans and is not impacted by the Medicare Modernization Act on postretirement benefits, since all personnel are employees of UHS/UHS-RV, which provides services to the Company under the terms of a management agreement (see Note 10).

13. CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS, AND QUASI-REORGANIZATIONS

- (1–2) The Company has 1,000 shares authorized and 10 shares issued and outstanding of no par value common stock. The Company has no preferred stock outstanding. All issued and outstanding shares of common stock are held by the Company's parent, UHS-RV.
- (3) Payment of dividends may be restricted by the IDOI and TDCI, which generally requires that dividends be paid out of accumulated surplus.
- (4) The Company paid ordinary cash dividends to UHS-RV of \$40,000 and \$40,000 on June 21 and Spetember 13, 2013, respectively, and \$20,000, \$60,000, \$60,000, and \$40,000 on March 29, June 8, September 12, and December 21, 2012, respectively, which required no approval and was recorded as a reduction to unassigned surplus in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus. The ordinary dividends complied with the provisions set forth in the statutes of Illinois and Tennessee.
- (5) The amount of ordinary dividends that may be paid out during any given period are subject to certain restrictions as specified by state statute.
- (6) There are no restrictions placed on the Company's unassigned surplus.
- (7) The Company is not a mutual reciprocal or a similarly organized entity and does not have advances to surplus not repaid.
- (8) The Company does not hold any stock, including stock of affiliated companies for special purposes, such as conversion of preferred stock, employee stock options, or stock purchase warrants.
- (9) The Company does not have any special surplus funds.
- (10) The portion of unassigned funds represented or (reduced by) each item below is as follows:

	2013	2012	Change
Net deferred income taxes	38,825	43,655	(4,830)
Nonadmitted assets	(33,587)	(38,547)	4,960
Change in accounting principles	<u>-</u>	<u>8,246</u>	<u>(8,246)</u>
Total	<u>\$ 5,238</u>	<u>\$ 13,354</u>	<u>\$ (8,116)</u>

- (11-13) The Company does not have any outstanding surplus notes and has never been a party to a quasi-reorganization.

14. CONTINGENCIES

A. Contingent Commitments

The Company has no contingent commitments.

B. Assessments

The Company is not aware of any assessments, potential or accrued, that could have a material financial effect on the operations of the entity.

C. Gain Contingencies

The Company is not aware of any gain contingencies that should be disclosed in the statutory basis financial statements.

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits — Not applicable.

E. All Other Contingences

Because of the nature of its businesses, the Company is frequently made party to a variety of legal actions and regulatory inquiries, including class actions and suits brought by members, care providers, consumer advocacy organizations, customers and regulators, relating to the Company's businesses, including management and administration of health benefit plans and other services.

The Company records liabilities for its estimates of probable costs resulting from these matters where appropriate. Estimates of costs resulting from legal and regulatory matters involving the Company are inherently difficult to predict, particularly where the matters: involve indeterminate claims for monetary damages or may involve fines, penalties or punitive damages; present novel legal theories or represent a shift in regulatory policy; involve a large number of claimants or regulatory bodies; are in the early stages of the proceedings; or could result in a change in business practices. Accordingly, the Company is often unable to estimate the losses or ranges of losses for those matters where there is a reasonable possibility or it is probable that a loss may be incurred. Although the outcomes of any such legal actions cannot be predicted, in the opinion of management, the resolution of any currently pending or threatened actions will not have a material adverse effect on the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus or statutory basis statements of operations of the Company.

The Company's business is regulated at the federal, state, and local levels. The laws and rules governing the Company's business and interpretations of those laws and rules are subject to frequent change. Broad latitude is given to the agencies administering those regulations. Further, the Company must obtain and maintain regulatory approvals to market and sell many of its products.

The Company has been, or is currently involved in various governmental investigations, audits and reviews. These include routine, regular and special investigations, audits and reviews by CMS, state insurance and health and welfare departments and other governmental authorities. Certain of the Company's businesses have been reviewed or are currently under review, including for, among other things, compliance with coding and other requirements under the Medicare risk-adjustment model.

RADV Audit — CMS adjusts capitation payments to Medicare Advantage plans and Medicare Part D plans according to the predicted health status of each beneficiary as supported by data from health care providers. The Company collects claim and encounter data from providers, who the Company generally relies on to appropriately code their claim submissions and document their medical records. CMS then determines the risk score and payment amount for each enrolled member based on the health care data submitted and member demographic information.

CMS and the Office of Inspector General for Health and Human Services periodically perform RADV audits of selected Medicare health plans to validate the coding practices of and supporting documentation maintained by health care providers. Such audits have in the past resulted and could in the future result in retrospective adjustments to payments made to the Company, fines, corrective action plans or other adverse action by CMS.

In February 2012, CMS announced a final Risk Adjustment Data Validation (RADV) audit and payment adjustment methodology and that it will conduct RADV audits beginning with the 2011 payment year. These audits involve a review of medical records maintained by care providers and may result in retrospective adjustments to payments made to health plans. CMS has not communicated how the final payment adjustment under its methodology will be implemented.

Health Reform Legislation and the related federal and state regulations will continue to impact how the Company does business and could restrict revenue and enrollment growth in certain products and market segments, restrict premium growth rates for certain products and market segments, increase the Company's medical and administrative costs, expose the Company to an increased risk of liability (including increasing our liability in federal and state courts for coverage determinations and contract interpretation), or put the Company at risk for loss of business. In addition, the Company's statutory basis results of operations, financial condition and cash flows could be materially adversely affected by such changes. The Health Reform Legislation may create new or expand existing opportunities for business growth, but due to its complexity, the impact of the Health Reform Legislation remains difficult to predict and is not yet fully known.

There are no assets that the Company considers to be impaired at December 31, 2013 and 2012.

- F. The Company routinely evaluates the collectability of all receivable amounts included within the statutory basis statements of admitted assets, liabilities, and capital and surplus. Impairment reserves are established for those amounts where collectability is uncertain. Based on the Company's past experience, exposure related to uncollectible balances and the potential of loss for those balances not currently reserved for is not material to the Company's statutory basis financial condition.

15. LEASES

A–B. According to the management agreement between the Company and UHS/UHS-RV (see Note 10), operating leases for the rental of office facilities and equipment are the responsibility of UHS/UHS-RV. Fees associated with the lease agreements are included as a component of the Company's management fee.

16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE-SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

(1–4) The Company does not hold any financial instruments with off-balance-sheet risk or concentrations of credit risk.

17. SALE, TRANSFER, AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

A–C. The Company did not participate in any transfer of receivables, financial assets, or wash sales.

18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS

A. ASO Plans

Prior to January 1, 2009, the Company had an ASO contract in eastern Tennessee for the TennCare product, for which the State of Tennessee retains all health care service risk, while the Company assumes administrative risk. Related to the run-out activity, the Company has recorded receivables of \$1 and \$32 in amounts receivable related to uninsured plans and payables of \$1 and \$87 included in liability for amounts held under uninsured plans in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus at December 31, 2013 and December 31, 2012, respectively related to those ASO contracts.

The Company did not report any gains from operations of the uninsured portion of ASO plans and the uninsured portion of partially insured plans at December 31, 2013 and 2012.

B. The Company has no operations from Administrative Services Contracts.

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

The Medicare Part D program is a partially insured plan. The Company recorded a receivable of \$21,752 and \$15,408 at December 31, 2013 and 2012, respectively, for cost reimbursements under the Medicare Part D program for the catastrophic reinsurance and low-income member cost-sharing subsidies as described in Note 1, *Amounts Receivable Relating to Uninsured Plans and Liability for Amounts Held Under Uninsured Plans*. The Company also recorded a receivable of \$2,296 and \$1,871 and also a payable of \$8,161 and \$7,732 at December 31, 2013 and 2012, respectively, for the Medicare Part D Coverage Gap Discount Program as described in Note 1, *Amounts Receivable Relating to Uninsured Plans and Liability for Amounts Held Under Uninsured Plans*.

Effective June 1, 2013, the Company participates in administering the payments for TennCare's pharmaceutical benefit program ("PBM"). There is no risk to the Company as a result of these transactions. The Company recorded a premium tax receivable from TennCare and a corresponding premium tax payable of \$5,003 and \$5,003 as of December 31, 2013, which is included in amounts receivable relating to uninsured plans and general expenses due or accrued, respectively, in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Additionally, the Company recorded unreimbursed PBM receivables and a corresponding payable of \$4,921 and \$4,921 as of December 31, 2013, which is included in amounts receivable relating to uninsured plans and liability for amounts held for uninsured plans.

19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD-PARTY ADMINISTRATORS

The Company did not have any direct premiums written or produced by managing general agents or third-party administrators.

20. FAIR VALUE MEASUREMENT

The NAIC SAP defines fair value, establishes a framework for measuring fair value, and outlines the disclosure requirements related to fair value measurements. The fair value hierarchy is as follows:

Level 1 — Quoted (unadjusted) prices for identical assets in active markets.

Level 2 — Other observable inputs, either directly or indirectly, including:

- Quoted prices for similar assets in active markets
- Quoted prices for identical or similar assets in nonactive markets (few transactions, limited information, noncurrent prices, high variability over time, etc.)
- Inputs other than quoted prices that are observable for the asset (interest rates, yield curves, volatilities, default rates, etc.)
- Inputs that are derived principally from or corroborated by other observable market data.

Level 3 — Unobservable inputs that cannot be corroborated by observable market data.

The estimated fair values of bonds and short-term investments are based on quoted market prices, where available. The Company obtains one price for each security, primarily from a third-party pricing service (“pricing service”), which generally uses quoted prices or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, non-binding broker quotes, benchmark yields, credit spreads, default rates, and prepayment speeds. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to a secondary pricing source, prices reported by its custodian, its investment consultant, and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. The Company’s internal price verification procedures and review of fair value methodology documentation provided by independent pricing services have not historically resulted in an adjustment in the prices obtained from the pricing service.

In instances in which the inputs used to measure fair value fall into different levels of the fair value hierarchy, the fair value measurement has been determined based on the lowest-level input that is significant to the fair value measurement in its entirety. The Company’s assessment of the significance of a particular item to the fair value measurement in its entirety requires judgment, including the consideration of inputs specific to the asset or liability.

A. Fair Value

- (1) The Company does not have any financial assets that are measured and reported at fair value on the statutory basis statements of admitted assets, liabilities, and capital and surplus at December 31, 2013 and 2012.

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy:

The Company's financial assets with a fair value hierarchy of level 3 represents investments in low-income housing tax credit investments. These investments are recorded and reported at cost of \$21,264 and \$8,949 at December 31, 2013 and December 31, 2012, respectively, which approximates fair value, as there is no readily available market.

Description	2013								
	Beginning Balance at 1/1/2013	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (losses) included in net income	Purchases	Insurances	Sales	Settlements	Ending Balance at 12/31/2013
a. Assets:									
Loan-backed and structured Securities (NAIC 3-6):									
Residential mortgaged-backed securities	\$ -	(a) \$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Commercial mortgaged-backed securities	-	-	(b) -	-	-	-	-	-	-
Derivative:									
Credit contracts	-	-	-	-	-	-	-	-	-
Other fund investments:									
Hedge fund high-yield debt securities	-	-	-	-	-	-	-	-	-
Private equity	-	-	-	-	-	-	-	-	-
Low-Income Housing Investme	8,949	-	-	-	12,792	-	-	(477)	21,264
Total assets	8,949	-	-	-	12,792	-	-	(477)	21,264
b. Liabilities									
None	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total liabilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

- (a) Transferred from Level 2 to Level 3 because of lack of observable market data due to decrease in market activity for these securities. The reporting entity's policy is to recognize transfers in and transfers out as of the actual date of the event or change in circumstances that caused the transfer.
- (b) Transferred from Level 3 to Level 2 because of observable market data became available for these securities.

Description	2012								
	Beginning Balance at 1/1/2012	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (losses) included in net income	Purchases	Insurances	Sales	Settlements	Ending Balance at 12/31/2012
a. Assets:									
Loan-backed and structured Securities (NAIC 3-6):									
Residential mortgaged-backed securities	\$ -	(a) \$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Commercial mortgaged-backed securities	-	-	(b) -	-	-	-	-	-	-
Derivative:									
Credit contracts	-	-	-	-	-	-	-	-	-
Other fund investments:									
Hedge fund high-yield debt securities	-	-	-	-	-	-	-	-	-
Private equity	-	-	-	-	-	-	-	-	-
Low-Income Housing Investme	-	-	-	-	9,080	-	-	(131)	8,949
Total assets	\$ -	\$ -	\$ -	\$ -	\$ 9,080	\$ -	\$ -	\$ (131)	\$ 8,949
b. Liabilities									
None	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total liabilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

- (a) Transferred from Level 2 to Level 3 because of lack of observable market data due to decrease in market activity for these securities. The reporting entity's policy is to recognize transfers in and transfers out as of the actual date of the event or change in circumstances that caused the transfer.
- (b) Transferred from Level 3 to Level 2 because of observable market data became available for these securities.

(3) Transfers between levels, if any, are recorded as of the beginning of the reporting period in which the transfer occurs; there were no transfers between Levels 1, 2 or 3 of any financial assets or liabilities during the years ended December 31, 2013 or 2012.

(4) **Low-Income Housing tax-credit investments** — The fair values of Level 3 investments in Low Income Housing and CAPCO securities are deemed held-to-maturity as there is no active market and they will not be sold. Because of this, these securities are held at amortized cost. Should any contractual breakage occur that jeopardizes the ability to receive the tax credits associated with these securities, impairment will be made. As of December 31, 2013, all of these investments are performing in accordance with their original contract terms.

(5) The Company has no derivative assets and liabilities to disclose.

B. Fair Value Combination — Not applicable.

- C. The aggregate fair value by hierarchy of all financial instruments as of December 31, 2013 and 2012 is presented in the table below:

Types of Financial Investment	2013					Not Practical Carrying Value
	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	
U.S. government and agency securities	\$ 201,856	\$ 204,904	\$ 86,776	\$ 115,080	\$ -	\$ -
State and agency municipalities	172,873	169,992	-	172,873	-	-
City and county municipalities	142,605	141,424	-	142,605	-	-
Corporate debt securities (includes commercial paper)	180,078	177,039	-	180,078	-	-
Money-market funds	99,630	99,630	99,630	-	-	-
Other invested assets	<u>21,326</u>	<u>21,264</u>	<u>-</u>	<u>-</u>	<u>21,326</u>	<u>-</u>
Total bonds, short-term investments, and other invested assets	<u>\$ 818,368</u>	<u>\$ 814,253</u>	<u>\$ 186,406</u>	<u>\$ 610,636</u>	<u>\$ 21,326</u>	<u>\$ -</u>

Types of Financial Investment	2012					Not Practical Carrying Value
	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	
U.S. government and agency securities	\$ 280,639	\$ 276,546	\$ 135,680	\$ 144,959	\$ -	\$ -
State and agency municipalities	185,611	174,758	-	185,611	-	-
City and county municipalities	95,336	90,094	-	95,336	-	-
Corporate debt securities (includes commercial paper)	207,979	200,129	-	207,979	-	-
Money-market funds	131,597	131,597	131,597	-	-	-
Other invested assets	<u>8,949</u>	<u>8,949</u>	<u>-</u>	<u>-</u>	<u>8,949</u>	<u>-</u>
Total bonds, short-term investments, and other invested assets	<u>\$ 910,111</u>	<u>\$ 882,073</u>	<u>\$ 267,277</u>	<u>\$ 633,885</u>	<u>\$ 8,949</u>	<u>\$ -</u>

Included as Level 1 in U.S. government and agency securities in the fair value hierarchy table above are U.S. Treasury securities of \$86,776 and \$135,680 as of December 31, 2013 and 2012, respectively. These instruments are reflected in cash, cash equivalents and short-term investments in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

Included as Level 2 in corporate debt securities in the fair value hierarchy table above is commercial paper of \$1,499 and \$3,398 as of December 31, 2013 and 2012, respectively. Commercial paper is reflected in cash, cash equivalents and short-term investments in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

- D. **Not Practicable to Estimate Fair Value** — Not applicable.

21. OTHER ITEMS

The Company recorded receivables related to retroactive policies and estimated withholds of \$35,677 and \$3,531 as of December 31, 2012 for the State of Tennessee Bureau of TennCare Medicaid and CHOICES, respectively. As of December 31, 2013, the Company has collected \$45,900 and \$10,971 related to retroactive policies and estimated withholds related to these prior year balances for Medicaid and CHOICES, respectively. Currently, there is \$6 and \$0 accrued for TennCare retroactive receivables and estimated withholds for Medicaid and CHOICES, respectively, remaining for the prior year. These amounts are included in uncollected premiums in the statutory basis financial statements.

The Company has recorded payables for estimated recoupments of \$34,159 for State of Tennessee Bureau of TennCare Medicaid and CHOICES, net as of December 31, 2012. As of December 31, 2013, \$9,384 has been recouped related to these prior year balances related to Medicaid and CHOICES, net. Currently, there is \$18,567 estimated recoupments for Medicaid and CHOICES, net, remaining for the prior year. These amounts are included in premiums received in advance in the statutory basis financial statements.

The Company continues to refine the accrual related to potential revenue take backs for members without services on the CHOICES product. The impact of further refining the estimates due to updated information and additional history with the CHOICES product could result in a reduction to the amounts previously reported as net premium income. However, the Company is unable to accurately estimate the financial impact of the change at this time and any change would be reflected in operating results in the period in which the change in the estimate is identified.

Effective for calendar years 2013 and 2014 the Patient Protection and Affordable Care Act (ACA) has mandated that certain practicing primary care physicians ("PCPs") are eligible to receive increased payments for specified primary care services provided to Medicaid eligible individuals. This is to encourage PCPs to serve the Medicaid population in advance of the Medicaid expansion in 2014.

ACA requires that the managed care organizations (“MCO’s”) reimburse PCPs at a rate of no less than 100 percent of Medicare fee schedule rates for specified services. The federal government will finance the difference between the state Medicaid fee schedule rate and the corresponding Medicare fee schedule rate (enhanced rate payment) during calendar years 2013 and 2014. The state will in turn fund the enhanced rate payments to the MCO’s as part of an enhanced Medicaid capitation monthly premium or as a lump sum payment of the rate differential, depending on the model selected by each state and approved by CMS.

Effective June 30, 2013, the Company reports Children’s Health Insurance Program (CHIP) under Comprehensive – Individual business throughout the Statutory filings pursuant to NAIC guidance. Prior to this time, CHIP was reported as a component of Comprehensive - Group coverage.

The Company elected to use rounding in reporting amounts in the notes to statutory basis financial statements.

- A. The Company did not encounter any extraordinary items for the years ended December 31, 2013 or 2012.
- B. The Company has no troubled debt restructurings as of December 31, 2013 or 2012.
- C. The Company does not have any amounts not recorded in the statutory basis financial statements that represent segregated funds held for others. The Company also does not have any exposures related to forward commitments.
- D. The Company has not received any business interruption insurance recoveries during 2013 and 2012.
- E. The Company has no transferrable or non-transferable state tax credits.
- F. **Sub-Prime Mortgage-Related Risk Exposure**
 - (1) The investment policy for the Company limits investments in asset-backed securities, which includes the sub-prime issuers. Further, the policy limits investments in private-issuer mortgage securities to 10% of the portfolio, which also includes sub-prime issuers. The exposure to unrealized losses on sub-prime issuers is due to changes in market prices. There are no realized losses due to not receiving anticipated cash flows. The investments covered are rated NAIC rating of 1 or 2.
 - (2) The Company has no direct exposure through investments in subprime mortgage loans.
 - (3) The Company has no direct exposure through other investments.
 - (4) The Company has no underwriting exposure to sub-prime mortgage risk through mortgage guaranty or financial guaranty insurance coverage.
- G. The Company does not have any retained asset accounts for beneficiaries.
- H. The Company does not have any offsetting or netting assets and liabilities as it relates to derivatives, repurchase and reverse repurchase, and securities borrowing and securities lending.

22. SUBSEQUENT EVENTS

TYPE I – Recognized Subsequent Events:

Subsequent events have been evaluated through February 28, 2014, which is the date these statutory basis financial statements were available for issuance.

There are no events subsequent to December 31, 2013, that require disclosure.

TYPE II – Nonrecognized Subsequent Events:

Subsequent events have been evaluated through February 28, 2014, which is the date these statutory basis financial statements were available for issuance.

On January 1, 2014, the Company will be subject to an annual fee under section 9010 of the Affordable Care Act (“ACA”). This annual fee will be allocated to individual health insurers based on the ratio of the amount of the entity’s net premiums written during the preceding calendar year to the amount of the health insurance for any U.S. health risk that is written during the preceding calendar year. A health insurance entity’s portion of the annual fee becomes payable once the entity provides health insurance for any U.S. health risk for each calendar year beginning on or after January 1, 2014. As of December 31, 2013, the Company has written health insurance subject to the ACA

assessment, expects to conduct health insurance business in 2014, and estimates their portion of the annual health insurance industry fee payable on September 30, 2014 to be \$48,441. The Company’s Authorized Control Level RBC (“ACL RBC”) ratio was 402% as of December 31, 2013. If the ACA assessment was recognized as a liability as of December 31, 2013, the ACL RBC ratio would have been 364%.

A. ACA fee assessment payable	\$ 48,441
B. Assessment expected to impact RBC	38 %

On February 24, 2014, the Company declared an ordinary cash dividend of \$35,000 to the sole shareholder, UHS-RV. The ordinary dividend complied with the provisions set forth in the statutes of Illinois and Tennessee. The dividend will be paid from unassigned surplus on March 11, 2014.

There are no other events subsequent to December 31, 2013 that require disclosure.

23. REINSURANCE

The Company does not have any unaffiliated reinsurance agreements (external reinsurance) in place as of December 31, 2013 or 2012.

A. Ceded Reinsurance Report

Section 1 — General Interrogatories

- (1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the Company or by any representative, officer, trustee, or director of the Company?
Yes () No (X)

- (2) Have any policies issued by the Company been reinsured with a company chartered in a country other than the United States (excluding U.S. branches of such companies) that is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor, or any other person not primarily engaged in the insurance business?
Yes () No (X)

Section 2 — Ceded Reinsurance Report— Part A

- (1) Does the Company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credit?
Yes () No (X)

- (2) Does the reporting entity have any reinsurance agreements in effect that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies?
Yes () No (X)

Section 3 — Ceded Reinsurance Report — Part B

- (1) What is the estimated amount of the aggregate reduction in surplus (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of all reinsurance agreements, by either party, as of the date of this statement? Where necessary, the Company may consider the current or anticipated experience of the business reinsured in making this estimate.

The Company estimates there should be no aggregate reduction in surplus for termination of all reinsurance agreements as of December 31, 2013.

- (2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts that were in force or which had existing reserves established by the Company as of the effective date of the agreement?
Yes () No (X)

- B. Uncollectible Reinsurance** — During 2013 and 2012, there were no uncollectible reinsurance recoverables.
- C. Commutation of Ceded Reinsurance** — There was no commutation of reinsurance in 2013 or 2012.
- D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation** – Not applicable.

24. RETROSPECTIVELY RATED CONTRACTS AND CONTRACTS SUBJECT TO REDETERMINATION

- A.** The Company estimates accrued retrospective premium adjustments for its group health insurance business based on mathematical calculations in accordance with contractual terms.
- B.** Estimated accrued retrospective premiums due to (from) the Company are recorded in premiums and considerations and aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus and as an adjustment to reserves for rate credits in the statutory basis statements of operations.
- C.** The Company has Medicare Part D program business which is subject to a retrospective rating feature related to Part D Premiums. The Company has estimated accrued retrospective premiums related to certain Part D premiums based on guidelines determined by CMS. The formula is tiered and based on the bid medical loss ratio. The amount of Part D direct premiums written subject to retrospective rating was \$99,805 and \$92,995 representing 2.5% and 2.3% of total direct premiums written for 2013 and 2012, respectively.

During 2013 and 2012, the Company contracted with the federal government through the OPM to administer the FEHBP. The Company is subject to rate adjustments through audits by the OPM. The amount of direct premiums written subject to retrospective rating was \$18,097 and \$18,310 representing 0.4% and 0.5% of total direct premiums written as of December 31, 2013 and 2012, respectively.

During 2013 and 2012, the Company contracted with Ankeny Community School District that included a retrospective premium feature. The Company is subject to premium adjustments based on a medical target. The amount of earned premiums subject to retrospective rating was \$7,263 and \$8,705 representing 0.2% and 0.2% of total direct premiums written as of December 31, 2013 and 2012, respectively.

Pursuant to the Health Reform Legislation, effective for calendar years 2013 and 2014, the state has elected to administer the additional PCP funds through prospective capitation increases with risk-sharing that incorporates a retrospective reconciliation model (see Note 21). Under this model, the state pays the Company prospectively but also reconciles retrospectively and any excess or shortage, subject to risk corridor thresholds, is remitted or received back from the state. The amount of Medicaid direct premiums written subject to retrospective rating was \$2,567,779 representing 63% of total direct premiums written as of December 31, 2013. The Company has estimated accrued retrospective premiums related to this legislation and as of December 31, 2013, no additional amounts have been accrued in the statutory basis financial statement.

- D.** The Company is required to maintain specific minimum loss ratios. These minimum loss ratios apply to comprehensive major medical coverage and vary depending on group size. The following table discloses the minimum medical loss ratio rebates required pursuant to the Health Reform Legislation for the years ended December 31, 2013 and 2012:

	1 Individual	2 Small Group Employer	3 Large Group Employer	4 Other Categories with Rebates	5 Total
Prior reporting year:					
(1) Medical loss ratio rebates incurred	\$ -	\$ 1,297	\$ -	\$ -	\$ 1,297
(2) Medical loss ratio rebates paid	-	1,469	-	-	1,469
(3) Medical loss rebates unpaid	-	-	-	-	-
(4) Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
(5) Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
(6) Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	-
Current reporting year-to-date:					
(7) Medical loss ratio rebates incurred	\$ -	\$ 660	\$ -	\$ -	\$ 660
(8) Medical loss ratio rebates paid	-	-	-	-	-
(9) Medical loss rebates unpaid	-	660	-	-	660
(10) Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
(11) Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
(12) Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	660

The Company recorded \$660 and \$0 of estimated rebates as of December 31, 2013 and 2012 which are included in aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

25. CHANGE IN INCURRED CLAIMS AND CLAIMS ADJUSTMENT EXPENSES

Changes in estimates related to the prior year incurred claims are included in total hospital and medical expenses in the current year in the accompanying statutory basis statements of operations. The following tables disclose paid claims, incurred claims, and the balance in the claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves, and health care receivable for 2013 and 2012:

	2013		
	Current Year Incurred Claims	Prior Years Incurred Claims	Total
Beginning of year claim reserve	\$ -	\$ (387,759)	\$ (387,759)
Paid claims, net of health care receivable and reinsurance recoveries	3,027,282	335,375	3,362,657
End of year claim reserve	<u>388,116</u>	<u>13,563</u>	<u>401,679</u>
Incurred claims excluding the change in health care receivable	3,415,398	(38,821)	3,376,577
Beginning of year health care receivable	-	34,909	34,909
End of year health care receivable	<u>(39,279)</u>	<u>(5,425)</u>	<u>(44,704)</u>
Total incurred claims	<u>\$ 3,376,119</u>	<u>\$ (9,337)</u>	<u>\$ 3,366,782</u>
	2012		
	Current Year Incurred Claims	Prior Years Incurred Claims	Total
Beginning of year claim reserve	\$ -	\$ (394,147)	\$ (394,147)
Paid claims, net of health care receivable	2,935,153	308,892	3,244,045
End of year claim reserve	<u>369,850</u>	<u>17,909</u>	<u>387,759</u>
Incurred claims excluding the change in health care receivable	3,305,003	(67,346)	3,237,657
Beginning of year health care receivable	-	16,414	16,414
End of year health care receivable	<u>(28,795)</u>	<u>(6,114)</u>	<u>(34,909)</u>
Total incurred claims	<u>\$ 3,276,208</u>	<u>\$ (57,046)</u>	<u>\$ 3,219,162</u>

The liability for claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves, and health care receivable as of December 31, 2012 were \$352,850. As of December 31, 2013, \$335,375 has been paid for incurred claims attributable to insured events of prior years. Reserves remaining for prior years, net of healthcare receivable and reinsurance recoverables, are \$8,138 as a result of re-estimation of unpaid claims. Therefore, there has been approximately \$9,337 favorable prior year development since December 31, 2012 to December 31, 2013. The primary drivers consist of favorable development as a result of a change in the provision for adverse deviations in experience of \$18,232 offset by unfavorable development of \$2,928 in provider settlement, and \$5,404 in retroactivity for inpatient, outpatient, physician, and pharmacy claims. At December 31, 2012, the Company recorded \$57,046 of favorable development related to insured events of prior years primarily as a result of a change in the provision for adverse deviations in experience of \$18,890 and favorable development of \$39,848 in retroactivity for inpatient, outpatient, physician, and pharmacy claims. Original estimates are increased or decreased, as additional information becomes known regarding individual claims, including the medical loss ratio accrual. The favorable development also impacts the original estimates and calculations for retrospectively rated policies, including the medical loss ratio rebate accrual. As a result of the prior year effects, on a regular basis, the Company adjusts revenue and the corresponding liability and/or receivable related to retrospectively rated policies, including the medical loss ratio rebate accrual and the impact of the change is included as a component of change in reserve for rate credits in the statutory basis statements of operations.

The Company incurred claims adjustment expenses of \$168,220 and \$140,799 in 2013 and 2012, respectively. These costs are included in the management service fees paid by the Company to UHS effective January 1, 2013, and UHS-RV prior to December 31, 2012, as a part of its management agreement (see Note 10). The following tables disclose paid CAE, incurred CAE, and the balance in the unpaid claim adjustment expenses reserve for 2013 and 2012:

	2013	2012
Total claims adjustment expenses incurred	\$ 168,220	\$ 140,799
Less current year unpaid claims adjustment expenses	(7,501)	(9,344)
Add prior year unpaid claims adjustment expenses	<u>9,344</u>	<u>9,035</u>
Total claims adjustment expenses paid	<u>\$ 170,063</u>	<u>\$ 140,490</u>

26. INTERCOMPANY POOLING ARRANGEMENTS

A–G. The Company did not have any intercompany pooling arrangements in 2013 or 2012.

27. STRUCTURED SETTLEMENTS

A–B. The Company did not have structured settlements in 2013 or 2012.

28. HEALTH CARE AND OTHER RECEIVABLES

A. Pharmaceutical rebates receivable are recorded when reasonably estimated or billed by the affiliated pharmaceutical benefit manager in accordance with pharmaceutical rebate contract provisions. Information used to support rebates billed to the manufacturer is based on utilization information gathered by the pharmaceutical benefit manager and adjusted for significant changes in pharmaceutical contract provisions.

The Company evaluates admissibility of all pharmacy rebates receivable based on the administration of each underlying pharmaceutical benefit management agreement. The Company has non-admitted all pharmacy rebate receivables that do not meet the admissibility criteria from the statutory basis statements of admitted assets, liabilities, and capital and surplus.

For each pharmaceutical management agreement for which a portion of the total pharmacy rebates receivable can be admitted based on the admissibility criteria, the transaction history is summarized as follows:

Quarter	Estimated Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received within 90 Days of Billing	Actual Rebates Received within 91 to 180 Days of Billing	Actual Rebates Received More than 180 Days After Billing
12/31/2013	\$ 19,062	\$ -	\$ -	\$ -	\$ -
9/30/2013	17,016	16,472	9,758	-	-
6/30/2013	14,665	14,649	12,012	2,053	-
3/31/2013	13,175	13,180	10,153	2,243	535
12/31/2012	13,831	14,368	11,719	1,801	787
9/30/2012	12,737	13,200	11,003	1,744	448
6/30/2012	11,757	12,139	10,158	1,658	306
3/31/2012	11,095	11,449	9,704	1,286	456
12/31/2011	10,746	11,583	9,514	1,822	306
9/30/2011	10,280	10,623	9,024	1,226	386
6/30/2011	9,097	9,261	8,089	952	233
3/31/2011	8,199	8,488	7,385	772	388

Of the amount reported as health care receivables, \$24,859 and \$17,914 relates to pharmaceutical rebate receivables as of December 31, 2013 and 2012, respectively. The Company also reported \$4,293 and \$910 of claim overpayments due from providers at December 31, 2013 and 2012, respectively that were determined to be admissible.

B. The Company does not have any risk-sharing receivables.

29. PARTICIPATING POLICIES

The Company did not have any participating contracts in 2013 or 2012.

30. PREMIUM DEFICIENCY RESERVES

The Company has not recorded any premium deficiency reserves as of December 31, 2013 or 2012. This analysis of the premium deficiency reserve was completed as of December 31, 2013 and 2012. The Company did consider anticipated investment income when calculating the premium deficiency reserve.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1, 1A and 2
- 1.2 If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent, or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations? Yes [X] No [] N/A []
- 1.3 State Regulating? Illinois
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2011
- 3.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2011
- 3.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 09/23/2013
- 3.4 By what department or departments?
Illinois Department of Insurance
- 3.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 3.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [] N/A [X]
- 4.1 During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity), receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.11 sales of new business? Yes [] No [X]
4.12 renewals? Yes [] No [X]
- 4.2 During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.21 sales of new business? Yes [] No [X]
4.22 renewals? Yes [] No [X]
- 5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 5.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.
- | 1
Name of Entity | 2
NAIC Company Code | 3
State of Domicile |
|---------------------|------------------------|------------------------|
| | | |
- 6.1 Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 6.2 If yes, give full information:
.....
- 7.1 Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity? Yes [] No [X]
- 7.2 If yes,
7.21 State the percentage of foreign control; 0.0 %
7.22 State the nationality(s) of the foreign person(s) or entity(s) or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact; and identify the type of entity(s) (e.g., individual, corporation or government, manager or attorney in fact).

1 Nationality	2 Type of Entity

GENERAL INTERROGATORIES

- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Optum Bank, Inc.	Salt Lake City, Utah	NO	NO	YES	NO

- 9. What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?
Deloitte & Touche LLP, Minneapolis, MN
- 10.1 Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation? Yes [] No [X]
- 10.2 If the response to 10.1 is yes, provide information related to this exemption:
.....
- 10.3 Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 17A of the Model Regulation, or substantially similar state law or regulation? Yes [] No [X]
- 10.4 If the response to 10.3 is yes, provide information related to this exemption:
.....
- 10.5 Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws? Yes [X] No [] N/A []
- 10.6 If the response to 10.5 is no or n/a, please explain
.....
- 11. What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?
Allen Sorbo, Chief Actuary of UnitedHealthcare Insurance Company, an affiliate of UnitedHealthcare Plan of the River Valley, Inc.
Hartford, CT
- 12.1 Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly? Yes [] No [X]
 - 12.11 Name of real estate holding company
 - 12.12 Number of parcels involved 0
 - 12.13 Total book/adjusted carrying value \$ 0
- 12.2 If, yes provide explanation:
.....
- 13. **FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:**
- 13.1 What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?
.....
- 13.2 Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located? Yes [] No []
- 13.3 Have there been any changes made to any of the trust indentures during the year? Yes [] No []
- 13.4 If answer to (13.3) is yes, has the domiciliary or entry state approved the changes? Yes [] No [] N/A []
- 14.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []
 - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 - (c) Compliance with applicable governmental laws, rules and regulations;
 - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 - (e) Accountability for adherence to the code.
- 14.11 If the response to 14.1 is No, please explain:
.....
- 14.2 Has the code of ethics for senior managers been amended? Yes [X] No []
- 14.21 If the response to 14.2 is yes, provide information related to amendment(s).
Non-material modifications were made to the UnitedHealth Group Code of Conduct in Q3 2013.
- 14.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]
- 14.31 If the response to 14.3 is yes, provide the nature of any waiver(s).
.....

GENERAL INTERROGATORIES

- 15.1 Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List? Yes [] No [X]
- 15.2 If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.

1 American Bankers Association (ABA) Routing Number	2 Issuing or Confirming Bank Name	3 Circumstances That Can Trigger the Letter of Credit	4 Amount

BOARD OF DIRECTORS

16. Is the purchase or sale of all investments of the reporting entity passed upon either by the board of directors or a subordinate committee thereof? Yes [X] No []
17. Does the reporting entity keep a complete permanent record of the proceedings of its board of directors and all subordinate committees thereof? Yes [X] No []
18. Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict with the official duties of such person? Yes [X] No []

FINANCIAL

19. Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)? Yes [] No [X]
- 20.1 Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):
- 20.11 To directors or other officers \$ 0
 - 20.12 To stockholders not officers \$ 0
 - 20.13 Trustees, supreme or grand (Fraternal Only) \$ 0
- 20.2 Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):
- 20.21 To directors or other officers \$ 0
 - 20.22 To stockholders not officers \$ 0
 - 20.23 Trustees, supreme or grand (Fraternal Only) \$ 0
- 21.1 Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement? Yes [] No [X]
- 21.2 If yes, state the amount thereof at December 31 of the current year:
- 21.21 Rented from others \$ 0
 - 21.22 Borrowed from others \$ 0
 - 21.23 Leased from others \$ 0
 - 21.24 Other \$ 0
- 22.1 Does this statement include payments for assessments as described in the Annual Statement Instructions other than guaranty fund or guaranty association assessments? Yes [X] No []
- 22.2 If answer is yes:
- 22.21 Amount paid as losses or risk adjustment \$ 0
 - 22.22 Amount paid as expenses \$ 2,354,900
 - 22.23 Other amounts paid \$ 0
- 23.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No [X]
- 23.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

INVESTMENT

- 24.01 Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 24.03) Yes [X] No []
- 24.02 If no, give full and complete information relating thereto
- 24.03 For security lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided) Not applicable
- 24.04 Does the Company's security lending program meet the requirements for a conforming program as outlined in the Risk-Based Capital Instructions? Yes [] No [] N/A [X]
- 24.05 If answer to 24.04 is yes, report amount of collateral for conforming programs. \$ 0
- 24.06 If answer to 24.04 is no, report amount of collateral for other programs. \$ 0
- 24.07 Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract? Yes [] No [] N/A [X]
- 24.08 Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%? Yes [] No [] N/A [X]
- 24.09 Does the reporting entity or the reporting entity's securities lending agent utilize the Master Securities lending Agreement (MSLA) to conduct securities lending? Yes [] No [] N/A [X]

GENERAL INTERROGATORIES

24.10 For the reporting entity's security lending program state the amount of the following as December 31 of the current year:

24.101 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$	0
24.102 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$	0
24.103 Total payable for securities lending reported on the liability page	\$	0

25.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity, or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 24.03). Yes [X] No []

25.2 If yes, state the amount thereof at December 31 of the current year:

25.21 Subject to repurchase agreements	\$	0
25.22 Subject to reverse repurchase agreements	\$	0
25.23 Subject to dollar repurchase agreements	\$	0
25.24 Subject to reverse dollar repurchase agreements	\$	0
25.25 Pledged as collateral	\$	0
25.26 Placed under option agreements	\$	0
25.27 Letter stock or other securities restricted as to sale	\$	0
25.28 On deposit with state or other regulatory body	\$	55,606,032
25.29 Other	\$	0

25.3 For category (25.27) provide the following:

1 Nature of Restriction	2 Description	3 Amount

26.1 Does the reporting entity have any hedging transactions reported on Schedule DB? Yes [] No [X]

26.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes [] No [] N/A []
If no, attach a description with this statement.

27.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity? Yes [] No [X]

27.2 If yes, state the amount thereof at December 31 of the current year. \$

28. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?..... Yes [X] No []

28.01 For agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian's Address
Northern Trust	50 S. LaSalle, Chicago, IL 60675
Bank of New York Mellon	Global Liquidity Services, 1 Wall St, 14th Floor, New York NY 10286

28.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year?..... Yes [] No [X]

28.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

28.05 Identify all investment advisors, brokers/dealers or individuals acting on behalf of brokers/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository Number(s)	2 Name	3 Address
107038	JPMorgan Investment Management Inc.	245 Park Avenue New York, NY 10167
113972	Standish Mellon Asset Management Company	201 Washington Street Suite 2900 Boston, MA 02108-4408

GENERAL INTERROGATORIES

- 29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D, Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5(b)(1)])? Yes [] No [X]
- 29.2 If yes, complete the following schedule:

1 CUSIP #	2 Name of Mutual Fund	3 Book/Adjusted Carrying Value
29.2999 - Total		0

- 29.3 For each mutual fund listed in the table above, complete the following schedule:

1 Name of Mutual Fund (from above table)	2 Name of Significant Holding of the Mutual Fund	3 Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	4 Date of Valuation

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1 Statement (Admitted) Value	2 Fair Value	3 Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds	867,988,480	872,041,910	4,053,430
30.2 Preferred stocks	0	0	0
30.3 Totals	867,988,480	872,041,910	4,053,430

- 30.4 Describe the sources or methods utilized in determining the fair values:
 For those securities that had prices in the NAIC SVO ISIS database, those prices were used; for those securities that did not have prices in the NAIC SVO ISIS database, pricing was obtained from HUB which is an external data sources vender. HUB utilizes various pricing sources.

- 31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? Yes [] No [X]
- 31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? Yes [] No []
- 31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:

- 32.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes [X] No []
- 32.2 If no, list exceptions:

GENERAL INTERROGATORIES

OTHER

33.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any?\$0

33.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations and statistical or rating bureaus during the period covered by this statement.

1 Name	2 Amount Paid

34.1 Amount of payments for legal expenses, if any?\$0

34.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid

35.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any?\$0

35.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1 Name	2 Amount Paid

GENERAL INTERROGATORIES

PART 2 - HEALTH INTERROGATORIES

1.1 Does the reporting entity have any direct Medicare Supplement Insurance in force? Yes [] No [X]

1.2 If yes, indicate premium earned on U.S. business only. \$ 0

1.3 What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit? \$ 0

1.31 Reason for excluding

1.4 Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above \$ 0

1.5 Indicate total incurred claims on all Medicare Supplement Insurance. \$ 0

1.6 Individual policies:

Most current three years:

1.61 Total premium earned \$ 0

1.62 Total incurred claims \$ 0

1.63 Number of covered lives 0

All years prior to most current three years:

1.64 Total premium earned \$ 0

1.65 Total incurred claims \$ 0

1.66 Number of covered lives 0

1.7 Group policies:

Most current three years:

1.71 Total premium earned \$ 0

1.72 Total incurred claims \$ 0

1.73 Number of covered lives 0

All years prior to most current three years:

1.74 Total premium earned \$ 0

1.75 Total incurred claims \$ 0

1.76 Number of covered lives 0

2. Health Test:

	1 Current Year	2 Prior Year
2.1 Premium Numerator	4,067,708,191	4,017,438,866
2.2 Premium Denominator	4,067,708,191	4,017,438,866
2.3 Premium Ratio (2.1/2.2)	1.000	1.000
2.4 Reserve Numerator	410,888,557	400,116,879
2.5 Reserve Denominator	410,888,557	400,116,879
2.6 Reserve Ratio (2.4/2.5)	1.000	1.000

3.1 Has the reporting entity received any endowment or gift from contracting hospitals, physicians, dentists, or others that is agreed will be returned when, as and if the earnings of the reporting entity permits? Yes [] No [X]

3.2 If yes, give particulars:

4.1 Have copies of all agreements stating the period and nature of hospitals', physicians', and dentists' care offered to subscribers and dependents been filed with the appropriate regulatory agency? Yes [X] No []

4.2 If not previously filed, furnish herewith a copy(ies) of such agreement(s). Do these agreements include additional benefits offered? Yes [] No []

5.1 Does the reporting entity have stop-loss reinsurance? Yes [X] No []

5.2 If no, explain:

5.3 Maximum retained risk (see instructions)

5.31 Comprehensive Medical \$ 0

5.32 Medical Only \$ 0

5.33 Medicare Supplement \$ 0

5.34 Dental & Vision \$ 0

5.35 Other Limited Benefit Plan \$ 0

5.36 Other \$ 165,000

6. Describe arrangement which the reporting entity may have to protect subscribers and their dependents against the risk of insolvency including hold harmless provisions, conversion privileges with other carriers, agreements with providers to continue rendering services, and any other agreements:
 Hold harmless clauses in provider agreements and continuation of coverage endorsements in reinsurance agreement.

7.1 Does the reporting entity set up its claim liability for provider services on a service date basis? Yes [X] No []

7.2 If no, give details

8. Provide the following information regarding participating providers:

8.1 Number of providers at start of reporting year 117,714

8.2 Number of providers at end of reporting year 124,482

9.1 Does the reporting entity have business subject to premium rate guarantees? Yes [] No [X]

9.2 If yes, direct premium earned:

9.21 Business with rate guarantees between 15-36 months.. \$ 0

9.22 Business with rate guarantees over 36 months \$ 0

GENERAL INTERROGATORIES

- 10.1 Does the reporting entity have Incentive Pool, Withhold or Bonus Arrangements in its provider contracts? Yes [X] No []
- 10.2 If yes:
- | | | |
|--|----|-----------|
| 10.21 Maximum amount payable bonuses..... | \$ | 2,673,487 |
| 10.22 Amount actually paid for year bonuses..... | \$ | 1,962,814 |
| 10.23 Maximum amount payable withholds..... | \$ | 94,802 |
| 10.24 Amount actually paid for year withholds..... | \$ | 54,132 |
- 11.1 Is the reporting entity organized as:
- | | | |
|--|-----------|----------|
| 11.12 A Medical Group/Staff Model, | Yes [] | No [X] |
| 11.13 An Individual Practice Association (IPA), or, .. | Yes [] | No [X] |
| 11.14 A Mixed Model (combination of above)? | Yes [X] | No [] |
- 11.2 Is the reporting entity subject to Minimum Net Worth Requirements? Yes [X] No []
- 11.3 If yes, show the name of the state requiring such net worth. Illinois
- 11.4 If yes, show the amount required. \$ 383,043,978
- 11.5 Is this amount included as part of a contingency reserve in stockholder's equity? Yes [] No [X]
- 11.6 If the amount is calculated, show the calculation
300% of the Authorized Control Level as calculated by the Risk Based Capital Report.

12. List service areas in which reporting entity is licensed to operate:

1 Name of Service Area
Illinois Counties in Service Area: Bureau, Carroll, DeWitt, Fulton, Henderson, Henry, Jo Daviess, Knox, La Salle, Lee, Livingston, Marshall, McLean, Mercer, Peoria, Putnam, Rock Island, Stark, Tazewell, Warren, Whiteside, Woodford
Iowa Counties in Service Area: Adair, Adams, Appanoose, Audubon, Benton, Blackhawk, Boone, Bremer, Buchanan, Buena Vista, Butler, Calhoun, Carroll, Cass, Cedar, Cerro Gordo, Chickasaw, Clarke, Clayton, Clinton, Crawford, Dallas, Davis, Decatur, Delaware, Des Moines, Dubuque, Fayette, Floyd, Franklin, Fremont, Greene, Grundy, Guthrie, Hamilton, Hancock, Hardin, Harrison, Henry, Howard, Humboldt, Iowa, Jackson, Jasper, Jefferson, Johnson, Jones, Keokuk, Kossuth, Lee, Linn, Louisa, Lucas, Madison, Mahaska, Marion, Marshall, Mills, Mitchell, Monona, Monroe, Montgomery, Muscatine, Page, Palo Alto, Pocahontas, Polk, Pottawattamie, Poweshiek, Ringgold, Sac, Scott, Shelby, Story, Tama, Taylor, Union, Van Buren, Wapello, Warren, Washington, Wayne, Webster, Winnebago, Worth, Wright
Tennessee Counties in Service Area: Anderson, Bedford, Bledsoe, Blount, Bradley, Campbell, Cannon, Carroll, Carter, Cheatham, Chester, Claiborne, Clay, Cocke, Coffee, Crockett, Cumberland, Davidson, Decatur, DeKalb, Dickson, Dyer, Fayette, Fentress, Franklin, Gibson, Giles, Grainger, Greene, Grundy, Hamblen, Hamilton, Hancock, Hardeman, Hawkins, Haywood, Henderson, Hickman, Houston, Jackson, Jefferson, Johnson, Knox, Lake, Lauderdale, Lincoln, Loudon, Macon, Madison, Marion, Marshall, Maury, McMinn, Meigs, Monroe, Montgomery, Moore, Morgan, Obion, Overton, Pickett, Polk, Putnam, Rhea, Roane, Robertson, Rutherford, Scott, Sequatchie, Sevier, Shelby, Smith, Stewart, Sullivan, Sumner, Tipton, Trousdale, Unicoi, Union, Van Buren, Warren, Washington, Weakley, White, Williamson, Wilson
Virginia Counties in Service Area: Bedford, Bland, Botetourt, Buchanan, Carroll, Craig, Dickenson, Floyd, Franklin, Giles, Grayson, Henry, Lee, Montgomery, Pulaski, Roanoke, Rockbridge, Russell, Scott, Smyth, Tazewell, Washington, Wise, Wythe

- 13.1 Do you act as a custodian for health savings accounts? Yes [] No [X]
- 13.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0
- 13.3 Do you act as an administrator for health savings accounts? Yes [] No [X]
- 13.4 If yes, please provide the balance of funds administered as of the reporting date. \$ 0

FIVE-YEAR HISTORICAL DATA

	1 2013	2 2012	3 2011	4 2010	5 2009
Balance Sheet (Pages 2 and 3)					
1. Total admitted assets (Page 2, Line 28)	1,093,654,951	1,017,358,614	1,010,036,179	1,126,576,465	814,058,107
2. Total liabilities (Page 3, Line 24)	580,253,821	576,136,838	557,260,161	564,945,177	546,062,318
3. Statutory surplus	383,043,978	373,299,168	353,507,103	291,327,658	229,215,567
4. Total capital and surplus (Page 3, Line 33)	513,401,130	441,221,776	452,776,017	359,790,690	267,995,789
Income Statement (Page 4)					
5. Total revenues (Line 8)	4,071,610,367	4,011,352,746	3,923,592,289	3,186,787,512	2,370,700,094
6. Total medical and hospital expenses (Line 18)	3,366,782,424	3,219,162,456	3,100,125,101	2,528,710,533	1,965,096,525
7. Claims adjustment expenses (Line 20)	168,220,056	140,798,914	121,875,202	125,310,554	58,957,623
8. Total administrative expenses (Line 21)	334,124,351	417,524,328	424,870,100	336,002,202	252,624,509
9. Net underwriting gain (loss) (Line 24)	202,483,536	233,867,048	276,721,886	196,764,223	94,021,437
10. Net investment gain (loss) (Line 27)	18,971,246	18,060,841	18,764,225	16,365,879	15,099,731
11. Total other income (Lines 28 plus 29)	(887,329)	(650,032)	1,106,009	(2,410,088)	(1,011,187)
12. Net income or (loss) (Line 32)	152,048,836	169,953,894	211,900,766	130,020,120	76,327,723
Cash Flow (Page 6)					
13. Net cash from operations (Line 11)	137,396,038	149,953,765	(1,000,743)	554,688,210	81,284,674
Risk-Based Capital Analysis					
14. Total adjusted capital	513,401,130	441,221,776	452,776,017	359,790,690	267,995,789
15. Authorized control level risk-based capital	127,681,326	124,433,056	117,835,701	97,604,941	76,140,827
Enrollment (Exhibit 1)					
16. Total members at end of period (Column 5, Line 7)	760,431	781,421	780,183	751,054	684,162
17. Total members months (Column 6, Line 7)	9,187,464	9,410,333	9,365,383	8,795,788	8,244,539
Operating Percentage (Page 4) (Item divided by Page 4, sum of Lines 2, 3 and 5) x 100.0					
18. Premiums earned plus risk revenue (Line 2 plus Lines 3 and 5)	100.0	100.0	100.0	100.0	100.0
19. Total hospital and medical plus other non-health (Lines 18 plus Line 19)	82.7	80.3	79.0	79.3	82.9
20. Cost containment expenses	2.5	2.3	2.2	3.1	1.5
21. Other claims adjustment expenses	1.7	1.2	0.9	0.9	1.0
22. Total underwriting deductions (Line 23)	95.1	94.2	93.0	93.8	96.0
23. Total underwriting gain (loss) (Line 24)	5.0	5.8	7.1	6.2	4.0
Unpaid Claims Analysis (U&I Exhibit, Part 2B)					
24. Total claims incurred for prior years (Line 13, Col. 5)	343,512,445	320,686,830	347,567,947	240,438,064	199,721,843
25. Estimated liability of unpaid claims-[prior year (Line 13, Col. 6)]	352,849,625	377,732,578	386,804,949	251,243,748	226,973,184
Investments In Parent, Subsidiaries and Affiliates					
26. Affiliated bonds (Sch. D Summary, Line 12, Col. 1)	0	0	0	0	0
27. Affiliated preferred stocks (Sch. D Summary, Line 18, Col. 1)	0	0	0	0	0
28. Affiliated common stocks (Sch. D Summary, Line 24, Col. 1)	0	0	0	0	0
29. Affiliated short-term investments (subtotal included in Schedule DA Verification, Col. 5, Line 10)	0	0	0	0	0
30. Affiliated mortgage loans on real estate	0	0	0	0	0
31. All other affiliated	0	0	0	0	0
32. Total of above Lines 26 to 31	0	0	0	0	0
33. Total investment in parent included in Lines 26 to 31 above	0	0	0	0	0

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3, Accounting Changes and Correction of Errors? Yes [] No []
 If no, please explain:



ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF		DURING THE YEAR							(LOCATION)	
		Illinois		2013							NAIC Company Code	
		1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10	
	Total	2	3	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other		
Total Members at end of:												
1. Prior Year	14,687	0	4,999	0	0	0	0	9,688	0	0		
2. First Quarter	12,614	0	2,753	0	0	0	0	9,861	0	0		
3. Second Quarter	12,574	0	2,675	0	0	0	0	9,899	0	0		
4. Third Quarter	12,551	0	2,558	0	0	0	0	9,993	0	0		
5. Current Year	12,443	0	2,440	0	0	0	0	10,003	0	0		
6. Current Year Member Months	150,941	0	31,740	0	0	0	0	119,201	0	0		
Total Member Ambulatory Encounters for Year:												
7. Physician	211,800	0	16,570	0	0	0	0	195,230	0	0		
8. Non-Physician	77,910	0	4,250	0	0	0	0	73,660	0	0		
9. Total	289,710	0	20,820	0	0	0	0	268,890	0	0		
10. Hospital Patient Days Incurred	27,231	0	951	0	0	0	0	26,280	0	0		
11. Number of Inpatient Admissions	3,781	0	200	0	0	0	0	3,581	0	0		
12. Health Premiums Written (b)	98,715,620	0	13,973,436	0	0	0	0	84,742,184	0	0		
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0		
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0		
15. Health Premiums Earned	98,519,641	0	13,973,436	0	0	0	0	84,546,205	0	0		
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0		
17. Amount Paid for Provision of Health Care Services	80,564,212	0	9,716,626	0	0	0	0	70,847,586	0	0		
18. Amount Incurred for Provision of Health Care Services	81,875,437	0	8,559,371	0	0	0	0	73,316,066	0	0		

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$84,742,184



ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF		DURING THE YEAR							(LOCATION)	
		Iowa		2013							NAIC Company Code	
		1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10	
	Total	2	3	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other		
Total Members at end of:												
1. Prior Year	89,358	0	62,163	0	0	0	4,340	22,855	0	0		
2. First Quarter	88,223	0	60,458	0	0	0	3,962	23,803	0	0		
3. Second Quarter	87,885	9,086	50,978	0	0	0	3,883	23,938	0	0		
4. Third Quarter	86,671	9,200	49,604	0	0	0	3,791	24,076	0	0		
5. Current Year	86,627	9,424	49,474	0	0	0	3,760	23,969	0	0		
6. Current Year Member Months	1,047,701	108,993	606,275	0	0	0	45,184	287,249	0	0		
Total Member Ambulatory Encounters for Year:												
7. Physician	770,938	49,805	250,913	0	0	0	26,186	444,034	0	0		
8. Non-Physician	233,459	9,598	66,371	0	0	0	5,012	152,478	0	0		
9. Total	1,004,397	59,403	317,284	0	0	0	31,198	596,512	0	0		
10. Hospital Patient Days Incurred	65,225	507	12,605	0	0	0	1,864	50,249	0	0		
11. Number of Inpatient Admissions	10,864	124	3,170	0	0	0	335	7,235	0	0		
12. Health Premiums Written (b)	431,828,618	20,196,020	203,980,655	0	0	0	18,097,267	189,554,676	0	0		
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0		
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0		
15. Health Premiums Earned	431,593,314	20,196,020	203,174,333	0	0	0	17,415,032	190,807,929	0	0		
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0		
17. Amount Paid for Provision of Health Care Services.....	348,331,057	12,917,065	159,363,149	0	0	0	19,696,158	156,354,685	0	0		
18. Amount Incurred for Provision of Health Care Services	353,658,427	12,884,386	156,512,399	0	0	0	20,473,126	163,788,516	0	0		

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$189,554,676

301A



ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF		DURING THE YEAR							(LOCATION)	
		Tennessee		2013							NAIC Company Code	
		1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10	
	Total	2	3	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other		
		Individual	Group									
Total Members at end of:												
1. Prior Year	660,742	0	22,228	0	0	0	0	68,317	570,197	0		
2. First Quarter	648,594	0	12,934	0	0	0	0	69,599	566,061	0		
3. Second Quarter	653,615	0	9,615	0	0	0	0	72,208	571,792	0		
4. Third Quarter	652,573	0	5,769	0	0	0	0	74,672	572,132	0		
5. Current Year	646,679	0	3,621	0	0	0	0	75,824	567,234	0		
6. Current Year Member Months	7,808,453	0	108,056	0	0	0	0	868,847	6,831,550	0		
Total Member Ambulatory Encounters for Year:												
7. Physician	7,132,830	0	63,147	0	0	0	0	1,670,492	5,399,191	0		
8. Non-Physician	4,193,480	0	12,765	0	0	0	0	826,790	3,353,925	0		
9. Total	11,326,310	0	75,912	0	0	0	0	2,497,282	8,753,116	0		
10. Hospital Patient Days Incurred	5,601,661	0	3,336	0	0	0	0	224,406	5,373,919	0		
11. Number of Inpatient Admissions	382,865	0	678	0	0	0	0	30,253	351,934	0		
12. Health Premiums Written (b)	3,427,893,472	0	42,485,169	0	0	0	0	817,629,574	2,567,778,729	0		
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0		
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0		
15. Health Premiums Earned	3,430,791,113	0	42,485,169	0	0	0	0	820,527,215	2,567,778,729	0		
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0		
17. Amount Paid for Provision of Health Care Services	2,842,519,713	0	37,837,241	0	0	0	0	667,012,328	2,137,670,144	0		
18. Amount Incurred for Provision of Health Care Services	2,847,010,499	0	30,314,168	0	0	0	0	670,651,077	2,146,045,254	0		

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$817,629,574

30.TN



ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF		DURING THE YEAR							(LOCATION)	
		Virginia		2013							NAIC Company Code	
		1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10	
	Total	2	3	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other		
Total Members at end of:												
1. Prior Year	16,634	0	6,514	0	0	0	0	10,120	0	0		
2. First Quarter	15,241	0	5,873	0	0	0	0	9,368	0	0		
3. Second Quarter	14,587	0	5,162	0	0	0	0	9,425	0	0		
4. Third Quarter	15,359	0	5,754	0	0	0	0	9,605	0	0		
5. Current Year	14,682	0	5,085	0	0	0	0	9,597	0	0		
6. Current Year Member Months	180,369	0	66,605	0	0	0	0	113,764	0	0		
Total Member Ambulatory Encounters for Year:												
7. Physician	214,015	0	33,478	0	0	0	0	180,537	0	0		
8. Non-Physician	104,525	0	5,461	0	0	0	0	99,064	0	0		
9. Total	318,540	0	38,939	0	0	0	0	279,601	0	0		
10. Hospital Patient Days Incurred	18,707	0	1,416	0	0	0	0	17,291	0	0		
11. Number of Inpatient Admissions	3,172	0	342	0	0	0	0	2,830	0	0		
12. Health Premiums Written (b)	113,355,300	0	26,129,679	0	0	0	0	87,225,621	0	0		
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0		
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0		
15. Health Premiums Earned	113,355,300	0	26,129,679	0	0	0	0	87,225,621	0	0		
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0		
17. Amount Paid for Provision of Health Care Services	91,346,136	0	24,459,753	0	0	0	0	66,886,383	0	0		
18. Amount Incurred for Provision of Health Care Services	84,342,095	0	23,776,906	0	0	0	0	60,565,189	0	0		

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$87,225,621

30 VA



ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF	(LOCATION)									
			Grand Total		DURING THE YEAR				2013		NAIC Company Code	95378
			1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
Total	2	3	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other			
Total Members at end of:												
1. Prior Year	781,421	0	95,904	0	0	0	4,340	110,980	570,197	0		
2. First Quarter	764,672	0	82,018	0	0	0	3,962	112,631	566,061	0		
3. Second Quarter	768,661	9,086	68,430	0	0	0	3,883	115,470	571,792	0		
4. Third Quarter	767,154	9,200	63,685	0	0	0	3,791	118,346	572,132	0		
5. Current Year	760,431	9,424	60,620	0	0	0	3,760	119,393	567,234	0		
6. Current Year Member Months	9,187,464	108,993	812,676	0	0	0	45,184	1,389,061	6,831,550	0		
Total Member Ambulatory Encounters for Year:												
7. Physician	8,329,583	49,805	364,108	0	0	0	26,186	2,490,293	5,399,191	0		
8. Non-Physician	4,609,374	9,598	88,847	0	0	0	5,012	1,151,992	3,353,925	0		
9. Total	12,938,957	59,403	452,955	0	0	0	31,198	3,642,285	8,753,116	0		
10. Hospital Patient Days Incurred	5,712,824	507	18,308	0	0	0	1,864	318,226	5,373,919	0		
11. Number of Inpatient Admissions	400,682	124	4,390	0	0	0	335	43,899	351,934	0		
12. Health Premiums Written (b)	4,071,793,010	20,196,020	286,568,939	0	0	0	18,097,267	1,179,152,055	2,567,778,729	0		
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0		
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0		
15. Health Premiums Earned	4,074,259,368	20,196,020	285,762,617	0	0	0	17,415,032	1,183,106,970	2,567,778,729	0		
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0		
17. Amount Paid for Provision of Health Care Services	3,362,761,118	12,917,065	231,376,769	0	0	0	19,696,158	961,100,982	2,137,670,144	0		
18. Amount Incurred for Provision of Health Care Services	3,366,886,458	12,884,386	219,162,844	0	0	0	20,473,126	968,320,848	2,146,045,254	0		

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$1,179,152,055

30.GT

Schedule S - Part 1 - Section 2

N O N E

Schedule S - Part 2

N O N E

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE S - PART 3 - SECTION 2

Reinsurance Ceded Accident and Health Insurance Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domiciliary Jurisdiction	6 Type	7 Premiums	8 Unearned Premiums (Estimated)	9 Reserve Credit Taken Other than for Unearned Premiums	10 Outstanding Surplus Relief		12 Modified Coinsurance Reserve	13 Funds Withheld Under Coinsurance
									10 Current Year	11 Prior Year		
79413	36-2739571	07/01/2008	UnitedHealthcare Insurance Company	CT	SSL/L/G	8,465	0	0	0	0	0	0
79413	36-2739571	07/01/2008	UnitedHealthcare Insurance Company	CT	OTH/L/G	4,076,354	0	0	0	0	0	0
0299999. General Account - Authorized U.S. Affiliates - Other						4,084,819	0	0	0	0	0	0
0399999. Total General Account - Authorized U.S. Affiliates						4,084,819	0	0	0	0	0	0
0699999. Total General Account - Authorized Non-U.S. Affiliates						0	0	0	0	0	0	0
0799999. Total General Account - Authorized Affiliates						4,084,819	0	0	0	0	0	0
1099999. Total General Account - Authorized Non-Affiliates						0	0	0	0	0	0	0
1199999. Total General Account Authorized						4,084,819	0	0	0	0	0	0
1499999. Total General Account - Unauthorized U.S. Affiliates						0	0	0	0	0	0	0
1799999. Total General Account - Unauthorized Non-U.S. Affiliates						0	0	0	0	0	0	0
1899999. Total General Account - Unauthorized Affiliates						0	0	0	0	0	0	0
2199999. Total General Account - Unauthorized Non-Affiliates						0	0	0	0	0	0	0
2299999. Total General Account Unauthorized						0	0	0	0	0	0	0
2599999. Total General Account - Certified U.S. Affiliates						0	0	0	0	0	0	0
2899999. Total General Account - Certified Non-U.S. Affiliates						0	0	0	0	0	0	0
2999999. Total General Account - Certified Affiliates						0	0	0	0	0	0	0
3299999. Total General Account - Certified Non-Affiliates						0	0	0	0	0	0	0
3399999. Total General Account Certified						0	0	0	0	0	0	0
3499999. Total General Account Authorized, Unauthorized and Certified						4,084,819	0	0	0	0	0	0
3799999. Total Separate Accounts - Authorized U.S. Affiliates						0	0	0	0	0	0	0
4099999. Total Separate Accounts - Authorized Non-U.S. Affiliates						0	0	0	0	0	0	0
4199999. Total Separate Accounts - Authorized Affiliates						0	0	0	0	0	0	0
4499999. Total Separate Accounts - Authorized Non-Affiliates						0	0	0	0	0	0	0
4599999. Total Separate Accounts Authorized						0	0	0	0	0	0	0
4899999. Total Separate Accounts - Unauthorized U.S. Affiliates						0	0	0	0	0	0	0
5199999. Total Separate Accounts - Unauthorized Non-U.S. Affiliates						0	0	0	0	0	0	0
5299999. Total Separate Accounts - Unauthorized Affiliates						0	0	0	0	0	0	0
5599999. Total Separate Accounts - Unauthorized Non-Affiliates						0	0	0	0	0	0	0
5699999. Total Separate Accounts Unauthorized						0	0	0	0	0	0	0
5999999. Total Separate Accounts - Certified U.S. Affiliates						0	0	0	0	0	0	0
6299999. Total Separate Accounts - Certified Non-U.S. Affiliates						0	0	0	0	0	0	0
6399999. Total Separate Accounts - Certified Affiliates						0	0	0	0	0	0	0
6699999. Total Separate Accounts - Certified Non-Affiliates						0	0	0	0	0	0	0
6799999. Total Separate Accounts Certified						0	0	0	0	0	0	0
6899999. Total Separate Accounts Authorized, Unauthorized and Certified						0	0	0	0	0	0	0
6999999. Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2599999, 3099999, 3799999, 4299999, 4899999, 5399999, 5999999 and 6499999)						4,084,819	0	0	0	0	0	0
7099999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2899999, 3199999, 4099999, 4399999, 5199999, 5499999, 6299999 and 6599999)						0	0	0	0	0	0	0
9999999 - Totals						4,084,819	0	0	0	0	0	0

Schedule S - Part 4

N O N E

Schedule S - Part 4 - Bank Footnote

N O N E

Schedule S - Part 5

N O N E

Schedule S - Part 5 - Bank Footnote

N O N E

SCHEDULE S - PART 6

Five Year Exhibit of Reinsurance Ceded Business (000 Omitted)

	1 2013	2 2012	3 2011	4 2010	5 2009
A. OPERATIONS ITEMS					
1. Premiums	332	429	448	435	397
2. Title XVIII - Medicare	1,183	1,071	946	611	382
3. Title XIX - Medicaid	2,569	2,532	2,553	2,090	1,606
4. Commissions and reinsurance expense allowance	0	0	0	0	0
5. Total hospital and medical expenses	0	0	0	(52)	52
B. BALANCE SHEET ITEMS					
6. Premiums receivable	0	0	0	0	0
7. Claims payable	0	0	0	0	0
8. Reinsurance recoverable on paid losses	0	0	0	0	0
9. Experience rating refunds due or unpaid	0	0	0	0	0
10. Commissions and reinsurance expense allowances due	0	0	0	0	0
11. Unauthorized reinsurance offset	0	0	0	0	0
12. Offset for reinsurance with Certified Reinsurers	0	0	XXX	XXX	XXX
C. UNAUTHORIZED REINSURANCE (DEPOSITS BY AND FUNDS WITHHELD FROM)					
13. Funds deposited by and withheld from (F)	0	0	0	0	0
14. Letters of credit (L)	0	0	0	0	0
15. Trust agreements (T)	0	0	0	0	0
16. Other (O)	0	0	0	0	0
D. REINSURANCE WITH CERTIFIED REINSURERS (DEPOSITS BY AND FUNDS WITHHELD FROM)					
17. Multiple Beneficiary Trust	0	0	XXX	XXX	XXX
18. Funds deposited by and withheld from (F)	0	0	XXX	XXX	XXX
19. Letters of credit (L)	0	0	XXX	XXX	XXX
20. Trust agreements (T)	0	0	XXX	XXX	XXX
21. Other (O)	0	0	XXX	XXX	XXX

SCHEDULE S - PART 7

Restatement of Balance Sheet to Identify Net Credit For Ceded Reinsurance

	1 As Reported (net of ceded)	2 Restatement Adjustments	3 Restated (gross of ceded)
ASSETS (Page 2, Col. 3)			
1. Cash and invested assets (Line 12)	931,580,093	0	931,580,093
2. Accident and health premiums due and unpaid (Line 15)	66,603,777	0	66,603,777
3. Amounts recoverable from reinsurers (Line 16.1)	0	0	0
4. Net credit for ceded reinsurance	XXX	(353,384)	(353,384)
5. All other admitted assets (Balance)	95,471,081	0	95,471,081
6. Total assets (Line 28)	1,093,654,951	(353,384)	1,093,301,567
LIABILITIES, CAPITAL AND SURPLUS (Page 3)			
7. Claims unpaid (Line 1)	398,807,155	0	398,807,155
8. Accrued medical incentive pool and bonus payments (Line 2)	2,673,487	0	2,673,487
9. Premiums received in advance (Line 8)	37,211,802	0	37,211,802
10. Funds held under reinsurance treaties with authorized and unauthorized reinsurers (Line 19 first inset amount plus second inset amount)	0	0	0
11. Reinsurance in unauthorized companies (Line 20 minus inset amount)	0	0	0
12. Reinsurance with Certified Reinsurers (Line 20 inset amount)	0	0	0
13. Funds held under reinsurance treaties with Certified Reinsurers (Line 19 third inset amount)	0	0	0
14. All other liabilities (Balance)	141,561,378	(353,384)	141,207,994
15. Total liabilities (Line 24)	580,253,821	(353,384)	579,900,437
16. Total capital and surplus (Line 33)	513,401,130	XXX	513,401,130
17. Total liabilities, capital and surplus (Line 34)	1,093,654,951	(353,384)	1,093,301,567
NET CREDIT FOR CEDED REINSURANCE			
18. Claims unpaid	0		
19. Accrued medical incentive pool	0		
20. Premiums received in advance	0		
21. Reinsurance recoverable on paid losses	0		
22. Other ceded reinsurance recoverables	0		
23. Total ceded reinsurance recoverables	0		
24. Premiums receivable	0		
25. Funds held under reinsurance treaties with authorized and unauthorized reinsurers	0		
26. Unauthorized reinsurance	0		
27. Reinsurance with Certified Reinsurers	0		
28. Funds held under reinsurance treaties with Certified Reinsurers	0		
29. Other ceded reinsurance payables/offsets	353,384		
30. Total ceded reinsurance payables/offsets	353,384		
31. Total net credit for ceded reinsurance	(353,384)		

SCHEDULE T PREMIUMS AND OTHER CONSIDERATIONS

Allocated by States and Territories

	1	Direct Business Only							
		2	3	4	5	6	7	8	9
States, etc.	Active Status	Accident & Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Plan Premiums	Life & Annuity Premiums & Other Considerations	Property/Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1. Alabama AL	N	0	0	0	0	0	0	0	0
2. Alaska AK	N	0	0	0	0	0	0	0	0
3. Arizona AZ	N	0	0	0	0	0	0	0	0
4. Arkansas AR	N	0	0	0	0	0	0	0	0
5. California CA	N	0	0	0	0	0	0	0	0
6. Colorado CO	N	0	0	0	0	0	0	0	0
7. Connecticut CT	N	0	0	0	0	0	0	0	0
8. Delaware DE	N	0	0	0	0	0	0	0	0
9. District of Columbia DC	N	0	0	0	0	0	0	0	0
10. Florida FL	N	0	0	0	0	0	0	0	0
11. Georgia GA	N	0	0	0	0	0	0	0	0
12. Hawaii HI	N	0	0	0	0	0	0	0	0
13. Idaho ID	N	0	0	0	0	0	0	0	0
14. Illinois IL	L	13,973,436	84,742,184	0	0	0	0	98,715,620	0
15. Indiana IN	N	0	0	0	0	0	0	0	0
16. Iowa IA	L	224,176,675	189,554,676	0	18,097,267	0	0	431,828,618	0
17. Kansas KS	N	0	0	0	0	0	0	0	0
18. Kentucky KY	N	0	0	0	0	0	0	0	0
19. Louisiana LA	N	0	0	0	0	0	0	0	0
20. Maine ME	N	0	0	0	0	0	0	0	0
21. Maryland MD	N	0	0	0	0	0	0	0	0
22. Massachusetts MA	N	0	0	0	0	0	0	0	0
23. Michigan MI	N	0	0	0	0	0	0	0	0
24. Minnesota MN	N	0	0	0	0	0	0	0	0
25. Mississippi MS	N	0	0	0	0	0	0	0	0
26. Missouri MO	N	0	0	0	0	0	0	0	0
27. Montana MT	N	0	0	0	0	0	0	0	0
28. Nebraska NE	N	0	0	0	0	0	0	0	0
29. Nevada NV	N	0	0	0	0	0	0	0	0
30. New Hampshire NH	N	0	0	0	0	0	0	0	0
31. New Jersey NJ	N	0	0	0	0	0	0	0	0
32. New Mexico NM	N	0	0	0	0	0	0	0	0
33. New York NY	N	0	0	0	0	0	0	0	0
34. North Carolina NC	N	0	0	0	0	0	0	0	0
35. North Dakota ND	N	0	0	0	0	0	0	0	0
36. Ohio OH	N	0	0	0	0	0	0	0	0
37. Oklahoma OK	N	0	0	0	0	0	0	0	0
38. Oregon OR	N	0	0	0	0	0	0	0	0
39. Pennsylvania PA	N	0	0	0	0	0	0	0	0
40. Rhode Island RI	N	0	0	0	0	0	0	0	0
41. South Carolina SC	N	0	0	0	0	0	0	0	0
42. South Dakota SD	N	0	0	0	0	0	0	0	0
43. Tennessee TN	L	42,485,169	817,629,574	2,567,778,729	0	0	0	3,427,893,472	0
44. Texas TX	N	0	0	0	0	0	0	0	0
45. Utah UT	N	0	0	0	0	0	0	0	0
46. Vermont VT	N	0	0	0	0	0	0	0	0
47. Virginia VA	L	26,129,679	87,225,621	0	0	0	0	113,355,300	0
48. Washington WA	N	0	0	0	0	0	0	0	0
49. West Virginia WV	N	0	0	0	0	0	0	0	0
50. Wisconsin WI	N	0	0	0	0	0	0	0	0
51. Wyoming WY	N	0	0	0	0	0	0	0	0
52. American Samoa AS	N	0	0	0	0	0	0	0	0
53. Guam GU	N	0	0	0	0	0	0	0	0
54. Puerto Rico PR	N	0	0	0	0	0	0	0	0
55. U.S. Virgin Islands VI	N	0	0	0	0	0	0	0	0
56. Northern Mariana Islands MP	N	0	0	0	0	0	0	0	0
57. Canada CAN	N	0	0	0	0	0	0	0	0
58. Aggregate other alien OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal	XXX	306,764,959	1,179,152,055	2,567,778,729	18,097,267	0	0	4,071,793,010	0
60. Reporting entity contributions for Employee Benefit Plans	XXX	0	0	0	0	0	0	0	0
61. Total (Direct Business)	(a) 4	306,764,959	1,179,152,055	2,567,778,729	18,097,267	0	0	4,071,793,010	0
DETAILS OF WRITE-INS									
58001.	XXX								
58002.	XXX								
58003.	XXX								
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

Explanation of basis of allocation by states, premiums by state, etc.

