

ANNUAL STATEMENT

OF THE

UnitedHealthcare Plan of the River Valley, Inc.

TO THE

Insurance Department

OF THE

STATE OF

Tennessee

FOR THE YEAR ENDED
DECEMBER 31, 2014

HEALTH

2014



HEALTH ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2014
OF THE CONDITION AND AFFAIRS OF THE

UnitedHealthcare Plan of the River Valley, Inc.

NAIC Group Code 0707 0707 NAIC Company Code 95378 Employer's ID Number 36-3379945
(Current) (Prior)

Organized under the Laws of Illinois State of Domicile or Port of Entry Illinois

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [] No [X]

Incorporated/Organized 08/05/1985 Commenced Business 12/19/1985

Statutory Home Office 1300 River Drive, Suite 200 Moline, IL, US 61265
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 1300 River Drive, Suite 200
(Street and Number)
Moline, IL, US 61265 309-736-4600
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 9700 Health Care Lane, MN017-E900 Minnetonka, MN, US 55343
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 1300 River Drive, Suite 200
(Street and Number)
Moline, IL, US 61265 309-736-4600
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address UHCRiverValley.com

Statutory Statement Contact Sally Jane Skiba 651-237-0831
(Name) (Area Code) (Telephone Number)
sally_j_skiba@uhc.com 952-979-7825
(E-mail Address) (FAX Number)

OFFICERS

Secretary Christina Regina Palme-Krizak Chief Financial Officer James Wesley Waters
Treasurer Robert Worth Oberrender

OTHER OFFICERS

Nyle Brent Cottington Assistant Treasurer Michelle Marie Huntley Assistant Secretary Rita Faye Johnson-Mills # President, Medicaid Division
Tracey Irene McLoone, M.D. Chief Medical Director Steven Craig Walli President, Commercial

DIRECTORS

William Kenneth Appelgate Ph.D. James Edward Hecker Rita Faye Johnson-Mills #
Tracey Irene McLoone M.D. Steven Craig Walli James Wesley Waters
Cathie Sue Whiteside Scott Edward Williams

State of MISSOURI State of MINNESOTA State of Tennessee
County of ST LOUIS County of HENNEPIN County of Williamson

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ, or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Steven C Walli Michelle Marie Huntley James Wesley Waters
Steven Craig Walli Michelle Marie Huntley James Wesley Waters
President, Commercial Assistant Secretary Chief Financial Officer

Subscribed and sworn to before me this 27 day of January, 2015 23RD day of January, 2015 29 day of January, 2015
Cynthia L Dalpiazz Nancy M Waskosky Suzanne A Miller



CYNTHIA L. DALPIAZ
My Commission Expires
May 12, 2015
St. Louis County
Commission #11389126



NANCY M WASKOSKY
Notary Public
State of Minnesota
My Commission Expires
January 31, 2020



a. Is this an original filing? Yes [X] No []
b. If no:
1. State the amendment number:
2. Date filed:
3. Number of pages attached:

JURAT

My Commission Expires 3-23-2015

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UnitedHealthcare Plan of the River Valley, Inc. - 2014
Statement of Actuarial Opinion 440

**C&I TENNACNE ANNUAL STATEMENT FOR THE YEAR 2014
UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.
STATEMENT OF ACTUARIAL OPINION**

Table of Key Indicators.

This Opinion is: Unqualified Qualified Adverse Inconclusive

IDENTIFICATION SECTION:

Prescribed Wording Only Prescribed Wording with Additional Wording Revised Wording

SCOPE SECTION:

Prescribed Wording Only Prescribed Wording with Additional Wording Revised Wording

RELIANCE SECTION:

Prescribed Wording Only Prescribed Wording with Additional Wording Revised Wording

OPINION SECTION:

Prescribed Wording Only Prescribed Wording with Additional Wording Revised Wording

RELEVANT COMMENTS:

Revised Wording

The Actuarial Memorandum includes "Deviation from Standard" wording regarding conformity with an Actuarial Standard of Practice.

Identification.

I, Allen J. Sorbo, am Chief Actuary of UnitedHealthcare Insurance Company, of which UnitedHealthcare Plan of the River Valley, Inc. is an affiliate. I am a member of the American Academy of Actuaries. I was appointed on August 29, 2012 in accordance with the requirements of the annual statement instructions. I meet the Academy qualification standards for rendering the opinion.

Scope.

I have examined the assumptions and methods used in determining loss reserves, actuarial liabilities, and related items listed below, as shown in the annual statement of the organization as prepared for filing with state regulatory officials, as of December 31, 2014.

A. Claims unpaid (Page 3, Line 1)	\$366,649,616
B. Accrued medical incentive pool and bonus payments (Page 3, Line 2)	\$6,961,348
C. Unpaid claims adjustment expenses (Page 3, Line 3)	\$5,159,859
D. Aggregate health policy reserves (Page 3, Line 4) including unearned premium reserves, premium deficiency reserves, and additional policy reserves from the Underwriting and Investment Exhibit – Part 2D	\$16,521,719
E. Aggregate life policy reserves (Page 3, Line 5)	\$0

**ANNUAL STATEMENT FOR THE YEAR 2014
UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.
STATEMENT OF ACTUARIAL OPINION**

F. Property/casualty unearned premium reserves (Page 3, Line 6)	\$0
G. Aggregate health claim reserves (Page 3, Line 7)	\$202,498
H. Any other loss reserves, actuarial liabilities, or related items presented as liabilities in the annual statement:	
Reserve for claim interest (Page 3, Line 9, in part)	\$251,632
I. Specified actuarial items presented as assets in the annual statement:	
1. Medicare risk adjustment receivable (Page 2, Line 15.1, Col. 1, in part)	\$45,094,599
2. Health care and other amounts receivable (Page 2, Line 24, Col. 1)	\$67,011,365
3. Affordable Care Act permanent risk adjustment program – premium receivable (Page 2, Line 25, Col. 1, in part)	\$349,323

Reliance.

In forming my opinion on the items identified above, I relied upon data prepared by Nyle Brent Cottingham, Vice President and Regulatory Controller for United HealthCare Services, Inc.; and on Clint M. Egenes, Vice President and Controller for OptumHealth; and on Daniel J. Herr, Director of Accounting for UnitedHealthcare; and on William J. Hnath, VP, Controller for UnitedHealthcare Medicare & Retirement; and on Eric R. Lewis, Controller for UnitedHealthcare Community & State; and on Paul E. Stordahl, Vice President, Actuarial Pricing for Unitedhealthcare, as certified in the attached statements. I evaluated that data for reasonableness and consistency. I also reconciled that data to the Underwriting and Investment Exhibit – Part 2B of the company's current annual statement. In other respects, my examination included review of the actuarial assumptions and actuarial methods used and tests of the calculations I considered necessary.

Opinion.

In my opinion, the amounts carried in the balance sheet on account of the items identified above:

- A. Are in accordance with accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- B. Are based on actuarial assumptions relevant to contract provisions and appropriate to the purpose for which the statement was prepared;
- C. Meet the requirements of the Insurance Laws and regulations of the state of Illinois, and are at least as great as the minimum aggregate amounts required by any state;
- D. Make a good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization under the terms of its contracts and agreements;
- E. Are computed on the basis of assumptions and methods consistent with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- F. Include appropriate provision for all actuarial items that ought to be established.

The Underwriting and Investment Exhibit – Part 2B was reviewed for reasonableness and consistency with the applicable Actuarial Standards of Practice.



February 11, 2015

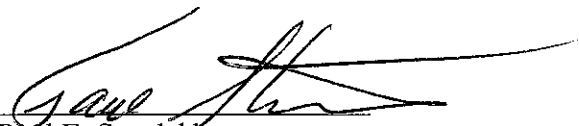
I, Daniel J. Herr, am employed by UnitedHealthcare as Director of Accounting. Listings, summaries, and analyses of claim and exposure data and of balances in the general ledger of UnitedHealthcare Plan of the River Valley, Inc., all relating specifically to the UnitedHealthcare Employer & Individual business of that organization, were prepared under my direction and submitted to Allen J. Sorbo in support of his actuarial opinion for that organization as of December 31, 2014. I hereby affirm that those listings, summaries, and analyses are, to the best of my knowledge and belief, substantially accurate and complete and the same as, or derived from, the records and other data which form the basis of the annual statement of the organization for the year ended December 31, 2014.

A handwritten signature in cursive script, reading 'Daniel J. Herr', written over a horizontal line.

Daniel J. Herr
9700 Health Care Lane
MN017-W900
Minnetonka, MN 55343
952-979-6244

February 11, 2015

I, Paul E. Stordahl, am employed by Unitedhealthcare as Vice President Actuarial Pricing. Listings, summaries, and analyses relating to the permanent risk adjustment program of the Affordable Care Act with regard to UnitedHealthcare Plan of the River Valley, Inc., were prepared under my direction and submitted to Allen J. Sorbo in support of his statement of actuarial opinion for that company as of December 31, 2014. I hereby affirm that those listings and summaries, to the best of my knowledge and belief, are substantially accurate and complete.



Paul E. Stordahl
Vice President Actuarial Pricing
952.979.5644
952.979.5644



UnitedHealthcare[®]

COMMUNITY & STATE

February 11, 2015

I, Eric R. Lewis, am employed by UnitedHealthcare Community & State as Controller. Listings, summaries, and analyses of claim and exposure data and of balances in the general ledger of UnitedHealthcare Plan of the River Valley, Inc., all relating specifically to the UnitedHealthcare Community & State business of that organization, were prepared under my direction and submitted to Allen J. Sorbo in support of his actuarial opinion for that organization as of December 31, 2014. I hereby affirm that those listings, summaries, and analyses are, to the best of my knowledge and belief, substantially accurate and complete and the same as, or derived from, the records and other data which form the basis of the annual statement of the organization for the year ended December 31, 2014.

Eric R. Lewis
9800 Health Care Lane
Minnetonka, MN 55343
952-931-5953



UnitedHealthcare Medicare & Retirement
9800 Health Care Lane
MN006-W010
Minnetonka, MN 55343

February 11, 2015

I, William J. Hnath, am employed by UnitedHealthcare Medicare & Retirement as VP, Controller. Listings, summaries, and analyses of claim and exposure data and of balances in the general ledger of UnitedHealthcare Plan of the River Valley, Inc., all relating specifically to the UnitedHealthcare Medicare & Retirement business of that organization, were prepared under my direction and submitted to Allen J. Sorbo in support of his actuarial opinion for that organization as of December 31, 2014. I hereby affirm that those listings, summaries, and analyses are, to the best of my knowledge and belief, substantially accurate and complete and the same as, or derived from, the records and other data which form the basis of the annual statement of the organization for the year ended December 31, 2014.

A handwritten signature in black ink, appearing to read 'William J. Hnath', written over a horizontal line.

William J. Hnath
Tel. #952-931-4860



Nyle Brent Cottington
Vice President & Regulatory Controller
MN017-E900
9700 Health Care Lane
Minnetonka, MN 55343
952-979-6133 phone
952-979-7825 fax

February 11, 2015

I, Nyle Brent Cottington, am employed by United HealthCare Services, Inc. as Vice President and Regulatory Controller. Listings and summaries reconciling the annual statement as of December 31, 2014, for UnitedHealthcare Plan of the River Valley, Inc., to the company's general ledger were prepared under my direction and submitted to Allen J. Sorbo in support of his statement of actuarial opinion for that company as of December 31, 2014. I hereby affirm that those listings and summaries, to the best of my knowledge and belief, are substantially accurate and complete and are the same as, or derived from, the records and other data that form the basis for the company's annual statement for the year ended December 31, 2014.



Nyle Brent Cottington
United HealthCare Services, Inc.



January 12, 2015

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Eastern Tennessee, Long Term Care

As of and for the Period Ended December 31, 2014

I, Kevin Francis, am a Member of the American Academy of Actuaries (Academy) and Vice President of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid Long Term Care risk business in Eastern Tennessee, which had approximately 6,100 members in December 2014. This business became effective August 1, 2010.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2014.

I have determined that the appropriate level for claims liability for this block of business is \$22,781,959.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

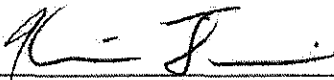
- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;

- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;
- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

January 12, 2015



Kevin Francis, FSA, MAAA

Vice President of Actuarial Services

United HealthCare Community and State

9800 Health Care Lane,

Minnetonka, MN 55343

(952) 931-4690

E-mail: kevin.francis@uhc.com



January 12, 2015

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Middle Tennessee, Excluding Long Term Care

As of and for the Period Ended December 31, 2014

I, Kevin Francis, am a Member of the American Academy of Actuaries (Academy) and Vice President of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid risk business in Middle Tennessee, which had approximately 223,000 members in December 2014. This business became effective April 1, 2007.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2014.

I have determined that the appropriate level for claims liability for this block of business is \$78,788,287.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

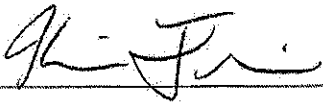
- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;
- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;

- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

January 12, 2015



Kevin Francis, FSA, MAAA

Vice President of Actuarial Services

United HealthCare Community and State

9800 Health Care Lane,

Minnetonka, MN 55343

(952) 931-4690

E-mail: kevin.francis@uhc.com



January 12, 2015

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Western Tennessee, Excluding Long Term Care

As of and for the Period Ended December 31, 2014

I, Kevin Francis, am a Member of the American Academy of Actuaries (Academy) and Vice President of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid risk business in Western Tennessee, which had approximately 189,900 members in December 2014. This business became effective November 1, 2008.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2014.

I have determined that the appropriate level for claims liability for this block of business is \$57,197,588.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

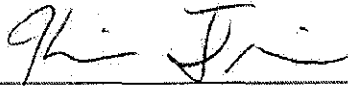
- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;
- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;

- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

January 12, 2015



Kevin Francis, FSA, MAAA
Vice President of Actuarial Services
United HealthCare Community and State
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C&I TENNCARE

Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 12, 2015
Valuation Date: December 2014
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2014 – Risk Business – Eastern Tennessee – Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$22,781,959 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2014, this block of business had approximately 6,100 members.

A handwritten signature in black ink, appearing to read "Kevin Francis", written over a horizontal line.

Kevin Francis, FSA, MAAA

Vice President of Actuarial Services

United HealthCare Community and State

9800 Health Care Lane,

Minnetonka, MN 55343

(952) 931-4690

E-mail: kevin.francis@uhc.com



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 12, 2015
Valuation Date: December 2014
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2014 – Risk Business – Middle Tennessee – Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$18,876,515 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2014, this block of business had approximately 5,200 members.

A handwritten signature in black ink, appearing to read "Kevin Francis", written over a horizontal line.

Kevin Francis, FSA, MAAA

Vice President of Actuarial Services

United HealthCare Community and State

9800 Health Care Lane,

Minnetonka, MN 55343

(952) 931-4690

E-mail: kevin.francis@uhc.com



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 12, 2015
Valuation Date: December 2014
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2014 – Risk Business –
Western Tennessee – Excluding Long Term Care

I, Kevin Francis, am Vice President of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$57,197,588 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2014, this block of business had approximately 189,900 members.

A handwritten signature in black ink, appearing to read "Kevin Francis", written over a horizontal line.

Kevin Francis, FSA, MAAA

Vice President of Actuarial Services

United HealthCare Community and State

9800 Health Care Lane,

Minnetonka, MN 55343

(952) 931-4690

E-mail: kevin.francis@uhc.com

**UnitedHealthcare Community Plan - Tennessee
Reconciliation Between 2A and MLR Report
As of Dec 31, 2014**

<u>Capitation Revenue</u>	<u>Revenue</u>
Revenue reported per MLR report	2,706,859,418
STAT Adjustment/Other Revenue	46,198,054
	<u>2,753,057,471</u>
TennCare Capitation per 2A	2,772,106,421
Less: Restated revenue for prior months	(19,048,949)
	<u>2,753,057,471</u>
Difference	<u>0</u>
<u>Claims & Reserve</u>	<u>Claims</u>
Total 2A Paid Claims and Change in Reserves	2,123,435,038
	<u>2,123,435,038</u>
Incurred Claims per the MLR for the reporting period	2,180,649,759
Restated prior year incurred claims	(57,214,721)
Adjusted MLR	<u>2,123,435,038</u>
Difference (rounding)	<u>0</u>

**UnitedHealthcare Community Plan - East Tennessee
Reconciliation Between 2A and MLR Report
As of Dec 31, 2014**

<u>Capitation Revenue</u>	<u>Revenue</u>
Revenue reported per MLR report	942,864,115
STAT Adjustment/Other Revenue	15,797,533
	958,661,648
TennCare Capitation per 2A	965,211,776
Less: Restated revenue for prior months	(6,550,127)
	958,661,648
Difference	0
<u>Claims & Reserve</u>	<u>Claims</u>
Total 2A Paid Claims and Change in Reserves	747,770,977
Incurred Claims per the MLR for the reporting period	764,982,335
Restated prior year incurred claims	(17,211,358)
Adjusted MLR	747,770,977
Difference (rounding)	0

**UnitedHealthcare Community Plan - Middle Tennessee
Reconciliation Between 2A and MLR Report
As of Dec 31, 2014**

<u>Capitation Revenue</u>	<u>Revenue</u>
Revenue reported per MLR report	998,088,055
STAT Adjustment/Other Revenue	16,881,450
	1,014,969,506
TennCare Capitation per 2A	1,021,248,948
Less: Restated revenue for prior months	(6,279,442)
	1,014,969,506
Difference	0
<u>Claims & Reserve</u>	<u>Claims</u>
Total 2A Paid Claims and Change in Reserves	777,054,597
	777,054,597
Incurred Claims per the MLR for the reporting period	804,830,166
Restated prior year incurred claims	(27,775,569)
Adjusted MLR	777,054,597
Difference (rounding)	0

UnitedHealthcare Community Plan - West Tennessee
Reconciliation Between 2A and MLR Report
As of Dec 31, 2014

<u>Capitation Revenue</u>	<u>Revenue</u>
Revenue reported per MLR report	765,907,247
STAT Adjustment/Other Revenue	13,519,070
	779,426,318
TennCare Capitation per 2A	785,645,698
Less: Restated revenue for prior months	(6,219,380)
	779,426,318
Difference	0
<u>Claims & Reserve</u>	<u>Claims</u>
Total 2A Paid Claims and Change in Reserves	598,609,464
Incurred Claims per the MLR for the reporting period	610,837,258
Restated prior year incurred claims	(12,227,794)
Adjusted MLR	598,609,464
Difference (rounding)	0

Retro Accrual in AR Paid YTD Sept 2014

12/31/2013 AR balance by component

	TennCare		Medicaid		LTC		Medicaid		LTC	
	withhold	LTC withhold	withhold	withhold	withhold	withhold	withhold	withhold	withhold	withhold
TennCare withhold	3,785,327	1,726,783								
GL Accrual for future retroactive premium										5,512,111
GL Accrual for TennCare rate change							9,933,647		25,698,179	436,778
GL Accrual for LTC members not receiving LTC services					2,657,003	(37,588,839)				26,134,656
										9,933,647
										(34,929,836)
GL balance 12/31/2013	3,785,327	1,726,783	2,657,003	(37,588,839)	9,933,647	25,698,179	436,778			6,650,878