Premiums are allocated based upon geographic market.

(a) Insert the number of L responses except for Canada and Other Alien.

**SCHEDULE T - PART 2
INTERSTATE COMPACT - EXHIBIT OF PREMIUMS WRITTEN**

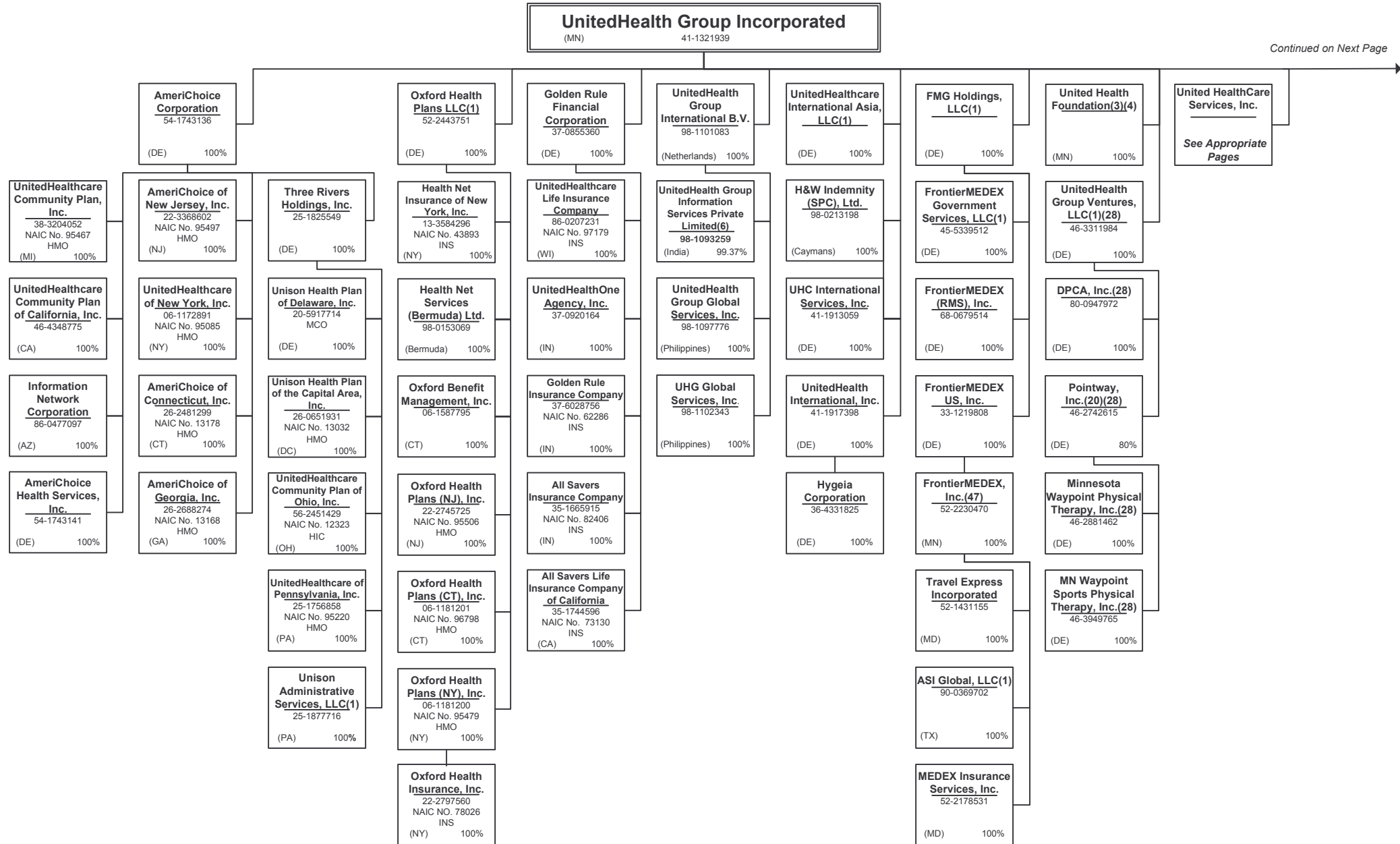
Allocated by States and Territories

States, Etc.	Direct Business Only					Totals
	1 Life (Group and Individual)	2 Annuities (Group and Individual)	3 Disability Income (Group and Individual)	4 Long-Term Care (Group and Individual)	5 Deposit-Type Contracts	
1. Alabama	AL					
2. Alaska	AK					
3. Arizona	AZ					
4. Arkansas	AR					
5. California	CA					
6. Colorado	CO					
7. Connecticut	CT					
8. Delaware	DE					
9. District of Columbia	DC					
10. Florida	FL					
11. Georgia	GA					
12. Hawaii	HI					
13. Idaho	ID					
14. Illinois	IL					
15. Indiana	IN					
16. Iowa	IA					
17. Kansas	KS					
18. Kentucky	KY					
19. Louisiana	LA					
20. Maine	ME					
21. Maryland	MD					
22. Massachusetts	MA					
23. Michigan	MI					
24. Minnesota	MN					
25. Mississippi	MS					
26. Missouri	MO					
27. Montana	MT					
28. Nebraska	NE					
29. Nevada	NV					
30. New Hampshire	NH					
31. New Jersey	NJ					
32. New Mexico	NM					
33. New York	NY					
34. North Carolina	NC					
35. North Dakota	ND					
36. Ohio	OH					
37. Oklahoma	OK					
38. Oregon	OR					
39. Pennsylvania	PA					
40. Rhode Island	RI					
41. South Carolina	SC					
42. South Dakota	SD					
43. Tennessee	TN					
44. Texas	TX					
45. Utah	UT					
46. Vermont	VT					
47. Virginia	VA					
48. Washington	WA					
49. West Virginia	WV					
50. Wisconsin	WI					
51. Wyoming	WY					
52. American Samoa	AS					
53. Guam	GU					
54. Puerto Rico	PR					
55. U.S. Virgin Islands	VI					
56. Northern Mariana Islands	MP					
57. Canada	CAN					
58. Aggregate Other Alien	OT					
59. Total						

NONE

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

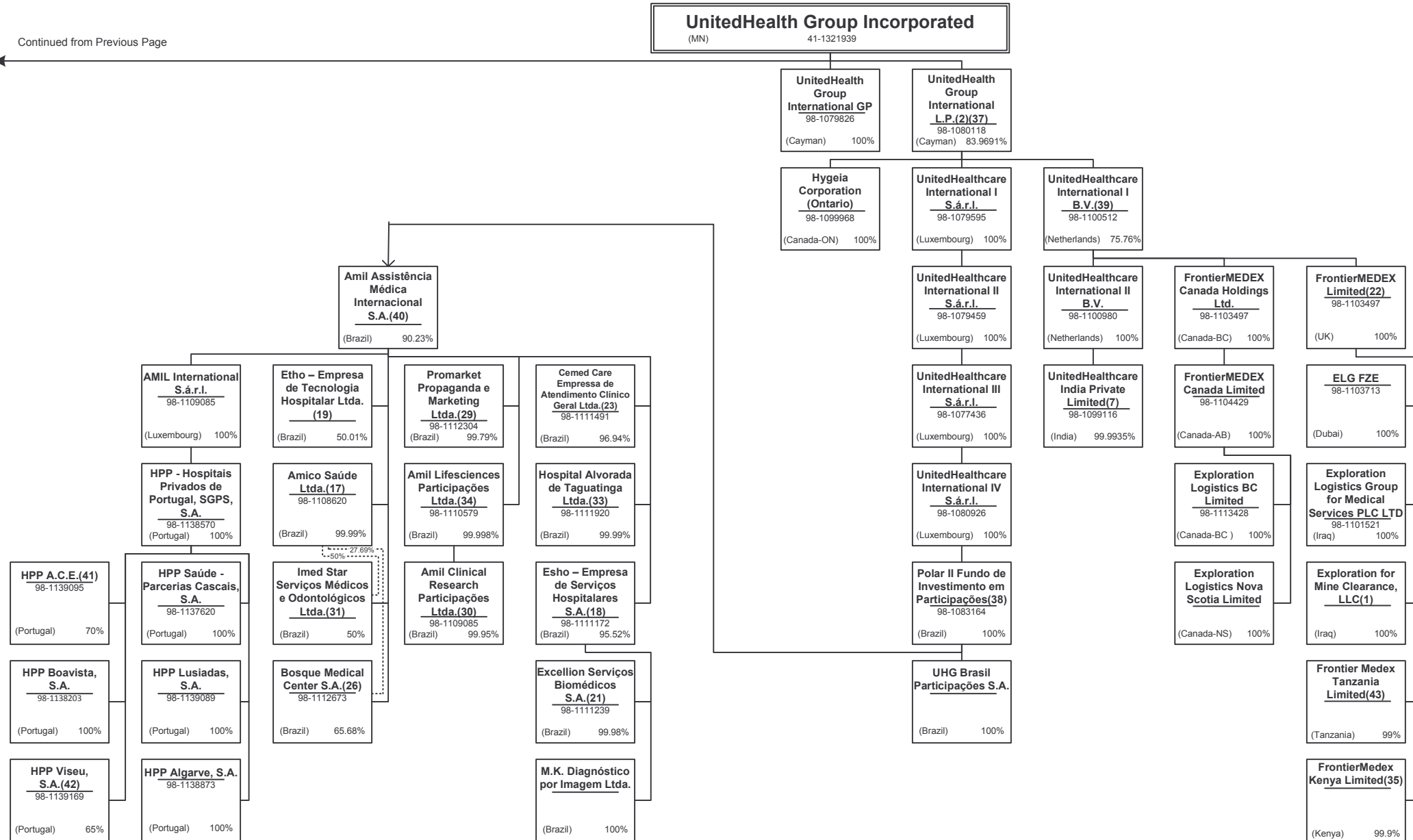


Continued on Next Page

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

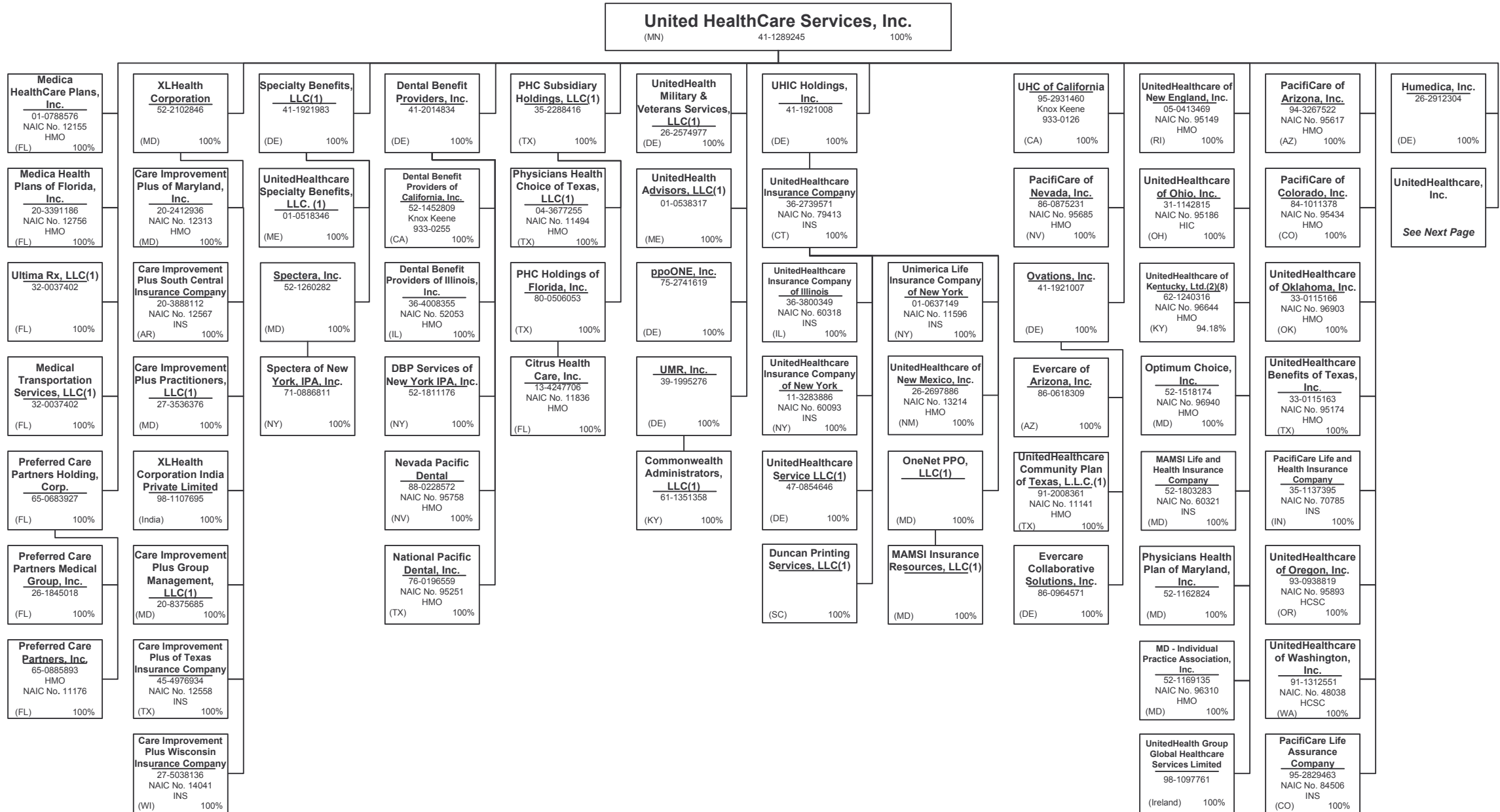
Continued from Previous Page



40.1

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

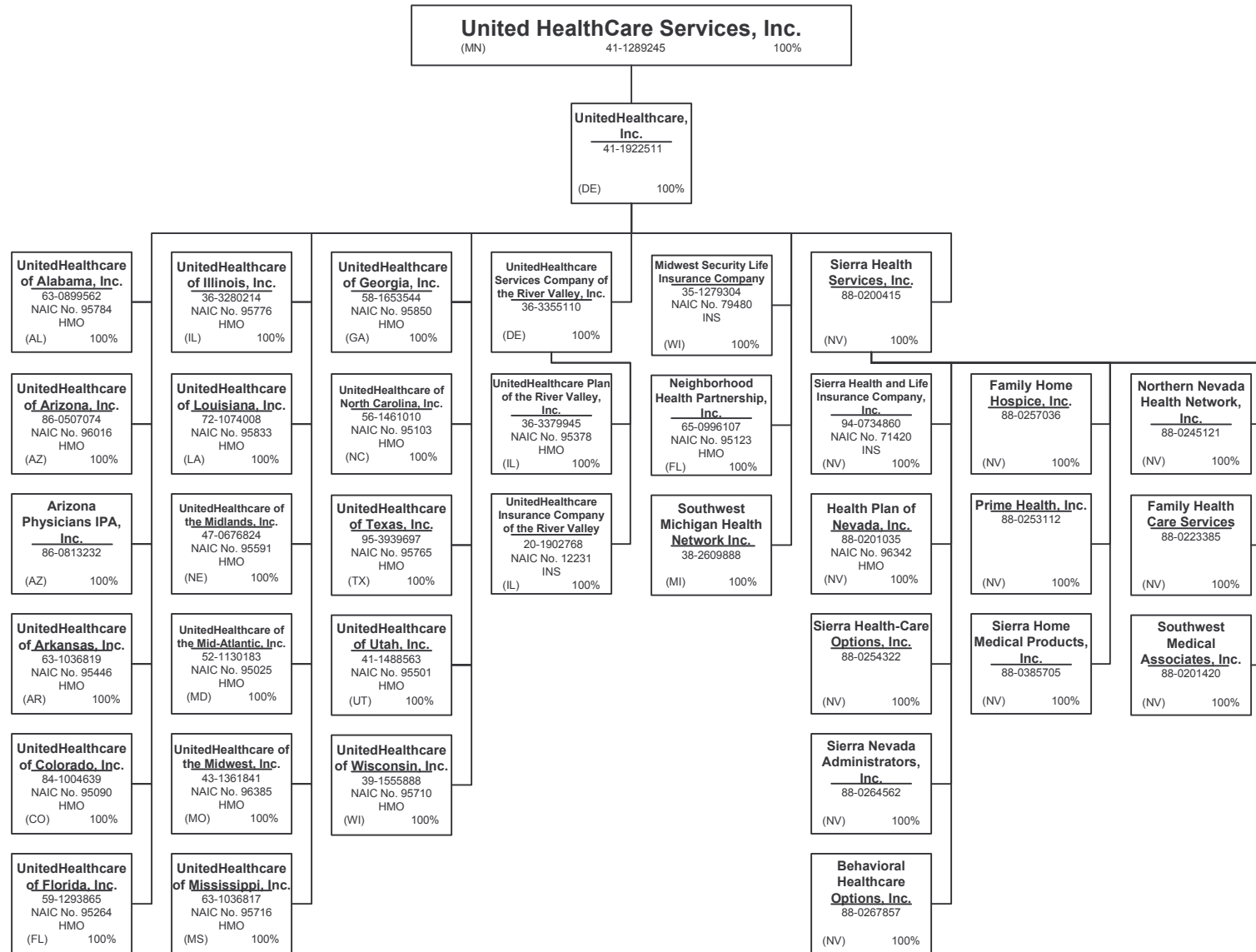
PART 1 - ORGANIZATIONAL CHART



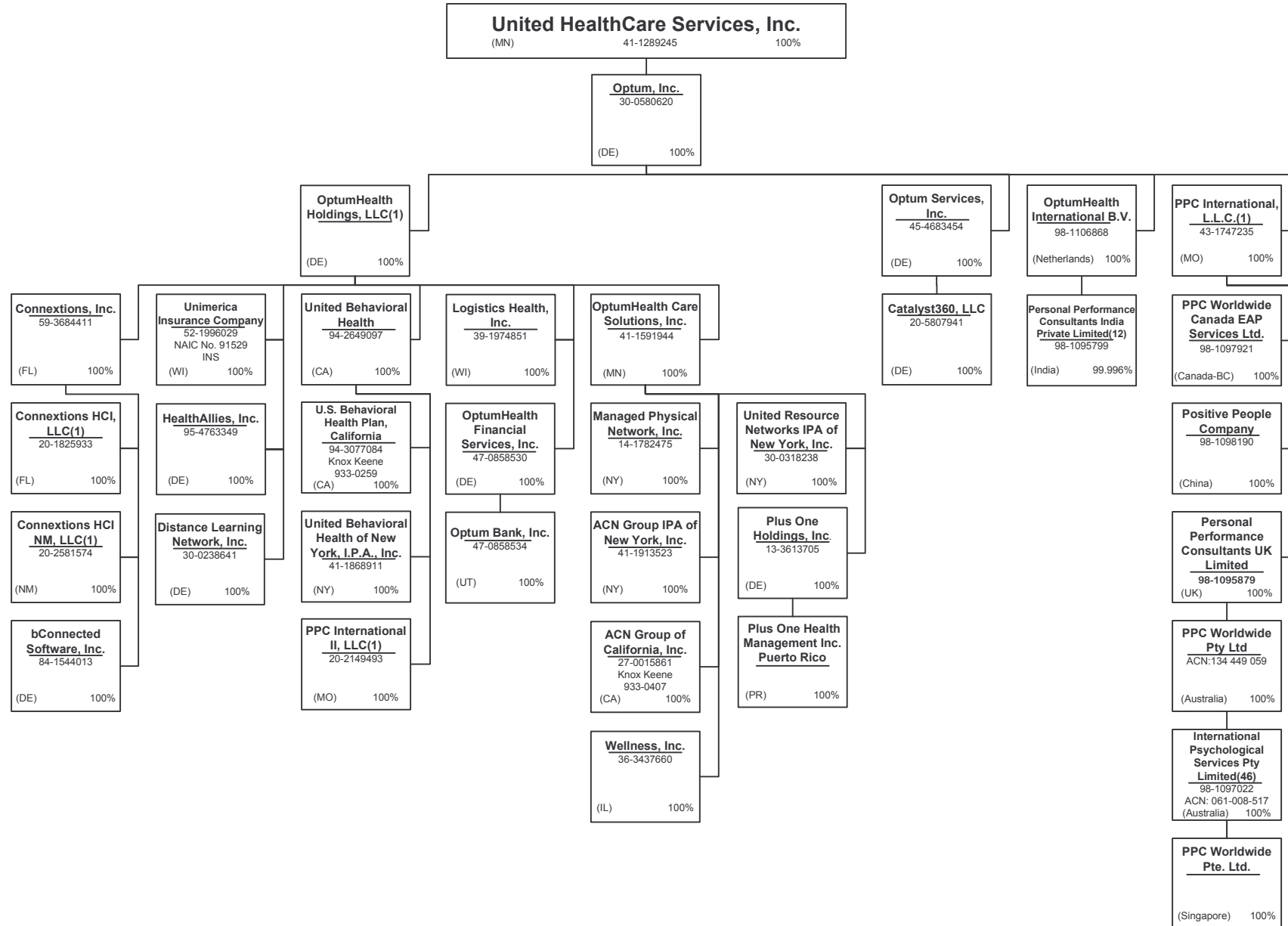
40.2

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



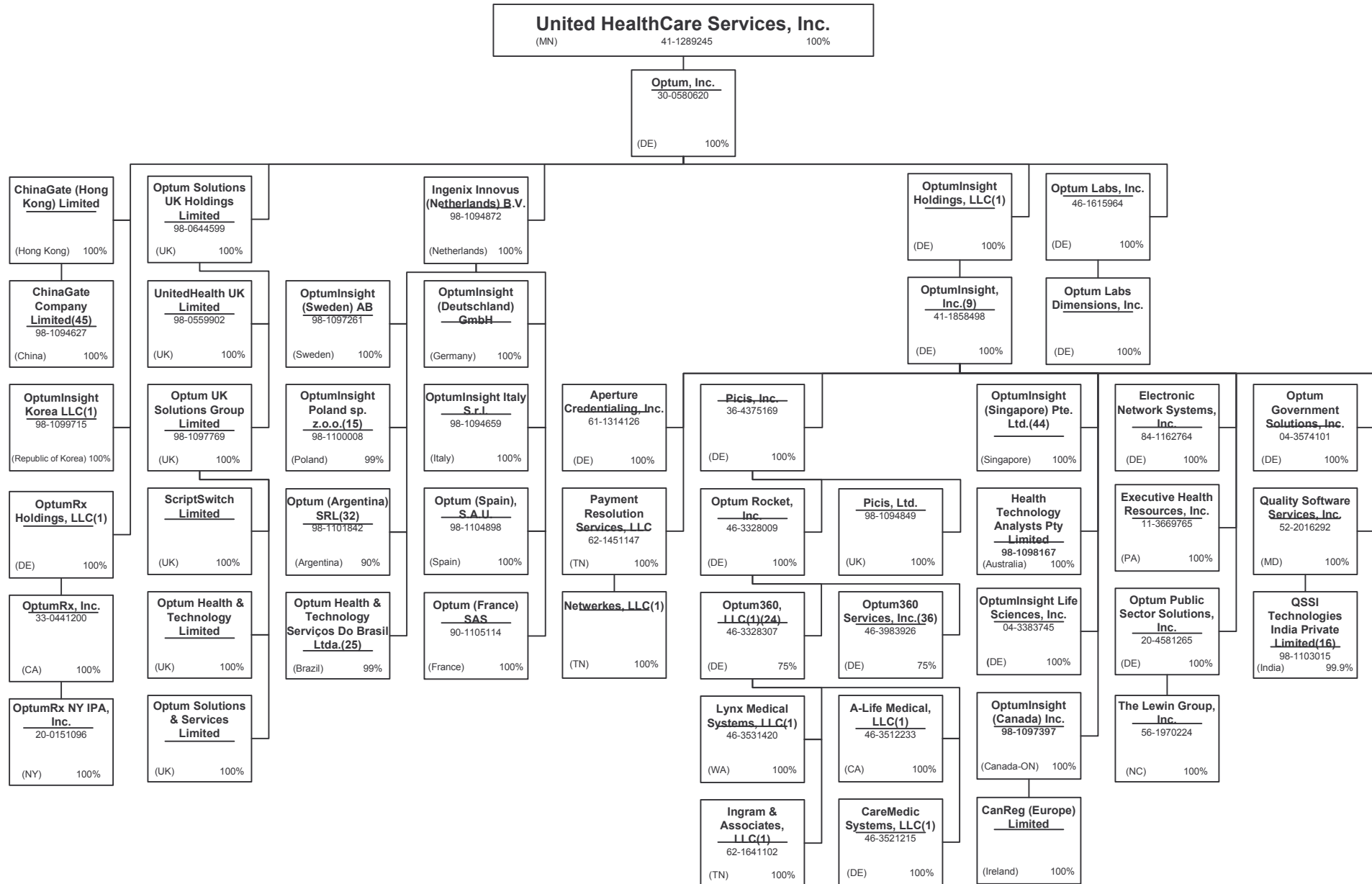
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 - ORGANIZATIONAL CHART



40.5

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



40.6

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Notes

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

- (1) Entity is a Limited Liability Company
- (2) Entity is a Partnership
- (3) Entity is a Non-Profit Corporation
- (4) Control of the Foundation is based on sole membership, not the ownership of voting securities
- (5) Monarch Financial Services, LLC is 85% owned by Monarch Management Services, Inc. and 15% owned by external shareholders.
- (6) UnitedHealth Group Information Services Private Limited is 99.37% owned by UnitedHealth Group International B.V.. The remaining 0.63% is owned by UnitedHealth International, Inc.
- (7) United Healthcare India Private Limited is 99.9935% owned by UnitedHealthcare International II B.V. and 0.0048% owned by UnitedHealth International, Inc.
- (8) General partnership interests are held by United HealthCare Services, Inc. (89.77%) and by UnitedHealthcare, Inc. (10.23%). United HealthCare Services, Inc. also holds 100% of the limited partnership interests. When combining general and limited partner interests, United HealthCare Services, Inc. owns 94.18% and UnitedHealthcare, Inc. owns 5.83%.
- (9) Branch office located in Abut Dhabi, UAE.
- (10) WellMed Medical Management, Inc. is 80% owned by Collaborative Care Holdings, LLC and 20% owned by WMG Healthcare Partners, L.P.
- (11) Physicians Choice Insurance Service, LLC is 70% owned by Monarch Financial Services, LLC and 30% owned by external shareholders.
- (12) Personal Performance Consultants India Private Limited is 99.996% owned by OptumHealth International B.V. and 0.004 % owned by United Behavioral Health.
- (13) INSPIRIS of Texas Physicians Group is a Texas non-profit (taxable) whose sole member is Inspiris Services Company.
- (14) PrimeCare of Citrus Valley, Inc. is 80% owned by PrimeCare Medical Network, Inc. and 20% owned by Citrus Valley Medical Associates, Inc.
- (15) OptumInsight Poland sp. z.o.o. is 99% owned by Ingenix Innovus (Netherlands) B.V. The remaining 1% is owned by OptumInsight, Inc.
- (16) QSSI Technologies India Private Limited is 99.9% owned by Quality Software Services, Inc. and 0.1% owned by an Indian citizen.
- (17) Amico Saúde Ltda. is 99.99% owned by Amil Participações S.A. and the remaining percent is owner by and officer of Amil.

- (18) Esho – Empresa de Serviços Hospitalares S.A is 95.52% owned by Amil Assistência Médica Internacional S.A.; 2.84% owned by Amico Saúde Ltda.; 0.0001% owned by Treasury Shares and 1.62% owned by external shareholders.
- (19) Etho – Empresa de Tecnologia Hospitalar Ltda. 50.01% owned by Amil Assistência Médica Internacional S.A. and 49.99% owned by an external shareholder.
- (20) Waypoint Holdings is 80% owned by UnitedHealth Group Ventures, LLC and 20% owned by external shareholders.
- (21) Excellion Serviços Biomédicos S.A. is 99.98% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.02% owned by external shareholders.
- (22) Branch offices in Iraq and Uganda.
- (23) Cemed Care Empresa de Atendimento Clínico Geral Ltda. Is 96.94% owned by Amil Assistência Médica Internacional S.A., 2.54% owned by Amico Saúde Ltd. and 0.53% owned by ASL Assistência a Saúde Ltda.
- (24) Optum 360, LLC is 75% owned by Optum Rocket, Inc. and 25% owned by an external interest holder.
- (25) Optum Health & Technology Serviços Do Brasil Ltda. is 99% owned by Ingenix Innovus (Netherlands) B.V. and 1 % owned by OptumInsight, Inc.
- (26) Bosque Medical Center S.A. is 65.68% owned by Amil Assistência Médica Internacional S.A.; 27.69% owned by Amico Saúde Ltd. and 6.64% owned by Esho – Empresa de Serviços Hospitalares S.A..
- (27) AHJV, Inc. is 75% owned by NAMM Holdings, Inc. and 25% owned by Humana, Inc.
- (28) Entity is majority-owned by UHG or one of its affiliates. Corporate secretarial services for this entity are the responsibility of the portfolio company.
- (29) Promarket Propaganda e Marketing Ltda. is 99.79% owned by Amil Assistência Médica Internacional S.A. and 0.21% owned by Amico Saúde Ltd.
- (30) Amil Clinical Research Participações Ltda. is 99.95% owned by Amil Lifesciences Participações Ltda. and .05% owned by an officer of Amil.
- (31) Imed Star Serviços Médicos e Odontológicos Ltda. is 50% owned by Amil Assistência Médica Internacional S.A. and 50% owned by Amico Saúde Ltd.
- (32) Optum Argentina is 90% owned by Ingenix Innovus (Netherlands) BV and 10% owned by ScriptSwitch Holdings Limited.
- (33) Hospital Alvorada Taguatinga Ltda. Is 99.99% owned by Amil Assistência Médica Internacional S.A. and the remaining percent is owned by an officer of Amil.
- (34) Amil Lifesciences Participações Ltda. Is 99.998% owned by Amil Assistência Médica Internacional S.A. and the remaining 0.002% is owned by an officer of Amil.

- (35) FrontierMedex Kenya Limited is 99.9% owned by FronttierMEDEX Limited and 0.1% owned by a director of Frontier Medex Kenya Limited.
- (36) Optum360 Services, Inc. is 75% owned by Optum Rocket, Inc. and 25% owned by an external interest holder.
- (37) The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC (15.8303%), Hygeia Corporation (DE) (0.2006%) and UnitedHealth Group Incorporated (83.9691%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P..
- (38) Polar II Fundo de Investimento em Participações is a Brazilian private equity investment fund incorporated in the form of a closed-end condominium.
- (39) UnitedHealthcare International I, B.V. is 75.76% owned by UnitedHealth Group International L.P. and 24.24% owned by UnitedHealth Group International B.V.
- (40) Amil Assistência Médica Internacional S.A. is 90.23% owned by Polar II Fundo de Investimento em Participações and the remaining 9.77% is owned by the former controlling shareholders of Amil Participações S.A.
- (41) HPP A.C.E. is 70% owned by HPP - Hospitais Privados de Portugal, SGPS, S.A. The remaining 30% is owned by (1) HPP Boavista, S.A., (2) HPP Lusiadas, S.A., (3) HPP Algarve, S.A., (4) HPP Saúde - Parcerias Cascais, S.A., and (5) HPP Viseu, S.A.; each owning 6%.
- (42) HPP Viseu, S.A. is 65% owned by HPP - Hospitais Privados de Portugal, SGPS, S.A.. The remaining 35% is jointly owned VISABEIRA Saúde - Serviços de Saúde, S.A., VISABEIRA Participações Financeiras, SGPS, S.A., VISABEIRA Investimentos Financeiros SGPS, S.A. and Ciclorama - Estudos, Projectos e Produções, Lda.
- (43) Frontier Medex Tanzania Limited is 99% owned by FrontierMEDEX Limited. The remaining 1% is owned by an officer of FrontierMEDEX Limited.
- (44) Branch office located in Taiwan
- (45) Liaison office located in Beijing.
- (46) Branch office located in Hong Kong.
- (47) Representative office in Beijing

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.0000	27-2624551				310 Canyon Medical, LLC	CA	NIA	Monarch Management Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	41-1913523				ACN Group IPA of New York, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	27-0015861				ACN Group of California, Inc.	CA	IA	OptumHealth Care Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	38-3849068				AHJV MSO, Inc.	DE	NIA	AHJV, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	38-3849066				AHJV, Inc.	CA	NIA	NAMM Holdings, Inc.	Ownership	.75.000	UnitedHealth Group Incorporated	1
		.0000	46-3512233				A-Life Medical, LLC	CA	NIA	Optum360, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.82406	35-1665915				All Savers Insurance Company	IN	IA	Golden Rule Financial Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
							All Savers Life Insurance Company of California	CA	IA	Golden Rule Financial Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.73130	35-1744596				AmeriChoice Corporation	DE	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	54-1743136				AmeriChoice Health Services, Inc.	DE	NIA	AmeriChoice Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.13178	26-2481299				AmeriChoice of Connecticut, Inc.	CT	IA	AmeriChoice Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.13168	26-2688274				AmeriChoice of Georgia, Inc.	GA	IA	AmeriChoice Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95497	22-3368602				AmeriChoice of New Jersey, Inc.	NJ	IA	AmeriChoice Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	98-1108620				Amico Saúde Ltda.	BRA	NIA	Polar II Fundo de Investimento em Participações	Ownership	.99.998	UnitedHealth Group Incorporated	2
		.0000					Amil Assistência Médica Internacional S.A.	BRA	NIA	Polar II Fundo de Investimento em Participações	Ownership	.90.230	UnitedHealth Group Incorporated	3
		.0000	98-1109085				Amil Clinical Research Participações Ltda.	BRA	NIA		Ownership	.99.950	UnitedHealth Group Incorporated	2
		.0000	98-1138212				AMIL International S.á.r.l.	LUX	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	98-1110579				Amil Lifesciences Participações Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.99.990	UnitedHealth Group Incorporated	2
		.0000	61-1314126				Aperture Credentialing, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	86-0813232				Arizona Physicians IPA, Inc.	AZ	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	90-0369702				ASI Global, LLC	TX	NIA	FrontierMEDEX, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	80-0368187				Aveta Arizona, Inc.	AZ	NIA	NAMM Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	35-2416318				Aveta Colorado, Inc.	DE	NIA	NAMM Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	90-0632302				Aveta Health Solutions Inc.	DE	NIA	NAMM Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	20-4057813				Aveta Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	27-2823524				Aveta Kansas City, Inc.	KS	NIA	NAMM Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	36-4704309				Aveta Tennessee, Inc.	DE	NIA	NAMM Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	84-1544013				bConnected Software, Inc.	DE	NIA	Connexions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	88-0267857				Behavioral Healthcare Options, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	98-1112673				Bosque Medical Center S.A.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.65.680	UnitedHealth Group Incorporated	
		.0000	98-1112673				Bosque Medical Center S.A.	BRA	NIA	Amico Saúde Ltda.	Ownership	.27.690	UnitedHealth Group Incorporated	
		.0000	98-1112673				Bosque Medical Center S.A.	BRA	NIA	Esho - Empresa de Serviços Hospitalares S.A.	Ownership	.6.640	UnitedHealth Group Incorporated	
		.0000					CanReg (Europe) Limited	JRL	NIA	OptumInsight (Canada) Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	20-8375685				Care Improvement Plus Group Management, LLC	MD	NIA	XLHealth Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.12313	20-2412936				Care Improvement Plus of Maryland, Inc.	MD	IA	XLHealth Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
							Care Improvement Plus of Texas Insurance Company	TX	IA	XLHealth Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.12558	45-4976934				Care Improvement Plus Practitioners, LLC	MD	NIA	XLHealth Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	27-3536376				Care Improvement Plus South Central Insurance Company	AR	IA	XLHealth Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.12567	20-3888112				Care Improvement Plus Wisconsin Insurance Company	WI	IA	XLHealth Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.0000	46-3521215				CareMedic Systems, LLC	CA	NIA	Optum360, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	20-5807941				Catalyst360, LLC	DE	NIA	Optum Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	98-1111491				Cemed Care Empresa de Atendimento Clínico Geral Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.96.940	UnitedHealth Group Incorporated	1
		.0000	98-1111491				Cemed Care Empresa de Atendimento Clínico Geral Ltda.	BRA	NIA	Amico Saúde Ltda.	Ownership	.2.540	UnitedHealth Group Incorporated	1
		.0000					ChinaGate (Hong Kong) Limited	HKG	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	98-1094627				ChinaGate Company Limited	CHN	NIA	ChinaGate (Hong Kong) Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.11836	13-4247706				Citrus Health Care, Inc.	FL	IA	PHC Holdings of Florida, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	56-2674371				Coachella Valley Physicians of PrimeCare, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	27-2337616				Collaborative Care Holdings, LLC	DE	NIA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	27-2337487				Collaborative Care Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	27-3470466				Collaborative Care Solutions, LLC	DE	NIA	Collaborative Care Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	11-3647007				Comfort Care Transportation, LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	61-1351358				Commonwealth Administrators, LLC	KY	NIA	UMR, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	20-2581574				Connexions HCI NM, LLC	NM	NIA	Connexions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	20-1825933				Connexions HCI, LLC	FL	NIA	Connexions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	59-3684411				Connexions, Inc.	FL	NIA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	52-1811176				DBP Services of New York IPA, Inc.	NY	NIA	Dental Benefit Providers, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	52-1452809				Dental Benefit Providers of California, Inc.	CA	IA	Dental Benefit Providers, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.52053	36-4008355				Dental Benefit Providers of Illinois, Inc.	IL	IA	Dental Benefit Providers, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	41-2014834				Dental Benefit Providers, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	30-0238641				Distance Learning Network, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	80-0947972				DPCA, Inc.	DE	NIA	UnitedHealth Group Ventures, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000					Duncan Printing Services, LLC	SC	NIA	UnitedHealthcare Insurance Company	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	84-1162764				Electronic Network Systems, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	98-1103713				ELG FZE	ARE	NIA	FrontierMEDEX Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	98-1111172				Esho – Empresa de Serviços Hospitalares S.A.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.95.520	UnitedHealth Group Incorporated	4
		.0000	98-1111172				Esho – Empresa de Serviços Hospitalares S.A.	BRA	NIA	Amico Saúde Ltda.	Ownership	.2.840	UnitedHealth Group Incorporated	4
		.0000					Etho – Empresa de Tecnologia Hospitalar Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.50.010	UnitedHealth Group Incorporated	3
		.0000	86-0964571				Evercare Collaborative Solutions, Inc.	DE	NIA	Ovations, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	30-0226127				Evercare Hospice, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	86-0618309				Evercare of Arizona, Inc.	AZ	IA	Ovations, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	98-1111239				Excellion Serviços Biomédicos S.A.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	.99.980	UnitedHealth Group Incorporated	1
		.0000	11-3669765				Executive Health Resources, Inc.	PA	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000					Exploration for Mine Clearance LLC	JRQ	NIA	FrontierMEDEX Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	98-1113428				Exploration Logistics B.C. Ltd.	CAN	NIA	FrontierMEDEX Canada Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000					Exploration Logistics Group for Medical Services PLC LTD	JRQ	NIA	FrontierMEDEX Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000					Exploration Logistics Nova Scotia Limited	CAN	NIA	FrontierMEDEX Canada Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	88-0223385				Family Health Care Services	NV	NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	88-0257036				Family Home Hospice, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	35-2456267				FMG Holdings, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	86-0908902				FOR HEALTH OF ARIZONA, INC.	AZ	NIA	For Health, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	

41.1

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000	33-0766617				For Health, Inc.	DE	NIA	Inspiris, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					Frontier Medex Tanzania Limited	TZA	NIA	FrontierMEDEX Limited	Ownership	.99.000	UnitedHealth Group Incorporated	2
		.00000	68-0679514				FrontierMEDEX (RMS), Inc.	DE	NIA	FMG Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1103497				FrontierMEDEX Canada Holdings Ltd.	CAN	NIA	UnitedHealthcare International I B.V.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1104429				FrontierMEDEX Canada Limited	CAN	NIA	FrontierMEDEX Canada Holdings Ltd.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	45-5339512				FrontierMEDEX Government Services, LLC	DE	NIA	FMG Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1147103				FrontierMedex Kenya Limited	KEN	NIA	FrontierMEDEX Limited	Ownership	.99.900	UnitedHealth Group Incorporated	2
		.00000					FrontierMEDEX Limited	GBR	NIA	UnitedHealthcare International I B.V.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	33-1219808				FrontierMEDEX US, Inc.	DE	NIA	FMG Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	52-2230470				FrontierMEDEX, Inc.	IN	NIA	FrontierMEDEX US, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	37-0855360				Golden Rule Financial Corporation	DE	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.62286	37-6028756	3057283			Golden Rule Insurance Company	IN	IA	Golden Rule Financial Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-0213198				H&W Indemnity (SPC), Ltd.	CYM	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.43893	13-3584296				Health Net Insurance of New York, Inc.	NY	IA	Oxford Health Plans LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-0153069				Health Net Services (Bermuda) Ltd.	BMU	NIA	Oxford Health Plans LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.96342	88-0201035				Health Plan of Nevada, Inc.	NV	IA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1098167				Health Technology Analysts Pty Limited	AUS	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	95-4763349				HealthAllies, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-8910978				Hospice Inspiris Holdings, Inc.	TN	NIA	Inspiris, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-8911466				Hospice Inspiris of Pennsylvania, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-8911303				Hospice Inspiris of Texas, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1111920				Hospital Alvorada de Taguatinga Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.99.990	UnitedHealth Group Incorporated	2
		.00000	98-1138570				HPP - Hospitais Privados de Portugal, SGPS, S.A.	PRT	NIA	Amil Internacional S.á.r.l. HPP - Hospitais Privados de Portugal,	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1139095				HPP A.C.E.	PRT	NIA	SGPS, S.A.	Ownership	.70.000	UnitedHealth Group Incorporated	
		.00000	98-1139095				HPP A.C.E.	PRT	NIA	HPP Algarve, S.A.	Ownership	.6.000	UnitedHealth Group Incorporated	
		.00000	98-1139095				HPP A.C.E.	PRT	NIA	HPP Boavista, S.A.	Ownership	.6.000	UnitedHealth Group Incorporated	
		.00000	98-1139095				HPP A.C.E.	PRT	NIA	HPP Lusiadas, S.A.	Ownership	.6.000	UnitedHealth Group Incorporated	
		.00000	98-1139095				HPP A.C.E.	PRT	NIA	HPP Saúde - Parcerias Cascais, S.A.	Ownership	.6.000	UnitedHealth Group Incorporated	
		.00000	98-1139095				HPP A.C.E.	PRT	NIA	HPP Viseu, S.A.	Ownership	.6.000	UnitedHealth Group Incorporated	
		.00000	98-1138873				HPP Algarve, S.A.	PRT	NIA	HPP - Hospitais Privados de Portugal, SGPS, S.A.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1138203				HPP Boavista, S.A.	PRT	NIA	HPP - Hospitais Privados de Portugal, SGPS, S.A.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1139089				HPP Lusiadas, S.A.	PRT	NIA	HPP - Hospitais Privados de Portugal, SGPS, S.A.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1137620				HPP Saúde - Parcerias Cascais, S.A.	PRT	NIA	HPP - Hospitais Privados de Portugal, SGPS, S.A.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1139169				HPP Viseu, S.A.	PRT	NIA	HPP - Hospitais Privados de Portugal, SGPS, S.A.	Ownership	.65.000	UnitedHealth Group Incorporated	1
		.00000	26-2912304				Humedica, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	36-4331825				Hygeia Corporation	DE	NIA	UnitedHealth International, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1099968				Hygeia Corporation (Ontario)	CAN	NIA	UnitedHealth Group International L.P.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					Imed Star Serviços Médicos e Odontológicos Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.50.000	UnitedHealth Group Incorporated	
		.00000					Imed Star Serviços Médicos e Odontológicos Ltda.	BRA	NIA	Amico Saúde Ltda.	Ownership	.50.000	UnitedHealth Group Incorporated	
		.00000	86-0477097				Information Network Corporation	AZ	NIA	AmeriChoice Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	36-4733428				Informed Choice HealthCare Alliance, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1094872				Ingenix Innovus (Netherlands) B.V.	NLD	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	62-1641102				Ingram & Associates, LLC	TN	NIA	Optum360, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	13-4138668				INSPIRIS of New York IPA, Inc.	NY	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	13-4138665				INSPIRIS of New York Management, Inc.	NY	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-5355196				Inspiris of Tennessee, Inc.	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-2885572				INSPIRIS of Texas Physician Group	TX	NIA	Inspiris Services Company	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-0683057				Inspiris Services Company	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0766366				Inspiris, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1097022				International Psychological Services Pty Limited	AUS	NIA	PPC Worldwide Pty Ltd	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	32-0409538				Lifeprint Accountable Care Organization, LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	45-3143218				Lifeprint East, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-2309024				LifePrint Health, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	39-1974851				Logistics Health, Inc.	WI	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-3531420				Lynx Medical Systems, LLC	WA	NIA	Optum360, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					M.K. Diagnóstico por Imagem Ltda.	BRA	NIA	Esho - Empresa de Serviços Hospitalares S.A.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2129787				MAMSI Insurance Resources, LLC	MD	NIA	OneNet PPO, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	60321	52-1803283				MAMSI Life and Health Insurance Company	MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	14-1782475				Managed Physical Network, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	42-1741594				MD Ops, Inc.	CA	NIA	North American Medical Management California, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	96310	52-1169135				MD-Individual Practice Association, Inc.	MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	52-2178531				MEDEX Insurance Services, Inc.	MD	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	12756	20-3391186				Medica Health Plans of Florida, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	12155	01-0788576				Medica HealthCare Plans, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000					Medical Preparatory School of Allied Health, LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	26-4808018				Medical Transportation Services, LLC	FL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	32-0037402				MHC Real Estate Holdings, LLC	CA	NIA	Monarch Management Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	79480	35-1279304				Midwest Security Life Insurance Company	WI	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-2881462				Minnesota Waypoint Physical Therapy, Inc.	DE	NIA	Pointway, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-3949765				MN Waypoint Sports Physical Therapy, Inc.	DE	NIA	Pointway, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-1799346				Monarch Financial Services, LLC	CA	NIA	Monarch Management Services, Inc.	Ownership	85.000	UnitedHealth Group Incorporated	3
		00000	45-3142852				Monarch Management Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-3236839				NAMM Holdings, Inc.	DE	NIA	Aveta Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	32-0284920				NAMM West, Inc.	AZ	NIA	North American Medical Management California, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95251	76-0196559				National Pacific Dental, Inc.	TX	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95123	65-0996107				Neighborhood Health Partnership, Inc.	FL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	20-4755277				Netwerkes, LLC	TN	NIA	Payment Resolution Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	46-3584152				Nevada Medical Services LLC	NV	NIA	Collaborative Care Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95758	88-0228572				Nevada Pacific Dental	NV	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-3984647				North American Medical Management - Illinois, Inc.	IL	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	33-0673955				North American Medical Management California, Inc.	TN	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	88-0245121				Northern Nevada Health Network, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
.0707	UnitedHealth Group Incorporated	.00000	52-2129786				OneNet PPO, LLC	.MD	NIA	UnitedHealthcare Insurance Company	Ownership	.100.000	UnitedHealth Group Incorporated	
		.96940	52-1518174				Optimum Choice, Inc.	.MD	IA	UnitedHealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1101842				Optum (Argentina) SRL	.ARG	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	.90.000	UnitedHealth Group Incorporated	
		.00000	98-1101842				Optum (Argentina) SRL	.ARG	NIA	Optum UK Solutions Group Limited	Ownership	.10.000	UnitedHealth Group Incorporated	
		.00000	90-1105114				Optum (France) SAS	.FRA	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1104898				Optum (Spain), S.A.U.	.ESP	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	47-0858534	3202702			Optum Bank, Inc.	.UT	NIA	OptumHealth Financial Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	45-3142512				Optum Clinical Services, Inc.	.DE	NIA	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	04-3574101				Optum Government Solutions, Inc.	.DE	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					Optum Health & Technology Limited	.GBR	NIA	Optum UK Solutions Group Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					Optum Health & Technology Serviços Do Brasil Ltda.	.BRA	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	.99.000	UnitedHealth Group Incorporated	
		.00000					Optum Health & Technology Serviços Do Brasil Ltda.	.BRA	NIA	OptumInsight, Inc.	Ownership	.1.000	UnitedHealth Group Incorporated	
		.00000					Optum Labs Dimensions, Inc.	.DE	NIA	Optum Labs, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	46-1615964				Optum Labs, Inc.	.DE	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					Optum Nevada Accountable Care Organization LLC	.DE	NIA	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	90-1001805				Optum Public Sector Solutions, Inc.	.DE	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-4581265				Optum Rocket, Inc.	.DE	NIA	Picis, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	46-3328009				Optum Services, Inc.	.DE	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	45-4683454				Optum Solutions & Services Limited	.GBR	NIA	Optum UK Solutions Group Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1147355				Optum Solutions UK Holdings Limited	.GBR	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-0644599				Optum UK Solutions Group Limited	.GBR	NIA	Optum Solutions UK Holdings Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1097769				Optum, Inc.	.DE	NIA	UnitedHealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	30-0580620	3119994			Optum360 Services, Inc.	.DE	NIA	Optum Rocket, Inc.	Ownership	.75.000	UnitedHealth Group Incorporated	3
		.00000	46-3983926				Optum360, LLC	.DE	NIA	Optum Rocket, Inc.	Ownership	.75.000	UnitedHealth Group Incorporated	3
		.00000	46-3328307				OptumHealth Care Solutions, Inc.	.MN	NIA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	41-1591944				OptumHealth Financial Services, Inc.	.DE	NIA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	47-0858530				OptumHealth Holdings, LLC	.DE	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1106868				OptumHealth International B.V.	.NLD	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1097397				OptumInsight (Canada) Inc.	.CAN	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1095252				OptumInsight (Deutschland) GmbH	.DEU	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1095260				OptumInsight (Singapore) Pte. Ltd.	.SGP	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1097261				OptumInsight (Sweden) AB	.SWE	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					OptumInsight Holdings, LLC	.DE	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1094659				OptumInsight Italy S.r.l.	.ITA	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1099715				OptumInsight Korea LLC	.KOR	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	04-3383745				OptumInsight Life Sciences, Inc.	.DE	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1100008				OptumInsight Poland sp. z o.o.	.POL	NIA	Ingenix Innovus (Netherlands) B.V.	Ownership	.99.000	UnitedHealth Group Incorporated	
		.00000	98-1100008				OptumInsight Poland sp. z o.o.	.POL	NIA	OptumInsight, Inc.	Ownership	.1.000	UnitedHealth Group Incorporated	
		.00000	41-1858498				OptumInsight, Inc.	.DE	NIA	OptumInsight Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					OptumRx Holdings, LLC	.DE	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-0151096				OptumRx NY IPA, Inc.	.NY	NIA	OptumRx, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	33-0441200				OptumRx, Inc.	.CA	NIA	OptumRx Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	41-1921007				Ovations, Inc.	.DE	NIA	UnitedHealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	06-1587795				Oxford Benefit Management, Inc.	.CT	NIA	Oxford Health Plans LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.78026	22-2797560				Oxford Health Insurance, Inc.	.NY	IA	Oxford Health Plans (NY), Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.96798	06-1181201				Oxford Health Plans (CT), Inc.	.CT	IA	Oxford Health Plans LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95506	22-2745725				Oxford Health Plans (NJ), Inc.	.NJ	IA	Oxford Health Plans LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95479	06-1181200				Oxford Health Plans (NY), Inc.	.NY	IA	Oxford Health Plans LLC	Ownership	.100.000	UnitedHealth Group Incorporated	

41.4

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000	52-2443751				Oxford Health Plans LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.70785	35-1137395				PacificCare Life and Health Insurance Company	IN	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.84506	95-2829463				PacificCare Life Assurance Company	CO	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95617	94-3267522				PacificCare of Arizona, Inc.	AZ	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95434	84-1011378				PacificCare of Colorado, Inc.	CO	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95685	86-0875231				PacificCare of Nevada, Inc.	NV	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	62-1451147				Payment Resolution Services, LLC	TN	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	75-3265056				PCCGV, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1095799				Personal Performance Consultants India Private Limited	JND	NIA	OptumHealth International B.V.	Ownership	.99.996	UnitedHealth Group Incorporated	
		.00000	98-1095799				Personal Performance Consultants India Private Limited	JND	NIA	United Behavioral Health	Ownership	.0.004	UnitedHealth Group Incorporated	
		.00000	98-1095879				Personal Performance Consultants UK Limited	GBR	NIA	PPC International, L.L.C.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	80-0506053				PHC Holdings of Florida, Inc.	TX	NIA	PHC Subsidiary Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	35-2288416				PHC Subsidiary Holdings, LLC	TX	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	80-0654665				Physician Care Partners, Inc.	IL	NIA	Illinois, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.00000	27-1799491				Physicians Choice Insurance Service, LLC	CA	NIA	Monarch Financial Services, LLC	Ownership	.70.000	UnitedHealth Group Incorporated	3
		.11494	04-3677255				Physicians Health Choice of Texas, LLC	TX	IA	PHC Subsidiary Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	52-1162824				Physicians Health Plan of Maryland, Inc.	MD	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	36-4375169	3886791			Picis, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1094849				Picis, Ltd.	GBR	NIA	Picis, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					Plus One Health Management Inc. Puerto Rico	PR	NIA	Plus One Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	13-3613705				Plus One Holdings, Inc.	DE	NIA	OptumHealth Care Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1083164				Polar II Fundo de Investimento em Participações	BRA	NIA	UnitedHealthcare International IV S.á r l	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1098190				Positive People Company	CHN	NIA	PPC International, L.L.C.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-2149493				PPC International II, LLC	MO	NIA	United Behavioral Health	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	43-1747235				PPC International, L.L.C.	MO	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1097921				PPC Worldwide Canada EAP Services Ltd.	CAN	NIA	PPC International, L.L.C.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					PPC Worldwide Pte. Ltd.	SGP	NIA	International Psychological Services Pty Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					PPC Worldwide Pty Ltd	AUS	NIA	Personal Performance Consultants UK Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	75-2741619				ppoONE, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	65-0683927				Preferred Care Partners Holding, Corp.	FL	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	26-1845018				Preferred Care Partners Medical Group, Inc.	FL	NIA	Preferred Care Partners Holding, Corp.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	26-1845018				Preferred Care Partners Medical Group, Inc.	FL	NIA	Preferred Care Partners Holding, Corp.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.11176	65-0885893				Preferred Care Partners, Inc.	FL	IA	Preferred Care Partners Holding, Corp.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	75-3265059				Premier Choice ACO, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	88-0253112				Prime Health, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	33-0607478				PrimeCare Medical Network, Inc.	CA	IA	NAMI Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	87-0757397				PrimeCare of Citrus Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.80.000	UnitedHealth Group Incorporated	1
		.00000	33-0674407				PrimeCare of Corona, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	33-0674401				PrimeCare of Hemet Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	33-0674408				PrimeCare of Inland Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	

41.5

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.0000	33-0674402				PrimeCare of Moreno Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	33-0674400				PrimeCare of Redlands, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	33-0674404				PrimeCare of Riverside, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	14-1915328				PrimeCare of San Bernardino, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	33-0698439				PrimeCare of Sun City, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	33-0674409				PrimeCare of Temecula, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	98-1112304				Promarket Propaganda e Marketing Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.99.790	UnitedHealth Group Incorporated	
		.0000	98-1112304				Promarket Propaganda e Marketing Ltda.	BRA	NIA	Amico Saúde Ltda.	Ownership	.0.210	UnitedHealth Group Incorporated	
		.0000	98-1103015				QSSI Technologies India Private Limited	IND	NIA	Quality Software Services, Inc.	Ownership	.99.900	UnitedHealth Group Incorporated	3
		.0000	52-2016292				Quality Software Services, Inc.	IND	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	26-3168754				R&H Family Fitness Unlimited LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000					ScriptSwitch Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	20-4763091				Senior Care Partners, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.71420	94-0734860				Sierra Health and Life Insurance Company, Inc.	NV	IA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	88-0200415				Sierra Health Services, Inc.	NV	NIA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	88-0254322				Sierra Health-Care Options, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	88-0385705				Sierra Home Medical Products, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	88-0264562				Sierra Nevada Administrators, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	88-0201420				Southwest Medical Associates, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	38-2609888				Southwest Michigan Health Network Inc.	MI	NIA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	41-1921983				Specialty Benefits, LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	71-0886811				Spectera of New York, IPA, Inc.	NY	NIA	Spectera, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	52-1260282				Spectera, Inc.	MD	NIA	Specialty Benefits, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	56-1970224				The Lewin Group, Inc.	NC	NIA	Optum Public Sector Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	25-1825549				Three Rivers Holdings, Inc.	DE	NIA	AmeriChoice Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	52-1431155				Travel Express Incorporated	MD	NIA	FrontierMEDEX, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	94-3077084				U.S. Behavioral Health Plan, California	CA	IA	United Behavioral Health	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	41-1913059				UHC International Services, Inc.	DE	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	95-2931460				UHC of California	CA	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000					UHG Brasil Participações S.A.	BRA	NIA	Polar II Fundo de Investimento em Participações	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	98-1102343				UHG Global Services, Inc.	PHL	NIA	UnitedHealth Group International B.V.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	41-1921008				UHC Holdings, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	14-1892398				Ultima Rx, LLC	FL	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	39-1995276				UMR, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.91529	52-1996029				Unimerica Insurance Company	WI	IA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000					Unimerica Life Insurance Company of New York	NY	IA	UnitedHealthcare Insurance Company	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	25-1877716				Unison Administrative Services, LLC	PA	NIA	Three Rivers Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	20-5917714				Unison Health Plan of Delaware, Inc.	DE	IA	Three Rivers Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000					Unison Health Plan of the Capital Area, Inc.	DC	IA	Three Rivers Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.13032	26-0651931				United Behavioral Health	CA	NIA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	94-2649097				United Behavioral Health of New York, I.P.A., Inc.	NY	NIA	United Behavioral Health	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	41-1868911				United Health Foundation	MN	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	41-1941615				United Health Foundation	MN	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	41-1289245	3410132			United HealthCare Services, Inc.	MN	UIP	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000	30-0318238				United Resource Networks IPA of New York, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	01-0538317				UnitedHealth Advisors, LLC	ME	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1097761				UnitedHealth Group Global Healthcare Services Limited	JRL	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1097776			New York Stock Exchange	UnitedHealth Group Global Services, Inc.	PHL	NIA	UnitedHealth Group International B.V.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	41-1321939		0000731766		UnitedHealth Group Incorporated	MN	UIP			.0.000		
		.00000	98-1093259				UnitedHealth Group Information Services Private Limited	JND	NIA	UnitedHealth Group International B.V.	Ownership	.99.370	UnitedHealth Group Incorporated	
		.00000	98-1093259				UnitedHealth Group Information Services Private Limited	JND	NIA	UnitedHealth International, Inc.	Ownership	.0.630	UnitedHealth Group Incorporated	
		.00000	98-1101083				UnitedHealth Group International B.V.	NLD	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1079826				UnitedHealth Group International GP	CYM	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	UnitedHealth Group Incorporated	Ownership	.83.969	UnitedHealth Group Incorporated	5
		.00000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	FMG Holdings, LLC	Ownership	.15.830	UnitedHealth Group Incorporated	5
		.00000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	Hygeia Corporation	Ownership	.0.206	UnitedHealth Group Incorporated	5
		.00000	46-3311984				UnitedHealth Group Ventures, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	41-1917398				UnitedHealth International, Inc.	DE	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	26-2574977				UnitedHealth Military & Veterans Services, LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-0559902				UnitedHealth UK Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95174	33-0115163				UnitedHealthcare Benefits of Texas, Inc.	TX	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	46-4348775				UnitedHealthcare Community Plan of California, Inc.	CA	NIA	AmeriChoice Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.12323	56-2451429				UnitedHealthcare Community Plan of Ohio, Inc.	OH	IA	Three Rivers Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.11141	91-2008361				UnitedHealthcare Community Plan of Texas, L.L.C.	TX	IA	Ovations, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95467	38-3204052				UnitedHealthcare Community Plan, Inc.	MI	IA	AmeriChoice Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1099116				UnitedHealthcare India Private Limited	JND	NIA	UnitedHealthcare International II B.V.	Ownership	.99.994	UnitedHealth Group Incorporated	
		.00000	98-1099116				UnitedHealthcare India Private Limited	JND	NIA	UnitedHealth International, Inc.	Ownership	.0.007	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.79413	36-2739571				UnitedHealthcare Insurance Company	CT	IA	UHIC Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.60318	36-3800349				UnitedHealthcare Insurance Company of Illinois	IL	IA	UnitedHealthcare Insurance Company	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.60093	11-3283886				UnitedHealthcare Insurance Company of New York	NY	IA	UnitedHealthcare Insurance Company	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.12231	20-1902768				UnitedHealthcare Insurance Company of the River Valley	IL	IA	UnitedHealthcare Services Company of the River Valley, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	41-1988797				UnitedHealthcare International Asia, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1100512				UnitedHealthcare International I B.V.	NLD	NIA	UnitedHealth Group International L.P.	Ownership	.75.760	UnitedHealth Group Incorporated	
		.00000	98-1100512				UnitedHealthcare International I B.V.	NLD	NIA	UnitedHealth Group International B.V.	Ownership	.24.240	UnitedHealth Group Incorporated	
		.00000	98-1079595				UnitedHealthcare International I S.á.r.l.	LUX	NIA	UnitedHealth Group International L.P.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1100980				UnitedHealthcare International II B.V.	NLD	NIA	UnitedHealth Group International B.V.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					UnitedHealthcare International II S.á.r.l.	LUX	NIA	UnitedHealthcare International I S.á.r.l.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1079459				UnitedHealthcare International III	LUX	NIA	UnitedHealthcare International II S.á.r.l.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1077436				UnitedHealthcare International IV S.á.r.l.	LUX	NIA	UnitedHealthcare International II S.á.r.l.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.97179	86-0207231				UnitedHealthcare Life Insurance Company	WI	IA	Golden Rule Financial Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	

41.7

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	Federal ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
.0707	UnitedHealth Group Incorporated	.95784	63-0899562				UnitedHealthcare of Alabama, Inc.	AL	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.96016	86-0507074				UnitedHealthcare of Arizona, Inc.	AZ	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95446	63-1036819				UnitedHealthcare of Arkansas, Inc.	AR	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95090	84-1004639				UnitedHealthcare of Colorado, Inc.	CO	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95264	59-1293865				UnitedHealthcare of Florida, Inc.	FL	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95850	58-1653544				UnitedHealthcare of Georgia, Inc.	GA	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95776	36-3280214				UnitedHealthcare of Illinois, Inc.	IL	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.96644	62-1240316				UnitedHealthcare of Kentucky, Ltd.	KY	IA	UnitedHealthCare Services, Inc.	Ownership	.94.180	UnitedHealth Group Incorporated	6
.0707	UnitedHealth Group Incorporated	.96644	62-1240316				UnitedHealthcare of Kentucky, Ltd.	KY	IA	UnitedHealthcare, Inc.	Ownership	.5.820	UnitedHealth Group Incorporated	6
.0707	UnitedHealth Group Incorporated	.95833	72-1074008				UnitedHealthcare of Louisiana, Inc.	LA	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95716	63-1036817				UnitedHealthcare of Mississippi, Inc.	MS	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95149	05-0413469				UnitedHealthcare of New England, Inc.	RI	IA	UnitedHealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.13214	26-2697886				UnitedHealthcare of New Mexico, Inc.	NM	IA	UnitedHealthcare Insurance Company	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95085	06-1172891				UnitedHealthcare of New York, Inc.	NY	IA	AmeriChoice Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95103	56-1461010				UnitedHealthcare of North Carolina, Inc.	NC	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95186	31-1142815				UnitedHealthcare of Ohio, Inc.	OH	IA	UnitedHealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.96903	33-0115166				UnitedHealthcare of Oklahoma, Inc.	OK	IA	UnitedHealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95893	93-0938819				UnitedHealthcare of Oregon, Inc.	OR	IA	UnitedHealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95220	25-1756858				UnitedHealthcare of Pennsylvania, Inc.	PA	IA	Three Rivers Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95765	95-3939697				UnitedHealthcare of Texas, Inc.	TX	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95025	52-1130183				UnitedHealthcare of the Mid-Atlantic, Inc.	MD	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95591	47-0676824				UnitedHealthcare of the Midlands, Inc.	NE	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.96385	43-1361841				UnitedHealthcare of the Midwest, Inc.	MO	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95501	41-1488563				UnitedHealthcare of Utah, Inc.	UT	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.48038	91-1312551				UnitedHealthcare of Washington, Inc.	WA	IA	UnitedHealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95710	39-1555888				UnitedHealthcare of Wisconsin, Inc.	WI	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95378	36-3379945				UnitedHealthcare Plan of the River Valley, Inc.	IL	RE	UnitedHealthcare Services Company of the River Valley, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	47-0854646				UnitedHealthcare Service LLC	DE	NIA	UnitedHealthcare Insurance Company	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	36-3355110				UnitedHealthcare Services Company of the River Valley, Inc.	DE	UDP	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	01-0518346				UnitedHealthcare Specialty Benefits, LLC	ME	NIA	Specialty Benefits, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	41-1922511				UnitedHealthcare, Inc.	DE	UIP	UnitedHealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	37-0920164				UnitedHealthOne Agency, Inc.	IN	NIA	Golden Rule Financial Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	87-0757396				Valley Physicians Network, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	74-2797745				WellMed Medical Management of Florida, Inc.	FL	NIA	WellMed Medical Management, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	74-2786364				WellMed Medical Management, Inc.	TX	NIA	Collaborative Care Holdings, LLC	Ownership	.80.000	UnitedHealth Group Incorporated	1
		.00000	36-3437660				Wellness, Inc.	IL	NIA	OptumHealth Care Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	52-2102846				XLHealth Corporation	MD	NIA	UnitedHealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1107695				XLHealth Corporation India Private Limited	JND	NIA	XLHealth Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	11-3764012				Your Health Options Insurance Services, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	27-0172594				Your Partner in Health Services, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	

Asterisk	Explanation
01	The remaining percentage is owned by a non-affiliated company or companies.

418

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

02	The remaining percentage is owned by an officer or director of the company.
03	The remaining percentage is owned by an external shareholder.
04	The remaining 2.84% owned by Amico Saúde Ltda.; 0.0001 owned by Treasury Shares and 1.62% owned by external shareholders.
05	The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC (15.8303%), Hygeia Corporation (DE) (0.2006%) and UnitedHealth Group Incorporated (83.9691%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P.
06	The general partnership interest of 89.77% is held by United HealthCare Services, Inc. (UHS) and 10.23% is held by UnitedHealthcare, Inc. (UHC). UHS also holds 100% of the limited partnership interests. When combining general and limited partner interests, UHS owns 94.18% and UHC owns 5.83%.

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	Federal ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
	41-1913523	ACN Group IPA of New York, Inc.	0	0	0	0	4,020,921	0		0	4,020,921	0
	27-0015861	ACN Group of California, Inc.	(1,000,000)	0	0	0	4,383,217	0		0	3,383,217	0
82406	35-1665915	All Savers Insurance Company	0	4,000,000	0	0	(10,813,260)	0		0	(6,813,260)	0
73130	35-1744596	All Savers Life Insurance Company of California	(1,000,000)	0	0	0	(33,117)	0		0	(1,033,117)	0
	54-1743136	AmeriChoice Corporation	0	(19,000,000)	0	0	0	0		0	(19,000,000)	0
13178	26-2481299	AmeriChoice of Connecticut, Inc.	0	0	0	0	(50,506)	0		0	(50,506)	0
95497	22-3368602	AmeriChoice of New Jersey, Inc.	0	10,000,000	0	0	(181,551,743)	0		0	(171,551,743)	0
	88-0267857	Behavioral Healthcare Options, Inc.	0	0	0	0	13,744,276	0		0	13,744,276	0
12313	20-2412936	Care Improvement Plus of Maryland, Inc.	0	0	0	0	(440,427)	0		0	(440,427)	0
12558	45-4976934	Care Improvement Plus of Texas Insurance Company	0	0	0	0	(157,470,494)	0		0	(157,470,494)	0
12567	20-3888112	Care Improvement Plus South Central Insurance Company	(40,000,000)	0	0	0	(368,455,508)	0		0	(408,455,508)	0
14041	27-5038136	Care Improvement Plus Wisconsin Insurance Company	0	4,000,000	0	0	(3,409,568)	0		0	590,432	0
11836	13-4247706	Citrus Health Care, Inc.	0	0	0	0	(770,542)	0		0	(770,542)	0
	52-1452809	Dental Benefit Providers of California, Inc.	(8,500,000)	0	0	0	(5,965,599)	0		0	(14,465,599)	0
52053	36-4008355	Dental Benefit Providers of Illinois, Inc.	0	0	0	0	(416,487)	0		0	(416,487)	0
	41-2014834	Dental Benefit Providers, Inc.	0	0	0	0	204,589,242	0		0	204,589,242	0
	84-1162764	Electronic Network Systems, Inc.	0	0	0	0	647,557	0		0	647,557	0
	88-0223385	Family Health Care Services	0	0	0	0	26,412,010	0		0	26,412,010	0
	88-0257036	Family Home Hospice, Inc.	0	0	0	0	2,329,328	0		0	2,329,328	0
	86-0908902	FOR HEALTH OF ARIZONA, INC.	0	0	0	0	1,157,416	0		0	1,157,416	0
	37-0855360	Golden Rule Financial Corporation	0	(4,000,000)	0	0	3,176,105	0		0	(823,895)	0
62286	37-6028756	Golden Rule Insurance Company	(125,000,000)	0	0	0	(149,089,159)	0		0	(274,089,159)	0
43893	13-3584296	Health Net Insurance of New York, Inc.	(37,500,000)	0	0	0	0	0		0	(37,500,000)	0
95305	06-1174953	Health Net of New York, Inc.	(20,500,000)	0	0	0	0	0		0	(20,500,000)	0
96342	88-0201035	Health Plan of Nevada, Inc.	(75,000,000)	0	0	0	(643,553,770)	85,935		0	(718,467,835)	(327,813)
	13-4138668	INSPIRIS of New York IPA, Inc.	0	0	0	0	184,356,553	0		0	184,356,553	0
	26-0683057	Inspiris Services Company	0	0	0	0	1,312,000	0		0	1,312,000	0
	27-2309024	Lifepoint Health, Inc.	0	0	0	0	823,987,413	0		0	823,987,413	0
60321	52-1803283	MAMSI Life and Health Insurance Company	0	0	0	0	(7,823,068)	(654,659)		0	(8,477,727)	(427,608)
96310	52-1169135	MD-Individual Practice Association, Inc.	(15,000,000)	0	0	0	(44,214,276)	219,008		0	(58,995,268)	(1,043,054)
12756	20-3391186	Medica Health Plans of Florida, Inc.	0	5,000,000	0	0	(325,382)	0		0	4,674,618	0
12155	01-0788576	Medica HealthCare Plans, Inc.	0	20,000,000	0	0	(31,883,330)	0		0	(11,883,330)	0
79480	35-1279304	Midwest Security Life Insurance Company	(15,000,000)	0	0	0	227,479	0		0	(14,772,521)	0
	45-3142852	Monarch Management Services, Inc.	0	0	0	0	108,563,466	0		0	108,563,466	0
95251	76-0196559	National Pacific Dental, Inc.	(2,400,000)	0	0	0	(3,053,106)	0		0	(5,453,106)	0
95123	65-0996107	Neighborhood Health Partnership, Inc.	(38,000,000)	0	0	0	(50,215,856)	0		0	(88,215,856)	0
95758	88-0228572	Nevada Pacific Dental	0	0	0	0	(197,779)	0		0	(197,779)	0
96940	52-1518174	Optimum Choice, Inc.	(10,000,000)	0	0	0	(30,007,158)	(45,617)		0	(40,052,775)	(336,917)

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	Federal ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
	47-0858534	Optum Bank, Inc.	.0	.0	.0	.0	372,431	.0		.0	372,431	.0
	41-1591944	OptumHealth Care Solutions, Inc.	.0	.0	.0	.0	80,548,169	.0		.0	80,548,169	.0
	41-1858498	OptumInsight, Inc.	.0	.0	.0	.0	147,429,814	.0		.0	147,429,814	.0
	33-0441200	OptumRx, Inc.	.0	.0	.0	.0	653,303,064	.0		.0	653,303,064	.0
78026	22-2797560	Oxford Health Insurance, Inc.	.0	.0	.0	.0	(321,019,842)	231,473,448		.0	(89,546,394)	(438,959,658)
96798	06-1181201	Oxford Health Plans (CT), Inc.	.0	.0	.0	.0	(66,243,888)	892,365		.0	(65,351,523)	(571,557)
95506	22-2745725	Oxford Health Plans (NJ), Inc.	(20,000,000)	.0	.0	.0	(105,323,247)	3,365,314		.0	(121,957,933)	(12,796,975)
95479	06-1181200	Oxford Health Plans (NY), Inc.	.0	.0	.0	.0	(175,386,919)	.0		.0	(175,386,919)	.0
70785	35-1137395	PacifiCare Life and Health Insurance Company	.0	.0	.0	.0	(7,965,497)	1,170		.0	(7,964,327)	(11,869)
84506	95-2829463	PacifiCare Life Assurance Company	.0	.0	.0	.0	148,707	.0		.0	148,707	.0
95617	94-3267522	PacifiCare of Arizona, Inc.	(30,000,000)	.0	.0	.0	(375,029,611)	987,403		.0	(404,042,208)	.0
95434	84-1011378	PacifiCare of Colorado, Inc.	.0	.0	.0	.0	(109,097,464)	850,637		.0	(108,246,827)	.0
95685	86-0875231	PacifiCare of Nevada, Inc.	.0	14,000,000	.0	.0	(3,896,534)	723,408		.0	10,826,874	(1,824,218)
11494	04-3677255	Physicians Health Choice of Texas, LLC	.0	.0	.0	.0	(31,496,113)	1,368,177		.0	(30,127,936)	(434,492)
	65-0683927	Preferred Care Partners Holding, Corp.	.0	(27,000,000)	.0	.0	.0	.0		.0	(27,000,000)	.0
	26-1845018	Preferred Care Partners Medical Group, Inc.	.0	.0	.0	.0	15,067,675	.0		.0	15,067,675	.0
11176	65-0885893	Preferred Care Partners, Inc.	.0	27,000,000	.0	.0	(62,406,865)	.0		(5,109,072)	(40,515,937)	.0
	33-0607478	PrimeCare Medical Network, Inc.	.0	.0	.0	.0	215,851,418	.0		.0	215,851,418	.0
71420	94-0734860	Sierra Health and Life Insurance Company, Inc.	(6,000,000)	.0	.0	.0	(17,844,725)	6,875		.0	(23,837,850)	68,905
	88-0385705	Sierra Home Medical Products, Inc.	.0	.0	.0	.0	29,308,284	.0		.0	29,308,284	.0
	88-0201420	Southwest Medical Associates, Inc.	.0	.0	.0	.0	512,628,734	.0		.0	512,628,734	.0
	52-1260282	Spectera, Inc.	.0	.0	.0	.0	19,949,318	.0		.0	19,949,318	.0
	94-3077084	U.S. Behavioral Health Plan, California	.0	.0	.0	.0	44,529,792	.0		.0	44,529,792	.0
	95-2931460	UHC of California	(225,000,000)	.0	.0	.0	(951,342,254)	.0		.0	(1,176,342,254)	.0
91529	52-1996029	Unimerica Insurance Company	(15,000,000)	.0	.0	.0	(45,282,455)	(9,869,168)		.0	(70,151,623)	46,511,448
11596	01-0637149	Unimerica Life Insurance Company of New York	.0	.0	.0	.0	(1,458,188)	.0		.0	(1,458,188)	.0
	20-5917714	Unison Health Plan of Delaware, Inc.	.0	.0	.0	.0	(50,115,864)	(196,854)		.0	(50,312,718)	(748,454)
13032	26-0651931	Unison Health Plan of the Capital Area, Inc.	(25,000,000)	.0	.0	.0	(18,427,269)	834,519		.0	(42,592,750)	(12,835)
	94-2649097	United Behavioral Health	.0	.0	.0	.0	653,157,466	.0		.0	653,157,466	.0
	41-1289245	United HealthCare Services, Inc.	2,856,600,000	(84,000,000)	.0	.0	6,388,925,089	.0		5,109,072	9,166,634,161	.0
	41-1321939	UnitedHealth Group Incorporated	301,200,000	.0	.0	.0	2,164,624,872	.0		.0	2,465,824,872	.0
95174	33-0115163	UnitedHealthcare Benefits of Texas, Inc.	(75,000,000)	.0	.0	.0	(241,101,943)	1,829,267		.0	(314,272,676)	(3,580,029)
12323	56-2451429	UnitedHealthcare Community Plan of Ohio, Inc.	.0	.0	.0	.0	(90,238,506)	4,432,158		.0	(85,806,348)	(147,529)
11141	91-2008361	UnitedHealthcare Community Plan of Texas, L.L.C.	.0	.0	.0	.0	(188,872,758)	18,906		.0	(188,853,852)	(8,227,533)
95467	38-3204052	UnitedHealthcare Community Plan, Inc.	.0	9,000,000	.0	.0	(77,672,010)	537,566		.0	(68,134,444)	(610,919)
79413	36-2739571	UnitedHealthcare Insurance Company	(2,034,100,000)	.0	.0	.0	(5,343,963,577)	(373,209,441)		.0	(7,751,273,018)	807,712,419

42.1

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	Federal ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
60318	36-3800349	UnitedHealthcare Insurance Company of Illinois	(30,000,000)	0	0	0	(74,037,026)	739,928		0	(103,297,098)	(2,882,266)
60093	11-3283886	UnitedHealthcare Insurance Company of New York	(65,000,000)	0	0	0	(251,115,590)	130,992,709		0	(185,122,881)	(306,709,594)
12231	20-1902768	UnitedHealthcare Insurance Company of the River Valley	0	0	0	0	(40,999,892)	390,565		0	(40,609,327)	(91,583)
97179	86-0207231	UnitedHealthcare Life insurance Company	(2,200,000)	0	0	0	10,216,238	(1,170)		0	8,015,068	11,869
95784	63-0899562	UnitedHealthcare of Alabama, Inc.	(35,500,000)	0	0	0	(55,878,183)	406,270		0	(90,971,913)	0
96016	86-0507074	UnitedHealthcare of Arizona, Inc.	0	0	0	0	(41,208,216)	339,258		0	(40,868,958)	0
95446	63-1036819	UnitedHealthcare of Arkansas, Inc.	(1,700,000)	0	0	0	(5,997,981)	45,595		0	(7,652,386)	0
95090	84-1004639	UnitedHealthcare of Colorado, Inc.	0	0	0	0	(3,004,148)	19,731		0	(2,984,417)	0
95264	59-1293865	UnitedHealthcare of Florida, Inc.	0	0	0	0	(212,306,631)	1,672,414		0	(210,634,217)	0
95850	58-1653544	UnitedHealthcare of Georgia, Inc.	0	0	0	0	(16,850,195)	140,589		0	(16,709,606)	0
95776	36-3280214	UnitedHealthcare of Illinois, Inc.	0	0	0	0	(8,853,253)	176,159		0	(8,677,094)	(14,268)
96644	62-1240316	UnitedHealthcare of Kentucky, Ltd.	0	0	0	0	(10,685,884)	95,852		0	(10,590,032)	0
95833	72-1074008	UnitedHealthcare of Louisiana, Inc.	0	4,000,000	0	0	(31,735,944)	16,943		0	(27,719,001)	(8,231)
95716	63-1036817	UnitedHealthcare of Mississippi, Inc.	0	3,000,000	0	0	(23,205,246)	668,210		0	(19,537,036)	(46,124)
95149	05-0413469	UnitedHealthcare of New England, Inc.	(14,000,000)	0	0	0	(91,871,341)	634,033		0	(105,237,308)	(2,914,741)
13214	26-2697886	UnitedHealthcare of New Mexico, Inc.	(7,900,000)	0	0	0	(46,782,963)	0		0	(54,682,963)	0
95085	06-1172891	UnitedHealthcare of New York, Inc.	(70,000,000)	0	0	0	(460,442,729)	0		0	(530,442,729)	0
95103	56-1461010	UnitedHealthcare of North Carolina, Inc.	0	0	0	0	(175,005,811)	1,074,991		0	(173,930,820)	0
95186	31-1142815	UnitedHealthcare of Ohio, Inc.	0	0	0	0	(122,750,742)	1,042,166		0	(121,708,576)	0
96903	33-0115166	UnitedHealthcare of Oklahoma, Inc.	(12,500,000)	0	0	0	(46,510,954)	0		0	(59,010,954)	0
95893	93-0938819	UnitedHealthcare of Oregon, Inc.	0	0	0	0	(23,071,786)	0		0	(23,071,786)	0
95220	25-1756858	UnitedHealthcare of Pennsylvania, Inc.	0	0	0	0	(158,136,890)	0		0	(158,136,890)	0
11775	32-0062883	UnitedHealthcare of South Carolina, Inc.	0	0	0	0	0	620,257		0	620,257	0
95765	95-3939697	UnitedHealthcare of Texas, Inc.	0	0	0	0	(150,608)	6,385		0	(144,223)	(472)
95025	52-1130183	UnitedHealthcare of the Mid-Atlantic, Inc.	(10,000,000)	0	0	0	(95,239,388)	822,710		0	(104,416,678)	(11,991)
95591	47-0676824	UnitedHealthcare of the Midlands, Inc.	0	0	0	0	(28,709,495)	811,330		0	(27,898,165)	0
96385	43-1361841	UnitedHealthcare of the Midwest, Inc.	(10,000,000)	0	0	0	(146,382,199)	(10,868,203)		0	(167,250,402)	(71,563,911)
95501	41-1488563	UnitedHealthcare of Utah, Inc.	0	0	0	0	(49,969,792)	360,858		0	(49,608,934)	0
48038	91-1312551	UnitedHealthcare of Washington, Inc.	0	45,000,000	0	0	(83,619,719)	0		0	(38,619,719)	0
95710	39-1555888	UnitedHealthcare of Wisconsin, Inc.	0	0	0	0	(216,276,413)	2,161,218		0	(214,115,195)	0
95378	36-3379945	UnitedHealthcare Plan of the River Valley, Inc.	(80,000,000)	0	0	0	(409,352,330)	3,980,785		0	(485,371,545)	0
	47-0854646	UnitedHealthcare Service LLC	0	0	0	0	128,882,414	0		0	128,882,414	0
	41-1922511	UnitedHealthcare, Inc.	0	(7,000,000)	0	0	0	0		0	(7,000,000)	0
	37-0920164	UnitedHealthOne Agency, Inc.	0	0	0	0	(120,324)	0		0	(120,324)	0
	52-2102846	XLHealth Corporation	0	(4,000,000)	0	0	460,346,870	0		0	456,346,870	0
9999999	Control Totals		0	0	0	0	0	0	XXX	0	0	0

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.











	Responses
MARCH FILING	
1. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?	YES
2. Will an actuarial opinion be filed by March 1?	YES
3. Will the confidential Risk-based Capital Report be filed with the NAIC by March 1?.....	YES
4. Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1?.....	YES
APRIL FILING	
5. Will Management's Discussion and Analysis be filed by April 1?	YES
6. Will the Supplemental Investment Risks Interrogatories be filed by April 1?	YES
7. Will the Accident and Health Policy Experience Exhibit be filed by April 1?	YES
JUNE FILING	
8. Will an audited financial report be filed by June 1?	YES
9. Will Accountant's Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1?	YES
AUGUST FILING	
10. Will Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile by August 1?	YES

The following supplemental reports are required to be filed as part of your annual statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

MARCH FILING	
11. Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?	NO
12. Will the Supplemental Life data due March 1 be filed with the state of domicile and the NAIC?	NO
13. Will the Supplemental Property/Casualty data due March 1 be filed with the state of domicile and the NAIC?.....	NO
14. Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1?.....	NO
15. Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 on Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1?.....	NO
16. Will the actuarial opinion on non-guaranteed elements as required in Interrogatory 3 to Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1?.....	NO
17. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1?.....	NO
18. Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1?	NO
19. Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?	NO
20. Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1?.....	NO
APRIL FILING	
21. Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1?	NO
22. Will the Supplemental Life data due April 1 be filed with the state of domicile and the NAIC?	NO
23. Will the Supplemental Property/Casualty Insurance Expense Exhibit due April 1 be filed with any state that requires it, and, if so, the NAIC?	NO
24. Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1?	YES
25. Will the regulator only (non-public) Supplemental Health Care Exhibit's Expense Allocation Report be filed with the state of domicile and the NAIC by April 1?	YES
AUGUST FILING	
26. Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1?	YES

- Explanations:
- 11.
 - 12.
 - 13.
 - 14.
 - 15.
 - 16.
 - 17.
 - 18.
 - 19.
 - 20.
 - 21.
 - 22.
 - 23.

Bar Codes:

11. Medicare Supplement Insurance Experience Exhibit [Document Identifier 360]	
12. Life Supplement [Document Identifier 205]	
13. Property/Casualty Supplement [Document Identifier 207]	
14. SIS Stockholder Information Supplement [Document Identifier 420]	
15. Participating Opinion for Exhibit 5 [Document Identifier 371]	
16. Non-Guaranteed Opinion for Exhibit 5 [Document Identifier 370]	
17. Medicare Part D Coverage Supplement [Document Identifier 365]	
18. Relief from the five-year rotation requirement for lead audit partner [Document Identifier 224]	
19. Relief from the one-year cooling off period for independent CPA [Document Identifier 225]	
20. Relief from the Requirements for Audit Committees [Document Identifier 226]	

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

21. Long-Term Care Experience Reporting Forms [Document Identifier 306]



22. Life Supplement [Document Identifier 211]



23. Property/Casualty Supplement Insurance Expense Exhibit
[Document Identifier 213]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Underwriting and Investment Exhibit Part 3 Line 25

	Claim Adjustment Expenses		3 General Administrative Expenses	4 Investment Expenses	5 Total
	1 Cost Containment Expenses	2 Other Claim Adjustment Expenses			
2504. Miscellaneous Losses	(187)	(125)	109,411	0	109,099
2505. Professional Fees/Consulting	32,067	21,401	49,342	0	102,810
2506. Sundry General Expense	11,954,642	7,978,240	18,395,344	0	38,328,226
2597. Summary of remaining write-ins for Line 25 from overflow page	11,986,522	7,999,516	18,554,097	0	38,540,135

SUMMARY INVESTMENT SCHEDULE

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1 Amount	2 Percentage	3 Amount	4 Securities Lending Reinvested Collateral Amount	5 Total (Col. 3 + 4) Amount	6 Percentage
1. Bonds:						
1.1 U.S. treasury securities	87,685,184	9.413	87,685,184	0	87,685,184	9.413
1.2 U.S. government agency obligations (excluding mortgage-backed securities):						
1.21 Issued by U.S. government agencies	1,400,000	0.150	1,400,000	0	1,400,000	0.150
1.22 Issued by U.S. government sponsored agencies	33,574,294	3.604	33,574,294	0	33,574,294	3.604
1.3 Non-U.S. government (including Canada, excluding mortgaged-backed securities)	0	0.000	0	0	0	0.000
1.4 Securities issued by states, territories, and possessions and political subdivisions in the U.S. :						
1.41 States, territories and possessions general obligations	64,780,102	6.954	64,780,102	0	64,780,102	6.954
1.42 Political subdivisions of states, territories and possessions and political subdivisions general obligations	52,329,477	5.617	52,329,477	0	52,329,477	5.617
1.43 Revenue and assessment obligations	191,295,460	20.535	191,295,460	0	191,295,460	20.535
1.44 Industrial development and similar obligations	0	0.000	0	0	0	0.000
1.5 Mortgage-backed securities (includes residential and commercial MBS):						
1.51 Pass-through securities:						
1.511 Issued or guaranteed by GNMA	1,223,167	0.131	1,223,167	0	1,223,167	0.131
1.512 Issued or guaranteed by FNMA and FHLMC	78,974,848	8.478	78,974,848	0	78,974,848	8.478
1.513 All other	0	0.000	0	0	0	0.000
1.52 CMOs and REMICs:						
1.521 Issued or guaranteed by GNMA, FNMA, FHLMC or VA	36,571	0.004	36,571	0	36,571	0.004
1.522 Issued by non-U.S. Government issuers and collateralized by mortgage-backed securities issued or guaranteed by agencies shown in Line 1.521	0	0.000	0	0	0	0.000
1.523 All other	0	0.000	0	0	0	0.000
2. Other debt and other fixed income securities (excluding short-term):						
2.1 Unaffiliated domestic securities (includes credit tenant loans and hybrid securities)	144,221,457	15.481	144,221,457	0	144,221,457	15.481
2.2 Unaffiliated non-U.S. securities (including Canada)	21,016,382	2.256	21,016,382	0	21,016,382	2.256
2.3 Affiliated securities	0	0.000	0	0	0	0.000
3. Equity interests:						
3.1 Investments in mutual funds	0	0.000	0	0	0	0.000
3.2 Preferred stocks:						
3.21 Affiliated	0	0.000	0	0	0	0.000
3.22 Unaffiliated	0	0.000	0	0	0	0.000
3.3 Publicly traded equity securities (excluding preferred stocks):						
3.31 Affiliated	0	0.000	0	0	0	0.000
3.32 Unaffiliated	0	0.000	0	0	0	0.000
3.4 Other equity securities:						
3.41 Affiliated	0	0.000	0	0	0	0.000
3.42 Unaffiliated	0	0.000	0	0	0	0.000
3.5 Other equity interests including tangible personal property under lease:						
3.51 Affiliated	0	0.000	0	0	0	0.000
3.52 Unaffiliated	0	0.000	0	0	0	0.000
4. Mortgage loans:						
4.1 Construction and land development	0	0.000	0	0	0	0.000
4.2 Agricultural	0	0.000	0	0	0	0.000
4.3 Single family residential properties	0	0.000	0	0	0	0.000
4.4 Multifamily residential properties	0	0.000	0	0	0	0.000
4.5 Commercial loans	0	0.000	0	0	0	0.000
4.6 Mezzanine real estate loans	0	0.000	0	0	0	0.000
5. Real estate investments:						
5.1 Property occupied by company	0	0.000	0	0	0	0.000
5.2 Property held for production of income (including \$ 0 of property acquired in satisfaction of debt)	0	0.000	0	0	0	0.000
5.3 Property held for sale (including \$ 0 property acquired in satisfaction of debt)	0	0.000	0	0	0	0.000
6. Contract loans	0	0.000	0	0	0	0.000
7. Derivatives	0	0.000	0	0	0	0.000
8. Receivables for securities	0	0.000	0	0	0	0.000
9. Securities Lending (Line 10, Asset Page reinvested collateral)	0	0.000	0	XXX	XXX	XXX
10. Cash, cash equivalents and short-term investments	233,779,310	25.095	233,779,310	0	233,779,310	25.095
11. Other invested assets	21,263,841	2.283	21,263,841	0	21,263,841	2.283
12. Total invested assets	931,580,093	100.000	931,580,093	0	931,580,093	100.000

Schedule A - Verification - Real Estate

N O N E

Schedule B - Verification - Mortgage Loans

N O N E

SCHEDULE BA - VERIFICATION BETWEEN YEARS

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year	8,949,246
2.	Cost of acquired:	
2.1	Actual cost at time of acquisition (Part 2, Column 8)	12,791,583
2.2	Additional investment made after acquisition (Part 2, Column 9)	0
		12,791,583
3.	Capitalized deferred interest and other:	
3.1	Totals, Part 1, Column 16	0
3.2	Totals, Part 3, Column 12	0
		0
4.	Accrual of discount	0
5.	Unrealized valuation increase (decrease):	
5.1	Totals, Part 1, Column 13	0
5.2	Totals, Part 3, Column 9	0
		0
6.	Total gain (loss) on disposals, Part 3, Column 19	0
7.	Deduct amounts received on disposals, Part 3, Column 16	0
8.	Deduct amortization of premium and depreciation	476,988
9.	Total foreign exchange change in book/adjusted carrying value:	
9.1	Totals, Part 1, Column 17	0
9.2	Totals, Part 3, Column 14	0
		0
10.	Deduct current year's other than temporary impairment recognized:	
10.1	Totals, Part 1, Column 15	0
10.2	Totals, Part 3, Column 11	0
		0
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	21,263,841
12.	Deduct total nonadmitted amounts	0
13.	Statement value at end of current period (Line 11 minus Line 12)	21,263,841

SCHEDULE D - VERIFICATION BETWEEN YEARS

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year	690,266,794
2.	Cost of bonds and stocks acquired, Part 3, Column 7	411,493,991
3.	Accrual of discount	151,378
4.	Unrealized valuation increase (decrease):	
4.1	Part 1, Column 12	0
4.2	Part 2, Section 1, Column 15	0
4.3	Part 2, Section 2, Column 13	0
4.4	Part 4, Column 11	0
		0
5.	Total gain (loss) on disposals, Part 4, Column 19	6,583,017
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7	421,857,333
7.	Deduct amortization of premium	10,100,905
8.	Total foreign exchange change in book/adjusted carrying value:	
8.1	Part 1, Column 15	0
8.2	Part 2, Section 1, Column 19	0
8.3	Part 2, Section 2, Column 16	0
8.4	Part 4, Column 15	0
		0
9.	Deduct current year's other than temporary impairment recognized:	
9.1	Part 1, Column 14	0
9.2	Part 2, Section 1, Column 17	0
9.3	Part 2, Section 2, Column 14	0
9.4	Part 4, Column 13	0
		0
10.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	676,536,942
11.	Deduct total nonadmitted amounts	0
12.	Statement value at end of current period (Line 10 minus Line 11)	676,536,942

SCHEDULE D - SUMMARY BY COUNTRY

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description		1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
BONDS					
Governments (Including all obligations guaranteed by governments)	1. United States	88,908,351	87,956,131	90,123,709	83,492,264
	2. Canada	0	0	0	0
	3. Other Countries	0	0	0	0
	4. Totals	88,908,351	87,956,131	90,123,709	83,492,264
U.S. States, Territories and Possessions (Direct and guaranteed)	5. Totals	64,780,102	65,622,888	67,705,981	57,490,000
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6. Totals	52,329,477	52,946,965	54,513,207	47,370,000
U.S. Special revenue and special assessment obligations and all non- guaranteed obligations of agencies and authorities of governments and their political subdivisions	7. Totals	305,281,174	306,550,949	311,855,503	279,992,037
Industrial and Miscellaneous and Hybrid Securities (unaffiliated)	8. United States	144,221,457	146,364,712	145,278,367	141,757,342
	9. Canada	6,365,618	6,423,049	6,374,262	6,195,000
	10. Other Countries	14,650,763	15,485,952	14,708,237	14,513,000
	11. Totals	165,237,838	168,273,713	166,360,866	162,465,342
Parent, Subsidiaries and Affiliates	12. Totals	0	0	0	0
	13. Total Bonds	676,536,942	681,350,646	690,559,266	630,809,643
PREFERRED STOCKS					
Industrial and Miscellaneous (unaffiliated)	14. United States	0	0	0	0
	15. Canada	0	0	0	0
	16. Other Countries	0	0	0	0
	17. Totals	0	0	0	0
Parent, Subsidiaries and Affiliates	18. Totals	0	0	0	0
	19. Total Preferred Stocks	0	0	0	0
COMMON STOCKS					
Industrial and Miscellaneous (unaffiliated)	20. United States	0	0	0	0
	21. Canada	0	0	0	0
	22. Other Countries	0	0	0	0
	23. Totals	0	0	0	0
Parent, Subsidiaries and Affiliates	24. Totals	0	0	0	0
	25. Total Common Stocks	0	0	0	0
	26. Total Stocks	0	0	0	0
	27. Total Bonds and Stocks	676,536,942	681,350,646	690,559,266	

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.7	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed (a)
1. U.S. Governments											
1.1 NAIC 1	101,927,693	71,155,881	312,178	193,267	23,568	173,612,587	20.0	134,901,143	15.5	173,612,587	0
1.2 NAIC 2	0	0	0	0	0	0	0.0	0	0.0	0	0
1.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	0	0
1.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	0	0
1.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	0	0
1.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	0	0
1.7 Totals	101,927,693	71,155,881	312,178	193,267	23,568	173,612,587	20.0	134,901,143	15.5	173,612,587	0
2. All Other Governments											
2.1 NAIC 1	0	0	0	0	0	0	0.0	2,604,917	0.3	0	0
2.2 NAIC 2	0	0	0	0	0	0	0.0	0	0.0	0	0
2.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	0	0
2.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	0	0
2.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	0	0
2.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	0	0
2.7 Totals	0	0	0	0	0	0	0.0	2,604,917	0.3	0	0
3. U.S. States, Territories and Possessions etc., Guaranteed											
3.1 NAIC 1	9,547,685	21,530,596	33,701,821	0	0	64,780,102	7.5	61,291,673	7.0	64,780,102	0
3.2 NAIC 2	0	0	0	0	0	0	0.0	0	0.0	0	0
3.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	0	0
3.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	0	0
3.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	0	0
3.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	0	0
3.7 Totals	9,547,685	21,530,596	33,701,821	0	0	64,780,102	7.5	61,291,673	7.0	64,780,102	0
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed											
4.1 NAIC 1	9,534,203	23,171,909	22,634,488	0	0	55,340,600	6.4	43,792,560	5.0	55,340,600	0
4.2 NAIC 2	0	0	0	0	0	0	0.0	0	0.0	0	0
4.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	0	0
4.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	0	0
4.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	0	0
4.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	0	0
4.7 Totals	9,534,203	23,171,909	22,634,488	0	0	55,340,600	6.4	43,792,560	5.0	55,340,600	0
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed											
5.1 NAIC 1	24,989,696	133,193,504	131,729,943	13,582,402	3,795,031	307,290,576	35.4	301,420,852	34.5	307,290,576	0
5.2 NAIC 2	0	0	0	0	0	0	0.0	0	0.0	0	0
5.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	0	0
5.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	0	0
5.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	0	0
5.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	0	0
5.7 Totals	24,989,696	133,193,504	131,729,943	13,582,402	3,795,031	307,290,576	35.4	301,420,852	34.5	307,290,576	0

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.7	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed (a)
6. Industrial & Miscellaneous (Unaffiliated)											
6.1 NAIC 1	123,413,701	46,910,903	21,257,714	0	0	191,582,318	22.1	269,576,548	30.9	174,039,165	17,543,153
6.2 NAIC 2	11,130,098	35,140,414	28,039,745	1,072,039	0	75,382,296	8.7	59,535,648	6.8	70,105,715	5,276,581
6.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	0	0
6.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	0	0
6.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	0	0
6.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	0	0
6.7 Totals	134,543,799	82,051,317	49,297,459	1,072,039	0	266,964,614	30.8	329,112,196	37.7	244,144,880	22,819,734
7. Hybrid Securities											
7.1 NAIC 1	0	0	0	0	0	0	0.0	0	0.0	0	0
7.2 NAIC 2	0	0	0	0	0	0	0.0	0	0.0	0	0
7.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	0	0
7.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	0	0
7.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	0	0
7.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	0	0
7.7 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0
8. Parent, Subsidiaries and Affiliates											
8.1 NAIC 1	0	0	0	0	0	0	0.0	0	0.0	0	0
8.2 NAIC 2	0	0	0	0	0	0	0.0	0	0.0	0	0
8.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	0	0
8.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	0	0
8.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	0	0
8.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	0	0
8.7 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.7	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed (a)
9. Total Bonds Current Year											
9.1 NAIC 1	(d) 269,412,978	295,962,793	209,636,144	13,775,669	3,818,599	792,606,183	91.3	XXX	XXX	775,063,030	17,543,153
9.2 NAIC 2	(d) 11,130,098	35,140,414	28,039,745	1,072,039	0	75,382,296	8.7	XXX	XXX	70,105,715	5,276,581
9.3 NAIC 3	(d) 0	0	0	0	0	0	0.0	XXX	XXX	0	0
9.4 NAIC 4	(d) 0	0	0	0	0	0	0.0	XXX	XXX	0	0
9.5 NAIC 5	(d) 0	0	0	0	0	0	0.0	XXX	XXX	0	0
9.6 NAIC 6	(d) 0	0	0	0	0	0	0.0	XXX	XXX	0	0
9.7 Totals	280,543,076	331,103,207	237,675,889	14,847,708	3,818,599	867,988,479	100.0	XXX	XXX	845,168,745	22,819,734
9.8 Line 9.7 as a % of Col. 6	32.3	38.1	27.4	1.7	0.4	100.0	XXX	XXX	XXX	97.4	2.6
10. Total Bonds Prior Year											
10.1 NAIC 1	369,486,300	281,252,332	153,918,841	7,020,718	1,909,502	XXX	XXX	813,587,693	93.2	791,605,246	21,982,447
10.2 NAIC 2	13,084,547	24,554,394	21,048,352	848,355	0	XXX	XXX	59,535,648	6.8	55,754,590	3,781,058
10.3 NAIC 3	0	0	0	0	0	XXX	XXX	0	0.0	0	0
10.4 NAIC 4	0	0	0	0	0	XXX	XXX	0	0.0	0	0
10.5 NAIC 5	0	0	0	0	0	XXX	XXX	0	0.0	0	0
10.6 NAIC 6	0	0	0	0	0	XXX	XXX	0	0.0	0	0
10.7 Totals	382,570,847	305,806,726	174,967,193	7,869,073	1,909,502	XXX	XXX	873,123,341	100.0	847,359,836	25,763,505
10.8 Line 10.7 as a % of Col. 8	43.8	35.0	20.0	0.9	0.2	XXX	XXX	100.0	XXX	97.0	3.0
11. Total Publicly Traded Bonds											
11.1 NAIC 1	263,409,017	288,421,050	205,638,696	13,775,668	3,818,599	775,063,030	89.3	791,605,246	90.7	775,063,030	XXX
11.2 NAIC 2	9,980,611	32,357,458	26,695,607	1,072,039	0	70,105,715	8.1	55,754,590	6.4	70,105,715	XXX
11.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	0	XXX
11.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	0	XXX
11.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	0	XXX
11.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	0	XXX
11.7 Totals	273,389,628	320,778,508	232,334,303	14,847,707	3,818,599	845,168,745	97.4	847,359,836	97.0	845,168,745	XXX
11.8 Line 11.7 as a % of Col. 6	32.3	38.0	27.5	1.8	0.5	100.0	XXX	XXX	XXX	100.0	XXX
11.9 Line 11.7 as a % of Line 9.7, Col. 6, Section 9	31.5	37.0	26.8	1.7	0.4	97.4	XXX	XXX	XXX	97.4	XXX
12. Total Privately Placed Bonds											
12.1 NAIC 1	6,003,961	7,541,743	3,997,448	1	0	17,543,153	2.0	21,982,447	2.5	XXX	17,543,153
12.2 NAIC 2	1,149,487	2,782,956	1,344,138	0	0	5,276,581	0.6	3,781,058	0.4	XXX	5,276,581
12.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	XXX	0
12.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	XXX	0
12.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	XXX	0
12.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	XXX	0
12.7 Totals	7,153,448	10,324,699	5,341,586	1	0	22,819,734	2.6	25,763,505	3.0	XXX	22,819,734
12.8 Line 12.7 as a % of Col. 6	31.3	45.2	23.4	0.0	0.0	100.0	XXX	XXX	XXX	XXX	100.0
12.9 Line 12.7 as a % of Line 9.7, Col. 6, Section 9	0.8	1.2	0.6	0.0	0.0	2.6	XXX	XXX	XXX	XXX	2.6

(a) Includes \$ 0 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.

(b) Includes \$ 0 current year, \$ 1,792,903 prior year of bonds with Z designations and \$ 0, current year \$ 0 prior year of bonds with Z* designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement. "Z*" means the SVO could not evaluate the obligation because valuation procedures for the security class are under regulatory review.

(c) Includes \$ 0 current year, \$ 0 prior year of bonds with 5* designations and \$ 0, current year \$ 0 prior year of bonds with 6* designations. "5*" means the NAIC designation was assigned by the (SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.

(d) Includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ 13,560,565 ; NAIC 2 \$ 3,260,903 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 2

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.5	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed
1. U.S. Governments											
1.1 Issuer Obligations	101,742,456	70,646,964	0	0	0	172,389,420	19.9	134,895,218	15.4	172,389,420	0
1.2 Residential Mortgage-Backed Securities	185,237	508,917	312,178	193,267	23,568	1,223,167	0.1	5,924	0.0	1,223,167	0
1.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
1.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
1.5 Totals	101,927,693	71,155,881	312,178	193,267	23,568	173,612,587	20.0	134,901,142	15.5	173,612,587	0
2. All Other Governments											
2.1 Issuer Obligations	0	0	0	0	0	0	0.0	2,604,917	0.3	0	0
2.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
2.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
2.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
2.5 Totals	0	0	0	0	0	0	0.0	2,604,917	0.3	0	0
3. U.S. States, Territories and Possessions, Guaranteed											
3.1 Issuer Obligations	9,547,685	21,530,596	33,701,821	0	0	64,780,102	7.5	61,291,673	7.0	64,780,102	0
3.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
3.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
3.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
3.5 Totals	9,547,685	21,530,596	33,701,821	0	0	64,780,102	7.5	61,291,673	7.0	64,780,102	0
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed											
4.1 Issuer Obligations	9,534,203	23,171,909	22,634,488	0	0	55,340,600	6.4	43,792,560	5.0	55,340,600	0
4.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
4.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
4.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
4.5 Totals	9,534,203	23,171,909	22,634,488	0	0	55,340,600	6.4	43,792,560	5.0	55,340,600	0
5. U.S. Special Revenue & Special Assessment Obligations etc., Non-Guaranteed											
5.1 Issuer Obligations	13,439,092	102,258,399	112,581,666	0	0	228,279,157	26.3	204,701,373	23.4	228,279,156	1
5.2 Residential Mortgage-Backed Securities	11,550,604	30,935,105	19,148,277	13,582,402	3,795,031	79,011,419	9.1	96,719,479	11.1	79,011,420	(1)
5.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
5.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
5.5 Totals	24,989,696	133,193,504	131,729,943	13,582,402	3,795,031	307,290,576	35.4	301,420,852	34.5	307,290,576	0
6. Industrial and Miscellaneous											
6.1 Issuer Obligations	131,277,404	81,963,044	49,217,554	1,072,039	0	263,530,041	30.4	329,112,193	37.7	240,710,307	22,819,734
6.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
6.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
6.4 Other Loan-Backed and Structured Securities	3,266,395	88,274	79,905	0	0	3,434,574	0.4	0	0.0	3,434,573	1
6.5 Totals	134,543,799	82,051,318	49,297,459	1,072,039	0	266,964,615	30.8	329,112,193	37.7	244,144,880	22,819,735
7. Hybrid Securities											
7.1 Issuer Obligations	0	0	0	0	0	0	0.0	0	0.0	0	0
7.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
7.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
7.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
7.5 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0
8. Parent, Subsidiaries and Affiliates											
8.1 Issuer Obligations	0	0	0	0	0	0	0.0	0	0.0	0	0
8.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
8.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
8.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
8.5 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.5	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed
9. Total Bonds Current Year											
9.1 Issuer Obligations	265,540,840	299,570,912	218,135,529	1,072,039	0	784,319,320	90.4	XXX	XXX	761,499,585	22,819,735
9.2 Residential Mortgage-Backed Securities	11,735,841	31,444,022	19,460,455	13,775,669	3,818,599	80,234,586	9.2	XXX	XXX	80,234,587	(1)
9.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	XXX	XXX	0	0
9.4 Other Loan-Backed and Structured Securities	3,266,395	88,274	79,905	0	0	3,434,574	0.4	XXX	XXX	3,434,573	1
9.5 Totals	280,543,076	331,103,208	237,675,889	14,847,708	3,818,599	867,988,480	100.0	XXX	XXX	845,168,745	22,819,735
9.6 Line 9.5 as a % of Col. 6	32.3	38.1	27.4	1.7	0.4	100.0	XXX	XXX	XXX	97.4	2.6
10. Total Bonds Prior Year											
10.1 Issuer Obligations	360,587,189	258,355,391	156,606,999	848,355	0	XXX	XXX	776,397,934	88.9	750,634,429	25,763,505
10.2 Residential Mortgage-Backed Securities	21,983,655	47,451,335	18,360,193	7,020,718	1,909,502	XXX	XXX	96,725,403	11.1	96,725,403	0
10.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	XXX	0	0.0	0	0
10.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	XXX	XXX	0	0.0	0	0
10.5 Totals	382,570,844	305,806,726	174,967,192	7,869,073	1,909,502	XXX	XXX	873,123,337	100.0	847,359,832	25,763,505
10.6 Line 10.5 as a % of Col. 8	43.8	35.0	20.0	0.9	0.2	XXX	XXX	100.0	XXX	97.0	3.0
11. Total Publicly Traded Bonds											
11.1 Issuer Obligations	258,387,392	289,246,212	212,793,942	1,072,039	0	761,499,585	87.7	750,634,429	86.0	761,499,585	XXX
11.2 Residential Mortgage-Backed Securities	11,735,841	31,444,022	19,460,456	13,775,668	3,818,599	80,234,586	9.2	96,725,403	11.1	80,234,586	XXX
11.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	XXX
11.4 Other Loan-Backed and Structured Securities	3,266,395	88,274	79,905	0	0	3,434,574	0.4	0	0.0	3,434,574	XXX
11.5 Totals	273,389,628	320,778,508	232,334,303	14,847,707	3,818,599	845,168,745	97.4	847,359,832	97.0	845,168,745	XXX
11.6 Line 11.5 as a % of Col. 6	32.3	38.0	27.5	1.8	0.5	100.0	XXX	XXX	XXX	100.0	XXX
11.7 Line 11.5 as a % of Line 9.5, Col. 6, Section 9	31.5	37.0	26.8	1.7	0.4	97.4	XXX	XXX	XXX	97.4	XXX
12. Total Privately Placed Bonds											
12.1 Issuer Obligations	7,153,448	10,324,700	5,341,587	0	0	22,819,735	2.6	25,763,505	3.0	XXX	22,819,735
12.2 Residential Mortgage-Backed Securities	0	0	(1)	1	0	0	0.0	0	0.0	XXX	0
12.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	XXX	0
12.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	XXX	0
12.5 Totals	7,153,448	10,324,700	5,341,586	1	0	22,819,735	2.6	25,763,505	3.0	XXX	22,819,735
12.6 Line 12.5 as a % of Col. 6	31.3	45.2	23.4	0.0	0.0	100.0	XXX	XXX	XXX	XXX	100.0
12.7 Line 12.5 as a % of Line 9.5, Col. 6, Section 9	0.8	1.2	0.6	0.0	0.0	2.6	XXX	XXX	XXX	XXX	2.6

SCHEDULE DA - VERIFICATION BETWEEN YEARS

Short-Term Investments

	1	2	3	4	5
	Total	Bonds	Mortgage Loans	Other Short-term Investment Assets (a)	Investments in Parent, Subsidiaries and Affiliates
1. Book/adjusted carrying value, December 31 of prior year	182,856,543	182,856,543	0	0	0
2. Cost of short-term investments acquired	7,423,664,617	7,423,664,617	0	0	0
3. Accrual of discount	4,082	4,082	0	0	0
4. Unrealized valuation increase (decrease)	0	0	0	0	0
5. Total gain (loss) on disposals	4,690	4,690	0	0	0
6. Deduct consideration received on disposals	7,488,942,977	7,488,942,977	0	0	0
7. Deduct amortization of premium	1,135,188	1,135,188	0	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0	0	0	0
9. Deduct current year's other than temporary impairment recognized	0	0	0	0	0
10. Book adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	116,451,767	116,451,767	0	0	0
11. Deduct total nonadmitted amounts	0	0	0	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	116,451,767	116,451,767	0	0	0

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - VERIFICATION BETWEEN YEARS

(Cash Equivalents)

	1	2	3
	Total	Bonds	Other (a)
1. Book/adjusted carrying value, December 31 of prior year	0	0	0
2. Cost of cash equivalents acquired	125,295,422	125,295,422	0
3. Accrual of discount	3,822	3,822	0
4. Unrealized valuation increase (decrease)	0	0	0
5. Total gain (loss) on disposals	149	149	0
6. Deduct consideration received on disposals	50,276,767	50,276,767	0
7. Deduct amortization of premium	22,855	22,855	0
8. Total foreign exchange change in book/adjusted carrying value	0	0	0
9. Deduct current year's other than temporary impairment recognized	0	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	74,999,771	74,999,771	0
11. Deduct total nonadmitted amounts	0	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	74,999,771	74,999,771	0

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule A - Part 1 - Real Estate Owned

N O N E

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 1 - Mortgage Loans Owned

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE December 31 of Current Year

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 Date Originally Acquired	7 Type and Strategy	8 Actual Cost at Time of Acquisition	9 Additional Investment Made After Acquisition	10 Amount of Encumbrances	11 Percentage of Ownership
		3 City	4 State							
Enterprise N Guar Fed Tx Credit PrvPlc CS 0.000% 12/31/29			US	Enterprise	08/27/2013		12,791,583	0	0	0.000
3399999. Non-Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated							12,791,583	0	0	XXX
4499999. Total - Unaffiliated							12,791,583	0	0	XXX
4599999. Total - Affiliated							0	0	0	XXX
4699999 - Totals							12,791,583	0	0	XXX

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Year

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/ Adjusted Carrying Value Less Encum- brances, Prior Year	Change in Book/Adjusted Carrying Value						15 Book/ Adjusted Carrying Value Less Encum- brances on Disposal	16 Consid- eration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Invest- ment Income	
		3 City	4 State					9 Unrealized Valuation Increase (De- crease)	10 Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion	11 Current Year's Other- Than- Tempo- rary Impair- ment Recogn- ized	12 Capital- ized Deferred Interest and Other	13 Total Change in Book/ Adjusted Carrying Value (9+10- 11+12)	14 Total Foreign Exchange Change in Book/ Adjusted Carrying Value							
	US Bancorp LIH Fund 2012-5 LLC Prv P 0.000% 12/31/23		GA	Paydown	07/02/2012	03/15/2013	119,247	0	(119,247)	0	0	(119,247)	0	119,247	0	0	0	0	0	
	US Bancorp LIH Fund 2012-5 LLC Prv P Low 0.000% 12/31/23		GA	Paydown	07/02/2012	06/01/2013	119,247	0	(119,247)	0	0	(119,247)	0	119,247	0	0	0	0	0	
	US Bancorp LIH Fund 2012-5 LLC Prv P 0.000% 12/31/23		GA	Paydown	07/02/2012	09/01/2013	119,247	0	(119,247)	0	0	(119,247)	0	119,247	0	0	0	0	0	
	US Bancorp LIH Fund 2012-5 LLC Prv P 0.000% 12/31/23		GA	Paydown	07/02/2012	12/01/2013	119,247	0	(119,247)	0	0	(119,247)	0	119,247	0	0	0	0	0	
3199999. Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated							476,988	0	(476,988)	0	0	(476,988)	0	476,988	0	0	0	0	0	
4499999. Total - Unaffiliated							476,988	0	(476,988)	0	0	(476,988)	0	476,988	0	0	0	0	0	0
4599999. Total - Affiliated							0	0	0	0	0	0	0	0	0	0	0	0	0	0
4699999 - Totals							476,988	0	(476,988)	0	0	(476,988)	0	476,988	0	0	0	0	0	0

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
912828-A6-7	US Treasury Note				1	756,628	99.7340	756,981	759,000	756,633	0	5	0	0	0.250	0.469	JD	5	0	12/26/2013	12/31/2015
912828-CJ-7	US Treasury Note				1	2,274,149	101.7110	2,034,220	2,000,000	2,000,384	0	(54,402)	0	0	4.750	1.970	MN	12,334	95,000	02/26/2009	05/15/2014
912828-EI-6	US Treasury Note	SD			1	1,016,469	108.6490	977,841	900,000	948,366	0	(22,150)	0	0	4.500	1.903	FA	15,298	40,500	02/08/2011	02/15/2016
912828-GS-3	US Treasury Note	SD			1	2,336,063	111.5860	2,242,879	2,010,000	2,237,660	0	(56,678)	0	0	4.500	1.069	MN	11,744	83,475	11/04/2013	05/15/2017
912828-HH-6	US Treasury Note	SD			1	5,871,875	111.3290	5,566,450	5,000,000	5,674,646	0	(171,343)	0	0	4.250	0.709	MN	27,590	212,500	11/06/2012	11/15/2017
912828-HR-4	US Treasury Note	SD			1	47,291,154	108.5390	46,020,536	42,400,000	46,745,358	0	(545,797)	0	0	3.500	0.958	FA	560,533	376,250	11/06/2013	02/15/2018
912828-JZ-4	US Treasury Note				1	2,034,766	100.1490	2,002,980	2,000,000	2,002,485	0	(30,462)	0	0	1.750	0.224	JJ	14,647	35,000	12/07/2012	01/31/2014
912828-OM-5	US Treasury Note				1	3,029,180	100.3480	3,010,440	3,000,000	3,008,934	0	(20,246)	0	0	1.000	0.195	MN	3,895	30,000	02/27/2013	05/15/2014
912828-RM-4	US Treasury Note				1	5,191,689	100.7660	5,239,832	5,200,000	5,195,244	0	1,649	0	0	1.000	1.033	AO	8,906	52,000	10/31/2011	10/31/2016
912828-SB-7	US Treasury Note				1	1,998,047	100.0320	2,000,640	2,000,000	1,999,918	0	1,007	0	0	0.250	0.300	JJ	2,092	5,000	02/17/2012	01/31/2014
912828-TA-8	US Treasury Note				1	2,001,328	100.0940	2,001,880	2,000,000	2,000,478	0	(850)	0	0	0.250	0.202	JD	14	5,000	02/08/2013	06/30/2014
912828-TU-4	US Treasury Note				1	6,007,266	100.1020	6,006,120	6,000,000	6,006,022	0	(1,244)	0	0	0.250	0.129	AO	2,569	0	10/30/2013	10/31/2014
912828-UJ-7	US Treasury Note				1	9,091,026	98.0790	8,905,573	9,080,000	9,089,056	0	(1,970)	0	0	0.875	0.850	JJ	33,248	39,725	02/01/2013	01/31/2018
0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations						88,899,640	XXX	86,766,372	82,349,000	87,685,184	0	(902,481)	0	0	XXX	XXX	XXX	692,875	974,450	XXX	XXX
3620A9-PG-8	GNMA Pool 723231 MBS		2		1	1,219,866	104.0420	1,185,109	1,139,063	1,218,973	0	(893)	0	0	4.000	2.576	MON	3,797	22,781	06/17/2013	10/15/2039
36220N-VU-8	GNMA Pool 283327 MBS		2		1	4,203	110.6860	4,650	4,201	4,194	0	0	0	9.000	9.080	MON	32	378	11/16/1989	12/15/2019	
0299999. Subtotal - Bonds - U.S. Governments - Residential Mortgage-Backed Securities						1,224,069	XXX	1,189,759	1,143,264	1,223,167	0	(893)	0	0	XXX	XXX	XXX	3,829	23,159	XXX	XXX
0599999. Total - U.S. Government Bonds						90,123,709	XXX	87,956,131	83,492,264	88,908,351	0	(903,374)	0	0	XXX	XXX	XXX	696,704	997,609	XXX	XXX
1099999. Total - All Other Government Bonds						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
13063B-5F-5	CALIFORNIA ST GO Cont Call		1		1FE	1,142,420	109.0460	1,090,460	1,000,000	1,123,264	0	(19,156)	0	0	5.000	1.961	AO	12,500	21,944	04/12/2013	10/01/2025
13063B-06-2	CALIFORNIA ST GO Non Call				1FE	1,971,948	116.5830	1,923,620	1,650,000	1,931,603	0	(40,345)	0	0	5.000	1.501	FA	34,375	28,417	03/15/2013	02/01/2019
20772J-B0-4	CONNECTICUT ST GO Non Call				1FE	4,997,916	116.7810	4,904,802	4,200,000	4,811,204	0	(73,698)	0	0	5.000	2.801	MN	26,833	210,000	05/19/2011	05/15/2021
20772J-D0-2	CONNECTICUT ST GO Non Call				1FE	4,120,025	117.0340	4,096,190	3,500,000	4,106,246	0	(13,779)	0	0	5.000	1.290	MN	29,167	0	11/18/2013	11/01/2018
20772J-NG-3	CONNECTICUT ST GO Non Call				1FE	5,841,650	117.1360	5,856,800	5,000,000	5,796,590	0	(45,060)	0	0	5.000	2.356	JJ	100,000	0	07/24/2013	07/15/2020
246380-3M-9	DE St GO Non Call				1FE	3,868,986	103.6450	3,777,290	3,355,000	3,435,527	0	(106,214)	0	0	5.000	1.751	AO	41,938	167,750	10/09/2009	10/01/2014
373384-UQ-7	GEORGIA ST GO Non Call				1FE	3,731,880	118.9410	3,568,230	3,000,000	3,549,998	0	(78,536)	0	0	5.000	1.980	JJ	75,000	150,000	08/23/2011	07/01/2020
452152-RC-7	ILLINOIS ST GO Non Call				1FE	1,729,395	111.3420	1,670,130	1,500,000	1,703,033	0	(26,362)	0	0	5.000	2.250	AO	18,750	35,625	04/02/2013	04/01/2019
574193-DX-3	MARYLAND ST GO Non Call				1FE	6,032,600	113.8160	5,690,800	5,000,000	5,667,535	0	(205,167)	0	0	5.000	0.770	MS	73,611	250,000	03/08/2012	03/15/2017
604129-XB-7	MINNESOTA ST GO Non Call				1FE	3,657,180	117.1540	3,514,620	3,000,000	3,396,453	0	(81,854)	0	0	5.000	1.971	FA	62,500	150,000	09/09/2010	08/01/2018
658256-L2-6	NORTH CAROLINA S GO Non Call				1FE	4,594,680	114.5280	4,581,120	4,000,000	4,580,811	0	(13,869)	0	0	5.000	0.690	JD	16,667	0	11/26/2013	06/01/2017
658256-VC-3	NORTH CAROLINA S GO Non Call				1FE	3,269,599	101.2220	3,082,210	3,045,000	3,056,024	0	(43,825)	0	0	5.000	3.510	AO	38,063	152,250	10/01/2008	04/01/2014
709141-08-1	PA St GO Cont Call		1		1FE	3,447,330	103.2470	3,097,410	3,000,000	3,056,134	0	(83,258)	0	0	5.000	2.140	MS	50,000	150,000	02/05/2009	09/01/2015
70914P-LB-6	PENNSYLVANIA ST GO Non Call				1FE	4,629,478	117.6020	4,568,838	3,885,000	4,418,862	0	(90,067)	0	0	5.000	2.323	JJ	97,125	194,250	12/14/2011	07/01/2019
70914P-UP-5	PENNSYLVANIA ST GO Non Call				1FE	5,926,500	117.7390	5,886,949	5,000,000	5,911,579	0	(14,921)	0	0	5.000	1.680	AO	37,500	0	11/21/2013	10/15/2019
882722-YF-2	TX St GO Non Call				1FE	2,811,894	117.2110	2,760,319	2,355,000	2,656,287	0	(62,049)	0	0	5.000	2.061	FA	49,063	117,750	05/18/2011	08/01/2018
93974C-RN-2	WASHINGTON ST GO Non Call				1FE	5,932,500	117.0620	5,853,100	5,000,000	5,578,952	0	(107,341)	0	0	5.000	2.521	JJ	125,000	250,000	08/02/2010	01/01/2019
1199999. Subtotal - Bonds - U.S. States, Territories and Possessions - Issuer Obligations						67,705,981	XXX	65,622,888	57,490,000	64,780,102	0	(1,105,501)	0	0	XXX	XXX	XXX	888,092	1,877,986	XXX	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds						67,705,981	XXX	65,622,888	57,490,000	64,780,102	0	(1,105,501)	0	0	XXX	XXX	XXX	888,092	1,877,986	XXX	XXX
088275-7A-4	Bexar Cnty TX GO Cont Call		1		1FE	2,384,470	107.2310	2,444,867	2,280,000	2,306,080	0	(17,011)	0	0	5.250	4.428	JD	5,320	119,700	11/19/2008	06/15/2019
184540-ZJ-4	CLEAR CREEK TX I GO Non Call				1FE	2,764,802	117.6120	2,846,210	2,420,000	2,610,033	0	(33,509)	0	0	5.000	3.320	FA	45,711	121,000	01/22/2009	02/15/2019
232760-F6-0	Cypress TX Indpt Sch GO Non Call				1FE	2,521,765	100.6000	2,338,950	2,325,000	2,329,980	0	(40,247)	0	0	5.000	3.220	FA	43,917	116,250	11/25/2008	02/15/2014
235218-NB-3	DALLAS TX GO Cont Call		1		1FE	2,225,420	105.3520	2,107,040	2,000,000	2,048,920	0	(42,360)	0	0	5.000	2.770	FA	37,778	100,000	08/18/2009	02/15/2017
349460-Y3-3	Fort Worth TX Sch Dist GO Non Call				1FE	4,981,747	105.3750	4,641,769	4,405,000	4,525,049	0	(104,216)	0	0	5.000	2.521	FA	83,206	220,250	04/29/2009	02/15/2015
358802-Y9-1	Frisco TX Indp Sch Dist GO Cont Call		1		1FE	1,248,000	113.9800	1,367,760	1,200,000	1,221,850	0	(5,445)	0	0	5.000	4.450	FA	22,667	60,000	09/17/2008	08/15/2021
401784-NK-5	Guilford Cnty NC GO Non Call				1FE	2,832,224	116.1060	2,763,323	2,380,000	2,598,978	0	(50,179)	0	0	5.000	2.610	FA	49,593	119,000	02/09/2009	02/01/2018
442402-4F-7	Houston TX Ind Sch Dist GO Non Call				1FE	1,217,712	102.6060	1,179,969	1,150,000	1,156,972	0	(12,592)	0	0	5.000	3.850	JJ	26,514	57,500	10/07/2008	07/15/2014
49474F-BD-1	KING CNTY WA GO Non Call				1FE	2,539,530	116.0280	2,436,588	2,100,000	2,426,891	0	(81,596)	0	0							

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
64966K-VY-9	NEW YORK NY GO Non Call				1FE	5,985,700		114,3970	5,000,000	5,934,556	0	(51,144)	0	0	5.000	2.561	FA	104,167	34,722	06/18/2013	08/01/2022
64966L-DX-6	NEW YORK NY GO Non Call				1FE	1,892,121		114,5370	1,650,000	1,891,256	0	(865)	0	0	5.000	3.051	FA	2,750	0	12/12/2013	08/01/2022
659154-3Z-9	North East ISD TX GO Cont Call	1			1FE	1,058,871		102,7690	1,030,000	1,033,227	0	(5,341)	0	0	5.000	4.450	FA	21,458	51,500	09/17/2008	08/01/2021
717146-HG-6	Pharr San Juan Alama TX GO Non Call				1FE	2,166,100		100,4100	2,000,000	2,002,901	0	(34,069)	0	0	5.000	3.250	FA	41,667	100,000	11/14/2008	02/01/2014
751091-JN-0	Raleigh NC GO Non Call				1FE	2,556,246		116,1060	2,180,000	2,514,843	0	(41,403)	0	0	5.000	1.140	FA	45,417	54,500	06/19/2013	02/01/2018
796237-WY-9	SAN ANTONIO TX GO Cont Call	1			1FE	1,606,822		115,4550	1,400,000	1,599,117	0	(7,705)	0	0	5.000	3.100	FA	29,167	0	08/08/2013	02/01/2024
1899999. Subtotal - Bonds - U.S. Political Subdivisions - Issuer Obligations						54,513,207	XXX	52,946,965	47,370,000	52,329,477	0	(777,564)	0	0	XXX	XXX	XXX	845,017	1,667,561	XXX	XXX
2499999. Total - U.S. Political Subdivisions Bonds						54,513,207	XXX	52,946,965	47,370,000	52,329,477	0	(777,564)	0	0	XXX	XXX	XXX	845,017	1,667,561	XXX	XXX
040580-FA-5	AZ Sch Fac Rev Bond Non Call				1FE	4,254,000		104,7980	4,000,000	4,045,094	0	(43,411)	0	0	5.000	3.840	JJ	100,000	200,000	10/09/2008	01/01/2015
052476-AA-0	AUSTIN TX WTR & Rev Bond Cont Call	1			1FE	4,971,880		114,7620	4,000,000	4,851,163	0	(85,447)	0	0	5.000	2.331	MN	25,556	200,000	07/12/2012	11/15/2023
13034A-BA-7	CA St Infra Rev Bond Non Call				1FE	2,613,525		117,8450	2,075,000	2,465,185	0	(80,004)	0	0	5.000	0.940	AO	25,938	103,750	02/02/2012	10/01/2018
13066Y-QM-4	CA St Dpt of Wtr Rev Bond Non Call				1FE	3,314,578		118,2710	2,925,000	3,171,391	0	(41,668)	0	0	5.000	3.265	MN	24,375	146,250	05/28/2010	05/01/2019
13066Y-QN-2	CA St Dpt of Wtr Rev Bond Non Call				1FE	3,946,697		118,5250	3,450,000	3,788,758	0	(47,432)	0	0	5.000	3.270	MN	28,750	172,500	07/09/2010	05/01/2020
13066Y-SP-5	CA St Dpt of Wtr Rev Bond Non Call				1FE	2,389,080		118,5250	2,000,000	2,292,156	0	(42,102)	0	0	5.000	2.492	MN	16,667	100,000	08/17/2011	05/01/2020
13068L-HE-8	CALIFORNIA ST PU Rev Bond Non Call				1FE	1,447,363		113,9480	1,250,000	1,442,126	0	(5,236)	0	0	5.000	2.761	MS	14,236	0	10/03/2013	09/01/2021
167562-MZ-7	CHICAGO IL MIDWA Rev Bond Non Call				1FE	3,350,361		112,1860	2,965,000	3,346,747	0	(3,614)	0	0	5.000	2.950	JJ	10,707	0	11/22/2013	01/01/2021
167593-FZ-0	CHICAGO IL O'HAR Rev Bond Non Call				1FE	4,331,080		113,7890	4,000,000	4,208,517	0	(47,656)	0	0	5.000	3.589	JJ	100,000	200,000	04/27/2011	01/01/2018
176553-GL-3	Citizens PPTY Ins Corp FL Rev Bond Non Call				1FE	4,476,160		112,0450	4,000,000	4,334,802	0	(92,940)	0	0	5.000	2.431	JD	16,667	200,000	06/13/2012	06/01/2017
235416-AM-3	DALLAS TX WTRWKS Rev Bond Non Call				1FE	2,361,100		117,3570	2,000,000	2,359,366	0	(1,734)	0	0	5.000	2.440	AO	25,000	0	12/12/2013	10/01/2021
29270C-HI-8	ENERGY N W IA EL Rev Bond Cont Call	1			1FE	3,117,510		102,4840	2,895,000	2,916,741	0	(42,297)	0	0	5.250	3.720	JJ	75,994	151,988	11/14/2008	07/01/2015
3130A0-A9-1	FHLB Note Call	1			1	1,400,000		100,0210	1,400,000	1,400,000	0	0	0	0	0.250	0.250	JJ	7,119	0	10/09/2013	10/30/2014
3130A0-AD-2	FHLB Note Call	1			1	600,000		100,0260	600,000	600,000	0	0	0	0	0.260	0.260	AO	286	0	10/09/2013	11/10/2014
3133XW-KV-0	FHLB Note Non Call				1	2,053,996		100,4490	2,000,000	2,008,450	0	(42,485)	0	0	2.375	0.248	MS	14,118	47,500	12/05/2012	03/14/2014
3134G3-JR-2	FHLB Note Non Call				1	1,499,700		100,0060	1,500,000	1,499,997	0	150	0	0	0.450	0.460	JJ	3,225	6,750	01/05/2012	01/09/2014
3134G4-2V-9	FHLB Note Call	1			1	899,991		100,0110	900,000	899,994	0	3	0	0	0.320	0.321	AO	496	1,440	04/29/2013	04/29/2015
3135G0-PQ-0	FNMA Note Non Call				1	2,399,880		98,2990	2,400,000	2,399,907	0	23	0	0	0.875	0.876	AO	3,792	21,000	09/20/2012	10/26/2017
3135G0-RD-7	FNMA Note Call	1			1	4,080,000		96,0020	4,080,000	4,080,000	0	0	0	0	1.550	1.548	AO	10,891	63,240	10/12/2012	10/29/2019
3135G0-IU-8	FNMA Note Non Call				1	5,353,668		96,7310	5,560,000	5,374,532	0	20,864	0	0	0.875	1.667	MN	5,406	24,325	06/26/2013	05/21/2018
3137EA-CT-4	FHLB Note Non Call				1	11,513,636		104,6590	10,890,000	11,226,145	0	(137,074)	0	0	2.500	1.193	MN	25,713	272,250	11/23/2011	05/27/2016
3137EA-DR-7	FHLB Note Non Call				1	5,474,294		93,7510	5,610,000	5,485,269	0	10,975	0	0	1.375	1.748	MN	12,856	44,354	05/29/2013	05/01/2020
37358M-DF-5	GEORGIA ST ROAD Rev Bond Non Call				1FE	4,050,197		118,3610	3,255,000	3,880,434	0	(73,024)	0	0	5.000	2.280	AO	40,688	162,750	08/17/2011	10/01/2021
442435-YM-9	Houston TX Util Sys Rev Bond Non Call				1FE	2,408,260		117,1940	2,000,000	2,251,404	0	(48,310)	0	0	5.000	2.259	MN	12,778	100,000	08/25/2010	11/15/2018
45200F-SQ-3	IL Fin Auth Univ Chicago Rev Bond Non Call				1FE	2,888,275		114,0580	2,500,000	2,889,073	0	(50,817)	0	0	5.000	2.720	JJ	62,500	125,000	11/24/2009	07/01/2017
46613C-UK-9	Jea FL Elec Sys Rev Bond Non Call				1FE	2,438,319		114,6440	2,135,000	2,301,290	0	(41,539)	0	0	5.000	2.796	AO	26,688	106,750	07/30/2010	10/01/2017
46613P-WQ-3	JEA FL WTR & SWR Rev Bond Non Call				1FE	3,716,217		117,0590	3,210,000	3,690,111	0	(26,106)	0	0	5.000	2.571	AO	40,125	23,629	07/26/2013	10/01/2020
48489Q-KK-3	KANSAS CITY MO A Rev Bond Non Call				1FE	1,353,336		113,2160	1,200,000	1,341,214	0	(12,122)	0	0	5.000	2.322	MS	20,000	5,167	07/24/2013	09/01/2018
485424-NZ-4	KS Dept Trans Hwy Rev Bond Non Call				1FE	3,180,000		118,8830	2,500,000	3,097,470	0	(82,530)	0	0	5.000	1.250	MS	41,667	87,500	01/10/2013	09/01/2020
485429-CT-9	KANSAS ST DEV F1 Rev Bond Non Call				1FE	2,553,049		117,4000	2,175,000	2,569,659	0	(8,390)	0	0	5.000	1.350	MS	36,250	0	11/18/2013	03/01/2019
544525-RX-8	LOS ANGELES CA D Rev Bond Non Call				1FE	3,067,894		118,3380	2,565,000	3,064,033	0	(3,861)	0	0	5.000	2.451	JJ	9,263	0	11/21/2013	07/01/2022
544712-V3-0	LA Onty CA Met Trans Rev Bond Non Call				1FE	4,337,437		107,2250	3,775,000	3,930,059	0	(100,580)	0	0	5.000	2.201	JJ	94,375	188,750	07/07/2009	07/01/2015
549208-EH-5	Lubbock TX health Fac Dev Rev Bond Non Call				1FE	3,290,700		114,3750	3,000,000	3,209,361	0	(33,856)	0	0	5.000	3.590	JJ	75,000	150,000	07/07/2011	07/01/2019
574204-TR-4	MD Dept Trans Cons Rev Bond Non Call				1FE	2,177,040		109,8010	2,000,000	2,056,697	0	(25,273)	0	0	5.000	3.600	FA	37,778	100,000	11/13/2008	02/15/2016
575577-HM-8	MA Bay Tran Auth Rev Bond Non Call				1FE	3,661,709		117,9040	2,930,000	3,598,871	0	(62,838)	0	0	5.000	1.740	JJ	73,250	73,250	03/26/2013	07/01/2021
575579-DT-1	MASSACHUSETTS ST Rev Bond Non Call				1FE	1,202,860		119,6120	1,000,000	1,192,278	0	(10,582)	0	0	5.250	2.430	JJ	26,250	0	07/12/2013	07/01/2021
575579-KX-8	MASSACHUSETTS ST Rev Bond Non Call				1FE	1,015,863		112,5400	960,000	989,326	0	(10,903)	0	0	5.500	4.200	JJ	26,400	52,800	06/27/2011	07/01/2016
59259N-V3-4	MET TRANSPRTN AU Rev Bond Non Call				1FE	6,001,835		116,0160	5,195,000	5,975,741	0	(26,094)	0	0	5.000	3.211	MN	33,190	66,381	08/07/2013	11/15/2023
59259Y-F5-3	MET TRANSPRTN AU Rev Bond Non Call				1FE	1,275,428		115,1240	1,100,000	1,265,028	0	(10,400)	0	0	5.000	2.601	MN	7,028	18,944	07/03/2013	11/15/2020
59259Y-F8-7	MET TRANSPRTN AU Rev Bond Non Call				1FE	1,371,840		112,3050	1,200,000	1,365,124	0	(6,716)	0	0	5.000	3.351	MN	7,667	20,667	07/03/2013	11/15/2023
59447P-NG-2	MI St Fin Auth Rev Bond Cont Call	1			1FE	2,674,710		112,7470	2,250,000	2,575,608	0	(69,145)	0	0	5.000	1.650	JJ	56,250	113		

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
649710-5B-5	NEW YORK CITY NY Rev Bond Non Call				1FE	5,604,069		116,9740	4,765,000	5,573,811	0	(6,458)	0	0	5.000	1.260	MM	39,708	0	12/17/2013	11/01/2018
649710-6N-8	NEW YORK CITY NY Rev Bond Non Call				1FE	2,935,850		115,9850	2,500,000	2,899,625	0	(46,456)	0	0	5.000	1.291	MM	20,833	45,486	06/13/2013	05/01/2018
649710-US-0	NEW YORK CITY NY Rev Bond Non Call				1FE	2,677,076		115,7260	2,465,000	2,852,646	0	(36,649)	0	0	5.000	3.030	MM	20,542	102,125	05/22/2013	11/01/2017
64972F-A7-1	NY NYC Wtr Fin Auth Rev Bond Non Call				1FE	1,148,120		116,2600	1,000,000	1,162,600	0	(15,713)	0	0	5.000	3.151	JD	2,222	50,000	02/19/2009	06/15/2018
649905-5B-8	NEW YORK ST DORM Rev Bond Non Call				1FE	2,642,990		114,2380	2,325,000	2,656,034	0	(17,112)	0	0	5.000	1.980	JJ	58,125	0	09/19/2013	07/01/2018
650010-AD-3	NEW YORK ST THRU Rev Bond Non Call				1FE	3,993,640		114,9950	3,500,000	4,024,825	0	(3,162)	0	0	5.000	2.201	JJ	6,319	0	12/12/2013	05/01/2019
650035-WN-4	NEW YORK ST URBA Rev Bond Non Call				1FE	4,671,000		116,5970	4,000,000	4,663,880	0	(31,730)	0	0	5.000	1.770	MS	58,889	0	09/20/2013	03/15/2019
67756A-30-3	OHIO ST HGR EDUC Rev Bond Non Call				1FE	1,328,385		113,8630	1,140,000	1,298,038	0	(2,854)	0	0	5.000	1.600	JJ	28,500	0	11/26/2013	01/01/2019
70869V-AE-4	PA St Econ Dev Rev Bond Non Call				1FE	7,018,980		116,7890	6,000,000	7,007,340	0	(99,045)	0	0	5.000	1.467	JJ	150,000	140,556	07/15/2013	07/01/2018
73358W-PN-8	PORT AUTH OF NEW Rev Bond Non Call				1FE	5,778,400		115,0730	5,000,000	5,753,650	0	(9,833)	0	0	5.000	2.560	JD	23,611	0	11/22/2013	12/01/2020
759911-K5-8	Reg Trns Auth IL Rev Bond Non Call				1FE	3,675,210		120,5870	3,000,000	3,617,610	0	(51,284)	0	0	6.250	3.900	JJ	93,750	187,500	05/08/2009	07/01/2021
759911-WB-2	Reg Trns Auth IL Rev Bond Non Call				1FE	1,760,419		115,7620	1,545,000	1,788,523	0	(22,040)	0	0	5.500	3.770	JD	7,081	84,975	09/09/2008	06/01/2018
759911-WC-0	Reg Trns Auth IL Rev Bond Non Call				1FE	4,765,646		116,0590	4,195,000	4,868,675	0	(51,815)	0	0	5.500	3.930	JD	19,227	230,725	09/09/2008	06/01/2019
796253-W3-2	San Antonio TX Elec Gas Rev Bond Non Call				1	31,836		100,4280	30,000	100,4280	0	(528)	0	0	5.250	3.438	FA	656	1,575	06/16/2010	02/01/2014
796253-W4-0	San Antonio TX Elec Gas Rev Bond Non Call				1FE	3,151,788		100,4280	2,970,000	2,982,712	0	(52,299)	0	0	5.250	3.438	FA	64,969	155,925	06/16/2010	02/01/2014
796311-CS-5	San Antonio TX Util Rev Bond Cont Call		1		1FE	2,025,563		115,8090	1,625,000	1,881,896	0	(23,366)	0	0	5.000	2.171	FA	33,854	16,927	04/25/2013	02/01/2024
812643-G0-1	SEATTLE WA MUNI Rev Bond Non Call				1FE	3,487,830		116,6470	3,000,000	3,499,410	0	(20,460)	0	0	5.000	2.900	JD	12,500	75,000	07/25/2013	06/01/2022
812728-PF-4	SEATTLE WA WTR S Rev Bond Non Call				1FE	1,660,875		115,8450	1,545,000	1,789,805	0	(12,609)	0	0	5.000	4.011	FA	32,188	77,250	11/20/2008	02/01/2018
882135-T4-8	TEXAS ST A & M U Rev Bond Non Call				1FE	4,494,520		106,5610	4,000,000	4,282,440	0	(91,679)	0	0	5.000	2.580	MM	25,556	200,000	10/15/2009	05/15/2015
89602N-T3-8	TRIBOROUGH NY BR Rev Bond Non Call				1FE	2,357,860		115,8750	2,000,000	2,317,500	0	(4,103)	0	0	5.000	2.740	MM	12,778	0	11/15/2013	11/15/2022
89602N-ZF-4	TRIBOROUGH NY BR Rev Bond Cont Call		1		1FE	2,281,240		113,8470	2,000,000	2,276,940	0	(11,784)	0	0	5.000	3.240	MM	12,778	50,000	07/15/2013	11/15/2024
913366-FV-7	UNIV OF CALIFORN Rev Bond Cont Call		1		1FE	1,123,650		113,0820	1,000,000	1,130,820	0	(3,965)	0	0	5.000	3.491	MM	6,389	11,667	08/08/2013	05/15/2024
927781-K5-2	VIRGINIA ST CLG Rev Bond Non Call				1FE	2,519,600		117,3390	2,000,000	2,346,780	0	(70,108)	0	0	5.000	1.190	FA	41,667	63,333	12/07/2012	02/01/2020
927793-UN-7	VA Comwth Trans Brd Rev Bond Non Call				1FE	4,390,540		117,5410	3,500,000	4,113,935	0	(119,607)	0	0	5.000	1.301	MM	22,361	175,000	02/01/2012	05/15/2019
92817S-LR-7	VA St Pub Sch Auth Rev Bond Cont Call		1		1FE	3,442,500		115,6700	3,000,000	3,470,100	0	(41,750)	0	0	5.000	3.289	FA	62,500	150,000	04/22/2009	08/01/2020
977109-BU-0	WI St Petro Rev Bond Non Call				1FE	3,416,339		107,0680	3,000,000	3,212,036	0	(74,548)	0	0	5.000	2.379	JJ	75,000	150,000	10/09/2009	07/01/2015
2599999	Subtotal - Bonds - U.S. Special Revenues - Issuer Obligations					232,632,144	XXX	229,390,755	205,285,000	228,269,754	0	(2,654,413)	0	0	XXX	XXX	XXX	2,463,836	5,723,979	XXX	XXX
3128PC-2X-9	FHLMC Pool J01690 MBS				1	106,532		108,8010	106,565	115,943	0	(18)	0	0	5.500	5.511	MM	488	5,861	09/21/2006	04/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS				2	200,775		109,4810	197,504	199,754	0	(213)	0	0	6.000	5.500	MM	988	11,850	11/02/2006	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS				2	196,971		109,9860	194,239	196,274	0	(13)	0	0	6.000	5.437	MM	971	11,654	11/09/2006	10/01/2021
3128PL-PR-7	FHLMC Pool J08532 MBS				2	712,933		108,6920	705,002	711,322	0	(367)	0	0	5.500	5.132	MM	3,231	38,775	11/05/2008	08/01/2023
3128PT-WF-9	FHLMC Pool J13958 MBS				2	870,649		106,5610	843,116	867,364	0	(1,553)	0	0	4.000	3.152	MM	2,610	33,725	12/13/2010	12/01/2025
3128PU-WF-5	FHLMC Pool J15146 MBS				2	577,149		107,3530	542,960	574,662	0	(800)	0	0	4.500	2.251	MM	2,036	24,433	05/16/2011	04/01/2026
3128PV-6T-2	FHLMC Pool J16282 MBS				2	372,834		104,6300	354,132	370,934	0	(1,034)	0	0	3.500	2.304	MM	1,033	12,395	08/16/2011	08/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS				2	497,354		107,2470	467,685	494,977	0	(803)	0	0	4.500	2.496	MM	1,754	21,046	05/17/2011	05/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS				2	701,423		107,1100	659,775	698,100	0	(1,394)	0	0	4.500	2.520	MM	2,474	29,690	05/17/2011	06/01/2026
3128PW-E2-0	FHLMC Pool J16453 MBS				2	52,464		104,5800	49,751	52,207	0	(149)	0	0	3.500	2.215	MM	145	1,741	08/17/2011	09/01/2026
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO				2	36,857		105,9700	36,663	36,571	0	(9)	0	0	7.950	8.025	MM	243	34	08/31/1999	07/01/2021
312926-2P-2	FHLMC Pool A80782 MBS				2	259,903		110,4060	251,753	255,198	0	(12)	0	0	6.000	4.468	MM	1,276	15,312	09/24/2008	08/01/2038
31292L-YA-7	FHLMC Pool C04305 MBS				2	733,353		94,8560	703,245	732,793	0	(483)	0	0	3.000	2.444	MM	1,758	21,097	12/18/2012	11/01/2042
312972-LE-0	FHLMC Pool B19325 MBS				2	139,486		106,1720	142,355	140,325	0	207	0	0	5.000	5.653	MM	593	7,118	09/05/2006	05/01/2020
3132GV-JF-0	FHLMC Pool Q09862 MBS				2	529,795		99,3350	495,642	528,990	0	(331)	0	0	3.500	2.556	MM	1,446	17,348	09/04/2012	08/01/2042
3132HQ-DB-5	FHLMC Pool Q13698 MBS				2	1,729,444		94,8560	1,651,513	1,728,001	0	(1,228)	0	0	3.000	2.382	MM	4,123	49,545	12/17/2012	12/01/2042
3132HQ-W4-1	FHLMC Pool Q13979 MBS				2	1,148,676		94,8560	1,097,243	1,147,689	0	(843)	0	0	3.000	2.407	MM	2,743	32,917	12/17/2012	12/01/2042
3132HQ-WR-0	FHLMC Pool Q13968 MBS				2	881,359		94,8560	839,140	880,577	0	(665)	0	0	3.000	2.338	MM	2,098	25,174	12/17/2012	12/01/2042
31385X-WN-3	FNMA Pool 555549 MBS				2	919,173		106,5290	887,019	906,818	0	(3,193)	0	0	5.000	3.477	MM	3,696	44,351	06/11/2009	06/01/2018
3138A2-B0-1	FNMA Pool AH0946 MBS				2	440,300		102,9950	418,897	440,051	0	(249)	0	0	4.000	3.021	MM	1,396	8,378	06/11/2013	12/01/2040
3138A8-YD-2	FNMA Pool AH7007 MBS				2	496,894		103,0050	472,038	496,609	0	(285)	0	0	4.000	2.953	MM	1,573	9,441	06/12/2013	03/01/2041
3138AB-TT-6	FNMA Pool AH9561 MBS				2	693,075		104,6910	658,699	689,835	0	(1,974)	0	0	3.500	2.213					