2014 Cash receipts related to 2013 service dates	Prior Medicaid withhold paid	Prior LTC withhold paid	Current Medicaid withhold on 2013 service dates	Current LTC withhold on 2013 service dates			Premium to record sent in 820 remittance		Total deposits related to 2013			
							record sent in 820 remittance	record sent in 820 remittance				
Jan-14	(3,785,327)	(1,726,783)	292,328	6,433			(11,693,109)	(377,323)	(17,280,782)	(15,186,109)	(2,094,673)	(17,280,782)
Feb-14	(292,328)	(9,433)	393,232	(7,659)			(5,795,615)	306,343	(15,339,107)	(15,628,358)	289,261	(15,339,107)
Mar-14	(383,232)	7,659	100,465	3,278			(4,018,582)	(131,125)	(4,431,538)	(4,311,348)	(120,189)	(4,431,538)
Apr-14	(100,465)	(3,278)	82,515	3,361	(185,946)	3,300,280	(3,300,584)	(134,445)	(336,562)	(3,504,480)	3,165,918	(336,562)
May-14	(82,515)	(3,361)	78,888	3,301			(3,155,511)	(132,057)	(3,291,254)	(3,159,136)	(132,117)	(3,291,254)
Jun-14	(78,888)	(3,301)	62,825	3,419	(140,879)	5,522,414	(2,512,994)	(136,753)	2,716,043	(2,669,735)	5,385,779	2,716,043
Jul-14	(62,825)	(3,419)	37,387	142			(1,495,470)	(5,686)	(1,529,970)	(1,520,908)	(8,961)	(1,529,970)
Aug-14	(37,387)	(142)	26,437	(577)	(434,120)	6,028,315	(1,057,470)	23,089	4,548,145	(1,502,540)	6,030,695	4,548,145
Sep-14	(26,437)	577	29,039	2,389			(1,181,669)	(95,552)	(1,251,553)	(1,158,967)	(92,586)	(1,251,553)
Oct-14	(29,039)	(2,389)	19,192	655			(767,697)	(26,180)	(805,458)	(777,543)	(27,914)	(805,458)
Nov-14	(19,192)	(655)	13,845	212	(260,041)	5,853,404	(553,805)	(8,491)	5,025,278	(819,193)	5,844,471	5,025,278
Dec-14	(13,845)	(212)	5,223	(138)			(208,919)	5,535	(212,357)	(217,541)	5,164	(212,357)
Total 2014 cash deposits for 2013 retro cash							(35,721,325)	(712,644)	(32,191,015)	(39,501,430)	(2,439,566)	(41,940,895)

UHC Plan of the River Valley
Reconciliation of Cash to Accrual Basis Accounting
As of December 30, 2014

	<u>Middle</u>	<u>East</u>	<u>West</u>	<u>Consolidated</u>	<u>Key</u>
Cash received per premium tax reconciliation	1,084,617,832	1,021,454,909	834,748,895	2,940,821,636	
Change in accruals	(3,503,399)	4,671,385	21,617	1,189,603	a
Change in withhold	393,581	221,525	209,963	825,069	b
Return of prior Liquidated damages amount not included as revenue	(8,862)	-	-	(8,862)	c
TennCare reimbursement of SSI claims paid on retro enrolled members(recorded as a reduction to medical expense)	(550,190)	(1,089,234)	(489,136)	(2,128,560)	d
2014 Revenue recoupment in Jan prepayment	(160,035)	(21,463)	-	(181,498)	e
TennCare Jan cap prepayment	(64,420,735)	(64,193,324)	(51,748,625)	(180,362,685)	f
Accrual basis	1,016,368,192	961,043,798	782,742,713	2,760,154,703	
2A Reported premiums	1,016,368,192	961,043,798	782,742,713	2,760,154,703	
Difference	0	0	0	0	

Key

- (a) Consistent with NAIC guidelines, UPRV records premiums on an accrual basis of accounting. The total accrued premium amount in Dec 2014 was \$1.2M more than the premium accrued for at Dec 2013.
- (b) This item is created by the Dec 2014 withholds being 0.8M more than the Dec 2013 withholds as a result of Dec 2014 premiums paid being \$33M more than Dec 2013 premiums paid due to increased membership.
- (c) Item is cash received for returned liquidated damage amount that is included in the premium tax calculation, but not recorded as revenue
- (d) Amount is the TennCare reimbursement of SSI claims paid by UHPRV on member months that were retro enrolled outside of a 12 month period. The reimbursement amount was recorded as a reduction to medical expense rather than an increase to revenue.
- (e) Amount is a revenue STAT adjustment due to a TennCare recoupment of 2014 revenue that came in the Jan 2015 premium prepayment made in Dec 2014.
- (f) Cash received for the Jan 2015 premium prepayment made in Dec 2014.

Accrual change is calculated as follows:

	<u>Middle</u>	<u>East</u>	<u>West</u>	<u>Consolidated</u>
Reversal of December 2013 Medicaid rate change accrual	(6,459,206)	88,434	(3,562,875)	(9,933,647)
Reversal of December 2013 accrual for future retro membership	(8,048,052)	(10,528,616)	(7,558,288)	(26,134,956)
Reversal of December 2013 members without services revenue recoupment accrual	10,640,649	12,864,865	11,424,321	34,929,835
Dec 2014 accrual for future retro membership	11,042,027	13,419,380	9,125,830	33,587,236
Dec 2014 accrual for members without services revenue recoupment accrual	(5,958,095)	(7,026,164)	(6,504,387)	(19,488,646)
Dec 2014 PPACA risk corridor accrual	(4,720,721)	(4,146,514)	(2,902,985)	(11,770,220)
Total change in accrual	(3,503,399)	4,671,385	21,617	1,189,603

**AmeriChoice - Tennessee
Medicaid Retroactive Accrual
December-14**

Total						
IDB	Mid TN (Location USATN006)		West TN (Location USATN806)		East TN (Location USATN024)	
	Membership	Revenue	Membership	Revenue	Membership	Revenue
Jan-14	37	56,078.50	48	57,936.77	86	80,840.84
Feb-14	81	118,176.05	103	114,044.46	184	169,458.05
Mar-14	145	160,307.06	173	165,053.93	307	246,328.84
Apr-14	244	210,937.68	275	211,483.79	479	338,595.79
May-14	398	291,510.62	420	280,190.17	718	468,176.73
Jun-14	624	410,818.39	628	383,025.03	1,038	623,064.76
Jul-14	905	565,141.94	872	532,038.72	1,420	799,799.98
Aug-14	1,290	759,759.32	1,196	721,982.60	1,954	1,025,436.55
Sep-14	1,842	1,033,347.31	1,643	934,902.71	2,663	1,325,684.56
Oct-14	2,587	1,445,127.05	2,219	1,234,269.78	3,569	1,781,095.19
Nov-14	3,958	2,325,363.90	3,276	1,827,566.30	5,194	2,698,443.82
Dec-14	6,523	3,509,561.06	5,091	2,536,104.44	7,585	3,596,467.45
Total	18,635	10,886,128.88	15,945	8,998,598.72	25,197	13,153,392.57

Prior Month	17,934	10,919,358.49	15,640	9,438,111.75	24,625	13,246,917.91
	700	(33,229.61)	305	(439,513.03)	572	(93,525.35)
Current Total	59,776	33,038,120.16				
Prior Total	58,199	33,604,388.15				
Increase/Decrease in Accrual		666,267.99				

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SUPPLEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SUPPLEMENTAL COMPENSATION EXHIBIT

For the Year Ended December 31, 2014

(To be filed by March 1)

PART 1 - INTERROGATORIES

- The reporting insurer is a member of a group of insurers or other holding company system: Yes No If yes, do the amounts below represent 1) total gross compensation earned for each individual by or on behalf of all companies which are part of the group: Yes ; or 2) allocation to each insurer: Yes
- Did any person while an officer, director, or trustee of the reporting entity receive directly or indirectly, during the period covered by this statement any commission on the business transactions of the reporting entity? Yes No
- Except for retirement plans generally applicable to its staff employees, has the reporting entity any agreement with any person, other than contracts with its agents for the payment of commissions whereby it agrees that for any service rendered or to be rendered, that he/she shall receive directly or indirectly, any salary, compensation or emolument that will extend beyond the period of 12 months from the date of the agreement? Yes No

PART 2 - OFFICERS AND EMPLOYEES COMPENSATION

1 Name and Principal Position	2 Year	3 Salary	4 Bonus	5 Stock Awards	6 Option Awards	7 Sign-on Payments	8 Severance Payments	9 All Other Compensation	10 Totals
Current:									
1. Steven Craig Walli (MO) President, Commercial and Director	2014	63,333	27,778	287,865	541,278	0	0	1,349	921,603
	2013	62,692	34,444	0	449,215	0	0	880	547,233
	2012	7,222	4,328	19,631	28,007	0	0	106	59,294
Current:									
2. James Wesley Waters (TN) Chief Financial Officer and Director	2014	179,131	0	3,096	4,617	0	0	1,536	188,379
	2013	170,000	95,200	0	0	0	0	1,577	266,777
	2012	144,785	39,238	5,048	9,593	0	0	3,077	201,740
3. Rita Faye Johnson-Mills (TN) President, Medicaid Division and Director	2014	165,000	42,857	179,233	61,828	0	0	19,342	468,260
	2013	0	0	0	0	0	0	0	0
	2012	0	0	0	0	0	0	0	0
4. Mark Andrew Murr (TN) General Counsel	2014	129,399	20,850	0	0	0	0	5,122	155,371
	2013	126,980	25,000	0	0	0	0	322	152,302
	2012	124,987	30,112	22,487	0	0	0	359	177,945
5.	2014	0	0	0	0	0	0	0	0
	2013	0	0	0	0	0	0	0	0
	2012	0	0	0	0	0	0	0	0
6.	2014	0	0	0	0	0	0	0	0
	2013	0	0	0	0	0	0	0	0
	2012	0	0	0	0	0	0	0	0
7.	2014	0	0	0	0	0	0	0	0
	2013	0	0	0	0	0	0	0	0
	2012	0	0	0	0	0	0	0	0
8.	2014	0	0	0	0	0	0	0	0
	2013	0	0	0	0	0	0	0	0
	2012	0	0	0	0	0	0	0	0
9.	2014	0	0	0	0	0	0	0	0
	2013	0	0	0	0	0	0	0	0
	2012	0	0	0	0	0	0	0	0
10.	2014	0	0	0	0	0	0	0	0
	2013	0	0	0	0	0	0	0	0
	2012	0	0	0	0	0	0	0	0

PART 3 - DIRECTOR COMPENSATION

1 Name and Principal Position or Occupation and Company (if Outside Director)	2 Paid or Deferred for Services as Director				6 All Other Compensation Paid or Deferred	7 Totals
	3 Direct Compensation	4 Stock Awards	5 Option Awards	Other		
William Kenneth Appelgate Ph.D. - Consumer Director (IL)	3,000	0	0	0	0	3,000
Scott Andrew Bowers - Former Director (TN)	0	0	0	0	464,707	464,707
James Edward Hecker - Consumer Director (IL)	3,000	0	0	0	0	3,000
Tracey Irene McLoone, M.D. - Director (IL)	0	0	0	0	85,042	85,042
Cathie Sue Whiteside - Consumer Director (IL)	3,000	0	0	0	0	3,000
Scott Edward Williams - Director (IL)	0	0	0	0	104,500	104,500
	0	0	0	0	0	0
	0	0	0	0	0	0
	0	0	0	0	0	0
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	0	0	0	0	0	0
	0	0	0	0	0	0

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SUPPLEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

PART 3 - DIRECTOR COMPENSATION

1 Name and Principal Position or Occupation and Company (if Outside Director)	Paid or Deferred for Services as Director				6 All Other Compensation Paid or Deferred	7 Totals
	2 Direct Compensation	3 Stock Awards	4 Option Awards	5 Other		
	.0	.0	.0	.0	.0	.0
	.0	.0	.0	.0	.0	.0
	.0	.0	.0	.0	.0	.0
	.0	.0	.0	.0	.0	.0
	.0	.0	.0	.0	.0	.0
	.0	.0	.0	.0	.0	.0
	.0	.0	.0	.0	.0	.0
	.0	.0	.0	.0	.0	.0

SUPPLEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

PART 4 - NARRATIVE DESCRIPTION OF MATERIAL FACTORS

Provide a narrative description of any material factors necessary to gain an understanding of the information disclosed in the tables.

N/A

Americhoice - Tennessee
Report 2A - TennCare Income Statement
 CRA 2.30.14.3.3 and 2.30.14.3.4

	Member Months	East	Middle	West	Total TN
		<u>2,649,912</u>	<u>2,566,342</u>	<u>2,216,780</u>	<u>7,333,034</u>
Revenues:					
TennCare Capitation		949,414,242	1,004,367,498	772,126,627	2,725,908,367
Other Revenue - TennCare Bonuses		461,955	442,543	364,798	1,269,296
Other Revenue - Estimated TennCare Health Insurer Reimbursement Fee		15,335,578	16,438,907	13,154,273	44,928,758
STAT Adjustments		(1,659,595)	(1,494,876)	(1,082,209)	(4,236,680)
Total Revenues		<u>963,552,180</u>	<u>1,019,754,071</u>	<u>784,563,489</u>	<u>2,767,869,741</u>
Estimated Expenses:					
Hospital and Medical (w/o Mental Health)					
Capitated Physician Services		-	-	-	-
Fee-for Service Physician Services		160,521,374	185,184,619	125,568,988	471,274,981
Inpatient Hospital Services		113,059,995	132,025,254	111,525,029	356,610,279
Outpatient Hospital Services		47,410,043	42,253,734	27,412,829	117,076,607
Emergency Room Services		70,938,427	53,328,418	39,371,899	163,638,744
Dental Services		-	-	-	-
Vision Services		4,302,861	3,087,057	3,392,564	10,782,481
Pharmacy Services		-	-	-	-
Home Health Services		10,191,487	17,229,323	14,640,508	42,061,318
Chiropractic Services		-	-	-	-
Radiology Services		15,060,372	17,955,440	9,955,822	42,971,635
Laboratory Services		15,159,961	19,198,794	8,892,110	43,250,865
Durable Medical Equipment Services		10,100,822	10,495,836	6,904,050	27,500,708
Transportation Services		13,355,631	17,865,836	16,009,938	47,231,405
Outside Referrals		-	-	-	-
Medical Incentive Pool and Withhold Adj		-	-	-	-
Occupancy, Depreciation and Amortization		-	-	-	-
Nursing Facility Care		186,844,336	145,589,700	137,664,669	470,098,705
HCBS Services		35,394,274	39,694,670	36,690,947	111,779,892
Other Medical and Hospital Services - Write-Ins		9,357,437	11,802,350	9,182,192	30,341,979
Subtotal Medical and Hospital		<u>691,697,020</u>	<u>695,711,032</u>	<u>547,211,547</u>	<u>1,934,619,599</u>
Mental Health and Substance Abuse Services					
Inpatient Psychiatric Facility Services		7,999,027	10,639,414	12,070,213	30,708,653
Inpatient Substance Abuse Treatment and Detox		1,483,449	2,810,204	694,084	4,987,737
Outpatient Mental Health Services		6,974,086	13,618,995	2,735,380	23,328,461
Outpatient Substance Abuse Treatment and Detox		171,781	174,724	127,285	473,790
Housing/Residential Treatment		6,699,587	6,202,182	6,602,159	19,503,928
Specialized Crisis Services		3,423,313	5,216,102	2,433,544	11,072,959
Psychiatric Rehab and Support Services		5,579,979	9,240,475	9,901,180	24,721,634
Case Management		21,105,131	28,816,241	14,254,066	64,175,438
Forensics		-	-	-	-
Other Judicial		-	-	-	-
Pharmacy		-	-	-	-
Lab Services		-	-	-	-
Transportation		1,598,287	1,748,679	1,542,411	4,889,376
Medical Incentive Pool and Withhold Adjustments		-	-	-	-
Occupancy, Depreciation and Amortization		-	-	-	-
Other Mental Health and Substance Abuse Services		1,039,318	2,876,549	1,037,597	4,953,464
PCP and Specialist Services		-	-	-	-
Other Mental Health Services - Write-Ins		-	-	-	-
Subtotal MH&SAS		<u>56,073,957</u>	<u>81,343,565</u>	<u>51,397,917</u>	<u>188,815,440</u>
Subtotal Hospital, Medical, MH&SAS, CHOICES		<u>747,770,977</u>	<u>777,054,597</u>	<u>598,609,464</u>	<u>2,123,435,038</u>
LESS:					
Net Reinsurance Recoveries Incurred		-	-	-	-
Copayments		-	-	-	-
Subrogation and Coordination of Benefits		-	-	-	-
Subtotal Reinsurance, Copay, Subrogation		-	-	-	-
Total Hospital, Medical, MHS&S		<u>747,770,977</u>	<u>777,054,597</u>	<u>598,609,464</u>	<u>2,123,435,038</u>
Administration:					
Compensation		-	-	-	-
Direct and Allocated Admin expenses		-	-	-	-
Marketing		-	-	-	-
Interest Expense		-	-	-	-
Premium Tax Expense		56,180,020	59,653,981	45,911,189	161,745,190
Occupancy, Depreciation, and Amortization		-	-	-	-
Other Administration - Write-Ins		85,065,466	90,497,575	66,797,618	242,360,659
Fines and Penalties - Other Write-Ins		206,848	336,952	185,933	729,733
Total Administration Expenses		<u>141,452,334</u>	<u>150,488,508</u>	<u>112,894,740</u>	<u>404,835,582</u>
Total Expenses		<u>889,223,312</u>	<u>927,543,105</u>	<u>711,504,204</u>	<u>2,528,270,620</u>
Extraordinary Item		-	-	-	-
Provision for Income Tax		29,203,030	36,132,043	28,183,740	93,518,813
Net Income (Loss)		<u>45,125,839</u>	<u>56,078,923</u>	<u>44,875,545</u>	<u>146,080,307</u>
Write-Ins for Other Revenues:					
Total Other Revenues		-	-	-	-
Write-Ins for Other Medical and Hospital:					
Other Miscellaneous		9,357,251	11,251,750	8,211,345	28,820,346
Other Capitated Services		186	550,600	970,847	1,521,633
Total Other Medical and Hospital		<u>9,357,437</u>	<u>11,802,350</u>	<u>9,182,192</u>	<u>30,341,979</u>
Detail of Other MH and SAS:					
Total Other MH and SAS		-	-	-	-
Write-Ins for Other Administration:					
Administrative Services Fee		58,016,095	61,382,744	47,268,390	166,667,229
Behaviorial Healthcare Services		6,005,575	7,401,001	3,855,210	17,261,786
Spectera Administration Fees		-	-	-	-
Other Miscellaneous		21,250,645	22,050,782	15,859,950	59,161,377
Total Other Administration		<u>85,272,314</u>	<u>90,834,527</u>	<u>66,983,551</u>	<u>243,090,392</u>

1. The statutory quarterly filing Statement of Revenue and Expenses and the 2A includes TennCare incentives which are presented as Other Revenue per the direction of the TDCI. As these incentives are not considered written premiums or earned premiums per the NAIC guidelines these amounts have been excluded from the statutory quarterly filing Exhibit of Premiums, Enrollment, and Utilization.

2. The provision for federal income taxes incurred is different from that which would be obtained by applying the statutory federal income tax rate of 35% to net income before federal income taxes, plus capital gains tax primarily as a result of the new annual health insurer fee under section 9010 of the ACA that requires the Company to expense 100% of the estimated annual fee on January 1, 2014 which is nondeductible for tax purposes. The Company estimates its health insurer fee on the Medicaid product line to be \$27,597,490 in 2014, which will be payable in December 2014 and is included in Other Administration - Write-Ins in the Report 2A.

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UnitedHealthcare Community Plan - East Tennessee
 Report 2A - TennCare Income Statement
 CRA 2.30.14.3.3 and 2.30.14.3.4

Member Months	Current Qtr YTD	YTD Total as of	Prior Year as of
	Total as of 12/31/2014	12/31/2014	12/31/2013
	<u>683,936</u>	<u>2,549,912</u>	<u>2,354,086</u>
Revenues:			
TennCare Capitation	259,325,907	949,414,242	891,190,018
Other Revenue - TennCare Bonuses	141,023	461,955	462,621
Other Revenue - Estimated TennCare Health Insurer Reimbursement Fee	3,300,708	15,335,578	-
STAT Adjustments	(1,659,595)	(1,659,595)	-
Total Revenues	<u>261,108,043</u>	<u>963,552,180</u>	<u>891,652,640</u>
Estimated Expenses:			
Hospital and Medical (w/o Mental Health)			
Capitated Physician Services	-	-	-
Fee-for-Service Physician Services	44,384,570	160,521,374	170,006,951
Inpatient Hospital Services	28,387,743	113,059,995	113,047,171
Outpatient Hospital Services	12,098,055	47,410,043	50,933,247
Emergency Room Services	19,159,656	70,938,427	72,622,646
Dental Services	-	-	-
Vision Services	936,409	4,302,861	3,285,209
Pharmacy Services	-	-	-
Home Health Services	2,366,565	10,191,487	12,425,292
Chiropractic Services	-	-	-
Radiology Services	4,006,279	15,060,372	17,158,266
Laboratory Services	4,302,995	15,159,961	15,455,084
Durable Medical Equipment Services	2,650,364	10,100,822	10,154,731
Transportation Services	3,258,826	13,355,631	13,504,291
Outside Referrals	-	-	-
Medical Incentive Pool and Withhold Adj	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Nursing Facility Care	47,144,594	186,844,336	182,393,986
HCBS Services	8,800,670	35,394,274	34,769,134
Other Medical and Hospital Services - Write-Ins	8,995,637	9,357,437	1,273,143
Subtotal Medical and Hospital	<u>186,492,363</u>	<u>691,697,020</u>	<u>697,029,151</u>
Mental Health and Substance Abuse Services			
Inpatient Psychiatric Facility Services	2,085,051	7,999,027	7,574,048
Inpatient Substance Abuse Treatment and Detox	322,507	1,483,449	1,581,262
Outpatient Mental Health Services	1,636,848	6,974,086	6,253,640
Outpatient Substance Abuse Treatment and Detox	38,161	171,781	139,163
Housing/Residential Treatment	1,479,628	6,699,587	6,503,767
Specialized Crisis Services	734,041	3,423,313	3,288,469
Psychiatric Rehab and Support Services	1,447,391	5,579,979	4,522,473
Case Management	4,640,409	21,105,131	17,695,958
Forensics	-	-	-
Other Judicial	-	-	-
Pharmacy	-	-	-
Lab Services	-	-	-
Transportation	398,701	1,598,287	1,496,203
Medical Incentive Pool and Withhold Adjustments	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Other Mental Health and Substance Abuse Services	262,445	1,039,318	914,506
PCP and Specialist Services	-	-	-
Other Mental Health Services - Write-Ins	-	-	-
Subtotal MH&SAS	<u>13,045,183</u>	<u>56,073,957</u>	<u>49,969,487</u>
Subtotal Hospital, Medical, MH&SAS, CHOICES	<u>199,537,547</u>	<u>747,770,977</u>	<u>746,998,638</u>
LESS:			
Net Reinsurance Recoveries Incurred	-	-	-
Copayments	-	-	-
Subrogation and Coordination of Benefits	-	-	-
Subtotal Reinsurance, Copay, Subrogation	<u>-</u>	<u>-</u>	<u>-</u>
Total Hospital, Medical, MHS&S	<u>199,537,547</u>	<u>747,770,977</u>	<u>746,998,638</u>
Administration:			
Compensation	-	-	-
Direct and Allocated Admin expenses	-	-	-
Marketing	-	-	-
Interest Expense	-	-	-
Premium Tax Expense	18,629,378	56,180,020	49,695,933
Occupancy, Depreciation, and Amortization	-	-	-
Other Administration - Write-Ins	18,814,155	85,065,466	65,706,017
Fines and Penalties - Other Write-Ins	60,000	206,848	174,364
Total Administration Expenses	<u>37,503,532</u>	<u>141,452,334</u>	<u>115,576,314</u>
Total Expenses	<u>237,041,079</u>	<u>889,223,312</u>	<u>862,574,952</u>
Extraordinary Item	-	-	-
Provision for Income Tax	8,311,358	29,203,030	10,177,191
Net Income (Loss)	<u>15,755,605</u>	<u>45,125,839</u>	<u>18,900,497</u>
Write-Ins for Other Revenues:			
Total Other Revenues	<u>-</u>	<u>-</u>	<u>-</u>
Write-Ins for Other Medical and Hospital:			
Other Miscellaneous	8,995,637	9,357,251	1,270,183
Other Capitated Services	-	186	2,960
Total Other Medical and Hospital	<u>8,995,637</u>	<u>9,357,437</u>	<u>1,273,143</u>
Detail of Other MH and SAS:			
Total Other MH and SAS	<u>-</u>	<u>-</u>	<u>-</u>
Write-Ins for Other Administration:			
Administrative Services Fee	12,604,030	58,016,095	53,184,303
Behavioral Healthcare Services	1,592,726	6,005,575	5,553,663
Speclera Administration Fees	-	-	-
Other Miscellaneous	4,677,399	21,250,645	7,142,416
Total Other Administration	<u>18,874,155</u>	<u>85,272,314</u>	<u>65,880,381</u>

1. The statutory quarterly filing Statement of Revenue and Expenses and the 2A includes TennCare incentives which are presented as Other Revenue per the direction of the TDCL. As these incentives are not considered written premiums or earned premiums per the NAIC guidelines these amounts have been excluded from the statutory quarterly filing Exhibit of Premiums, Enrollment, and Utilization.

2. The provision for federal income taxes incurred is different from that which would be obtained by applying the statutory federal income tax rate of 35% to net income before federal income taxes, plus capital gains tax primarily as a result of the new annual health insurer fee under section 9010 of the ACA that requires the Company to expense 100% of the estimated annual fee on January 1, 2014 which is nondeductible for tax purposes. The Company estimates its health insurer fee on the Medicaid product line to be \$27,597,490 in 2014, which will be payable in December 2014 and is included in Other Administration - Write-Ins in the Report 2A.

UnitedHealthcare Community Plan - Middle Tennessee
 Report 2A - TennCare Income Statement
 CRA 2.30.14.3.3 and 2.30.14.3.4

Member Months	Current Qtr YTD	YTD Total as of	Prior Year as of
	Total as of 12/31/2014	12/31/2014	12/31/2013
	688,339	2,566,342	2,378,683
Revenues:			
TennCare Capitation	274,876,361	1,004,367,498	942,613,598
Other Revenue - TennCare Bonuses	142,653	442,543	401,902
Other Revenue - Estimated TennCare Health Insurer Reimbursement Fee	4,291,487	16,438,907	-
STAT Adjustments	(1,494,876)	(1,494,876)	-
Total Revenues	279,310,501	1,019,754,071	943,015,500
Estimated Expenses:			
Hospital and Medical (w/o Mental Health)			
Capitated Physician Services	-	-	-
Fee-for Service Physician Services	50,112,380	185,184,619	187,870,216
Inpatient Hospital Services	31,600,600	132,025,254	142,056,888
Outpatient Hospital Services	11,191,226	42,253,734	43,882,057
Emergency Room Services	13,857,833	53,328,418	52,354,641
Dental Services	-	-	-
Vision Services	828,849	3,087,057	3,352,517
Pharmacy Services	-	-	-
Home Health Services	4,279,361	17,229,323	19,671,198
Chiropractic Services	-	-	-
Radiology Services	4,540,840	17,955,440	18,822,134
Laboratory Services	5,092,674	19,198,794	19,950,065
Durable Medical Equipment Services	2,682,770	10,495,836	11,095,967
Transportation Services	4,244,314	17,865,836	20,320,429
Outside Referrals	-	-	-
Medical Incentive Pool and Withhold Adj	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Nursing Facility Care	39,234,959	145,589,700	147,441,028
HCBS Services	9,857,922	39,694,670	41,196,886
Other Medical and Hospital Services - Write-Ins	8,864,493	11,802,350	1,502,522
Subtotal Medical and Hospital	186,388,220	695,711,032	709,516,548
Mental Health and Substance Abuse Services			
Inpatient Psychiatric Facility Services	3,398,311	10,639,414	9,578,351
Inpatient Substance Abuse Treatment and Detox	905,548	2,810,204	2,045,217
Outpatient Mental Health Services	4,100,213	13,618,995	10,984,162
Outpatient Substance Abuse Treatment and Detox	43,949	174,724	135,773
Housing/Residential Treatment	1,644,164	6,202,182	7,681,251
Specialized Crisis Services	1,374,420	5,216,102	4,288,053
Psychiatric Rehab and Support Services	2,440,961	9,240,475	9,550,945
Case Management	4,992,743	28,816,241	28,021,229
Forensics	-	-	-
Other Judicial	-	-	-
Pharmacy	-	-	-
Lab Services	-	-	-
Transportation	519,852	1,748,679	1,531,772
Medical Incentive Pool and Withhold Adjustments	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Other Mental Health and Substance Abuse Services	831,517	2,876,549	1,465,612
PCP and Specialist Services	-	-	-
Other Mental Health Services - Write-Ins	-	-	-
Subtotal MH&SAS	20,251,678	81,343,565	75,282,366
Subtotal Hospital, Medical, MH&SAS, CHOICES	206,639,898	777,054,597	784,798,914
LESS:			
Net Reinsurance Recoveries Incurred	-	-	-
Copayments	-	-	-
Subrogation and Coordination of Benefits	-	-	-
Subtotal Reinsurance, Copay, Subrogation	-	-	-
Total Hospital, Medical, MHS&S	206,639,898	777,054,597	784,798,914
Administration:			
Compensation	-	-	-
Direct and Allocated Admin expenses	-	-	-
Marketing	-	-	-
Interest Expense	-	-	-
Premium Tax Expense	19,544,305	59,653,981	51,882,628
Occupancy, Depreciation, and Amortization	-	-	-
Other Administration - Write-Ins	18,796,927	90,497,575	71,628,994
Fines and Penalties - Other Write-Ins	33,650	336,952	165,997
Total Administration Expenses	38,374,882	150,488,508	123,677,619
Total Expenses	245,014,780	927,543,105	908,476,533
Extraordinary Item	-	-	-
Provision for Income Tax	11,685,686	36,132,043	12,088,638
Net Income (Loss)	22,610,036	56,078,923	22,450,328
Write-Ins for Other Revenues:			
Total Other Revenues	-	-	-
Write-Ins for Other Medical and Hospital:			
Other Miscellaneous	8,761,704	11,251,750	1,793,189
Other Capitated Services	102,788	550,600	(290,667)
Total Other Medical and Hospital	8,864,493	11,802,350	1,502,522
Detail of Other MH and SAS:			
Total Other MH and SAS	-	-	-
Write-Ins for Other Administration:			
Administrative Services Fee	13,448,658	61,382,744	56,202,847
Behavioral Healthcare Services	1,965,599	7,401,001	6,867,972
Spectera Administration Fees	-	-	-
Other Miscellaneous	3,416,320	22,050,782	8,724,172
Total Other Administration	18,830,577	90,834,527	71,794,991

1. The statutory quarterly filing Statement of Revenue and Expenses and the 2A includes TennCare incentives which are presented as Other Revenue per the direction of the TDCL. As these incentives are not considered written premiums or earned premiums per the NAIC guidelines these amounts have been excluded from the statutory quarterly filing Exhibit of Premiums, Enrollment, and Utilization.

2. The provision for federal income taxes incurred is different from that which would be obtained by applying the statutory federal income tax rate of 35% to net income before federal income taxes, plus capital gains tax primarily as a result of the new annual health insurer fee under section 9010 of the ACA that requires the Company to expense 100% of the estimated annual fee on January 1, 2014 which is nondeductible for tax purposes. The Company estimates its health insurer fee on the Medicaid product line to be \$27,597,490 in 2014, which will be payable in December 2014 and is included in Other Administration - Write-Ins in the Report 2A.

UnitedHealthcare Community Plan - West Tennessee
 Report 2A - TennCare Income Statement
 West Tennessee CRA 2.30.14.3.3 and 2.30.14.3.4

	Current Qtr YTD Total as of 12/31/2014	YTD Total as of 12/31/2014	Prior Year as of 12/31/2013
Member Months	584,553	2,216,780	2,096,179
Revenues:			
TennCare Capitation	207,000,101	772,126,627	733,975,112
Other Revenue - TennCare Bonuses	62,798	364,798	571,296
Other Revenue - Estimated TennCare Health Insurer Reimbursement Fee	2,675,430	13,154,273	-
STAT Adjustments	(1,082,209)	(1,082,209)	-
Total Revenues	209,738,328	784,563,489	734,546,408
Estimated Expenses:			
Hospital and Medical (w/o Mental Health)			
Capitated Physician Services	-	-	-
Fee-for Service Physician Services	31,820,570	125,568,988	123,789,948
Inpatient Hospital Services	22,755,690	111,525,029	127,950,573
Outpatient Hospital Services	6,920,451	27,412,829	27,094,310
Emergency Room Services	9,877,146	39,371,899	41,145,124
Dental Services	-	-	-
Vision Services	1,059,514	3,392,564	3,199,350
Pharmacy Services	-	-	-
Home Health Services	3,472,686	14,640,508	15,509,745
Chiropractic Services	-	-	-
Radiology Services	2,156,320	9,955,822	10,577,232
Laboratory Services	2,156,499	8,892,110	10,011,427
Durable Medical Equipment Services	1,730,516	6,904,050	6,979,026
Transportation Services	3,465,485	16,009,938	16,609,254
Outside Referrals	-	-	-
Medical Incentive Pool and Withhold Adj	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Nursing Facility Care	34,933,836	137,664,669	141,617,279
HCBS Services	8,855,148	36,690,947	36,175,407
Other Medical and Hospital Services - Write-Ins	7,677,377	9,182,192	6,907,702
Subtotal Medical and Hospital	136,881,237	547,211,547	567,566,375
Mental Health and Substance Abuse Services			
Inpatient Psychiatric Facility Services	3,708,050	12,070,213	11,031,894
Inpatient Substance Abuse Treatment and Detox	225,251	694,084	555,400
Outpatient Mental Health Services	786,880	2,735,380	2,810,380
Outpatient Substance Abuse Treatment and Detox	33,144	127,285	134,381
Housing/Residential Treatment	2,022,918	6,602,159	6,298,966
Specialized Crisis Services	613,082	2,433,544	1,951,618
Psychiatric Rehab and Support Services	2,510,256	9,901,180	8,158,561
Case Management	3,082,300	14,254,066	13,668,751
Forensics	-	-	-
Other Judicial	-	-	-
Pharmacy	-	-	-
Lab Services	-	-	-
Transportation	422,995	1,542,411	1,307,050
Medical Incentive Pool and Withhold Adjustments	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Other Mental Health and Substance Abuse Services	303,783	1,037,597	764,323
PCP and Specialist Services	-	-	-
Other Mental Health Services - Write-Ins	-	-	-
Subtotal MH&SAS	13,708,659	51,397,917	46,681,325
Subtotal Hospital, Medical, MH&SAS, CHOICES	150,589,895	598,609,464	614,247,701
LESS:			
Net Reinsurance Recoveries Incurred	-	-	-
Copayments	-	-	-
Subrogation and Coordination of Benefits	-	-	-
Subtotal Reinsurance, Copay, Subrogation	-	-	-
Total Hospital, Medical, MHS&S	150,589,895	598,609,464	614,247,701
Administration:			
Compensation	-	-	-
Direct and Allocated Admin expenses	-	-	-
Marketing	-	-	-
Interest Expense	-	-	-
Premium Tax Expense	15,014,603	45,911,189	39,863,338
Occupancy, Depreciation, and Amortization	-	-	-
Other Administration - Write-Ins	12,855,602	66,797,618	53,723,415
Fines and Penalties - Other Write-Ins	71,600	185,933	116,500
Total Administration Expenses	27,941,805	112,894,740	93,703,253
Total Expenses	178,531,700	711,504,204	707,950,954
Extraordinary Item	-	-	-
Provision for Income Tax	10,450,235	28,183,740	9,308,409
Net Income (Loss)	20,756,392	44,875,545	17,287,045
Write-Ins for Other Revenues:			
Total Other Revenues	-	-	-
Write-Ins for Other Medical and Hospital:			
Other Miscellaneous	7,666,551	8,211,345	2,240,125
Other Capitated Services	10,826	970,847	4,667,577
Total Other Medical and Hospital	7,677,377	9,182,192	6,907,702
Detail of Other MH and SAS:			
Total Other MH and SAS	-	-	-
Write-Ins for Other Administration:			
Administrative Services Fee	9,993,361	47,268,390	43,637,439
Behavioral Healthcare Services	1,009,835	3,855,210	3,644,952
Spectera Administration Fees	-	-	-
Other Miscellaneous	1,924,006	15,859,950	6,557,524
Total Other Administration	12,927,202	66,983,551	53,839,915

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2. The provision for federal income taxes incurred is different from that which would be obtained by applying the statutory federal income tax rate of 35% to net income before federal income taxes, plus capital gains tax primarily as a result of the new annual health insurer fee under section 9010 of the ACA that requires the Company to expense 100% of the estimated annual fee on January 1, 2014 which is nondeductible for tax purposes. The Company estimates its health insurer fee on the Medicaid product line to be \$27,597,490 in 2014, which will be payable in December 2014 and is included in Other Administration - Write-Ins in the Report 2A.

ASSETS

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
1. Bonds (Schedule D)	771,961,443	0	771,961,443	676,536,942
2. Stocks (Schedule D):				
2.1 Preferred stocks	0	0	0	0
2.2 Common stocks	0	0	0	0
3. Mortgage loans on real estate (Schedule B):				
3.1 First liens	0	0	0	0
3.2 Other than first liens	0	0	0	0
4. Real estate (Schedule A):				
4.1 Properties occupied by the company (less \$0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less \$0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$0 encumbrances)	0	0	0	0
5. Cash (\$215,137,269 , Schedule E - Part 1), cash equivalents (\$854,878 , Schedule E - Part 2) and short-term investments (\$159,021,114 , Schedule DA)	375,013,261	0	375,013,261	233,779,310
6. Contract loans, (including \$0 premium notes)	0	0	0	0
7. Derivatives (Schedule DB)	0	0	0	0
8. Other invested assets (Schedule BA)	19,971,370	0	19,971,370	21,263,841
9. Receivables for securities	0	0	0	0
10. Securities lending reinvested collateral assets (Schedule DL)	0	0	0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	1,166,946,074	0	1,166,946,074	931,580,093
13. Title plants less \$0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	6,855,705	0	6,855,705	6,846,790
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	51,755,584	391,452	51,364,132	65,925,475
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$0 earned but unbilled premiums)	0	0	0	0
15.3 Accrued retrospective premiums	0	0	0	678,302
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	0	0	0	0
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0	0
17. Amounts receivable relating to uninsured plans	45,541,904	138,393	45,403,511	33,973,439
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0	0
18.2 Net deferred tax asset	48,951,868	12,991,708	35,960,160	21,770,452
19. Guaranty funds receivable or on deposit	1,705,147	0	1,705,147	1,404,737
20. Electronic data processing equipment and software	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$0)	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	0	0	0	0
24. Health care (\$33,954,624) and other amounts receivable	67,011,365	33,056,741	33,954,624	29,152,424
25. Aggregate write-ins for other than invested assets	926,674	193,767	732,907	2,323,239
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	1,389,694,321	46,772,061	1,342,922,260	1,093,654,951
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28. Total (Lines 26 and 27)	1,389,694,321	46,772,061	1,342,922,260	1,093,654,951
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Section 1343 ACA Risk Adjustment Receivable	349,323	0	349,323	0
2502. FEHBP Receivable	43,012	0	43,012	2,302,370
2503. Service Fee Receivable	193,767	193,767	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	340,572	0	340,572	20,869
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	926,674	193,767	732,907	2,323,239