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
3138AW-EN-9	FNMA Pool AJ4640 MBS			2	1	464,590	103.0030	453,391	440,174	464,314	0	(276)	0	0	4.000	3.036	MON	1,467	8,803	06/10/2013	10/01/2041
3138AX-BX-8	FNMA Pool AJ5453 MBS			2	1	5,925,556	106.3120	5,833,808	5,487,420	5,924,052	0	(1,504)	0	0	4.500	3.086	MON	20,578	20,578	11/20/2013	11/01/2041
3138EO-PK-7	FNMA Pool AJ7689 MBS			2	1	461,416	103.0190	451,302	438,075	461,155	0	(262)	0	0	4.000	3.068	MON	1,460	8,761	06/12/2013	12/01/2041
3138E9-YH-7	FNMA Pool AK5211 MBS			2	1	3,111,943	102.1700	3,023,122	2,958,911	3,100,553	0	(7,106)	0	0	3.000	1.860	MON	7,397	88,767	05/15/2012	04/01/2027
3138EA-SG-3	FNMA Pool AK5918 MBS			2	1	2,060,684	102.9970	2,006,140	1,947,774	2,057,718	0	(877)	0	0	4.000	3.034	MON	6,493	77,911	04/02/2012	03/01/2042
3138EE-7J-2	FNMA Pool AK9896 MBS			2	1	1,225,035	99.4710	1,142,001	1,148,078	1,223,227	0	(604)	0	0	3.500	2.546	MON	3,349	40,183	09/04/2012	07/01/2042
3138EH-FB-3	FNMA Pool AL1061 MBS			2	1	1,081,767	102.9970	1,058,292	1,027,502	1,081,143	0	(624)	0	0	4.000	2.954	MON	3,425	20,550	06/13/2013	02/01/2041
3138EJ-LA-4	FNMA Pool AL2120 MBS			2	1	854,601	102.9990	835,328	811,009	854,098	0	(503)	0	0	4.000	2.998	MON	2,703	16,220	06/11/2013	02/01/2041
3138EK-AB-8	FNMA Pool AL2730 MBS			2	1	4,419,379	109.2330	4,383,875	4,013,342	4,417,733	0	(1,646)	0	0	5.000	2.684	MON	16,722	16,722	11/20/2013	07/01/2041
3138EK-BW-4	FNMA Pool AL2752 MBS			2	1	2,095,084	109.1660	2,080,980	1,906,247	2,094,446	0	(638)	0	0	5.000	2.791	MON	7,943	7,943	11/21/2013	03/01/2042
3138M4-E3-2	FNMA Pool AP1053 MBS			2	1	1,460,967	99.4710	1,361,743	1,368,988	1,458,787	0	(863)	0	0	3.500	2.556	MON	3,993	47,915	09/04/2012	07/01/2042
3138M4-TC-6	FNMA Pool AP1446 MBS			2	1	907,039	99.5020	843,603	847,824	905,641	0	(711)	0	0	3.500	2.524	MON	2,473	29,674	09/05/2012	08/01/2042
3138M7-6J-9	FNMA Pool AP4472 MBS			2	1	192,974	99.5020	179,977	180,878	192,694	0	(135)	0	0	3.500	2.538	MON	528	6,331	09/06/2012	08/01/2042
3138M9-3C-3	FNMA Pool AP6194 MBS			2	1	523,796	99.5330	486,107	488,388	522,958	0	(413)	0	0	3.500	2.487	MON	1,424	17,094	09/05/2012	08/01/2042
3138MK-VC-7	FNMA Pool AQ4210 MBS			2	1	2,747,682	95.0580	2,479,391	2,608,301	2,744,556	0	(2,325)	0	0	3.000	2.346	MON	6,521	78,249	12/10/2012	11/01/2042
3138MM-NT-5	FNMA Pool A05801 MBS			2	1	2,141,637	95.0500	1,931,863	2,032,471	2,139,551	0	(1,721)	0	0	3.000	2.295	MON	5,081	60,974	12/17/2012	11/01/2042
3138MS-AA-1	FNMA Pool AQ9926 MBS			2	1	792,932	95.0540	716,645	753,939	792,194	0	(608)	0	0	3.000	2.320	MON	1,885	22,618	12/17/2012	12/01/2042
3138NW-YS-3	FNMA Pool AR0688 MBS			2	1	361,402	95.0370	327,160	344,244	361,076	0	(289)	0	0	3.000	2.343	MON	861	10,327	12/17/2012	12/01/2042
3138W3-LR-2	FNMA Pool AR5735 MBS			2	1	5,315,639	99.4410	5,089,483	5,118,112	5,313,388	0	(2,251)	0	0	3.500	2.944	MON	14,928	89,567	06/06/2013	02/01/2043
31402Q-R6-0	FNMA Pool 735009 MBS			2	1	139,232	106.5370	150,975	141,712	139,951	0	143	0	0	5.000	5.555	MON	590	7,086	09/14/2006	05/01/2019
31402R-JE-0	FNMA Pool 735661 MBS			2	1	1,314,630	106.8390	1,291,712	1,209,029	1,277,650	0	(12,520)	0	0	5.500	1.676	MON	5,541	66,497	11/10/2010	12/01/2017
31407N-FK-4	FNMA Pool 835470 MBS			2	1	136,970	107.5960	150,430	139,810	137,618	0	97	0	0	5.000	5.680	MON	583	6,990	09/14/2006	09/01/2020
31407S-GA-4	FNMA Pool 839093 MBS			2	1	149,103	107.5870	163,611	152,073	158,833	0	158	0	0	5.000	5.588	MON	634	7,604	09/05/2006	10/01/2020
31410X-VR-4	FNMA Pool 900724 MBS			2	1	44,820	109.2510	48,963	44,817	44,788	0	(2)	0	0	5.500	5.401	MON	205	2,465	09/21/2006	08/01/2021
31412V-AG-3	FNMA Pool 935707 MBS			2	1	459,419	108.3790	467,458	431,316	454,957	0	(1,608)	0	0	5.000	3.247	MON	1,797	21,566	11/30/2009	11/01/2024
31414F-K9-1	FNMA Pool 964820 MBS			2	1	439,220	107.9800	469,866	435,141	438,467	0	(1,70)	0	0	5.000	4.537	MON	1,813	21,757	09/09/2008	08/01/2023
31416B-RB-6	FNMA Pool 995182 MBS			2	1	612,750	107.2380	600,951	560,388	600,815	0	(4,323)	0	0	5.500	2.203	MON	2,568	30,821	10/20/2010	06/01/2020
31416S-M2-4	FNMA Pool AA8476 MBS			2	1	1,333,671	108.3940	1,390,022	1,282,376	1,325,290	0	(2,397)	0	0	5.000	3.786	MON	5,343	64,119	06/30/2009	06/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS			2	1	1,257,686	109.5280	1,326,531	1,211,133	1,250,824	0	(1,685)	0	0	5.000	3.694	MON	5,046	60,557	06/30/2009	07/01/2024
31416X-6C-9	FNMA Pool AB2666 MBS			2	1	1,564,656	108.4540	1,592,902	1,468,730	1,555,292	0	(4,361)	0	0	4.500	2.736	MON	5,508	66,093	05/16/2011	04/01/2026
31417D-M7-5	FNMA Pool AB6681 MBS			2	1	1,279,938	95.0390	1,159,202	1,219,714	1,278,782	0	(953)	0	0	3.000	2.349	MON	3,049	36,591	12/17/2012	10/01/2042
31417E-CM-1	FNMA Pool AB7275 MBS			2	1	1,593,192	95.0390	1,442,692	1,518,004	1,591,763	0	(1,177)	0	0	3.000	2.347	MON	3,795	45,540	12/17/2012	12/01/2042
31417Y-VY-0	FNMA Pool MA0630 MBS			2	1	564,731	106.1830	576,150	542,603	560,257	0	(1,708)	0	0	4.000	2.520	MON	1,809	21,704	12/16/2010	01/01/2021
31418V-VF-6	FNMA Pool AD7813 MBS			2	1	1,319,929	108.9780	1,359,422	1,247,423	1,310,200	0	(4,050)	0	0	4.500	2.940	MON	4,678	56,134	06/16/2010	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS			2	1	720,275	108.9830	742,403	681,212	715,076	0	(2,108)	0	0	4.500	2.946	MON	2,555	30,655	06/16/2010	06/01/2025
31419M-CN-9	FNMA Pool AE9984 MBS			2	1	217,226	104.8460	216,715	206,698	216,077	0	(637)	0	0	3.500	2.360	MON	603	7,234	08/16/2011	05/01/2026
31419M-CZ-2	FNMA Pool AE9987 MBS			2	1	484,369	104.8470	483,407	461,059	481,820	0	(1,501)	0	0	3.500	2.410	MON	1,345	16,137	08/16/2011	07/01/2026
2699999. Subtotal - Bonds - U.S. Special Revenues - Residential Mortgage-Backed Securities						79,223,359	XXX	77,160,194	74,707,037	79,011,420	0	(99,453)	0	0	XXX	XXX	XXX	248,863	2,099,811	XXX	XXX
3199999. Total - U.S. Special Revenues Bonds						311,855,503	XXX	306,550,949	279,992,037	305,281,174	0	(2,753,866)	0	0	XXX	XXX	XXX	2,712,699	7,823,790	XXX	XXX
00206R-CA-8	AT&T INC Corp Note MW			1	1FE	1,299,506	100.2300	1,302,990	1,300,000	1,299,514	0	8	0	0	2.375	2.383	MON	2,916	0	12/02/2013	11/27/2018
00287Y-AH-2	ABBVIE INC Corp Note Non Call			1	2FE	2,265,000	101.0260	2,288,239	2,265,000	2,265,000	0	0	0	0	0.988	1.027	FIAN	3,517	5,942	08/09/2013	11/06/2015
00440E-AG-2	ACE INA HOLDINGS Corp Note MW 20BP			1	1FE	899,300	102.4320	825,064	825,000	892,592	0	(16,312)	0	0	5.875	3.806	JD	2,154	48,469	08/19/2009	06/15/2014
00440E-AJ-6	ACE INA HOLDINGS Corp Note Cont Call			1	1FE	313,468	112.2350	365,886	326,000	320,220	0	1,626	0	0	5.700	6.335	FA	7,020	18,582	05/22/2009	02/15/2017
020002-AR-2	Allstate Corp Note Non Call			1	1FE	1,209,615	102.7560	1,130,316	1,100,000	1,125,116	0	(39,958)	0	0	5.000	1.302	FA	20,778	55,000	11/08/2011	08/15/2014
025815-AB-7	American Express Corp Note Non Call			1	1FE	1,660,000	100.6570	1,670,906	1,660,000	1,660,000	0	0	0	0	0.689	0.760	FIAN	1,557	12,347	11/08/2012	11/13/2015
026660-L8-4	AMER HONDA FIN Corp Note Non Call Prv Plc			1	1FE	800,000	100.3600	802,880	800,000	800,000	0	0	0	0	0.458	0.532	FIAN	590	3,990	11/08/2012	11/03/2014
03076C-AF-3	AMERIPRISE FINL Corp Note MW			1	1FE	1,253,927	99.7150	1,256,409	1,260,000	1,254,087	0	160	0	0	4.000	4.058	AO	16,100	0	09/03/2013	10/15/2023
031162-BG-4	AMGEN INC Corp Note Call MW 20BP			1	2FE	1,299,785	104.4700	1,258,864	1,205,000	1,280,211											

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
05348E-AH-2	AVALONBAY COMMUN Corp Note MW 20BP			1	2FE	647,878	101,3270	648,493	640,000	640,542	0	(1,846)	0	0	5.375	5.071	AO	7,262	34,400	08/28/2009	04/15/2014
05348E-AR-0	AVALONBAY COMMUN Corp Note Call			1	2FE	848,331	89,7390	767,268	855,000	848,907	0	552	0	0	2.850	2.939	MS	7,175	18,343	12/05/2012	03/15/2023
05531F-AH-6	BB&T Corp Note Call			1	1FE	101,734	100,4310	100,431	100,000	100,000	0	(1,378)	0	0	2.050	0.545	AO	359	2,050	01/28/2013	04/28/2014
060505-DA-9	Bank of America Corp Note Non Call			1	2FE	1,072,091	110,1960	1,079,921	980,000	1,059,118	0	(12,972)	0	0	5.420	2.767	MS	15,640	26,558	06/10/2013	03/15/2017
06051G-EB-1	BANK OF AMER CRP Corp Note Non Call			1	1FE	724,268	104,6210	758,502	725,000	724,810	0	145	0	0	4.500	4.522	AO	8,156	32,625	03/08/2010	04/01/2015
06051G-EC-9	BANK OF AMER CRP Corp Note Non Call			1	1FE	1,367,507	114,2300	1,507,836	1,320,000	1,358,517	0	(4,886)	0	0	5.625	5.092	JJ	37,125	74,250	02/03/2012	07/01/2020
06051G-EQ-8	BANK OF AMER CRP Corp Note Non Call			1	1FE	498,540	106,8830	534,415	500,000	499,028	0	278	0	0	3.875	3.940	MS	5,328	19,375	03/19/2012	03/22/2017
06406H-CC-1	BANK OF NY MELLO Corp Note Call			1	1FE	655,408	100,8360	655,434	650,000	653,725	0	(1,683)	0	0	1.200	0.653	FA	2,838	3,900	07/03/2013	02/20/2015
071813-BK-4	Baxter Intl Inc Corp Note Non Call			1	1FE	1,001,000	100,3670	1,003,670	1,000,000	1,000,756	0	(244)	0	0	0.413	0.347	MJSD	241	1,077	09/09/2013	12/11/2014
084664-BZ-3	BERKSHIRE HATH Corp Note MW			1	1FE	641,331	99,3570	635,885	640,000	641,321	0	(11)	0	0	2.900	2.866	AO	3,918	0	12/02/2013	10/15/2020
09247X-AK-7	BlackRock Inc Corp Note MW 15BP			1	1FE	1,015,520	101,3710	1,013,710	1,000,000	1,011,089	0	(4,431)	0	0	1.375	0.587	JD	1,146	6,875	06/04/2013	06/01/2015
097023-AY-1	Boeing Company Corp Note MW 25BP			1	1FE	912,244	103,3670	950,976	920,000	918,307	0	1,453	0	0	3.500	3.669	FA	12,164	32,200	07/23/2009	02/15/2015
10112R-AT-1	Boston Prop Corp Note MW 35BP			1	2FE	1,247,088	105,5870	1,319,838	1,250,000	1,247,904	0	386	0	0	3.700	3.738	MM	5,910	46,250	11/03/2011	11/15/2018
10138M-AB-8	Bottling Group Corp Note Cont Call			1	1FE	1,746,378	101,3760	1,774,080	1,750,000	1,749,833	0	803	0	0	6.950	6.999	MS	35,812	121,625	10/21/2008	03/15/2014
12189T-AY-0	BURLINGTON NORTH Corp Note Cont Call			1	2FE	677,925	112,6690	670,381	595,000	676,152	0	(1,774)	0	0	5.650	1.441	MM	5,603	0	12/02/2013	05/01/2017
12189T-BB-9	BURLINGTON NORTH Corp Note MW 50BP			1	1FE	319,946	100,5750	321,840	320,000	319,998	0	21	0	0	7.000	7.007	FA	9,333	22,400	11/25/2008	02/01/2014
126650-AV-2	CVS CAREMARK Corp Note Cont Call			1	2FE	1,095,962	103,1000	1,067,085	1,035,000	1,044,249	0	(12,811)	0	0	4.875	3.572	MS	14,857	50,456	09/21/2009	09/15/2014
133131-AT-9	CAMDEN PROP TRST Corp Note MW 25BP			1	2FE	1,959,111	90,3620	1,789,168	1,980,000	1,961,057	0	1,830	0	0	2.950	3.073	JD	2,596	59,708	12/04/2012	12/15/2022
140420-ND-8	CAPITAL ONE BANK Corp Note Call			1	1FE	949,972	99,8160	948,252	950,000	949,973	0	1	0	0	1.150	1.151	MM	1,214	0	11/18/2013	11/21/2016
14912L-ST-4	CATERPILLAR FINL Corp Note Non Call			1	1FE	1,499,295	101,4850	1,522,275	1,500,000	1,499,338	0	43	0	0	2.450	2.460	MS	11,740	0	09/03/2013	09/06/2018
17275R-AG-7	Cisco Systems Inc Corp Note MW 10BP			1	1FE	1,476,787	102,3520	1,484,104	1,450,000	1,470,571	0	(6,911)	0	0	2.900	1.263	MM	5,139	27,550	09/10/2013	11/17/2014
172967-ET-4	CITIGROUP INC Corp Note Non Call			1	1FE	1,607,852	104,1410	1,603,771	1,540,000	1,606,746	0	(1,106)	0	0	1.941	0.941	MMAN	3,902	0	12/02/2013	05/15/2018
172967-EY-3	CITIGROUP INC Corp Note Non Call			1	1FE	581,290	103,5710	569,641	550,000	554,705	0	(7,379)	0	0	6.375	4.941	FA	13,538	35,063	02/17/2010	08/12/2014
172967-GG-0	CITIGROUP INC Corp Note Non Call			1	1FE	1,625,876	100,3730	1,636,080	1,630,000	1,627,194	0	1,318	0	0	1.250	1.336	JJ	9,395	10,470	01/03/2013	01/15/2016
20030N-AW-1	COMCAST CORP Corp Note Cont Call			1	1FE	1,214,647	115,4010	1,315,571	1,140,000	1,183,417	0	(8,752)	0	0	5.700	4.725	MM	8,303	64,980	03/03/2010	05/15/2018
20825C-AR-5	Conoco Phillips Corp Note MW 50BP			1	1FE	1,514,722	115,6100	1,763,053	1,525,000	1,519,036	0	982	0	0	5.750	5.840	FA	36,536	87,688	01/29/2009	02/01/2019
22003B-AH-9	CORP OFF PROP LP Corp Note Call MW			1	2FE	1,071,796	101,8820	1,105,420	1,085,000	1,072,039	0	243	0	0	5.250	5.405	FA	16,614	0	09/09/2013	02/15/2024
224044-CA-3	Cox Communication Corp Note Call MW 20BP Prv Plc			1	2FE	1,082,792	87,0290	996,482	1,145,000	1,085,674	0	2,882	0	0	2.950	3.599	JD	6,94	22,425	06/14/2013	06/30/2023
233851-AC-8	DAIMLER FINANCE Corp Note Non Call Prv Plc			1	1FE	350,000	100,4480	351,568	350,000	350,000	0	0	0	0	0.857	0.919	MJSD	17	3,173	03/21/2011	03/28/2014
233851-AS-3	DAIMLER FINANCE Corp Note Non Call Prv Plc			1	1FE	800,000	100,3310	802,648	800,000	800,000	0	0	0	0	0.843	0.905	JAJO	1,574	5,327	01/07/2013	01/09/2015
233851-BA-1	DAIMLER FINANCE Corp Note MW Prv Plc			1	1FE	633,750	100,0860	625,538	625,000	633,619	0	(131)	0	0	2.375	2.058	FA	6,185	0	11/29/2013	08/01/2018
235851-AK-8	Danaher Corp Note MW 10BP			1	1FE	1,011,000	100,5020	1,005,020	1,000,000	1,004,629	0	(6,371)	0	0	1.300	0.324	JD	289	13,000	05/01/2013	06/23/2014
24422E-RX-9	JOHN DEERE CAP Corp Note Non Call			1	1FE	600,000	100,3100	601,860	600,000	600,000	0	0	0	0	0.343	0.373	JAJO	486	2,403	10/09/2012	10/08/2014
25459H-AN-5	DirectTV Holding Corp Note MW 20BP			1	2FE	1,299,036	103,3430	1,343,459	1,300,000	1,299,756	0	196	0	0	3.550	3.566	MS	13,589	46,150	06/15/2010	03/15/2015
25470D-AC-3	Discovery Comm Corp Note MW 30BP			1	2FE	1,224,069	109,2300	1,201,530	1,100,000	1,222,685	0	(1,384)	0	0	5.050	3.118	JD	4,629	0	11/27/2013	06/01/2020
260543-CD-3	Dow Chemical Corp Note MW 25BP PPut			1	2FE	813,778	103,2630	841,593	815,000	814,481	0	235	0	0	2.500	2.531	FA	7,697	20,375	11/04/2010	02/15/2016
263534-BV-0	Dupont E I De Nemours Corp Note MW 50BP			1	1FE	50,761	100,2200	51,112	51,000	50,998	0	52	0	0	5.875	5.981	JJ	1,382	2,996	12/09/2008	01/15/2014
263534-BZ-1	Dupont E I De Nemours Corp Note MW 20BP			1	1FE	473,029	109,7570	521,346	475,000	473,762	0	174	0	0	4.625	4.675	JJ	10,130	21,969	11/04/2009	01/15/2020
26441C-AE-5	Duke Energy Corp Note MW 15BP			1	2FE	1,249,313	103,2290	1,290,363	1,250,000	1,249,820	0	139	0	0	3.350	3.362	AO	10,469	41,875	03/22/2010	04/01/2015
26441Y-AV-9	Duke Realty Corp Note Call MW 45BP			1	2FE	1,369,570	98,0590	1,255,155	1,280,000	1,359,956	0	(8,272)	0	0	4.375	3.493	JD	2,489	56,000	10/25/2012	06/15/2022
26884L-AB-5	EOT Corp Note MW 45BP			1	2FE	663,870	102,7590	688,485	670,000	664,951	0	512	0	0	4.875	4.992	MM	4,174	32,663	11/02/2011	11/15/2021
26884T-AK-8	ERAC USA FINANCE Corp Note MW Prv Plc			1	2FE	1,201,520	100,3810	1,179,477	1,175,000	1,201,029	0	(491)	0	0	2.800	2.313	MM	5,483	0	11/22/2013	11/01/2018
29273R-AH-2	Energy Transfer Corp Note MW 50BP			1	2FE	1,099,963	116,0930	1,056,446	910,000	1,070,097	0	(29,865)	0	0	6.700	2.538	JJ	30,485	30,485	02/04/2013	07/01/2018
29379V-AS-2	Enterprise Products Oper Corp Note MW 20BP			1	2FE	1,233,777	104,4350	1,235,000	1,235,000	1,234,479	0	238	0	0	3.200	3.221	FA	16,467	39,520	01/04/2011	02/01/2016
30161M-AH-6	EXELON GENERATIO Corp Note MW 25BP			1	2FE	1,104,264	98,8000	1,037,400	1,050,000	1,098,888	0	(5,377)	0	0	4.000	3.201	AO	10,500	42,000	03/07/2013	10/01/2020
345397-VT-7	FORD MOTOR CRED Corp Note Non Call			1	2FE	834,100	111,5940	848,114	760,000	815,670	0	(11,694)	0	0	5.000	3.191	MM	4,856	38,000	05/23/2012	05/15/2018
345397-WC-3	FORD MOTOR CRED Corp Note Non Call			1	2FE	1,116,500	102,6540	1,129,194	1,100,000	1,108,475	0	(6,031)	0	0	2.750	2.176	MM	3,865	30,250	08/27/2012	05/15/2015
35671D-BD-6	FREEMPORT-MC C&G Corp Note MW																				

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
42217K-AY-2	HEALTH CARE REIT Corp Note Call			1	2FE	1,073,038		1,043,566	995,000	1,065,548	0	(7,490)	0	0	4.125	2.603	AO	10,261	20,522	06/03/2013	04/01/2019
454889-AP-1	IND MICH POWER Corp Note Call MW 20BP			1	2FE	1,392,754		1,293,863	1,395,000	1,392,904	0	150	0	0	3.200	3.219	MS	13,144	21,948	03/13/2013	03/15/2023
459200-GM-7	IBM CORP Corp Note MW 50BP			1	1FE	886,853		125,4980	750,000	826,130	0	(13,684)	0	0	7.625	5.201	AO	12,073	57,188	02/04/2009	10/15/2018
459200-HB-0	IBM CORP Corp Note MW 10BP			1	1FE	1,002,818		1,003,020	1,000,000	1,001,816	0	(1,002)	0	0	0.550	0.384	FA	2,215	2,750	05/17/2013	02/06/2015
46623E-JR-1	JPMORGAN CHASE Corp Note Non Call				1FE	1,264,481		1,270,490	1,265,000	1,264,688	0	172	0	0	1.100	1.114	AO	2,938	13,799	10/15/2012	10/15/2015
46625H-HL-7	JP Morgan Chase Corp Note Non Call				1FE	449,375		118,1670	450,000	449,620	0	59	0	0	6.300	6.319	AO	5,355	28,350	04/16/2009	04/23/2019
46625H-HP-8	JP Morgan Chase Corp Note Non Call				1FE	1,214,100		1,237,464	1,200,000	1,203,255	0	(2,990)	0	0	3.700	3.435	JJ	19,857	44,400	03/03/2010	01/20/2015
46625H-JE-1	JP Morgan Chase Corp Note Non Call				1FE	1,336,822		95,8340	1,345,000	1,337,721	0	708	0	0	3.250	3.322	MS	11,900	43,591	09/19/2012	09/23/2022
46849L-SF-9	JACKSON NAT LIFE Corp Note Non Call Prv Plc				1FE	900,000		100,2930	900,000	900,000	0	0	0	0	0.597	0.598	MJSD	30	1,361	09/25/2013	09/30/2015
49326E-EE-9	Keycorp Corp Note Call			1	2FE	1,024,354		99,4190	1,025,000	1,024,363	0	9	0	0	2.300	2.313	JD	3,143	0	11/05/2013	12/13/2018
494550-BE-5	KINDER MORGAN EN Corp Note MW 30BP			1	2FE	1,204,530		110,0150	1,205,000	1,204,600	0	49	0	0	5.300	5.306	MS	18,805	63,865	05/12/2010	09/15/2020
501044-OM-1	KROGER CO/THE Corp Note MW 25BP Pput			1	2FE	879,023		105,3930	880,000	879,692	0	167	0	0	3.900	3.921	AO	8,580	34,320	09/24/2009	10/01/2015
534187-BC-2	Lincoln National Corp Note Non Call				2FE	660,000		101,6980	660,000	660,000	0	0	0	0	4.200	4.200	MS	8,162	27,720	03/26/2012	03/15/2022
56585A-AE-2	Marathon Petroleum Corp Note Non Call				2FE	963,294		105,0970	915,000	961,697	0	(1,597)	0	0	3.500	1.106	MS	10,675	0	12/02/2013	03/01/2016
571748-AS-1	Marsh & McLennan Co Inc Corp Note Non Call			1	2FE	1,154,890		100,7170	1,156,000	1,155,278	0	212	0	0	2.300	2.320	AO	6,647	26,588	03/07/2012	04/01/2017
59217E-BW-3	MetLife Global Corp Note Non Call Prv Plc				1FE	1,366,235		102,1250	1,300,000	1,326,908	0	(39,327)	0	0	5.125	0.406	JD	6,625	66,625	05/07/2013	06/10/2014
59217G-AQ-2	MetLife Global Corp Note Non Call Prv Plc				1FE	1,083,839		100,1360	1,085,000	1,084,010	0	100	0	0	3.875	3.888	AO	9,343	42,044	04/04/2012	04/11/2022
595620-AD-7	MidAmerican Energy Corp Note Cont Call				1FE	1,057,440		103,0560	1,000,000	1,031,764	0	(25,676)	0	0	4.650	0.388	AO	11,625	23,250	05/21/2013	10/01/2014
61747Y-CL-7	MORGAN STANLEY Corp Note Non Call				2FE	849,813		103,5980	850,000	849,957	0	39	0	0	4.100	4.105	JJ	15,005	34,850	01/21/2010	01/26/2015
61747Y-DT-9	MORGAN STANLEY Corp Note Non Call				2FE	1,106,360		109,4220	1,094,220	1,085,385	0	(20,975)	0	0	4.750	1.999	MS	13,063	47,500	03/01/2013	03/22/2017
61945C-AC-7	MOSAIC CO Corp Note Call MW			1	2FE	972,953		99,1600	975,000	972,975	0	22	0	0	4.250	4.276	MN	5,525	0	11/07/2013	11/15/2023
626530-BE-6	MYLAN INC Corp Note MW			1	2FE	1,049,381		100,0140	1,050,000	1,049,399	0	19	0	0	1.350	1.370	MN	1,260	0	11/19/2013	11/29/2016
63946B-AA-8	NBC Universal Corp Note MW 25BP			1	1FE	355,799		100,4500	350,000	351,575	0	(4,509)	0	0	2.100	0.605	AO	1,838	7,350	02/14/2013	04/01/2014
64952W-BM-4	NEW YORK LIFE GL Corp Note Non Call Prv Plc				1FE	2,728,226		99,3430	2,730,000	2,728,744	0	518	0	0	0.800	0.822	FA	8,433	10,859	02/06/2013	02/12/2016
65248Z-BT-6	NEWS AMER INC Corp Note MW 50BP			1	2FE	333,999		120,5890	2,700,000	333,412	0	(586)	0	0	6.900	2.490	MS	6,325	0	12/09/2013	03/01/2019
65248Z-CH-1	NEWS AMER INC Corp Note MW Prv Plc			1	2FE	258,432		97,2760	260,000	258,465	0	33	0	0	4.000	4.074	AO	2,629	0	09/25/2013	10/01/2023
653522-DQ-2	Niagara Mohawk Corp Note MW 20BP Prv Plc				1FE	1,366,183		101,8900	1,300,000	1,327,783	0	(36,981)	0	0	3.553	0.680	AO	11,547	46,189	12/13/2012	10/01/2014
655844-AZ-1	NORFOLK SOUTHERN Corp Note MW 35BP Pput			1	2FE	1,289,079		114,1810	1,190,000	1,243,919	0	(11,265)	0	0	5.750	4.564	AO	17,106	68,425	09/02/2009	04/01/2018
665859-AK-0	NORTHERN TRST CO Corp Note Non Call				1FE	1,156,815		101,4600	1,100,000	1,115,030	0	(41,785)	0	0	4.625	0.493	MN	8,479	50,875	01/24/2013	05/01/2014
66989H-AE-8	Novartis Capital Corp Note MW 10BP			1	1FE	1,339,538		91,8950	1,300,000	1,340,739	0	940	0	0	2.400	2.488	MS	9,000	32,400	09/18/2012	09/21/2022
693476-BA-0	PNC Funding Corp Note Non Call				1FE	297,557		100,2840	300,000	299,893	0	1,303	0	0	0.437	1.183	JAJO	226	1,486	03/12/2012	01/31/2014
693476-BF-9	PNC Funding Corp Note Non Call				1FE	1,091,751		120,6030	1,000,000	1,056,962	0	(8,771)	0	0	6.700	5.475	JD	3,908	67,000	08/25/2009	06/10/2019
69371R-L5-3	PACCAR FINL CORP Corp Note Non Call				1FE	500,000		100,1200	500,000	500,000	0	0	0	0	0.378	0.408	MN	142	1,110	05/09/2013	05/05/2015
69371R-L7-9	PACCAR FINL CORP Corp Note Non Call				1FE	1,285,000		100,3630	1,285,000	1,285,000	0	0	0	0	0.842	0.842	MJSD	781	0	12/03/2013	12/06/2018
737415-AL-3	Post Apartment Homes Corp Note Call MW 25BP			1	2FE	1,799,496		91,4270	1,800,000	1,799,580	0	73	0	0	3.375	3.378	JD	5,063	64,800	11/02/2012	12/01/2022
74005P-AS-3	Praxair Inc Corp Note MW 40BP			1	1FE	498,420		101,0010	500,000	499,912	0	336	0	0	4.375	4.449	MS	5,530	21,875	03/23/2009	03/31/2014
74005P-AV-6	Praxair Inc Corp Note MW 15BP			1	1FE	812,905		104,6630	815,000	814,370	0	354	0	0	3.250	3.297	MS	7,799	26,488	08/27/2009	09/15/2015
74153W-BY-4	PRICOA GLOB FUND Corp Note Non Call Prv Plc				1FE	498,940		102,1740	500,000	499,894	0	230	0	0	5.450	5.499	JD	1,514	27,250	06/04/2009	06/11/2014
74153W-CA-5	PRICOA GLOB FUND Corp Note Non Call Prv Plc				1FE	600,000		100,1320	600,000	600,000	0	0	0	0	0.508	0.533	FMAN	364	780	08/20/2013	08/19/2015
74256L-AA-7	PRINCIPAL LFE II Note Non Call Prv Plc				1FE	500,000		100,4650	500,000	500,000	0	0	0	0	0.868	1.085	JAJO	1,013	4,696	07/10/2012	07/09/2014
74256L-AG-4	PRINCIPAL LFE II Corp Note Non Call Prv Plc				1FE	1,104,994		98,8090	1,100,000	1,104,869	0	(125)	0	0	2.250	2.152	AO	6,256	0	11/05/2013	10/15/2018
743263-AM-7	Progress Energy Inc Corp Note MW 50BP			1	2FE	448,763		101,1160	450,000	449,943	0	276	0	0	6.050	6.115	MS	8,016	27,225	03/16/2009	03/15/2014
744320-BJ-3	PRUDENTIAL FIN Corp Note Non Call				2FE	698,369		106,9670	700,000	699,489	0	281	0	0	4.750	4.795	MS	9,606	33,250	09/10/2009	09/17/2015
756109-AM-6	REALTY INCOME Corp Note Call MW 25BP				2FE	656,235		97,8220	665,000	656,318	0	83	0	0	2.000	2.337	JJ	5,579	0	12/12/2013	01/31/2018
760759-AH-3	REPUBLIC SVCS Corp Note MW 25BP Pput			1	2FE	999,851		110,2070	1,000,000	999,925	0	10	0	0	5.000	5.001	MS	16,667	50,000	08/01/2010	03/01/2020
78573A-AE-0	SABMILLER HLD IN Corp Note MW Prv Plc			1	2FE	1,209,480		99,7180	1,200,000	1,209,304	0	(176)	0	0	2.200	2.022	FA	10,120	0	11/22/2013	08/01/2018
816851-AR-0	SEMPRA ENERGY Corp Note Non Call				2FE	1,394,367		100,4590	1,390,000	1,390,297	0	(1,477)	0	0	1.003	0.964	MJSD	620	14,598	03/18/2011	03/15/2014
828807-CF-2	Simon Property Corp Note MW 25BP			1	1FE	1,245,063		105,9350	1,324,188	1,246,425	0	416	0	0	4.375	4.422	MS	18,229	54,688	08/09/2010	03/01/2021
845467-AE-9	SOUTHWIN ENERGY Corp Note MW			1	2FE	1,195,998		118,5980	1,195,980	1,193,868	0	(2,131)	0	0	7.500	2.477	FA	31,250	0	12/16/2013	02/01/2018
855030-AM-4	Staples Inc Corp Note Call MW																				

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
92276M-AX-3	VENTAS REALTY LP Corp Note Non Call				2FE	620,088	.99	624,756	625,000	620,874	.0	.413	.0	.0	4.250	4.347	MS	8,854	26,563	02/01/2012	03/01/2022
92343V-AM-6	VERIZON COMM INC Corp Note Cont Call			1	2FE	649,150	116	637,325	625,000	638,234	.0	(2,668)	.0	.0	6.100	5.538	AO	8,049	38,125	06/23/2009	04/15/2018
92343V-BP-8	VERIZON COMM INC Corp Note MW			1	2FE	469,981	106	498,981	470,000	469,981	.0	.0	.0	.0	3.650	3.651	MS	4,908	.0	09/11/2013	09/14/2018
92780A-FH-2	VA Electric Corp Note MW 25BP			1	1FE	898,245	112	1,014,210	900,000	898,934	.0	.165	.0	.0	5.000	5.025	JD	.125	45,000	06/23/2009	06/30/2019
929903-CH-3	WACHOVIA CORP Corp Note Non Call				1FE	642,840	112	639,620	570,000	640,972	.0	(1,868)	.0	.0	5.625	1.077	AO	6,769	.0	12/02/2013	10/15/2016
931422-AE-9	WALGREEN CO Corp Note MW			1	2FE	1,291,643	111	1,276,435	1,140,000	1,289,998	.0	(1,645)	.0	.0	5.250	2.457	JJ	27,598	.0	12/05/2013	01/15/2019
94106L-AS-8	Waste Management Corp Note MW 40BP			1	2FE	752,414	115	748,085	650,000	719,794	.0	(15,233)	.0	.0	6.100	3.339	MS	11,675	39,650	10/21/2011	03/15/2018
94106L-AT-6	Waste Management Corp Note MW 50BP			1	2FE	608,566	106	628,922	590,000	594,427	.0	(3,552)	.0	.0	6.375	5.711	MS	11,493	37,613	09/09/2009	03/11/2015
94974B-ET-3	WELLS FARGO CO Corp Note Non Call				1FE	1,053,070	102	1,025,960	1,000,000	1,024,008	.0	(29,062)	.0	.0	3.750	0.526	AO	9,375	37,500	01/31/2013	10/01/2014
94974B-EU-0	WELLS FARGO CO Corp Note Non Call				1FE	1,298,375	104	1,352,494	1,300,000	1,299,563	.0	327	.0	.0	3.625	3.652	AO	9,949	47,125	03/23/2010	04/15/2015
96950F-AH-7	Williams Partners LP Corp Note MW 30BP			1	2FE	1,558,630	.98	1,543,622	1,565,000	1,559,777	.0	.553	.0	.0	4.000	4.050	MN	7,999	62,600	11/14/2011	11/15/2021
984121-BY-8	XEROX CORP Corp Note MW 75BP PPut			1	2FE	997,310	102	977,037	950,000	954,192	.0	(10,854)	.0	.0	8.250	7.016	MN	10,015	78,375	07/07/2009	05/15/2014
06367V-HK-4	BANK OF MONTREAL Corp Note Non Call			A	1FE	2,700,000	100	2,709,315	2,700,000	2,700,000	.0	.0	.0	.0	0.498	0.500	MUSD	299	3,175	09/25/2013	09/24/2015
136375-BS-0	CANADIAN NATL RR Corp Note Non Call			A	1FE	541,708	100	542,122	540,000	540,013	.0	(329)	.0	.0	4.950	4.887	JJ	12,326	26,730	05/07/2008	01/15/2014
15135U-AD-1	Cenovus Energy Corp Note MW 35BP			A	1FE	1,217,748	113	1,192,769	1,050,000	1,215,062	.0	(2,686)	.0	.0	5.700	2.743	AO	12,635	.0	11/20/2013	10/15/2019
71644E-AH-5	Petro Canada Corp Note MW 35BP			A	1FE	584,695	115	662,555	575,000	580,453	.0	(1,068)	.0	.0	6.050	5.801	MN	4,445	34,788	07/22/2009	05/15/2018
89153U-AE-1	Total Capital Corp Note MW 12.5BP			A	1FE	1,330,111	.98	1,316,288	1,330,000	1,330,090	.0	(21)	.0	.0	1.450	1.448	JJ	8,893	9,535	01/10/2013	01/15/2018
00084D-AE-0	ABN AMRO BANK NV Corp Note Non Call Prv Plc			F	1FE	774,241	107	832,637	775,000	774,512	.0	.145	.0	.0	4.250	4.272	FA	13,632	32,938	01/30/2012	02/02/2017
02364W-AU-9	AMERICA MOVIL SA Corp Note MW 20BP			F	1FE	489,041	103	504,970	490,000	489,725	.0	212	.0	.0	3.625	3.672	MS	4,490	17,763	09/09/2010	03/30/2015
02364W-BD-6	AMERICA MOVIL SA Corp Note MW 25BP			F	1FE	814,992	.90	803,279	885,000	818,289	.0	3,296	.0	.0	3.125	4.184	JJ	12,676	13,828	06/26/2013	07/16/2022
05451-AE-3	BHP FINANCE USA Corp Note Non Call			F	1FE	425,818	101	425,461	425,000	425,045	.0	(179)	.0	.0	5.500	5.456	JJ	5,844	23,375	03/19/2009	04/01/2014
05565Q-BT-4	BP CAPITAL PLC Corp Note Non Call			F	1FE	1,300,000	103	1,345,435	1,300,000	1,300,000	.0	.0	.0	.0	2.248	2.248	MN	4,871	29,224	10/27/2011	11/01/2016
111013-AG-3	British Sky Broad Corp Note MW 40BP Prv Plc			F	1FE	349,516	112	869,000	400,000	372,623	.0	5,401	.0	.0	6.100	8.086	FA	9,218	24,400	01/08/2009	02/15/2018
2027A0-DH-9	Com Bnk Aus Corp Note Prv Plc			F	1FE	1,196,316	102	1,229,748	1,200,000	1,199,375	.0	.770	.0	.0	3.750	3.818	AO	9,500	45,000	10/08/2009	10/15/2014
22303Q-AG-5	Covidien Intl Fin Corp Note MW 25BP PPut			F	1FE	1,234,710	114	1,148,880	1,125,000	1,181,162	.0	(13,312)	.0	.0	6.000	4.549	AO	14,250	67,500	08/28/2009	10/15/2017
2524AS-AF-8	Diageo Finance Corp Note MW 15BP			F	1FE	1,181,869	102	1,220,384	1,185,000	1,184,348	.0	607	.0	.0	3.250	3.304	JJ	17,759	38,513	11/03/2009	01/15/2015
29268B-AD-3	Enel Finance Int Corp Note Non Call Prv Plc			F	2FE	1,146,895	101	1,172,621	1,150,000	1,149,487	.0	651	.0	.0	3.875	3.935	AO	10,398	44,563	09/30/2009	10/07/2014
44328M-AK-0	HSBC Bank Corp Note Non Call Prv Plc			F	1FE	1,233,926	109	1,346,434	1,235,000	1,234,192	.0	94	.0	.0	4.750	4.761	JJ	26,398	58,663	01/12/2011	01/19/2021
44841B-AA-4	Hutch Whampoa Corp Note Non Call Prv Plc			F	1FE	1,143,365	113	1,096,000	1,150,000	1,145,767	.0	611	.0	.0	5.750	5.827	MS	20,205	66,125	09/08/2009	09/11/2019
53944V-AA-7	LLOYDS BANK PLC Corp Note Non Call			F	1FE	998,400	.99	998,780	1,000,000	998,429	.0	29	.0	.0	2.300	2.334	MN	.0	.0	11/20/2013	11/27/2018
636274-AC-6	Natl Grid PLC Corp Note Cont Call			F	2FE	637,013	112	728,093	648,000	643,490	.0	1,542	.0	.0	6.300	6.596	FA	17,010	40,824	05/15/2009	08/01/2016
767201-AH-9	RIO TINTO FINANC Corp Note MW 50BP			F	1FE	702,000	129	776,082	600,000	663,623	.0	(9,671)	.0	.0	9.000	6.607	MN	9,000	54,000	07/21/2009	05/01/2019
78010X-AE-1	Royal Bank of Scotland Note Non Call			F	1FE	1,080,135	111	1,057,683	945,000	1,070,696	.0	(9,448)	.0	.0	5.625	3.374	FA	18,752	26,578	06/04/2013	08/24/2020
3299999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations					162,926,698	XXX	164,842,820	159,029,000	161,803,266	0	(622,406)	0	0	XXX	XXX	XXX	1,516,553	4,500,217	XXX	XXX
36162W-AB-3	GE Equip GEET 2013-1 A2 ABS			2	1FE	2,411,211	.99	2,410,415	2,411,307	2,411,262	.0	.52	.0	.0	0.500	0.504	MON	234	9,176	03/12/2013	11/24/2015
493268-BY-1	Keycorp Student Loan Tr KSLT 2004-A 2A2 ABS			2	1FE	189,442	.97	186,870	191,452	189,752	.0	.310	.0	.0	0.538	0.749	JAJO	186	842	03/15/2013	10/28/2041
802830-AB-7	Santander Drive Auto SDART 2013-2 A2 ABS			2	1FE	833,515	100	833,608	833,583	833,558	.0	42	.0	.0	0.470	0.477	MON	174	2,960	03/06/2013	03/15/2016
3599999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities					3,434,168	XXX	3,430,893	3,436,342	3,434,572	0	404	0	0	XXX	XXX	XXX	594	12,978	XXX	XXX
3899999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds					166,360,866	XXX	168,273,713	162,465,342	165,237,838	0	(622,002)	0	0	XXX	XXX	XXX	1,517,147	4,513,195	XXX	XXX
4899999	Total - Hybrid Securities					0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
5599999	Total - Parent, Subsidiaries and Affiliates Bonds					0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
7799999	Total - Issuer Obligations					606,677,670	XXX	599,569,800	551,523,000	592,867,783	0	(6,062,365)	0	0	XXX	XXX	XXX	6,406,373	14,744,193	XXX	XXX
7899999	Total - Residential Mortgage-Backed Securities					80,447,428	XXX	78,349,953	75,850,301	80,234,587	0	(100,346)	0	0	XXX	XXX	XXX	252,692	2,122,970	XXX	XXX
7999999	Total - Commercial Mortgage-Backed Securities					0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
8099999	Total - Other Loan-Backed and Structured Securities					3,434,168	XXX	3,430,893	3,436,342	3,434,572	0	404	0	0	XXX	XXX	XXX	594	12,978	XXX	XXX
8399999	Total Bonds					690,559,266	XXX	681,350,646	630,809,643	678,536,942	0	(6,162,307)	0	0	XXX	XXX	XXX	6,659,659	16,880,141	XXX	XXX

Schedule D - Part 2 - Section 1 - Preferred Stocks Owned

N O N E

Schedule D - Part 2 - Section 2 - Common Stocks Owned

N O N E

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
3620A9-PO-8	GNMA Pool 723231 MBS 4.000% 10/15/39		06/17/2013	Barclays Group Inc.		1,139,866	1,139,064	2,405
912828-A6-7	US Treasury Note 0.250% 12/31/15		12/26/2013	Merrill Lynch		756,628	759,000	.0
912828-GS-3	US Treasury Note 4.500% 05/15/17		11/04/2013	Bank New York Mellon		349,719	310,000	6,596
912828-HR-4	US Treasury Note 3.500% 02/15/18		02/19/2013	Bank New York Mellon		24,281,563	21,500,000	10,394
912828-HR-4	US Treasury Note 3.500% 02/15/18		11/06/2013	Bank New York Mellon		23,009,594	20,900,000	166,973
912828-QM-5	US Treasury Note 1.000% 05/15/14		02/27/2013	Merrill Lynch		3,029,180	3,000,000	8,702
912828-TA-8	US Treasury Note 0.250% 06/30/14		02/08/2013	Morgan Stanley		2,001,328	2,001,000	580
912828-TU-4	US Treasury Note 0.250% 10/31/14		10/30/2013	FBS Securities		6,007,266	6,000,000	.0
912828-UJ-7	US Treasury Note 0.875% 01/31/18		02/01/2013	Deutsche Bank		9,091,024	9,080,000	878
0599999. Subtotal - Bonds - U.S. Governments						69,746,168	64,688,064	196,528
13063B-5F-5	CALIFORNIA ST GO Cont Call 5.000% 10/01/25		04/12/2013	Merrill Lynch		1,142,420	1,000,000	.0
13063B-06-2	CALIFORNIA ST GO Non Call 5.000% 02/01/19		03/15/2013	JP Morgan Chase		1,971,948	1,650,000	.0
20772J-DQ-2	CONNECTICUT ST GO Non Call 5.000% 11/01/18		11/18/2013	Goldman Sachs		4,120,025	3,500,000	9,722
20772J-NG-3	CONNECTICUT ST GO Non Call 5.000% 07/15/20		07/24/2013	Barclays Group Inc.		5,841,650	5,000,000	.0
452152-RC-7	ILLINOIS ST GO Non Call 5.000% 04/01/19		04/02/2013	Merrill Lynch		1,729,395	1,500,000	.0
658256-L2-6	NORTH CAROLINA S GO Non Call 5.000% 06/01/17		11/26/2013	Goldman Sachs		4,594,680	4,000,000	556
70914P-UP-5	PENNSYLVANIA ST GO Non Call 5.000% 10/15/19		11/21/2013	Toronto Dominion Sec		5,926,500	5,000,000	13,194
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						25,326,618	21,650,000	23,472
592112-JS-6	MET GOVT NASHVL GO Non Call 5.000% 07/01/22		11/25/2013	Goldman Sachs		2,365,600	2,000,000	41,111
64966J-UY-0	NEW YORK NY GO Non Call 5.000% 08/01/21		06/19/2013	JP Morgan Chase		5,976,350	5,000,000	99,306
64966K-UE-8	NEW YORK NY GO Non Call 5.000% 08/01/21		08/01/2013	JP Morgan Chase		2,332,200	2,000,000	.0
64966K-VY-9	NEW YORK NY GO Non Call 5.000% 08/01/22		06/18/2013	National Financial Services Co		5,985,700	5,000,000	6,944
64966L-DX-6	NEW YORK NY GO Non Call 5.000% 08/01/22		12/12/2013	DEPPA First Albany		1,892,121	1,650,000	.0
751091-JN-0	Raleigh NC GO Non Call 5.000% 02/01/18		06/19/2013	Scott & Stringfellow		2,556,246	2,180,000	43,297
796237-YW-9	SAN ANTONIO TX GO Cont Call 5.000% 02/01/24		08/08/2013	JP Morgan Chase		1,606,822	1,400,000	2,333
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						22,715,039	19,230,000	192,991
13068L-HE-8	CALIFORNIA ST PU Rev Bond Non Call 5.000% 09/01/21		10/03/2013	National Financial Services Co		1,447,363	1,250,000	.0
167562-MZ-7	CHICAGO IL MIDWA Rev Bond Non Call 5.000% 01/01/21		11/22/2013	JP Morgan Chase		3,350,361	2,965,000	.0
235416-4M-3	DALLAS TX WTRKRS Rev Bond Non Call 5.000% 10/01/21		12/12/2013	National Financial Services Co		2,361,100	2,000,000	21,111
3130A0-A9-1	FHLB Note Call 0.250% 10/30/14		10/09/2013	Morgan Stanley		1,400,000	1,400,000	.0
3130A0-AD-2	FHLB Note Call 0.260% 11/10/14		10/09/2013	Morgan Stanley		600,000	600,000	.0
3134G4-2V-9	FHLMC Note Call 0.320% 04/29/15		04/29/2013	Harris Nesbitt Corp		899,991	900,000	.8
3135G0-WJ-8	FNMA Note Non Call 0.875% 05/21/18		06/26/2013	JP Morgan Chase		5,353,668	5,560,000	4,865
3137EA-DR-7	FHLMC Note Non Call 1.375% 05/01/20		05/29/2013	Morgan Stanley		5,474,294	5,610,000	11,999
3138A2-B0-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		06/11/2013	BNP Paribas Securities Corp		440,300	418,897	791
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		06/12/2013	JP Morgan Chase		496,894	472,038	892
3138AR-X4-1	FNMA Pool AJ0698 MBS 4.500% 09/01/41		11/20/2013	Nomura Securities		5,871,060	5,436,953	16,311
3138AW-EN-9	FNMA Pool AJ4640 MBS 4.000% 10/01/41		06/10/2013	JP Morgan Chase		464,590	440,174	831
3138AX-BX-8	FNMA Pool AJ5453 MBS 4.500% 11/01/41		11/20/2013	Nomura Securities		5,925,556	5,487,420	16,462
3138E0-PK-7	FNMA Pool AJ7689 MBS 4.000% 12/01/41		06/12/2013	JP Morgan Chase		461,416	438,075	827
3138EH-FB-3	FNMA Pool AL1061 MBS 4.000% 02/01/41		06/13/2013	Jefferys and Company		1,081,767	1,027,502	1,941
3138EJ-LA-4	FNMA Pool AL2120 MBS 4.000% 02/01/41		06/11/2013	JP Morgan Chase		854,601	811,009	1,532
3138EK-AB-8	FNMA Pool AL2730 MBS 5.000% 07/01/41		11/20/2013	Nomura Securities		4,419,379	4,013,342	13,378
3138EK-BW-4	FNMA Pool AL2752 MBS 5.000% 03/01/42		11/21/2013	Nomura Securities		2,095,084	1,906,247	6,619
3138W3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		06/06/2013	Wachovia Bank		5,315,639	5,118,112	8,459
46613P-W9-3	JEA FL WTR & SWR Rev Bond Non Call 5.000% 10/01/20		07/26/2013	JP Morgan Chase		3,716,217	3,210,000	.0
484890-KK-3	KANSAS CITY MO A Rev Bond Non Call 5.000% 09/01/18		07/24/2013	Merrill Lynch		1,353,336	1,200,000	.0
485424-NZ-4	KS Dept Trans Hwy Rev Bond Non Call 5.000% 09/01/20		01/10/2013	Loop Capital Markets		3,180,000	2,500,000	9,028
485429-CT-9	KANSAS ST DEV FI Rev Bond Non Call 5.000% 03/01/19		11/18/2013	Goldman Sachs		2,578,049	2,175,000	24,167
544525-RX-8	LOS ANGELES CA D Rev Bond Non Call 5.000% 07/01/22		11/21/2013	Wachovia Bank		3,067,894	2,565,000	.0
575577-MH-8	MA Bay Tran Auth Rev Bond Non Call 5.000% 07/01/21		03/26/2013	Morgan Stanley		3,661,709	2,930,000	35,404
575579-DT-1	MASSACHUSETTS ST Rev Bond Non Call 5.250% 07/01/21		07/12/2013	Merrill Lynch		1,202,860	1,000,000	2,333
59259N-V3-4	MET TRANSPRTN AU Rev Bond Non Call 5.000% 11/15/23		08/07/2013	CitiGroup		6,001,835	5,195,000	.0
59259Y-F5-3	MET TRANSPRTN AU Rev Bond Non Call 5.000% 11/15/20		07/03/2013	RBC Capital Markets		1,275,428	1,100,000	.0
59259Y-F8-7	MET TRANSPRTN AU Rev Bond Non Call 5.000% 11/15/23		07/03/2013	RBC Capital Markets		1,371,840	1,200,000	.0
60636M-DJ-3	MISSOURI ST REGL Rev Bond Non Call 5.000% 08/15/19		08/01/2013	Wachovia Bank		2,511,394	2,155,000	.0
60636M-DL-8	MISSOURI ST REGL Rev Bond Non Call 5.000% 08/15/21		08/12/2013	Wachovia Bank		2,208,820	1,910,000	.0
626207-XT-6	MUNI ELEC AUTH O Rev Bond Non Call 5.000% 01/01/20		07/12/2013	Griffen, Kubik, & Step		1,660,781	1,440,000	3,200
64971Q-2T-9	NEW YORK CITY NY Rev Bond Non Call 5.000% 11/01/17		11/25/2013	Goldman Sachs		5,940,019	5,120,000	19,911
64971Q-5B-5	NEW YORK CITY NY Rev Bond Non Call 5.000% 11/01/18		12/17/2013	Griffen, Kubik, & Step		5,604,069	4,765,000	31,105
64971Q-6N-8	NEW YORK CITY NY Rev Bond Non Call 5.000% 05/01/18		06/13/2013	Loop Capital Markets		2,935,850	2,500,000	.0
64971Q-US-0	NEW YORK CITY NY Rev Bond Non Call 5.000% 11/01/17		05/22/2013	Tax Free Exchange		913,691	845,000	2,465
649905-5B-8	NEW YORK ST DORM Rev Bond Non Call 5.000% 07/01/18		09/19/2013	First Southwest		2,642,990	2,325,000	26,802
65001Q-AD-3	NEW YORK ST THRU Rev Bond Non Call 5.000% 05/01/19		12/12/2013	CitiGroup		3,993,640	3,500,000	.0

E13

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
650035-WN-4	NEW YORK ST URBA Rev Bond Non Call 5.000% 03/15/19		09/20/2013	Merrill Lynch		4,671,000	4,000,000	5,556
67756A-3A-3	OHIO ST HGR EDUC Rev Bond Non Call 5.000% 01/01/19		11/26/2013	Wachovia Bank		1,328,385	1,140,000	23,908
70869V-AE-4	PA St Econ Dev Rev Bond Non Call 5.000% 07/01/18		06/20/2013	Goldman Sachs		4,684,000	4,000,000	135,000
70869V-AE-4	PA St Econ Dev Rev Bond Non Call 5.000% 07/01/18		07/15/2013	CitiGroup		2,334,980	2,000,000	4,722
73358W-PN-8	PORT AUTH OF NEW Rev Bond Non Call 5.000% 12/01/20		11/22/2013	Wachovia Bank		5,778,400	5,000,000	.0
796311-CS-5	San Antonio TX Util Rev Bond Cont Call 5.000% 02/01/24		04/25/2013	Stifel Nicolaus & Co.		2,025,563	1,625,000	.0
812643-GO-1	SEATTLE WA MUNI Rev Bond Non Call 5.000% 06/01/22		07/25/2013	Scott & Stringfellow		3,487,830	3,000,000	24,583
89602N-T3-8	TRIBOROUGH NY BR Rev Bond Non Call 5.000% 11/15/22		11/15/2013	JP Morgan Chase		2,357,860	2,000,000	1,389
89602N-ZF-4	TRIBOROUGH NY BR Rev Bond Cont Call 5.000% 11/15/24		07/15/2013	CitiGroup		2,281,240	2,000,000	17,500
913366-FV-7	UNIV OF CALIFORN Rev Bond Cont Call 5.000% 05/15/24		08/08/2013	Wachovia Bank		1,123,650	1,000,000	.0
3199999. Subtotal - Bonds - U.S. Special Revenues						134,206,393	119,254,769	473,099
00206R-CA-8	AT&T INC Corp Note MW 2.375% 11/27/18		12/02/2013	FBC Capital Markets		1,299,506	1,300,000	686
00287Y-AH-2	ABBVIE INC Corp Note Non Call 0.998% 11/06/15		08/09/2013	Tax Free Exchange		2,265,000	2,265,000	.0
03076C-AF-3	AMERIPRISE FINL Corp Note MW 4.000% 10/15/23		09/03/2013	Credit Suisse		1,253,927	1,260,000	.0
03523T-AM-0	ANHEUSER-BUSCH Corp Note MW 30BP PPut 4.125% 01/15/15		04/09/2013	Wachovia Bank		1,486,786	1,400,000	13,956
05531F-AH-6	BB&T Corp Note Call 2.050% 04/28/14		01/28/2013	Scott & Stringfellow		101,734	100,000	530
060505-DA-9	Bank of America Corp Note Non Call 5.420% 03/15/17		06/10/2013	Merrill Lynch		1,072,091	980,000	12,984
06406H-CC-1	BANK OF NY MELLO Corp Note Call 1.200% 02/20/15		07/03/2013	HSBC Securities Inc		655,408	650,000	3,012
071813-BK-4	Baxter Intl Inc Corp Note Non Call 0.413% 12/11/14		09/09/2013	Cantor Fitzgerald		1,001,000	1,000,000	.12
084664-BZ-3	BERKSHIRE HATH Corp Note MW 2.900% 10/15/20		12/02/2013	US Bancorp		641,331	640,000	2,578
09247X-AK-7	BlackRock Inc Corp Note MW 15BP 1.375% 06/01/15		06/04/2013	Wachovia Bank		1,015,520	1,000,000	229
12189T-AY-0	BURLINGTON NORTH Corp Note Cont Call 5.650% 05/01/17		12/02/2013	Morgan Stanley		677,925	595,000	3,175
140420-ND-8	CAPITAL ONE BANK Corp Note Call 1.150% 11/21/16		11/18/2013	Morgan Stanley		949,972	950,000	.0
14912L-ST-4	CATERPILLAR FINL Corp Note Non Call 2.450% 09/06/18		09/03/2013	Barclays Group Inc.		1,499,295	1,500,000	.0
17275R-AG-7	Cisco Systems Inc Corp Note MW 10BP 2.900% 11/17/14		09/10/2013	Cantor Fitzgerald		1,027,930	1,000,000	9,344
172967-ET-4	CITIGROUP INC Corp Note Non Call 1.941% 05/15/18		12/02/2013	CitiGroup		1,607,852	1,600,000	1,660
172967-GG-0	CITIGROUP INC Corp Note Non Call 1.250% 01/15/16		01/03/2013	CitiGroup		1,625,876	1,630,000	.0
22003B-AH-9	CORP OFF PROP LP Corp Note Call MW 5.250% 02/15/24		09/09/2013	Wachovia Bank		1,071,796	1,085,000	.0
224044-CA-3	Cox Communication Corp Note Call MW 20BP Prv Plc 2.950% 06/30/23		06/14/2013	Nomura Securities		1,082,792	1,145,000	4,504
233851-AS-3	DAIMLER FINANCE Corp Note Non Call Prv Plc 0.843% 01/09/15		01/07/2013	CitiGroup		800,000	800,000	.0
233851-BA-1	DAIMLER FINANCE Corp Note MW Prv Plc 2.375% 08/01/18		11/29/2013	BNP Paribas Securities Corp		633,750	625,000	5,072
235851-AK-8	Danaher Corp Note MW 10BP 1.300% 06/23/14		05/01/2013	Cantor Fitzgerald		1,011,000	1,000,000	4,803
25470D-AC-3	Discovery Comm Corp Note MW 30BP 5.050% 06/01/20		11/27/2013	BNP Paribas Securities Corp		1,224,069	1,100,000	309
26884T-AK-8	ERAC USA FINANCE Corp Note MW Prv Plc 2.800% 11/01/18		11/22/2013	US Bancorp		1,201,520	1,175,000	2,376
29273R-AH-2	Energy Transfer Corp Note MW 50BP 6.700% 07/01/18		02/04/2013	Wachovia Bank		1,099,963	910,000	6,097
30161M-AH-6	EXELON GENERATIO Corp Note MW 25BP 4.000% 10/01/20		03/07/2013	Merrill Lynch		1,104,264	1,050,000	18,783
35671D-BD-6	FREEMONT-MC C&G Corp Note MW 2.375% 03/15/18		10/10/2013	Tax Free Exchange		1,094,906	1,095,000	1,806
36162W-AB-3	GE Equip GEET 2013-1 A2 ABS 0.500% 11/24/15		03/12/2013	Merrill Lynch		2,411,212	2,411,308	.0
36962G-6T-6	GEN ELEC CAP CRP Corp Note Non Call 0.623% 01/09/15		01/07/2013	RBS Securities		1,000,000	1,000,000	.0
37247D-AN-6	Genworth Fin Inc Corp Note MW 65BP 7.200% 02/15/21		06/19/2013	Merrill Lynch		950,956	830,000	21,414
37247D-AN-6	Genworth Fin Inc Corp Note MW 65BP 7.200% 02/15/21		06/21/2013	CitiGroup		117,533	105,000	2,751
375558-AR-4	Gilead Sciences Corp Note MW 35BP PPut 2.400% 12/01/14		05/30/2013	Mizuho Investor Sec Co		359,051	350,000	70
38141G-VK-7	GOLDMAN SACHS GP Corp Note Non Call 1.436% 04/30/18		12/02/2013	Goldman Sachs		644,469	640,000	919
421915-EJ-4	HOP Corp Note Cont Call 6.000% 01/30/17		12/02/2013	JP Morgan Chase		648,341	575,000	11,979
42217K-AY-2	HEALTH CARE REIT Corp Note Call 4.125% 04/01/19		06/03/2013	Wachovia Bank		1,073,038	995,000	7,411
454889-AP-1	IND MICH POWER Corp Note Call MW 20BP 3.200% 03/15/23		03/13/2013	UBS Financial Services		1,392,754	1,395,000	.0
459200-HB-0	IBM CORP Corp Note MW 10BP 0.550% 02/06/15		05/17/2013	FBC Capital Markets		1,002,818	1,000,000	1,619
46849L-SF-9	JACKSON NAT LIFE Corp Note Non Call Prv Plc 0.597% 09/30/15		09/25/2013	UBS Financial Services		900,000	900,000	.0
493268-BY-1	Keycorp Student Loan Tr KSLT 2004-A 2A2 ABS 0.538% 10/28/41		03/15/2013	JP Morgan Chase		189,442	191,452	163
49326E-EE-9	Keycorp Corp Note Call 2.300% 12/13/18		11/05/2013	KEYBANC CAP/ McDonald		1,024,354	1,025,000	.0
56585A-AE-2	Marathon Petroleum Corp Note Non Call 3.500% 03/01/16		12/02/2013	Calyon Securities		963,294	915,000	8,362
59217E-BW-3	MetLife Global Corp Note Non Call Prv Plc 5.125% 06/10/14		05/07/2013	Seattle Northwest		1,366,235	1,300,000	27,760
595620-AD-7	MidAmerican Energy Corp Note Cont Call 4.650% 10/01/14		05/21/2013	CitiGroup		1,057,440	1,000,000	6,846
61747Y-DT-9	MORGAN STANLEY Corp Note Non Call 4.750% 03/22/17		03/01/2013	Merrill Lynch		1,106,360	1,000,000	21,639
61945C-AC-7	MOSAIC CO Corp Note Call MW 4.250% 11/15/23		11/07/2013	Morgan Stanley		972,953	975,000	.0
628530-BE-6	MYLAN INC Corp Note MW 1.350% 11/29/16		11/19/2013	JP Morgan Chase		1,049,381	1,050,000	.0
63946B-AA-8	NBC Universal Corp Note MW 25BP 2.100% 04/01/14		02/14/2013	Barclays Group Inc.		355,799	350,000	2,838
64952W-BM-4	NEW YORK LIFE GL Corp Note Non Call Prv Plc 0.800% 02/12/16		02/06/2013	Deutsche Bank		2,728,226	2,730,000	.0
652482-BT-6	NEWS AMER INC Corp Note MW 50BP 6.900% 03/01/19		12/09/2013	Stifel Nicolaus & Co.		333,999	275,000	5,324
652482-CH-1	NEWS AMER INC Corp Note MW Prv Plc 4.000% 10/01/23		09/25/2013	JP Morgan Chase		258,432	260,000	.0
665859-AK-0	NORTHERN TRST CO Corp Note Non Call 4.625% 05/01/14		01/24/2013	Morgan Stanley		1,156,815	1,100,000	12,436
69371R-L5-3	PACCAR FINL CORP Corp Note Non Call 0.378% 05/05/15		05/09/2013	Mitsubishi Bank LTD		500,000	500,000	.0
69371R-L7-9	PACCAR FINL CORP Corp Note Non Call 0.842% 12/06/18		12/03/2013	CitiGroup		1,285,000	1,285,000	.0
74153W-CA-5	PRICOA GLOB FUND Corp Note Non Call Prv Plc 0.508% 08/19/15		08/20/2013	Merrill Lynch		600,000	600,000	.0
74256L-AG-4	PRINCIPAL LFE II Corp Note Non Call Prv Plc 2.250% 10/15/18		11/05/2013	Deutsche Bank		1,104,994	1,100,000	2,956

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
756109-AM-6	REALTY INCOME Corp Note Call MW 25BP 2.000% 01/31/18		12/12/2013	Wachovia Bank		656,235	665,000	5,061
78573A-AE-0	SABMILLER HLD IN Corp Note MW Prv Plc 2.200% 08/01/18		11/22/2013	Merrill Lynch		1,209,480	1,200,000	7,627
80283D-AB-7	Santander Drive Auto SDART 2013-2 A2 ABS 0.470% 03/15/16		03/06/2013	Barclays Group Inc.		833,515	833,583	0
845467-AE-9	SOUTHWIN ENERGY Corp Note MW 7.500% 02/01/18		12/05/2013	Millennium Advisors		642,510	537,000	14,432
845467-AE-9	SOUTHWIN ENERGY Corp Note MW 7.500% 02/01/18		12/16/2013	Morgan Stanley		553,489	463,000	13,311
855030-AM-4	Staples Inc Corp Note Call MW 37.5BP 4.375% 01/12/23		06/10/2013	Goldman Sachs		1,376,480	1,370,000	24,807
87612E-AX-4	Target Corp Note Non Call 0.416% 07/18/14		02/13/2013	Mizuho Investor Sec Co		1,002,520	1,000,000	420
92343V-BP-8	VERIZON COMM INC Corp Note MW 3.650% 09/14/18		09/11/2013	JP Morgan Chase		469,981	470,000	0
929903-CH-3	WACHOVIA CORP Corp Note Non Call 5.625% 10/15/16		12/02/2013	FTN Financial Services		642,840	570,000	4,453
931422-AE-9	WALGREEN CO Corp Note MW 5.250% 01/15/19		12/05/2013	Barclays Group Inc.		1,291,643	1,140,000	24,106
94974B-ET-3	WELLS FARGO CO Corp Note Non Call 3.750% 10/01/14		01/31/2013	Stifel Nicolaus & Co.		1,053,070	1,000,000	12,917
06367V-HK-4	BANK OF MONTREAL Corp Note Non Call 0.498% 09/24/15	A.	09/25/2013	Goldman Sachs		2,700,000	2,700,000	0
15135U-AD-1	Cenovus Energy Corp Note MW 35BP 5.700% 10/15/19	A.	11/20/2013	SG Cowen and Company		1,217,748	1,050,000	6,650
89153U-AE-1	Total Capital Corp Note MW 12.5BP 1.450% 01/15/18	A.	01/10/2013	Barclays Group Inc.		954,083	955,000	0
89153U-AE-1	Total Capital Corp Note MW 12.5BP 1.450% 01/15/18	A.	01/10/2013	Nomura Securities		376,028	375,000	0
02364H-BD-6	AMERICA MOVIL SA Corp Note MW 25BP 3.125% 07/16/22	F.	06/26/2013	Barclays Group Inc.		197,118	215,000	3,023
02364H-BD-6	AMERICA MOVIL SA Corp Note MW 25BP 3.125% 07/16/22	F.	06/26/2013	Barclays Group Inc.		617,874	670,000	9,422
53944V-AA-7	LLOYDS BANK PLC Corp Note Non Call 2.300% 11/27/18	F.	11/20/2013	Goldman Sachs		998,400	1,000,000	0
78010X-AE-1	Royal Bank of Scotland Note Non Call 5.625% 08/24/20	F.	06/04/2013	JP Morgan Chase		1,080,141	945,000	15,209
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					72,931,264	70,811,343	367,841
8399997	Total - Bonds - Part 3					324,925,482	295,634,176	1,253,931
8399998	Total - Bonds - Part 5					86,568,509	84,183,539	371,526
8399999	Total - Bonds					411,493,991	379,817,715	1,625,457
8999997	Total - Preferred Stocks - Part 3					0	XXX	0
8999998	Total - Preferred Stocks - Part 5					0	XXX	0
8999999	Total - Preferred Stocks					0	XXX	0
9799997	Total - Common Stocks - Part 3					0	XXX	0
9799998	Total - Common Stocks - Part 5					0	XXX	0
9799999	Total - Common Stocks					0	XXX	0
9899999	Total - Preferred and Common Stocks					0	XXX	0
9999999	Totals					411,493,991	XXX	1,625,457