LIABILITIES, CAPITAL AND SURPLUS

	Current Year			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$0 reinsurance ceded)	366,649,616	0	366,649,616	398,807,155
2. Accrued medical incentive pool and bonus amounts	6,961,348	0	6,961,348	2,673,487
3. Unpaid claims adjustment expenses	5,159,859	0	5,159,859	7,501,050
4. Aggregate health policy reserves, including the liability of \$13,207 for medical loss ratio rebate per the Public Health Service Act	16,521,720	0	16,521,720	9,209,130
5. Aggregate life policy reserves	0	0	0	0
6. Property/casualty unearned premium reserves	0	0	0	0
7. Aggregate health claim reserves	202,498	0	202,498	198,785
8. Premiums received in advance	187,072,160	0	187,072,160	37,211,802
9. General expenses due or accrued	62,855,606	0	62,855,606	48,398,096
10.1 Current federal and foreign income tax payable and interest thereon (including \$0 on realized capital gains (losses))	26,763,004	0	26,763,004	4,007,884
10.2 Net deferred tax liability	0	0	0	0
11. Ceded reinsurance premiums payable	364,503	0	364,503	353,384
12. Amounts withheld or retained for the account of others	65,965	0	65,965	117,081
13. Remittances and items not allocated	17,829	0	17,829	25,126
14. Borrowed money (including \$0 current) and interest thereon \$0 (including \$0 current)	0	0	0	0
15. Amounts due to parent, subsidiaries and affiliates	49,317,995	0	49,317,995	48,767,697
16. Derivatives	0	0	0	0
17. Payable for securities	3,676,962	0	3,676,962	9,899,967
18. Payable for securities lending	0	0	0	0
19. Funds held under reinsurance treaties (with \$0 authorized reinsurers, \$0 unauthorized reinsurers and \$0 certified reinsurers)	0	0	0	0
20. Reinsurance in unauthorized and certified (\$0) companies	0	0	0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates	0	0	0	0
22. Liability for amounts held under uninsured plans	16,964,546	0	16,964,546	13,083,005
23. Aggregate write-ins for other liabilities (including \$0 current)	0	0	0	172
24. Total liabilities (Lines 1 to 23)	742,593,611	0	742,593,611	580,253,821
25. Aggregate write-ins for special surplus funds	XXX	XXX	68,402,222	0
26. Common capital stock	XXX	XXX	610,000	610,000
27. Preferred capital stock	XXX	XXX	0	0
28. Gross paid in and contributed surplus	XXX	XXX	44,977,137	44,977,137
29. Surplus notes	XXX	XXX	0	0
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	1,500,000	1,500,000
31. Unassigned funds (surplus)	XXX	XXX	484,839,290	466,313,993
32. Less treasury stock, at cost:				
32.10 shares common (value included in Line 26 \$0)	XXX	XXX	0	0
32.20 shares preferred (value included in Line 27 \$0)	XXX	XXX	0	0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	600,328,649	513,401,130
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	1,342,922,260	1,093,654,951
DETAILS OF WRITE-INS				
2301. Unclaimed Property	0	0	0	172
2302.				
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 thru 2303 plus 2398)(Line 23 above)	0	0	0	172
2501. Section 9010 ACA Subsequent Fee Year Assessment	XXX	XXX	68,402,222	0
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	XXX	XXX	68,402,222	0
3001. Statutory Fund	XXX	XXX	1,500,000	1,500,000
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 thru 3003 plus 3098)(Line 30 above)	XXX	XXX	1,500,000	1,500,000

STATEMENT OF REVENUE AND EXPENSES

	Current Year		Prior Year
	1 Uncovered	2 Total	3 Total
1. Member Months.....	XXX	9,397,189	9,187,464
2. Net premium income (including \$0 non-health premium income).....	XXX	4,252,549,640	4,067,708,191
3. Change in unearned premium reserves and reserve for rate credits.....	XXX	(7,990,891)	2,466,357
4. Fee-for-service (net of \$0 medical expenses).....	XXX	0	0
5. Risk revenue.....	XXX	0	0
6. Aggregate write-ins for other health care related revenues.....	XXX	1,269,295	1,435,819
7. Aggregate write-ins for other non-health revenues.....	XXX	0	0
8. Total revenues (Lines 2 to 7).....	XXX	4,245,828,044	4,071,610,367
Hospital and Medical:			
9. Hospital/medical benefits.....	0	3,164,649,576	3,233,185,430
10. Other professional services.....	0	17,131,554	19,248,353
11. Outside referrals.....	0	0	0
12. Emergency room and out-of-area.....	0	0	0
13. Prescription drugs.....	0	97,525,457	110,565,377
14. Aggregate write-ins for other hospital and medical.....	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts.....	0	9,609,623	3,887,298
16. Subtotal (Lines 9 to 15).....	0	3,288,916,210	3,366,886,458
Less:			
17. Net reinsurance recoveries.....	0	0	104,034
18. Total hospital and medical (Lines 16 minus 17).....	0	3,288,916,210	3,366,782,424
19. Non-health claims (net).....	0	0	0
20. Claims adjustment expenses, including \$103,073,261 cost containment expenses.....	0	171,150,494	168,220,056
21. General administrative expenses.....	0	409,387,812	334,124,351
22. Increase in reserves for life and accident and health contracts (including \$0 increase in reserves for life only).....	0	0	0
23. Total underwriting deductions (Lines 18 through 22).....	0	3,869,454,516	3,869,126,831
24. Net underwriting gain or (loss) (Lines 8 minus 23).....	XXX	376,373,528	202,483,536
25. Net investment income earned (Exhibit of Net Investment Income, Line 17).....	0	13,673,245	14,741,899
26. Net realized capital gains (losses) less capital gains tax of \$1,646,812.....	0	2,835,953	4,229,347
27. Net investment gains (losses) (Lines 25 plus 26).....	0	16,509,198	18,971,246
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$28,245) (amount charged off \$(711,257))].....	0	(683,012)	(430,496)
29. Aggregate write-ins for other income or expenses.....	0	(729,708)	(456,833)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29).....	XXX	391,470,006	220,567,453
31. Federal and foreign income taxes incurred.....	XXX	154,484,191	68,518,617
32. Net income (loss) (Lines 30 minus 31).....	XXX	236,985,815	152,048,836
DETAILS OF WRITE-INS			
0601. TennCare Incentives.....	XXX	1,269,296	1,435,819
0602.	XXX		
0603.	XXX		
0698. Summary of remaining write-ins for Line 6 from overflow page.....	XXX	0	0
0699. Totals (Lines 0601 thru 0603 plus 0698)(Line 6 above).....	XXX	1,269,296	1,435,819
0701.	XXX		
0702.	XXX		
0703.	XXX		
0798. Summary of remaining write-ins for Line 7 from overflow page.....	XXX	0	0
0799. Totals (Lines 0701 thru 0703 plus 0798)(Line 7 above).....	XXX	0	0
1401.			
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498)(Line 14 above).....	0	0	0
2901. Fines & Penalties - Paid and Accrued.....	0	(729,733)	(456,861)
2902. Investment Settlement Proceeds.....	0	25	28
2903.			
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998)(Line 29 above).....	0	(729,708)	(456,833)

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year	2 Prior Year
CAPITAL AND SURPLUS ACCOUNT		
33. Capital and surplus prior reporting year.....	513,401,130	441,221,776
34. Net income or (loss) from Line 32.....	236,985,815	152,048,836
35. Change in valuation basis of aggregate policy and claim reserves.....	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ 0.....	0	0
37. Change in net unrealized foreign exchange capital gain or (loss).....	0	0
38. Change in net deferred income tax.....	10,126,408	(4,829,554)
39. Change in nonadmitted assets.....	(13,184,704)	4,960,072
40. Change in unauthorized and certified reinsurance.....	0	0
41. Change in treasury stock.....	0	0
42. Change in surplus notes.....	0	0
43. Cumulative effect of changes in accounting principles.....	0	0
44. Capital Changes:		
44.1 Paid in.....	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0
44.3 Transferred to surplus.....	0	0
45. Surplus adjustments:		
45.1 Paid in.....	0	0
45.2 Transferred to capital (Stock Dividend).....	0	0
45.3 Transferred from capital.....	0	0
46. Dividends to stockholders.....	(147,000,000)	(80,000,000)
47. Aggregate write-ins for gains or (losses) in surplus.....	0	0
48. Net change in capital and surplus (Lines 34 to 47).....	86,927,519	72,179,354
49. Capital and surplus end of reporting period (Line 33 plus 48)	600,328,649	513,401,130
DETAILS OF WRITE-INS		
4701.		
4702.		
4703.		
4798. Summary of remaining write-ins for Line 47 from overflow page.....	0	0
4799. Totals (Lines 4701 thru 4703 plus 4798)(Line 47 above)	0	0

CASH FLOW

	1	2
	Current Year	Prior Year
Cash from Operations		
1. Premiums collected net of reinsurance	4,417,093,414	4,051,210,283
2. Net investment income	25,216,202	25,517,019
3. Miscellaneous income	1,269,295	1,435,819
4. Total (Lines 1 through 3)	4,443,578,911	4,078,163,121
5. Benefit and loss related payments	3,339,089,077	3,362,657,084
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	578,140,155	510,539,264
8. Dividends paid to policyholders	0	0
9. Federal and foreign income taxes paid (recovered) net of \$0 tax on capital gains (losses)	133,375,883	67,570,735
10. Total (Lines 5 through 9)	4,050,605,115	3,940,767,083
11. Net cash from operations (Line 4 minus Line 10)	392,973,796	137,396,038
Cash from Investments		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds	302,404,451	421,857,333
12.2 Stocks	0	0
12.3 Mortgage loans	0	0
12.4 Real estate	0	0
12.5 Other invested assets	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	0	4,839
12.7 Miscellaneous proceeds	0	3,172,813
12.8 Total investment proceeds (Lines 12.1 to 12.7)	302,404,451	425,034,985
13. Cost of investments acquired (long-term only):		
13.1 Bonds	403,512,214	411,493,991
13.2 Stocks	0	0
13.3 Mortgage loans	0	0
13.4 Real estate	0	0
13.5 Other invested assets	0	12,791,583
13.6 Miscellaneous applications	6,223,005	0
13.7 Total investments acquired (Lines 13.1 to 13.6)	409,735,219	424,285,574
14. Net increase (decrease) in contract loans and premium notes	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)	(107,330,768)	749,411
Cash from Financing and Miscellaneous Sources		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes	0	0
16.2 Capital and paid in surplus, less treasury stock	0	0
16.3 Borrowed funds	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0
16.5 Dividends to stockholders	147,000,000	80,000,000
16.6 Other cash provided (applied)	2,590,924	(17,713,760)
17. Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	(144,409,076)	(97,713,760)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	141,233,951	40,431,689
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year	233,779,310	193,347,621
19.2 End of year (Line 18 plus Line 19.1)	375,013,261	233,779,310

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS

	1	2	3	4	5	6	7	8	9	10
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other Health	Other Non-Health
1. Net premium income	4,252,549,640	224,078,698	0	0	0	20,308,853	1,244,107,317	2,763,841,378	213,394	0
2. Change in unearned premium reserves and reserve for rate credit	(7,990,891)	575,288	0	0	0	(678,302)	3,882,343	(11,770,220)	0	0
3. Fee-for-service (net of \$ medical expenses)	0	0	0	0	0	0	0	0	0	XXX
4. Risk revenue	0	0	0	0	0	0	0	0	0	XXX
5. Aggregate write-ins for other health care related revenues	1,269,295	0	0	0	0	0	0	1,269,295	0	XXX
6. Aggregate write-ins for other non-health care related revenues	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
7. Total revenues (Lines 1 to 6)	4,245,828,044	224,653,986	0	0	0	19,630,551	1,247,989,660	2,753,340,453	213,394	0
8. Hospital/medical benefits	3,164,649,576	153,371,281	0	0	0	14,977,553	887,022,994	2,109,277,748	0	XXX
9. Other professional services	17,131,554	356,803	0	0	0	36,804	5,957,482	10,780,465	0	XXX
10. Outside referrals	0	0	0	0	0	0	0	0	0	XXX
11. Emergency room and out-of-area	0	0	0	0	0	0	0	0	0	XXX
12. Prescription drugs	97,525,457	22,308,610	0	0	0	3,118,597	71,827,811	0	270,439	XXX
13. Aggregate write-ins for other hospital and medical	0	0	0	0	0	0	0	0	0	XXX
14. Incentive pool, withhold adjustments and bonus amounts	9,609,623	670,504	0	0	0	49,797	5,512,497	3,376,825	0	XXX
15. Subtotal (Lines 8 to 14)	3,288,916,210	176,707,198	0	0	0	18,182,751	970,320,784	2,123,435,038	270,439	XXX
16. Net reinsurance recoveries	0	0	0	0	0	0	0	0	0	XXX
17. Total medical and hospital (Lines 15 minus 16)	3,288,916,210	176,707,198	0	0	0	18,182,751	970,320,784	2,123,435,038	270,439	XXX
18. Non-health claims (net)	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
19. Claims adjustment expenses including \$ 103,073,261 cost containment expenses	171,150,494	9,290,376	0	0	0	20,391	51,551,973	110,287,754	0	0
20. General administrative expenses	409,387,812	27,922,875	0	0	0	61,285	97,683,682	283,688,471	31,499	0
21. Increase in reserves for accident and health contracts	0	0	0	0	0	0	0	0	0	XXX
22. Increase in reserves for life contracts	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
23. Total underwriting deductions (Lines 17 to 22)	3,869,454,516	213,920,449	0	0	0	18,264,427	1,119,556,439	2,517,411,263	301,938	0
24. Total underwriting gain or (loss) (Line 7 minus Line 23)	376,373,528	10,733,537	0	0	0	1,366,124	128,433,221	235,929,190	(88,544)	0
DETAILS OF WRITE-INS										
0501. TennCare Incentives	1,269,295	0	0	0	0	0	0	1,269,295	0	XXX
0502.	0	0	0	0	0	0	0	0	0	XXX
0503.	0	0	0	0	0	0	0	0	0	XXX
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0	0	0	0	0	0	0	XXX
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above)	1,269,295	0	0	0	0	0	0	1,269,295	0	XXX
0601.	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
0602.	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
0603.	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
0698. Summary of remaining write-ins for Line 6 from overflow page	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
1301.	0	0	0	0	0	0	0	0	0	XXX
1302.	0	0	0	0	0	0	0	0	0	XXX
1303.	0	0	0	0	0	0	0	0	0	XXX
1398. Summary of remaining write-ins for Line 13 from overflow page	0	0	0	0	0	0	0	0	0	XXX
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0	0	0	0	0	XXX

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 1 - PREMIUMS

Line of Business	1 Direct Business	2 Reinsurance Assumed	3 Reinsurance Ceded	4 Net Premium Income (Cols. 1 + 2 - 3)
1. Comprehensive (hospital and medical)	224,305,953	0	227,254	224,078,699
2. Medicare Supplement	0	0	0	0
3. Dental only	0	0	0	0
4. Vision only	0	0	0	0
5. Federal Employees Health Benefits Plan	20,328,503	0	19,650	20,308,853
6. Title XVIII - Medicare	1,245,356,439	0	1,249,123	1,244,107,316
7. Title XIX - Medicaid	2,766,600,445	0	2,759,067	2,763,841,378
8. Other health	213,608	0	214	213,394
9. Health subtotal (Lines 1 through 8)	4,256,804,948	0	4,255,308	4,252,549,640
10. Life	0	0	0	0
11. Property/casualty	0	0	0	0
12. Totals (Lines 9 to 11)	4,256,804,948	0	4,255,308	4,252,549,640

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2 - CLAIMS INCURRED DURING THE YEAR

	1	2	3	4	5	6	7	8	9	10
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other Health	Other Non-Health
1. Payments during the year:										
1.1 Direct	3,333,767,316	180,850,799	0	0	0	19,626,390	987,318,382	2,145,712,380	259,365	0
1.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
1.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
1.4 Net	3,333,767,316	180,850,799	0	0	0	19,626,390	987,318,382	2,145,712,380	259,365	0
2. Paid medical incentive pools and bonuses	5,321,762	317,715	0	0	0	20,288	2,217,552	2,766,207	0	0
3. Claim liability December 31, current year from Part 2A:										
3.1 Direct	366,649,617	18,955,257	0	0	0	2,318,194	106,391,994	238,973,098	11,074	0
3.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
3.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
3.4 Net	366,649,617	18,955,257	0	0	0	2,318,194	106,391,994	238,973,098	11,074	0
4. Claim reserve December 31, current year from Part 2D:										
4.1 Direct	202,498	0	0	0	0	0	125,322	77,176	0	0
4.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
4.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
4.4 Net	202,498	0	0	0	0	0	125,322	77,176	0	0
5. Accrued medical incentive pools and bonuses, current year	6,961,347	352,790	0	0	0	29,509	4,180,710	2,398,338	0	0
6. Net healthcare receivables (a)	22,306,904	(159,402)	0	0	0	69,869	8,680,877	13,715,560	0	0
7. Amounts recoverable from reinsurers December 31, current year	0	0	0	0	0	0	0	0	0	0
8. Claim liability December 31, prior year from Part 2A:										
8.1 Direct	398,807,155	23,928,765	0	0	0	3,741,762	120,216,551	250,920,077	0	0
8.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
8.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
8.4 Net	398,807,155	23,928,765	0	0	0	3,741,762	120,216,551	250,920,077	0	0
9. Claim reserve December 31, prior year from Part 2D:										
9.1 Direct	198,785	0	0	0	0	0	129,982	68,803	0	0
9.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
9.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
9.4 Net	198,785	0	0	0	0	0	129,982	68,803	0	0
10. Accrued medical incentive pools and bonuses, prior year	2,673,487	0	0	0	0	0	885,766	1,787,721	0	0
11. Amounts recoverable from reinsurers December 31, prior year	0	0	0	0	0	0	0	0	0	0
12. Incurred Benefits:										
12.1 Direct	3,279,306,587	176,036,693	0	0	0	18,132,953	964,808,288	2,120,058,214	270,439	0
12.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
12.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
12.4 Net	3,279,306,587	176,036,693	0	0	0	18,132,953	964,808,288	2,120,058,214	270,439	0
13. Incurred medical incentive pools and bonuses	9,609,622	670,505	0	0	0	49,797	5,512,496	3,376,824	0	0

(a) Excludes \$ 0 loans or advances to providers not yet expensed.

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2A - CLAIMS LIABILITY END OF CURRENT YEAR

	1	2	3	4	5	6	7	8	9	10
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other Health	Other Non-Health
1. Reported in Process of Adjustment:										
1.1 Direct	73,500,519	3,792,487	.0	.0	.0	466,447	42,060,290	27,181,295	.0	.0
1.2 Reinsurance assumed0	.0	.0	.0	.0	.0	.0	.0	.0	.0
1.3 Reinsurance ceded0	.0	.0	.0	.0	.0	.0	.0	.0	.0
1.4 Net	73,500,519	3,792,487	.0	.0	.0	466,447	42,060,290	27,181,295	.0	.0
2. Incurred but Unreported:										
2.1 Direct	292,980,354	15,074,775	.0	.0	.0	1,851,747	64,269,434	211,773,324	11,074	.0
2.2 Reinsurance assumed0	.0	.0	.0	.0	.0	.0	.0	.0	.0
2.3 Reinsurance ceded0	.0	.0	.0	.0	.0	.0	.0	.0	.0
2.4 Net	292,980,354	15,074,775	.0	.0	.0	1,851,747	64,269,434	211,773,324	11,074	.0
3. Amounts Withheld from Paid Claims and Capitations:										
3.1 Direct	168,744	87,995	.0	.0	.0	.0	62,270	18,479	.0	.0
3.2 Reinsurance assumed0	.0	.0	.0	.0	.0	.0	.0	.0	.0
3.3 Reinsurance ceded0	.0	.0	.0	.0	.0	.0	.0	.0	.0
3.4 Net	168,744	87,995	.0	.0	.0	.0	62,270	18,479	.0	.0
4. TOTALS:										
4.1 Direct	366,649,617	18,955,257	.0	.0	.0	2,318,194	106,391,994	238,973,098	11,074	.0
4.2 Reinsurance assumed0	.0	.0	.0	.0	.0	.0	.0	.0	.0
4.3 Reinsurance ceded0	.0	.0	.0	.0	.0	.0	.0	.0	.0
4.4 Net	366,649,617	18,955,257	.0	.0	.0	2,318,194	106,391,994	238,973,098	11,074	.0

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2B - ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid During the Year		Claim Reserve and Claim Liability December 31 of Current Year		5 Claims Incurred In Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1	2	3	4		
	On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid December 31 of Prior Year	On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)	17,017,441	163,833,358	432,535	18,522,721	17,449,976	23,928,764
2. Medicare Supplement	0	0	0	0	0	0
3. Dental Only	0	0	0	0	0	0
4. Vision Only	0	0	0	0	0	0
5. Federal Employees Health Benefits Plan	3,244,327	16,382,063	590,147	1,728,048	3,834,474	3,741,762
6. Title XVIII - Medicare	73,530,089	913,788,293	2,922,663	103,594,652	76,452,752	120,346,533
7. Title XIX - Medicaid	186,222,410	1,959,489,970	12,425,234	226,625,040	198,647,644	250,988,881
8. Other health	0	259,365	0	11,074	0	0
9. Health subtotal (Lines 1 to 8)	280,014,267	3,053,753,049	16,370,579	350,481,535	296,384,846	399,005,940
10. Healthcare receivables (a)	4,265,817	59,141,574	0	3,603,975	4,265,817	44,704,462
11. Other non-health	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts	2,161,725	3,160,037	1,577,732	5,383,616	3,739,457	2,673,487
13. Totals (Lines 9 - 10 + 11 + 12)	277,910,175	2,997,771,512	17,948,311	352,261,176	295,858,486	356,974,965

(a) Excludes \$ 0 loans or advances to providers not yet expensed.