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
313376-A9-6	FHLB Note Non Call 0.370% 05/03/13		05/03/2013	Maturity		1,000,000	1,000,000	1,000,540	1,000,122	0	(122)	0	(122)	0	1,000,000	0	0	0	1,850	05/03/2013
313380-30-7	FHLB Note Call 0.300% 08/08/13		02/08/2013	Call	100.0000	1,000,000	1,000,000	1,000,000	1,000,000	0	0	0	0	0	1,000,000	0	0	0	1,500	08/08/2013
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		01/01/2013	Paydown		69	69	69	69	0	0	0	0	0	69	0	0	0	1	04/26/2017
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		02/01/2013	Paydown		69	69	69	69	0	0	0	0	0	69	0	0	0	1	04/26/2017
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		03/01/2013	Paydown		69	69	69	69	0	0	0	0	0	69	0	0	0	2	04/26/2017
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		04/01/2013	Paydown		70	70	70	70	0	0	0	0	0	70	0	0	0	2	04/26/2017
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		05/01/2013	Paydown		204	204	204	204	0	0	0	0	0	204	0	0	0	8	04/26/2017
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		06/01/2013	Paydown		278	278	278	278	0	0	0	0	0	278	0	0	0	13	04/26/2017
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		07/01/2013	Paydown		67	67	67	67	0	0	0	0	0	67	0	0	0	4	04/26/2017
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		08/01/2013	Paydown		71	71	71	71	0	0	0	0	0	71	0	0	0	4	04/26/2017
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		09/01/2013	Paydown		644	644	644	643	0	1	0	1	0	644	0	0	0	43	04/26/2017
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		10/01/2013	Paydown		66	66	66	66	0	0	0	0	0	66	0	0	0	5	04/26/2017
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		11/01/2013	Paydown		64	64	64	64	0	0	0	0	0	64	0	0	0	5	04/26/2017
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		12/01/2013	Paydown		62	62	62	62	0	0	0	0	0	62	0	0	0	6	04/26/2017
912828-AU-4	US Treasury Note 3.875% 02/15/13		02/15/2013	Maturity		19,500,000	19,500,000	21,015,938	19,561,360	0	(61,360)	0	(61,360)	0	19,500,000	0	0	0	377,813	02/15/2013
912828-AU-4	US Treasury Note 3.875% 02/15/13		02/15/2013	Maturity		1,500,000	1,500,000	1,560,762	1,506,822	0	(6,822)	0	(6,822)	0	1,500,000	0	0	0	29,063	02/15/2013
912828-BR-0	US Treasury Note 4.250% 11/15/13		11/15/2013	Maturity		310,000	310,000	309,953	309,953	0	47	0	47	0	310,000	0	0	0	39,525	11/15/2013
912828-BR-0	US Treasury Note 4.250% 11/15/13		11/15/2013	Maturity		20,900,000	20,900,000	22,423,259	21,446,979	0	(546,979)	0	(546,979)	0	20,900,000	0	0	0	861,900	11/15/2013
912828-H0-6	US Treasury Note 2.875% 01/31/13		01/31/2013	Maturity		2,000,000	2,000,000	2,063,750	2,004,370	0	(4,370)	0	(4,370)	0	2,000,000	0	0	0	28,750	01/31/2013
912828-HT-0	US Treasury Bond 2.750% 02/28/13		02/28/2013	Maturity		3,000,000	3,000,000	3,086,064	3,012,310	0	(12,310)	0	(12,310)	0	3,000,000	0	0	0	41,250	02/28/2013
912828-HV-5	US Treasury Note 2.500% 03/31/13		03/31/2013	Maturity		4,600,000	4,600,000	4,727,961	4,625,602	0	(25,602)	0	(25,602)	0	4,600,000	0	0	0	57,500	03/31/2013
912828-HV-9	US Treasury Note 3.125% 04/30/13		04/30/2013	Maturity		3,000,000	3,000,000	3,132,070	3,028,463	0	(28,463)	0	(28,463)	0	3,000,000	0	0	0	46,875	04/30/2013
912828-JB-7	US Treasury Note 3.500% 05/31/13		05/31/2013	Maturity		3,000,000	3,000,000	3,146,250	3,040,481	0	(40,481)	0	(40,481)	0	3,000,000	0	0	0	52,500	05/31/2013
912828-JG-6	US Treasury Note 3.375% 07/31/13		07/31/2013	Maturity		1,000,000	1,000,000	1,044,844	1,018,168	0	(18,168)	0	(18,168)	0	1,000,000	0	0	0	33,750	07/31/2013
912828-JK-7	US Treasury Note 3.125% 08/31/13		08/31/2013	Maturity		1,000,000	1,000,000	1,043,047	1,018,795	0	(18,795)	0	(18,795)	0	1,000,000	0	0	0	31,250	08/31/2013
912828-J0-4	US Treasury Note 2.750% 10/31/13		10/31/2013	Maturity		4,000,000	4,000,000	4,109,219	4,084,344	0	(84,344)	0	(84,344)	0	4,000,000	0	0	0	110,000	10/31/2013
912828-JT-8	US Treasury Note 2.000% 11/30/13		11/30/2013	Maturity		8,000,000	8,000,000	8,156,094	8,129,487	0	(129,487)	0	(129,487)	0	8,000,000	0	0	0	160,000	11/30/2013
912828-NH-9	US Treasury Note 1.125% 06/15/13		06/15/2013	Maturity		3,500,000	3,500,000	3,546,328	3,514,216	0	(14,216)	0	(14,216)	0	3,500,000	0	0	0	19,688	06/15/2013
912828-NN-6	US Treasury Note 1.000% 07/15/13		07/15/2013	Maturity		2,000,000	2,000,000	2,016,953	2,008,285	0	(8,285)	0	(8,285)	0	2,000,000	0	0	0	20,000	07/15/2013
912828-NJ-0	US Treasury Note 0.750% 08/15/13		08/15/2013	Maturity		3,000,000	3,000,000	3,026,133	3,010,239	0	(10,239)	0	(10,239)	0	3,000,000	0	0	0	22,500	08/15/2013
912828-PB-0	US Treasury Note 0.500% 10/15/13		10/15/2013	Maturity		1,000,000	1,000,000	1,004,102	1,001,779	0	(1,779)	0	(1,779)	0	1,000,000	0	0	0	5,000	10/15/2013
912828-PR-5	US Treasury Note 0.625% 01/31/13		01/31/2013	Maturity		1,500,000	1,500,000	1,507,500	1,500,548	0	(548)	0	(548)	0	1,500,000	0	0	0	4,688	01/31/2013
912828-PU-8	US Treasury Note 0.500% 11/15/13		11/15/2013	Maturity		1,000,000	1,000,000	1,003,672	1,001,878	0	(1,878)	0	(1,878)	0	1,000,000	0	0	0	5,000	11/15/2013
912828-QK-9	US Treasury Note 0.625% 02/28/13		02/28/2013	Maturity		1,500,000	1,500,000	1,508,555	1,501,051	0	(1,051)	0	(1,051)	0	1,500,000	0	0	0	4,688	02/28/2013
912828-QZ-6	US Treasury Note 0.500% 05/31/13		05/31/2013	Maturity		5,000,000	5,000,000	5,002,165	5,000,448	0	(448)	0	(448)	0	5,000,000	0	0	0	12,500	05/31/2013
912828-RA-0	US Treasury Note 0.375% 06/30/13		06/30/2013	Maturity		2,000,000	2,000,000	2,002,734	2,001,235	0	(1,235)	0	(1,235)	0	2,000,000	0	0	0	3,750	06/30/2013
912828-RK-8	US Treasury Note 0.125% 09/30/13		09/30/2013	Maturity		3,000,000	3,000,000	2,993,203	2,996,836	0	3,164	0	3,164	0	3,000,000	0	0	0	3,750	09/30/2013
912828-RN-2	US Treasury Note 0.250% 10/31/13		10/31/2013	Maturity		2,000,000	2,000,000	2,000,625	2,000,507	0	(507)	0	(507)	0	2,000,000	0	0	0	5,000	10/31/2013
912828-RR-3	US Treasury Note 2.000% 11/15/21		02/01/2013	CitiGroup		3,651,446	3,580,000	3,571,934	3,572,737	0	70	0	70	0	3,572,808	0	78,638	78,638	16,021	11/15/2021
912828-SF-8	US Treasury Note 2.000% 02/15/22		02/01/2013	Merrill Lynch		5,379,988	5,295,000	5,331,424	5,328,507	0	(308)	0	(308)	0	5,328,197	0	51,791	51,791	49,785	02/15/2022
0599999. Subtotal - Bonds - U.S. Governments						108,343,167	108,186,733	112,336,423	109,227,253	0	(1,014,515)	0	(1,014,515)	0	108,212,738	0	130,429	130,429	2,045,990	XXX
683234-DP-0	Ontario Province Cnda Corp Note Non Call 1.600% 09/21/16	A	03/13/2013	Scotiabank Corporation		2,675,764	2,600,000	2,606,344	2,604,917	0	(270)	0	(270)	0	2,604,647	0	71,117	71,117	20,453	09/21/2016
1099999. Subtotal - Bonds - All Other Governments						2,675,764	2,600,000	2,606,344	2,604,917	0	(270)	0	(270)	0	2,604,647	0	71,117	71,117	20,453	XXX
34153P-YX-0	FLORIDA ST BRD 0 GO Non Call 5.000% 06/01/19		11/21/2013	Toronto Dominion Sec		5,905,350	5,000,000	5,604,150	5,484,300	0	(62,007)	0	(62,007)	0	5,422,293	0	483,057	483,057	246,528	06/01/2019
57582N-2Y-0	MA St GO Cont Call Prfd 5.000% 09/01/25		06/18/2013	Morgan Stanley		4,568,569	4,150,000	4,394,726	4,252,755	0	(17,267)	0	(17,267)	0	4,235,488	0	333,081	333,081	167,153	09/01/2025
606301-JG-5	MO St GO Non Call 5.000% 10/01/16		06/18/2013	Bank of New York		5,138,820	4,500,000	5,052,780	4,775,652	0	(32,683)	0	(32,683)	0	4,742,969	0	395,851	395,851	162,500	10/01/2016
917542-NX-6	UTAH ST GO Non Call 5.000% 07/01/13		07/01/2013	Maturity		4,145,000	4,145,000	4,653,509	4,206,528	0	(61,528)	0	(61,528)	0	4,145,000	0	0	0	207,250	07/01/2013
93974A-XY-5	WA St GO Cont Call 5.000% 07/01/16		07/01/2013	Call	100.0000	2,000,000	2,000,000	2,115,100	2,013,454	0	(13,453)	0	(13,453)	0	2,000,000	0	0	0	100,000	07/01/2016
179																				

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
2499999	Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					13,781,640	12,720,000	14,382,055	13,400,556	0	(136,197)	0	(136,197)	0	13,264,360	0	517,281	517,281	490,678	XXX
207758-KW-2	CONNECTICUT ST S Rev Bond Non Call 5.000% 11/01/18		11/18/2013	Goldman Sachs		4,139,510	3,515,000	3,999,543	3,892,433	0	(53,261)	0	(53,261)	0	3,839,173	0	300,337	300,337	185,514	11/01/2018
254776-CR-4	DIST OF COLUMBIA Rev Bond Non Call 5.000% 12/01/13		12/01/2013	Maturity		1,400,000	1,400,000	1,585,304	1,441,085	0	(41,085)	0	(41,085)	0	1,400,000	0	0	0	70,000	12/01/2013
29270C-LU-7	ENERGY N W WA EL Rev Bond Non Call 5.000% 07/01/16		06/20/2013	Goldman Sachs		4,150,697	3,700,000	3,789,760	3,745,646	0	(5,972)	0	(5,972)	0	3,739,674	0	411,023	411,023	181,917	07/01/2016
3128PC-2X-9	FHLMC Pool JO1690 MBS 5.500% 04/01/21		01/01/2013	Paydown		1,510	1,510	1,509	1,509	0	1	0	1	0	1,510	0	0	0	7	12/06/2017
3128PC-2X-9	FHLMC Pool JO1690 MBS 5.500% 04/01/21		02/01/2013	Paydown		1,527	1,527	1,527	1,526	0	1	0	1	0	1,527	0	0	0	14	12/06/2017
3128PC-2X-9	FHLMC Pool JO1690 MBS 5.500% 04/01/21		03/01/2013	Paydown		1,546	1,546	1,546	1,545	0	1	0	1	0	1,546	0	0	0	21	12/06/2017
3128PC-2X-9	FHLMC Pool JO1690 MBS 5.500% 04/01/21		04/01/2013	Paydown		1,542	1,542	1,541	1,541	0	1	0	1	0	1,542	0	0	0	28	12/06/2017
3128PC-2X-9	FHLMC Pool JO1690 MBS 5.500% 04/01/21		05/01/2013	Paydown		12,447	12,447	12,443	12,438	0	9	0	9	0	12,447	0	0	0	285	12/06/2017
3128PC-2X-9	FHLMC Pool JO1690 MBS 5.500% 04/01/21		06/01/2013	Paydown		7,471	7,471	7,469	7,466	0	5	0	5	0	7,471	0	0	0	205	12/06/2017
3128PC-2X-9	FHLMC Pool JO1690 MBS 5.500% 04/01/21		07/01/2013	Paydown		11,909	11,909	11,905	11,900	0	9	0	9	0	11,909	0	0	0	382	12/06/2017
3128PC-2X-9	FHLMC Pool JO1690 MBS 5.500% 04/01/21		08/01/2013	Paydown		9,268	9,268	9,265	9,261	0	7	0	7	0	9,268	0	0	0	340	12/06/2017
3128PC-2X-9	FHLMC Pool JO1690 MBS 5.500% 04/01/21		09/01/2013	Paydown		1,262	1,262	1,261	1,261	0	1	0	1	0	1,262	0	0	0	52	12/06/2017
3128PC-2X-9	FHLMC Pool JO1690 MBS 5.500% 04/01/21		10/01/2013	Paydown		8,225	8,225	8,222	8,219	0	6	0	6	0	8,225	0	0	0	377	12/06/2017
3128PC-2X-9	FHLMC Pool JO1690 MBS 5.500% 04/01/21		11/01/2013	Paydown		1,145	1,145	1,145	1,145	0	1	0	1	0	1,145	0	0	0	58	12/06/2017
3128PC-2X-9	FHLMC Pool JO1690 MBS 5.500% 04/01/21		12/01/2013	Paydown		1,153	1,153	1,153	1,153	0	1	0	1	0	1,153	0	0	0	53	12/06/2017
3128PE-4K-1	FHLMC Pool JO3526 MBS 6.000% 10/01/21		01/01/2013	Paydown		2,676	2,676	2,720	2,709	0	(33)	0	(33)	0	2,676	0	0	0	13	04/03/2018
3128PE-4K-1	FHLMC Pool JO3526 MBS 6.000% 10/01/21		02/01/2013	Paydown		2,678	2,678	2,722	2,711	0	(33)	0	(33)	0	2,678	0	0	0	27	04/03/2018
3128PE-4K-1	FHLMC Pool JO3526 MBS 6.000% 10/01/21		03/01/2013	Paydown		2,780	2,780	2,826	2,815	0	(35)	0	(35)	0	2,780	0	0	0	42	04/03/2018
3128PE-4K-1	FHLMC Pool JO3526 MBS 6.000% 10/01/21		04/01/2013	Paydown		11,373	11,373	11,561	11,515	0	(142)	0	(142)	0	11,373	0	0	0	227	04/03/2018
3128PE-4K-1	FHLMC Pool JO3526 MBS 6.000% 10/01/21		05/01/2013	Paydown		14,444	14,444	14,683	14,624	0	(180)	0	(180)	0	14,444	0	0	0	361	04/03/2018
3128PE-4K-1	FHLMC Pool JO3526 MBS 6.000% 10/01/21		06/01/2013	Paydown		11,792	11,792	11,987	11,939	0	(147)	0	(147)	0	11,792	0	0	0	354	04/03/2018
3128PE-4K-1	FHLMC Pool JO3526 MBS 6.000% 10/01/21		07/01/2013	Paydown		7,785	7,785	7,913	7,892	0	(97)	0	(97)	0	7,785	0	0	0	272	04/03/2018
3128PE-4K-1	FHLMC Pool JO3526 MBS 6.000% 10/01/21		08/01/2013	Paydown		11,412	11,412	11,601	11,554	0	(142)	0	(142)	0	11,412	0	0	0	456	04/03/2018
3128PE-4K-1	FHLMC Pool JO3526 MBS 6.000% 10/01/21		09/01/2013	Paydown		2,428	2,428	2,468	2,458	0	(30)	0	(30)	0	2,428	0	0	0	109	04/03/2018
3128PE-4K-1	FHLMC Pool JO3526 MBS 6.000% 10/01/21		10/01/2013	Paydown		2,403	2,403	2,443	2,433	0	(30)	0	(30)	0	2,403	0	0	0	120	04/03/2018
3128PE-4K-1	FHLMC Pool JO3526 MBS 6.000% 10/01/21		11/01/2013	Paydown		7,174	7,174	7,293	7,264	0	(89)	0	(89)	0	7,174	0	0	0	395	04/03/2018
3128PE-4K-1	FHLMC Pool JO3526 MBS 6.000% 10/01/21		12/01/2013	Paydown		3,801	3,801	3,864	3,849	0	(47)	0	(47)	0	3,801	0	0	0	228	04/03/2018
3128PE-4P-0	FHLMC Pool JO3530 MBS 6.000% 10/01/21		01/01/2013	Paydown		1,842	1,842	1,867	1,861	0	(19)	0	(19)	0	1,842	0	0	0	9	04/03/2018
3128PE-4P-0	FHLMC Pool JO3530 MBS 6.000% 10/01/21		02/01/2013	Paydown		7,648	7,648	7,756	7,729	0	(81)	0	(81)	0	7,648	0	0	0	76	04/03/2018
3128PE-4P-0	FHLMC Pool JO3530 MBS 6.000% 10/01/21		03/01/2013	Paydown		1,776	1,776	1,801	1,795	0	(19)	0	(19)	0	1,776	0	0	0	27	04/03/2018
3128PE-4P-0	FHLMC Pool JO3530 MBS 6.000% 10/01/21		04/01/2013	Paydown		1,757	1,757	1,781	1,775	0	(19)	0	(19)	0	1,757	0	0	0	35	04/03/2018
3128PE-4P-0	FHLMC Pool JO3530 MBS 6.000% 10/01/21		05/01/2013	Paydown		1,826	1,826	1,852	1,845	0	(19)	0	(19)	0	1,826	0	0	0	46	04/03/2018
3128PE-4P-0	FHLMC Pool JO3530 MBS 6.000% 10/01/21		06/01/2013	Paydown		1,782	1,782	1,807	1,801	0	(19)	0	(19)	0	1,782	0	0	0	53	04/03/2018
3128PE-4P-0	FHLMC Pool JO3530 MBS 6.000% 10/01/21		07/01/2013	Paydown		1,793	1,793	1,818	1,811	0	(19)	0	(19)	0	1,793	0	0	0	63	04/03/2018
3128PE-4P-0	FHLMC Pool JO3530 MBS 6.000% 10/01/21		08/01/2013	Paydown		1,802	1,802	1,827	1,821	0	(19)	0	(19)	0	1,802	0	0	0	72	04/03/2018
3128PE-4P-0	FHLMC Pool JO3530 MBS 6.000% 10/01/21		09/01/2013	Paydown		10,443	10,443	10,589	10,553	0	(110)	0	(110)	0	10,443	0	0	0	470	04/03/2018
3128PE-4P-0	FHLMC Pool JO3530 MBS 6.000% 10/01/21		10/01/2013	Paydown		8,500	8,500	8,620	8,590	0	(90)	0	(90)	0	8,500	0	0	0	425	04/03/2018
3128PE-4P-0	FHLMC Pool JO3530 MBS 6.000% 10/01/21		11/01/2013	Paydown		1,660	1,660	1,683	1,677	0	(18)	0	(18)	0	1,660	0	0	0	91	04/03/2018
3128PE-4P-0	FHLMC Pool JO3530 MBS 6.000% 10/01/21		12/01/2013	Paydown		1,690	1,690	1,714	1,708	0	(18)	0	(18)	0	1,690	0	0	0	101	04/03/2018
3128PE-KP-2	FHLMC Pool JO3002 MBS 5.500% 07/01/21		01/01/2013	Paydown		2,138	2,138	2,133	2,133	0	5	0	5	0	2,138	0	0	0	10	07/01/2021
3128PE-KP-2	FHLMC Pool JO3002 MBS 5.500% 07/01/21		02/01/2013	Paydown		2,322	2,322	2,316	2,316	0	5	0	5	0	2,322	0	0	0	21	07/01/2021
3128PE-KP-2	FHLMC Pool JO3002 MBS 5.500% 07/01/21		03/01/2013	Paydown		2,161	2,161	2,156	2,156	0	5	0	5	0	2,161	0	0	0	30	07/01/2021
3128PE-KP-2	FHLMC Pool JO3002 MBS 5.500% 07/01/21		04/01/2013	Paydown		2,170	2,170	2,165	2,165	0	5	0	5	0	2,170	0	0	0	40	07/01/2021
3128PE-KP-2	FHLMC Pool JO3002 MBS 5.500% 07/01/21		05/01/2013	Paydown		2,185	2,185	2,180	2,180	0	5	0	5	0	2,185	0	0	0	50	07/01/2021
3128PE-KP-2	FHLMC Pool JO3002 MBS 5.500% 07/01/21		06/01/2013	Paydown		20,331	20,331	20,283	20,284	0	47	0	47	0	20,331	0	0	0	559	07/01/2021
3128PE-KP-2	FHLMC Pool JO3002 MBS 5.500% 07/01/21		06/04/2013	Citi Group		258,764	239,597	239,035	239,045	0	2	0	2	0	239,046	0	19,718	19,718	7,211	07/01/2021
3128PK-MU-5	FHLMC Pool JO7571 MBS 5.500% 04/01/23		01/01/2013	Paydown		45,409	45,409	45,920	45,832	0	(423)	0	(423)	0	45,409	0	0	0	208	04/01/2023
3128PK-MU-5	FHLMC Pool JO7571 MBS 5.500% 04/01/23		02/01/2013	Paydown		51,869	51,869	52,452	52,352	0	(483)	0	(483)	0	51,869	0	0	0	475	04/01/2023
3128PK-MU-5	FHLMC Pool JO7571 MBS 5.500% 04/01/23		03/01/2013	Paydown		2,426	2,426	2,454	2,449	0	(23)	0	(23)	0	2,426	0	0	0	33	04/01/2023
3128PK-MU-5	FHLMC Pool JO7571 MBS 5.500% 04/01/23		04/01/2013	Paydown		2,355	2,355													

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con- sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor- tization)/ Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500	08/01/23	Paydown		6,965	6,965	7,043	7,031	0	(66)	0	(66)	0	6,965	0	0	0	32	04/15/2019
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500	08/01/23	Paydown		6,972	6,972	7,050	7,038	0	(66)	0	(66)	0	6,972	0	0	0	64	04/15/2019
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500	08/01/23	Paydown		7,117	7,117	7,197	7,184	0	(68)	0	(68)	0	7,117	0	0	0	98	04/15/2019
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500	08/01/23	Paydown		13,125	13,125	13,273	13,250	0	(124)	0	(124)	0	13,125	0	0	0	241	04/15/2019
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500	08/01/23	Paydown		7,293	7,293	7,375	7,363	0	(69)	0	(69)	0	7,293	0	0	0	167	04/15/2019
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500	08/01/23	Paydown		6,901	6,901	6,979	6,967	0	(65)	0	(65)	0	6,901	0	0	0	190	04/15/2019
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500	08/01/23	Paydown		7,228	7,228	7,309	7,296	0	(69)	0	(69)	0	7,228	0	0	0	232	04/15/2019
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500	08/01/23	Paydown		7,087	7,087	7,166	7,154	0	(67)	0	(67)	0	7,087	0	0	0	260	04/15/2019
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500	08/01/23	Paydown		18,571	18,571	18,780	18,747	0	(176)	0	(176)	0	18,571	0	0	0	766	04/15/2019
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500	08/01/23	Paydown		6,817	6,817	6,893	6,881	0	(65)	0	(65)	0	6,817	0	0	0	312	04/15/2019
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500	08/01/23	Paydown		10,425	10,425	10,542	10,524	0	(99)	0	(99)	0	10,425	0	0	0	526	04/15/2019
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500	08/01/23	Paydown		6,061	6,061	6,129	6,118	0	(57)	0	(57)	0	6,061	0	0	0	333	04/15/2019
3128PP-2M-4	FHLMC Pool J10780 MBS	4.500	09/01/24	Paydown		7,896	7,896	8,191	8,162	0	(266)	0	(266)	0	7,896	0	0	0	30	09/01/2024
3128PP-2M-4	FHLMC Pool J10780 MBS	4.500	09/01/24	Paydown		7,333	7,333	7,607	7,581	0	(247)	0	(247)	0	7,333	0	0	0	55	09/01/2024
3128PP-2M-4	FHLMC Pool J10780 MBS	4.500	09/01/24	Paydown		7,799	7,799	8,090	8,062	0	(263)	0	(263)	0	7,799	0	0	0	88	09/01/2024
3128PP-2M-4	FHLMC Pool J10780 MBS	4.500	09/01/24	Paydown		7,747	7,747	8,036	8,008	0	(261)	0	(261)	0	7,747	0	0	0	116	09/01/2024
3128PP-2M-4	FHLMC Pool J10780 MBS	4.500	09/01/24	Paydown		8,286	8,286	8,595	8,565	0	(280)	0	(280)	0	8,286	0	0	0	155	09/01/2024
3128PP-2M-4	FHLMC Pool J10780 MBS	4.500	09/01/24	Paydown		8,506	8,506	8,823	8,793	0	(287)	0	(287)	0	8,506	0	0	0	191	09/01/2024
3128PP-2M-4	FHLMC Pool J10780 MBS	4.500	09/01/24	Citi Group		1,372,618	1,290,357	1,338,544	1,333,893	(910)	(910)	0	(910)	0	1,332,983	0	39,635	39,635	31,775	09/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS	4.500	07/01/24	Paydown		63,235	63,235	64,890	64,728	0	(1,493)	0	(1,493)	0	63,235	0	0	0	237	07/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS	4.500	07/01/24	Paydown		42,413	42,413	43,524	43,415	0	(1,001)	0	(1,001)	0	42,413	0	0	0	318	07/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS	4.500	07/01/24	Paydown		68,333	68,333	70,122	69,946	0	(1,613)	0	(1,613)	0	68,333	0	0	0	769	07/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS	4.500	07/01/24	Paydown		99,497	99,497	102,101	101,845	0	(2,349)	0	(2,349)	0	99,497	0	0	0	1,492	07/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS	4.500	07/01/24	Paydown		63,908	63,908	65,580	65,416	0	(1,509)	0	(1,509)	0	63,908	0	0	0	1,198	07/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS	4.500	07/01/24	Paydown		50,088	50,088	51,399	51,270	0	(1,182)	0	(1,182)	0	50,088	0	0	0	1,127	07/01/2024
3128PP-MC-4	FHLMC Pool J10355 MBS	4.500	07/01/24	Citi Group		2,139,949	2,011,703	2,064,353	2,059,189	0	(1,106)	0	(1,106)	0	2,058,083	0	81,867	81,867	49,538	07/01/2024
3128PP-YL-1	FHLMC Pool J10715 MBS	4.500	09/01/24	Paydown		47,103	47,103	49,031	48,850	0	(1,748)	0	(1,748)	0	47,103	0	0	0	177	09/01/2024
3128PP-YL-1	FHLMC Pool J10715 MBS	4.500	09/01/24	Paydown		38,944	38,944	40,539	40,389	0	(1,445)	0	(1,445)	0	38,944	0	0	0	292	09/01/2024
3128PP-YL-1	FHLMC Pool J10715 MBS	4.500	09/01/24	Paydown		29,722	29,722	30,939	30,825	0	(1,103)	0	(1,103)	0	29,722	0	0	0	334	09/01/2024
3128PP-YL-1	FHLMC Pool J10715 MBS	4.500	09/01/24	Paydown		46,050	46,050	47,935	47,759	0	(1,709)	0	(1,709)	0	46,050	0	0	0	691	09/01/2024
3128PP-YL-1	FHLMC Pool J10715 MBS	4.500	09/01/24	Paydown		25,340	25,340	26,377	26,280	0	(940)	0	(940)	0	25,340	0	0	0	475	09/01/2024
3128PP-YL-1	FHLMC Pool J10715 MBS	4.500	09/01/24	Paydown		59,978	59,978	62,433	62,203	0	(2,225)	0	(2,225)	0	59,978	0	0	0	1,350	09/01/2024
3128PP-YL-1	FHLMC Pool J10715 MBS	4.500	09/01/24	Citi Group		1,415,145	1,330,936	1,384,797	1,379,694	0	(1,150)	0	(1,150)	0	1,378,544	0	36,601	36,601	32,760	09/01/2024
3128PQ-RG-8	FHLMC Pool J11387 MBS	4.000	12/01/24	Paydown		3,805	3,805	3,857	3,852	0	(46)	0	(46)	0	3,805	0	0	0	13	12/01/2024
3128PQ-RG-8	FHLMC Pool J11387 MBS	4.000	12/01/24	Paydown		4,447	4,447	4,506	4,501	0	(54)	0	(54)	0	4,447	0	0	0	30	12/01/2024
3128PQ-RG-8	FHLMC Pool J11387 MBS	4.000	12/01/24	Paydown		3,994	3,994	4,047	4,042	0	(49)	0	(49)	0	3,994	0	0	0	40	12/01/2024
3128PQ-RG-8	FHLMC Pool J11387 MBS	4.000	12/01/24	Paydown		3,792	3,792	3,842	3,838	0	(46)	0	(46)	0	3,792	0	0	0	51	12/01/2024
3128PQ-RG-8	FHLMC Pool J11387 MBS	4.000	12/01/24	Paydown		48,002	48,002	48,647	48,587	0	(585)	0	(585)	0	48,002	0	0	0	800	12/01/2024
3128PQ-RG-8	FHLMC Pool J11387 MBS	4.000	12/01/24	Paydown		3,522	3,522	3,569	3,565	0	(43)	0	(43)	0	3,522	0	0	0	70	12/01/2024
3128PQ-RG-8	FHLMC Pool J11387 MBS	4.000	12/01/24	Citi Group		579,921	549,037	556,415	555,729	0	(166)	0	(166)	0	555,562	0	24,358	24,358	12,018	12/01/2024
3128PR-SS-4	FHLMC Pool J12657 MBS	4.500	07/01/25	Paydown		41,306	41,306	43,810	43,682	0	(2,375)	0	(2,375)	0	41,306	0	0	0	155	07/01/2025
3128PR-SS-4	FHLMC Pool J12657 MBS	4.500	07/01/25	Paydown		1,860	1,860	1,973	1,967	0	(107)	0	(107)	0	1,860	0	0	0	14	07/01/2025
3128PR-SS-4	FHLMC Pool J12657 MBS	4.500	07/01/25	Paydown		1,868	1,868	1,981	1,975	0	(107)	0	(107)	0	1,868	0	0	0	21	07/01/2025
3128PR-SS-4	FHLMC Pool J12657 MBS	4.500	07/01/25	Paydown		2,195	2,195	2,329	2,322	0	(126)	0	(126)	0	2,195	0	0	0	33	07/01/2025
3128PR-SS-4	FHLMC Pool J12657 MBS	4.500	07/01/25	Paydown		2,206	2,206	2,339	2,333	0	(127)	0	(127)	0	2,206	0	0	0	41	07/01/2025
3128PR-SS-4	FHLMC Pool J12657 MBS	4.500	07/01/25	Paydown		2,444	2,444	2,592	2,585	0	(141)	0	(141)	0	2,444	0	0	0	55	07/01/2025
3128PR-SS-4	FHLMC Pool J12657 MBS	4.500	07/01/25	Citi Group		313,593	294,799	312,671	311,752	(218)	(218)	0	(218)	0	311,534	0	2,058	2,058	7,259	07/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS	4.500	06/01/25	Paydown		5,485	5,485	5,796	5,775	0	(290)	0	(290)	0	5,485	0	0	0	21	06/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS	4.500	06/01/25	Paydown		6,076	6,076	6,421	6,397	0	(321)	0	(321)	0	6,076	0	0	0	46	06/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS	4.500	06/01/25	Paydown		39,192	39,192	41,415	41,263	0	(2,071)	0	(2,071)	0	39,192	0	0	0	441	06/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS	4.500	06/01/25	Paydown		5,951	5,951	6,289	6,266	0	(314)	0	(314)	0	5,951	0	0	0	89	06/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS	4.500	06/01/25	Paydown		36,766	36,766	38,851	38,708	0	(1,943)	0	(1,943)	0	36,766	0	0	0	689	06/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS	4.500	06/01/25	Paydown		6,540	6,540	6,911	6,885	0	(346)	0	(346)	0	6,540	0	0	0	147	06/01/2025
3128PR-UJ-6	FHLMC Pool 12385 MBS	4.500	06/01/25	Citi Group		796,858	749,102	791,590	788,684	(713)	(713)	0	(713)	0	787,971	0	8,887	8,887	18,447	06/01/2025
3128PT-FL-4	FHLMC Pool J13771 MBS	3.500	12/01/25	Paydown		6,303	6,303	6,347	6,344	0	(41)	0	(41)	0	6,303	0	0	0	18	12/01/2025
3128PT-FL-4	FHLMC Pool J13771 MBS	3.500	12/01/25	Paydown		90,423	90,423	91,059	91,017	0	(594)	0	(594)	0	90,423	0	0	0	527	12/01/2025
3128PT-FL-4	FHLMC Pool J13771 MBS	3.500	12/01/25	Paydown		46,984	46,984	47,314	47,292	0	(309)	0	(309)	0	46,984	0	0	0	411	12/01/2025
3128PT-FL-4	FHLMC Pool J13771 MBS	3.500	12/01/25	Paydown		5,777	5,777	5,817	5,815	0	(38)	0	(38)	0	5,777	0	0	0	67	12/01/2025

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3128PT-FL-4	FHLMC Pool J13771 MBS		12/01/25	05/01/2013	Paydown	5,731	5,731	5,771	5,768	0	(38)	0	(38)	0	5,731	0	0	0	84	12/01/2025
3128PT-FL-4	FHLMC Pool J13771 MBS		12/01/25	06/01/2013	Paydown	5,632	5,632	5,671	5,669	0	(37)	0	(37)	0	5,632	0	0	0	99	12/01/2025
3128PT-FL-4	FHLMC Pool J13771 MBS		12/01/25	06/04/2013	Wachovia Bank	911,420	865,957	872,046	871,647	0	(121)	0	(121)	0	871,526	0	39,894	39,894	16,585	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS		12/01/25	01/01/2013	Paydown	83,769	83,769	86,504	86,332	0	(2,563)	0	(2,563)	0	83,769	0	0	0	279	07/05/2020
3128PT-HF-9	FHLMC Pool J13958 MBS		12/01/25	02/01/2013	Paydown	12,991	12,991	13,415	13,389	0	(398)	0	(398)	0	12,991	0	0	0	87	07/05/2020
3128PT-HF-9	FHLMC Pool J13958 MBS		12/01/25	03/01/2013	Paydown	44,426	44,426	45,877	45,786	0	(1,360)	0	(1,360)	0	44,426	0	0	0	444	07/05/2020
3128PT-HF-9	FHLMC Pool J13958 MBS		12/01/25	04/01/2013	Paydown	5,702	5,702	5,889	5,877	0	(175)	0	(175)	0	5,702	0	0	0	76	07/05/2020
3128PT-HF-9	FHLMC Pool J13958 MBS		12/01/25	05/01/2013	Paydown	5,915	5,915	6,108	6,096	0	(181)	0	(181)	0	5,915	0	0	0	99	07/05/2020
3128PT-HF-9	FHLMC Pool J13958 MBS		12/01/25	06/01/2013	Paydown	23,854	23,854	24,632	24,583	0	(730)	0	(730)	0	23,854	0	0	0	477	07/05/2020
3128PT-HF-9	FHLMC Pool J13958 MBS		12/01/25	07/01/2013	Paydown	54,301	54,301	56,074	55,962	0	(1,662)	0	(1,662)	0	54,301	0	0	0	1,267	07/05/2020
3128PT-HF-9	FHLMC Pool J13958 MBS		12/01/25	08/01/2013	Paydown	39,612	39,612	40,906	40,824	0	(1,212)	0	(1,212)	0	39,612	0	0	0	1,056	07/05/2020
3128PT-HF-9	FHLMC Pool J13958 MBS		12/01/25	09/01/2013	Paydown	43,609	43,609	45,034	44,944	0	(1,335)	0	(1,335)	0	43,609	0	0	0	1,308	07/05/2020
3128PT-HF-9	FHLMC Pool J13958 MBS		12/01/25	10/01/2013	Paydown	10,929	10,929	11,286	11,264	0	(334)	0	(334)	0	10,929	0	0	0	364	07/05/2020
3128PT-HF-9	FHLMC Pool J13958 MBS		12/01/25	11/01/2013	Paydown	10,568	10,568	10,913	10,891	0	(323)	0	(323)	0	10,568	0	0	0	388	07/05/2020
3128PT-HF-9	FHLMC Pool J13958 MBS		12/01/25	12/01/2013	Paydown	5,619	5,619	5,803	5,791	0	(172)	0	(172)	0	5,619	0	0	0	225	07/05/2020
3128PU-KU-5	FHLMC Pool J14807 MBS		03/01/26	01/01/2013	Paydown	1,373	1,373	1,456	1,453	0	(80)	0	(80)	0	1,373	0	0	0	5	03/01/2026
3128PU-KU-5	FHLMC Pool J14807 MBS		03/01/26	02/01/2013	Paydown	1,297	1,297	1,376	1,372	0	(76)	0	(76)	0	1,297	0	0	0	10	03/01/2026
3128PU-KU-5	FHLMC Pool J14807 MBS		03/01/26	03/01/2013	Paydown	1,384	1,384	1,468	1,464	0	(81)	0	(81)	0	1,384	0	0	0	16	03/01/2026
3128PU-KU-5	FHLMC Pool J14807 MBS		03/01/26	04/01/2013	Paydown	40,910	40,910	43,409	43,296	0	(2,387)	0	(2,387)	0	40,910	0	0	0	614	03/01/2026
3128PU-KU-5	FHLMC Pool J14807 MBS		03/01/26	05/01/2013	Paydown	1,211	1,211	1,285	1,281	0	(71)	0	(71)	0	1,211	0	0	0	23	03/01/2026
3128PU-KU-5	FHLMC Pool J14807 MBS		03/01/26	06/01/2013	Paydown	1,242	1,242	1,318	1,314	0	(72)	0	(72)	0	1,242	0	0	0	28	03/01/2026
3128PU-KU-5	FHLMC Pool J14807 MBS		03/01/26	06/04/2013	Citi Group	220,267	207,067	219,717	219,147	0	(146)	0	(146)	0	219,001	0	1,267	1,267	5,099	03/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS		04/01/26	01/01/2013	Paydown	120,313	120,313	127,626	127,290	0	(6,977)	0	(6,977)	0	120,313	0	0	0	451	04/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS		04/01/26	02/01/2013	Paydown	1,721	1,721	1,826	1,821	0	(100)	0	(100)	0	1,721	0	0	0	13	04/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS		04/01/26	03/01/2013	Paydown	1,729	1,729	1,834	1,829	0	(100)	0	(100)	0	1,729	0	0	0	19	04/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS		04/01/26	04/01/2013	Paydown	1,738	1,738	1,844	1,839	0	(101)	0	(101)	0	1,738	0	0	0	26	04/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS		04/01/26	05/01/2013	Paydown	1,745	1,745	1,851	1,846	0	(101)	0	(101)	0	1,745	0	0	0	33	04/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS		04/01/26	06/01/2013	Paydown	1,728	1,728	1,833	1,828	0	(100)	0	(100)	0	1,728	0	0	0	39	04/01/2026
3128PU-UP-5	FHLMC Pool J15090 MBS		04/01/26	06/04/2013	Citi Group	358,721	337,223	357,720	356,779	0	(163)	0	(163)	0	356,616	0	2,105	2,105	8,304	04/01/2026
3128PU-HF-5	FHLMC Pool J15146 MBS		04/01/26	01/01/2013	Paydown	3,940	3,940	4,188	4,176	0	(236)	0	(236)	0	3,940	0	0	0	15	10/07/2020
3128PU-HF-5	FHLMC Pool J15146 MBS		04/01/26	02/01/2013	Paydown	51,570	51,570	54,817	54,657	0	(3,087)	0	(3,087)	0	51,570	0	0	0	387	10/07/2020
3128PU-HF-5	FHLMC Pool J15146 MBS		04/01/26	03/01/2013	Paydown	3,755	3,755	3,992	3,980	0	(225)	0	(225)	0	3,755	0	0	0	42	10/07/2020
3128PU-HF-5	FHLMC Pool J15146 MBS		04/01/26	04/01/2013	Paydown	99,849	99,849	106,136	105,826	0	(5,977)	0	(5,977)	0	99,849	0	0	0	1,498	10/07/2020
3128PU-HF-5	FHLMC Pool J15146 MBS		04/01/26	05/01/2013	Paydown	14,685	14,685	15,609	15,564	0	(879)	0	(879)	0	14,685	0	0	0	275	10/07/2020
3128PU-HF-5	FHLMC Pool J15146 MBS		04/01/26	06/01/2013	Paydown	4,188	4,188	4,452	4,439	0	(251)	0	(251)	0	4,188	0	0	0	94	10/07/2020
3128PU-HF-5	FHLMC Pool J15146 MBS		04/01/26	07/01/2013	Paydown	4,172	4,172	4,435	4,422	0	(250)	0	(250)	0	4,172	0	0	0	110	10/07/2020
3128PU-HF-5	FHLMC Pool J15146 MBS		04/01/26	08/01/2013	Paydown	4,191	4,191	4,454	4,441	0	(251)	0	(251)	0	4,191	0	0	0	126	10/07/2020
3128PU-HF-5	FHLMC Pool J15146 MBS		04/01/26	09/01/2013	Paydown	49,143	49,143	52,238	52,085	0	(2,942)	0	(2,942)	0	49,143	0	0	0	1,659	10/07/2020
3128PU-HF-5	FHLMC Pool J15146 MBS		04/01/26	10/01/2013	Paydown	3,246	3,246	3,450	3,440	0	(194)	0	(194)	0	3,246	0	0	0	122	10/07/2020
3128PU-HF-5	FHLMC Pool J15146 MBS		04/01/26	11/01/2013	Paydown	3,974	3,974	4,224	4,211	0	(238)	0	(238)	0	3,974	0	0	0	164	10/07/2020
3128PU-HF-5	FHLMC Pool J15146 MBS		04/01/26	12/01/2013	Paydown	3,332	3,332	3,542	3,532	0	(199)	0	(199)	0	3,332	0	0	0	150	10/07/2020
3128PU-YZ-9	FHLMC Pool J15228 MBS		04/01/26	01/01/2013	Paydown	3,877	3,877	4,125	4,113	0	(237)	0	(237)	0	3,877	0	0	0	15	04/01/2026
3128PU-YZ-9	FHLMC Pool J15228 MBS		04/01/26	02/01/2013	Paydown	10,879	10,879	11,576	11,544	0	(665)	0	(665)	0	10,879	0	0	0	82	04/01/2026
3128PU-YZ-9	FHLMC Pool J15228 MBS		04/01/26	03/01/2013	Paydown	6,990	6,990	7,438	7,417	0	(427)	0	(427)	0	6,990	0	0	0	79	04/01/2026
3128PU-YZ-9	FHLMC Pool J15228 MBS		04/01/26	04/01/2013	Paydown	81,182	81,182	86,383	86,144	0	(4,961)	0	(4,961)	0	81,182	0	0	0	1,218	04/01/2026
3128PU-YZ-9	FHLMC Pool J15228 MBS		04/01/26	05/01/2013	Paydown	44,848	44,848	47,721	47,589	0	(2,741)	0	(2,741)	0	44,848	0	0	0	841	04/01/2026
3128PU-YZ-9	FHLMC Pool J15228 MBS		04/01/26	06/01/2013	Paydown	10,335	10,335	10,998	10,967	0	(632)	0	(632)	0	10,335	0	0	0	233	04/01/2026
3128PU-YZ-9	FHLMC Pool J15228 MBS		04/01/26	06/04/2013	Citi Group	521,018	489,794	521,171	519,726	0	(376)	0	(376)	0	519,350	0	1,668	1,668	12,061	04/01/2026
3128PV-6T-2	FHLMC Pool J16282 MBS		08/01/26	01/01/2013	Paydown	2,028	2,028	2,135	2,130	0	(102)	0	(102)	0	2,028	0	0	0	6	11/08/2020
3128PV-6T-2	FHLMC Pool J16282 MBS		08/01/26	02/01/2013	Paydown	10,170	10,170	10,707	10,682	0	(512)	0	(512)	0	10,170	0	0	0	59	11/08/2020
3128PV-6T-2	FHLMC Pool J16282 MBS		08/01/26	03/01/2013	Paydown	6,270	6,270	6,601	6,586	0	(316)	0	(316)	0	6,270	0	0	0	55	11/08/2020
3128PV-6T-2	FHLMC Pool J16282 MBS		08/01/26	04/01																

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3128PV-6T-2	FHLMC Pool J16282 MBS	3.500	08/01/26			2,045	2,045	2,153	2,148	0	(103)	0	(103)	0	2,045	0	0	0	.66	11/08/2020
3128PV-6T-2	FHLMC Pool J16282 MBS	3.500	08/01/26			1,966	1,966	2,070	2,065	0	(99)	0	(99)	0	1,966	0	0	0	.69	11/08/2020
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500	05/01/26			2,942	2,942	3,129	3,119	0	(177)	0	(177)	0	2,942	0	0	0	.11	10/30/2020
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500	05/01/26			2,954	2,954	3,141	3,131	0	(177)	0	(177)	0	2,954	0	0	0	.22	10/30/2020
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500	05/01/26			2,966	2,966	3,154	3,144	0	(178)	0	(178)	0	2,966	0	0	0	.33	10/30/2020
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500	05/01/26			37,744	37,744	40,138	40,011	0	(2,267)	0	(2,267)	0	37,744	0	0	0	.566	10/30/2020
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500	05/01/26			5,985	5,985	6,344	6,344	0	(360)	0	(360)	0	5,985	0	0	0	.112	10/30/2020
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500	05/01/26			2,895	2,895	3,078	3,069	0	(174)	0	(174)	0	2,895	0	0	0	.65	10/30/2020
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500	05/01/26			3,058	3,058	3,252	3,242	0	(184)	0	(184)	0	3,058	0	0	0	.80	10/30/2020
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500	05/01/26			65,053	65,053	69,180	68,961	0	(3,908)	0	(3,908)	0	65,053	0	0	0	1,952	10/30/2020
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500	05/01/26			31,430	31,430	33,424	33,318	0	(1,888)	0	(1,888)	0	31,430	0	0	0	1,061	10/30/2020
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500	05/01/26			5,477	5,477	5,824	5,806	0	(329)	0	(329)	0	5,477	0	0	0	205	10/30/2020
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500	05/01/26			2,516	2,516	2,676	2,667	0	(151)	0	(151)	0	2,516	0	0	0	104	10/30/2020
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500	05/01/26			3,759	3,759	3,998	3,985	0	(226)	0	(226)	0	3,759	0	0	0	169	10/30/2020
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500	06/01/26			4,753	4,753	5,053	5,040	0	(286)	0	(286)	0	4,753	0	0	0	.18	11/12/2020
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500	06/01/26			4,010	4,010	4,263	4,251	0	(241)	0	(241)	0	4,010	0	0	0	.30	11/12/2020
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500	06/01/26			4,029	4,029	4,283	4,271	0	(243)	0	(243)	0	4,029	0	0	0	.45	11/12/2020
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500	06/01/26			73,407	73,407	78,041	77,826	0	(4,419)	0	(4,419)	0	73,407	0	0	0	1,101	11/12/2020
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500	06/01/26			3,722	3,722	3,957	3,947	0	(224)	0	(224)	0	3,722	0	0	0	.70	11/12/2020
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500	06/01/26			3,707	3,707	3,941	3,930	0	(223)	0	(223)	0	3,707	0	0	0	.83	11/12/2020
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500	06/01/26			89,206	89,206	94,838	94,577	0	(5,370)	0	(5,370)	0	89,206	0	0	0	2,342	11/12/2020
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500	06/01/26			3,308	3,308	3,517	3,507	0	(199)	0	(199)	0	3,308	0	0	0	.99	11/12/2020
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500	06/01/26			3,323	3,323	3,533	3,523	0	(200)	0	(200)	0	3,323	0	0	0	112	11/12/2020
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500	06/01/26			3,334	3,334	3,544	3,534	0	(201)	0	(201)	0	3,334	0	0	0	125	11/12/2020
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500	06/01/26			3,551	3,551	3,775	3,765	0	(214)	0	(214)	0	3,551	0	0	0	147	11/12/2020
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500	06/01/26			3,498	3,498	3,719	3,708	0	(211)	0	(211)	0	3,498	0	0	0	157	11/12/2020
3128PV-HG-8	FHLMC Pool J15631 MBS	4.000	06/01/26			13,074	13,074	13,660	13,640	0	(566)	0	(566)	0	13,074	0	0	0	44	06/01/2026
3128PV-HG-8	FHLMC Pool J15631 MBS	4.000	06/01/26			432	432	451	450	0	(19)	0	(19)	0	432	0	0	0	3	06/01/2026
3128PV-HG-8	FHLMC Pool J15631 MBS	4.000	06/01/26			7,086	7,086	7,404	7,393	0	(307)	0	(307)	0	7,086	0	0	0	.71	06/01/2026
3128PV-HG-8	FHLMC Pool J15631 MBS	4.000	06/01/26			355	355	370	370	0	(15)	0	(15)	0	355	0	0	0	5	06/01/2026
3128PV-HG-8	FHLMC Pool J15631 MBS	4.000	06/01/26			4,456	4,456	4,656	4,648	0	(193)	0	(193)	0	4,456	0	0	0	.74	06/01/2026
3128PV-HG-8	FHLMC Pool J15631 MBS	4.000	06/01/26			328	328	343	342	0	(14)	0	(14)	0	328	0	0	0	.7	06/01/2026
3128PV-HG-8	FHLMC Pool J15631 MBS	4.000	06/01/26			67,691	64,086	66,960	66,860	0	(16)	0	(16)	0	66,844	0	847	847	1,403	06/01/2026
3128PV-LY-4	FHLMC Pool J15743 MBS	3.500	07/01/26			1,132	1,132	1,193	1,190	0	(58)	0	(58)	0	1,132	0	0	0	.3	07/01/2026
3128PV-LY-4	FHLMC Pool J15743 MBS	3.500	07/01/26			2,484	2,484	2,618	2,613	0	(128)	0	(128)	0	2,484	0	0	0	15	07/01/2026
3128PV-LY-4	FHLMC Pool J15743 MBS	3.500	07/01/26			705	705	742	741	0	(36)	0	(36)	0	705	0	0	0	.6	07/01/2026
3128PV-LY-4	FHLMC Pool J15743 MBS	3.500	07/01/26			707	707	745	744	0	(36)	0	(36)	0	707	0	0	0	.8	07/01/2026
3128PV-LY-4	FHLMC Pool J15743 MBS	3.500	07/01/26			709	709	747	745	0	(37)	0	(37)	0	709	0	0	0	10	07/01/2026
3128PV-LY-4	FHLMC Pool J15743 MBS	3.500	07/01/26			2,972	2,972	3,132	3,125	0	(153)	0	(153)	0	2,972	0	0	0	.52	07/01/2026
3128PV-LY-4	FHLMC Pool J15743 MBS	3.500	07/01/26			115,640	109,481	115,383	115,129	0	(73)	0	(73)	0	115,057	0	583	583	2,097	07/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS	4.000	06/01/26			10,685	10,685	11,233	11,208	0	(523)	0	(523)	0	10,685	0	0	0	.36	06/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS	4.000	06/01/26			10,715	10,715	11,265	11,240	0	(525)	0	(525)	0	10,715	0	0	0	.71	06/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS	4.000	06/01/26			14,585	14,585	15,333	15,299	0	(714)	0	(714)	0	14,585	0	0	0	146	06/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS	4.000	06/01/26			10,115	10,115	10,635	10,611	0	(496)	0	(496)	0	10,115	0	0	0	135	06/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS	4.000	06/01/26			9,912	9,912	10,420	10,397	0	(486)	0	(486)	0	9,912	0	0	0	165	06/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS	4.000	06/01/26			16,057	16,057	16,881	16,843	0	(787)	0	(787)	0	16,057	0	0	0	321	06/01/2026
3128PV-QQ-6	FHLMC Pool J15863 MBS	4.000	06/01/26			896,294	841,591	884,788	882,817	0	(858)	0	(858)	0	881,959	0	14,335	14,335	19,357	06/01/2026
3128PWI-E2-0	FHLMC Pool J16453 MBS	3.500	09/01/26			308	308	324	324	0	(16)	0	(16)	0	308	0	0	0	.1	11/30/2020
3128PWI-E2-0	FHLMC Pool J16453 MBS	3.500	09/01/26			309	309	325	325	0	(16)	0	(16)	0	309	0	0	0	.2	11/30/2020
3128PWI-E2-0	FHLMC Pool J16453 MBS	3.500	09/01/26			310	310	327	326	0	(16)	0	(16)	0	310	0	0	0	.3	11/30/2020
3128PWI-E2-0	FHLMC Pool J16453 MBS	3.500	09/01/26			312	312	329	328	0	(16)	0	(16)	0	312	0	0	0	.4	11/30/2020
3128PWI-E2-0	FHLMC Pool J16453 MBS	3.500	09/01/26			4,871	4,871	5,137	5,126	0	(255)	0	(255)	0	4,871	0	0	0	.71	11/30/2020
3128PWI-E2-0	FHLMC Pool J16453 MBS	3.500	09/01/26			2,399	2,399	2,529	2,524	0	(126)	0	(126)	0	2,399	0	0	0	.42	11/30/2020
3128PWI-E2-0	FHLMC Pool J16453 MBS	3.500	09/01/26			2,543	2,543	2,682	2,676	0	(133)	0	(133)	0	2,543	0	0	0	.52	11/30/2020
3128PWI-E2-0	FHLMC Pool J16453 MBS	3.500	09/01/26			272	272	287	286	0	(14)	0	(14)	0	272	0	0	0	.6	11/30/2020
3128PWI-E2-0	FHLMC Pool J16453 MBS	3.500	09/01/26			264	264	278	278	0	(14)	0	(14)	0	264	0	0	0	.7	11/30/2020
3128PWI-E2-0	FHLMC Pool J16453 MBS	3.500	09/01/26			265	265	280	279	0	(14)	0	(14)	0	265	0	0	0	.8	11/30/2020
3128PWI-E2-0	FHLMC Pool J16453 MBS	3.500	09/01/26			266	266	280	280	0	(14)	0	(14)	0	266	0	0	0	.9	11/30/2020

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3128PI-E2-0	FHLMC Pool J16453 MBS 3.500% 09/01/26		12/01/2013	Paydown		267	267	282	281	0	(14)	0	(14)	0	267	0	0	0	9	11/30/2020
3128PY-IH-3	FHLMC Pool J18748 MBS 3.000% 04/01/27		01/01/2013	Paydown		8,445	8,445	8,871	8,859	0	(414)	0	(414)	0	8,445	0	0	0	21	04/01/2027
3128PY-IH-3	FHLMC Pool J18748 MBS 3.000% 04/01/27		02/01/2013	Paydown		8,464	8,464	8,891	8,879	0	(415)	0	(415)	0	8,464	0	0	0	42	04/01/2027
3128PY-IH-3	FHLMC Pool J18748 MBS 3.000% 04/01/27		03/01/2013	Paydown		8,541	8,541	8,972	8,960	0	(419)	0	(419)	0	8,541	0	0	0	64	04/01/2027
3128PY-IH-3	FHLMC Pool J18748 MBS 3.000% 04/01/27		04/01/2013	Paydown		8,542	8,542	8,973	8,961	0	(419)	0	(419)	0	8,542	0	0	0	85	04/01/2027
3128PY-IH-3	FHLMC Pool J18748 MBS 3.000% 04/01/27		05/01/2013	Paydown		8,504	8,504	8,934	8,922	0	(417)	0	(417)	0	8,504	0	0	0	106	04/01/2027
3128PY-IH-3	FHLMC Pool J18748 MBS 3.000% 04/01/27		06/01/2013	Paydown		8,694	8,694	9,133	9,121	0	(427)	0	(427)	0	8,694	0	0	0	130	04/01/2027
3128PY-IH-3	FHLMC Pool J18748 MBS 3.000% 04/01/27		06/04/2013	Wachovia Bank		1,865,458	1,795,058	1,885,652	1,883,157	0	(1,182)	0	(1,182)	0	1,881,975	0	(16,517)	(16,517)	29,469	04/01/2027
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		01/01/2013	Paydown		11	11	11	11	0	0	0	0	0	11	0	0	0	0	05/09/2015
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		02/01/2013	Paydown		10	10	10	10	0	0	0	0	0	10	0	0	0	0	05/09/2015
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		03/01/2013	Paydown		14	14	14	14	0	0	0	0	0	14	0	0	0	0	05/09/2015
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		04/01/2013	Paydown		11	11	11	11	0	0	0	0	0	11	0	0	0	0	05/09/2015
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		05/01/2013	Paydown		12	12	12	12	0	0	0	0	0	12	0	0	0	0	05/09/2015
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		06/01/2013	Paydown		26	26	26	26	0	0	0	0	0	26	0	0	0	0	05/09/2015
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		07/01/2013	Paydown		10	10	10	10	0	0	0	0	0	10	0	0	0	0	05/09/2015
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		08/01/2013	Paydown		19	19	19	19	0	0	0	0	0	19	0	0	0	0	05/09/2015
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		09/01/2013	Paydown		27	27	27	27	0	0	0	0	0	27	0	0	0	0	05/09/2015
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		10/01/2013	Paydown		10	10	10	10	0	0	0	0	0	10	0	0	0	0	05/09/2015
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		11/01/2013	Paydown		15	15	16	15	0	0	0	0	0	15	0	0	0	0	05/09/2015
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		12/01/2013	Paydown		14	14	14	14	0	0	0	0	0	14	0	0	0	0	05/09/2015
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		01/01/2013	Paydown		61,477	61,477	62,610	62,597	0	(1,121)	0	(1,121)	0	61,477	0	0	0	307	06/22/2029
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		02/01/2013	Paydown		25,727	25,727	26,202	26,196	0	(469)	0	(469)	0	25,727	0	0	0	257	06/22/2029
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		03/01/2013	Paydown		82,410	82,410	83,929	83,912	0	(1,502)	0	(1,502)	0	82,410	0	0	0	1,236	06/22/2029
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		04/01/2013	Paydown		61,840	61,840	62,980	62,967	0	(1,127)	0	(1,127)	0	61,840	0	0	0	1,237	06/22/2029
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		05/01/2013	Paydown		446	446	454	454	0	(8)	0	(8)	0	446	0	0	0	11	06/22/2029
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		06/01/2013	Paydown		487	487	496	495	0	(9)	0	(9)	0	487	0	0	0	15	06/22/2029
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		07/01/2013	Paydown		32,106	32,106	32,697	32,691	0	(585)	0	(585)	0	32,106	0	0	0	1,124	06/22/2029
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		08/01/2013	Paydown		32,870	32,870	33,476	33,469	0	(599)	0	(599)	0	32,870	0	0	0	1,315	06/22/2029
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		09/01/2013	Paydown		385	385	392	392	0	(7)	0	(7)	0	385	0	0	0	17	06/22/2029
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		10/01/2013	Paydown		368	368	375	375	0	(7)	0	(7)	0	368	0	0	0	18	06/22/2029
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		11/01/2013	Paydown		408	408	416	416	0	(7)	0	(7)	0	408	0	0	0	22	06/22/2029
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		12/01/2013	Paydown		392	392	399	399	0	(7)	0	(7)	0	392	0	0	0	24	06/22/2029
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		01/01/2013	Paydown		2,161	2,161	2,254	2,254	0	(92)	0	(92)	0	2,161	0	0	0	5	11/17/2030
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		02/01/2013	Paydown		3,345	3,345	3,488	3,487	0	(143)	0	(143)	0	3,345	0	0	0	17	11/17/2030
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		03/01/2013	Paydown		3,080	3,080	3,211	3,211	0	(132)	0	(132)	0	3,080	0	0	0	23	11/17/2030
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		04/01/2013	Paydown		3,400	3,400	3,546	3,545	0	(145)	0	(145)	0	3,400	0	0	0	34	11/17/2030
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		05/01/2013	Paydown		3,043	3,043	3,173	3,173	0	(130)	0	(130)	0	3,043	0	0	0	38	11/17/2030
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		06/01/2013	Paydown		4,658	4,658	4,858	4,857	0	(199)	0	(199)	0	4,658	0	0	0	70	11/17/2030
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		07/01/2013	Paydown		10,154	10,154	10,589	10,588	0	(434)	0	(434)	0	10,154	0	0	0	178	11/17/2030
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		08/01/2013	Paydown		3,694	3,694	3,852	3,851	0	(158)	0	(158)	0	3,694	0	0	0	74	11/17/2030
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		09/01/2013	Paydown		3,939	3,939	4,108	4,107	0	(168)	0	(168)	0	3,939	0	0	0	89	11/17/2030
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		10/01/2013	Paydown		2,101	2,101	2,191	2,191	0	(90)	0	(90)	0	2,101	0	0	0	53	11/17/2030
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		11/01/2013	Paydown		3,779	3,779	3,940	3,940	0	(161)	0	(161)	0	3,779	0	0	0	104	11/17/2030
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		12/01/2013	Paydown		2,243	2,243	2,339	2,338	0	(96)	0	(96)	0	2,243	0	0	0	67	11/17/2030
312972-LE-0	FHLMC Pool B19325 MBS 5.000% 05/01/20		01/01/2013	Paydown		15,026	15,026	14,723	14,790	0	236	0	236	0	15,026	0	0	0	63	05/20/2017
312972-LE-0	FHLMC Pool B19325 MBS 5.000% 05/01/20		02/01/2013	Paydown		2,230	2,230	2,185	2,194	0	35	0	35	0	2,230	0	0	0	19	05/20/2017

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	03/01/2013	Paydown		10,280	10,280	10,072	10,118	0	161	161	0	10,280	0	0	0	128	05/20/2017
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	04/01/2013	Paydown		2,084	2,084	2,042	2,051	0	33	33	0	2,084	0	0	0	35	05/20/2017
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	05/01/2013	Paydown		2,203	2,203	2,158	2,168	0	35	35	0	2,203	0	0	0	46	05/20/2017
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	06/01/2013	Paydown		4,081	4,081	3,999	4,017	0	64	64	0	4,081	0	0	0	102	05/20/2017
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	07/01/2013	Paydown		2,290	2,290	2,244	2,254	0	36	36	0	2,290	0	0	0	67	05/20/2017
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	08/01/2013	Paydown		2,293	2,293	2,246	2,257	0	36	36	0	2,293	0	0	0	76	05/20/2017
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	09/01/2013	Paydown		23,143	23,143	22,677	22,780	0	364	364	0	23,143	0	0	0	868	05/20/2017
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	10/01/2013	Paydown		7,487	7,487	7,336	7,369	0	118	118	0	7,487	0	0	0	312	05/20/2017
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	11/01/2013	Paydown		3,965	3,965	3,885	3,903	0	62	62	0	3,965	0	0	0	182	05/20/2017
312972-LE-0	FHLMC Pool B19325 MBS	5.000%	05/01/20	12/01/2013	Paydown		4,777	4,777	4,681	4,702	0	75	75	0	4,777	0	0	0	239	05/20/2017
3132GR-LZ-2	FHLMC Pool Q06344 MBS	4.000%	02/01/42	01/01/2013	Paydown		57,589	57,589	60,680	60,648	0	(3,058)	(3,058)	0	57,589	0	0	0	192	02/01/2042
3132GR-LZ-2	FHLMC Pool Q06344 MBS	4.000%	02/01/42	02/01/2013	Paydown		22,692	22,692	23,910	23,897	0	(1,205)	(1,205)	0	22,692	0	0	0	151	02/01/2042
3132GR-LZ-2	FHLMC Pool Q06344 MBS	4.000%	02/01/42	03/01/2013	Paydown		20,253	20,253	21,340	21,328	0	(1,075)	(1,075)	0	20,253	0	0	0	203	02/01/2042
3132GR-LZ-2	FHLMC Pool Q06344 MBS	4.000%	02/01/42	03/06/2013	City Group		2,387,787	2,213,796	2,332,615	2,331,355	0	1,172	1,172	0	2,332,526	0	55,261	55,261	24,844	02/01/2042
3132GV-JF-0	FHLMC Pool Q09862 MBS	3.500%	08/01/42	01/01/2013	Paydown		3,951	3,951	4,223	4,220	0	(268)	(268)	0	3,951	0	0	0	12	12/16/2030
3132GV-JF-0	FHLMC Pool Q09862 MBS	3.500%	08/01/42	02/01/2013	Paydown		3,849	3,849	4,114	4,111	0	(262)	(262)	0	3,849	0	0	0	22	12/16/2030
3132GV-JF-0	FHLMC Pool Q09862 MBS	3.500%	08/01/42	03/01/2013	Paydown		20,815	20,815	22,249	22,229	0	(1,414)	(1,414)	0	20,815	0	0	0	182	12/16/2030
3132GV-JF-0	FHLMC Pool Q09862 MBS	3.500%	08/01/42	04/01/2013	Paydown		1,081	1,081	1,155	1,154	0	(73)	(73)	0	1,081	0	0	0	13	12/16/2030
3132GV-JF-0	FHLMC Pool Q09862 MBS	3.500%	08/01/42	05/01/2013	Paydown		3,851	3,851	4,116	4,113	0	(262)	(262)	0	3,851	0	0	0	56	12/16/2030
3132GV-JF-0	FHLMC Pool Q09862 MBS	3.500%	08/01/42	06/01/2013	Paydown		1,150	1,150	1,229	1,228	0	(78)	(78)	0	1,150	0	0	0	20	12/16/2030
3132GV-JF-0	FHLMC Pool Q09862 MBS	3.500%	08/01/42	07/01/2013	Paydown		1,262	1,262	1,348	1,347	0	(86)	(86)	0	1,262	0	0	0	26	12/16/2030
3132GV-JF-0	FHLMC Pool Q09862 MBS	3.500%	08/01/42	08/01/2013	Paydown		1,136	1,136	1,214	1,213	0	(77)	(77)	0	1,136	0	0	0	26	12/16/2030
3132GV-JF-0	FHLMC Pool Q09862 MBS	3.500%	08/01/42	09/01/2013	Paydown		1,286	1,286	1,374	1,373	0	(87)	(87)	0	1,286	0	0	0	34	12/16/2030
3132GV-JF-0	FHLMC Pool Q09862 MBS	3.500%	08/01/42	10/01/2013	Paydown		3,822	3,822	4,086	4,082	0	(260)	(260)	0	3,822	0	0	0	112	12/16/2030
3132GV-JF-0	FHLMC Pool Q09862 MBS	3.500%	08/01/42	11/01/2013	Paydown		38,583	38,583	41,241	41,205	0	(2,622)	(2,622)	0	38,583	0	0	0	1,238	12/16/2030
3132GV-JF-0	FHLMC Pool Q09862 MBS	3.500%	08/01/42	12/01/2013	Paydown		18,128	18,128	19,377	19,360	0	(1,232)	(1,232)	0	18,128	0	0	0	634	12/16/2030
3132HQ-DB-5	FHLMC Pool Q13698 MBS	3.000%	12/01/42	01/01/2013	Paydown		3,383	3,383	3,542	3,542	0	(159)	(159)	0	3,383	0	0	0	8	02/26/2031
3132HQ-DB-5	FHLMC Pool Q13698 MBS	3.000%	12/01/42	02/01/2013	Paydown		2,728	2,728	2,857	2,856	0	(128)	(128)	0	2,728	0	0	0	14	02/26/2031
3132HQ-DB-5	FHLMC Pool Q13698 MBS	3.000%	12/01/42	03/01/2013	Paydown		2,753	2,753	2,882	2,882	0	(130)	(130)	0	2,753	0	0	0	21	02/26/2031
3132HQ-DB-5	FHLMC Pool Q13698 MBS	3.000%	12/01/42	04/01/2013	Paydown		2,774	2,774	2,905	2,905	0	(131)	(131)	0	2,774	0	0	0	28	02/26/2031
3132HQ-DB-5	FHLMC Pool Q13698 MBS	3.000%	12/01/42	05/01/2013	Paydown		2,774	2,774	2,905	2,905	0	(131)	(131)	0	2,774	0	0	0	35	02/26/2031
3132HQ-DB-5	FHLMC Pool Q13698 MBS	3.000%	12/01/42	06/01/2013	Paydown		2,810	2,810	2,943	2,943	0	(132)	(132)	0	2,810	0	0	0	42	02/26/2031
3132HQ-DB-5	FHLMC Pool Q13698 MBS	3.000%	12/01/42	07/01/2013	Paydown		35,671	35,671	37,355	37,350	0	(1,679)	(1,679)	0	35,671	0	0	0	624	02/26/2031
3132HQ-DB-5	FHLMC Pool Q13698 MBS	3.000%	12/01/42	08/01/2013	Paydown		65,330	65,330	68,413	68,404	0	(3,074)	(3,074)	0	65,330	0	0	0	1,307	02/26/2031
3132HQ-DB-5	FHLMC Pool Q13698 MBS	3.000%	12/01/42	09/01/2013	Paydown		2,700	2,700	2,827	2,827	0	(127)	(127)	0	2,700	0	0	0	61	02/26/2031
3132HQ-DB-5	FHLMC Pool Q13698 MBS	3.000%	12/01/42	10/01/2013	Paydown		2,772	2,772	2,903	2,902	0	(130)	(130)	0	2,772	0	0	0	69	02/26/2031
3132HQ-DB-5	FHLMC Pool Q13698 MBS	3.000%	12/01/42	11/01/2013	Paydown		2,747	2,747	2,877	2,877	0	(129)	(129)	0	2,747	0	0	0	76	02/26/2031
3132HQ-DB-5	FHLMC Pool Q13698 MBS	3.000%	12/01/42	12/01/2013	Paydown		2,803	2,803	2,935	2,935	0	(132)	(132)	0	2,803	0	0	0	84	02/26/2031
3132HQ-M4-1	FHLMC Pool Q13979 MBS	3.000%	12/01/42	01/01/2013	Paydown		1,781	1,781	1,865	1,865	0	(83)	(83)	0	1,781	0	0	0	4	12/13/2030
3132HQ-M4-1	FHLMC Pool Q13979 MBS	3.000%	12/01/42	02/01/2013	Paydown		1,786	1,786	1,870	1,870	0	(84)	(84)	0	1,786	0	0	0	9	12/13/2030
3132HQ-M4-1	FHLMC Pool Q13979 MBS	3.000%	12/01/42	03/01/2013	Paydown		1,790	1,790	1,874	1,874	0	(84)	(84)	0	1,790	0	0	0	13	12/13/2030
3132HQ-M4-1	FHLMC Pool Q13979 MBS	3.000%	12/01/42	04/01/2013	Paydown		1,797	1,797	1,881	1,881	0	(84)	(84)	0	1,797	0	0	0	18	12/13/2030
3132HQ-M4-1	FHLMC Pool Q13979 MBS	3.000%	12/01/42	05/01/2013	Paydown		1,814	1,814	1,899	1,899	0	(85)	(85)	0	1,814	0	0	0	23	12/13/2030
3132HQ-M4-1	FHLMC Pool Q13979 MBS	3.000%	12/01/42	06/01/2013	Paydown		1,810	1,810	1,895	1,895	0	(85)	(85)	0	1,810	0	0	0	27	12/13/2030
3132HQ-M4-1	FHLMC Pool Q13979 MBS	3.000%	12/01/42	07/01/2013	Paydown		1,824	1,824	1,909	1,909	0	(85)	(85)	0	1,824	0	0	0	32	12/13/2030
3132HQ-M4-1	FHLMC Pool Q13979 MBS	3.000%	12/01/42	08/01/2013	Paydown		1,831	1,831	1,916	1,916	0	(86)	(86)	0	1,831	0	0	0	37	12/13/2030
3132HQ-M4-1	FHLMC Pool Q13979 MBS	3.000%	12/01/42	09/01/2013	Paydown		2,293	2,293	2,401	2,401	0	(107)	(107)	0	2,293	0	0	0	52	12/13/2030
3132HQ-M4-1	FHLMC Pool Q13979 MBS	3.000%	12/01/42	10/01/2013	Paydown		1,823	1,823	1,908	1,908	0	(85)	(85)	0	1,823	0	0	0	46	12/13/2030
3132HQ-M4-1	FHLMC Pool Q13979 MBS	3.000%	12/01/42	11/01/2013	Paydown		2,070	2,070	2,167	2,167	0	(97)	(97)	0	2,070	0	0	0	57	12/13/2030
3132HQ-M4-1	FHLMC Pool Q13979 MBS	3.000%	12/01/42	12/01/2013	Paydown		1,770	1,770	1,853	1,852	0	(83)	(83)	0	1,770	0	0	0	53	12/13/2030
3132HQ-MIR-0	FHLMC Pool Q13968 MBS	3.000%	12/01/42	01/01/2013	Paydown		1,444	1,444	1,517	1,517	0	(72)	(72)	0	1,444	0	0	0	4	12/14/2030
3132HQ-MIR-0	FHLMC Pool Q13968 MBS	3.000%	12/01/42	02/01/2013	Paydown		1,444	1,444	1,516	1,516	0	(72)	(72)	0	1,444	0	0	0	7	12/14/2030
3132HQ-MIR-0	FHLMC Pool Q13968 MBS	3.000%	12/01/42	03/01/2013	Paydown		1,477	1,477	1,551	1,551	0	(74)	(74)	0	1,477	0	0	0	11	12/14/2030
3132HQ-MIR-0	FHLMC Pool Q13968 MBS	3.000%	12/01/42	04/01/2013	Paydown		1,456	1,456	1,529	1,529	0	(73)	(73)	0	1,456	0	0	0	15	12/14/2030
3132HQ-MIR-0	FHLMC Pool Q13968 MBS	3.000%	12/01/42	05/01/2013	Paydown		1,479	1,479	1,554	1,554	0	(74)	(74)	0	1,479	0	0	0	19	12/14/2030
3132HQ-MIR-0	FHLMC Pool Q13968 MBS	3.000%	12/01/42	06/01/2013	Paydown		1,484	1,484	1,558	1,558	0	(74)	(74)	0	1,484	0	0	0	22	12/14/2030
3132HQ-MIR-0	FHLMC Pool Q13968 MBS	3.000%	12/01/42	07/01/2013	Paydown		1,489	1,489	1,564	1,564	0	(75)	(75)	0	1,489	0	0	0	26	12/14/2030

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3132HQ-MR-0	FHLMC Pool Q13968 MBS 3.000% 12/01/42		09/01/2013	Paydown		1,492	1,492	1,568	1,567	0	(75)	0	(75)	0	1,492	0	0	0	34	12/14/2030
3132HQ-MR-0	FHLMC Pool Q13968 MBS 3.000% 12/01/42		10/01/2013	Paydown		1,500	1,500	1,575	1,575	0	(75)	0	(75)	0	1,500	0	0	0	38	12/14/2030
3132HQ-MR-0	FHLMC Pool Q13968 MBS 3.000% 12/01/42		11/01/2013	Paydown		1,486	1,486	1,561	1,561	0	(75)	0	(75)	0	1,486	0	0	0	41	12/14/2030
3132HQ-MR-0	FHLMC Pool Q13968 MBS 3.000% 12/01/42		12/01/2013	Paydown		1,556	1,556	1,635	1,634	0	(78)	0	(78)	0	1,556	0	0	0	47	12/14/2030
3134G1-U6-9	FHLMC Note Non Call 0.360% 01/10/13		01/10/2013	Maturity		1,500,000	1,500,000	1,499,497	1,499,994	0	6	0	6	0	1,500,000	0	0	0	1,356	01/10/2013
3134G2-HF-2	FHLMC Note Non Call 0.143% 05/16/13		05/16/2013	Maturity		1,500,000	1,500,000	1,500,315	1,500,082	0	(82)	0	(82)	0	1,500,000	0	0	0	937	05/16/2013
3134G3-W7-1	FHLMC Note Call 0.350% 11/26/14		11/26/2013	Call 100.0000		3,000,000	3,000,000	3,000,000	3,000,000	0	0	0	0	0	3,000,000	0	0	0	10,500	11/26/2014
3134G3-Y6-1	FHLMC Note Call 0.375% 12/10/14		12/10/2013	Call 100.0000		2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	2,000,000	0	0	0	7,500	12/10/2014
3135G0-BR-3	FNMA Note Non Call 0.500% 08/09/13		08/09/2013	Maturity		2,000,000	2,000,000	2,005,350	2,001,972	0	(1,972)	0	(1,972)	0	2,000,000	0	0	0	10,000	08/09/2013
3136F9-CB-7	FNMA Note Non Call 4.000% 03/11/13		03/11/2013	Maturity		1,000,000	1,000,000	1,041,180	1,007,231	0	(7,231)	0	(7,231)	0	1,000,000	0	0	0	20,000	03/11/2013
3137EA-CL-1	FHLMC Note Non Call 0.875% 10/28/13		10/28/2013	Maturity		2,000,000	2,000,000	2,018,376	2,008,349	0	(8,349)	0	(8,349)	0	2,000,000	0	0	0	17,500	10/28/2013
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		01/01/2013	Paydown		47,029	47,029	48,734	48,248	0	(1,219)	0	(1,219)	0	47,029	0	0	0	196	05/13/2016
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		02/01/2013	Paydown		50,513	50,513	52,344	51,822	0	(1,309)	0	(1,309)	0	50,513	0	0	0	421	05/13/2016
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		03/01/2013	Paydown		52,089	52,089	53,978	53,440	0	(1,350)	0	(1,350)	0	52,089	0	0	0	651	05/13/2016
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		04/01/2013	Paydown		44,705	44,705	46,326	45,864	0	(1,159)	0	(1,159)	0	44,705	0	0	0	745	05/13/2016
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		05/01/2013	Paydown		43,032	43,032	44,592	44,147	0	(1,115)	0	(1,115)	0	43,032	0	0	0	897	05/13/2016
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		06/01/2013	Paydown		42,577	42,577	44,121	43,681	0	(1,104)	0	(1,104)	0	42,577	0	0	0	1,064	05/13/2016
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		07/01/2013	Paydown		40,421	40,421	41,886	41,469	0	(1,048)	0	(1,048)	0	40,421	0	0	0	1,179	05/13/2016
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		08/01/2013	Paydown		42,892	42,892	44,447	44,004	0	(1,112)	0	(1,112)	0	42,892	0	0	0	1,430	05/13/2016
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		09/01/2013	Paydown		36,290	36,290	37,605	37,230	0	(941)	0	(941)	0	36,290	0	0	0	1,361	05/13/2016
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		10/01/2013	Paydown		35,568	35,568	36,857	36,490	0	(922)	0	(922)	0	35,568	0	0	0	1,482	05/13/2016
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		11/01/2013	Paydown		36,125	36,125	37,434	37,061	0	(936)	0	(936)	0	36,125	0	0	0	1,656	05/13/2016
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		12/01/2013	Paydown		31,941	31,941	33,099	32,769	0	(828)	0	(828)	0	31,941	0	0	0	1,587	05/13/2016
3138A3-5Y-9	FNMA Pool AH2662 MBS 3.500% 01/01/26		01/01/2013	Paydown		2,919	2,919	3,063	3,056	0	(137)	0	(137)	0	2,919	0	0	0	9	01/01/2026
3138A3-5Y-9	FNMA Pool AH2662 MBS 3.500% 01/01/26		02/01/2013	Paydown		2,872	2,872	3,013	3,006	0	(135)	0	(135)	0	2,872	0	0	0	17	01/01/2026
3138A3-5Y-9	FNMA Pool AH2662 MBS 3.500% 01/01/26		03/01/2013	Paydown		2,899	2,899	3,042	3,035	0	(136)	0	(136)	0	2,899	0	0	0	25	01/01/2026
3138A3-5Y-9	FNMA Pool AH2662 MBS 3.500% 01/01/26		04/01/2013	Paydown		35,128	35,128	36,855	36,774	0	(1,646)	0	(1,646)	0	35,128	0	0	0	410	01/01/2026
3138A3-5Y-9	FNMA Pool AH2662 MBS 3.500% 01/01/26		05/01/2013	Paydown		2,751	2,751	2,886	2,880	0	(129)	0	(129)	0	2,751	0	0	0	40	01/01/2026
3138A3-5Y-9	FNMA Pool AH2662 MBS 3.500% 01/01/26		06/01/2013	Paydown		16,855	16,855	17,684	17,645	0	(790)	0	(790)	0	16,855	0	0	0	295	01/01/2026
3138A3-5Y-9	FNMA Pool AH2662 MBS 3.500% 01/01/26		06/04/2013	Citi Group		488,015	462,437	485,161	484,101	0	(330)	0	(330)	0	483,771	0	4,244	4,244	8,857	01/01/2026
3138AB-20-1	FNMA Pool AH9782 MBS 4.000% 03/01/26		01/01/2013	Paydown		972	972	1,017	1,014	0	(42)	0	(42)	0	972	0	0	0	3	03/01/2026
3138AB-20-1	FNMA Pool AH9782 MBS 4.000% 03/01/26		02/01/2013	Paydown		933	933	975	973	0	(40)	0	(40)	0	933	0	0	0	6	03/01/2026
3138AB-20-1	FNMA Pool AH9782 MBS 4.000% 03/01/26		03/01/2013	Paydown		10,348	10,348	10,819	10,795	0	(447)	0	(447)	0	10,348	0	0	0	103	03/01/2026
3138AB-20-1	FNMA Pool AH9782 MBS 4.000% 03/01/26		04/01/2013	Paydown		1,119	1,119	1,170	1,168	0	(48)	0	(48)	0	1,119	0	0	0	15	03/01/2026
3138AB-20-1	FNMA Pool AH9782 MBS 4.000% 03/01/26		05/01/2013	Paydown		833	833	871	869	0	(36)	0	(36)	0	833	0	0	0	14	03/01/2026
3138AB-20-1	FNMA Pool AH9782 MBS 4.000% 03/01/26		06/01/2013	Paydown		836	836	874	872	0	(36)	0	(36)	0	836	0	0	0	17	03/01/2026
3138AB-20-1	FNMA Pool AH9782 MBS 4.000% 03/01/26		06/04/2013	Citi Group		176,978	166,666	174,244	173,869	0	(102)	0	(102)	0	173,767	0	3,211	3,211	3,648	03/01/2026
3138AB-TT-6	FNMA Pool AH9561 MBS 3.500% 08/01/26		01/01/2013	Paydown		16,923	16,923	17,806	17,774	0	(851)	0	(851)	0	16,923	0	0	0	49	11/19/2020
3138AB-TT-6	FNMA Pool AH9561 MBS 3.500% 08/01/26		02/01/2013	Paydown		4,079	4,079	4,291	4,284	0	(205)	0	(205)	0	4,079	0	0	0	24	11/19/2020
3138AB-TT-6	FNMA Pool AH9561 MBS 3.500% 08/01/26		03/01/2013	Paydown		3,832	3,832	4,032	4,024	0	(193)	0	(193)	0	3,832	0	0	0	34	11/19/2020
3138AB-TT-6	FNMA Pool AH9561 MBS 3.500% 08/01/26		04/01/2013	Paydown		3,901	3,901	4,105	4,097	0	(196)	0	(196)	0	3,901	0	0	0	46	11/19/2020
3138AB-TT-6	FNMA Pool AH9561 MBS 3.500% 08/01/26		05/01/2013	Paydown		3,974	3,974	4,182	4,174	0	(200)	0	(200)	0	3,974	0	0	0	58	11/19/2020
3138AB-TT-6	FNMA Pool AH9561 MBS 3.500% 08/01/26		06/01/2013	Paydown		29,641	29,641	31,188	31,131	0	(1,490)	0	(1,490)	0	29,641	0	0	0	519	11/19/2020
3138AB-TT-6	FNMA Pool AH9561 MBS 3.500% 08/01/26		07/01/2013	Paydown		3,971	3,971	4,178	4,171	0	(200)	0	(200)	0	3,971	0	0	0	81	11/19/2020
3138AB-TT-6	FNMA Pool AH9561 MBS 3.500% 08/01/26		08/01/2013	Paydown		3,798	3,798	3,996	3,988	0	(191)	0	(191)	0	3,798	0	0	0	89	11/19/2020
3138AB-TT-6	FNMA Pool AH9561 MBS 3.500% 08/01/26		09/01/2013	Paydown		33,622	33,622	35,377	35,312	0	(1,690)	0	(1,690)	0	33,622	0	0	0	883	11/19/2020
3138AB-TT-6	FNMA Pool AH9561 MBS 3.500% 08/01/26		10/01/2013	Paydown		3,731	3,731	3,926	3,919	0	(188)	0	(188)	0	3,731	0	0	0	109	11/19/2020
3138AB-TT-6	FNMA Pool AH9561 MBS 3.500% 08/01/26		11/01/2013	Paydown		17,800	17,800	18,729	18,694	0	(895)	0	(895)	0	17,800	0	0	0	571	11/19/2020
3138AB-TT-6	FNMA Pool AH9561 MBS 3.500% 08/01/26		12/01/2013	Paydown		3,747	3,747	3,942	3,935	0	(188)	0	(188)	0	3,747	0	0	0	131	11/19/2020
3138AD-FA-8	FNMA Pool A10160 MBS 4.000% 04/01/26		01/01/2013	Paydown		3,789	3,789	3,970	3,961	0	(173)	0	(173)							

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3138AE-ZT-3	FNMA Pool A11653 MBS 4.500% 04/01/26		03/01/2013	Paydown		2,682	2,682	2,851	2,843	0	(160)	0	(160)	0	2,682	0	0	0	30	04/01/2026
3138AE-ZT-3	FNMA Pool A11653 MBS 4.500% 04/01/26		04/01/2013	Paydown		2,352	2,352	2,500	2,493	0	(141)	0	(141)	0	2,352	0	0	0	35	04/01/2026
3138AE-ZT-3	FNMA Pool A11653 MBS 4.500% 04/01/26		05/01/2013	Paydown		2,338	2,338	2,485	2,478	0	(140)	0	(140)	0	2,338	0	0	0	44	04/01/2026
3138AE-ZT-3	FNMA Pool A11653 MBS 4.500% 04/01/26		06/01/2013	Paydown		2,803	2,803	2,979	2,971	0	(168)	0	(168)	0	2,803	0	0	0	63	04/01/2026
3138AE-ZT-3	FNMA Pool A11653 MBS 4.500% 04/01/26		06/04/2013	Nomura Securities		421,868	393,981	418,667	417,538	0	(230)	0	(230)	0	417,309	0	4,559	4,559	9,702	04/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS 4.000% 05/01/26		01/01/2013	Paydown		12,143	12,143	12,661	12,636	0	(494)	0	(494)	0	12,143	0	0	0	40	05/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS 4.000% 05/01/26		02/01/2013	Paydown		22,881	22,881	23,857	23,811	0	(930)	0	(930)	0	22,881	0	0	0	153	05/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS 4.000% 05/01/26		03/01/2013	Paydown		37,029	37,029	38,609	38,535	0	(1,505)	0	(1,505)	0	37,029	0	0	0	370	05/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS 4.000% 05/01/26		04/01/2013	Paydown		25,737	25,737	26,835	26,783	0	(1,046)	0	(1,046)	0	25,737	0	0	0	343	05/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS 4.000% 05/01/26		05/01/2013	Paydown		27,625	27,625	28,803	28,748	0	(1,123)	0	(1,123)	0	27,625	0	0	0	460	05/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS 4.000% 05/01/26		06/01/2013	Paydown		12,915	12,915	13,466	13,440	0	(525)	0	(525)	0	12,915	0	0	0	258	05/01/2026
3138AF-GA-2	FNMA Pool A11992 MBS 4.000% 05/01/26		06/26/2013	CitiGroup		1,426,390	1,341,696	1,398,927	1,396,236	0	(1,078)	0	(1,078)	0	1,395,158	0	31,232	31,232	30,859	05/01/2026
3138AG-XV-5	FNMA Pool A13391 MBS 4.000% 06/01/26		01/01/2013	Paydown		2,892	2,892	3,034	3,028	0	(137)	0	(137)	0	2,892	0	0	0	10	06/01/2026
3138AG-XV-5	FNMA Pool A13391 MBS 4.000% 06/01/26		02/01/2013	Paydown		21,347	21,347	22,396	22,355	0	(1,008)	0	(1,008)	0	21,347	0	0	0	142	06/01/2026
3138AG-XV-5	FNMA Pool A13391 MBS 4.000% 06/01/26		03/01/2013	Paydown		38,204	38,204	40,081	40,008	0	(1,804)	0	(1,804)	0	38,204	0	0	0	382	06/01/2026
3138AG-XV-5	FNMA Pool A13391 MBS 4.000% 06/01/26		04/01/2013	Paydown		2,616	2,616	2,744	2,739	0	(124)	0	(124)	0	2,616	0	0	0	35	06/01/2026
3138AG-XV-5	FNMA Pool A13391 MBS 4.000% 06/01/26		05/01/2013	Paydown		18,968	18,968	19,900	19,864	0	(896)	0	(896)	0	18,968	0	0	0	316	06/01/2026
3138AG-XV-5	FNMA Pool A13391 MBS 4.000% 06/01/26		06/01/2013	Paydown		2,597	2,597	2,725	2,720	0	(123)	0	(123)	0	2,597	0	0	0	52	06/01/2026
3138AG-XV-5	FNMA Pool A13391 MBS 4.000% 06/01/26		06/04/2013	CitiGroup		543,556	511,883	537,038	536,058	0	(234)	0	(234)	0	535,825	0	7,731	7,731	11,205	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS 4.000% 06/01/26		01/01/2013	Paydown		19,586	19,586	20,616	20,570	0	(984)	0	(984)	0	19,586	0	0	0	65	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS 4.000% 06/01/26		02/01/2013	Paydown		6,724	6,724	7,078	7,062	0	(338)	0	(338)	0	6,724	0	0	0	45	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS 4.000% 06/01/26		03/01/2013	Paydown		13,667	13,667	14,385	14,353	0	(687)	0	(687)	0	13,667	0	0	0	137	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS 4.000% 06/01/26		04/01/2013	Paydown		24,804	24,804	26,108	26,050	0	(1,246)	0	(1,246)	0	24,804	0	0	0	331	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS 4.000% 06/01/26		05/01/2013	Paydown		7,300	7,300	7,683	7,666	0	(367)	0	(367)	0	7,300	0	0	0	122	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS 4.000% 06/01/26		06/01/2013	Paydown		14,755	14,755	15,530	15,496	0	(741)	0	(741)	0	14,755	0	0	0	295	06/01/2026
3138AH-ZC-3	FNMA Pool A14338 MBS 4.000% 06/01/26		06/26/2013	CitiGroup		939,728	883,930	930,405	928,333	0	(893)	0	(893)	0	927,440	0	12,288	12,288	20,330	06/01/2026
3138AK-L5-6	FNMA Pool A15747 MBS 4.000% 06/01/26		01/01/2013	Paydown		5,180	5,180	5,443	5,432	0	(252)	0	(252)	0	5,180	0	0	0	17	06/01/2026
3138AK-L5-6	FNMA Pool A15747 MBS 4.000% 06/01/26		02/01/2013	Paydown		4,981	4,981	5,234	5,223	0	(242)	0	(242)	0	4,981	0	0	0	33	06/01/2026
3138AK-L5-6	FNMA Pool A15747 MBS 4.000% 06/01/26		03/01/2013	Paydown		19,526	19,526	20,516	20,475	0	(948)	0	(948)	0	19,526	0	0	0	195	06/01/2026
3138AK-L5-6	FNMA Pool A15747 MBS 4.000% 06/01/26		04/01/2013	Paydown		19,793	19,793	20,797	20,755	0	(961)	0	(961)	0	19,793	0	0	0	264	06/01/2026
3138AK-L5-6	FNMA Pool A15747 MBS 4.000% 06/01/26		05/01/2013	Paydown		28,328	28,328	29,765	29,704	0	(1,376)	0	(1,376)	0	28,328	0	0	0	472	06/01/2026
3138AK-L5-6	FNMA Pool A15747 MBS 4.000% 06/01/26		06/01/2013	Paydown		5,314	5,314	5,583	5,572	0	(258)	0	(258)	0	5,314	0	0	0	106	06/01/2026
3138AK-L5-6	FNMA Pool A15747 MBS 4.000% 06/01/26		06/26/2013	CitiGroup		852,618	801,992	842,656	840,949	0	(774)	0	(774)	0	840,175	0	12,443	12,443	18,446	06/01/2026
3138AM-3T-0	FNMA Pool A18009 MBS 3.500% 08/01/26		01/01/2013	Paydown		2,345	2,345	2,471	2,466	0	(121)	0	(121)	0	2,345	0	0	0	7	11/13/2020
3138AM-3T-0	FNMA Pool A18009 MBS 3.500% 08/01/26		02/01/2013	Paydown		2,373	2,373	2,501	2,495	0	(122)	0	(122)	0	2,373	0	0	0	14	11/13/2020
3138AM-3T-0	FNMA Pool A18009 MBS 3.500% 08/01/26		03/01/2013	Paydown		2,399	2,399	2,528	2,523	0	(124)	0	(124)	0	2,399	0	0	0	21	11/13/2020
3138AM-3T-0	FNMA Pool A18009 MBS 3.500% 08/01/26		04/01/2013	Paydown		2,431	2,431	2,562	2,557	0	(125)	0	(125)	0	2,431	0	0	0	28	11/13/2020
3138AM-3T-0	FNMA Pool A18009 MBS 3.500% 08/01/26		05/01/2013	Paydown		12,700	12,700	13,384	13,354	0	(654)	0	(654)	0	12,700	0	0	0	185	11/13/2020
3138AM-3T-0	FNMA Pool A18009 MBS 3.500% 08/01/26		06/01/2013	Paydown		25,791	25,791	27,179	27,119	0	(1,328)	0	(1,328)	0	25,791	0	0	0	451	11/13/2020
3138AM-3T-0	FNMA Pool A18009 MBS 3.500% 08/01/26		07/01/2013	Paydown		4,666	4,666	4,917	4,906	0	(240)	0	(240)	0	4,666	0	0	0	95	11/13/2020
3138AM-3T-0	FNMA Pool A18009 MBS 3.500% 08/01/26		08/01/2013	Paydown		2,278	2,278	2,401	2,395	0	(117)	0	(117)	0	2,278	0	0	0	53	11/13/2020
3138AM-3T-0	FNMA Pool A18009 MBS 3.500% 08/01/26		09/01/2013	Paydown		2,351	2,351	2,477	2,472	0	(121)	0	(121)	0	2,351	0	0	0	62	11/13/2020
3138AM-3T-0	FNMA Pool A18009 MBS 3.500% 08/01/26		10/01/2013	Paydown		11,234	11,234	11,839	11,813	0	(578)	0	(578)	0	11,234	0	0	0	328	11/13/2020
3138AM-3T-0	FNMA Pool A18009 MBS 3.500% 08/01/26		11/01/2013	Paydown		2,400	2,400	2,529	2,523	0	(124)	0	(124)	0	2,400	0	0	0	77	11/13/2020
3138AM-3T-0	FNMA Pool A18009 MBS 3.500% 08/01/26		12/01/2013	Paydown		11,638	11,638	12,264	12,237	0	(599)	0	(599)	0	11,638	0	0	0	407	11/13/2020
3138AM-5W-1	FNMA Pool A18060 MBS 3.500% 10/01/26		01/01/2013	Paydown		11,037	11,037	11,549	11,530	0	(493)	0	(493)	0	11,037	0	0	0	32	12/18/2020
3138AM-5W-1	FNMA Pool A18060 MBS 3.500% 10/01/26		02/01/2013	Paydown		10,709	10,709	11,206	11,187	0	(478)	0	(478)	0	10,709	0	0	0	62	12/18/2020
3138AM-5W-1	FNMA Pool A18060 MBS 3.500% 10/01/26		03/01/2013	Paydown		11,719	11,719	12,263	12,242	0	(523)	0	(523)	0	11,719	0	0	0	103	12/18/2020
3138AM-5W-1	FNMA Pool A18060 MBS 3.500% 10/01/26		04/01/2013	Paydown		80,114	80,114	83,832	83,690	0	(3,576)	0	(3,576)	0	80,114	0	0	0	935	12/18/2020
3138AM-5W-1	FNMA Pool A18060 MBS 3.500% 10/01/26		05/01/2013	Paydown		10,642	10,642	11,136	11,117	0	(475)	0								

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		02/01/2013	Paydown		24,749	24,749	26,035	25,980	0	(1,231)	0	(1,231)	0	24,749	0	0	0	144	12/03/2020
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		03/01/2013	Paydown		8,017	8,017	8,433	8,416	0	(399)	0	(399)	0	8,017	0	0	0	70	12/03/2020
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		04/01/2013	Paydown		9,587	9,587	10,085	10,064	0	(477)	0	(477)	0	9,587	0	0	0	112	12/03/2020
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		05/01/2013	Paydown		7,837	7,837	8,244	8,227	0	(390)	0	(390)	0	7,837	0	0	0	114	12/03/2020
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		06/01/2013	Paydown		6,791	6,791	7,144	7,129	0	(338)	0	(338)	0	6,791	0	0	0	119	12/03/2020
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		07/01/2013	Paydown		6,642	6,642	6,987	6,972	0	(330)	0	(330)	0	6,642	0	0	0	136	12/03/2020
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		08/01/2013	Paydown		18,685	18,685	19,656	19,614	0	(929)	0	(929)	0	18,685	0	0	0	436	12/03/2020
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		09/01/2013	Paydown		6,267	6,267	6,592	6,578	0	(312)	0	(312)	0	6,267	0	0	0	165	12/03/2020
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		10/01/2013	Paydown		6,097	6,097	6,414	6,400	0	(303)	0	(303)	0	6,097	0	0	0	178	12/03/2020
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		11/01/2013	Paydown		6,070	6,070	6,385	6,371	0	(302)	0	(302)	0	6,070	0	0	0	195	12/03/2020
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		12/01/2013	Paydown		6,150	6,150	6,470	6,456	0	(306)	0	(306)	0	6,150	0	0	0	215	12/03/2020
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		01/01/2013	Paydown		7,001	7,001	7,556	7,549	0	(548)	0	(548)	0	7,001	0	0	0	23	02/11/2031
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		02/01/2013	Paydown		7,027	7,027	7,583	7,577	0	(550)	0	(550)	0	7,027	0	0	0	47	02/11/2031
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		03/01/2013	Paydown		7,052	7,052	7,611	7,605	0	(552)	0	(552)	0	7,052	0	0	0	71	02/11/2031
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		04/01/2013	Paydown		7,078	7,078	7,639	7,633	0	(554)	0	(554)	0	7,078	0	0	0	94	02/11/2031
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		05/01/2013	Paydown		7,104	7,104	7,667	7,661	0	(556)	0	(556)	0	7,104	0	0	0	118	02/11/2031
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		06/01/2013	Paydown		7,431	7,431	8,020	8,013	0	(582)	0	(582)	0	7,431	0	0	0	149	02/11/2031
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		07/01/2013	Paydown		7,159	7,159	7,727	7,720	0	(561)	0	(561)	0	7,159	0	0	0	167	02/11/2031
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		08/01/2013	Paydown		7,186	7,186	7,755	7,748	0	(563)	0	(563)	0	7,186	0	0	0	192	02/11/2031
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		09/01/2013	Paydown		7,212	7,212	7,783	7,777	0	(565)	0	(565)	0	7,212	0	0	0	216	02/11/2031
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		10/01/2013	Paydown		7,238	7,238	7,812	7,805	0	(567)	0	(567)	0	7,238	0	0	0	241	02/11/2031
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		11/01/2013	Paydown		73,164	73,164	78,960	78,894	0	(5,730)	0	(5,730)	0	73,164	0	0	0	2,683	02/11/2031
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		12/01/2013	Paydown		7,195	7,195	7,765	7,758	0	(564)	0	(564)	0	7,195	0	0	0	288	02/11/2031
3138AT-CX-6	FNMA Pool AJ1885 MBS 3.000% 03/01/42		01/01/2013	Paydown		30	30	32	32	0	(2)	0	(2)	0	30	0	0	0	0	05/29/2030
3138AT-CX-6	FNMA Pool AJ1885 MBS 3.000% 03/01/42		02/01/2013	Paydown		31	31	33	33	0	(2)	0	(2)	0	31	0	0	0	0	05/29/2030
3138AT-CX-6	FNMA Pool AJ1885 MBS 3.000% 03/01/42		03/01/2013	Paydown		29	29	30	30	0	(2)	0	(2)	0	29	0	0	0	0	05/29/2030
3138AT-CX-6	FNMA Pool AJ1885 MBS 3.000% 03/01/42		04/01/2013	Paydown		28	28	30	30	0	(2)	0	(2)	0	28	0	0	0	0	05/29/2030
3138AT-CX-6	FNMA Pool AJ1885 MBS 3.000% 03/01/42		05/01/2013	Paydown		879	879	931	930	0	(52)	0	(52)	0	879	0	0	0	11	05/29/2030
3138AT-CX-6	FNMA Pool AJ1885 MBS 3.000% 03/01/42		06/01/2013	Paydown		28	28	30	30	0	(2)	0	(2)	0	28	0	0	0	0	05/29/2030
3138AT-CX-6	FNMA Pool AJ1885 MBS 3.000% 03/01/42		07/01/2013	Paydown		29	29	31	31	0	(2)	0	(2)	0	29	0	0	0	0	05/29/2030
3138AT-CX-6	FNMA Pool AJ1885 MBS 3.000% 03/01/42		08/01/2013	Paydown		29	29	31	31	0	(2)	0	(2)	0	29	0	0	0	1	05/29/2030
3138AT-CX-6	FNMA Pool AJ1885 MBS 3.000% 03/01/42		09/01/2013	Paydown		28	28	30	30	0	(2)	0	(2)	0	28	0	0	0	1	05/29/2030
3138AT-CX-6	FNMA Pool AJ1885 MBS 3.000% 03/01/42		10/01/2013	Paydown		28	28	30	30	0	(2)	0	(2)	0	28	0	0	0	1	05/29/2030
3138AT-CX-6	FNMA Pool AJ1885 MBS 3.000% 03/01/42		11/01/2013	Paydown		28	28	30	30	0	(2)	0	(2)	0	28	0	0	0	1	05/29/2030
3138AT-CX-6	FNMA Pool AJ1885 MBS 3.000% 03/01/42		12/01/2013	Paydown		29	29	30	30	0	(2)	0	(2)	0	29	0	0	0	1	05/29/2030
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		01/01/2013	Paydown		91,069	91,069	95,380	95,204	0	(4,135)	0	(4,135)	0	91,069	0	0	0	266	01/09/2021
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		02/01/2013	Paydown		17,375	17,375	18,198	18,164	0	(789)	0	(789)	0	17,375	0	0	0	101	01/09/2021
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		03/01/2013	Paydown		14,586	14,586	15,276	15,248	0	(662)	0	(662)	0	14,586	0	0	0	128	01/09/2021
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		04/01/2013	Paydown		14,988	14,988	15,698	15,669	0	(681)	0	(681)	0	14,988	0	0	0	175	01/09/2021
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		05/01/2013	Paydown		15,697	15,697	16,440	16,410	0	(713)	0	(713)	0	15,697	0	0	0	229	01/09/2021
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		06/01/2013	Paydown		15,217	15,217	15,938	15,908	0	(691)	0	(691)	0	15,217	0	0	0	286	01/09/2021
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		07/01/2013	Paydown		92,589	92,589	96,973	96,794	0	(4,204)	0	(4,204)	0	92,589	0	0	0	1,890	01/09/2021
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		08/01/2013	Paydown		90,062	90,062	94,326	94,152	0	(4,090)	0	(4,090)	0	90,062	0	0	0	2,101	01/09/2021
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		09/01/2013	Paydown		15,385	15,385	16,113	16,084	0	(699)	0	(699)	0	15,385	0	0	0	404	01/09/2021
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		10/01/2013	Paydown		18,099	18,099	18,956	18,921	0	(822)	0	(822)	0	18,099	0	0	0	528	01/09/2021
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		11/01/2013	Paydown		14,226	14,226	14,899	14,872	0	(646)	0	(646)	0	14,226	0	0	0	456	01/09/2021
3138AU-YJ-0	FNMA Pool AJ3412 MBS 3.500% 11/01/26		12/01/2013	Paydown		85,224	85,224	89,259	89,094	0	(3,870)	0	(3,870)	0	85,224	0	0	0	2,983	01/09/2021
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		01/01/2013	Paydown		18,735	18,735	19,704	19,676	0	(942)	0	(942)	0	18,735	0	0	0	47	03/16/2021
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		02/01/2013	Paydown		16,225	16,225	17,065	17,041	0	(816)	0	(816)	0	16,225	0	0	0	81	03/16/2021
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		03/01/2013	Paydown		46,609	46,609	49,019	48,952	0	(2,343)	0	(2,343)	0	46,609	0	0	0	350	03/16/2021
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		04/01/2013	Paydown		16,730	16,730	17,595	17,571	0	(841)	0	(841)	0	16,730	0	0	0	167	03/16/2021
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		05/01/2013	Paydown		29,729	29,729	31,267	31,224	0	(1,495)	0	(1,495)	0	29,729	0	0	0	372	03/16/2021
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		06/01/2013	Paydown		16,882	16,882	17,755	17,730	0	(849)	0	(849)	0	16,882	0	0	0	253	03/16/2021
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		07/01/2013	Paydown		17,490	17,490	18,395	18,369	0	(879)	0	(879)	0	17,490	0	0	0	306	03/16/2021
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		08/01/2013	Paydown		16,922	16,922	17,797	17,773	0	(851)	0	(851)	0	16,922	0	0	0	338	03/16/2021
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		09/01/2013	Paydown		17,030	17,030	17,911	17,886	0	(856)	0	(856)	0	17,030	0	0	0	383	03/16/2021
3138E9-YH-7	FNMA Pool AK5211 MBS 3.000% 04/01/27		10/01/2013	Paydown		17,051	17,051	17,933	17,908	0	(857)	0	(857)	0	17,051	0	0	0	426	03/16/2021
313																				

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3138E9-YH-7	FNMA Pool AK5211 MBS	3.000%	04/01/27			17,265	17,265	18,157	18,132	0	(868)	0	(868)	0	17,265	0	0	0	518	03/16/2021
3138EA-SG-3	FNMA Pool AK5918 MBS	4.000%	03/01/42			3,604	3,604	3,813	3,809	0	(205)	0	(205)	0	3,604	0	0	0	12	01/20/2031
3138EA-SG-3	FNMA Pool AK5918 MBS	4.000%	03/01/42			3,656	3,656	3,868	3,864	0	(208)	0	(208)	0	3,656	0	0	0	24	01/20/2031
3138EA-SG-3	FNMA Pool AK5918 MBS	4.000%	03/01/42			3,827	3,827	4,049	4,045	0	(218)	0	(218)	0	3,827	0	0	0	38	01/20/2031
3138EA-SG-3	FNMA Pool AK5918 MBS	4.000%	03/01/42			3,987	3,987	4,218	4,214	0	(227)	0	(227)	0	3,987	0	0	0	53	01/20/2031
3138EA-SG-3	FNMA Pool AK5918 MBS	4.000%	03/01/42			3,582	3,582	3,789	3,785	0	(204)	0	(204)	0	3,582	0	0	0	60	01/20/2031
3138EA-SG-3	FNMA Pool AK5918 MBS	4.000%	03/01/42			4,041	4,041	4,276	4,271	0	(230)	0	(230)	0	4,041	0	0	0	81	01/20/2031
3138EA-SG-3	FNMA Pool AK5918 MBS	4.000%	03/01/42			3,516	3,516	3,720	3,716	0	(200)	0	(200)	0	3,516	0	0	0	82	01/20/2031
3138EA-SG-3	FNMA Pool AK5918 MBS	4.000%	03/01/42			231,563	231,563	244,987	244,738	0	(13,175)	0	(13,175)	0	231,563	0	0	0	6,175	01/20/2031
3138EA-SG-3	FNMA Pool AK5918 MBS	4.000%	03/01/42			3,384	3,384	3,580	3,576	0	(193)	0	(193)	0	3,384	0	0	0	102	01/20/2031
3138EA-SG-3	FNMA Pool AK5918 MBS	4.000%	03/01/42			3,479	3,479	3,681	3,677	0	(198)	0	(198)	0	3,479	0	0	0	116	01/20/2031
3138EA-SG-3	FNMA Pool AK5918 MBS	4.000%	03/01/42			3,509	3,509	3,713	3,709	0	(200)	0	(200)	0	3,509	0	0	0	129	01/20/2031
3138EA-SG-3	FNMA Pool AK5918 MBS	4.000%	03/01/42			3,536	3,536	3,741	3,737	0	(201)	0	(201)	0	3,536	0	0	0	141	01/20/2031
3138EE-7J-2	FNMA Pool AK9896 MBS	3.500%	07/01/42			2,342	2,342	2,498	2,496	0	(155)	0	(155)	0	2,342	0	0	0	7	12/17/2030
3138EE-7J-2	FNMA Pool AK9896 MBS	3.500%	07/01/42			61,290	61,290	65,399	65,334	0	(4,044)	0	(4,044)	0	61,290	0	0	0	358	12/17/2030
3138EE-7J-2	FNMA Pool AK9896 MBS	3.500%	07/01/42			2,194	2,194	2,341	2,339	0	(145)	0	(145)	0	2,194	0	0	0	19	12/17/2030
3138EE-7J-2	FNMA Pool AK9896 MBS	3.500%	07/01/42			2,076	2,076	2,215	2,213	0	(137)	0	(137)	0	2,076	0	0	0	24	12/17/2030
3138EE-7J-2	FNMA Pool AK9896 MBS	3.500%	07/01/42			29,060	29,060	31,008	30,978	0	(1,917)	0	(1,917)	0	29,060	0	0	0	424	12/17/2030
3138EE-7J-2	FNMA Pool AK9896 MBS	3.500%	07/01/42			2,016	2,016	2,151	2,149	0	(133)	0	(133)	0	2,016	0	0	0	35	12/17/2030
3138EE-7J-2	FNMA Pool AK9896 MBS	3.500%	07/01/42			2,029	2,029	2,165	2,163	0	(134)	0	(134)	0	2,029	0	0	0	41	12/17/2030
3138EE-7J-2	FNMA Pool AK9896 MBS	3.500%	07/01/42			2,020	2,020	2,155	2,153	0	(133)	0	(133)	0	2,020	0	0	0	47	12/17/2030
3138EE-7J-2	FNMA Pool AK9896 MBS	3.500%	07/01/42			2,017	2,017	2,152	2,150	0	(133)	0	(133)	0	2,017	0	0	0	53	12/17/2030
3138EE-7J-2	FNMA Pool AK9896 MBS	3.500%	07/01/42			2,097	2,097	2,238	2,236	0	(138)	0	(138)	0	2,097	0	0	0	61	12/17/2030
3138EE-7J-2	FNMA Pool AK9896 MBS	3.500%	07/01/42			2,314	2,314	2,469	2,467	0	(153)	0	(153)	0	2,314	0	0	0	74	12/17/2030
3138EE-7J-2	FNMA Pool AK9896 MBS	3.500%	07/01/42			2,094	2,094	2,234	2,232	0	(138)	0	(138)	0	2,094	0	0	0	73	12/17/2030
3138M4-E3-2	FNMA Pool AP1053 MBS	3.500%	07/01/42			2,567	2,567	2,739	2,737	0	(170)	0	(170)	0	2,567	0	0	0	7	12/15/2030
3138M4-E3-2	FNMA Pool AP1053 MBS	3.500%	07/01/42			28,415	28,415	30,324	30,297	0	(1,882)	0	(1,882)	0	28,415	0	0	0	166	12/15/2030
3138M4-E3-2	FNMA Pool AP1053 MBS	3.500%	07/01/42			2,684	2,684	2,864	2,861	0	(178)	0	(178)	0	2,684	0	0	0	23	12/15/2030
3138M4-E3-2	FNMA Pool AP1053 MBS	3.500%	07/01/42			27,141	27,141	28,964	28,938	0	(1,797)	0	(1,797)	0	27,141	0	0	0	317	12/15/2030
3138M4-E3-2	FNMA Pool AP1053 MBS	3.500%	07/01/42			2,773	2,773	2,960	2,957	0	(184)	0	(184)	0	2,773	0	0	0	40	12/15/2030
3138M4-E3-2	FNMA Pool AP1053 MBS	3.500%	07/01/42			2,517	2,517	2,686	2,683	0	(167)	0	(167)	0	2,517	0	0	0	44	12/15/2030
3138M4-E3-2	FNMA Pool AP1053 MBS	3.500%	07/01/42			2,600	2,600	2,775	2,772	0	(172)	0	(172)	0	2,600	0	0	0	53	12/15/2030
3138M4-E3-2	FNMA Pool AP1053 MBS	3.500%	07/01/42			27,244	27,244	29,075	29,048	0	(1,804)	0	(1,804)	0	27,244	0	0	0	636	12/15/2030
3138M4-E3-2	FNMA Pool AP1053 MBS	3.500%	07/01/42			2,604	2,604	2,779	2,777	0	(172)	0	(172)	0	2,604	0	0	0	68	12/15/2030
3138M4-E3-2	FNMA Pool AP1053 MBS	3.500%	07/01/42			26,496	26,496	28,277	28,251	0	(1,755)	0	(1,755)	0	26,496	0	0	0	773	12/15/2030
3138M4-E3-2	FNMA Pool AP1053 MBS	3.500%	07/01/42			26,092	26,092	27,845	27,820	0	(1,728)	0	(1,728)	0	26,092	0	0	0	837	12/15/2030
3138M4-E3-2	FNMA Pool AP1053 MBS	3.500%	07/01/42			2,597	2,597	2,772	2,769	0	(172)	0	(172)	0	2,597	0	0	0	91	12/15/2030
3138M4-TC-6	FNMA Pool AP1446 MBS	3.500%	08/01/42			1,325	1,325	1,418	1,417	0	(91)	0	(91)	0	1,325	0	0	0	4	01/27/2031
3138M4-TC-6	FNMA Pool AP1446 MBS	3.500%	08/01/42			1,391	1,391	1,488	1,487	0	(96)	0	(96)	0	1,391	0	0	0	8	01/27/2031
3138M4-TC-6	FNMA Pool AP1446 MBS	3.500%	08/01/42			1,345	1,345	1,439	1,438	0	(93)	0	(93)	0	1,345	0	0	0	12	01/27/2031
3138M4-TC-6	FNMA Pool AP1446 MBS	3.500%	08/01/42			31,096	31,096	33,268	33,243	0	(2,147)	0	(2,147)	0	31,096	0	0	0	363	01/27/2031
3138M4-TC-6	FNMA Pool AP1446 MBS	3.500%	08/01/42			1,708	1,708	1,827	1,826	0	(118)	0	(118)	0	1,708	0	0	0	25	01/27/2031
3138M4-TC-6	FNMA Pool AP1446 MBS	3.500%	08/01/42			1,515	1,515	1,621	1,620	0	(105)	0	(105)	0	1,515	0	0	0	27	01/27/2031
3138M4-TC-6	FNMA Pool AP1446 MBS	3.500%	08/01/42			1,583	1,583	1,694	1,692	0	(109)	0	(109)	0	1,583	0	0	0	32	01/27/2031
3138M4-TC-6	FNMA Pool AP1446 MBS	3.500%	08/01/42			1,404	1,404	1,502	1,501	0	(97)	0	(97)	0	1,404	0	0	0	33	01/27/2031
3138M4-TC-6	FNMA Pool AP1446 MBS	3.500%	08/01/42			1,359	1,359	1,453	1,452	0	(94)	0	(94)	0	1,359	0	0	0	36	01/27/2031
3138M4-TC-6	FNMA Pool AP1446 MBS	3.500%	08/01/42			1,359	1,359	1,454	1,453	0	(94)	0	(94)	0	1,359	0	0	0	40	01/27/2031
3138M4-TC-6	FNMA Pool AP1446 MBS	3.500%	08/01/42			1,373	1,373	1,469	1,468	0	(95)	0	(95)	0	1,373	0	0	0	44	01/27/2031
3138M4-TC-6	FNMA Pool AP1446 MBS	3.500%	08/01/42			1,395	1,395	1,493	1,492	0	(96)	0	(96)	0	1,395	0	0	0	49	01/27/2031
3138M7-6J-9	FNMA Pool AP4472 MBS	3.500%	08/01/42			333	333	355	355	0	(22)	0	(22)	0	333	0	0	0	1	01/30/2031
3138M7-6J-9	FNMA Pool AP4472 MBS	3.500%	08/01/42			5,364	5,364	5,722	5,718	0	(354)	0	(354)	0	5,364	0	0	0	31	01/30/2031
3138M7-6J-9	FNMA Pool AP4472 MBS	3.500%	08/01/42			322	322	344	344	0	(21)	0	(21)	0	322	0	0	0	3	01/30/2031
3138M7-6J-9	FNMA Pool AP4472 MBS	3.500%	08/01/42			4,995	4,995	5,329	5,325	0	(330)	0	(330)	0	4,995	0	0	0	58	01/30/2031
3138M7-6J-9	FNMA Pool AP4472 MBS	3.500%	08/01/42			307	307	328	328	0	(20)	0	(20)	0	307	0	0	0	5	01/30/2031
3138M7-6J-9	FNMA Pool AP4472 MBS	3.500%	08/01/42			4,608	4,608	4,916	4,913	0	(304)	0	(304)	0	4,608	0	0	0	81	01/30/2031
3138M7-6J-9	FNMA Pool AP4472 MBS	3.500%	08/01/42			286	286	305	304	0	(19)	0	(19)	0	286	0	0	0	6	01/30/2031
3138M7-6J-9	FNMA Pool AP4472 MBS	3.500%	08/01/42			287	287	306	305	0	(19)	0	(19)	0	287	0	0	0	7	01/30/2031
3138M7-6J-9	FNMA Pool AP4472 MBS	3.500%	08/01/42			342	342	365	365	0	(23)	0	(23)	0	342	0	0	0	9	01/30/2031

E14.10

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		10/01/2013	Paydown		334	334	357	356	0	(22)	0	(22)	0	334	0	0	0	10	01/30/2031
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		11/01/2013	Paydown		290	290	309	309	0	(19)	0	(19)	0	290	0	0	0	9	01/30/2031
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		12/01/2013	Paydown		291	291	310	310	0	(19)	0	(19)	0	291	0	0	0	10	01/30/2031
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		01/01/2013	Paydown		3,013	3,013	3,232	3,229	0	(216)	0	(216)	0	3,013	0	0	0	7	12/20/2030
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		02/01/2013	Paydown		1,204	1,204	1,291	1,290	0	(86)	0	(86)	0	1,204	0	0	0	9	12/20/2030
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		03/01/2013	Paydown		1,386	1,386	1,487	1,486	0	(99)	0	(99)	0	1,386	0	0	0	12	12/20/2030
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		04/01/2013	Paydown		1,269	1,269	1,361	1,360	0	(91)	0	(91)	0	1,269	0	0	0	15	12/20/2030
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		05/01/2013	Paydown		1,277	1,277	1,369	1,368	0	(91)	0	(91)	0	1,277	0	0	0	19	12/20/2030
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		06/01/2013	Paydown		920	920	987	986	0	(66)	0	(66)	0	920	0	0	0	16	12/20/2030
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		07/01/2013	Paydown		1,310	1,310	1,405	1,404	0	(94)	0	(94)	0	1,310	0	0	0	27	12/20/2030
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		08/01/2013	Paydown		1,379	1,379	1,479	1,477	0	(99)	0	(99)	0	1,379	0	0	0	32	12/20/2030
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		09/01/2013	Paydown		1,341	1,341	1,438	1,437	0	(96)	0	(96)	0	1,341	0	0	0	35	12/20/2030
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		10/01/2013	Paydown		882	882	946	946	0	(63)	0	(63)	0	882	0	0	0	26	12/20/2030
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		11/01/2013	Paydown		20,857	20,857	22,369	22,351	0	(1,494)	0	(1,494)	0	20,857	0	0	0	669	12/20/2030
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		12/01/2013	Paydown		945	945	1,014	1,013	0	(68)	0	(68)	0	945	0	0	0	33	12/20/2030
3138MK-VG-7	FNMA Pool A04210 MBS 3.000% 11/01/42		01/01/2013	Paydown		4,420	4,420	4,656	4,655	0	(235)	0	(235)	0	4,420	0	0	0	11	12/30/2030
3138MK-VG-7	FNMA Pool A04210 MBS 3.000% 11/01/42		02/01/2013	Paydown		4,645	4,645	4,893	4,892	0	(247)	0	(247)	0	4,645	0	0	0	23	12/30/2030
3138MK-VG-7	FNMA Pool A04210 MBS 3.000% 11/01/42		03/01/2013	Paydown		4,486	4,486	4,725	4,724	0	(238)	0	(238)	0	4,486	0	0	0	34	12/30/2030
3138MK-VG-7	FNMA Pool A04210 MBS 3.000% 11/01/42		04/01/2013	Paydown		4,367	4,367	4,600	4,599	0	(232)	0	(232)	0	4,367	0	0	0	44	12/30/2030
3138MK-VG-7	FNMA Pool A04210 MBS 3.000% 11/01/42		05/01/2013	Paydown		4,402	4,402	4,637	4,635	0	(234)	0	(234)	0	4,402	0	0	0	55	12/30/2030
3138MK-VG-7	FNMA Pool A04210 MBS 3.000% 11/01/42		06/01/2013	Paydown		4,744	4,744	4,998	4,996	0	(252)	0	(252)	0	4,744	0	0	0	71	12/30/2030
3138MK-VG-7	FNMA Pool A04210 MBS 3.000% 11/01/42		07/01/2013	Paydown		5,006	5,006	5,274	5,272	0	(266)	0	(266)	0	5,006	0	0	0	88	12/30/2030
3138MK-VG-7	FNMA Pool A04210 MBS 3.000% 11/01/42		08/01/2013	Paydown		4,749	4,749	5,003	5,001	0	(252)	0	(252)	0	4,749	0	0	0	95	12/30/2030
3138MK-VG-7	FNMA Pool A04210 MBS 3.000% 11/01/42		09/01/2013	Paydown		4,863	4,863	5,122	5,121	0	(258)	0	(258)	0	4,863	0	0	0	109	12/30/2030
3138MK-VG-7	FNMA Pool A04210 MBS 3.000% 11/01/42		10/01/2013	Paydown		5,352	5,352	5,638	5,636	0	(284)	0	(284)	0	5,352	0	0	0	134	12/30/2030
3138MK-VG-7	FNMA Pool A04210 MBS 3.000% 11/01/42		11/01/2013	Paydown		4,765	4,765	5,019	5,018	0	(253)	0	(253)	0	4,765	0	0	0	131	12/30/2030
3138MK-VG-7	FNMA Pool A04210 MBS 3.000% 11/01/42		12/01/2013	Paydown		4,678	4,678	4,928	4,926	0	(249)	0	(249)	0	4,678	0	0	0	140	12/30/2030
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		01/01/2013	Paydown		3,773	3,773	3,976	3,975	0	(202)	0	(202)	0	3,773	0	0	0	9	11/20/2030
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		02/01/2013	Paydown		3,587	3,587	3,780	3,779	0	(192)	0	(192)	0	3,587	0	0	0	18	11/20/2030
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		03/01/2013	Paydown		3,970	3,970	4,184	4,183	0	(213)	0	(213)	0	3,970	0	0	0	30	11/20/2030
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		04/01/2013	Paydown		3,847	3,847	4,054	4,053	0	(206)	0	(206)	0	3,847	0	0	0	38	11/20/2030
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		05/01/2013	Paydown		3,729	3,729	3,930	3,929	0	(200)	0	(200)	0	3,729	0	0	0	47	11/20/2030
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		06/01/2013	Paydown		4,129	4,129	4,351	4,350	0	(221)	0	(221)	0	4,129	0	0	0	62	11/20/2030
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		07/01/2013	Paydown		3,995	3,995	4,210	4,209	0	(214)	0	(214)	0	3,995	0	0	0	70	11/20/2030
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		08/01/2013	Paydown		3,830	3,830	4,035	4,035	0	(205)	0	(205)	0	3,830	0	0	0	77	11/20/2030
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		09/01/2013	Paydown		3,840	3,840	4,047	4,046	0	(206)	0	(206)	0	3,840	0	0	0	86	11/20/2030
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		10/01/2013	Paydown		5,784	5,784	6,094	6,093	0	(310)	0	(310)	0	5,784	0	0	0	145	11/20/2030
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		11/01/2013	Paydown		3,676	3,676	3,874	3,873	0	(197)	0	(197)	0	3,676	0	0	0	101	11/20/2030
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		12/01/2013	Paydown		3,885	3,885	4,094	4,093	0	(208)	0	(208)	0	3,885	0	0	0	117	11/20/2030
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		01/01/2013	Paydown		1,284	1,284	1,351	1,351	0	(66)	0	(66)	0	1,284	0	0	0	3	02/15/2031
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		02/01/2013	Paydown		1,313	1,313	1,381	1,381	0	(68)	0	(68)	0	1,313	0	0	0	7	02/15/2031
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		03/01/2013	Paydown		1,306	1,306	1,374	1,373	0	(67)	0	(67)	0	1,306	0	0	0	10	02/15/2031
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		04/01/2013	Paydown		26,648	26,648	28,026	28,022	0	(1,374)	0	(1,374)	0	26,648	0	0	0	266	02/15/2031
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		05/01/2013	Paydown		1,275	1,275	1,340	1,340	0	(66)	0	(66)	0	1,275	0	0	0	16	02/15/2031
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		06/01/2013	Paydown		1,275	1,275	1,341	1,341	0	(66)	0	(66)	0	1,275	0	0	0	19	02/15/2031
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		07/01/2013	Paydown		1,272	1,272	1,338	1,337	0	(66)	0	(66)	0	1,272	0	0	0	22	02/15/2031
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		08/01/2013	Paydown		1,271	1,271	1,336	1,336	0	(65)	0	(65)	0	1,271	0	0	0	25	02/15/2031
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		09/01/2013	Paydown		1,272	1,272	1,338	1,338	0	(66)	0	(66)	0	1,272	0	0	0	29	02/15/2031
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		10/01/2013	Paydown		1,281	1,281	1,347	1,347	0	(66)	0	(66)	0	1,281	0	0	0	32	02/15/2031
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		11/01/2013	Paydown		1,284	1,284	1,351	1,351	0	(66)	0	(66)	0	1,284	0	0	0	35	02/15/2031
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		12/01/2013	Paydown		1,430	1,430	1,504	1,503	0	(74)	0	(74)	0	1,430	0	0	0	43	02/15/2031
3138NI-XS-3	FNMA Pool AR0688 MBS 3.000% 12/01/42		01/01/2013	Paydown		637	637	669	669	0	(32)	0	(32)	0	637	0	0	0	2	12/07/2030
3138NI-XS-3	FNMA Pool AR0688 MBS 3.000% 12/01/42		02/01/2013	Paydown		612	612	642	642	0	(30)	0	(30)	0	612	0	0	0	3	12/07/2030
3138NI-XS-3	FNMA Pool AR0688 MBS 3.000% 12/01/42		03/01/2013	Paydown		2,106	2,106	2,211	2,210	0	(105)	0	(105)	0	2,106	0	0	0	16	12/07/2030
3138NI-XS-3	FNMA Pool AR0688 MBS 3.000% 12/01/42		04/01/2013	Paydown		1,563	1,563	1,641	1,641	0	(78)	0	(78)	0	1,563	0	0	0	16	12/07/2030
3138NI-XS-3	FNMA Pool AR0688 MBS 3.000% 12/01/42		05/01/2013	Paydown		33,428	33,428	35,094	35,088	0	(1,661)	0	(1,661)	0	33,428	0	0	0	418	12/07/2030
3138NI-XS-3	FNMA Pool AR0688 MBS 3.000% 12/01/42		06/01/2013	Paydown		604	604	634	634	0	(30)	0	(30)	0	604	0	0	0	9	12/07/2030
3138NI-XS-3	FNMA Pool AR0688 MBS 3.000% 12/01/42		07/01/2013	Paydown		605														

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con- sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor- tization)/ Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3138NI-XS-3	FNMA Pool ARO688 MBS 3.000% 12/01/42		08/01/2013	Paydown		607	607	637	637	0	(30)	0	(30)	0	607	0	0	0	12	12/07/2030
3138NI-XS-3	FNMA Pool ARO688 MBS 3.000% 12/01/42		09/01/2013	Paydown		609	609	639	639	0	(30)	0	(30)	0	609	0	0	0	14	12/07/2030
3138NI-XS-3	FNMA Pool ARO688 MBS 3.000% 12/01/42		10/01/2013	Paydown		639	639	670	670	0	(32)	0	(32)	0	639	0	0	0	16	12/07/2030
3138NI-XS-3	FNMA Pool ARO688 MBS 3.000% 12/01/42		11/01/2013	Paydown		584	584	613	613	0	(29)	0	(29)	0	584	0	0	0	16	12/07/2030
3138NI-XS-3	FNMA Pool ARO688 MBS 3.000% 12/01/42		12/01/2013	Paydown		614	614	645	645	0	(31)	0	(31)	0	614	0	0	0	18	12/07/2030
3139BA-2S-0	FNMA Note Non Call 1.000% 09/23/13		09/23/2013	Maturity		3,000,000	3,000,000	3,034,538	3,013,984	0	(13,984)	0	(13,984)	0	3,000,000	0	0	0	30	09/23/2013
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		01/01/2013	Paydown		7,273	7,273	7,145	7,175	0	98	0	98	0	7,273	0	0	0	30	11/13/2016
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		02/01/2013	Paydown		6,652	6,652	6,535	6,563	0	89	0	89	0	6,652	0	0	0	55	11/13/2016
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		03/01/2013	Paydown		7,727	7,727	7,592	7,624	0	104	0	104	0	7,727	0	0	0	97	11/13/2016
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		04/01/2013	Paydown		7,300	7,300	7,172	7,202	0	98	0	98	0	7,300	0	0	0	122	11/13/2016
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		05/01/2013	Paydown		7,230	7,230	7,103	7,133	0	97	0	97	0	7,230	0	0	0	151	11/13/2016
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		06/01/2013	Paydown		7,020	7,020	6,898	6,926	0	94	0	94	0	7,020	0	0	0	176	11/13/2016
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		07/01/2013	Paydown		6,551	6,551	6,436	6,463	0	88	0	88	0	6,551	0	0	0	191	11/13/2016
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		08/01/2013	Paydown		6,497	6,497	6,383	6,410	0	87	0	87	0	6,497	0	0	0	217	11/13/2016
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		09/01/2013	Paydown		5,816	5,816	5,714	5,737	0	78	0	78	0	5,816	0	0	0	218	11/13/2016
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		10/01/2013	Paydown		5,340	5,340	5,246	5,268	0	72	0	72	0	5,340	0	0	0	223	11/13/2016
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		11/01/2013	Paydown		4,926	4,926	4,840	4,860	0	66	0	66	0	4,926	0	0	0	226	11/13/2016
31402Q-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		12/01/2013	Paydown		4,883	4,883	4,798	4,818	0	66	0	66	0	4,883	0	0	0	244	11/13/2016
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		01/01/2013	Paydown		70,443	70,443	76,596	75,171	0	(4,728)	0	(4,728)	0	70,443	0	0	0	323	02/09/2016
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		02/01/2013	Paydown		69,759	69,759	75,852	74,441	0	(4,682)	0	(4,682)	0	69,759	0	0	0	639	02/09/2016
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		03/01/2013	Paydown		56,616	56,616	61,561	60,415	0	(3,800)	0	(3,800)	0	56,616	0	0	0	778	02/09/2016
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		04/01/2013	Paydown		59,929	59,929	65,163	63,951	0	(4,022)	0	(4,022)	0	59,929	0	0	0	1,099	02/09/2016
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		05/01/2013	Paydown		62,833	62,833	68,321	67,050	0	(4,217)	0	(4,217)	0	62,833	0	0	0	1,440	02/09/2016
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		06/01/2013	Paydown		68,098	68,098	74,046	72,669	0	(4,570)	0	(4,570)	0	68,098	0	0	0	1,873	02/09/2016
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		07/01/2013	Paydown		48,471	48,471	52,704	51,724	0	(3,253)	0	(3,253)	0	48,471	0	0	0	1,555	02/09/2016
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		08/01/2013	Paydown		65,704	65,704	71,442	70,113	0	(4,410)	0	(4,410)	0	65,704	0	0	0	2,409	02/09/2016
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		09/01/2013	Paydown		54,990	54,990	59,793	58,681	0	(3,691)	0	(3,691)	0	54,990	0	0	0	2,268	02/09/2016
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		10/01/2013	Paydown		47,593	47,593	51,750	50,787	0	(3,194)	0	(3,194)	0	47,593	0	0	0	2,181	02/09/2016
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		11/01/2013	Paydown		51,262	51,262	55,740	54,703	0	(3,440)	0	(3,440)	0	51,262	0	0	0	2,584	02/09/2016
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		12/01/2013	Paydown		51,052	51,052	55,511	54,478	0	(3,426)	0	(3,426)	0	51,052	0	0	0	2,808	02/09/2016
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		01/01/2013	Paydown		6,733	6,733	6,596	6,622	0	110	0	110	0	6,733	0	0	0	2	08/09/2017
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		02/01/2013	Paydown		3,812	3,812	3,734	3,749	0	62	0	62	0	3,812	0	0	0	32	08/09/2017
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		03/01/2013	Paydown		6,180	6,180	6,055	6,079	0	101	0	101	0	6,180	0	0	0	77	08/09/2017
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		04/01/2013	Paydown		3,651	3,651	3,577	3,591	0	60	0	60	0	3,651	0	0	0	61	08/09/2017
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		05/01/2013	Paydown		5,963	5,963	5,842	5,866	0	98	0	98	0	5,963	0	0	0	124	08/09/2017
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		06/01/2013	Paydown		14,459	14,459	14,166	14,223	0	237	0	237	0	14,459	0	0	0	362	08/09/2017
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		07/01/2013	Paydown		4,682	4,682	4,587	4,605	0	77	0	77	0	4,682	0	0	0	137	08/09/2017
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		08/01/2013	Paydown		6,939	6,939	6,798	6,826	0	114	0	114	0	6,939	0	0	0	231	08/09/2017
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		09/01/2013	Paydown		9,432	9,432	9,240	9,277	0	154	0	154	0	9,432	0	0	0	354	08/09/2017
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		10/01/2013	Paydown		1,653	1,653	1,619	1,626	0	27	0	27	0	1,653	0	0	0	69	08/09/2017
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		11/01/2013	Paydown		2,891	2,891	2,833	2,844	0	47	0	47	0	2,891	0	0	0	133	08/09/2017
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		12/01/2013	Paydown		5,704	5,704	5,588	5,610	0	93	0	93	0	5,704	0	0	0	285	08/09/2017
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		01/01/2013	Paydown		5,729	5,729	5,617	5,639	0	90	0	90	0	5,729	0	0	0	24	08/27/2017
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		02/01/2013	Paydown		16,369	16,369	16,049	16,110	0	258	0	258	0	16,369	0	0	0	136	08/27/2017
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		03/01/2013	Paydown		5,281	5,281	5,178	5,198	0	83	0	83	0	5,281	0	0	0	66	08/27/2017
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		04/01/2013	Paydown		2,458	2,458	2,410	2,420	0	39	0	39	0	2,458	0	0	0	41	08/27/2017
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		05/01/2013	Paydown		5,646	5,646	5,536	5,557	0	89	0	89	0	5,646	0	0	0	118	08/27/2017
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		06/01/2013	Paydown		3,694	3,694	3,622	3,636	0	58	0	58	0	3,694	0	0	0	92	08/27/2017
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		07/01/2013	Paydown		2,682	2,682	2,630	2,640	0	42	0	42	0	2,682	0	0	0	78	08/27/2017
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		08/01/2013	Paydown		6,968	6,968	6,832	6,858	0	110	0	110	0	6,968	0	0	0	232	08/27/2017
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		09/01/2013	Paydown		11,009	11,009	10,794	10,835	0	174	0	174	0	11,009	0	0	0	413	08/27/2017
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		10/01/2013	Paydown		1,898	1,898	1,861	1,868	0	30	0	30	0	1,898	0	0	0	79	08/27/2017
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		11/01/2013	Paydown		4,721	4,721	4,629	4,647	0	74	0	74	0	4,721	0	0	0	216	08/27/2017
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		12/01/2013	Paydown		1,869	1,869	1,839	1,839	0	29	0	29	0	1,869	0	0	0	93	08/27/2017
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		01/01/2013	Paydown		4,893	4,893	4,894	4,890	0	-3	0	-3	0	4,893	0	0	0	22	02/27/2018
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		02/01/2013	Paydown		3,642	3,642	3,642	3,640	0	-2	0	-2	0	3,642	0	0	0	33	02/27/2018

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		05/01/2013	Paydown		2,087	2,087	2,087	2,086	0	1	0	1	0	2,087	0	0	0	.48	02/27/2018
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		06/01/2013	Paydown		3,642	3,642	3,642	3,640	0	2	0	2	0	3,642	0	0	0	100	02/27/2018
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		07/01/2013	Paydown		5,651	5,651	5,651	5,648	0	3	0	3	0	5,651	0	0	0	181	02/27/2018
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		08/01/2013	Paydown		605	605	605	604	0	0	0	0	0	605	0	0	0	22	02/27/2018
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		09/01/2013	Paydown		7,414	7,414	7,414	7,409	0	4	0	4	0	7,414	0	0	0	306	02/27/2018
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		10/01/2013	Paydown		576	576	576	575	0	0	0	0	0	576	0	0	0	26	02/27/2018
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		11/01/2013	Paydown		2,421	2,421	2,421	2,419	0	1	0	1	0	2,421	0	0	0	122	02/27/2018
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		12/01/2013	Paydown		2,646	2,646	2,646	2,644	0	2	0	2	0	2,646	0	0	0	146	02/27/2018
31412U-MN-7	FNMA Pool 935165 MBS 5.000% 05/01/24		01/01/2013	Paydown		80,842	80,842	83,065	82,829	0	(1,987)	0	(1,987)	0	80,842	0	0	0	337	05/01/2024
31412U-MN-7	FNMA Pool 935165 MBS 5.000% 05/01/24		02/01/2013	Paydown		191,141	191,141	196,398	195,840	0	(4,698)	0	(4,698)	0	191,141	0	0	0	1,593	05/01/2024
31412U-MN-7	FNMA Pool 935165 MBS 5.000% 05/01/24		03/01/2013	Paydown		7,418	7,418	7,622	7,600	0	(182)	0	(182)	0	7,418	0	0	0	93	05/01/2024
31412U-MN-7	FNMA Pool 935165 MBS 5.000% 05/01/24		04/01/2013	Paydown		7,429	7,429	7,633	7,611	0	(183)	0	(183)	0	7,429	0	0	0	124	05/01/2024
31412U-MN-7	FNMA Pool 935165 MBS 5.000% 05/01/24		05/01/2013	Paydown		11,601	11,601	11,920	11,886	0	(285)	0	(285)	0	11,601	0	0	0	242	05/01/2024
31412U-MN-7	FNMA Pool 935165 MBS 5.000% 05/01/24		06/01/2013	Paydown		253,143	253,143	260,104	259,365	0	(6,222)	0	(6,222)	0	253,143	0	0	0	6,329	05/01/2024
31412U-MN-7	FNMA Pool 935165 MBS 5.000% 05/01/24		06/04/2013	Nomura Securities		1,082,826	992,849	1,020,152	1,017,254	0	(493)	0	(493)	0	1,016,761	0	66,065	66,065	27,165	05/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		01/01/2013	Paydown		3,785	3,785	4,032	4,007	0	(222)	0	(222)	0	3,785	0	0	0	16	01/05/2020
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		02/01/2013	Paydown		25,920	25,920	27,609	27,437	0	(1,517)	0	(1,517)	0	25,920	0	0	0	216	01/05/2020
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		03/01/2013	Paydown		19,267	19,267	20,523	20,395	0	(1,128)	0	(1,128)	0	19,267	0	0	0	241	01/05/2020
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		04/01/2013	Paydown		3,474	3,474	3,700	3,677	0	(203)	0	(203)	0	3,474	0	0	0	58	01/05/2020
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		05/01/2013	Paydown		2,972	2,972	3,165	3,146	0	(174)	0	(174)	0	2,972	0	0	0	62	01/05/2020
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		06/01/2013	Paydown		24,330	24,330	25,915	25,754	0	(1,424)	0	(1,424)	0	24,330	0	0	0	608	01/05/2020
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		07/01/2013	Paydown		3,035	3,035	3,233	3,213	0	(178)	0	(178)	0	3,035	0	0	0	89	01/05/2020
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		08/01/2013	Paydown		2,749	2,749	2,928	2,909	0	(161)	0	(161)	0	2,749	0	0	0	92	01/05/2020
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		09/01/2013	Paydown		3,258	3,258	3,470	3,449	0	(191)	0	(191)	0	3,258	0	0	0	122	01/05/2020
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		10/01/2013	Paydown		2,934	2,934	3,125	3,106	0	(172)	0	(172)	0	2,934	0	0	0	122	01/05/2020
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		11/01/2013	Paydown		3,135	3,135	3,339	3,318	0	(184)	0	(184)	0	3,135	0	0	0	144	01/05/2020
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		12/01/2013	Paydown		3,163	3,163	3,370	3,349	0	(185)	0	(185)	0	3,163	0	0	0	158	01/05/2020
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		01/01/2013	Paydown		36,670	36,670	37,014	36,965	0	(295)	0	(295)	0	36,670	0	0	0	153	04/14/2019
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		02/01/2013	Paydown		19,706	19,706	19,890	19,864	0	(158)	0	(158)	0	19,706	0	0	0	164	04/14/2019
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		03/01/2013	Paydown		4,480	4,480	4,522	4,516	0	(36)	0	(36)	0	4,480	0	0	0	56	04/14/2019
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		04/01/2013	Paydown		41,452	41,452	41,841	41,785	0	(333)	0	(333)	0	41,452	0	0	0	691	04/14/2019
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		05/01/2013	Paydown		7,116	7,116	7,183	7,173	0	(57)	0	(57)	0	7,116	0	0	0	148	04/14/2019
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		06/01/2013	Paydown		13,778	13,778	13,907	13,889	0	(111)	0	(111)	0	13,778	0	0	0	344	04/14/2019
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		07/01/2013	Paydown		3,834	3,834	3,870	3,865	0	(31)	0	(31)	0	3,834	0	0	0	112	04/14/2019
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		08/01/2013	Paydown		50,042	50,042	50,511	50,444	0	(402)	0	(402)	0	50,042	0	0	0	1,668	04/14/2019
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		09/01/2013	Paydown		29,692	29,692	29,970	29,930	0	(239)	0	(239)	0	29,692	0	0	0	1,113	04/14/2019
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		10/01/2013	Paydown		3,663	3,663	3,697	3,693	0	(29)	0	(29)	0	3,663	0	0	0	153	04/14/2019
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		11/01/2013	Paydown		3,399	3,399	3,431	3,426	0	(27)	0	(27)	0	3,399	0	0	0	156	04/14/2019
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		12/01/2013	Paydown		18,417	18,417	18,590	18,565	0	(148)	0	(148)	0	18,417	0	0	0	92	04/14/2019
31415L-3X-3	FNMA Pool 983814 MBS 4.000% 11/01/24		01/01/2013	Paydown		6,476	6,476	6,558	6,551	0	(75)	0	(75)	0	6,476	0	0	0	221	11/01/2024
31415L-3X-3	FNMA Pool 983814 MBS 4.000% 11/01/24		02/01/2013	Paydown		59,036	59,036	59,779	59,720	0	(683)	0	(683)	0	59,036	0	0	0	394	11/01/2024
31415L-3X-3	FNMA Pool 983814 MBS 4.000% 11/01/24		03/01/2013	Paydown		8,657	8,657	8,766	8,757	0	(100)	0	(100)	0	8,657	0	0	0	87	11/01/2024
31415L-3X-3	FNMA Pool 983814 MBS 4.000% 11/01/24		04/01/2013	Paydown		8,026	8,026	8,127	8,119	0	(93)	0	(93)	0	8,026	0	0	0	107	11/01/2024
31415L-3X-3	FNMA Pool 983814 MBS 4.000% 11/01/24		05/01/2013	Paydown		91,955	91,955	93,111	93,019	0	(1,064)	0	(1,064)	0	91,955	0	0	0	1,533	11/01/2024
31415L-3X-3	FNMA Pool 983814 MBS 4.000% 11/01/24		06/01/2013	Paydown		6,997	6,997	7,085	7,078	0	(81)	0	(81)	0	6,997	0	0	0	140	11/01/2024
31415L-3X-3	FNMA Pool 983814 MBS 4.000% 11/01/24		06/04/2013	CitiGroup		850,717	801,146	811,223	810,416	0	(89)	0	(89)	0	810,328	0	40,389	40,389	17,536	11/01/2024
31415Y-WZ-8	FNMA Pool 993564 MBS 4.500% 04/01/24		01/01/2013	Paydown		28,219	28,219	29,101	29,009	0	(790)	0	(790)	0	28,219	0	0	0	106	04/01/2024
31415Y-WZ-8	FNMA Pool 993564 MBS 4.500% 04/01/24		02/01/2013	Paydown		3,732	3,732	3,849	3,837	0	(104)	0	(104)	0	3,732	0	0	0	28	04/01/2024
31415Y-WZ-8	FNMA Pool 993564 MBS 4.500% 04/01/24		03/01/2013	Paydown		21,915	21,915	22,599	22,528	0	(613)	0	(613)	0	21,915	0	0	0	247	04/01/2024
31415Y-WZ-8	FNMA Pool 993564 MBS 4.500% 04/01/24		04/01/2013	Paydown		28,812	28,812	29,712	29,618	0	(806)	0	(806)	0	28,812	0	0	0	432	

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		06/01/2013	Paydown		22,711	22,711	24,834	24,525	0	(1,814)	0	(1,814)	0	22,711	0	0	0	625	06/25/2017
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		07/01/2013	Paydown		22,571	22,571	24,680	24,373	0	(1,802)	0	(1,802)	0	22,571	0	0	0	724	06/25/2017
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		08/01/2013	Paydown		24,629	24,629	26,930	26,595	0	(1,967)	0	(1,967)	0	24,629	0	0	0	903	06/25/2017
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		09/01/2013	Paydown		22,574	22,574	24,683	24,376	0	(1,803)	0	(1,803)	0	22,574	0	0	0	931	06/25/2017
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		10/01/2013	Paydown		19,327	19,327	21,133	20,870	0	(1,543)	0	(1,543)	0	19,327	0	0	0	886	06/25/2017
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		11/01/2013	Paydown		18,192	18,192	19,892	19,645	0	(1,453)	0	(1,453)	0	18,192	0	0	0	917	06/25/2017
31416B-RB-6	FNMA Pool 995182 MBS 5.500% 06/01/20		12/01/2013	Paydown		16,369	16,369	17,899	17,676	0	(1,307)	0	(1,307)	0	16,369	0	0	0	900	06/25/2017
31416L-MIF-0	FNMA Pool AA3057 MBS 4.500% 05/01/24		01/01/2013	Paydown		75,439	75,439	78,256	78,006	0	(2,566)	0	(2,566)	0	75,439	0	0	0	283	05/01/2024
31416L-MIF-0	FNMA Pool AA3057 MBS 4.500% 05/01/24		02/01/2013	Paydown		31,676	31,676	32,859	32,753	0	(1,078)	0	(1,078)	0	31,676	0	0	0	238	05/01/2024
31416L-MIF-0	FNMA Pool AA3057 MBS 4.500% 05/01/24		03/01/2013	Paydown		29,713	29,713	30,823	30,724	0	(1,011)	0	(1,011)	0	29,713	0	0	0	334	05/01/2024
31416L-MIF-0	FNMA Pool AA3057 MBS 4.500% 05/01/24		04/01/2013	Paydown		6,561	6,561	6,806	6,784	0	(223)	0	(223)	0	6,561	0	0	0	98	05/01/2024
31416L-MIF-0	FNMA Pool AA3057 MBS 4.500% 05/01/24		05/01/2013	Paydown		103,266	103,266	107,123	106,779	0	(3,513)	0	(3,513)	0	103,266	0	0	0	1,936	05/01/2024
31416L-MIF-0	FNMA Pool AA3057 MBS 4.500% 05/01/24		06/01/2013	Paydown		6,028	6,028	6,253	6,233	0	(205)	0	(205)	0	6,028	0	0	0	136	05/01/2024
31416L-MIF-0	FNMA Pool AA3057 MBS 4.500% 05/01/24		06/04/2013	Nomura Securities		966,711	902,809	936,523	933,521	0	(572)	0	(572)	0	932,949	0	33,762	33,762	22,322	05/01/2024
31416L-QY-5	FNMA Pool AA3170 MBS 4.500% 04/01/24		01/01/2013	Paydown		27,467	27,467	28,446	28,339	0	(871)	0	(871)	0	27,467	0	0	0	103	04/01/2024
31416L-QY-5	FNMA Pool AA3170 MBS 4.500% 04/01/24		02/01/2013	Paydown		5,224	5,224	5,410	5,399	0	(166)	0	(166)	0	5,224	0	0	0	39	04/01/2024
31416L-QY-5	FNMA Pool AA3170 MBS 4.500% 04/01/24		03/01/2013	Paydown		34,790	34,790	36,029	35,893	0	(1,104)	0	(1,104)	0	34,790	0	0	0	391	04/01/2024
31416L-QY-5	FNMA Pool AA3170 MBS 4.500% 04/01/24		04/01/2013	Paydown		21,625	21,625	22,396	22,311	0	(686)	0	(686)	0	21,625	0	0	0	324	04/01/2024
31416L-QY-5	FNMA Pool AA3170 MBS 4.500% 04/01/24		05/01/2013	Paydown		19,852	19,852	20,560	20,482	0	(630)	0	(630)	0	19,852	0	0	0	372	04/01/2024
31416L-QY-5	FNMA Pool AA3170 MBS 4.500% 04/01/24		06/01/2013	Paydown		4,658	4,658	4,824	4,805	0	(148)	0	(148)	0	4,658	0	0	0	105	04/01/2024
31416L-QY-5	FNMA Pool AA3170 MBS 4.500% 04/01/24		06/04/2013	Nomura Securities		621,308	580,238	600,909	598,645	0	(311)	0	(311)	0	598,334	0	22,974	22,974	14,288	04/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		01/01/2013	Paydown		54,000	54,000	56,160	55,908	0	(1,908)	0	(1,908)	0	54,000	0	0	0	225	10/09/2019
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		02/01/2013	Paydown		10,117	10,117	10,522	10,475	0	(357)	0	(357)	0	10,117	0	0	0	84	10/09/2019
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		03/01/2013	Paydown		11,557	11,557	12,020	11,966	0	(408)	0	(408)	0	11,557	0	0	0	144	10/09/2019
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		04/01/2013	Paydown		51,713	51,713	53,781	53,540	0	(1,827)	0	(1,827)	0	51,713	0	0	0	862	10/09/2019
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		05/01/2013	Paydown		10,986	10,986	11,425	11,374	0	(388)	0	(388)	0	10,986	0	0	0	229	10/09/2019
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		06/01/2013	Paydown		35,610	35,610	37,035	36,869	0	(1,258)	0	(1,258)	0	35,610	0	0	0	890	10/09/2019
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		07/01/2013	Paydown		10,077	10,077	10,480	10,433	0	(356)	0	(356)	0	10,077	0	0	0	294	10/09/2019
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		08/01/2013	Paydown		44,300	44,300	46,072	45,865	0	(1,565)	0	(1,565)	0	44,300	0	0	0	1,477	10/09/2019
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		09/01/2013	Paydown		9,243	9,243	9,613	9,570	0	(327)	0	(327)	0	9,243	0	0	0	347	10/09/2019
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		10/01/2013	Paydown		9,533	9,533	9,914	9,870	0	(337)	0	(337)	0	9,533	0	0	0	397	10/09/2019
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		11/01/2013	Paydown		10,262	10,262	10,672	10,624	0	(363)	0	(363)	0	10,262	0	0	0	470	10/09/2019
31416S-M2-4	FNMA Pool AA8476 MBS 5.000% 06/01/24		12/01/2013	Paydown		14,812	14,812	15,405	15,336	0	(523)	0	(523)	0	14,812	0	0	0	741	10/09/2019
31416S-QC-8	FNMA Pool AA8550 MBS 4.500% 07/01/24		01/01/2013	Paydown		20,909	20,909	21,464	21,409	0	(501)	0	(501)	0	20,909	0	0	0	78	07/01/2024
31416S-QC-8	FNMA Pool AA8550 MBS 4.500% 07/01/24		02/01/2013	Paydown		80,324	80,324	82,458	82,248	0	(1,923)	0	(1,923)	0	80,324	0	0	0	602	07/01/2024
31416S-QC-8	FNMA Pool AA8550 MBS 4.500% 07/01/24		03/01/2013	Paydown		114,322	114,322	117,359	117,059	0	(2,737)	0	(2,737)	0	114,322	0	0	0	1,286	07/01/2024
31416S-QC-8	FNMA Pool AA8550 MBS 4.500% 07/01/24		04/01/2013	Paydown		127,834	127,834	131,229	130,895	0	(3,061)	0	(3,061)	0	127,834	0	0	0	1,918	07/01/2024
31416S-QC-8	FNMA Pool AA8550 MBS 4.500% 07/01/24		05/01/2013	Paydown		75,917	75,917	77,933	77,735	0	(1,818)	0	(1,818)	0	75,917	0	0	0	1,423	07/01/2024
31416S-QC-8	FNMA Pool AA8550 MBS 4.500% 07/01/24		06/01/2013	Paydown		16,774	16,774	17,219	17,175	0	(402)	0	(402)	0	16,774	0	0	0	377	07/01/2024
31416S-QC-8	FNMA Pool AA8550 MBS 4.500% 07/01/24		06/04/2013	Nomura Securities		2,157,481	2,014,866	2,068,386	2,063,109	0	(1,149)	0	(1,149)	0	2,061,959	0	95,521	95,521	49,616	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		01/01/2013	Paydown		8,247	8,247	8,564	8,529	0	(282)	0	(282)	0	8,247	0	0	0	34	11/04/2019
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		02/01/2013	Paydown		9,089	9,089	9,438	9,400	0	(311)	0	(311)	0	9,089	0	0	0	76	11/04/2019
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		03/01/2013	Paydown		45,792	45,792	47,552	47,356	0	(1,564)	0	(1,564)	0	45,792	0	0	0	572	11/04/2019
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		04/01/2013	Paydown		69,443	69,443	72,112	71,815	0	(2,372)	0	(2,372)	0	69,443	0	0	0	1,157	11/04/2019
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		05/01/2013	Paydown		7,993	7,993	8,300	8,266	0	(273)	0	(273)	0	7,993	0	0	0	167	11/04/2019
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		06/01/2013	Paydown		8,146	8,146	8,459	8,424	0	(278)	0	(278)	0	8,146	0	0	0	204	11/04/2019
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		07/01/2013	Paydown		8,205	8,205	8,520	8,485	0	(280)	0	(280)	0	8,205	0	0	0	239	11/04/2019
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		08/01/2013	Paydown		38,377	38,377	39,852	39,688	0	(1,311)	0	(1,311)	0	38,377	0	0	0	1,279	11/04/2019
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		09/01/2013	Paydown		7,917	7,917	8,222	8,188	0	(270)	0	(270)	0	7,917	0	0	0	297	11/04/2019
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		10/01/2013	Paydown		19,667	19,667	20,422	20,338	0	(672)	0	(672)	0	19,667	0	0	0	819	11/04/2019
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		11/01/2013	Paydown		7,948	7,948	8,254	8,220	0	(272)	0	(272)	0	7,948	0	0	0	364	11/04/2019
31416T-2S-7	FNMA Pool AA9784 MBS 5.000% 07/01/24		12/01/2013	Paydown		7,955	7,955	8,260	8,226	0	(272)	0	(272)	0	7,955	0	0	0	398	11/04/2019
31416X-6C-9	FNMA Pool AB2666 MBS 4.500% 04/01/26		01/01/2013	Paydown		8,907	8,907	9,489	9,458	0	(551)	0	(551)	0	8,907	0	0	0	33	10/22/2020
31416X-6C-9	FNMA Pool AB2666 MBS 4.500% 04/01/26		02/01/2013	Paydown		9,061	9,061	9,653	9,622	0	(561)	0	(561)	0	9,061	0	0	0	68	10/22/2020
31416X-6C-9	FNMA Pool AB2666 MBS 4.500% 04/01/26		03/01/2013	Paydown		9,186	9,186	9,786	9,754	0										