UNDERWRITING AND INVESTMENT EXHIBIT

**PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS
(000 Omitted)**

Section A - Paid Health Claims - Comprehensive (Hospital & Medical)

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2010	2 2011	3 2012	4 2013	5 2014
1.	Prior	26,300	26,685	26,526	26,503	27,763
2.	2010	276,693	307,179	306,648	306,425	307,822
3.	2011	XXX	292,929	325,894	326,260	326,090
4.	2012	XXX	XXX	287,915	313,850	314,032
5.	2013	XXX	XXX	XXX	218,135	235,636
6.	2014	XXX	XXX	XXX	XXX	163,833

Section B - Incurred Health Claims - Comprehensive (Hospital & Medical)

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2010	2 2011	3 2012	4 2013	5 2014
1.	Prior	26,424	26,685	26,526	26,503	27,763
2.	2010	314,996	310,877	306,648	306,425	307,822
3.	2011	XXX	331,898	330,827	326,260	326,090
4.	2012	XXX	XXX	317,586	313,925	314,032
5.	2013	XXX	XXX	XXX	241,990	236,143
6.	2014	XXX	XXX	XXX	XXX	182,634

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Comprehensive (Hospital & Medical)

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2010	401,088	307,822	8,025	2.6	315,847	78.7	0	0	315,847	78.7
2. 2011	411,494	326,090	10,108	3.1	336,198	81.7	0	0	336,198	81.7
3. 2012	395,233	314,032	9,548	3.0	323,580	81.9	0	0	323,580	81.9
4. 2013	305,959	235,636	9,220	3.9	244,856	80.0	508	5	245,369	80.2
5. 2014	224,654	163,833	8,075	4.9	171,908	76.5	18,800	201	190,909	85.0

UNDERWRITING AND INVESTMENT EXHIBIT

**PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS
(000 Omitted)**

Section A - Paid Health Claims - Federal Employees Health Benefits Plan Premium

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2010	2 2011	3 2012	4 2013	5 2014
1.	Prior	2,255	2,276	2,259	2,261	2,371
2.	2010	18,130	19,808	19,763	19,749	19,860
3.	2011	XXX	19,301	22,232	22,403	22,372
4.	2012	XXX	XXX	14,663	20,701	20,717
5.	2013	XXX	XXX	XXX	13,499	16,787
6.	2014	XXX	XXX	XXX	XXX	16,382

Section B - Incurred Health Claims - Federal Employees Health Benefits Plan Premium

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2010	2 2011	3 2012	4 2013	5 2014
1.	Prior	2,300	2,276	2,259	2,261	2,371
2.	2010	20,679	20,122	19,763	19,749	19,860
3.	2011	XXX	22,664	22,247	22,403	22,372
4.	2012	XXX	XXX	17,365	20,798	20,717
5.	2013	XXX	XXX	XXX	17,143	17,392
6.	2014	XXX	XXX	XXX	XXX	18,125

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Federal Employees Health Benefits Plan Premium

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2010	20,729	19,860	90	0.5	19,950	96.2	0	0	19,950	96.2
2. 2011	19,680	22,372	138	0.6	22,510	114.4	0	0	22,510	114.4
3. 2012	17,592	20,717	136	0.7	20,853	118.5	0	0	20,853	118.5
4. 2013	17,415	16,787	135	0.8	16,922	97.2	605	3	17,530	100.7
5. 2014	19,631	16,382	20	0.1	16,402	83.6	1,743	10	18,155	92.5

UNDERWRITING AND INVESTMENT EXHIBIT

**PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS
(000 Omitted)**

Section A - Paid Health Claims - Title XVIII

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2010	2 2011	3 2012	4 2013	5 2014
1.	Prior	40,860	38,603	38,250	38,052	43,932
2.	2010	445,603	493,835	494,290	493,948	494,490
3.	2011	XXX	671,155	743,291	743,357	742,796
4.	2012	XXX	XXX	784,207	870,611	869,507
5.	2013	XXX	XXX	XXX	875,172	952,402
6.	2014	XXX	XXX	XXX	XXX	914,182

Section B - Incurred Health Claims - Title XVIII

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2010	2 2011	3 2012	4 2013	5 2014
1.	Prior	42,876	38,603	38,250	38,052	43,932
2.	2010	533,445	499,939	494,290	493,948	494,490
3.	2011	XXX	768,068	749,310	743,357	742,796
4.	2012	XXX	XXX	886,986	873,340	869,507
5.	2013	XXX	XXX	XXX	993,675	955,450
6.	2014	XXX	XXX	XXX	XXX	1,021,832

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Title XVIII

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2010	642,194	494,490	6,301	1.3	500,791	78.0	0	0	500,791	78.0
2. 2011	942,257	742,796	18,320	2.5	761,116	80.8	0	0	761,116	80.8
3. 2012	1,070,605	869,507	25,561	2.9	895,068	83.6	0	0	895,068	83.6
4. 2013	1,183,107	952,402	56,848	6.0	1,009,250	85.3	3,048	39	1,012,337	85.6
5. 2014	1,247,990	914,182	45,266	5.0	959,448	76.9	107,650	1,386	1,068,484	85.6

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS
(000 Omitted)

Section A - Paid Health Claims - Title XIX

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2010	2 2011	3 2012	4 2013	5 2014
1.	Prior	165,214	171,601	168,749	168,214	175,621
2.	2010	1,418,095	1,659,185	1,659,485	1,659,183	1,658,837
3.	2011	XXX	1,799,788	2,003,851	2,006,552	2,004,580
4.	2012	XXX	XXX	1,848,367	2,063,699	2,061,835
5.	2013	XXX	XXX	XXX	1,920,476	2,111,111
6.	2014	XXX	XXX	XXX	XXX	1,962,256

Section B - Incurred Health Claims - Title XIX

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2010	2 2011	3 2012	4 2013	5 2014
1.	Prior	170,129	171,601	168,749	168,214	175,621
2.	2010	1,680,411	1,671,321	1,659,485	1,659,183	1,658,837
3.	2011	XXX	2,032,438	2,010,792	2,006,552	2,004,580
4.	2012	XXX	XXX	2,083,066	2,074,361	2,061,835
5.	2013	XXX	XXX	XXX	2,162,590	2,124,898
6.	2014	XXX	XXX	XXX	XXX	2,189,917

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Title XIX

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2010	2,125,509	1,658,837	107,337	6.5	1,766,174	83.1	0	0	1,766,174	83.1
2. 2011	2,553,313	2,004,580	93,309	4.7	2,097,889	82.2	0	0	2,097,889	82.2
3. 2012	2,531,491	2,061,835	105,249	5.1	2,167,084	85.6	0	0	2,167,084	85.6
4. 2013	2,567,778	2,111,111	125,362	5.9	2,236,473	87.1	13,788	201	2,250,462	87.6
5. 2014	2,752,071	1,962,256	96,817	4.9	2,059,073	74.8	227,661	3,314	2,290,048	83.2

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS

(000 Omitted)

Section A - Paid Health Claims - Other

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2010	2 2011	3 2012	4 2013	5 2014
1.	Prior	0	0	0	0	0
2.	2010	0	0	0	0	0
3.	2011	XXX	0	0	0	0
4.	2012	XXX	XXX	0	0	0
5.	2013	XXX	XXX	XXX	0	0
6.	2014	XXX	XXX	XXX	XXX	259

Section B - Incurred Health Claims - Other

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2010	2 2011	3 2012	4 2013	5 2014
1.	Prior	0	0	0	0	0
2.	2010	0	0	0	0	0
3.	2011	XXX	0	0	0	0
4.	2012	XXX	XXX	0	0	0
5.	2013	XXX	XXX	XXX	0	0
6.	2014	XXX	XXX	XXX	XXX	270

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Other

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2010	0	0	0	0.0	0	0.0	0	0	0	0.0
2. 2011	0	0	0	0.0	0	0.0	0	0	0	0.0
3. 2012	0	0	0	0.0	0	0.0	0	0	0	0.0
4. 2013	0	0	0	0.0	0	0.0	0	0	0	0.0
5. 2014	213	259	0	0.0	259	121.6	11	0	270	126.8

UNDERWRITING AND INVESTMENT EXHIBIT

**PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS
(000 Omitted)**

Section A - Paid Health Claims - Grand Total

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2010	2 2011	3 2012	4 2013	5 2014
1.	Prior	234,629	239,165	235,784	235,030	249,687
2.	2010	2,158,521	2,480,007	2,480,186	2,479,305	2,481,009
3.	2011	XXX	2,783,173	3,095,268	3,098,572	3,095,838
4.	2012	XXX	XXX	2,935,152	3,268,861	3,266,091
5.	2013	XXX	XXX	XXX	3,027,282	3,315,936
6.	2014	XXX	XXX	XXX	XXX	3,056,912

Section B - Incurred Health Claims - Grand Total

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2010	2 2011	3 2012	4 2013	5 2014
1.	Prior	241,729	239,165	235,784	235,030	249,687
2.	2010	2,549,531	2,502,259	2,480,186	2,479,305	2,481,009
3.	2011	XXX	3,155,068	3,113,176	3,098,572	3,095,838
4.	2012	XXX	XXX	3,305,003	3,282,424	3,266,091
5.	2013	XXX	XXX	XXX	3,415,398	3,333,883
6.	2014	XXX	XXX	XXX	XXX	3,412,778

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Grand Total

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2010	3,189,520	2,481,009	121,753	4.9	2,602,762	81.6	0	0	2,602,762	81.6
2. 2011	3,926,744	3,095,838	121,875	3.9	3,217,713	81.9	0	0	3,217,713	81.9
3. 2012	4,014,921	3,266,091	140,494	4.3	3,406,585	84.8	0	0	3,406,585	84.8
4. 2013	4,074,259	3,315,936	191,565	5.8	3,507,501	86.1	17,949	248	3,525,698	86.5
5. 2014	4,244,559	3,056,912	150,178	4.9	3,207,090	75.6	355,865	4,911	3,567,866	84.1

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UNDERWRITING AND INVESTMENT EXHIBIT

PART 2D - AGGREGATE RESERVE FOR ACCIDENT AND HEALTH CONTRACTS ONLY

	1	2	3	4	5	6	7	8	9
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other
1. Unearned premium reserves	0	0	0	0	0	0	0	0	0
2. Additional policy reserves (a)	0	0	0	0	0	0	0	0	0
3. Reserve for future contingent benefits	0	0	0	0	0	0	0	0	0
4. Reserve for rate credits or experience rating refunds (including \$0) for investment income	16,521,720	3,351,349	0	0	0	0	1,400,151	11,770,220	0
5. Aggregate write-ins for other policy reserves	0	0	0	0	0	0	0	0	0
6. Totals (gross)	16,521,720	3,351,349	0	0	0	0	1,400,151	11,770,220	0
7. Reinsurance ceded	0	0	0	0	0	0	0	0	0
8. Totals (Net)(Page 3, Line 4)	16,521,720	3,351,349	0	0	0	0	1,400,151	11,770,220	0
9. Present value of amounts not yet due on claims	0	0	0	0	0	0	0	0	0
10. Reserve for future contingent benefits	202,498	0	0	0	0	0	125,322	77,176	0
11. Aggregate write-ins for other claim reserves	0	0	0	0	0	0	0	0	0
12. Totals (gross)	202,498	0	0	0	0	0	125,322	77,176	0
13. Reinsurance ceded	0	0	0	0	0	0	0	0	0
14. Totals (Net)(Page 3, Line 7)	202,498	0	0	0	0	0	125,322	77,176	0
DETAILS OF WRITE-INS									
0501.									
0502.									
0503.									
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0	0	0	0	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above)	0	0	0	0	0	0	0	0	0
1101.									
1102.									
1103.									
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0	0	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above)	0	0	0	0	0	0	0	0	0

(a) Includes \$0 premium deficiency reserve.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 3 - ANALYSIS OF EXPENSES

	Claim Adjustment Expenses		3 General Administrative Expenses	4 Investment Expenses	5 Total
	1 Cost Containment Expenses	2 Other Claim Adjustment Expenses			
1. Rent (\$0 for occupancy of own building)	3,064,715	2,055,873	5,196,021	0	10,316,609
2. Salary, wages and other benefits	55,235,837	37,053,323	93,648,687	0	185,937,847
3. Commissions (less \$0 ceded plus \$0 assumed)	0	0	25,623,249	0	25,623,249
4. Legal fees and expenses	823,215	552,230	1,395,707	0	2,771,152
5. Certifications and accreditation fees	0	0	0	0	0
6. Auditing, actuarial and other consulting services	5,759,804	3,863,794	9,765,365	0	19,388,963
7. Traveling expenses	1,879,460	1,260,780	3,186,499	0	6,326,739
8. Marketing and advertising	4,264,089	2,860,437	9,642,758	0	16,767,284
9. Postage, express and telephone	3,510,700	2,355,049	5,952,158	0	11,817,907
10. Printing and office supplies	1,025,973	688,244	1,739,469	0	3,453,686
11. Occupancy, depreciation and amortization	1,370,332	919,247	2,323,307	0	4,612,886
12. Equipment	252,941	169,678	428,845	0	851,464
13. Cost or depreciation of EDP equipment and software	6,425,029	4,310,040	10,893,209	0	21,628,278
14. Outsourced services including EDP, claims, and other services	9,187,422	5,413,832	12,398,276	0	26,999,530
15. Boards, bureaus and association fees	105,195	70,567	178,351	0	354,113
16. Insurance, except on real estate	963,100	646,067	1,632,873	0	3,242,040
17. Collection and bank service charges	410,782	275,561	696,594	0	1,382,937
18. Group service and administration fees	133,272	89,402	0	0	222,674
19. Reimbursements by uninsured plans	0	0	0	0	0
20. Reimbursements from fiscal intermediaries	0	0	0	0	0
21. Real estate expenses	0	0	0	0	0
22. Real estate taxes	167,731	111,927	367,613	0	647,271
23. Taxes, licenses and fees:					
23.1 State and local insurance taxes	0	0	1,006,735	0	1,006,735
23.2 State premium taxes	0	0	151,911,512	0	151,911,512
23.3 Regulatory authority licenses and fees	0	0	55,696,745	0	55,696,745
23.4 Payroll taxes	2,662,733	1,776,845	5,835,850	0	10,275,428
23.5 Other (excluding federal income and real estate taxes)	0	0	0	0	0
24. Investment expenses not included elsewhere	0	0	0	432,697	432,697
25. Aggregate write-ins for expenses	5,830,931	3,604,337	9,867,989	0	19,303,257
26. Total expenses incurred (Lines 1 to 25)	103,073,261	68,077,233	409,387,812	432,697	(a) 580,971,003
27. Less expenses unpaid December 31, current year	3,107,461	2,052,398	62,637,507	218,099	68,015,465
28. Add expenses unpaid December 31, prior year	4,500,733	3,000,317	48,273,372	124,724	55,899,146
29. Amounts receivable relating to uninsured plans, prior year	0	0	33,973,439	0	33,973,439
30. Amounts receivable relating to uninsured plans, current year	0	0	45,403,511	0	45,403,511
31. Total expenses paid (Lines 26 minus 27 plus 28 minus 29 plus 30)	104,466,533	69,025,152	406,453,749	339,322	580,284,756
DETAILS OF WRITE-INS					
2501. Information Technology	823,003	552,087	1,922,642	0	3,297,732
2502. Interest	33,118	22,216	149,257	0	204,591
2503. Managed Care & Network Access	495,508	25,228	217,920	0	738,656
2598. Summary of remaining write-ins for Line 25 from overflow page	4,479,302	3,004,806	7,578,170	0	15,062,278
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	5,830,931	3,604,337	9,867,989	0	19,303,257

(a) Includes management fees of \$269,696,286 to affiliates and \$0 to non-affiliates.

EXHIBIT OF NET INVESTMENT INCOME

	1 Collected During Year	2 Earned During Year
1. U.S. government bonds	(a) 742,243	790,823
1.1 Bonds exempt from U.S. tax	(a) 0	0
1.2 Other bonds (unaffiliated)	(a) 14,404,175	14,401,320
1.3 Bonds of affiliates	(a) 0	0
2.1 Preferred stocks (unaffiliated)	(b) 0	0
2.11 Preferred stocks of affiliates	(b) 0	0
2.2 Common stocks (unaffiliated)	0	0
2.21 Common stocks of affiliates	0	0
3. Mortgage loans	(c) 0	0
4. Real estate	(d) 0	0
5. Contract Loans	0	0
6. Cash, cash equivalents and short-term investments	(e) 245,428	206,271
7. Derivative instruments	(f) 0	0
8. Other invested assets	(1,292,472)	(1,292,472)
9. Aggregate write-ins for investment income	0	0
10. Total gross investment income	14,099,374	14,105,942
11. Investment expenses		(g) 432,697
12. Investment taxes, licenses and fees, excluding federal income taxes		(g) 0
13. Interest expense		(h) 0
14. Depreciation on real estate and other invested assets		(i) 0
15. Aggregate write-ins for deductions from investment income		0
16. Total deductions (Lines 11 through 15)		432,697
17. Net investment income (Line 10 minus Line 16)		13,673,245
DETAILS OF WRITE-INS		
0901.		
0902.		
0903.		
0998. Summary of remaining write-ins for Line 9 from overflow page	0	0
0999. Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)	0	0
1501.		
1502.		
1503.		
1598. Summary of remaining write-ins for Line 15 from overflow page		0
1599. Totals (Lines 1501 thru 1503 plus 1598) (Line 15, above)		0

- (a) Includes \$ 88,844 accrual of discount less \$ 10,254,864 amortization of premium and less \$ 1,126,947 paid for accrued interest on purchases.
- (b) Includes \$ 0 accrual of discount less \$ 0 amortization of premium and less \$ 0 paid for accrued dividends on purchases.
- (c) Includes \$ 0 accrual of discount less \$ 0 amortization of premium and less \$ 0 paid for accrued interest on purchases.
- (d) Includes \$ 0 for company's occupancy of its own buildings; and excludes \$ 0 interest on encumbrances.
- (e) Includes \$ 4,186 accrual of discount less \$ 343,487 amortization of premium and less \$ 77,997 paid for accrued interest on purchases.
- (f) Includes \$ 0 accrual of discount less \$ 0 amortization of premium.
- (g) Includes \$ 432,697 investment expenses and \$ 0 investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.
- (h) Includes \$ 0 interest on surplus notes and \$ 0 interest on capital notes.
- (i) Includes \$ 0 depreciation on real estate and \$ 0 depreciation on other invested assets.