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
31416X-6C-9	FNMA Pool AB2666 MBS	4.500%	04/01/26			9,087	9,087	9,681	9,650	0	(563)	0	(563)	0	9,087	0	0	0	239	10/22/2020
31416X-6C-9	FNMA Pool AB2666 MBS	4.500%	04/01/26			52,857	52,857	56,309	56,129	0	(3,272)	0	(3,272)	0	52,857	0	0	0	1,586	10/22/2020
31416X-6C-9	FNMA Pool AB2666 MBS	4.500%	04/01/26			9,817	9,817	10,458	10,425	0	(608)	0	(608)	0	9,817	0	0	0	331	10/22/2020
31416X-6C-9	FNMA Pool AB2666 MBS	4.500%	04/01/26			9,264	9,264	9,869	9,838	0	(574)	0	(574)	0	9,264	0	0	0	347	10/22/2020
31416X-6C-9	FNMA Pool AB2666 MBS	4.500%	04/01/26			9,785	9,785	10,424	10,391	0	(606)	0	(606)	0	9,785	0	0	0	404	10/22/2020
31416X-6C-9	FNMA Pool AB2666 MBS	4.500%	04/01/26			56,470	56,470	60,158	59,966	0	(3,496)	0	(3,496)	0	56,470	0	0	0	2,541	10/22/2020
31417D-M7-5	FNMA Pool AB6681 MBS	3.000%	10/01/42			2,057	2,057	2,159	2,159	0	(101)	0	(101)	0	2,057	0	0	0	5	11/16/2030
31417D-M7-5	FNMA Pool AB6681 MBS	3.000%	10/01/42			2,050	2,050	2,151	2,151	0	(101)	0	(101)	0	2,050	0	0	0	10	11/16/2030
31417D-M7-5	FNMA Pool AB6681 MBS	3.000%	10/01/42			2,056	2,056	2,158	2,158	0	(101)	0	(101)	0	2,056	0	0	0	15	11/16/2030
31417D-M7-5	FNMA Pool AB6681 MBS	3.000%	10/01/42			2,062	2,062	2,164	2,164	0	(101)	0	(101)	0	2,062	0	0	0	21	11/16/2030
31417D-M7-5	FNMA Pool AB6681 MBS	3.000%	10/01/42			2,292	2,292	2,406	2,405	0	(113)	0	(113)	0	2,292	0	0	0	29	11/16/2030
31417D-M7-5	FNMA Pool AB6681 MBS	3.000%	10/01/42			3,115	3,115	3,269	3,269	0	(153)	0	(153)	0	3,115	0	0	0	47	11/16/2030
31417D-M7-5	FNMA Pool AB6681 MBS	3.000%	10/01/42			2,052	2,052	2,153	2,153	0	(101)	0	(101)	0	2,052	0	0	0	36	11/16/2030
31417D-M7-5	FNMA Pool AB6681 MBS	3.000%	10/01/42			2,392	2,392	2,510	2,510	0	(118)	0	(118)	0	2,392	0	0	0	48	11/16/2030
31417D-M7-5	FNMA Pool AB6681 MBS	3.000%	10/01/42			14,338	14,338	15,046	15,044	0	(706)	0	(706)	0	14,338	0	0	0	323	11/16/2030
31417D-M7-5	FNMA Pool AB6681 MBS	3.000%	10/01/42			2,116	2,116	2,220	2,220	0	(104)	0	(104)	0	2,116	0	0	0	53	11/16/2030
31417D-M7-5	FNMA Pool AB6681 MBS	3.000%	10/01/42			2,165	2,165	2,272	2,272	0	(107)	0	(107)	0	2,165	0	0	0	60	11/16/2030
31417D-M7-5	FNMA Pool AB6681 MBS	3.000%	10/01/42			2,245	2,245	2,356	2,356	0	(110)	0	(110)	0	2,245	0	0	0	67	11/16/2030
31417E-CM-1	FNMA Pool AB7275 MBS	3.000%	12/01/42			6,150	6,150	6,455	6,454	0	(304)	0	(304)	0	6,150	0	0	0	15	12/10/2030
31417E-CM-1	FNMA Pool AB7275 MBS	3.000%	12/01/42			6,830	6,830	7,168	7,167	0	(337)	0	(337)	0	6,830	0	0	0	34	12/10/2030
31417E-CM-1	FNMA Pool AB7275 MBS	3.000%	12/01/42			2,800	2,800	2,939	2,938	0	(138)	0	(138)	0	2,800	0	0	0	21	12/10/2030
31417E-CM-1	FNMA Pool AB7275 MBS	3.000%	12/01/42			3,034	3,034	3,184	3,184	0	(150)	0	(150)	0	3,034	0	0	0	30	12/10/2030
31417E-CM-1	FNMA Pool AB7275 MBS	3.000%	12/01/42			11,505	11,505	12,075	12,073	0	(568)	0	(568)	0	11,505	0	0	0	144	12/10/2030
31417E-CM-1	FNMA Pool AB7275 MBS	3.000%	12/01/42			13,617	13,617	14,291	14,289	0	(672)	0	(672)	0	13,617	0	0	0	204	12/10/2030
31417E-CM-1	FNMA Pool AB7275 MBS	3.000%	12/01/42			2,936	2,936	3,082	3,081	0	(145)	0	(145)	0	2,936	0	0	0	51	12/10/2030
31417E-CM-1	FNMA Pool AB7275 MBS	3.000%	12/01/42			34,724	34,724	36,444	36,438	0	(1,714)	0	(1,714)	0	34,724	0	0	0	694	12/10/2030
31417E-CM-1	FNMA Pool AB7275 MBS	3.000%	12/01/42			13,697	13,697	14,375	14,373	0	(676)	0	(676)	0	13,697	0	0	0	308	12/10/2030
31417E-CM-1	FNMA Pool AB7275 MBS	3.000%	12/01/42			14,460	14,460	15,176	15,174	0	(714)	0	(714)	0	14,460	0	0	0	362	12/10/2030
31417E-CM-1	FNMA Pool AB7275 MBS	3.000%	12/01/42			12,567	12,567	13,190	13,187	0	(620)	0	(620)	0	12,567	0	0	0	346	12/10/2030
31417E-CM-1	FNMA Pool AB7275 MBS	3.000%	12/01/42			7,084	7,084	7,435	7,434	0	(350)	0	(350)	0	7,084	0	0	0	213	12/10/2030
31417N-6B-2	FNMA Pool AC4465 MBS	5.000%	11/01/24			3,273	3,273	3,476	3,457	0	(184)	0	(184)	0	3,273	0	0	0	14	11/01/2024
31417N-6B-2	FNMA Pool AC4465 MBS	5.000%	11/01/24			3,988	3,988	4,235	4,212	0	(224)	0	(224)	0	3,988	0	0	0	33	11/01/2024
31417N-6B-2	FNMA Pool AC4465 MBS	5.000%	11/01/24			3,506	3,506	3,723	3,704	0	(197)	0	(197)	0	3,506	0	0	0	44	11/01/2024
31417N-6B-2	FNMA Pool AC4465 MBS	5.000%	11/01/24			3,622	3,622	3,846	3,826	0	(204)	0	(204)	0	3,622	0	0	0	60	11/01/2024
31417N-6B-2	FNMA Pool AC4465 MBS	5.000%	11/01/24			3,239	3,239	3,439	3,421	0	(182)	0	(182)	0	3,239	0	0	0	67	11/01/2024
31417N-6B-2	FNMA Pool AC4465 MBS	5.000%	11/01/24			3,253	3,253	3,455	3,436	0	(183)	0	(183)	0	3,253	0	0	0	81	11/01/2024
31417N-6B-2	FNMA Pool AC4465 MBS	5.000%	11/01/24			531,051	486,923	517,051	514,331	0	(493)	0	(493)	0	513,838	0	17,213	17,213	13,323	11/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS	4.000%	11/01/24			94,215	94,215	96,747	96,552	0	(2,337)	0	(2,337)	0	94,215	0	0	0	314	11/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS	4.000%	11/01/24			12,379	12,379	12,711	12,686	0	(307)	0	(307)	0	12,379	0	0	0	83	11/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS	4.000%	11/01/24			300,408	300,408	308,481	307,859	0	(7,452)	0	(7,452)	0	300,408	0	0	0	3,004	11/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS	4.000%	11/01/24			109,782	109,782	112,733	112,505	0	(2,723)	0	(2,723)	0	109,782	0	0	0	44	11/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS	4.000%	11/01/24			92,344	92,344	94,826	94,635	0	(2,291)	0	(2,291)	0	92,344	0	0	0	1,459	11/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS	4.000%	11/01/24			65,712	65,712	67,478	67,342	0	(1,630)	0	(1,630)	0	65,712	0	0	0	1,314	11/01/2024
31417T-6K-9	FNMA Pool AC7173 MBS	4.000%	11/01/24			1,424,442	1,341,441	1,377,492	1,374,716	0	(588)	0	(588)	0	1,374,127	0	50,315	50,315	29,363	11/01/2024
31417T-6Z-6	FNMA Pool AC7187 MBS	5.000%	11/01/24			5,184	5,184	5,518	5,484	0	(300)	0	(300)	0	5,184	0	0	0	22	01/08/2020
31417T-6Z-6	FNMA Pool AC7187 MBS	5.000%	11/01/24			5,202	5,202	5,537	5,503	0	(301)	0	(301)	0	5,202	0	0	0	43	01/08/2020
31417T-6Z-6	FNMA Pool AC7187 MBS	5.000%	11/01/24			5,251	5,251	5,589	5,555	0	(304)	0	(304)	0	5,251	0	0	0	66	01/08/2020
31417T-6Z-6	FNMA Pool AC7187 MBS	5.000%	11/01/24			65,562	65,562	69,783	69,352	0	(3,790)	0	(3,790)	0	65,562	0	0	0	1,093	01/08/2020
31417T-6Z-6	FNMA Pool AC7187 MBS	5.000%	11/01/24			4,976	4,976	5,296	5,264	0	(288)	0	(288)	0	4,976	0	0	0	104	01/08/2020
31417T-6Z-6	FNMA Pool AC7187 MBS	5.000%	11/01/24			5,058	5,058	5,383	5,350	0	(292)	0	(292)	0	5,058	0	0	0	126	01/08/2020
31417T-6Z-6	FNMA Pool AC7187 MBS	5.000%	11/01/24			958,837	879,163	935,759	929,991	0	(828)	0	(828)	0	929,163	0	29,674	29,674	24,055	01/08/2020
31417Y-VY-0	FNMA Pool MA0630 MBS	4.000%	01/01/21			15,948	15,948	16,599	16,517	0	(569)	0	(569)	0	15,948	0	0	0	53	10/01/2017
31417Y-VY-0	FNMA Pool MA0630 MBS	4.000%	01/01/21			16,191	16,191	16,852	16,769	0	(578)	0	(578)	0	16,191	0	0	0	108	10/01/2017
31417Y-VY-0	FNMA Pool MA0630 MBS	4.000%	01/01/21			16,368	16,368	17,035	16,952	0	(584)	0	(584)	0	16,368	0	0	0	169	10/01/2017
31417Y-VY-0	FNMA Pool MA0630 MBS	4.000%	01/01/21			12,341	12,341	12,844	12,781	0	(440)	0	(440)	0	12,341	0	0	0	165	10/01/2017
31417Y-VY-0	FNMA Pool MA0630 MBS	4.000%	01/01/21			11,727	11,727	12,205	12,146	0	(418)	0	(418)	0	11,727	0	0	0	195	10/01/2017
31417Y-VY-0	FNMA Pool MA0630 MBS	4.000%	01/01/21			15,058	15,058	15,673	15,596	0	(537)	0	(537)	0	15,058	0	0	0	301	10/01/2017
31417Y-VY-0	FNMA Pool MA0630 MBS	4.000%	01/01/21			25,016	25,016	26,036	25,908	0	(893)	0	(893)	0	25,016	0	0	0	584	10/01/2017

E14.15

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
31417Y-VY-0	FNMA Pool MA0630 MBS	4.00%	01/01/21	08/01/2013	Paydown	15,662	15,662	16,301	16,221	0	(659)	0	(559)	0	15,662	0	0	0	418	10/01/2017
31417Y-VY-0	FNMA Pool MA0630 MBS	4.00%	01/01/21	09/01/2013	Paydown	15,564	15,564	16,199	16,119	0	(555)	0	(555)	0	15,564	0	0	0	467	10/01/2017
31417Y-VY-0	FNMA Pool MA0630 MBS	4.00%	01/01/21	10/01/2013	Paydown	16,380	16,380	17,048	16,965	0	(585)	0	(585)	0	16,380	0	0	0	546	10/01/2017
31417Y-VY-0	FNMA Pool MA0630 MBS	4.00%	01/01/21	11/01/2013	Paydown	9,786	9,786	10,185	10,135	0	(349)	0	(349)	0	9,786	0	0	0	359	10/01/2017
31417Y-VY-0	FNMA Pool MA0630 MBS	4.00%	01/01/21	12/01/2013	Paydown	10,340	10,340	10,762	10,709	0	(369)	0	(369)	0	10,340	0	0	0	414	10/01/2017
31418T-HR-1	FNMA Pool AD5639 MBS	5.00%	04/01/25	01/01/2013	Paydown	3,724	3,724	3,949	3,930	0	(206)	0	(206)	0	3,724	0	0	0	16	04/01/2025
31418T-HR-1	FNMA Pool AD5639 MBS	5.00%	04/01/25	02/01/2013	Paydown	3,741	3,741	3,968	3,948	0	(207)	0	(207)	0	3,741	0	0	0	31	04/01/2025
31418T-HR-1	FNMA Pool AD5639 MBS	5.00%	04/01/25	03/01/2013	Paydown	3,593	3,593	3,810	3,791	0	(199)	0	(199)	0	3,593	0	0	0	45	04/01/2025
31418T-HR-1	FNMA Pool AD5639 MBS	5.00%	04/01/25	04/01/2013	Paydown	3,515	3,515	3,729	3,710	0	(194)	0	(194)	0	3,515	0	0	0	59	04/01/2025
31418T-HR-1	FNMA Pool AD5639 MBS	5.00%	04/01/25	05/01/2013	Paydown	3,927	3,927	4,165	4,144	0	(217)	0	(217)	0	3,927	0	0	0	82	04/01/2025
31418T-HR-1	FNMA Pool AD5639 MBS	5.00%	04/01/25	06/01/2013	Paydown	3,545	3,545	3,760	3,741	0	(196)	0	(196)	0	3,545	0	0	0	89	04/01/2025
31418T-HR-1	FNMA Pool AD5639 MBS	5.00%	04/01/25	06/04/2013	Nomura Securities	706,275	647,588	686,848	683,406	0	(686)	0	(686)	0	682,720	0	23,555	23,555	17,719	04/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS	4.50%	06/01/25	01/01/2013	Paydown	42,994	42,994	45,493	45,297	0	(2,303)	0	(2,303)	0	42,994	0	0	0	161	04/21/2020
31418V-VF-6	FNMA Pool AD7813 MBS	4.50%	06/01/25	02/01/2013	Paydown	9,983	9,983	10,518	10,518	0	(535)	0	(535)	0	9,983	0	0	0	75	04/21/2020
31418V-VF-6	FNMA Pool AD7813 MBS	4.50%	06/01/25	03/01/2013	Paydown	10,535	10,535	11,147	11,099	0	(564)	0	(564)	0	10,535	0	0	0	119	04/21/2020
31418V-VF-6	FNMA Pool AD7813 MBS	4.50%	06/01/25	04/01/2013	Paydown	12,230	12,230	12,941	12,885	0	(655)	0	(655)	0	12,230	0	0	0	183	04/21/2020
31418V-VF-6	FNMA Pool AD7813 MBS	4.50%	06/01/25	05/01/2013	Paydown	62,746	62,746	66,393	66,107	0	(3,361)	0	(3,361)	0	62,746	0	0	0	177	04/21/2020
31418V-VF-6	FNMA Pool AD7813 MBS	4.50%	06/01/25	06/01/2013	Paydown	12,111	12,111	12,815	12,760	0	(649)	0	(649)	0	12,111	0	0	0	273	04/21/2020
31418V-VF-6	FNMA Pool AD7813 MBS	4.50%	06/01/25	07/01/2013	Paydown	12,036	12,036	12,735	12,680	0	(645)	0	(645)	0	12,036	0	0	0	316	04/21/2020
31418V-VF-6	FNMA Pool AD7813 MBS	4.50%	06/01/25	08/01/2013	Paydown	12,156	12,156	12,863	12,807	0	(651)	0	(651)	0	12,156	0	0	0	365	04/21/2020
31418V-VF-6	FNMA Pool AD7813 MBS	4.50%	06/01/25	09/01/2013	Paydown	72,216	72,216	76,414	76,085	0	(3,869)	0	(3,869)	0	72,216	0	0	0	2,437	04/21/2020
31418V-VF-6	FNMA Pool AD7813 MBS	4.50%	06/01/25	10/01/2013	Paydown	9,868	9,868	10,441	10,397	0	(529)	0	(529)	0	9,868	0	0	0	370	04/21/2020
31418V-VF-6	FNMA Pool AD7813 MBS	4.50%	06/01/25	11/01/2013	Paydown	7,935	7,935	8,397	8,361	0	(425)	0	(425)	0	7,935	0	0	0	327	04/21/2020
31418V-VF-6	FNMA Pool AD7813 MBS	4.50%	06/01/25	12/01/2013	Paydown	8,039	8,039	8,507	8,470	0	(431)	0	(431)	0	8,039	0	0	0	362	04/21/2020
31418W-4T-4	FNMA Pool AD8933 MBS	4.50%	06/01/25	01/01/2013	Paydown	21,333	21,333	22,557	22,460	0	(1,127)	0	(1,127)	0	21,333	0	0	0	80	04/18/2020
31418W-4T-4	FNMA Pool AD8933 MBS	4.50%	06/01/25	02/01/2013	Paydown	28,661	28,661	30,304	30,174	0	(1,513)	0	(1,513)	0	28,661	0	0	0	215	04/18/2020
31418W-4T-4	FNMA Pool AD8933 MBS	4.50%	06/01/25	03/01/2013	Paydown	6,278	6,278	6,638	6,609	0	(332)	0	(332)	0	6,278	0	0	0	71	04/18/2020
31418W-4T-4	FNMA Pool AD8933 MBS	4.50%	06/01/25	04/01/2013	Paydown	8,023	8,023	8,483	8,447	0	(424)	0	(424)	0	8,023	0	0	0	120	04/18/2020
31418W-4T-4	FNMA Pool AD8933 MBS	4.50%	06/01/25	05/01/2013	Paydown	7,712	7,712	8,154	8,119	0	(407)	0	(407)	0	7,712	0	0	0	145	04/18/2020
31418W-4T-4	FNMA Pool AD8933 MBS	4.50%	06/01/25	06/01/2013	Paydown	21,067	21,067	22,275	22,180	0	(1,112)	0	(1,112)	0	21,067	0	0	0	474	04/18/2020
31418W-4T-4	FNMA Pool AD8933 MBS	4.50%	06/01/25	07/01/2013	Paydown	4,904	4,904	5,186	5,163	0	(259)	0	(259)	0	4,904	0	0	0	129	04/18/2020
31418W-4T-4	FNMA Pool AD8933 MBS	4.50%	06/01/25	08/01/2013	Paydown	19,152	19,152	20,251	20,164	0	(1,011)	0	(1,011)	0	19,152	0	0	0	155	04/18/2020
31418W-4T-4	FNMA Pool AD8933 MBS	4.50%	06/01/25	09/01/2013	Paydown	4,534	4,534	4,794	4,773	0	(239)	0	(239)	0	4,534	0	0	0	573	04/18/2020
31418W-4T-4	FNMA Pool AD8933 MBS	4.50%	06/01/25	10/01/2013	Paydown	13,499	13,499	14,273	14,212	0	(713)	0	(713)	0	13,499	0	0	0	506	04/18/2020
31418W-4T-4	FNMA Pool AD8933 MBS	4.50%	06/01/25	11/01/2013	Paydown	26,233	26,233	27,738	27,619	0	(1,385)	0	(1,385)	0	26,233	0	0	0	1,082	04/18/2020
31418W-4T-4	FNMA Pool AD8933 MBS	4.50%	06/01/25	12/01/2013	Paydown	4,694	4,694	4,963	4,942	0	(248)	0	(248)	0	4,694	0	0	0	211	04/18/2020
31419C-L9-2	FNMA Pool AE2151 MBS	4.00%	03/01/26	01/01/2013	Paydown	14,655	14,655	15,079	15,057	0	(401)	0	(401)	0	14,655	0	0	0	49	03/01/2026
31419C-L9-2	FNMA Pool AE2151 MBS	4.00%	03/01/26	02/01/2013	Paydown	115,924	115,924	119,275	119,099	0	(3,176)	0	(3,176)	0	115,924	0	0	0	773	03/01/2026
31419C-L9-2	FNMA Pool AE2151 MBS	4.00%	03/01/26	03/01/2013	Paydown	14,801	14,801	15,229	15,206	0	(405)	0	(405)	0	14,801	0	0	0	148	03/01/2026
31419C-L9-2	FNMA Pool AE2151 MBS	4.00%	03/01/26	04/01/2013	Paydown	14,888	14,888	15,319	15,296	0	(408)	0	(408)	0	14,888	0	0	0	199	03/01/2026
31419C-L9-2	FNMA Pool AE2151 MBS	4.00%	03/01/26	05/01/2013	Paydown	69,450	69,450	71,457	71,352	0	(1,303)	0	(1,303)	0	69,450	0	0	0	1,158	03/01/2026
31419C-L9-2	FNMA Pool AE2151 MBS	4.00%	03/01/26	06/01/2013	Paydown	10,718	10,718	11,027	11,011	0	(294)	0	(294)	0	10,718	0	0	0	214	03/01/2026
31419C-L9-2	FNMA Pool AE2151 MBS	4.00%	03/01/26	06/04/2013	CitiGroup	1,783,032	1,679,136	1,727,673	1,725,136	0	(615)	0	(615)	0	1,724,521	0	58,511	58,511	36,754	03/01/2026
31419G-SG-0	FNMA Pool AE5918 MBS	4.50%	10/01/25	01/01/2013	Paydown	2,932	2,932	3,114	3,103	0	(171)	0	(171)	0	2,932	0	0	0	11	10/01/2025
31419G-SG-0	FNMA Pool AE5918 MBS	4.50%	10/01/25	02/01/2013	Paydown	2,944	2,944	3,127	3,116	0	(172)	0	(172)	0	2,944	0	0	0	22	10/01/2025
31419G-SG-0	FNMA Pool AE5918 MBS	4.50%	10/01/25	03/01/2013	Paydown	2,956	2,956	3,140	3,128	0	(173)	0	(173)	0	2,956	0	0	0	33	10/01/2025
31419G-SG-0	FNMA Pool AE5918 MBS	4.50%	10/01/25	04/01/2013	Paydown	2,968	2,968	3,153	3,141	0	(173)	0	(173)	0	2,968	0	0	0	45	10/01/2025
31419G-SG-0	FNMA Pool AE5918 MBS	4.50%	10/01/25	05/01/2013	Paydown	2,980	2,980	3,166	3,154	0	(174)	0	(174)	0	2,980	0	0	0	56	10/01/2025
31419G-SG-0	FNMA Pool AE5918 MBS	4.50%	10/01/25	06/01/2013	Paydown	2,992	2,992	3,179	3,167	0	(175)	0	(175)	0	2,992	0	0	0	67	10/01/2025
31419G-SG-0	FNMA Pool AE5918 MBS	4.50%	10/01/25	06/04/2013	Nomura Securities	648,220	605,371	643,065	640,759	0	(550)	0	(550)	0	640,209	0	8,012	8,012	14,907	10/01/2025
31419M-CW-9	FNMA Pool AE9984 MBS	3.50%	05/01/26	01/01/2013	Paydown	1,245	1,245	1,308	1,305	0	(60)	0	(60)	0	1,245	0	0	0	4	10/05/2020
31419M-CW-9	FNMA Pool AE9984 MBS	3.50%	05/01/26	02/01/2013	Paydown	1,249	1,249	1,313	1,310	0	(61)	0	(61)	0	1,249	0	0	0	7	10/05/2020
31419M-CW-9	FNMA Pool AE9984 MBS	3.50%	05/01/26	03/01/2013	Paydown	1,253	1,253	1,317	1,314	0	(61)	0	(61)	0	1,253	0	0	0	11	10/05/2020
31419M-CW-9	FNMA Pool AE9984 MBS	3.50%	05/01/26	04/01/2013	Paydown	1,258	1,258	1,322	1,319	0	(61)	0	(61)	0	1,258	0	0	0	15	10/05/2020
31419M-CW-9	FNMA Pool AE9984 MBS	3.50%	05/01/26	05/01/2013	Paydown	1,262	1,262	1,326	1,323	0	(61)	0	(61)	0	1,262	0	0	0	18	10/05/2020
31419M-CW-9	FNMA Pool AE9984 MBS	3.50%	05/01/26	06/01/2013	Paydown	1,266	1,266	1,331	1,328	0	(61)	0	(61)	0	1,266	0	0	0	22	10/05/2020

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
31419M-CW-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		09/01/2013	Paydown		1,280	1,280	1,345	1,342	0	(62)	0	(62)	0	1,280	0	0	0	34	10/05/2020
31419M-CW-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		10/01/2013	Paydown		1,284	1,284	1,350	1,346	0	(62)	0	(62)	0	1,284	0	0	0	38	10/05/2020
31419M-CW-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		11/01/2013	Paydown		1,289	1,289	1,354	1,351	0	(62)	0	(62)	0	1,289	0	0	0	41	10/05/2020
31419M-CW-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		12/01/2013	Paydown		1,293	1,293	1,359	1,356	0	(63)	0	(63)	0	1,293	0	0	0	45	10/05/2020
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		01/01/2013	Paydown		3,039	3,039	3,192	3,185	0	(147)	0	(147)	0	3,039	0	0	0	9	10/28/2020
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		02/01/2013	Paydown		2,940	2,940	3,088	3,082	0	(142)	0	(142)	0	2,940	0	0	0	17	10/28/2020
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		03/01/2013	Paydown		3,056	3,056	3,211	3,204	0	(148)	0	(148)	0	3,056	0	0	0	27	10/28/2020
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		04/01/2013	Paydown		3,076	3,076	3,232	3,225	0	(149)	0	(149)	0	3,076	0	0	0	36	10/28/2020
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		05/01/2013	Paydown		7,594	7,594	7,978	7,961	0	(367)	0	(367)	0	7,594	0	0	0	111	10/28/2020
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		06/01/2013	Paydown		3,459	3,459	3,633	3,626	0	(167)	0	(167)	0	3,459	0	0	0	61	10/28/2020
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		07/01/2013	Paydown		2,990	2,990	3,141	3,135	0	(144)	0	(144)	0	2,990	0	0	0	61	10/28/2020
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		08/01/2013	Paydown		3,000	3,000	3,151	3,145	0	(145)	0	(145)	0	3,000	0	0	0	70	10/28/2020
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		09/01/2013	Paydown		3,115	3,115	3,273	3,266	0	(150)	0	(150)	0	3,115	0	0	0	82	10/28/2020
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		10/01/2013	Paydown		3,126	3,126	3,284	3,277	0	(151)	0	(151)	0	3,126	0	0	0	91	10/28/2020
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		11/01/2013	Paydown		3,136	3,136	3,295	3,288	0	(151)	0	(151)	0	3,136	0	0	0	101	10/28/2020
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		12/01/2013	Paydown		2,607	2,607	2,739	2,733	0	(126)	0	(126)	0	2,607	0	0	0	91	10/28/2020
455141-KK-6	IN Trans Auth Rev Bond Cont Call Sink Prfd 5.000% 06/01/28		06/01/2013	Call	100.0000	3,625,000	3,625,000	3,909,998	3,651,971	0	(26,971)	0	(26,971)	0	3,625,000	0	0	0	90,625	06/01/2028
485424-KN-4	KS Dept Trans Hwy Rev Bond Non Call 5.500% 03/01/19		11/18/2013	Goldman Sachs		3,630,390	3,000,000	3,597,390	3,386,696	0	(51,130)	0	(51,130)	0	3,335,565	0	294,825	294,825	201,667	03/01/2019
485424-MM-2	LA Cnty CA Met Trans Rev Bond Non Call 5.000% 09/01/16		06/19/2013	JP Morgan Chase		5,774,080	5,080,000	5,883,300	5,626,991	0	(68,853)	0	(68,853)	0	5,558,137	0	215,943	215,943	206,728	09/01/2016
544712-R7-9	MASSACHUSETTS ST Rev Bond Non Call 5.000% 07/01/16		06/19/2013	Goldman Sachs		3,386,940	3,000,000	3,333,930	3,178,374	0	(23,349)	0	(23,349)	0	3,155,025	0	231,915	231,915	147,083	07/01/2016
575579-VT-1	MASSACHUSETTS ST Rev Bond Non Call 5.500% 07/01/17		11/26/2013	JP Morgan Chase		4,219,724	3,660,000	4,144,767	3,957,786	0	(57,562)	0	(57,562)	0	3,900,224	0	319,500	319,500	259,758	07/01/2017
575579-YH-5	MASSACHUSETTS ST Rev Bond Non Call 5.500% 07/01/16		05/23/2013	ROSS SINCLAIR + ASSOC. IN		45,091	40,000	42,328	41,676	0	(184)	0	(184)	0	41,492	0	3,598	3,598	2,004	07/01/2016
59259N-BR-3	MET TRANSPRTN AU Rev Bond Non Call 5.500% 04/01/15		06/19/2013	Barclays Group Inc.		5,455,650	5,000,000	5,672,300	5,247,337	0	(51,031)	0	(51,031)	0	5,196,306	0	259,344	259,344	200,903	04/01/2015
64971K-MB-6	NEW YORK CITY NY Rev Bond Non Call 5.000% 11/01/13		11/01/2013	Maturity		2,600,000	2,600,000	2,769,702	2,629,946	0	(29,946)	0	(29,946)	0	2,600,000	0	0	0	130,000	11/01/2013
64971Q-UB-4	NEW YORK CITY NY Rev Bond Non Call 5.000% 11/01/17		05/22/2013	Tax Free Exchange		913,691	845,000	919,790	919,240	0	(5,549)	0	(5,549)	0	913,691	0	0	0	23,590	11/01/2017
64971Q-UB-4	NEW YORK CITY NY Rev Bond Non Call 5.000% 11/01/17		11/25/2013	Goldman Sachs		2,939,941	2,535,000	2,759,371	2,757,719	0	(39,386)	0	(39,386)	0	2,718,333	0	221,608	221,608	136,608	11/01/2017
650028-QZ-9	NY St Twy Auth Rev Bond Non Call 5.000% 03/15/17		09/20/2013	Merrill Lynch		4,553,480	4,000,000	4,458,400	4,262,840	0	(43,024)	0	(43,024)	0	4,219,816	0	333,664	333,664	205,556	03/15/2017
796253-P4-8	San Antonio TX Elec Gas Rev Bond Non Call 5.500% 02/01/13		02/01/2013	Maturity		4,000,000	4,000,000	4,311,240	4,006,784	0	(6,784)	0	(6,784)	0	4,000,000	0	0	0	110,000	02/01/2013
812643-FS-8	SEATTLE WA MUNI Rev Bond Cont Call 5.000% 02/01/22		11/25/2013	Goldman Sachs		2,317,260	2,000,000	2,166,660	2,139,799	0	(13,618)	0	(13,618)	0	2,126,182	0	191,078	191,078	132,778	02/01/2022
875128-HP-8	Tampa Bay FL Util Rev Bond Non Call 5.500% 10/01/21		11/15/2013	JP Morgan Chase		2,427,460	2,000,000	2,328,900	2,252,568	0	(21,937)	0	(21,937)	0	2,230,631	0	196,829	196,829	124,972	10/01/2021
92817F-Q2-5	VA St Pub Sch Auth Rev Bond Cont Call 5.000% 08/01/22		08/01/2013	Call	100.0000	2,885,000	2,885,000	3,276,679	2,949,028	0	(64,028)	0	(64,028)	0	2,885,000	0	0	0	144,250	08/01/2022
92817S-GP-7	VA St Pub Sch Auth Rev Bond Non Call 5.000% 08/01/15		06/19/2013	Goldman Sachs		3,141,029	2,870,000	3,012,897	2,929,348	0	(10,528)	0	(10,528)	0	2,918,806	0	222,237	222,237	128,751	08/01/2015
3199999	Subtotal - Bonds - U.S. Special Revenues					122,977,938	115,121,624	123,221,453	120,083,773	0	(1,191,015)	0	(1,191,015)	0	118,892,776	0	4,085,175	4,085,175	3,704,698	XXX
00287Y-AE-9	ABBVIE INC Corp Note Non Call Prv Plc 0.998% 11/06/15		08/09/2013	Tax Free Exchange		2,265,000	2,265,000	2,265,000	2,265,000	0	0	0	0	0	2,265,000	0	0	0	17,959	11/06/2015
02003M-BQ-6	Allstate Corp Note Non Call 5.375% 04/30/13		04/30/2013	Maturity		2,730,000	2,730,000	2,898,244	2,771,507	0	(41,507)	0	(41,507)	0	2,730,000	0	0	0	73,369	04/30/2013
02666Q-D6-7	AMER HONDA FIN Corp Note Non Call Prv Plc 6.700% 10/01/13		10/01/2013	Maturity		600,000	600,000	647,688	626,778	0	(26,778)	0	(26,778)	0	600,000	0	0	0	40,200	10/01/2013
037411-AT-2	APACHE CORP Corp Note MW 12.5BP 5.250% 04/15/13		04/15/2013	Maturity		1,000,000	1,000,000	1,051,040	1,013,517	0	(13,517)	0	(13,517)	0	1,000,000	0	0	0	26,250	04/15/2013

E14.17

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
05531F-AE-3	BB&T Corp Note Non Call 3.375% 09/25/13		09/25/2013	Maturity		1,175,000	1,175,000	1,210,414	1,197,490	0	(22,490)	0	(22,490)	0	1,175,000	0	0	0	39,656	09/25/2013
059172-AA-4	Balt Gas & Elec Corp Note MW 35BP 6.125% 07/01/13		07/01/2013	Maturity		500,000	500,000	533,645	512,963	0	(12,963)	0	(12,963)	0	500,000	0	0	0	30,625	07/01/2013
06406H-BL-2	BANK OF NY MELLO Corp Note Non Call 4.300% 05/15/14		11/18/2013	Wachovia Bank		2,039,320	2,000,000	2,107,060	2,103,324	0	(66,565)	0	(66,565)	0	2,036,759	0	2,561	2,561	87,194	05/15/2014
075887-BB-4	Becton Dickinson Corp Note MW 15BP 1.750% 11/08/16		03/14/2013	BNP Paribas Securities Corp		718,438	700,000	699,769	699,820	0	10	0	10	0	699,830	0	18,608	18,608	4,458	11/08/2016
084664-BD-2	BERKSHIRE HATH Corp Note MW 20BP 4.600% 05/15/13		05/15/2013	Maturity		400,000	400,000	417,579	405,986	0	(5,986)	0	(5,986)	0	400,000	0	0	0	9,200	05/15/2013
084670-AY-4	Berkshire Hathaway Corp Note Non Call 0.722% 02/11/13		02/11/2013	Maturity		1,500,000	1,500,000	1,504,851	1,500,415	0	(415)	0	(415)	0	1,500,000	0	0	0	2,775	02/11/2013
09247X-AG-6	BlackRock Inc Corp Note Non Call 0.574% 05/24/13		05/24/2013	Maturity		2,250,000	2,250,000	2,254,344	2,251,367	0	(1,367)	0	(1,367)	0	2,250,000	0	0	0	6,712	05/24/2013
12572Q-AA-3	CME Group Corp Note MW 35BP 5.400% 08/01/13		08/01/2013	Maturity		2,500,000	2,500,000	2,668,125	2,565,954	0	(65,954)	0	(65,954)	0	2,500,000	0	0	0	135,000	08/01/2013
149123-BP-5	Caterpillar Corp Note MW 50BP 7.000% 12/15/13		12/15/2013	Maturity		1,000,000	1,000,000	1,117,500	1,058,341	0	(58,341)	0	(58,341)	0	1,000,000	0	0	0	70,000	12/15/2013
14912L-4E-8	CATERPILLAR FINL Corp Note Non Call 7.150% 02/15/19		03/13/2013	Stifel Nicolaus & Co.		1,291,040	1,000,000	1,153,050	1,107,077	0	(3,118)	0	(3,118)	0	1,103,958	0	187,082	187,082	42,304	02/15/2019
14912L-4Q-1	CATERPILLAR FINL Corp Note Non Call 1.550% 12/20/13		11/18/2013	Credit Suisse		1,001,110	1,000,000	1,017,650	1,009,653	0	(8,834)	0	(8,834)	0	1,000,819	0	291	291	14,208	12/20/2013
172967-EQ-0	CITIGROUP INC Corp Note Non Call 5.500% 04/11/13		04/11/2013	Maturity		1,175,000	1,175,000	1,076,309	1,167,152	0	7,848	0	7,848	0	1,175,000	0	0	0	32,313	04/11/2013
19122T-AA-7	Coca Cola Corp Note MW 10BP 1.125% 11/12/13		11/12/2013	Maturity		1,500,000	1,500,000	1,508,925	1,506,860	0	(6,860)	0	(6,860)	0	1,500,000	0	0	0	16,875	11/12/2013
199575-AR-2	Columbus SO PWR Corp Note MW 25BP 5.500% 03/01/13		03/01/2013	Maturity		1,205,000	1,205,000	1,262,879	1,214,199	0	(9,199)	0	(9,199)	0	1,205,000	0	0	0	33,138	03/01/2013
209111-EA-7	Cons Edison NY Corp Note Cont Call 4.875% 02/01/13		02/01/2013	Maturity		902,000	902,000	946,532	904,948	0	(2,948)	0	(2,948)	0	902,000	0	0	0	21,986	02/01/2013
235851-AJ-1	Danaher Corp Note Non Call 0.522% 06/21/13		06/21/2013	Maturity		900,000	900,000	903,051	901,109	0	(1,109)	0	(1,109)	0	900,000	0	0	0	2,484	06/21/2013
24422E-RP-6	JOHN DEERE CAP Corp Note Non Call 0.413% 06/16/14		11/18/2013	Credit Suisse		900,972	900,000	901,990	901,744	0	(1,060)	0	(1,060)	0	900,684	0	288	288	3,809	06/16/2014
25746U-AM-1	DOMINION RES Corp Note MW 20BP 5.000% 03/15/13		03/15/2013	Maturity		500,000	500,000	522,605	504,294	0	(4,294)	0	(4,294)	0	500,000	0	0	0	12,500	03/15/2013
36962G-3H-5	GEN ELEC CAP CRP Corp Note Non Call 5.625% 09/15/17		08/13/2013	CitiGroup		1,134,280	1,000,000	1,048,010	1,032,012	0	(3,818)	0	(3,818)	0	1,028,194	0	106,086	106,086	51,719	09/15/2017
36962G-3T-9	GEN ELEC CAP CRP Corp Note Non Call 4.800% 05/01/13		05/01/2013	Maturity		1,000,000	1,000,000	1,047,300	1,013,228	0	(13,228)	0	(13,228)	0	1,000,000	0	0	0	24,000	05/01/2013
36962G-4H-4	GEN ELEC CAP CRP Corp Note Non Call 2.800% 01/08/13		01/08/2013	Maturity		1,000,000	1,000,000	1,019,320	1,000,369	0	(369)	0	(369)	0	1,000,000	0	0	0	14,000	01/08/2013
373334-JM-4	Georgia Power Corp Note MW 50BP 6.000% 11/01/13		11/01/2013	Maturity		425,000	425,000	424,728	424,946	0	54	0	54	0	425,000	0	0	0	25,500	11/01/2013
437076-AR-3	HOME DEPOT INC Corp Note Cont Call 5.250% 12/16/13		12/16/2013	Maturity		1,100,000	1,100,000	1,148,433	1,111,325	0	(11,325)	0	(11,325)	0	1,100,000	0	0	0	57,750	12/16/2013
57629W-BL-3	Massmutual Glob Corp Note Non Call Prv Plc 0.748% 09/27/13		09/27/2013	Maturity		1,500,000	1,500,000	1,506,780	1,503,980	0	(3,980)	0	(3,980)	0	1,500,000	0	0	0	9,014	09/27/2013
59156R-AU-2	METLIFE INC Corp Note MW 50BP 6.750% 06/01/16		08/13/2013	Morgan Stanley		379,929	330,000	329,776	329,878	0	20	0	20	0	329,898	0	50,031	50,031	15,778	06/01/2016
59157B-AF-9	MetLife Institute Corp Note Non Call Prv Plc 1.144% 04/04/14		11/14/2013	Mizuho Investor Sec Co		1,605,886	1,600,000	1,608,976	1,606,593	0	(4,629)	0	(4,629)	0	1,601,964	0	3,922	3,922	21,867	04/04/2014
592179-JG-1	MetLife Global Corp Note Non Call Prv Plc 5.125% 04/10/13		04/10/2013	Maturity		1,000,000	1,000,000	1,047,890	1,011,555	0	(11,555)	0	(11,555)	0	1,000,000	0	0	0	25,625	04/10/2013
59217G-AW-9	MetLife Global Corp Note Non Call Prv Plc 0.594% 03/19/14		11/20/2013	Barclays Group Inc.		750,878	750,000	750,000	750,000	0	0	0	0	0	750,000	0	878	878	4,478	03/19/2014
617446-HR-3	MORGAN STANLEY Corp Note Cont Call 5.300% 03/01/13		03/01/2013	Maturity		325,000	325,000	314,015	324,492	0	508	0	508	0	325,000	0	0	0	8,613	03/01/2013

E14.18

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
634902-MU-1	National City Bank Corp Note Non Call 0.387% 03/01/13		03/01/2013	Maturity		1,000,000	1,000,000	999,630	999,943	0	57	0	57	0	1,000,000	0	0	0	1,003	03/01/2013
64952W-AX-1	NEW YORK LIFE GL Corp Note Non Call Prv Plc 1.850% 12/13/13		12/13/2013	Maturity		900,000	900,000	915,278	912,908	0	(12,908)	0	(12,908)	0	900,000	0	0	0	16,650	12/13/2013
69362B-AV-4	Public Service Elec & Gas Corp Note MW 15BP 2.500% 04/15/13		04/15/2013	Maturity		1,000,000	1,000,000	1,020,215	1,005,048	0	(5,048)	0	(5,048)	0	1,000,000	0	0	0	12,500	04/15/2013
69371R-J9-8	PACCAR FINL CORP Corp Note Non Call 2.050% 06/17/13		06/17/2013	Maturity		500,000	500,000	508,640	503,222	0	(3,222)	0	(3,222)	0	500,000	0	0	0	5,125	06/17/2013
69371R-K7-0	PACCAR FINL CORP Corp Note Non Call 0.491% 06/05/14		11/22/2013	Barclays Group Inc.		1,256,696	1,255,000	1,259,292	1,258,579	0	(2,269)	0	(2,269)	0	1,256,309	0	386	386	6,624	06/05/2014
6944P0-AH-8	Pacific Life Global Fdg Note Non Call Prv Plc 5.150% 04/15/13		04/15/2013	Maturity		500,000	500,000	522,341	505,825	0	(5,825)	0	(5,825)	0	500,000	0	0	0	12,875	04/15/2013
74153W-BK-4	PRICOA GLOB FUND Corp Note Non Call Prv Plc 5.300% 09/27/13		09/27/2013	Maturity		800,000	800,000	842,008	826,253	0	(26,253)	0	(26,253)	0	800,000	0	0	0	42,400	09/27/2013
74153W-BY-4	PRICOA GLOB FUND Corp Note Non Call Prv Plc 5.450% 06/11/14		11/15/2013	CitiGroup		1,028,310	1,000,000	1,077,300	1,068,193	0	(41,762)	0	(41,762)	0	1,026,431	0	1,879	1,879	51,321	06/11/2014
7425A0-A5-9	Principal Life Inc Fdg Note Non Call Prv Plc 5.250% 01/15/13		01/15/2013	Maturity		1,550,000	1,550,000	1,635,859	1,552,269	0	(2,269)	0	(2,269)	0	1,550,000	0	0	0	40,688	01/15/2013
78387G-AP-8	SBC Communications Inc Corp Note Cont Call 5.100% 09/15/14		11/14/2013	Credit Suisse		1,971,307	1,900,000	2,064,129	2,045,515	0	(75,200)	0	(75,200)	0	1,970,315	0	992	992	114,127	09/15/2014
790849-AG-8	St Jude Medical Corp Note MW 15BP 2.200% 09/15/13		09/15/2013	Maturity		750,000	750,000	763,388	758,007	0	(8,007)	0	(8,007)	0	750,000	0	0	0	16,500	09/15/2013
828807-CB-1	Simon Property Corp Note Call MW 50BP 6.750% 05/15/14		11/22/2013	CitiGroup		1,013,460	1,000,000	1,073,600	1,066,710	0	(53,736)	0	(53,736)	0	1,012,974	0	486	486	69,750	02/15/2014
882508-AS-3	Texas Instruments Corp Note Non Call 0.455% 05/15/13		05/15/2013	Maturity		2,520,000	2,520,000	2,520,000	2,520,000	0	0	0	0	0	2,520,000	0	0	0	6,084	05/15/2013
882508-AS-3	Texas Instruments Corp Note Non Call 0.455% 05/15/13		05/15/2013	Maturity		600,000	600,000	600,000	600,000	0	0	0	0	0	600,000	0	0	0	1,449	05/15/2013
89233P-6M-3	Toyota Motor Cr Corp Note Non Call 0.403% 01/27/14		11/22/2013	RBC Capital Markets		1,000,374	1,000,000	1,001,324	1,001,138	0	(960)	0	(960)	0	1,000,178	0	196	196	4,916	01/27/2014
91159H-GW-4	US Bank Corp Note Non Call 2.000% 06/14/13		06/14/2013	Maturity		2,000,000	2,000,000	2,038,670	2,013,277	0	(13,277)	0	(13,277)	0	2,000,000	0	0	0	20,000	06/14/2013
91159H-GY-0	US Bank Corp Note Cont Call 1.375% 09/13/13		08/13/2013	Call	100.0000	750,000	750,000	755,168	751,963	0	(1,963)	0	(1,963)	0	750,000	0	0	0	9,453	09/13/2013
913017-BW-8	United Tech Corp Note Non Call 0.509% 12/02/13		12/02/2013	Maturity		1,500,000	1,500,000	1,500,000	1,500,000	0	0	0	0	0	1,500,000	0	0	0	8,387	12/02/2013
918204-AU-2	VF Corp Note Call 1.012% 08/23/13		08/23/2013	Maturity		500,000	500,000	502,890	501,680	0	(1,680)	0	(1,680)	0	500,000	0	0	0	3,949	08/23/2013
92344S-AJ-9	Verizon Wireless Corp Note MW 75BP 7.375% 11/15/13		11/15/2013	Maturity		1,100,000	1,100,000	1,092,617	1,098,203	0	1,797	0	1,797	0	1,100,000	0	0	0	81,125	11/15/2013
92976W-BJ-4	Wachovia Bank Corp Note Non Call 5.500% 05/01/13		05/01/2013	Maturity		1,500,000	1,500,000	1,582,425	1,523,055	0	(23,055)	0	(23,055)	0	1,500,000	0	0	0	41,250	05/01/2013
92976W-BK-1	Wachovia Bank Corp Note Non Call 2.044% 05/01/13		05/01/2013	Maturity		400,000	400,000	410,252	401,879	0	(1,879)	0	(1,879)	0	400,000	0	0	0	4,175	05/01/2013
931422-AF-6	WALGREEN CO Corp Note Non Call 0.744% 03/13/14		11/14/2013	Mizuho Investor Sec Co		500,613	500,000	500,000	500,000	0	0	0	0	0	500,000	0	613	613	3,697	03/13/2014
15135U-AB-5	Genovus Energy Corp Note MW 35BP 4.500% 09/15/14	A.	09/16/2013	Call	103.9620	961,649	925,000	924,228	924,694	0	36,954	0	36,954	0	961,649	0	0	0	41,741	09/15/2014
89114Q-AE-8	TORONTO DOM BANK Corp Note Non Call 2.375% 10/19/16	A.	08/13/2013	Wachovia Bank		1,619,525	1,565,000	1,556,299	1,558,296	0	1,055	0	1,055	0	1,559,352	0	60,173	60,173	30,664	10/19/2016
24668P-AC-1	Delhaize Group Corp Note MW 50BP PPut 5.875% 02/01/14	F.	01/03/2013	Call	105.6034	264,009	250,000	250,843	250,217	0	13,791	0	13,791	0	264,009	0	0	0	6,201	02/01/2014
92857W-AS-9	VODAFONE GROUP Corp Note MW 30BP 5.450% 06/10/19	F.	08/14/2013	Merrill Lynch		562,554	500,000	498,705	499,088	0	79	0	79	0	499,164	0	63,389	63,389	18,848	06/10/2019
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					66,322,350	65,497,000	67,328,579	66,443,838	0	(619,349)	0	(619,349)	0	65,824,487	0	497,861	497,861	1,652,861	XXX
8399997	Total - Bonds - Part 4					335,858,598	323,920,357	341,695,119	332,493,026	0	(3,148,284)	0	(3,148,284)	0	329,344,758	0	6,513,852	6,513,852	8,798,111	XXX
8399998	Total - Bonds - Part 5					85,998,735	84,183,539	86,568,509	0	0	(638,936)	0	(638,936)	0	85,929,573	0	69,165	69,165	1,159,364	XXX

E14.19

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1 CUSIP Identi- fication	2 Description	3 For- eign	4 Disposal Date	5 Name of Purchaser	6 Number of Shares of Stock	7 Con- sideration	8 Par Value	9 Actual Cost	10 Prior Year Book/ Adjusted Carrying Value	Change In Book/Adjusted Carrying Value					16 Book/ Adjusted Carrying Value at Disposal Date	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Bond Interest/ Stock Dividends Received During Year	21 Stated Con- tractual Maturity Date
										11 Unrealized Valuation Increase/ Decrease	12 Current Year's (Amor- tization)/ Accretion	13 Current Year's Other- Than- Temporary Impairment Recognized	14 Total Change in Book/ Adjusted Carrying Value (11+12-13)	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value						
8399999. Total - Bonds						421,857,333	408,103,896	428,263,628	332,493,026	0	(3,787,220)	0	(3,787,220)	0	415,274,331	0	6,583,017	6,583,017	9,957,475	XXX
8999997. Total - Preferred Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
8999998. Total - Preferred Stocks - Part 5						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
8999999. Total - Preferred Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9799997. Total - Common Stocks - Part 4						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9799998. Total - Common Stocks - Part 5						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9799999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9999999 - Totals						421,857,333	XXX	428,263,628	332,493,026	0	(3,787,220)	0	(3,787,220)	0	415,274,331	0	6,583,017	6,583,017	9,957,475	XXX

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21	
											12	13	14	15	16						
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consideration	Book/ Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Valu (12 + 13 - 14)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends	
313382-LR-2	FHLB Note Call 0.250% 04/15/14		03/19/2013	Morgan Stanley	05/15/2013	Call	100.0000	2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	0	0	0	0	0
313383-GS-4	FHLB Note Call 0.350% 06/24/15		05/28/2013	Toronto Dominion Sec	11/24/2013	Call	100.0000	2,000,000	2,000,000	2,000,000	2,000,000	0	0	0	0	0	0	0	0	0	0
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		06/17/2013	Barclays Group Inc.	07/01/2013	Paydown	30.150	32,289	30,150	30,150	0	(2,139)	0	(2,139)	0	0	0	0	0	0	0
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		06/17/2013	Barclays Group Inc.	08/01/2013	Paydown	2.236	2,395	2,236	2,236	0	(159)	0	(159)	0	0	0	0	0	0	0
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		06/17/2013	Barclays Group Inc.	09/01/2013	Paydown	12.358	13,235	12,358	12,358	0	(877)	0	(877)	0	0	0	0	0	0	0
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		06/17/2013	Barclays Group Inc.	10/01/2013	Paydown	10.266	10,994	10,266	10,266	0	(728)	0	(728)	0	0	0	0	0	0	0
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		06/17/2013	Barclays Group Inc.	11/01/2013	Paydown	6.803	7,286	6,803	6,803	0	(483)	0	(483)	0	0	0	0	0	0	0
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		06/17/2013	Barclays Group Inc.	12/01/2013	Paydown	5.101	5,463	5,101	5,101	0	(362)	0	(362)	0	0	0	0	0	0	0
912828-KF-6	US Treasury Note 1.875% 02/28/14		01/02/2013	Goldman Sachs	11/14/2013	Merrill Lynch	2,000,000	2,038,438	2,010,234	2,009,662	0	(28,775)	0	(28,775)	0	0	572	572	572	45,373	12,949
912828-KJ-8	US Treasury Note 1.750% 03/31/14		01/22/2013	UBS Financial Services	11/14/2013	RBS Securities	2,000,000	2,036,641	2,012,188	2,011,570	0	(25,070)	0	(25,070)	0	0	617	617	617	39,423	11,058
912828-KY-5	US Treasury Note 2.625% 06/30/14		02/12/2013	Goldman Sachs	11/14/2013	Barclays Group Inc.	1,950,000	2,014,594	1,980,545	1,979,310	0	(35,284)	0	(35,284)	0	0	1,235	1,235	1,235	44,789	6,222
912828-KY-5	US Treasury Note 2.625% 06/30/14		04/01/2013	Goldman Sachs	11/14/2013	Barclays Group Inc.	3,900,000	4,017,609	3,961,090	3,959,036	0	(58,574)	0	(58,574)	0	0	2,054	2,054	2,054	89,578	26,018
912828-MH-0	US Treasury Note 2.250% 01/31/15		02/14/2013	Morgan Stanley	11/18/2013	Credit Suisse	1,950,000	2,025,105	1,998,674	1,996,000	0	(29,105)	0	(29,105)	0	0	2,674	2,674	2,674	35,172	1,818
912828-MH-0	US Treasury Note 2.375% 02/28/15		08/14/2013	Barclays Group Inc.	11/14/2013	Deutsche Bank	4,850,000	5,008,572	4,986,027	4,982,573	0	(25,999)	0	(25,999)	0	0	3,454	3,454	3,454	81,777	52,586
912828-MH-7	US Treasury Note 2.500% 03/31/15		04/12/2013	Goldman Sachs	11/18/2013	Merrill Lynch	1,900,000	1,984,164	1,959,301	1,958,581	0	(25,583)	0	(25,583)	0	0	720	720	720	30,275	1,947
912828-NP-1	US Treasury Note 1.750% 07/31/15		07/30/2013	Jefferys and Company	11/18/2013	Merrill Lynch	3,900,000	4,010,449	3,999,176	3,993,833	0	(16,616)	0	(16,616)	0	0	5,343	5,343	5,343	20,586	0
912828-RV-4	US Treasury Note 0.250% 12/15/14		08/29/2013	Morgan Stanley	11/18/2013	Merrill Lynch	1,000,000	1,000,430	1,001,133	1,000,356	0	(73)	0	(73)	0	0	777	777	777	1,072	519
912828-SE-1	US Treasury Note 0.250% 02/15/15		09/24/2013	Deutsche Bank	11/14/2013	Barclays Group Inc.	2,000,000	2,001,172	2,001,563	2,001,055	0	(117)	0	(117)	0	0	507	507	507	1,250	557
912828-SZ-4	US Treasury Note 0.375% 06/15/15		07/01/2013	Nomura Securities	11/14/2013	RBS Securities	2,000,000	2,000,313	2,004,531	2,000,253	0	(59)	0	(59)	0	0	4,278	4,278	4,278	3,135	348
912828-TD-2	US Treasury Note 0.250% 07/15/15		07/15/2013	Nomura Securities	11/18/2013	Merrill Lynch	2,000,000	1,995,469	2,000,391	1,996,243	0	775	0	775	0	0	4,147	4,147	4,147	1,726	14
912828-UD-0	US Treasury Note 0.125% 12/31/14		01/28/2013	Morgan Stanley	11/14/2013	Deutsche Bank	1,500,000	1,495,369	1,499,530	1,497,285	0	1,914	0	1,914	0	0	2,247	2,247	2,247	1,641	150
0599999	Subtotal - Bonds - U.S. Governments						35,016,914	35,699,967	35,481,297	35,452,671	0	(247,314)	0	(247,314)	0	0	28,625	28,625	28,625	399,723	114,328
6496J-RU-2	NEW YORK NY GO Cont Call 5.000% 10/01/22		07/25/2013	Goldman Sachs	09/17/2013	Piper Jaffray	5,000,000	5,711,750	5,714,600	5,701,139	0	(10,611)	0	(10,611)	0	0	13,461	13,461	13,461	117,361	82,639
2499999	Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						5,000,000	5,711,750	5,714,600	5,701,139	0	(10,611)	0	(10,611)	0	0	13,461	13,461	13,461	117,361	82,639
3134G4-2V-9	FHLB Note Call 0.320% 04/29/15		04/29/2013	Harris Nesbitt Corp	11/15/2013	Toronto Dominion Sec	1,100,000	1,099,989	1,100,141	1,099,992	0	3	0	3	0	0	149	149	149	1,946	10
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		06/11/2013	BNP Paribas Securities Corp	07/01/2013	Paydown	16.134	16,958	16,134	16,134	0	(824)	0	(824)	0	0	0	0	0	54	30
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		06/11/2013	BNP Paribas Securities Corp	08/01/2013	Paydown	12.003	12,616	12,003	12,003	0	(613)	0	(613)	0	0	0	0	0	80	23
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		06/11/2013	BNP Paribas Securities Corp	09/01/2013	Paydown	8.821	9,271	8,821	8,821	0	(451)	0	(451)	0	0	0	0	0	88	17
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		06/11/2013	BNP Paribas Securities Corp	10/01/2013	Paydown	5.011	5,267	5,011	5,011	0	(256)	0	(256)	0	0	0	0	0	67	9
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		06/11/2013	BNP Paribas Securities Corp	11/01/2013	Paydown	4.225	4,441	4,225	4,225	0	(216)	0	(216)	0	0	0	0	0	70	8
3138A2-BQ-1	FNMA Pool AH7007 MBS 4.000% 03/01/41		06/11/2013	BNP Paribas Securities Corp	12/01/2013	Paydown	5.302	5,573	5,302	5,302	0	(271)	0	(271)	0	0	0	0	0	106	10
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		06/12/2013	JP Morgan Chase	07/01/2013	Paydown	15.296	16,102	15,296	15,296	0	(805)	0	(805)	0	0	0	0	0	51	29
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		06/12/2013	JP Morgan Chase	08/01/2013	Paydown	12.286	12,933	12,286	12,286	0	(647)	0	(647)	0	0	0	0	0	82	23
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		06/12/2013	JP Morgan Chase	09/01/2013	Paydown	7.489	7,883	7,489	7,489	0	(394)	0	(394)	0	0	0	0	0	75	14
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		06/12/2013	JP Morgan Chase	10/01/2013	Paydown	6.613	6,961	6,613	6,613	0	(348)	0	(348)	0	0	0	0	0	88	12
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		06/12/2013	JP Morgan Chase	11/01/2013	Paydown	5.366	5,649	5,366	5,366	0	(283)	0	(283)	0	0	0	0	0	89	10
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		06/12/2013	JP Morgan Chase	12/01/2013	Paydown	4.051	4,264	4,051	4,051	0	(213)	0	(213)	0	0	0	0	0	81	8

E15

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21
											12	13	14	15	16					
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consideration	Book/ Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Valu (12 + 13 - 14)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends
3138AR-X4-1	FNMA Pool AJ0698 MBS 4.500% 09/01/41		11/20/2013	Nomura Securities	12/01/2013	Paydown	116,238	125,519	116,238	116,238	0	(9,281)	0	(9,281)	0	0	0	0	436	349
3138AW-EN-9	FNMA Pool AJ4640 MBS 4.000% 10/01/41		06/10/2013	JP Morgan Chase	07/01/2013	Paydown	10,597	11,185	10,597	10,597	0	(588)	0	(588)	0	0	0	0	35	20
3138AW-EN-9	FNMA Pool AJ4640 MBS 4.000% 10/01/41		06/10/2013	JP Morgan Chase	08/01/2013	Paydown	773	816	773	773	0	(43)	0	(43)	0	0	0	0	5	1
3138AW-EN-9	FNMA Pool AJ4640 MBS 4.000% 10/01/41		06/10/2013	JP Morgan Chase	09/01/2013	Paydown	10,211	10,778	10,211	10,211	0	(566)	0	(566)	0	0	0	0	102	19
3138AW-EN-9	FNMA Pool AJ4640 MBS 4.000% 10/01/41		06/10/2013	JP Morgan Chase	10/01/2013	Paydown	1,099	1,160	1,099	1,099	0	(61)	0	(61)	0	0	0	0	15	2
3138AW-EN-9	FNMA Pool AJ4640 MBS 4.000% 10/01/41		06/10/2013	JP Morgan Chase	11/01/2013	Paydown	5,529	5,836	5,529	5,529	0	(307)	0	(307)	0	0	0	0	92	10
3138AW-EN-9	FNMA Pool AJ4640 MBS 4.000% 10/01/41		06/10/2013	JP Morgan Chase	12/01/2013	Paydown	836	883	836	836	0	(46)	0	(46)	0	0	0	0	17	2
3138AX-BX-8	FNMA Pool AJ5453 MBS 4.500% 11/01/41		11/20/2013	Nomura Securities	12/01/2013	Paydown	51,865	56,006	51,865	51,865	0	(4,141)	0	(4,141)	0	0	0	0	194	156
3138E0-RK-7	FNMA Pool AJ7689 MBS 4.000% 12/01/41		06/12/2013	JP Morgan Chase	07/01/2013	Paydown	15,536	16,364	15,536	15,536	0	(828)	0	(828)	0	0	0	0	52	29
3138E0-RK-7	FNMA Pool AJ7689 MBS 4.000% 12/01/41		06/12/2013	JP Morgan Chase	08/01/2013	Paydown	10,202	10,745	10,202	10,202	0	(544)	0	(544)	0	0	0	0	68	19
3138E0-RK-7	FNMA Pool AJ7689 MBS 4.000% 12/01/41		06/12/2013	JP Morgan Chase	09/01/2013	Paydown	5,612	5,911	5,612	5,612	0	(299)	0	(299)	0	0	0	0	56	11
3138E0-RK-7	FNMA Pool AJ7689 MBS 4.000% 12/01/41		06/12/2013	JP Morgan Chase	10/01/2013	Paydown	4,330	4,561	4,330	4,330	0	(231)	0	(231)	0	0	0	0	58	8
3138E0-RK-7	FNMA Pool AJ7689 MBS 4.000% 12/01/41		06/12/2013	JP Morgan Chase	11/01/2013	Paydown	5,534	5,829	5,534	5,534	0	(295)	0	(295)	0	0	0	0	92	10
3138E0-RK-7	FNMA Pool AJ7689 MBS 4.000% 12/01/41		06/12/2013	JP Morgan Chase	12/01/2013	Paydown	3,011	3,171	3,011	3,011	0	(160)	0	(160)	0	0	0	0	60	6
3138EH-FB-3	FNMA Pool AL1061 MBS 4.000% 02/01/41		06/13/2013	Jefferys and Company	07/01/2013	Paydown	35,775	37,665	35,775	35,775	0	(1,889)	0	(1,889)	0	0	0	0	119	68
3138EH-FB-3	FNMA Pool AL1061 MBS 4.000% 02/01/41		06/13/2013	Jefferys and Company	08/01/2013	Paydown	27,569	29,025	27,569	27,569	0	(1,456)	0	(1,456)	0	0	0	0	184	52
3138EH-FB-3	FNMA Pool AL1061 MBS 4.000% 02/01/41		06/13/2013	Jefferys and Company	09/01/2013	Paydown	17,692	18,626	17,692	17,692	0	(934)	0	(934)	0	0	0	0	177	33
3138EH-FB-3	FNMA Pool AL1061 MBS 4.000% 02/01/41		06/13/2013	Jefferys and Company	10/01/2013	Paydown	10,718	11,284	10,718	10,718	0	(566)	0	(566)	0	0	0	0	143	20
3138EH-FB-3	FNMA Pool AL1061 MBS 4.000% 02/01/41		06/13/2013	Jefferys and Company	11/01/2013	Paydown	10,578	11,136	10,578	10,578	0	(559)	0	(559)	0	0	0	0	176	20
3138EH-FB-3	FNMA Pool AL1061 MBS 4.000% 02/01/41		06/13/2013	Jefferys and Company	12/01/2013	Paydown	11,716	12,334	11,716	11,716	0	(619)	0	(619)	0	0	0	0	234	22
3138EJ-LA-4	FNMA Pool AL2120 MBS 4.000% 02/01/41		06/11/2013	JP Morgan Chase	07/01/2013	Paydown	25,214	26,569	25,214	25,214	0	(1,355)	0	(1,355)	0	0	0	0	84	48
3138EJ-LA-4	FNMA Pool AL2120 MBS 4.000% 02/01/41		06/11/2013	JP Morgan Chase	08/01/2013	Paydown	19,586	20,638	19,586	19,586	0	(1,053)	0	(1,053)	0	0	0	0	131	37
3138EJ-LA-4	FNMA Pool AL2120 MBS 4.000% 02/01/41		06/11/2013	JP Morgan Chase	09/01/2013	Paydown	14,906	15,707	14,906	14,906	0	(801)	0	(801)	0	0	0	0	149	28
3138EJ-LA-4	FNMA Pool AL2120 MBS 4.000% 02/01/41		06/11/2013	JP Morgan Chase	10/01/2013	Paydown	9,301	9,801	9,301	9,301	0	(500)	0	(500)	0	0	0	0	124	18
3138EJ-LA-4	FNMA Pool AL2120 MBS 4.000% 02/01/41		06/11/2013	JP Morgan Chase	11/01/2013	Paydown	8,452	8,907	8,452	8,452	0	(454)	0	(454)	0	0	0	0	141	16
3138EJ-LA-4	FNMA Pool AL2120 MBS 4.000% 02/01/41		06/11/2013	JP Morgan Chase	12/01/2013	Paydown	8,006	8,436	8,006	8,006	0	(430)	0	(430)	0	0	0	0	160	15
3138EK-AB-8	FNMA Pool AL2730 MBS 5.000% 07/01/41		11/20/2013	Nomura Securities	12/01/2013	Paydown	8,700	9,580	8,700	8,700	0	(880)	0	(880)	0	0	0	0	36	29
3138EK-BW-4	FNMA Pool AL2752 MBS 5.000% 03/01/42		11/21/2013	Nomura Securities	12/01/2013	Paydown	26,666	29,308	26,666	26,666	0	(2,642)	0	(2,642)	0	0	0	0	111	93
3138W3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		06/06/2013	Wachovia Bank	07/01/2013	Paydown	9,462	9,827	9,462	9,462	0	(365)	0	(365)	0	0	0	0	28	16

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21	
											12	13	14	15	16						
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consideration	Book/ Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Valu (12 + 13 - 14)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends	
3138W3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		06/06/2013	Wachovia Bank	08/01/2013	Paydown	7,991	8,299	7,991	7,991	0	(308)	0	(308)	0	0	0	0	0	47	13
3138W3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		06/06/2013	Wachovia Bank	09/01/2013	Paydown	8,699	9,034	8,699	8,699	0	(336)	0	(336)	0	0	0	0	0	76	14
3138W3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		06/06/2013	Wachovia Bank	10/01/2013	Paydown	7,844	8,147	7,844	7,844	0	(303)	0	(303)	0	0	0	0	0	92	13
3138W3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		06/06/2013	Wachovia Bank	11/01/2013	Paydown	7,898	8,203	7,898	7,898	0	(305)	0	(305)	0	0	0	0	0	115	13
3138W3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		06/06/2013	Wachovia Bank	12/01/2013	Paydown	7,828	8,128	7,824	7,828	0	(302)	0	(302)	0	0	0	0	0	137	13
3199999. Subtotal - Bonds - U.S. Special Revenues							1,728,571	1,767,325	1,728,708	1,728,563	0	(38,765)	0	(38,765)	0	0	149	149	149	6,623	1,426
0258M0-DF-3	AMER EXPRESS CR Corp Note Non Call 1.342% 06/12/15		08/19/2013	UBS Financial Services	11/14/2013	HSBC Securities Inc	2,000,000	2,029,292	2,029,116	2,025,354	0	(3,938)	0	(3,938)	0	0	3,762	3,762	12,151	5,420	
0266Q3-M3-4	AMER HONDA FIN Corp Note Non Call Prv Plc 0.339% 11/13/14		05/07/2013	CitiGroup	11/18/2013	Goldman Sachs	1,500,000	1,500,000	1,501,515	1,500,000	0	0	0	0	0	0	1,515	1,515	2,935	0	
05523U-AG-5	BAE Systems Holding Corp Note MW 40BP Prv Plc 4.950% 06/01/14		04/09/2013	Barclays Group Inc.	11/14/2013	Jefferys and Company	200,000	209,133	204,634	204,295	0	(4,838)	0	(4,838)	0	0	339	339	9,570	3,603	
05523U-AG-5	BAE Systems Holding Corp Note MW 40BP Prv Plc 4.950% 06/01/14		04/29/2013	Pershing	11/14/2013	Jefferys and Company	50,000	52,205	51,159	51,084	0	(1,121)	0	(1,121)	0	0	74	74	2,393	1,024	
06406H-BN-8	BANK OF NY MELLO Corp Note Non Call 3.100% 01/15/15		08/27/2013	US Bancorp	11/14/2013	US Bancorp	950,000	982,414	979,146	977,243	0	(5,171)	0	(5,171)	0	0	1,903	1,903	10,144	3,681	
071813-BK-4	Baxter Intl Inc Corp Note Non Call 0.413% 12/11/14		06/04/2013	CitiGroup	11/21/2013	Loop Capital Markets	300,000	300,000	300,425	300,000	0	0	0	0	0	0	425	425	611	0	
071813-BK-4	Baxter Intl Inc Corp Note Non Call 0.413% 12/11/14		09/09/2013	Cantor Fitzgerald	11/21/2013	Loop Capital Markets	700,000	700,700	700,993	700,585	0	(115)	0	(115)	0	0	408	408	629	8	
084670-AV-0	Berkshire Hathaway Corp Note Non Call 3.200% 02/11/15		10/01/2013	Merrill Lynch	11/14/2013	US Bancorp	900,000	932,580	930,987	929,582	0	(2,998)	0	(2,998)	0	0	1,405	1,405	7,840	4,240	
09247X-AK-7	BlackRock Inc Corp Note MW 15BP 1.375% 06/01/15		05/30/2013	Wachovia Bank	11/21/2013	CitiGroup	400,000	406,248	405,708	404,755	0	(1,493)	0	(1,493)	0	0	953	953	2,674	46	
09247X-AK-7	BlackRock Inc Corp Note MW 15BP 1.375% 06/01/15		06/04/2013	Wachovia Bank	11/21/2013	CitiGroup	300,000	304,656	304,281	303,558	0	(1,098)	0	(1,098)	0	0	723	723	2,005	69	
10112R-AF-1	Boston Prop Corp Note Cont Call 5.625% 04/15/15		06/18/2013	Janney Montgomery, Scott	11/20/2013	Barclays Group Inc.	400,000	433,384	426,564	425,549	0	(7,835)	0	(7,835)	0	0	1,015	1,015	13,750	4,125	
17275R-AG-7	Cisco Systems Inc Corp Note MW 10BP 2.900% 11/17/14		09/10/2013	Cantor Fitzgerald	11/18/2013	Morgan Stanley	1,000,000	1,027,930	1,026,180	1,023,532	0	(4,398)	0	(4,398)	0	0	2,648	2,648	14,742	9,344	
24422E-RP-6	JOHN DEERE CAP Corp Note Non Call 0.413% 06/16/14		01/15/2013	Mizuho Investor Sec Co	11/18/2013	Credit Suisse	1,000,000	1,001,810	1,001,080	1,000,733	0	(1,077)	0	(1,077)	0	0	347	347	4,233	425	
25468P-CQ-7	Disney Corp Note MW 10BP 0.875% 12/01/14		03/21/2013	Morgan Stanley	11/21/2013	CitiGroup	900,000	907,263	905,400	904,358	0	(2,905)	0	(2,905)	0	0	1,042	1,042	7,766	2,428	
263534-CH-0	Dupont E I De Nemours Corp Note Non Call PPut 0.666% 03/25/14		02/21/2013	Mizuho Investor Sec Co	11/22/2013	Barclays Group Inc.	1,570,000	1,577,301	1,572,412	1,572,200	0	(5,101)	0	(5,101)	0	0	212	212	10,280	1,974	
26442E-AC-4	DUKE ENERGY OHIO Corp Note Non Call 0.382% 03/06/15		09/03/2013	Barclays Group Inc.	11/18/2013	Mizuho Investor Sec Co	508,000	508,000	508,489	508,000	0	0	0	0	0	0	489	489	428	0	
278058-DJ-8	Eaton Corporation Corp Note Non Call 0.573% 06/16/14		05/15/2013	RBC Capital Markets	11/19/2013	Mizuho Investor Sec Co	1,000,000	1,002,463	1,001,400	1,001,295	0	(1,167)	0	(1,167)	0	0	105	105	4,155	1,068	
35671D-BE-4	FREEMPORT-MC C&G Corp Note MW 25BP Prv Plc 2.375% 03/15/18		02/28/2013	Merrill Lynch	10/10/2013	Tax Free Exchange	1,095,000	1,094,891	1,094,906	1,094,906	0	15	0	15	0	0	0	0	15,387	0	
36162W-AB-3	GE Equip GEET 2013-1 A2 ABS 0.500% 11/24/15		03/12/2013	Merrill Lynch	12/24/2013	Paydown	88,692	88,689	88,692	88,692	0	4	0	4	0	0	0	0	338	0	
36962G-6T-6	GEN ELEC CAP CRP Corp Note Non Call 0.623% 01/09/15		01/07/2013	RBS Securities	11/18/2013	Barclays Group Inc.	1,000,000	1,000,000	1,003,453	1,000,000	0	0	0	0	0	0	3,453	3,453	5,748	0	
36962G-P6-5	GEN ELEC CAP CRP Corp Note Non Call 4.875% 03/04/15		05/15/2013	CitiGroup	11/18/2013	Pershing	1,000,000	1,075,810	1,055,920	1,054,635	0	(21,175)	0	(21,175)	0	0	1,285	1,285	34,667	10,292	
375558-AR-4	Gilead Sciences Corp Note MW 35BP PPut 2.400% 12/01/14		05/30/2013	Mizuho Investor Sec Co	11/19/2013	Merrill Lynch	600,000	615,516	611,316	610,674	0	(4,843)	0	(4,843)	0	0	643	643	6,840	120	
459200-HB-0	IBM CORP Corp Note MW 10BP 0.550% 02/06/15		05/17/2013	RBC Capital Markets	11/22/2013	Wachovia Bank	1,000,000	1,002,818	1,002,770	1,001,973	0	(845)	0	(845)	0	0	797	797	4,446	1,619	

E15.2

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21	
											12	13	14	15	16						
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consideration	Book/ Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Valu (12 + 13 - 14)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends	
46640B-AC-8	JP Morgan Chase JPMIT 2013-2 A2 CMO 3.500% 05/25/43		05/29/2013	JP Morgan Chase	06/01/2013	Paydown	6,440	6,522	6,440	6,440	0	(82)	0	(82)	0	0	0	0	0	19	19
46640B-AC-8	JP Morgan Chase JPMIT 2013-2 A2 CMO 3.500% 05/25/43		05/29/2013	JP Morgan Chase	07/01/2013	Paydown	9,322	9,440	9,322	9,322	0	(118)	0	(118)	0	0	0	0	0	54	27
46640B-AC-8	JP Morgan Chase JPMIT 2013-2 A2 CMO 3.500% 05/25/43		05/29/2013	JP Morgan Chase	08/01/2013	Paydown	4,203	4,256	4,203	4,203	0	(53)	0	(53)	0	0	0	0	0	37	12
46640B-AC-8	JP Morgan Chase JPMIT 2013-2 A2 CMO 3.500% 05/25/43		05/29/2013	JP Morgan Chase	09/01/2013	Paydown	1,982	2,007	1,982	1,982	0	(25)	0	(25)	0	0	0	0	0	23	6
46640B-AC-8	JP Morgan Chase JPMIT 2013-2 A2 CMO 3.500% 05/25/43		05/29/2013	JP Morgan Chase	10/01/2013	Paydown	2,490	2,522	2,490	2,490	0	(32)	0	(32)	0	0	0	0	0	36	7
46640B-AC-8	JP Morgan Chase JPMIT 2013-2 A2 CMO 3.500% 05/25/43		05/29/2013	JP Morgan Chase	11/01/2013	Paydown	597	605	597	597	0	(8)	0	(8)	0	0	0	0	0	10	2
46640B-AC-8	JP Morgan Chase JPMIT 2013-2 A2 CMO 3.500% 05/25/43		05/29/2013	JP Morgan Chase	12/01/2013	Paydown	970	983	970	970	0	(12)	0	(12)	0	0	0	0	0	20	3
46640B-AC-8	JP Morgan Chase JPMIT 2013-2 A2 CMO 3.500% 05/25/43		05/29/2013	JP Morgan Chase	12/16/2013	JP Morgan Chase	308,995	312,905	297,810	312,707	0	(199)	0	(199)	0	0	(14,896)	(14,896)	(14,896)	6,849	901
46849L-SF-9	JACKSON NAT LIFE Corp Note Non Call Prv Plc 0.597% 09/30/15		09/25/2013	UBS Financial Services	11/14/2013	Wachovia Bank	1,100,000	1,100,000	1,103,570	1,100,000	0	0	0	0	0	0	3,570	3,570	3,570	914	0
493268-BY-1	Keycorp Student Loan Tr KSLT 2004-A 2A2 ABS 0.538% 10/28/41		03/15/2013	JP Morgan Chase	04/29/2013	Paydown	18,841	18,644	18,841	18,841	0	198	0	198	0	0	0	0	0	29	16
493268-BY-1	Keycorp Student Loan Tr KSLT 2004-A 2A2 ABS 0.538% 10/28/41		03/15/2013	JP Morgan Chase	07/29/2013	Paydown	18,512	18,317	18,512	18,512	0	194	0	194	0	0	0	0	0	55	16
493268-BY-1	Keycorp Student Loan Tr KSLT 2004-A 2A2 ABS 0.538% 10/28/41		03/15/2013	JP Morgan Chase	10/28/2013	Paydown	17,592	17,408	17,592	17,592	0	185	0	185	0	0	0	0	0	77	15
585055-AR-7	MEDTRONIC INC Corp Note MW 10BP 3.000% 03/15/15		04/23/2013	Mizuho Investor Sec Co	11/15/2013	Bank of New York	700,000	733,271	724,031	723,274	0	(9,997)	0	(9,997)	0	0	757	757	757	14,292	2,392
585055-AR-7	MEDTRONIC INC Corp Note MW 10BP 3.000% 03/15/15		05/10/2013	Mizuho Investor Sec Co	11/15/2013	Bank of New York	1,000,000	1,045,880	1,034,330	1,033,016	0	(12,864)	0	(12,864)	0	0	1,314	1,314	1,314	20,417	5,000
585055-AR-7	MEDTRONIC INC Corp Note MW 10BP 3.000% 03/15/15		07/17/2013	KEYBANC CAP/ McDonald	11/15/2013	Bank of New York	600,000	623,688	620,598	618,967	0	(4,721)	0	(4,721)	0	0	1,631	1,631	1,631	12,250	6,350
595620-AD-7	MidAmerican Energy Corp Note Cont Call 4.650% 10/01/14		05/21/2013	Mizuho Investor Sec Co	11/22/2013	KEYBANC CAP/ McDonald	450,000	475,722	466,115	466,053	0	(9,669)	0	(9,669)	0	0	62	62	62	13,718	3,081
595620-AD-7	MidAmerican Energy Corp Note Cont Call 4.650% 10/01/14		05/21/2013	CitiGroup	11/22/2013	KEYBANC CAP/ McDonald	450,000	475,848	466,115	466,131	0	(9,717)	0	(9,717)	0	0	(16)	(16)	(16)	13,718	3,081
63946B-AA-8	NBC Universal Corp Note MW 25BP 2.100% 04/01/14		02/14/2013	Barclays Group Inc.	11/20/2013	HSBC Securities Inc	550,000	559,113	553,410	552,860	0	(6,253)	0	(6,253)	0	0	550	550	550	13,283	4,460
64952W-BE-2	NEW YORK LIFE GL Corp Note Non Call Prv Plc 1.300% 01/12/15		10/03/2013	Cantor Fitzgerald	11/18/2013	Wachovia Bank	2,000,000	2,020,816	2,021,540	2,018,891	0	(1,925)	0	(1,925)	0	0	2,649	2,649	2,649	9,244	6,211
67021C-AB-3	NSTAR Corp Note MW 12.5BP 4.875% 04/15/14		04/11/2013	KEYBANC CAP/ McDonald	11/25/2013	Stifel Nicolaus & Co.	950,000	991,325	966,169	965,844	0	(25,481)	0	(25,481)	0	0	325	325	325	28,559	129
693476-BH-5	PNC Funding Corp Note Non Call 3.625% 02/08/15		03/08/2013	KEYBANC CAP/ McDonald	11/18/2013	Pershing	1,900,000	2,006,419	1,968,495	1,968,234	0	(38,185)	0	(38,185)	0	0	261	261	261	53,952	6,696
74153W-BY-4	PRICOA GLOB FUND Corp Note Non Call Prv Plc 5.450% 06/11/14		03/14/2013	Morgan Stanley	11/15/2013	CitiGroup	1,000,000	1,060,990	1,028,310	1,027,731	0	(33,259)	0	(33,259)	0	0	579	579	579	51,321	14,836
74256L-AE-9	PRINCIPAL LFE II Corp Note Prv Plc 0.404% 09/19/14		03/12/2013	UBS Financial Services	11/14/2013	Mizuho Investor Sec Co	800,000	800,000	801,001	800,000	0	0	0	0	0	0	1,001	1,001	1,001	2,344	0
74256L-AE-9	PRINCIPAL LFE II Corp Note Prv Plc 0.404% 09/19/14		07/31/2013	Jefferys and Company	11/14/2013	Mizuho Investor Sec Co	1,800,000	1,801,800	1,802,252	1,801,323	0	(477)	0	(477)	0	0	929	929	929	3,249	931
742718-DU-0	PROCTER & GAMBLE Corp Note MW 10BP 0.700% 08/15/14		05/21/2013	Mizuho Investor Sec Co	11/18/2013	Morgan Stanley	2,000,000	2,010,540	2,006,640	2,006,340	0	(4,200)	0	(4,200)	0	0	300	300	300	10,694	3,850
80283D-AB-7	Santander Drive Auto SDART 2013-2 A2 ABS 0.470% 03/15/16		03/06/2013	Barclays Group Inc.	08/15/2013	Paydown	29,925	29,922	29,925	29,925	0	2	0	2	0	0	0	0	0	59	0
80283D-AB-7	Santander Drive Auto SDART 2013-2 A2 ABS 0.470% 03/15/16		03/06/2013	Barclays Group Inc.	09/15/2013	Paydown	192,610	192,594	192,610	192,610	0	16	0	16	0	0	0	0	0	458	0
80283D-AB-7	Santander Drive Auto SDART 2013-2 A2 ABS 0.470% 03/15/16		03/06/2013	Barclays Group Inc.	10/15/2013	Paydown	123,775	123,765	123,775	123,775	0	10	0	10	0	0	0	0	0	343	0
80283D-AB-7	Santander Drive Auto SDART 2013-2 A2 ABS 0.470% 03/15/16		03/06/2013	Barclays Group Inc.	11/15/2013	Paydown	124,997	124,987	124,997	124,997	0	10	0	10	0	0	0	0	0	395	0

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21	
											12	13	14	15	16						
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consideration	Book/Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Valu (12 + 13 - 14)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends	
80283D-AB-7	Santander Drive Auto SDART 2013-2 A2 ABS 0.470% 03/15/16		03/06/2013	Barclays Group Inc.	12/15/2013	Paydown	115,111	115,102	115,111	115,111	0	9	0	9	0	0	0	0	0	409	0
828807-CB-1	Simon Property Corp Note Call MW 50BP 6.750% 05/15/14		02/14/2013	Norwest Investment Services	11/22/2013	CitiGroup	900,000	953,325	912,114	911,775	0	(41,550)	0	(41,550)	0	0	339	339	62,775	16,031	
87612E-AX-4	Target Corp Note Non Call 0.416% 07/18/14		02/11/2013	Mizuho Investor Sec Co	11/22/2013	Mizuho Investor Sec Co	1,000,000	1,002,530	1,001,153	1,001,137	0	(1,393)	0	(1,393)	0	0	16	16	3,890	355	
913017-BH-1	United Tech Corp Note Cont Call 4.875% 05/01/15		10/04/2013	Mizuho Investor Sec Co	11/21/2013	Wachovia Bank	750,000	800,993	797,828	796,755	0	(4,237)	0	(4,237)	0	0	1,072	1,072	20,820	16,047	
92343V-AZ-7	VERIZON COMM INC Corp Note Non Call 0.857% 03/28/14		02/12/2013	RBC Capital Markets	11/20/2013	Stifel Nicolaus & Co.	880,000	885,940	881,628	881,860	0	(4,080)	0	(4,080)	0	0	(232)	(232)	7,159	1,102	
92343V-AZ-7	VERIZON COMM INC Corp Note Non Call 0.857% 03/28/14		02/12/2013	Mizuho Investor Sec Co	11/20/2013	Stifel Nicolaus & Co.	981,000	987,622	982,815	983,073	0	(4,548)	0	(4,548)	0	0	(259)	(259)	7,981	1,228	
92343V-AZ-7	VERIZON COMM INC Corp Note Non Call 0.857% 03/28/14		02/12/2013	Mizuho Investor Sec Co	11/20/2013	Morgan Stanley	139,000	139,938	139,234	139,285	0	(654)	0	(654)	0	0	(50)	(50)	1,144	174	
92553P-AE-2	Viacom Inc Corp Note MW 35BP 4.375% 09/15/14		08/12/2013	Wachovia Bank	11/20/2013	Merrill Lynch	42,000	43,564	43,246	43,162	0	(402)	0	(402)	0	0	84	84	1,276	766	
92553P-AE-2	Viacom Inc Corp Note MW 35BP 4.375% 09/15/14		08/12/2013	Mizuho Investor Sec Co	11/20/2013	Merrill Lynch	408,000	423,255	420,105	419,332	0	(3,923)	0	(3,923)	0	0	773	773	12,396	7,438	
94974B-ET-3	WELLS FARGO CO Corp Note Non Call 3.750% 10/01/14		01/08/2013	BNP Paribar Securities Corp	11/18/2013	BNP Paribar Securities Corp	1,000,000	1,055,550	1,029,240	1,027,884	0	(27,666)	0	(27,666)	0	0	1,356	1,356	42,604	10,417	
94974B-ET-3	WELLS FARGO CO Corp Note Non Call 3.750% 10/01/14		01/15/2013	Castleoak Securities	11/18/2013	BNP Paribar Securities Corp	900,000	949,670	926,316	925,214	0	(24,456)	0	(24,456)	0	0	1,102	1,102	38,344	10,031	
94974B-FA-3	WELLS FARGO CO Corp Note Non Call 1.250% 02/13/15		05/01/2013	Wachovia Bank	11/14/2013	CitiGroup	700,000	708,566	706,152	705,982	0	(2,585)	0	(2,585)	0	0	170	170	6,708	2,017	
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)							42,438,054	43,389,447	43,074,130	43,047,200	0	(342,246)	0	(342,246)	0	0	26,930	26,930	635,657	173,133
8399998	Total - Bonds							84,183,539	86,568,509	85,998,735	85,929,573	0	(638,936)	0	(638,936)	0	0	69,165	69,165	1,159,364	371,526
8999998	Total - Preferred Stocks							0	0	0	0	0	0	0	0	0	0	0	0	0	0
9799998	Total - Common Stocks							0	0	0	0	0	0	0	0	0	0	0	0	0	0
9899999	Total - Preferred and Common Stocks							0	0	0	0	0	0	0	0	0	0	0	0	0	0
9999999	Totals							86,568,509	86,568,509	85,998,735	85,929,573	0	(638,936)	0	(638,936)	0	0	69,165	69,165	1,159,364	371,526

Schedule D-Part 6-Section 1-Valuation of Shares of Subsidiary, Controlled or Affiliated Companies

N O N E

Schedule D - Part 6 - Section 2

N O N E

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE DA - PART 1

Showing All SHORT-TERM INVESTMENTS Owned December 31 of Current Year

1	2	Codes		5	6	7	8	Change in Book/Adjusted Carrying Value				13	14	Interest						21
		3	4					9	10	11	12			15	16	17	18	19	20	
CUSIP Identification	Description	Code	Foreign	Date Acquired	Name of Vendor	Maturity Date	Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Par Value	Actual Cost	Amount Due and Accrued Dec. 31 of Current Year on Bonds not in Default	Non-Admitted Due and Accrued	Rate of	Effective Rate of	When Paid	Amount Received During Year	Paid for Accrued Interest
0599999	Total - U.S. Government Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
1099999	Total - All Other Government Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
1799999	Total - U.S. States, Territories and Possessions Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
	DALLAS TX GO Non Call			07/03/2013	Tax Free Exchange	02/15/2014	3,011,123	0	(44,844)	0	0	3,000,000	3,055,967	56,667	0	5.000	1.938	FA	75,000	0
1899999	Subtotal - Bonds - U.S. Political Subdivisions - Issuer Obligations						3,011,123	0	(44,844)	0	0	3,000,000	3,055,967	56,667	0	XXX	XXX	XXX	75,000	0
2499999	Total - U.S. Political Subdivisions Bonds						3,011,123	0	(44,844)	0	0	3,000,000	3,055,967	56,667	0	XXX	XXX	XXX	75,000	0
	FNMA Note Non Call			09/20/2013	Barclays Group Inc.	08/28/2014	2,009,403	0	(3,853)	0	0	2,000,000	2,013,257	5,979	0	0.875	0.158	FA	0	1,215
2599999	Subtotal - Bonds - U.S. Special Revenues - Issuer Obligations						2,009,403	0	(3,853)	0	0	2,000,000	2,013,257	5,979	0	XXX	XXX	XXX	0	1,215
3199999	Total - U.S. Special Revenues Bonds						2,009,403	0	(3,853)	0	0	2,000,000	2,013,257	5,979	0	XXX	XXX	XXX	0	1,215
	Coca Cola Disc Comm Paper	E		09/06/2013	Goldman Sachs	04/23/2014	1,499,066	0	950	0	0	1,500,000	1,498,117	0	0	0.200	0.203	MAT	0	0
	Duke Energy Corp Note MW 25BP			09/18/2013	KEYBANC CAP/ McDonald	09/15/2014	844,452	0	(7,641)	0	0	825,000	852,093	9,595	0	3.950	0.577	MS	0	724
	ECOLAB INC Corp Note MW PPut			12/23/2013	Cantor Fitzgerald	12/08/2014	355,657	0	(93)	0	0	350,000	355,749	531	0	2.375	0.636	JD	0	426
	EXELON GENERATIO Note - Non Call			07/09/2013	Merrill Lynch	01/15/2014	400,703	0	(8,685)	0	0	400,000	409,388	9,868	0	5.350	0.716	JJ	10,700	10,522
	Glaxo SmithKline Cap Inc Corp Note Non Call			04/25/2013	Credit Suisse	04/15/2014	1,011,642	0	(27,379)	0	0	1,000,000	1,039,020	9,236	0	4.375	0.294	AO	21,875	1,823
	GOLDMAN SACHS GP Corp Note Non Call	E		07/29/2013	KEYBANC CAP/ McDonald	01/15/2014	250,440	0	(4,808)	0	0	250,000	255,248	5,937	0	5.150	0.530	JJ	0	572
	HSBC Bank Corp Note Non Call			10/02/2013	UBS Financial Services	04/01/2014	1,010,130	0	(9,680)	0	0	1,000,000	1,019,810	11,563	0	4.625	0.516	AO	0	771
	JOHNSON CONTROLS Corp Note MW 10BP			05/09/2013	BNP Paribas Securities Corp	03/01/2014	400,832	0	(3,236)	0	0	400,000	404,068	2,333	0	1.750	0.470	MS	3,500	1,419
	Kraft Foods Inc Corp Note Non Call PPut			03/05/2013	Barclays Group Inc.	02/19/2014	403,243	0	(19,791)	0	0	400,000	423,034	9,900	0	6.750	0.642	FA	13,500	1,425
	NSTAR Corp Note MW 12.5BP			05/01/2013	Mizuho Investor Sec Co	04/15/2014	962,043	0	(27,959)	0	0	950,000	990,002	9,777	0	4.875	0.429	AO	23,156	2,316
	PEPSI/AMERICAS Corp Note MW	E		07/25/2013	KEYBANC CAP/ McDonald	02/15/2014	1,004,726	0	(16,204)	0	0	1,000,000	1,020,930	16,528	0	4.375	0.501	FA	21,875	20,052
	Progress Energy Inc Corp Note MW 50BP			04/12/2013	Cantor Fitzgerald	03/15/2014	454,892	0	(17,180)	0	0	450,000	472,073	8,016	0	6.050	0.641	MS	13,613	2,420
	Public Service Colo Corp Note MW 20BP			05/23/2013	US Bancorp	04/01/2014	1,012,756	0	(30,494)	0	0	1,000,000	1,043,250	13,750	0	5.500	0.332	AO	27,500	8,861
	SEMPRA ENERGY Corp Note MW			08/22/2013	Mizuho Investor Sec Co	03/15/2014	401,124	0	(1,940)	0	0	400,000	403,064	2,356	0	2.000	0.603	MS	4,000	3,600
	Texas Instruments Corp Note MW 7.5BP			05/21/2013	Merrill Lynch	05/15/2014	1,004,148	0	(6,772)	0	0	1,000,000	1,010,920	1,757	0	1.375	0.253	MN	6,875	344
	US Bank Corp Note Non Call			08/07/2013	Jefferys and Company	05/15/2014	785,087	0	(11,614)	0	0	774,000	796,701	4,154	0	4.200	0.324	MN	16,254	7,856
	UHC Liquidity Pool Pro Rata Share Portion			12/31/2013	No Broker	12/31/2014	15,183,558	0	0	0	0	0	15,183,558	0	0	0.000	0.000		1,661	0
3299999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						26,984,499	0	(192,526)	0	0	11,699,000	27,177,025	115,301	0	XXX	XXX	XXX	164,509	63,131
3899999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds						26,984,499	0	(192,526)	0	0	11,699,000	27,177,025	115,301	0	XXX	XXX	XXX	164,509	63,131
4899999	Total - Hybrid Securities						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
5599999	Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
7799999	Total - Issuer Obligations						32,005,025	0	(241,223)	0	0	16,699,000	32,246,249	177,947	0	XXX	XXX	XXX	239,509	64,346
7899999	Total - Residential Mortgage-Backed Securities						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
7999999	Total - Commercial Mortgage-Backed Securities						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
8099999	Total - Other Loan-Backed and Structured Securities						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
8399999	Total Bonds						32,005,025	0	(241,223)	0	0	16,699,000	32,246,249	177,947	0	XXX	XXX	XXX	239,509	64,346
8699999	Total - Parent, Subsidiaries and Affiliates						0	0	0	0	0	XXX	0	0	0	XXX	XXX	XXX	0	0
609068-DF-5	Federated Treas Olig Fund 60934N500			12/03/2013	No Broker	XXX	13,563	0	0	0	0	0	13,563	0	0	0.000	0.000		0	0
61747C-70-7	Morgan Stanley Institutional Liquidity Fund			12/09/2013	No Broker	XXX	1,115	0	0	0	0	0	1,115	0	0	0.000	0.000		1,463	0
665278-70-1	Northern Inst Govt Select Port			12/30/2013	No Broker	XXX	9,689,788	0	0	0	0	0	9,689,788	0	0	0.000	0.000		876	0
8899999	Subtotal - Exempt Money Market Mutual Funds						9,704,466	0	0	0	0	XXX	9,704,466	0	0	XXX	XXX	XXX	2,339	0
097100-51-5	Bank of America Money Market Reserves			12/09/2013	No Broker	XXX	17,866,603	0	0	0	0	0	17,866,603	0	0	0.000	0.000		1,465	0
233377-20-1	DWS MMKT Inst Shares			12/09/2013	No Broker	XXX	10,339,212	0	0	0	0	0	10,339,212	0	0	0.000	0.000		9	0
262007-10-9	Dreyfus Inst Preferred Money Market			12/30/2013	No Broker	XXX	4,976,970	0	0	0	0	0	4,976,970	0	0	0.000	0.000		2	0
26200V-10-4	Dreyfus Institutional Cash Advantage			12/12/2013	No Broker	XXX	11,890,018	0	0	0	0	0	11,890,018	0	0	0.000	0.000		734	0
31846V-62-5	First American Prime Obligations MMF Class Z			04/02/2013	No Broker	XXX	0	0	0	0	0	0	0	0	0	0.000	0.000		0	0
38141W-23-2	Goldman Sachs Money Market Fund			12/09/2013	No Broker	XXX	18,764,482	0	0	0	0	0	18,764,483	0	0	0.000	0.000		897	0
38141W-36-4	Goldman Sachs Prime Olig Fund - Inst			11/04/2013	No Broker	XXX	1,687	0	0	0	0	0	1,687	0	0	0.000	0.000		4	0
61747C-71-5	Morgan Stanley Institutional Liquidity Funds			12/09/2013	No Broker	XXX	10,124,484	0	0	0	0	0	10,124,484	0	0	0.000	0.000		1	0
90262Y-50-5	UBS Global Asset Mgmt Select Prime Inst Fund			12/09/2013	No Broker	XXX	728,789	0	0	0	0	0	728,789	0	0	0.000	0.000		24	0

E17

ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE DA - PART 1

Showing All SHORT-TERM INVESTMENTS Owned December 31 of Current Year

1	2	Codes		5	6	7	8	Change in Book/Adjusted Carrying Value				13	14	Interest					21		
		3	4					9	10	11	12			15	16	17	18	19		20	
CUSIP Identi- fication	Description	Code	For- eign	Date Acquired	Name of Vendor	Maturity Date	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Par Value	Actual Cost	Amount Due and Accrued Dec. 31 of Current Year on Bonds not in Default	Non- Admitted Due and Accrued	Rate of	Effective Rate of	When Paid	Amount Received During Year	Paid for Accrued Interest	
949917-39-7	Wells Fargo Advantage Heritage Money Fund			12/03/2013	No Broker	XXX	50,031	0	0	0	0	0	50,031	0	0	0.000	0.000		31	0	
8999999	Subtotal - Class One Money Market Mutual Funds							74,742,276	0	0	0	0	XXX	74,742,277	0	0	XXX	XXX	XXX	3,167	0
9199999	Totals							116,451,767	0	(241,223)	0	0	XXX	116,692,992	177,947	0	XXX	XXX	XXX	245,015	64,346

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part A - Section 2 - Options, Caps, Floors, Collars, Swaps and Forwards Terminated

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part B - Section 2 - Futures Contracts Terminated

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

SCHEDULE E - PART 3 - SPECIAL DEPOSITS

States, Etc.	1 Type of Deposit	2 Purpose of Deposit	Deposits For the Benefit of All Policyholders		All Other Special Deposits	
			3 Book/Adjusted Carrying Value	4 Fair Value	5 Book/Adjusted Carrying Value	6 Fair Value
1. Alabama	AL		0	0	0	0
2. Alaska	AK		0	0	0	0
3. Arizona	AZ		0	0	0	0
4. Arkansas	AR		0	0	0	0
5. California	CA		0	0	0	0
6. Colorado	CO		0	0	0	0
7. Connecticut	CT		0	0	0	0
8. Delaware	DE		0	0	0	0
9. District of Columbia	DC		0	0	0	0
10. Florida	FL		0	0	0	0
11. Georgia	GA		0	0	0	0
12. Hawaii	HI		0	0	0	0
13. Idaho	ID		0	0	0	0
14. Illinois	IL	B Il ins code 215 ilcs 5-26	6,623,012	6,544,291	0	0
15. Indiana	IN		0	0	0	0
16. Iowa	IA		0	0	0	0
17. Kansas	KS		0	0	0	0
18. Kentucky	KY		0	0	0	0
19. Louisiana	LA		0	0	0	0
20. Maine	ME		0	0	0	0
21. Maryland	MD		0	0	0	0
22. Massachusetts	MA		0	0	0	0
23. Michigan	MI		0	0	0	0
24. Minnesota	MN		0	0	0	0
25. Mississippi	MS		0	0	0	0
26. Missouri	MO		0	0	0	0
27. Montana	MT		0	0	0	0
28. Nebraska	NE		0	0	0	0
29. Nevada	NV		0	0	0	0
30. New Hampshire	NH		0	0	0	0
31. New Jersey	NJ		0	0	0	0
32. New Mexico	NM		0	0	0	0
33. New York	NY		0	0	0	0
34. North Carolina	NC		0	0	0	0
35. North Dakota	ND		0	0	0	0
36. Ohio	OH		0	0	0	0
37. Oklahoma	OK		0	0	0	0
38. Oregon	OR		0	0	0	0
39. Pennsylvania	PA		0	0	0	0
40. Rhode Island	RI		0	0	0	0
41. South Carolina	SC		0	0	0	0
42. South Dakota	SD		0	0	0	0
43. Tennessee	TN	B Tennare medicaid program contract 2 21 5 3	0	0	48,637,908	47,917,498
44. Texas	TX		0	0	0	0
45. Utah	UT		0	0	0	0
46. Vermont	VT		0	0	0	0
47. Virginia	VA	B Va ins code stat 38.2-4310.1	0	0	345,112	345,917
48. Washington	WA		0	0	0	0
49. West Virginia	WV		0	0	0	0
50. Wisconsin	WI		0	0	0	0
51. Wyoming	WY		0	0	0	0
52. American Samoa	AS		0	0	0	0
53. Guam	GU		0	0	0	0
54. Puerto Rico	PR		0	0	0	0
55. U.S. Virgin Islands	VI		0	0	0	0
56. Northern Mariana Islands	MP		0	0	0	0
57. Canada	CAN		0	0	0	0
58. Aggregate Alien and Other	OT	XXX	0	0	0	0
59. Subtotal	XXX	XXX	6,623,012	6,544,291	48,983,020	48,263,415
DETAILS OF WRITE-INS						
5801.						
5802.						
5803.						
5898. Summary of remaining write-ins for Line 58 from overflow page	XXX	XXX	0	0	0	0
5899. Totals (Lines 5801 thru 5803 plus 5898)(Line 58 above)	XXX	XXX	0	0	0	0



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 15, 2014
Valuation Date: December 2013
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2013 – Risk Business – Eastern Tennessee – Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$20,860,308 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2013, this block of business had approximately 6,100 members.

Kevin Francis, FSA, MAAA
Vice President of Actuarial Services
United HealthCare Community and State
9800 Health Care Lane,
Minnetonka, MN 55343
(952) 931-4690
E-mail: kevin.francis@uhc.com



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 15, 2014
Valuation Date: December 2013
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2013 – Risk Business – Eastern Tennessee – Excluding Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$71,045,416 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2013, this block of business had approximately 190,200 members.

Kevin Francis, FSA, MAAA
Vice President of Actuarial Services
United HealthCare Community and State
9800 Health Care Lane,
Minnetonka, MN 55343
(952) 931-4690
E-mail: kevin.francis@uhc.com



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 15, 2014
Valuation Date: December 2013
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2013 – Risk Business – Middle Tennessee – Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$19,637,750 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2013, this block of business had approximately 5,400 members.

Kevin Francis, FSA, MAAA
Vice President of Actuarial Services
United HealthCare Community and State
9800 Health Care Lane,
Minnetonka, MN 55343
(952) 931-4690
E-mail: kevin.francis@uhc.com



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 15, 2014
Valuation Date: December 2013
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2013 – Risk Business – Middle Tennessee – Excluding Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$79,168,700 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2013, this block of business had approximately 191,200 members.

Kevin Francis, FSA, MAAA

Vice President of Actuarial Services

United HealthCare Community and State

9800 Health Care Lane,

Minnetonka, MN 55343

(952) 931-4690

E-mail: kevin.francis@uhc.com



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 15, 2014
Valuation Date: December 2013
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2013 – Risk Business –
Western Tennessee – Long Term Care.

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$17,469,878 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2013, this block of business had approximately 4,800 members.

Kevin Francis, FSA, MAAA

Vice President of Actuarial Services

United HealthCare Community and State

9800 Health Care Lane,

Minnetonka, MN 55343

(952) 931-4690

E-mail: kevin.francis@uhc.com



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 15, 2014
Valuation Date: December 2013
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2013 – Risk Business –
Western Tennessee – Excluding Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$57,245,497 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2013, this block of business had approximately 169,500 members.

Kevin Francis, FSA, MAAA
Vice President of Actuarial Services
United HealthCare Community and State
9800 Health Care Lane,
Minnetonka, MN 55343
(952) 931-4690
E-mail: kevin.francis@uhc.com



January 15, 2014

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Eastern Tennessee, Long Term Care

As of and for the Period Ended December 31, 2013

I, Kevin Francis, am a Member of the American Academy of Actuaries (Academy) and Vice President of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid Long Term Care risk business in Eastern Tennessee, which had approximately 6,100 members in December 2013. This business became effective August 1, 2010.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2013.

I have determined that the appropriate level for claims liability for this block of business is \$20,860,308.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:


- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;

- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;
 - e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
-
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

~~January 15, 2014~~



Kevin Francis, FSA, MAAA

Vice President of Actuarial Services

United HealthCare Community and State

9800 Health Care Lane,

Minnetonka, MN 55343

(952) 931-4690

E-mail: kevin.francis@uhc.com



January 15, 2014

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Eastern Tennessee, Excluding Long Term Care

As of and for the Period Ended December 31, 2013

I, Kevin Francis, am a Member of the American Academy of Actuaries (Academy) and Vice President of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid risk business in Eastern Tennessee, which had approximately 190,200 members in December 2013. This business became effective July 1, 2009.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2013.

I have determined that the appropriate level for claims liability for this block of business is \$71,045,416.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

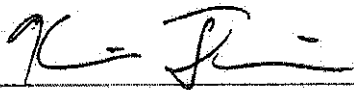
- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;
- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;

- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

January 15, 2014



Kevin Francis, FSA, MAAA
Vice President of Actuarial Services
United HealthCare Community and State
9800 Health Care Lane,
Minnetonka, MN 55343
(952) 931-4690
E-mail: kevin.francis@uhc.com



January 15, 2014

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Middle Tennessee, Long Term Care

As of and for the Period Ended December 31, 2013

I, Kevin Francis, am a Member of the American Academy of Actuaries (Academy) and Vice President of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid Long Term Care risk business in Middle Tennessee, which had approximately 5,400 members in December 2013. This business became effective March 1, 2010.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2013.

I have determined that the appropriate level for claims liability for this block of business is \$19,637,750.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

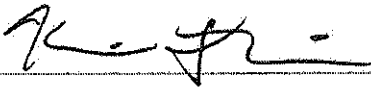
- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;
- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;

- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

January 15, 2014



Kevin Francis, FSA, MAAA

Vice President of Actuarial Services

United HealthCare Community and State

9800 Health Care Lane,

Minnetonka, MN 55343

(952) 931-4690

E-mail: kevin.francis@uhc.com



January 15, 2014

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Middle Tennessee, Excluding Long Term Care

As of and for the Period Ended December 31, 2013

I, Kevin Francis, am a Member of the American Academy of Actuaries (Academy) and Vice President of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid risk business in Middle Tennessee, which had approximately 191,200 members in December 2013. This business became effective April 1, 2007.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2013.

I have determined that the appropriate level for claims liability for this block of business is \$79,168,700.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;
- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;

- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

January 15, 2014



Kevin Francis, FSA, MAAA

Vice President of Actuarial Services

United HealthCare Community and State

9800 Health Care Lane,

Minnetonka, MN 55343

(952) 931-4690

E-mail: kevin.francis@uhc.com



January 15, 2014

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Western Tennessee, Long Term Care

As of and for the Period Ended December 31, 2013

I, Kevin Francis, am a Member of the American Academy of Actuaries (Academy) and Vice President of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid Long Term Care risk business in Western Tennessee, which had approximately 4,800 members in December 2013. This business became effective August 1, 2010.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2013.

I have determined that the appropriate level for claims liability for this block of business is \$17,469,878.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;

- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
 - c) Meet the requirements of the laws of the State of Tennessee;
-
- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;
 - e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
 - f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

January 15, 2014



Kevin Francis, FSA, MAAA

Vice President of Actuarial Services

United HealthCare Community and State

9800 Health Care Lane,

Minnetonka, MN 55343

(952) 931-4690

E-mail: kevin.francis@uhc.com



January 15, 2014

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Western Tennessee, Excluding Long Term Care

As of and for the Period Ended December 31, 2013

I, Kevin Francis, am a Member of the American Academy of Actuaries (Academy) and Vice President of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid risk business in Western Tennessee, which had approximately 169,500 members in December 2013. This business became effective November 1, 2008.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2013.

I have determined that the appropriate level for claims liability for this block of business is \$57,245,497.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;

b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;

c) Meet the requirements of the laws of the State of Tennessee;

d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;

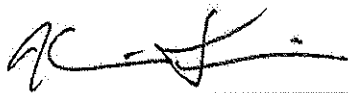
e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and

f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

January 15, 2014.



Kevin Francis, FSA, MAAA
Vice President of Actuarial Services
United HealthCare Community and State
9800 Health Care Lane,
Minnetonka, MN 55343
(952) 931-4690
E-mail: kevin.francis@uhc.com

**ANNUAL STATEMENT FOR THE YEAR 2013
 UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.
 STATEMENT OF ACTUARIAL OPINION**

Table of Key Indicators.

This Opinion is: Unqualified Qualified Adverse Inconclusive

IDENTIFICATION SECTION:

Prescribed Wording Only Prescribed Wording with Additional Wording Revised Wording

SCOPE SECTION:

Prescribed Wording Only Prescribed Wording with Additional Wording Revised Wording

RELIANCE SECTION:

Prescribed Wording Only Prescribed Wording with Additional Wording Revised Wording

OPINION SECTION:

Prescribed Wording Only Prescribed Wording with Additional Wording Revised Wording

RELEVANT COMMENTS:

Revised Wording

The Actuarial Memorandum includes "Deviation from Standard" wording regarding conformity with an Actuarial Standard of Practice.

Identification.

I, Allen J. Sorbo, am Chief Actuary of UnitedHealthcare Insurance Company, of which UnitedHealthcare Plan of the River Valley, Inc. is an affiliate. I am a member of the American Academy of Actuaries. I was appointed on August 29, 2012 in accordance with the requirements of the annual statement instructions. I meet the Academy qualification standards for rendering the opinion.

Scope.

I have examined the assumptions and methods used in determining loss reserves, actuarial liabilities, and related items listed below, as shown in the annual statement of the organization as prepared for filing with state regulatory officials, as of December 31, 2013.

A. Claims unpaid (Page 3, Line 1)	\$398,807,155
B. Accrued medical incentive pool and bonus payments (Page 3, Line 2)	\$2,673,487
C. Unpaid claims adjustment expenses (Page 3, Line 3)	\$7,501,050
D. Aggregate health policy reserves (Page 3, Line 4) including unearned premium reserves, premium deficiency reserves, and additional policy reserves from the Underwriting and Investment Exhibit – Part 2D	\$9,209,130
E. Aggregate life policy reserves (Page 3, Line 5)	\$0

**ANNUAL STATEMENT FOR THE YEAR 2013
UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.
STATEMENT OF ACTUARIAL OPINION**

F. Property/casualty unearned premium reserves (Page 3, Line 6)	\$0
G. Aggregate health claim reserves (Page 3, Line 7)	\$198,785
H. Any other loss reserves, actuarial liabilities, or related items presented as liabilities in the annual statement:	
1. Reserve for claim interest (Page 3, Line 9, in part)	\$176,624
I. Specified actuarial items presented as assets in the annual statement:	
1. Medicare risk adjustment receivable (Page 2, Line 15.1, in part)	\$32,292,985
2. Accrued retrospective premiums (Page 2, Line 15.3)	\$678,302
3. Health care and other amounts receivable (Page 2, Line 24, Col. 1)	\$44,704,462

Reliance.

In forming my opinion on the items identified above, I relied upon data prepared by Nyle Brent Cottingham, Vice President and Regulatory Controller for United HealthCare Services, Inc.; and on Clint M. Egenes, Vice President and Controller for OptumHealth Physician Solutions Group; and on Daniel J. Herr, Director of Accounting for UnitedHealthcare; and on William J. Hnath, VP, Controller for UnitedHealthcare Medicare & Retirement; and on Eric R. Lewis, Controller for UnitedHealthcare Community & State, as certified in the attached statements. I evaluated that data for reasonableness and consistency. I also reconciled that data to the Underwriting and Investment Exhibit – Part 2B of the company’s current annual statement. In other respects, my examination included review of the actuarial assumptions and actuarial methods used and tests of the calculations I considered necessary.

Opinion.

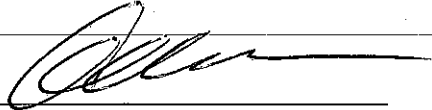
In my opinion, the amounts carried in the balance sheet on account of the items identified above:

- A. Are in accordance with accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- B. Are based on actuarial assumptions relevant to contract provisions and appropriate to the purpose for which the statement was prepared;
- C. Meet the requirements of the Insurance Laws and regulations of the state of Illinois, and are at least as great as the minimum aggregate amounts required by any state;
- D. Make a good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization under the terms of its contracts and agreements;
- E. Are computed on the basis of assumptions and methods consistent with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- F. Include appropriate provision for all actuarial items that ought to be established.

The Underwriting and Investment Exhibit – Part 2B was reviewed for reasonableness and consistency with the applicable Actuarial Standards of Practice.

Actuarial methods, considerations, and analyses used in forming my opinion conform to the relevant Standards of Practice as promulgated from time to time by the Actuarial Standards Board, which standards form the basis of this statement of opinion.

ANNUAL STATEMENT FOR THE YEAR 2013
UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.
STATEMENT OF ACTUARIAL OPINION



Allen J. Sorbo, F.S.A., M.A.A.A.

Mail Route CT039-004A
UnitedHealthcare Insurance Company
185 Asylum Street
Hartford, CT 06103

Telephone: (561) 799-2458


February 21, 2014



Nyle Brent Cottington
Vice President & Regulatory Controller
MN017-E900
9700 Health Care Lane
Minnetonka, MN 55343
952-979-6133 phone
952-979-7825 fax

February 12, 2014

I, Nyle Brent Cottington, am employed by United HealthCare Services, Inc. as Vice President and Regulatory Controller. Listings and summaries reconciling the annual statement as of December 31, 2013, for UnitedHealthcare Plan of the River Valley, Inc., to the company's general ledger were prepared under my direction and submitted to Allen J. Sorbo in support of his statement of actuarial opinion for that company as of December 31, 2013. I hereby affirm that those listings and summaries, to the best of my knowledge and belief, are substantially accurate and complete and are the same as, or derived from, the records and other data that form the basis for the company's annual statement for the year ended December 31, 2013.



Nyle Brent Cottington
United HealthCare Services, Inc.



February 12, 2014

I, Clint M. Egenes, am employed by OptumHealth Physician Solutions Group as Vice President and Controller. Listings, summaries, and analyses of claim and exposure data and of balances in the general ledger of UnitedHealthcare Plan of the River Valley, Inc., all relating specifically to the OptumHealth Physician Solutions Group business of that organization, were prepared under my direction and submitted to Allen J. Sorbo in support of his actuarial opinion for that organization as of December 31, 2013. I hereby affirm that those listings, summaries, and analyses are, to the best of my knowledge and belief, substantially accurate and complete and the same as, or derived from, the records and other data which form the basis of the annual statement of the organization for the year ended December 31, 2013.

A handwritten signature in black ink, appearing to read "Clint M. Egenes".

Clint M. Egenes
13625 Technology Drive
Eden Prairie, MN 55344
(952) 917-7416



February 12, 2014

I, Daniel J. Herr, am employed by UnitedHealthcare as Director of Accounting. Listings, summaries, and analyses of claim and exposure data and of balances in the general ledger of UnitedHealthcare Plan of the River Valley, Inc., all relating specifically to the UnitedHealthcare Employer & Individual business of that organization, were prepared under my direction and submitted to Allen J. Sorbo in support of his actuarial opinion for that organization as of December 31, 2013. I hereby affirm that those listings, summaries, and analyses are, to the best of my knowledge and belief, substantially accurate and complete and the same as, or derived from, the records and other data which form the basis of the annual statement of the organization for the year ended December 31, 2013.

A handwritten signature in cursive script that reads 'Daniel J. Herr'. The signature is written in black ink and is positioned above a horizontal line.

Daniel J. Herr
9700 Health Care Lane
MN017-W900
Minnetonka, MN 55343
952-979-6244

February 12, 2014

I, William J. Hnath, am employed by UnitedHealthcare Medicare & Retirement as VP, Controller. Listings, summaries, and analyses of claim and exposure data and of balances in the general ledger of UnitedHealthcare Plan of the River Valley, Inc., all relating specifically to the UnitedHealthcare Medicare & Retirement business of that organization, were prepared under my direction and submitted to Allen J. Sorbo in support of his actuarial opinion for that organization as of December 31, 2013. I hereby affirm that those listings, summaries, and analyses are, to the best of my knowledge and belief, substantially accurate and complete and the same as, or derived from, the records and other data which form the basis of the annual statement of the organization for the year ended December 31, 2013.



William J. Hnath
Tel. #952-931-4860



February 12, 2014

I, Eric R. Lewis, am employed by UnitedHealthcare Community & State as Controller. Listings, summaries, and analyses of claim and exposure data and of balances in the general ledger of UnitedHealthcare Plan of the River Valley, Inc., all relating specifically to the UnitedHealthcare Community & State business of that organization, were prepared under my direction and submitted to Allen J. Sorbo in support of his actuarial opinion for that organization as of December 31, 2013. I hereby affirm that those listings, summaries, and analyses are, to the best of my knowledge and belief, substantially accurate and complete and the same as, or derived from, the records and other data which form the basis of the annual statement of the organization for the year ended December 31, 2013.

A handwritten signature in black ink that reads "Eric R. Lewis". The signature is written in a cursive style and is positioned above a horizontal line.

[Signature]

Eric R. Lewis
9800 Health Care Lane
Minnetonka, MN55343
952-931-5953

Americhoice - Tennessee
Report 2A - TennCare Income Statement
CRA 2.30.14.3.3 and 2.30.14.3.4

Member Months	East	Middle	West	Total TN
	2,354,086	2,378,683	2,096,179	6,828,948
Revenues:				
TennCare Capitation	891,190,018	942,613,598	733,975,112	2,567,778,729
Investment	-	-	-	-
Other Revenue - TennCare Incentive	1 462,621	401,902	571,296	1,435,819
Total Revenues	891,652,640	943,015,500	734,546,408	2,569,214,548
Estimated Expenses:				
Hospital and Medical (w/o Mental Health)				
Capitated Physician Services	-	-	-	-
Fee-for Service Physician Services	170,006,951	187,870,216	123,789,948	481,667,115
Inpatient Hospital Services	113,047,171	142,056,888	127,950,573	383,054,632
Outpatient Hospital Services	50,933,247	43,882,057	27,094,310	121,909,614
Emergency Room Services	72,622,646	52,354,641	41,145,124	166,122,411
Dental Services	-	-	-	-
Vision Services	3,285,209	3,352,517	3,199,350	9,837,076
Pharmacy Services	-	-	-	-
Home Health Services	12,425,292	19,671,198	15,509,745	47,606,235
Chiropractic Services	-	-	-	-
Radiology Services	17,158,266	18,822,134	10,577,232	46,557,632
Laboratory Services	15,455,084	19,950,065	10,011,427	45,416,575
Durable Medical Equipment Services	10,154,731	11,095,967	6,979,026	28,229,723
Transportation Services	13,504,291	20,320,429	16,609,254	50,433,974
Outside Referrals	-	-	-	-
Medical Incentive Pool and Withhold Adj	-	-	-	-
Occupancy, Depreciation and Amortization	-	-	-	-
Nursing Facility Care	182,393,986	147,441,028	141,617,279	471,452,293
HCBS Services	34,769,134	41,196,886	36,175,407	112,141,427
Other Medical and Hospital Services - Write-Ins	1,273,143	1,502,522	6,907,702	9,683,367
Subtotal Medical and Hospital	697,029,151	709,516,548	567,566,375	1,974,112,075
Mental Health and Substance Abuse Services				
Inpatient Psychiatric Facility Services	7,574,046	9,578,351	11,031,894	28,184,292
Inpatient Substance Abuse Treatment and Detox	1,581,262	2,045,217	555,400	4,181,879
Outpatient Mental Health Services	6,253,640	10,984,162	2,810,380	20,048,182
Outpatient Substance Abuse Treatment and Detox	139,163	135,773	134,381	409,317
Housing/Residential Treatment	6,503,767	7,681,251	6,298,966	20,483,985
Specialized Crisis Services	3,288,469	4,288,053	1,951,618	9,528,140
Psychiatric Rehab and Support Services	4,522,473	9,550,945	8,158,561	22,231,979
Case Management	17,695,958	28,021,229	13,668,751	59,385,939
Forensics	-	-	-	-
Other Judicial	-	-	-	-
Pharmacy	-	-	-	-
Lab Services	-	-	-	-
Transportation	1,496,203	1,531,772	1,307,050	4,335,025
Medical Incentive Pool and Withhold Adjustments	-	-	-	-
Occupancy, Depreciation and Amortization	-	-	-	-
Other Mental Health and Substance Abuse Services	914,506	1,465,612	764,323	3,144,442
PCP and Specialist Services	-	-	-	-
Other Mental Health Services - Write-Ins	-	-	-	-
Subtotal MH&SAS	49,969,487	75,282,366	46,681,325	171,933,178
Subtotal Hospital, Medical, MH&SAS, CHOICES	746,998,638	784,798,914	614,247,701	2,146,045,253
LESS:				
Net Reinsurance Recoveries Incurred	-	-	-	-
Copayments	-	-	-	-
Subrogation and Coordination of Benefits	-	-	-	-
Subtotal Reinsurance, Copay, Subrogation	-	-	-	-
Total Hospital, Medical, MHS&S	746,998,638	784,798,914	614,247,701	2,146,045,253
Administration:				
Compensation	-	-	-	-
Direct and Allocated Admin expenses	-	-	-	-
Marketing	-	-	-	-
Interest Expense	-	-	-	-
Premium Tax Expense	49,695,933	51,882,628	39,863,338	141,441,899
Occupancy, Depreciation, and Amortization	-	-	-	-
Other Administration - Write-Ins	65,706,017	71,628,994	53,723,415	191,058,426
Fines and Penalties - Other Write-Ins	174,364	165,997	116,500	456,861
Total Administration Expenses	115,576,314	123,677,619	93,703,253	332,957,186
Total Expenses	862,574,952	908,476,533	707,950,954	2,479,002,439
Extraordinary Item	-	-	-	-
Provision for Income Tax	10,177,191	12,088,638	9,308,409	31,574,238
Net Income (Loss)	18,900,497	22,450,328	17,287,045	58,637,870
Write-Ins for Other Revenues:				
Total Other Revenues	-	-	-	-
Write-Ins for Other Medical and Hospital:				
Other Miscellaneous	1,270,183	1,793,189	2,240,125	5,303,497
Other Capitated Services	2,960	(290,667)	4,667,577	4,379,870
Total Other Medical and Hospital	1,273,143	1,502,522	6,907,702	9,683,367
Detail of Other MH and SAS:				
Total Other MH and SAS	-	-	-	-
Write-Ins for Other Administration:				
Administrative Services Fee	53,184,303	56,202,847	43,637,439	153,024,589
Behaviorial Healthcare Services	5,553,663	6,867,972	3,644,952	16,066,587
Spectera Administration Fees	-	-	-	-
Other Miscellaneous	7,142,416	8,724,172	6,557,524	22,424,111
Total Other Administration	65,880,381	71,794,991	53,839,915	191,515,287

1.The statutory quarterly filing Statement of Revenue and Expenses and the 2A includes TennCare incentives which are presented as Other Revenue per the direction of the TDCL. As these incentives are not considered written premiums or earned premiums per the NAIC guidelines these amounts have been excluded from the statutory quarterly filing Exhibit of Premiums, Enrollment, and Utilization.

UnitedHealthcare Community Plan - East Tennessee
Report 2A - TennCare Income Statement
CRA 2.30.14.3.3 and 2.30.14.3.4

Member Months	Current Qtr YTD Total as of 12/31/2013 589,501	YTD Total as of 12/31/2013 2,354,086	Prior Year as of 12/31/2012 2,342,621
Revenues:			
TennCare Capitation	226,518,943	891,190,018	863,390,419
Investment	-	-	-
Other Revenue - TennCare Incentive	1 209,874	462,621	7,313,150
Total Revenues	<u>226,728,817</u>	<u>891,652,640</u>	<u>870,703,569</u>
Estimated Expenses:			
Hospital and Medical (w/o Mental Health)			
Capitated Physician Services	-	-	-
Fee-for Service Physician Services	46,265,980	170,006,951	145,689,179
Inpatient Hospital Services	27,146,315	113,047,171	106,448,419
Outpatient Hospital Services	11,142,551	50,933,247	48,964,479
Emergency Room Services	16,165,685	72,622,646	62,608,845
Dental Services	-	-	-
Vision Services	397,838	3,285,209	2,736,080
Pharmacy Services	-	-	-
Home Health Services	2,642,676	12,425,292	9,663,458
Chiropractic Services	-	-	-
Radiology Services	3,640,959	17,158,266	17,263,577
Laboratory Services	3,210,829	15,455,084	15,520,320
Durable Medical Equipment Services	2,489,748	10,154,731	10,608,797
Transportation Services	3,033,620	13,504,291	12,443,537
Outside Referrals	-	-	-
Medical Incentive Pool and Withhold Adj	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Nursing Facility Care	47,813,499	182,393,986	199,777,972
HCBS Services	9,015,118	34,769,134	34,502,957
Other Medical and Hospital Services - Write-Ins	(3,220,384)	1,273,143	(1,542,412)
Subtotal Medical and Hospital	<u>169,744,434</u>	<u>697,029,151</u>	<u>664,685,208</u>
Mental Health and Substance Abuse Services			
Inpatient Psychiatric Facility Services	1,835,238	7,574,046	7,154,581
Inpatient Substance Abuse Treatment and Detox	310,722	1,581,262	1,318,955
Outpatient Mental Health Services	1,568,359	6,253,640	5,379,874
Outpatient Substance Abuse Treatment and Detox	30,437	139,163	198,042
Housing/Residential Treatment	1,190,612	6,503,767	5,760,936
Specialized Crisis Services	816,814	3,288,469	2,794,542
Psychiatric Rehab and Support Services	1,176,839	4,522,473	4,204,747
Case Management	4,246,380	17,695,958	18,032,026
Forensics	-	-	-
Other Judicial	-	-	-
Pharmacy	-	-	-
Lab Services	-	-	-
Transportation	328,146	1,496,203	1,434,594
Medical Incentive Pool and Withhold Adjustments	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Other Mental Health and Substance Abuse Services	206,121	914,506	851,951
PCP and Specialist Services	-	-	-
Other Mental Health Services - Write-Ins	-	-	-
Subtotal MH&SAS	<u>11,709,666</u>	<u>49,969,487</u>	<u>47,130,250</u>
Subtotal Hospital, Medical, MH&SAS, CHOICES	<u>181,454,101</u>	<u>746,998,638</u>	<u>711,815,458</u>
LESS:			
Net Reinsurance Recoveries Incurred	-	-	-
Copayments	-	-	-
Subrogation and Coordination of Benefits	-	-	-
Subtotal Reinsurance, Copay, Subrogation	<u>-</u>	<u>-</u>	<u>-</u>
Total Hospital, Medical, MHS&S	<u>181,454,101</u>	<u>746,998,638</u>	<u>711,815,458</u>
Administration:			
Compensation	-	-	-
Direct and Allocated Admin expenses	-	-	-
Marketing	-	-	-
Interest Expense	-	-	-
Premium Tax Expense	12,571,797	49,695,933	47,828,183
Occupancy, Depreciation, and Amortization	-	-	-
Other Administration - Write-Ins	17,127,813	65,706,017	78,936,540
Fines and Penalties - Other Write-Ins	30,752	174,364	92,100
Total Administration Expenses	<u>29,730,362</u>	<u>115,576,314</u>	<u>126,856,824</u>
Total Expenses	<u>211,184,463</u>	<u>862,574,952</u>	<u>838,672,281</u>
Extraordinary Item	-	-	-
Provision for Income Tax	5,440,524	10,177,191	11,210,951
Net Income (Loss)	<u>10,103,831</u>	<u>18,900,497</u>	<u>20,820,337</u>
Write-Ins for Other Revenues:			
Total Other Revenues	<u>-</u>	<u>-</u>	<u>-</u>
Write-Ins for Other Medical and Hospital:			
Other Miscellaneous	(3,106,066)	1,270,183	(2,050,046)
Other Capitated Services	(114,318)	2,960	507,634
Total Other Medical and Hospital	<u>(3,220,384)</u>	<u>1,273,143</u>	<u>(1,542,412)</u>
Detail of Other MH and SAS:			
Total Other MH and SAS	<u>-</u>	<u>-</u>	<u>-</u>
Write-Ins for Other Administration:			
Administrative Services Fee	13,368,745	53,184,303	69,080,914
Behaviorial Healthcare Services	1,388,360	5,553,663	5,522,535
Spectera Administration Fees	-	-	227,972
Other Miscellaneous	2,401,460	7,142,416	4,197,220
Total Other Administration	<u>17,158,565</u>	<u>65,880,381</u>	<u>79,028,641</u>

1.The statutory quarterly filing Statement of Revenue and Expenses and the 2A includes TennCare incentives which are presented as Other Revenue per the direction of the TDCI. As these incentives are not considered written premiums or earned premiums per the NAIC guidelines these amounts have been excluded from the statutory quarterly filing Exhibit of Premiums, Enrollment, and Utilization.

UnitedHealthcare Community Plan - Middle Tennessee
Report 2A - TennCare Income Statement
CRA 2.30.14.3.3 and 2.30.14.3.4

Member Months	Current Qtr YTD Total as of 12/31/2013	YTD Total as of 12/31/2013	Prior Year as of 12/31/2012
	594,237	2,378,683	2,409,563
Revenues:			
TennCare Capitation	241,464,082	942,613,598	918,848,513
Investment	-	-	-
Other Revenue - TennCare Incentive	145,064	401,902	7,259,380
Total Revenues	241,609,146	943,015,500	926,107,893
Estimated Expenses:			
Hospital and Medical (w/o Mental Health)			
Capitated Physician Services	-	-	-
Fee-for Service Physician Services	49,495,200	187,870,216	162,710,031
Inpatient Hospital Services	32,610,238	142,056,888	136,312,469
Outpatient Hospital Services	10,152,480	43,882,057	42,892,485
Emergency Room Services	11,993,728	52,354,641	47,904,393
Dental Services	-	-	-
Vision Services	363,267	3,352,517	3,438,713
Pharmacy Services	-	-	-
Home Health Services	4,490,411	19,671,198	16,949,396
Chiropractic Services	-	-	-
Radiology Services	4,063,098	18,822,134	17,889,151
Laboratory Services	4,460,465	19,950,065	17,958,776
Durable Medical Equipment Services	2,742,283	11,095,967	11,022,066
Transportation Services	4,762,027	20,320,429	20,138,582
Outside Referrals	-	-	-
Medical Incentive Pool and Withhold Adj	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Nursing Facility Care	37,492,776	147,441,028	161,697,721
HCBS Services	10,782,493	41,196,886	40,334,412
Other Medical and Hospital Services - Write-Ins	(1,726,758)	1,502,522	822,396
Subtotal Medical and Hospital	171,681,706	709,516,548	680,070,590
Mental Health and Substance Abuse Services			
Inpatient Psychiatric Facility Services	2,514,095	9,578,351	8,608,845
Inpatient Substance Abuse Treatment and Detox	621,192	2,045,217	1,635,403
Outpatient Mental Health Services	3,017,474	10,984,162	9,647,326
Outpatient Substance Abuse Treatment and Detox	52,833	135,773	82,555
Housing/Residential Treatment	1,595,180	7,681,251	6,704,451
Specialized Crisis Services	1,056,510	4,288,053	4,103,742
Psychiatric Rehab and Support Services	2,172,467	9,550,945	8,963,382
Case Management	6,103,161	28,021,229	30,152,400
Forensics	-	-	-
Other Judicial	-	-	-
Pharmacy	-	-	-
Lab Services	-	-	-
Transportation	371,425	1,531,772	1,508,876
Medical Incentive Pool and Withhold Adjustments	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Other Mental Health and Substance Abuse Services	566,342	1,465,612	1,049,116
PCP and Specialist Services	-	-	-
Other Mental Health Services - Write-Ins	-	-	-
Subtotal MH&SAS	18,070,679	75,282,366	72,456,096
Subtotal Hospital, Medical, MH&SAS, CHOICES	189,752,385	784,798,914	752,526,686
LESS:			
Net Reinsurance Recoveries Incurred	-	-	-
Copayments	-	-	-
Subrogation and Coordination of Benefits	-	-	-
Subtotal Reinsurance, Copay, Subrogation	-	-	-
Total Hospital, Medical, MHS&S	189,752,385	784,798,914	752,526,686
Administration:			
Compensation	-	-	-
Direct and Allocated Admin expenses	-	-	-
Marketing	-	-	-
Interest Expense	-	-	-
Premium Tax Expense	12,930,446	51,882,628	51,796,935
Occupancy, Depreciation, and Amortization	-	-	-
Other Administration - Write-Ins	19,228,331	71,628,994	85,376,659
Fines and Penalties - Other Write-Ins	36,739	165,997	164,972
Total Administration Expenses	32,195,516	123,677,619	137,338,566
Total Expenses	221,947,901	908,476,533	889,865,252
Extraordinary Item	-	-	-
Provision for Income Tax	6,881,436	12,088,638	12,684,924
Net Income (Loss)	12,779,809	22,450,328	23,557,716
Write-Ins for Other Revenues:			
Total Other Revenues	-	-	-
Write-Ins for Other Medical and Hospital:			
Other Miscellaneous	(1,449,306)	1,793,189	(539,083)
Other Capitated Services	(277,452)	(290,667)	1,361,479
Total Other Medical and Hospital	(1,726,758)	1,502,522	822,396
Detail of Other MH and SAS:			
Total Other MH and SAS	-	-	-
Write-Ins for Other Administration:			
Administrative Services Fee	14,246,381	56,202,847	72,595,432
Behaviorial Healthcare Services	1,705,753	6,867,972	6,976,087
Spectera Administration Fees	-	-	251,089
Other Miscellaneous	3,312,936	8,724,172	5,719,023
Total Other Administration	19,265,070	71,794,991	85,541,631

1.The statutory quarterly filing Statement of Revenue and Expenses and the 2A includes TennCare incentives which are presented as Other Revenue per the direction of the TDCI. As these incentives are not considered written premiums or earned premiums per the NAIC guidelines these amounts have been excluded from the statutory quarterly filing Exhibit of Premiums, Enrollment, and Utilization.

UnitedHealthcare Community Plan - West Tennessee
Report 2A - TennCare Income Statement
West Tennessee CRA 2.30.14.3.3 and 2.30.14.3.4

Member Months	Current Qtr YTD Total as of 12/31/2013 523,443	YTD Total as of 12/31/2013 2,096,179	Prior Year as of 12/31/2012 2,098,607
Revenues:			
TennCare Capitation	188,028,076	733,975,112	730,941,031
Investment	-	-	-
Other Revenue - TennCare Incentive	1 313,319	571,296	3,738,972
Total Revenues	188,341,395	734,546,408	734,680,003
Estimated Expenses:			
Hospital and Medical (w/o Mental Health)			
Capitated Physician Services	-	-	-
Fee-for Service Physician Services	33,262,771	123,789,948	108,656,248
Inpatient Hospital Services	34,220,899	127,950,573	117,549,111
Outpatient Hospital Services	6,830,866	27,094,310	25,906,101
Emergency Room Services	9,717,785	41,145,124	34,325,300
Dental Services	-	-	-
Vision Services	395,771	3,199,350	2,854,403
Pharmacy Services	-	-	-
Home Health Services	3,834,875	15,509,745	12,118,695
Chiropractic Services	-	-	-
Radiology Services	2,597,928	10,577,232	10,552,557
Laboratory Services	2,434,831	10,011,427	9,314,417
Durable Medical Equipment Services	1,960,779	6,979,026	6,594,836
Transportation Services	4,249,826	16,609,254	14,935,875
Outside Referrals	-	-	-
Medical Incentive Pool and Withhold Adj	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Nursing Facility Care	36,844,762	141,617,279	146,771,072
HCBS Services	9,615,187	36,175,407	34,146,263
Other Medical and Hospital Services - Write-Ins	(322,197)	6,907,702	2,821,849
Subtotal Medical and Hospital	145,644,081	567,566,375	526,546,727
Mental Health and Substance Abuse Services			
Inpatient Psychiatric Facility Services	2,977,967	11,031,894	11,371,974
Inpatient Substance Abuse Treatment and Detox	103,625	555,400	626,806
Outpatient Mental Health Services	574,691	2,810,380	3,049,578
Outpatient Substance Abuse Treatment and Detox	32,628	134,381	113,372
Housing/Residential Treatment	991,161	6,298,966	7,005,785
Specialized Crisis Services	430,565	1,951,618	2,080,551
Psychiatric Rehab and Support Services	1,747,367	8,158,561	6,512,760
Case Management	3,073,785	13,668,751	15,941,434
Forensics	-	-	-
Other Judicial	-	-	-
Pharmacy	-	-	-
Lab Services	-	-	-
Transportation	304,068	1,307,050	1,383,714
Medical Incentive Pool and Withhold Adjustments	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Other Mental Health and Substance Abuse Services	280,732	764,323	679,293
PCP and Specialist Services	-	-	-
Other Mental Health Services - Write-Ins	-	-	-
Subtotal MH&SAS	10,516,588	46,681,325	48,765,267
Subtotal Hospital, Medical, MH&SAS, CHOICES	156,160,669	614,247,701	575,311,993
LESS:			
Net Reinsurance Recoveries Incurred	-	-	-
Copayments	-	-	-
Subrogation and Coordination of Benefits	-	-	-
Subtotal Reinsurance, Copay, Subrogation	-	-	-
Total Hospital, Medical, MHS&S	156,160,669	614,247,701	575,311,993
Administration:			
Compensation	-	-	-
Direct and Allocated Admin expenses	-	-	-
Marketing	-	-	-
Interest Expense	-	-	-
Premium Tax Expense	10,198,548	39,863,338	41,107,274
Occupancy, Depreciation, and Amortization	-	-	-
Other Administration - Write-Ins	14,206,879	53,723,415	67,151,486
Fines and Penalties - Other Write-Ins	52,300	116,500	(132,418)
Total Administration Expenses	24,457,727	93,703,253	108,126,341
Total Expenses	180,618,396	707,950,954	683,438,335
Extraordinary Item	-	-	-
Provision for Income Tax	2,703,050	9,308,409	17,934,584
Net Income (Loss)	5,019,950	17,287,045	33,307,084
Write-Ins for Other Revenues:			
Total Other Revenues	-	-	-
Write-Ins for Other Medical and Hospital:			
Other Miscellaneous	(1,207,807)	2,240,125	(1,749,810)
Other Capitated Services	885,610	4,667,577	4,571,659
Total Other Medical and Hospital	(322,197)	6,907,702	2,821,849
Detail of Other MH and SAS:			
Total Other MH and SAS	-	-	-
Write-Ins for Other Administration:			
Administrative Services Fee	11,104,748	43,637,439	59,945,283
Behaviorial Healthcare Services	910,733	3,644,952	3,655,460
Spectera Administration Fees	-	-	219,102
Other Miscellaneous	2,243,697	6,557,524	3,199,223
Total Other Administration	14,259,179	53,839,915	67,019,067

1.The statutory quarterly filing Statement of Revenue and Expenses and the 2A includes TennCare incentives which are presented as Other Revenue per the direction of the TDCl. As these incentives are not considered written premiums or earned premiums per the NAIC guidelines these amounts have been excluded from the statutory quarterly filing Exhibit of Premiums, Enrollment, and Utilization.

**UnitedHealthcare Community Plan - East Tennessee
Reconciliation Between 2A and MLR Report
As of December 31, 2013**

<u>Capitation Revenue</u>	<u>Revenue</u>
Revenue reported per MLR report	882,755,557
STAT Adjustment/Other Revenue	(6,850,528)
	875,905,029
TennCare Capitation per 2A	891,652,640
Less: Restated revenue for prior months	(15,747,611)
	875,905,029
Difference	0
<u>Claims & Reserve</u>	<u>Claims</u>
Total 2A Paid Claims and Change in Reserves	746,998,638
Incurred Claims per the MLR for the reporting period	750,410,652
Restated prior year incurred claims	(3,412,014)
Adjusted MLR	746,998,638
Difference (rounding)	0

**UnitedHealthcare Community Plan - Middle Tennessee
Reconciliation Between 2A and MLR Report
As of December 31, 2013**

<u>Capitation Revenue</u>	<u>Revenue</u>
Revenue reported per MLR report	935,695,642
STAT Adjustment/Other Revenue	(6,857,478)
	928,838,164
TennCare Capitation per 2A	943,015,500
Less: Restated revenue for prior months	(14,177,336)
	928,838,164
Difference	0
<u>Claims & Reserve</u>	<u>Claims</u>
Total 2A Paid Claims and Change in Reserves	784,798,914
	784,798,914
Incurred Claims per the MLR for the reporting period	794,230,458
Restated prior year incurred claims	(9,431,544)
Adjusted MLR	784,798,914
Difference (rounding)	0

**UnitedHealthcare Community Plan - West Tennessee
Reconciliation Between 2A and MLR Report
As of December 31, 2013**

<u>Capitation Revenue</u>	<u>Revenue</u>
Revenue reported per MLR report	728,406,060
STAT Adjustment/Other Revenue	(3,167,676)
	725,238,384
TennCare Capitation per 2A	734,546,408
Less: Restated revenue for prior months	(9,308,024)
	725,238,384
Difference	0
<u>Claims & Reserve</u>	<u>Claims</u>
Total 2A Paid Claims and Change in Reserves	614,247,701
Incurred Claims per the MLR for the reporting period	621,310,701
Restated prior year incurred claims	(7,063,000)
Adjusted MLR	614,247,701
Difference (rounding)	0



STATE OF TENNESSEE
DEPARTMENT OF COMMERCE AND INSURANCE

CERTIFICATE OF COMPLIANCE COVERING ADVERTISEMENT
OF ACCIDENT AND HEALTH POLICIES

This is to certify that to the best of my knowledge, information and belief, the advertisements which were disseminated by United Healthcare Plan of the River Valley, Inc., during the preceding statement year, complied with or were made to comply in all respects with the provisions of the Insurance Laws and Rules of Tennessee as prescribed in Tenn. Comp. R & Regs., Department of Commerce Insurance, ch. 0780-1-8.17 and the ruling issued thereunder by the State Commissioner of Commerce and Insurance of the State of Tennessee.

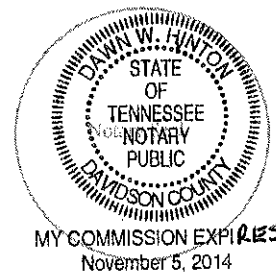
James Wesley Wells 2/5/2014
Authorized Officer Date

Chief Financial Officer
Officers Title

Subscribed and sworn to before me this 5th day of February, 2014
(date)

My Commission Expires 11/5/14
(date)

Dawn W. Hinton
Notary Signature:





ANNUAL STATEMENT FOR THE YEAR 2013 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SUPPLEMENTAL COMPENSATION EXHIBIT

For the Year Ended December 31, 2013

(To be filed by March 1)

PART 1 - INTERROGATORIES

1. The reporting insurer is a member of a group of insurers or other holding company system: Yes No If yes, do the amounts below represent 1) total gross compensation paid to each individual by or on behalf of all companies which are part of the group: Yes ; or 2) allocation to each insurer: Yes
2. Did any person while an officer, director, or trustee of the reporting entity receive directly or indirectly, during the period covered by this statement any commission on the business transactions of the reporting entity? Yes No
3. Except for retirement plans generally applicable to its staff employees, has the reporting entity any agreement with any person, other than contracts with its agents for the payment of commissions whereby it agrees that for any service rendered or to be rendered, that he/she shall receive directly or indirectly, any salary, compensation or emolument that will extend beyond the period of 12 months from the date of the agreement? Yes No

PART 2 - OFFICERS AND EMPLOYEES COMPENSATION

1 Name and Principal Position	2 Year	Annual Compensation			
		3 Salary	4 Bonus	5 All Other Compensation	6 Totals
President, Commercial and Director	2013	62,692	34,444	450,096	547,233
Steven Craig Walli (MO)	2012	7,222	4,328	47,744	59,294
	2011	0	0	0	0
1. Scott Andrew Bowers (TN)	2013	239,000	69,000	412,577	720,577
President, Medicaid Division and Director	2012	237,769	85,000	311,551	634,320
	2011	105,489	57,275	15,870	178,634
2. James Wesley Waters (TN)	2013	170,000	95,200	1,577	266,777
Chief Financial Officer and Director	2012	144,496	39,160	17,682	201,338
	2011	67,206	17,259	8,438	92,904
3. Mark Andrew Murr (TN)	2013	126,980	25,000	322	152,902
General Counsel	2012	124,987	30,112	22,847	177,946
	2011	122,423	36,000	12,113	170,536
4. Tracey Irene McLoone, M.D. (IL)	2013	72,047	14,237	595	86,879
Chief Medical Director and Director	2012	0	0	0	0
	2011	0	0	0	0
5.	2013	0	0	0	0
	2012	0	0	0	0
	2011	0	0	0	0
6.	2013	0	0	0	0
	2012	0	0	0	0
	2011	0	0	0	0
7.	2013	0	0	0	0
	2012	0	0	0	0
	2011	0	0	0	0
8.	2013	0	0	0	0
	2012	0	0	0	0
	2011	0	0	0	0
9.	2013	0	0	0	0
	2012	0	0	0	0
	2011	0	0	0	0

PART 3 - DIRECTOR COMPENSATION

1 Name and Principal Position or Occupation	2 Compensation Paid or Deferred for Services as Director	3 All Other Compensation Paid or Deferred	4 Totals
William Kenneth Appelgate, Ph. D. - Consumer Director (IL)	3,000	0	3,000
Scott Edward Williams - Director (IL)	0	85,916	85,916