EXHIBIT OF CAPITAL GAINS (LOSSES)

	1	2	3	4	5
	Realized Gain (Loss) On Sales or Maturity	Other Realized Adjustments	Total Realized Capital Gain (Loss) (Columns 1 + 2)	Change in Unrealized Capital Gain (Loss)	Change in Unrealized Foreign Exchange Capital Gain (Loss)
1. U.S. Government bonds	(37,857)	0	(37,857)	0	0
1.1 Bonds exempt from U.S. tax	0	0	0	0	0
1.2 Other bonds (unaffiliated)	4,655,912	(135,293)	4,520,619	0	0
1.3 Bonds of affiliates	0	0	0	0	0
2.1 Preferred stocks (unaffiliated)	0	0	0	0	0
2.11 Preferred stocks of affiliates	0	0	0	0	0
2.2 Common stocks (unaffiliated)	0	0	0	0	0
2.21 Common stocks of affiliates	0	0	0	0	0
3. Mortgage loans	0	0	0	0	0
4. Real estate	0	0	0	0	0
5. Contract loans	0	0	0	0	0
6. Cash, cash equivalents and short-term investments	0	0	0	0	0
7. Derivative instruments	0	0	0	0	0
8. Other invested assets	0	0	0	0	0
9. Aggregate write-ins for capital gains (losses)	0	0	0	0	0
10. Total capital gains (losses)	4,618,055	(135,293)	4,482,762	0	0
DETAILS OF WRITE-INS					
0901.					
0902.					
0903.					
0998. Summary of remaining write-ins for Line 9 from overflow page	0	0	0	0	0
0999. Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)	0	0	0	0	0

EXHIBIT OF NON-ADMITTED ASSETS

	1	2	3
	Current Year Total Nonadmitted Assets	Prior Year Total Nonadmitted Assets	Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1. Bonds (Schedule D)	0	0	0
2. Stocks (Schedule D):			
2.1 Preferred stocks	0	0	0
2.2 Common stocks	0	0	0
3. Mortgage loans on real estate (Schedule B):			
3.1 First liens	0	0	0
3.2 Other than first liens.....	0	0	0
4. Real estate (Schedule A):			
4.1 Properties occupied by the company	0	0	0
4.2 Properties held for the production of income.....	0	0	0
4.3 Properties held for sale	0	0	0
5. Cash (Schedule E - Part 1), cash equivalents (Schedule E - Part 2) and short-term investments (Schedule DA)	0	0	0
6. Contract loans	0	0	0
7. Derivatives (Schedule DB)	0	0	0
8. Other invested assets (Schedule BA)	0	0	0
9. Receivables for securities	0	0	0
10. Securities lending reinvested collateral assets (Schedule DL)	0	0	0
11. Aggregate write-ins for invested assets	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	0	0	0
13. Title plants (for Title insurers only)	0	0	0
14. Investment income due and accrued	0	0	0
15. Premiums and considerations:			
15.1 Uncollected premiums and agents' balances in the course of collection	391,452	851,727	460,275
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due	0	0	0
15.3 Accrued retrospective premiums	0	0	0
16. Reinsurance:			
16.1 Amounts recoverable from reinsurers	0	0	0
16.2 Funds held by or deposited with reinsured companies	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0
17. Amounts receivable relating to uninsured plans	138,393	94,963	(43,430)
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0
18.2 Net deferred tax asset	12,991,708	17,055,008	4,063,300
19. Guaranty funds receivable or on deposit	0	0	0
20. Electronic data processing equipment and software	0	0	0
21. Furniture and equipment, including health care delivery assets	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0
23. Receivable from parent, subsidiaries and affiliates	0	0	0
24. Health care and other amounts receivable	33,056,741	15,552,038	(17,504,703)
25. Aggregate write-ins for other than invested assets	193,767	33,621	(160,146)
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	46,772,061	33,587,358	(13,184,703)
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
28. Total (Lines 26 and 27)	46,772,061	33,587,358	(13,184,703)
DETAILS OF WRITE-INS			
1101.			
1102.			
1103.			
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)	0	0	0
2501. Service Fee Receivable	193,767	33,621	(160,146)
2502.			
2503.			
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	193,767	33,621	(160,146)

EXHIBIT 1 - ENROLLMENT BY PRODUCT TYPE FOR HEALTH BUSINESS ONLY

Source of Enrollment	Total Members at End of					6 Current Year Member Months
	1 Prior Year	2 First Quarter	3 Second Quarter	4 Third Quarter	5 Current Year	
1. Health Maintenance Organizations	760,431	771,494	788,322	794,508	813,770	9,397,189
2. Provider Service Organizations	0	0	0	0	0	0
3. Preferred Provider Organizations	0	0	0	0	0	0
4. Point of Service	0	0	0	0	0	0
5. Indemnity Only	0	0	0	0	0	0
6. Aggregate write-ins for other lines of business	0	0	0	0	0	0
7. Total	760,431	771,494	788,322	794,508	813,770	9,397,189
DETAILS OF WRITE-INS						
0601.						
0602.						
0603.						
0698. Summary of remaining write-ins for Line 6 from overflow page	0	0	0	0	0	0
0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)	0	0	0	0	0	0

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT 3 - HEALTH CARE RECEIVABLES

1 Name of Debtor	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 Over 90 Days	6 Nonadmitted	7 Admitted
OptumRx, Inc.	30,582,965	1,144,369	737,601	2,281,262	2,495,163	32,251,034
0199998. Aggregate Pharmaceutical Rebate Receivables Not Individually Listed	0	0	0	0	0	0
0199999. Total Pharmaceutical Rebate Receivables	30,582,965	1,144,369	737,601	2,281,262	2,495,163	32,251,034
0299998. Aggregate Claim Overpayment Receivables Not Individually Listed	14,785,281	5,248,201	3,465,460	8,764,487	30,559,839	1,703,590
0299999. Total Claim Overpayment Receivables	14,785,281	5,248,201	3,465,460	8,764,487	30,559,839	1,703,590
0399998. Aggregate Loans and Advances to Providers Not Individually Listed	0	0	0	0	0	0
0399999. Total Loans and Advances to Providers	0	0	0	0	0	0
0499998. Aggregate Capitation Arrangement Receivables Not Individually Listed	0	0	0	0	0	0
0499999. Total Capitation Arrangement Receivables	0	0	0	0	0	0
0599998. Aggregate Risk Sharing Receivables Not Individually Listed	0	0	0	0	0	0
0599999. Total Risk Sharing Receivables	0	0	0	0	0	0
Plan to Plan Receivables	1,281	0	0	458	1,739	0
0699998. Aggregate Other Receivables Not Individually Listed	0	0	0	0	0	0
0699999. Total Other Receivables	1,281	0	0	458	1,739	0
0799999 Gross health care receivables	45,369,527	6,392,570	4,203,061	11,046,207	33,056,741	33,954,624

EXHIBIT 3A - ANALYSIS OF HEALTH CARE RECEIVABLES COLLECTED AND ACCRUED

Type of Health Care Receivable	Health Care Receivables Collected During the Year		Health Care Receivables Accrued as of December 31 of Current Year		5 Health Care Receivables in Prior Years (Columns 1 + 3)	6 Estimated Health Care Receivables Accrued as of December 31 of Prior Year
	1 On Amounts Accrued Prior to January 1 of Current Year	2 On Amounts Accrued During the Year	3 On Amounts Accrued December 31 of Prior Year	4 On Amounts Accrued During the Year		
1. Pharmaceutical rebate receivables	26,646,977	48,954,037	238,197	34,508,001	26,885,174	27,268,922
2. Claim overpayment receivables	9,241,438	92,009,997	3,909,733	28,353,697	13,151,171	17,325,465
3. Loans and advances to providers	0	0	0	0	0	0
4. Capitation arrangement receivables	0	0	0	0	0	0
5. Risk sharing receivables	0	0	0	0	0	5,208
6. Other health care receivables.....	2,048,072	37,232	0	1,739	2,048,072	104,867
7. Totals (Lines 1 through 6)	37,936,487	141,001,266	4,147,930	62,863,437	42,084,417	44,704,462

Note that the accrued amounts in Columns 3, 4, and 6 are the total health care receivables, not just the admitted portion.

EXHIBIT 4 - CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims Unpaid (Reported)						
0199999. Individually listed claims unpaid	0	0	0	0	0	0
0299999. Aggregate accounts not individually listed- uncovered	0	0	0	0	0	0
0399999. Aggregate accounts not individually listed-covered	68,789,250	3,979,380	411,635	60,740	259,512	73,500,517
0499999. Subtotals	68,789,250	3,979,380	411,635	60,740	259,512	73,500,517
0599999. Unreported claims and other claim reserves						292,980,355
0699999. Total amounts withheld						168,744
0799999. Total claims unpaid						366,649,616
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0899999 Accrued medical incentive pool and bonus amounts						6,961,348

EXHIBIT 8 - FURNITURE, EQUIPMENT AND SUPPLIES OWNED

Description	1 Cost	2 Improvements	3 Accumulated Depreciation	4 Book Value Less Encumbrances	5 Assets Not Admitted	6 Net Admitted Assets
1. Administrative furniture and equipment	NONE					
2. Medical furniture, equipment and fixtures						
3. Pharmaceuticals and surgical supplies						
4. Durable medical equipment						
5. Other property and equipment						
6. Total						

UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.

**NOTES TO STATUTORY BASIS FINANCIAL STATEMENTS
AS OF AND FOR THE YEARS ENDED DECEMBER 31, 2014 AND 2013**

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Organization and Operation

UnitedHealthcare Plan of the River Valley, Inc. (the "Company"), licensed as a health maintenance organization ("HMO"), offers its enrollees a variety of managed care programs and products through contractual arrangements with health care providers. The Company is a wholly owned subsidiary of UnitedHealthcare Services Company of the River Valley, Inc. ("UHS-RV"). UHS-RV is a wholly owned subsidiary of UnitedHealthcare, Inc. UnitedHealthcare, Inc. ("UHC"). UHC is a wholly owned subsidiary of United HealthCare Services, Inc. ("UHS"), a HMO management corporation that provides services to the Company under the terms of a management agreement. UHS is a wholly owned subsidiary of UnitedHealth Group Incorporated ("UnitedHealth Group"). UnitedHealth Group is a publicly held company trading on the New York Stock Exchange.

The Company was incorporated on August 5, 1985, as a HMO and operations commenced in December 1985. The Company is certified as a HMO by the Illinois Department of Insurance ("IDOI"). The Company has entered into contracts with physicians, hospitals, and other health care provider organizations to deliver health care services for all enrollees. The Company is licensed in four states.

The Company serves as a plan sponsor offering Medicare Advantage and Medicare Part D prescription drug insurance coverage ("Medicare Part D program") under a contract with the Centers for Medicare and Medicaid Services ("CMS"). Under the Medicare Part D program, there are seven separate elements of payment received by the Company during the plan year; these payment elements are CMS premium, member premium, CMS low-income premium subsidy, CMS catastrophic reinsurance subsidy, CMS low-income member cost-sharing subsidy, CMS risk share, and the CMS Coverage Gap Discount Program. Each component of the Medicare Part D program is further defined throughout Note 1.

The Company participates state-wide as a contracted managed care organization ("MCO") in the State of Tennessee Bureau of TennCare ("TennCare") program. TennCare is a project authorized through a conditional waiver by CMS that replaced the State of Tennessee's Medicaid program on January 1, 1994. Uninsured individuals and Medicaid eligible individuals who enroll in the TennCare program receive prepaid health care through participating MCO's. The Company has risk arrangements with TennCare servicing individuals in the Middle Grand Region Tennessee, the West Grand Region Tennessee, and the East Grand Region Tennessee. The contract for all three Grand regions is effective through December 31, 2016 and subject to renewal provisions as outlined in the contract.

The Company offers the CHOICES Program for individuals in the Middle Grand Region Tennessee, West Grand Region Tennessee and the East Grand Region Tennessee which provides long-term care benefits to the uninsured individuals and Medicaid eligible individuals who are enrolled in the TennCare program and qualify for this benefit. The Company also offers the CHOICES 3 program for individuals who qualify for CHOICES but are considered at-risk for nursing facility care.

The Company has a contract with the Office of Personnel Management ("OPM") to provide healthcare services to employees of the Federal government under the Federal Employee Health Benefit Plan ("FEHBP"). The contract has been renewed through December 31, 2015 and is subject to annual renewal provisions thereafter (see Note 24).

The Company has a contract with the State of Iowa, Department of Human Services, to provide healthcare services to Hawk-i (a program for uninsured children) eligible beneficiaries in Iowa. The current contract is effective through June 30, 2015, and is subject to renewal provisions as outlined in the contract.

A. Accounting Practices

The statutory basis financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the IDOI.

The Department recognizes only statutory accounting practices, prescribed or permitted by the State of Illinois, for determining and reporting the financial condition and results of operations of a HMO, for determining its solvency under Illinois Insurance Law. The state prescribes the use of the National Association of Insurance Commissioners' ("NAIC") Accounting Practices and Procedures manual ("NAIC SAP") in effect for the accounting periods covered in the statutory basis financial statements.

No significant differences exist between the practices prescribed or permitted by the State of Illinois and those prescribed or permitted by the NAIC SAP that materially affect the statutory basis net income and capital and surplus, as illustrated in the table below:

	State of Domicile	2014	2013
Net Income			
(1) Company state basis	Illinois	\$ 236,985,815	\$ 152,048,836
(2) State prescribed practices that increase/(decrease) NAIC SAP: None	Illinois	-	-
(3) State permitted practices that increase/(decrease) NAIC SAP: None	Illinois	-	-
(4) NAIC SAP (1-2-3=4)	Illinois	<u>\$ 236,985,815</u>	<u>\$ 152,048,836</u>
Surplus			
(5) Company state basis	Illinois	\$ 600,328,649	\$ 513,401,130
(6) State prescribed practices that increase/(decrease) NAIC SAP: None	Illinois	-	-
(7) State permitted practices that increase/(decrease) NAIC SAP: None	Illinois	-	-
(8) NAIC SAP (5-6-7=8)	Illinois	<u>\$ 600,328,649</u>	<u>\$ 513,401,130</u>

B. Use of Estimates in the Preparation of the Statutory Basis Financial Statements

The preparation of these statutory basis financial statements in conformity with the NAIC Annual Statement Instructions and the NAIC SAP include certain amounts that are based on the Company's estimates and judgments. These estimates require the Company to apply complex assumptions and judgments, often because the Company must make estimates about the effects of matters that are inherently uncertain and will change in subsequent periods. The most significant estimates relate to hospital and medical benefits, claims unpaid (including enhanced payments associated with additional primary care physicians ("PCP") payments, see Note 24), premiums received in advance (see Note 21), aggregate health policy reserves (including medical loss ratio rebates) and aggregate health claim reserves (collectively known as "aggregate health reserves"). The Company adjusts these estimates each period as more current information becomes available. The impact of any changes in estimates is included in the determination of net income in the period in which the estimate is adjusted.

C. Accounting Policy

Basis of Presentation — The Company prepares its statutory basis financial statements on the basis of accounting practices prescribed or permitted by the IDOI. These statutory practices differ from accounting principles generally accepted in the United States of America ("GAAP").

Accounting policy disclosures that are required by the NAIC Annual Statement instructions are as follows:

- (1–2) Bonds and short-term investments are stated at amortized cost if they meet NAIC designation of one or two and stated at the lower of amortized cost or fair value if they meet an NAIC designation of three or higher. Amortization of bond premium or accretion of discount is calculated using the constant-yield interest method. Bonds and short-term investments are valued and reported using market prices published by the Securiteis Valuation Office of the NAIC ("SVO") in accordance with the NAIC Valuations of Securities manual prepared by the SVO or an external pricing service;
- (3–4) The Company holds no common or preferred stock;
- (5) The Company holds no mortgage loans on real estate;
- (6) U.S. government and agency securities and corporate debt securities include loan-backed securities, which are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the amortized cost of loan-backed securities are based on a three-month constant prepayment rate history obtained from external data source vendors. The Company's investment policy limits investments in nonagency residential loan-backed securities, including home equity and sub-prime mortgages, to 10% of total cash and invested assets and total investments in loan-backed securities to 30% of total cash and invested assets;
- (7) The Company holds no investments in subsidiaries, controlled, or affiliated entities;
- (8) The Company has no investment interests with respect to joint ventures, partnerships, or limited liability companies;
- (9) The Company holds no derivatives;

- (10) Premium deficiency reserves and the related expenses are recognized when it is probable that expected future health care expenses, claim adjustment expenses (“CAE”), direct administration costs, and an allocation of indirect administration costs under a group of existing contracts will exceed anticipated future premiums and reinsurance recoveries considered over the remaining lives of the contracts, and are recorded as aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Indirect administration costs arise from activities that are not specifically identifiable to a specific group of existing contracts, and therefore, those costs are fully allocated among the various contract groupings. The allocation of indirect administration costs to each contract grouping is made proportionately to the expected margins remaining in the premiums after future health care expenses, CAE, and direct administration costs are considered. The methods for making such estimates and for establishing the resulting reserves are periodically reviewed and updated, and any adjustments are reflected as an increase or decrease in reserves for accident and health contracts in the accompanying statutory basis statements of operations in the period in which the change in estimate is identified. The Company anticipates investment income as a factor in the premium deficiency calculation (see Note 30);
- (11) Claims adjustment expenses are those costs expected to be incurred in connection with the adjustment and recording of accident and health claims. Pursuant to the terms of the management agreement (see Note 10), the Company pays a management fee to UHS in exchange for administrative and management services. A detailed review of UHS’ and the Company’s administrative expenses is performed to determine the allocation between claims adjustment expenses and general administrative expenses to be reported in the statutory basis statement of operations. It is the responsibility of UHS to pay CAE in the event the Company ceases operations. The Company has recorded an estimate of unpaid claims adjustment expenses associated with incurred but unpaid claims, which is included in unpaid claims adjustment expenses in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Management believes the amount of the liability for unpaid claims adjustment expenses as of December 31, 2014 is adequate to cover the Company’s cost for the adjustment and recording of unpaid claims; however, actual expenses may differ from those established estimates. Adjustments to the estimates for unpaid claims adjustment expenses are reflected in operating results in the period in which the change in estimate is identified;
- (12) The Company does not carry any fixed assets on the statutory basis financial statements;
- (13) Health care receivables consist of pharmacy rebates receivable estimated based on the most currently available data from the Company’s claims processing systems and from data provided by the Company’s unaffiliated pharmaceutical benefit manager and affiliated pharmaceutical benefit manager, OptumRx, Inc. (“OptumRx”). Health care receivables also includes claim overpayments to providers, hospitals and other health care organizations. Health care receivable are considered nonadmitted assets for statutory purposes if they do not meet admissibility requirements. Accordingly, the Company has excluded receivables that do not meet the admissibility criteria from the statutory basis statements of admitted assets, liabilities, and capital and surplus (see Note 28).

The Company has also deemed the following to be significant accounting policies and differences between statutory practices and GAAP:

ASSETS

Cash and Invested Assets

- Bonds include U.S. government and agency securities, state and agency municipalities, city and county municipalities, and corporate debt securities with a maturity of greater than one year at the time of purchase;
- Certain debt investments categorized as available for sale or held to maturity are presented at the lower of amortized cost or fair value in accordance with the NAIC designations in the statutory basis financial statements, whereas under GAAP, these investments are shown at fair value or amortized cost, respectively;
- Cash, cash equivalents, and short-term investments in the statutory basis financial statements represent cash balances and investments with original maturities of one year or less from the time of acquisition, whereas under GAAP, the corresponding caption of cash, cash equivalents, and short-term investments includes cash balances and investments that will mature in one year or less from the balance sheet date;
- Cash represents cash held by the Company in disbursement accounts. Claims and other payments are made from the disbursement accounts daily;

- Outstanding checks are required to be netted against cash balances or presented as cash overdrafts if in excess of cash balances in the statutory basis statements of admitted assets, liabilities, and capital and surplus as opposed to being presented as other liabilities under GAAP;
- Cash equivalents represent U.S. treasury bills and agency discount notes. Cash equivalents have original maturity dates of three months or less from the date of acquisition and are reported at cost or amortized cost depending on the nature of the underlying security, which approximates fair value;
- Short-term investments represent money market-funds, corporate debt securities, and government obligations with a maturity of greater than three months but less than one year at the time of purchase. Short-term investments also consist of the Company's share of an investment pool sponsored and administered by UHS. The investment pool consists principally of investments with original maturities of less than one year, with the average life of the individual investments being less than 60 days. The Company's share of the pool represents an undivided ownership interest in the pool and is immediately convertible to cash at no cost or penalty. The participants within the pool have an individual fund number to track those investments owned by the Company. In addition, the Company is listed as a participant in the executed custodial agreement between UHS and the custodian whereby the Company's share in the investment pool is segregated and separately maintained. The pool is primarily invested in government obligations, commercial paper, certificates of deposit, and short-term agency notes and is recorded at cost or amortized cost. Interest income from the pool accrues daily to participating members based upon ownership percentage;
- Realized capital gains and losses on sales of investments are calculated based upon specific identification of the investments sold. These gains and losses are reported as net realized capital gains less capital gains tax in the statutory basis statements of operations;
- The Company continually monitors the difference between amortized cost and estimated fair value of its investments. If any of the Company's investments experience a decline in value that the Company has determined is other-than-temporary, or if the Company has determined it will sell a security that is in an impaired status, the Company will record a realized loss in net realized capital gains less capital gains tax in the statutory basis statements of operations. The new cost basis is not changed for subsequent recoveries in fair value. The prospective adjustment method is utilized for loan-backed securities for periods subsequent to the loss recognition. The Company recognized an other-than-temporary impairment ("OTTI") of \$135,293 for the year ended December 31, 2014. The Company did not record any OTTIs for the year ended December 31, 2013;
- The statutory basis statements of cash flows reconciles cash, cash equivalents, and short-term investments with original maturities of one year or less from the time of acquisition; whereas under GAAP, cash flows reconcile the corresponding captions of cash and cash equivalents with maturities of three months or less. Short-term investments with a final maturity of one year or less from the balance sheet date are not included in the reconciliation of GAAP cash flows. The statutory basis statements of cash flows are prepared in accordance with the NAIC Annual Statement Instructions.
- Other invested assets include low income housing tax credit investments which are stated at amortized cost, which approximates fair value in the statutory basis statements of admitted assets, liabilities and capital and surplus.

Other Assets

- **Investment Income Due and Accrued** — Investment income earned and due as of the reporting date, in addition to investment income earned but not paid or collected until subsequent periods, is reported as investment income due and accrued in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company evaluates the collectability of the amounts due and amounts determined to be uncollectible are written off in the period in which the determination is made.
- **Premiums and Considerations** — The Company reports uncollected premium balances from its insured members as premiums and considerations in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Uncollected premium balances that are over 90 days past due, with the exception of amounts due from government insured plans, are considered nonadmitted assets. In addition to those balances, current balances are also considered nonadmitted if the corresponding balance greater than 90 days past due is deemed more than inconsequential.
- **Amounts Receivable Relating to Uninsured Plans** — Receivables for amounts held under uninsured plans represent the cost reimbursement under the Medicare Part D program for the catastrophic reinsurance subsidy and the low-income member cost-sharing subsidy. The Company is fully reimbursed by CMS for costs incurred for these contract elements, and accordingly, there is no insurance risk to the Company. Amounts received for these subsidies are received monthly and are not reflected as net premium income, but rather are accounted for as deposits. The Patient Protection and Affordable Care Act and its related reconciliation act ("Health Reform Legislation")

mandate consumer discounts of 50% on brand name prescription drugs for Part D plan participants in the coverage gap (“Coverage Gap Discount Program” or “CGDP”). These discounts are pre-funded by CMS, and ultimately reimbursed by pharmaceutical manufacturers. The Company solely administers the application of these funds and has no insurance risk. If the Company incurs costs in excess of these subsidies, a corresponding receivable is recorded in amounts receivable relating to uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Related cash flows are presented within operating expenses paid within cash provided by operations in the statutory basis statements of cash flows.

Also included in the receivable balance are amounts due from TennCare related to the 2008 and 2007 Administrative Services Only (ASO) contract years. If the Company incurs costs in conjunction with this agreement, a corresponding receivable is recorded in amounts receivable relating to uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Related cash flows are presented within operating expenses paid within cash provided by operations in the statutory basis statements of cash flows.

In addition, the Company records a receivable related to the administration of TennCare’s pharmaceutical benefit program (“PBM”). The PBM submits weekly invoices to the Company that reflects the total payment amount to be made to the TennCare Pharmacy Providers. When the invoice is received, the Company records a PBM receivable and corresponding payable in amounts receivable relating to uninsured plans and liability for amounts held for uninsured plans, respectively. The Company will then receive a reimbursement for the invoice from TennCare and remits the amount received to the PBM. The Company records a premium tax receivable for any amounts received from TennCare that remains unremitted at month end, which is included in amounts receivable relating to uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company will have no financial or member risk as a result of this relationship. The Company is acting in a pass through capacity only.

- **Net Deferred Tax Asset** — Statutory accounting provides for an amount to be recorded for deferred taxes on temporary differences between the financial reporting and tax bases of assets and liabilities, subject to a valuation allowance and admissibility limitations on deferred tax assets (see Note 9). In addition, under statutory accounting, the change in deferred tax assets and liabilities is recorded directly to unassigned surplus and deferred tax assets are subject to a valuation allowance and admissibility limitations of the assets in the statutory basis financial statements, whereas under GAAP, the change in deferred tax assets and liabilities is recorded as a component of the income tax provision within the income statement and is based on the ultimate recoverability of the deferred tax assets. Based on the admissibility criteria under statutory accounting, any deferred tax assets determined to be nonadmitted are charged directly to surplus and excluded from the statutory basis financial statements, whereas under GAAP, such assets are included in the balance sheets.
- **Section 1343 ACA Risk Adjustment Receivable** — The Company has established a receivable pursuant to Section 1343 of the ACA. Premium adjustments related to the risk adjustment program are accounted for as premium subject to redetermination. Premium adjustments are based upon the risk scores (health status) of enrollees participating in risk adjustment covered plans, rather than the actual loss experience of the insured. A risk adjustment receivable is recorded when the Company estimates its average actuarial risk score for policies included in this program is greater than the average actuarial risk scores in that market and state risk pool (see Note 24).

LIABILITIES

- **Claims Unpaid and Aggregate Health Claim Reserves** — Claims unpaid and aggregate health claim reserves include claims processed but not yet paid, estimates for claims received but not yet processed, estimates for the costs of health care services enrollees have received but for which claims have not yet been submitted, and payments and liabilities for physician, hospital, and other medical costs disputes.

The estimates for incurred but not yet reported claims are developed using an actuarial process that is consistently applied, centrally controlled, and automated. The actuarial models consider factors such as historical submission and payment data, cost trends, customer and product mix, seasonality, utilization of health care services, contracted service rates, and other relevant factors. The Company estimates such liabilities for physician, hospital, and other medical cost disputes based upon an analysis of potential outcomes, assuming a combination of litigation and settlement strategies. These estimates may change as actuarial methods change or as underlying facts upon which estimates are based change. The Company did not change actuarial methods during 2014 and 2013. Management believes the amount of claims unpaid and aggregate health claim reserves is a best estimate for the Company’s liability for unpaid claims and aggregate health claim reserves as of December 31, 2014; however, actual payments may differ from those established estimates. Adjustments to claims unpaid estimates and aggregate health claim reserves are reflected in the statutory basis statement of operations in the period in which the change in estimate is identified.

The Company contracts with hospitals, physicians, and other providers of health care under capitated or discounted fee for service arrangements, including a hospital per diem to provide medical care services to enrollees. Some of these contracts are with related parties (see Note 10).

Capitated providers are at risk for the cost of medical care services provided to the Company's enrollees; however, the Company is ultimately responsible for the provision of services to its enrollees should the capitated provider be unable to provide the contracted services.

- **Incentive Pool** — The Company has agreements with certain independent physicians and physician network organizations that provide for the establishment of a fund into which the Company places monthly premiums payable for members assigned to the physician. The Company manages the disbursement of funds from this account as well as reviews the utilization of nonprimary care medical services of members assigned to the physicians. Any surpluses or deficits in the fund are shared by the Company and the physician based upon predetermined risk-sharing percentage and the liability is included in accrued medical incentive pool and bonus amounts in the statutory basis statements of admitted assets, liabilities, and capital and surplus, and the corresponding expense or reduction to expense is included in incentive pool, withhold adjustments, and bonus amounts in the statutory basis statements of operations.
- **Reserve for Experience Rated Refunds** — A liability is established for estimated premium refunds on experience rated contracts based on actuarial methods and assumptions and minimum loss ratio requirements. The liability also includes the estimated rebate on the commercial health products and Medicare effective for 2014, for which the medical loss ratios on fully insured products, as calculated under the Health Reform Legislation (see Note 14) and implementing regulations, fall below certain targets. The Company is required to rebate the ratable portions of the premiums annually. In addition, the Company is subject to minimum medical loss ratios on its Medicare product as calculated under the Health Reform Legislation (see Note 24). Estimated accrued retrospective premiums due from the Company are recorded in aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus and as a change in reserve for rate credits in the statutory basis statements of operations.
- **Medical Risk Share — Medicare Part D** — The Company has settlements with CMS based on whether the ultimate per member per month ("PMPM") benefit costs of any Medicare Part D program regional plan varies more than 5% above or below the level estimated in the original bid submitted by the Company and approved by CMS in 2014 and 2013. The estimated risk share adjustment of \$1,400,151 and \$5,282,494 in 2014 and 2013, respectively, is recorded as aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus with the corresponding change in the balance reflected as a change in reserve for rate credits in the statutory basis statements of operations.
- **Premiums Received in Advance** — Premiums received in full during the current period that are not due until future periods are recorded as premiums received in advance in the statutory basis statements of admitted assets, liabilities, and capital and surplus.
- **General Expenses Due or Accrued** — General expenses that are due as of the reporting date in addition to general expenses that have been incurred but are not due until a subsequent period are reported as general expenses due and accrued in the statutory basis statements of admitted assets, liabilities, and capital and surplus. General expenses due and accrued also include the unpaid portion of the contributions required under the Affordable Care Act risk adjustment, and reinsurance programs (see Note 24).
- **Remittances and Items Not Allocated** — Remittances and items not allocated generally represent monies received from policyholders for monthly premium billings or providers that have not been specifically identified or applied prior to year-end. The majority is from monies received in the lockbox account on the last day of the year.
- **Amounts Due to Parent, Subsidiaries, and Affiliates, Net** — In the normal course of business, the Company has various transactions with related parties (see Note 10). The Company reports any unsettled amounts owed as amounts due to parent, subsidiaries, and affiliates, net, in the statutory basis statements of admitted assets, liabilities, and capital and surplus.
- **Payable for Securities** — The Company reports payable for securities when investments are traded at the end of an accounting period and the settlement does not occur until the following month in the statutory basis statements of admitted assets, liabilities, and capital and surplus.
- **Liability for Amounts Held under Uninsured Plans** — Liabilities for amounts held under uninsured plans represent the cost reimbursement under the Medicare Part D program for the catastrophic reinsurance subsidy and the low-income member cost-sharing subsidy. The Company is fully reimbursed by CMS for costs incurred for these contract elements, and accordingly, there is no insurance risk to the Company. Amounts received for these subsidies are received monthly and are not reflected as net premium income, but rather are accounted for as deposits. The Health Reform Legislation mandate consumer discounts of 50% on brand name prescription drugs for Part D plan participants in the CGDP. These discounts are pre-funded by CMS, and ultimately reimbursed by pharmaceutical manufacturers. The Company solely administers the application of these funds and has no insurance risk. If the Company incurs costs less than these subsidies, a corresponding payable is recorded in liability for amounts held under uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

Also included in the payable balance are amounts due to TennCare related to the 2008 and 2007 ASO contract years. If the Company incurs costs below these subsidies, a corresponding payable is recorded in liability for amounts held under uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Related cash flows are presented within operating expenses paid within cash provided by operations in the statutory basis statements of cash flows.

In addition, the Company records a liability related to the administration of TennCare's pharmaceutical benefit program ("PBM"). The PBM submits weekly invoices to the Company that reflects the total payment amount to be made to the TennCare Pharmacy Providers. When the invoice is received, the Company records a PBM receivable and corresponding payable in amounts receivable relating to uninsured plans and liability for amounts held for uninsured plans, respectively. The Company will then receive a reimbursement for the invoice from TennCare and remits the amount received to the PBM. The Company records a premium tax payable for any amounts received from TennCare that remains unremitted at month end, which is included in general expenses due or accrued in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company will have no financial or member risk as a result of this relationship. The Company is acting in a pass through capacity only.

CAPITAL AND SURPLUS AND MINIMUM STATUTORY REQUIREMENTS

- **Nonadmitted Assets** - Certain assets, including certain aged premium receivables, certain health care receivables, certain receivables related to uninsured plans, and components of deferred tax assets are considered nonadmitted assets for statutory purposes and are excluded from the statutory basis statements of admitted assets, liabilities, and capital and surplus and charged directly to unassigned surplus. Under GAAP, such assets are included in the balance sheets.
- **Restricted Cash Reserves** — The Company held regulatory deposits in the amount of \$54,312,628 and \$55,606,032 as of December 31, 2014 and 2013, respectively, in compliance with the various states requirements for qualification purposes as a domestic insurer and foreign insurer. These restricted cash reserves consist principally of government obligations and are stated at amortized cost, which approximates fair value. These reserves are included in bonds and short-term investments in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Interest earned on these deposits accrues to the Company.
- **Minimum Capital and Surplus** — Under the laws of the State of Illinois, the IDOI requires the Company to retain a net worth of \$300,000 and a special contingency reserve of 5% of its net earned subscription revenue for health care services through December 31st of the year in which certified. In subsequent years, the organization must accumulate additions to the reserve in an amount equal to 2% of its net earned subscription revenue for each calendar year. Additional accumulations will no longer be required when the total special contingency reserve reaches \$1,500,000. The company has \$600,328,649 and \$513,401,130 in total statutory basis capital and surplus as of December 31, 2014 and December 31, 2013, respectively, which is in compliance with the required amount.

The Tennessee Department of Commerce and Insurance ("TDCI") requires the Company to maintain minimum capital and surplus of \$1,500,000 or an amount totaling 4% of the first \$150,000,000 of direct premiums written as reported on the most recent annual statement filed with the commissioner and 1.5% of the direct premiums written in excess of \$150,000,000. TennCare has a similar minimum capital and surplus requirement however, the calculation is based on the greater of premium revenue remitted to the Company pursuant to the federal waiver or direct premiums written reported on the annual statement. The Company was in compliance with the required amounts as of December 31, 2014 and 2013.

Risk-based capital ("RBC") is a regulatory tool for measuring the minimum amount of capital appropriate for a managed care organization to support its overall business operations in consideration of its size and risk profile. The IDOI requires the Company to maintain minimum capital and surplus equal to the greater of the state statute as outlined above or the health trend test level as recently adopted by the State of Illinois, which requires the Company to maintain higher capital and surplus levels based on the current excess over the predefined RBC limits as calculated by the RBC formula. The Company is in compliance with the required amount.

- **Contingency Reserve** - Minimum capital and surplus requirements calculated pursuant to the IDOI governing HMOs are reported as a contingency reserve and included as a component of aggregate write-ins for other than special surplus funds in the statutory basis statements of admitted assets, liabilities, and capital and surplus, whereas under GAAP, no such special surplus designation is required.
- **Aggregate Write-ins for Special Surplus Funds** - The Company is subject to an annual fee under section 9010 of the ACA. Under statutory accounting, an amount equal to the estimated subsequent year fee must be apportioned out of unassigned surplus and reported as aggregate write-ins for special surplus funds, whereas under GAAP, no such special surplus designation is required.

STATEMENTS OF OPERATIONS

- **Net Premium Income and Reserve for Rate Credits** — Revenues consist of net premium income that is recognized in the period in which enrollees are entitled to receive health care services. Net premium income is shown net of reinsurance premiums paid and incurred.

Commercial health plans with medical loss ratios on fully insured products, as calculated under the definitions in the Patient Protection and Affordable Care Act and its related reconciliation act, the Health Care and Education Reconciliation Act of 2010 (collectively known as “Health Reform Legislation”) (see Note 14) and implementing regulations, that fall below certain targets are required to rebate ratable portions of premiums annually. The Company classifies its estimated rebates as change in reserves for rate credits in the statutory basis statements of operations.

Net premium income includes the Medicare Advantage CMS premium, and the premium under the Medicare Part D program, which includes, CMS premium, member premium, and low-income premium subsidy for the Company’s insurance risk coverage. Net premium income is recognized ratably over the period in which eligible individuals are entitled to receive health care services and prescription drug benefits. The Company estimates retrospective premium adjustments based on guidelines determined by CMS (see Note 24).

Effective January 1, 2014, Medicare Advantage plans and Part D prescription drug plans are subject to MLR requirements under Health Reform Legislation. Plans with medical loss ratios that fall below certain targets are required to rebate ratable portions of premiums annually. The Company classifies its estimated rebates as change in reserves for rate credits in the statutory basis statements of operations.

CMS deploys a risk adjustment model that apportions premiums paid to all health plans according to health severity and certain demographic factors. The CMS risk adjustment model pays more for members whose medical history indicates they have certain medical conditions. Under this risk adjustment methodology, CMS calculates the risk-adjusted premium payment using diagnosis data from hospital inpatient, hospital outpatient, and physician treatment settings. The Company and health care providers collect, capture, and submit the necessary and available diagnosis data to CMS within prescribed deadlines. The Company estimates risk adjustment revenues based upon the diagnosis data submitted and expected to be submitted to CMS. The Company recognizes such changes when the amounts become determinable and supportable and collectability is reasonably assured. The estimated risk-adjusted payments due to the Company at December 31, 2014 and 2013, were \$29,522,229 and \$22,749,005, respectively, and are recorded as premiums and considerations in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company recognized \$23,017,608 and \$2,491,832 for changes in prior year Medicare risk factor estimates during the years ended December 31, 2014 and 2013, respectively, which is recorded as net premium income within the statutory basis statements of operations.

Net premium income also includes amounts paid by TennCare for the membership enrolled under the at-risk agreement. Premiums are contractual and are recognized in the coverage period in which members are entitled to receive services. The majority of net premium income recorded is based on capitated rates, which are monthly premiums paid for each member enrolled.

Other health care related revenues consist of TennCare incentives which relate to certain performance metrics or other measures met by the Company in 2014 and 2013.

- **Total Hospital and Medical Expenses** — Total hospital and medical expenses include claims paid, claims processed but not yet paid, estimates for claims received but not yet processed, estimates for the costs of health care services enrollees have received but for which claims have not yet been submitted, and payments and liabilities for physician, hospital, and other medical costs disputes.

Total hospital and medical expenses also include amounts incurred for incentive pool, withhold adjustments, and bonus amounts that are based on the underlying contractual provisions with the respective provider.

- **General Administrative Expenses** — Pursuant to the terms of the management agreement (see Note 10), the Company pays a management fee to UHS in exchange for administrative and management services. Costs for items not included within the scope of the management agreement are directly expensed as incurred. State income taxes are also a component of general administrative expenses. A detailed review of the administrative expenses of the Company and UHS is performed to determine the allocation between claims adjustment expenses and general administrative expenses to be reported in the statutory basis statements of operations.

The Company is subject to an annual fee under section 9010 of the ACA. A health insurance entity’s annual fee becomes payable once the entity provides health insurance for any U.S. health risk for each calendar year beginning on or after January 1, 2014. Under statutory accounting, the entire amount of the estimated annual fee expense is recognized on January 1 of the fee year in general administrative expenses in the statutory basis statements of operations, whereas under

GAAP, a deferred asset is created on January 1 of the fee year which is amortized to expense on a straight-line basis.

- **Net Investment Income Earned** — Net investment income earned includes investment income collected during the period, as well as the change in investment income due and accrued on the Company's holdings. Amortization of premium or discount on bonds and certain external investment management costs are also included in net investment income earned (see Note 7).
- Comprehensive income and its components are not separately presented in the statutory basis financial statements, whereas under GAAP, it is a requirement to present comprehensive income and its components in the financial statements.

REINSURANCE

- **Reinsurance Ceded** — In the normal course of business, the Company seeks to limit its exposure to loss on any single insured and to recover a portion of benefits paid by ceding premium to other insurance enterprises or reinsurers under excess coverage contracts or specific transfer of risk agreements. The Company remains primarily liable as the direct insurer on the risks reinsured.

The Company also has an insolvency-only reinsurance agreement.

- **Section 1343 ACA Transitional Reinsurance** — The Company has established a liability of \$3,257,730 pursuant to Section 1343 of the ACA which is included in general expenses due or accrued in the statutory basis statements of admitted assets, liabilities, and capital and surplus, for the transitional reinsurance program. This program is designed to protect issuers in the individual market from an expected increase in large claims due to the elimination of preexisting condition limitations (see Note 24).
- **Ceded Reinsurance Premiums Payable** — The Company has an excess loss reinsurance agreement on its point of service product with UnitedHealthcare Insurance Company ("UHIC"), whereby \$0.32 PMPM is ceded to UHIC to cover certain hospital claims in excess of defined limits. The Company also has an insolvency-only agreement with UHIC whereby 0.1% of net premium income is ceded to UHIC. The ceded reinsurance premiums payable balance represents amounts due to the reinsurer for coverage which will be paid based on the contract terms.

OTHER

- **Vulnerability Due to Certain Concentrations** — The Company is subject to substantial federal and state government regulation, including licensing and other requirements relating to the offering of the Company's existing products in new markets and offerings of new products, both of which may restrict the Company's ability to expand its business.

Direct premiums written and uncollected premiums from members and CMS related to Medicare Advantage and the Medicare Part D program as a percentage of total direct premiums written and total uncollected premiums are 29% and 32% as of December 31, 2014 and 29% and 28% as of December 31, 2013, respectively.

Direct premiums written and uncollected premiums from the State of Tennessee, TennCare program, as a percentage of total direct premiums written and total uncollected premiums are 65% and 65% as of December 31, 2014, and 63% and 71% at December 31, 2013, respectively.

Recently Issued Accounting Standards — In June 2014, the NAIC adopted Statement of Statutory Accounting Principles ("SSAP") No. 106, *Affordable Care Acts Assessments*, effective January 1, 2014. The new standard incorporates guidance previously included in SSAP No. 35R, *Guaranty Fund and Other Assessments* for the accounting and disclosure requirements of the ACA Section 9010 assessment. The Company adopted SSAP No. 106 in 2014 and the impact is disclosed in Note 22.

In December 2014, the NAIC adopted SSAP No. 107, *Accounting for the Risk-Sharing Provisions of the Affordable Care Act*, effective January 1, 2014. The new standard incorporates guidance previously included in INT 13-04: *Accounting for the Risk-Sharing Provisions of the Affordable Care Act* for the statutory accounting principles and disclosure requirements for the risk-sharing provisions of the ACA. The Company adopted SSAP No. 107 in 2014, and the impact to the financial statements is disclosed in Note 24.

The Company reviewed all other recently issued guidance in 2013 and 2014 that has been adopted for 2014 or subsequent year's implementation and has determined that none of the items would have a significant impact to the statutory basis financial statements.

2. ACCOUNTING CHANGES AND CORRECTION OF ERRORS

No changes in accounting principles or correction of errors have been recorded during the years ended December 31, 2014 and 2013.

3. BUSINESS COMBINATIONS AND GOODWILL

A–D. The Company was not party to a business combination during the years ended December 31, 2014 and 2013, and does not carry goodwill in its statutory basis statements of admitted assets, liabilities, and capital and surplus.

4. DISCONTINUED OPERATIONS

(1–5) The Company did not discontinue any operations during 2014 and 2013.

5. INVESTMENTS AND OTHER INVESTED ASSETS

For purposes of calculating gross realized gains and losses on sales of investments, the amortized cost of each investment sold is used. The gross realized gains and losses on sales of long-term investments were \$5,808,106 and \$1,190,048, respectively, for 2014 and \$6,614,987 and \$31,970, respectively, for 2013. There were no gross realized gains and losses on sales of short-term investments in 2014. The gross realized gains and losses on sales of short-term investments are \$4,694 and \$4, respectively, for 2013. The net realized gain is included in net realized capital gains less capital gains tax in the statutory basis statements of operations. Total proceeds on the sale of long-term investments were \$199,193,628 and \$214,621,477 and for short-term investments were \$7,894,998,038 and \$7,429,552,608 in 2014 and 2013, respectively.

As of December 31, 2014 and 2013, the amortized cost, fair value, and gross unrealized holding gains and losses of the Company's investments, excluding cash and cash equivalents of \$215,992,147 and \$117,327,543, respectively, are as follow:

	Amortized Cost	2014			Fair Value
		Gross Unrealized Holding Gains	Gross Unrealized Holding Losses < 1 year	Gross Unrealized Holding Losses > 1 year	
U.S. government and agency securities	\$ 185,983,468	\$ 1,260,810	\$ (76,408)	\$ (616,133)	\$ 186,551,737
State and agency municipalities	153,983,547	2,538,246	(104,772)	-	156,417,021
City and county municipalities	163,770,656	4,697,204	(161,842)	(7,166)	168,298,852
Corporate debt securities (includes commercial paper)	288,613,248	2,361,213	(599,865)	(54,913)	290,319,683
Money-market funds	138,631,638	-	-	-	138,631,638
Other invested assets	19,971,370	-	-	-	19,971,370
Total bonds, short-term investments, and other invested assets	<u>\$ 950,953,927</u>	<u>\$ 10,857,473</u>	<u>\$ (942,887)</u>	<u>\$ (678,212)</u>	<u>\$ 960,190,301</u>

	Amortized Cost	2014			Fair Value
		Gross Unrealized Holding Gains	Gross Unrealized Holding Losses < 1 year	Gross Unrealized Holding Losses > 1 year	
Less than one year	\$ 252,434,927	\$ 261,601	\$ (42,380)	\$ -	\$ 252,654,148
One to five years	361,149,565	3,951,873	(464,436)	(287,372)	364,349,630
Five to ten years	233,173,994	5,791,034	(372,526)	(54,674)	238,537,828
Over ten years	104,195,441	852,965	(63,545)	(336,166)	104,648,695
Total bonds, short-term investments, and other invested assets	<u>\$ 950,953,927</u>	<u>\$ 10,857,473</u>	<u>\$ (942,887)</u>	<u>\$ (678,212)</u>	<u>\$ 960,190,301</u>

	Amortized Cost	2013			Fair Value
		Gross Unrealized Holding Gains	Gross Unrealized Holding Losses < 1 year	Gross Unrealized Holding Losses > 1 year	
U.S. government and agency securities	\$ 204,903,466	\$ 893,889	\$ (3,050,070)	\$ (891,541)	\$ 201,855,744
State and agency municipalities	169,992,399	3,688,533	(701,873)	(105,881)	172,873,178
City and county municipalities	141,423,764	2,239,697	(1,058,807)	-	142,604,654
Corporate debt securities (includes commercial pape	177,038,781	4,252,087	(1,212,605)	-	180,078,263
Money-market funds	99,630,299	-	-	-	99,630,299
Other invested assets	21,263,841	64,866	(3,171)	-	21,325,536
Total bonds, short-term investments, and other invested assets	<u>\$ 814,252,550</u>	<u>\$ 11,139,072</u>	<u>\$ (6,026,526)</u>	<u>\$ (997,422)</u>	<u>\$ 818,367,674</u>

Included in U.S. government and agency securities and corporate debt securities in the tables above are loan-backed securities, which do not have a single maturity date. For the years to maturity table above, these securities have been presented in the maturity group based on the securities' final maturity date and at an amortized cost of \$97,185,205 and fair value of \$97,854,789.

The following table illustrates the fair value and gross unrealized holding losses, aggregated by investment category and length of time that the individual securities have been in a continuous unrealized loss position as of December 31, 2014 and 2013 :

	2014					
	< 1 year		> 1 year		Total	
	Fair Value	Gross Unrealized Holding Losses	Fair Value	Gross Unrealized Holding Losses	Fair Value	Gross Unrealized Holding Losses
U.S. government and agency securities	\$ 50,498,837	\$ (76,408)	\$ 44,762,175	\$ (616,133)	\$ 95,261,012	\$ (692,541)
State and agency municipalities	29,064,522	(104,772)	-	-	29,064,522	(104,772)
City and county municipalities	26,196,680	(161,842)	1,957,036	(7,166)	28,153,716	(169,008)
Corporate debt securities (includes commercial paper)	144,315,188	(599,865)	3,336,061	(54,913)	147,651,249	(654,778)
Total bonds, short-term investments, and equity	<u>\$ 250,075,227</u>	<u>\$ (942,887)</u>	<u>\$ 50,055,272</u>	<u>\$ (678,212)</u>	<u>\$ 300,130,499</u>	<u>\$ (1,621,099)</u>
	2013					
	< 1 year		> 1 year		Total	
	Fair Value	Gross Unrealized Holding Losses	Fair Value	Gross Unrealized Holding Losses	Fair Value	Gross Unrealized Holding Losses
U.S. government and agency securities	\$ 128,132,514	\$ (3,050,070)	\$ 8,472,894	\$ (891,541)	\$ 136,605,408	\$ (3,941,611)
State and agency municipalities	44,395,831	(701,873)	2,340,060	(105,881)	46,735,891	(807,754)
City and county municipalities	68,843,012	(1,058,807)	-	-	68,843,012	(1,058,807)
Corporate debt securities (includes commercial paper)	57,489,983	(1,212,605)	-	-	57,489,983	(1,212,605)
Other invested assets	12,788,412	(3,171)	-	-	12,788,412	(3,171)
Total bonds, short-term investments, and equity	<u>\$ 311,649,752</u>	<u>\$ (6,026,526)</u>	<u>\$ 10,812,954</u>	<u>\$ (997,422)</u>	<u>\$ 322,462,706</u>	<u>\$ (7,023,948)</u>

The unrealized losses on investments in U.S. government and agency securities, state and agency municipalities, city and county municipalities, and corporate debt securities at December 31, 2014 and 2013, were mainly caused by interest rate increases and not by unfavorable changes in the credit ratings associated with these securities. The Company evaluates impairment at each reporting period for each of the securities whereby the fair value of the investment is less than its amortized cost. The contractual cash flows of the U.S. government and agency obligations are either guaranteed by the U.S. government or an agency of the U.S. government. It is expected that the securities would not be settled at a price less than the cost of the investment, and the Company does not intend to sell the investment until the unrealized loss is fully recovered. The Company evaluated the credit ratings of the municipalities and local agency obligations and corporate obligations, noting whether a significant deterioration since purchase or other factors that may indicate an OTTI, such as the length of time and extent to which fair value has been less than cost, the financial condition, and near-term prospects of the issuer as well as specific events or circumstances that may influence the operations of the issuer and the Company's intent to sell the investment. Additionally, the Company evaluated its intent and ability to retain loan-backed securities for a period of time sufficient to recover the amortized cost. As a result of this review, the Company recorded an OTTI of \$135,293 as of December 31, 2014, which is included in net realized capital gains less capital gains tax in the statutory basis statement of operations. The Company did not record any OTTI as of December 31, 2013.

A-C. The Company has no mortgage loans, real estate loans, restructured debt, or reverse mortgages. The Company also has no real estate property occupied by the Company, real estate property held for the production of income, or real estate property held for sale.

D. Loan-Backed Securities

- (1) Corporate bonds and government obligations include loan-backed securities, which are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the amortized cost of loan-backed securities are based on a three-month constant prepayment rate history obtained from external data source vendors.
- (2) The Company did not recognize any OTTIs on loan-backed securities as of December 31, 2014 and 2013.
- (3) The Company did not have any loan-backed securities with an OTTI to report by CUSIP as of December 31, 2014 or 2013.
- (4) The following table illustrates the fair value, gross unrealized losses, and length of time that the loan-backed securities have been in a continuous unrealized loss position as of December 31, 2014 and 2013:

	2014
The aggregate amount of unrealized losses:	
1. Less than 12 months	\$ (107,132)
2. 12 Months or Longer	\$ (336,167)
The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 months	\$ 48,006,405
2. 12 Months or longer	\$ 14,010,922
	2013
The aggregate amount of unrealized losses:	
1. Less than 12 months	\$ (1,615,737)
2. 12 Months or Longer	\$ (891,541)
The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 months	\$ 51,163,784
2. 12 Months or Longer	\$ 8,472,894

- (5) The Company believes that it will collect all principal and interest due on all investments that have an amortized cost in excess of fair value. The unrealized losses as of December 31, 2014 were primarily caused by interest rate increases and not by unfavorable changes in the credit ratings associated with these securities.

E. Repurchase Agreements and/or Securities Lending Transactions — Not applicable.

F. Real Estate — Not applicable.

G. Low-Income Housing Tax Credits

- (1–5) Low-income housing investments of \$19,971,370 and \$21,263,841, as of December 31, 2014 and 2013, respectively, are included in other invested assets in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The number of remaining years of unexpired tax credits is 10 and the required holding period for the low-income housing investments is 15 years. The low-income housing investments are not currently subject to any regulatory reviews. The Company did not recognize any impairment losses, write-downs, or reclassifications during 2014 or 2013.

H. Restricted Assets

(1) Restricted assets – including pledged as of December 31, 2014 and 2013:

Restricted Asset Category	1	2	3	4	5	6
	Total Gross Restricted from Current Year	Total Gross Restricted from Prior Year	Increase/ (Decrease) (1 minus 2)	Total Current Year Admitted Restricted	Percentage Gross Restricted to Total Assets	Percentage Admitted Restricted to Total Admitted Assets
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	\$ -	\$ -	0%	0%
b. Collateral held under security lending agreements	-	-	-	-	0%	0%
c. Subject to repurchase agreements	-	-	-	-	0%	0%
d. Subject to reverse repurchase agreements	-	-	-	-	0%	0%
e. Subject to dollar repurchase agreements	-	-	-	-	0%	0%
f. Subject to dollar reverse repurchase agreements	-	-	-	-	0%	0%
g. Placed under option contracts	-	-	-	-	0%	0%
h. Letter stock or securities restricted as to sale - excluding FHLB capital stock	-	-	-	-	0%	0%
i. FHLB capital stock	-	-	-	-	0%	0%
j. On deposit with state	\$ 54,312,628	\$ 55,606,032	\$ (1,293,404)	\$ 54,312,628	4%	4%
k. On deposit with other regulatory bodies	-	-	-	-	0%	0%
l. Pledged as collateral to FHLB (including assets backing funding agreements)	-	-	-	-	0%	0%
m. Pledged as collateral not captured in other categories	-	-	-	-	0%	0%
n. Other restricted assets	-	-	-	-	0%	0%
o. Total Restricted Assets	<u>\$ 54,312,628</u>	<u>\$ 55,606,032</u>	<u>\$ (1,293,404)</u>	<u>\$ 54,312,628</u>	<u>0%</u>	<u>0%</u>

(2-3) The Company has no assets pledged as collateral not captured in other categories and no other restricted assets as of December 31, 2014 or 2013.

I. Working Capital Finance Investments — Not applicable.**J. Offsetting and Netting of Assets and Liabilities**

The Company does not have any offsetting or netting of assets and liabilities as it relates to derivatives, repurchase and reverse repurchase agreements, and securities borrowing and securities lending activities.

K. Structured Notes

The Company does not have any structured notes.

6. JOINT VENTURES, PARTNERSHIPS, AND LIMITED LIABILITY COMPANIES

A–B. The Company has no investments in joint ventures, partnerships, or limited liability companies that exceed 10% of admitted assets and did not recognize any impairment write-down for its investments in joint ventures, partnerships, and limited liability companies during the statement periods.

7. INVESTMENT INCOME

A. The Company has admitted all investment income due and accrued in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

The components of net investment income earned as of December 31, 2014 and 2013 are as follows:

	2014	2013
Bonds	\$ 15,192,143	\$ 15,274,768
Cash, cash equivalents, and short-term investments	206,271	392,724
Other invested assets	<u>(1,292,472)</u>	<u>(476,988)</u>
Total investment income earned	14,105,942	15,190,504
Expenses — investment management fees	<u>(432,697)</u>	<u>(448,605)</u>
Net investment income earned	<u>\$ 13,673,245</u>	<u>\$ 14,741,899</u>

B. There were no investment income amounts excluded from the statutory basis financial statements.

8. DERIVATIVE INSTRUMENTS

A–F. The Company has no derivative instruments.

9. INCOME TAXES

A. Deferred Tax Asset/Liability

(1) The components of the net deferred tax asset at December 31, 2014 and 2013, are as follows:

	2014			2013			Change		
	1 Ordinary	2 Capital	3 (Col 1+2) Total	4 Ordinary	5 Capital	6 (Col 4+5) Total	7 (Col 1-4) Ordinary	8 (Col 2-5) Capital	9 (Col 7+8) Total
(a) Gross deferred tax assets	\$ 48,989,204	\$ 24,535	\$ 49,013,739	\$ 38,896,532	\$ -	\$ 38,896,532	\$ 10,092,672	\$ 24,535	\$ 10,117,207
(b) Statutory valuation allowance adjustments	<u>-</u>	<u>24,535</u>	<u>24,535</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>24,535</u>	<u>24,535</u>
(c) Adjusted gross deferred tax assets (1a–1b)	48,989,204	-	48,989,204	38,896,532	-	38,896,532	10,092,672	-	10,092,672
(d) Deferred tax assets nonadmit	<u>12,991,708</u>	<u>-</u>	<u>12,991,708</u>	<u>17,055,008</u>	<u>-</u>	<u>17,055,008</u>	<u>(4,063,300)</u>	<u>-</u>	<u>(4,063,300)</u>
(e) Subtotal net admitted deferred tax asset (1c–1d)	35,997,496	-	35,997,496	21,841,524	-	21,841,524	14,155,972	-	14,155,972
(f) Deferred tax liabilities	<u>37,336</u>	<u>-</u>	<u>37,336</u>	<u>53,135</u>	<u>17,937</u>	<u>71,072</u>	<u>(15,799)</u>	<u>(17,937)</u>	<u>(33,736)</u>
(g) Net admitted deferred tax asset/ liability (1e–1f)	<u>\$ 35,960,160</u>	<u>\$ -</u>	<u>\$ 35,960,160</u>	<u>\$ 21,788,389</u>	<u>\$ (17,937)</u>	<u>\$ 21,770,452</u>	<u>\$ 14,171,771</u>	<u>\$ 17,937</u>	<u>\$ 14,189,708</u>

- (2) The components of the adjusted gross deferred tax assets admissibility calculation under SSAP No. 101, *Income Taxes — A Replacement of SSAP No. 10R and SSAP No. 10*, are as follows:

Admission Calculation Components SSAP No. 101	2014			2013			Change		
	1 Ordinary	2 Capital	3 (Col 1+2) Total	4 Ordinary	5 Capital	6 (Col 4+5) Total	(Col 1-4) Ordinary	(Col 2-5) Capital	(Col 7+8) Total
(a) Federal income taxes paid in prior years recoverable through loss carrybacks	\$ 31,837,238	\$ -	\$ 31,837,238	\$ 17,647,530	\$ -	\$ 17,647,530	\$ 14,189,708	\$ -	\$ 14,189,708
(b) Adjusted gross deferred tax assets expected to be realized (excluding the amount of deferred tax assets from 2(a) above) after application of the threshold limitation. (The lesser of 2(b)1 and 2(b)2 below)	4,122,922	-	4,122,922	4,122,922	-	4,122,922	-	-	-
1. Adjusted gross deferred tax assets expected to be realized following the balance sheet date	4,122,922	-	4,122,922	4,122,922	-	4,122,922	-	-	-
2. Adjusted gross deferred tax assets allowed per limitation threshold	XXX	XXX	83,364,897	XXX	XXX	73,744,602	XXX	XXX	9,620,295
(c) Adjusted gross deferred tax assets (excluding the amount of deferred tax assets from 2(a) and 2(b) above) offset by gross deferred tax liabilities	37,336	-	37,336	71,072	-	71,072	(33,736)	-	(33,736)
(d) Deferred tax assets admitted as the result of application of SSAP No. 101									
Total 2(a)+2(b)+2(c)	\$ 35,997,496	\$ -	\$ 35,997,496	\$ 21,841,524	\$ -	\$ 21,841,524	\$ 14,155,972	\$ -	\$ 14,155,972

- (3) The ratio percentage and adjusted capital and surplus used to determine the recovery period and threshold limitations for the admission calculation are presented below:

	2014	2013
(a) Ratio percentage used to determine recovery period and threshold limitation amount	462 %	385 %
(b) Amount of adjusted capital and surplus used to determine recovery period and threshold limitation in 2(b)(2) above	\$ 564,368,489	\$ 491,630,678

- (4) There was no impact to the gross deferred tax assets as a result of tax-planning strategies.

B. Unrecognized Deferred Tax Liabilities

- (1-4) There are no unrecognized deferred tax liabilities.

C. Significant Components of Income Taxes

- (1) The current federal income taxes incurred for the years ended December 31, 2014 and 2013 are as follows:

	1 2014	2 2013	3 (Col 1-2) Change
1. Current income tax			
(a) Federal	\$ 154,484,191	\$ 68,518,617	\$ 85,965,574
(b) Foreign	-	-	-
(c) Subtotal	154,484,191	68,518,617	85,965,574
(d) Federal income tax on net capital gains	1,646,812	2,358,508	(711,696)
(e) Utilization of capital loss carryforwards	-	-	-
(f) Other	-	-	-
(g) Total federal and foreign income taxes incurred	\$ 156,131,003	\$ 70,877,125	\$ 85,253,878

(2-4) The tax effects of temporary differences that give rise to significant portions of the deferred tax assets and liabilities as of December 31, 2014 and 2013, are as follows:

	1	2	3 (Col 1-2) Change
	2014	2013	
2. Deferred tax assets:			
(a) Ordinary			
(1) Discounting of unpaid losses	\$ 1,171,482	\$ 1,522,071	\$ (350,589)
(2) Unearned premium reserve	10,368,104	2,042,306	8,325,798
(3) Policyholder reserves	-	-	-
(4) Investments	-	-	-
(5) Deferred acquisition costs	-	-	-
(6) Policyholder dividends accrual	-	-	-
(7) Fixed assets	-	-	-
(8) Compensation and benefits accrual	-	-	-
(9) Pension accrual	-	-	-
(10) Receivables - nonadmitted	11,823,124	5,786,322	6,036,802
(11) Net operating loss carryforward	-	-	-
(12) Tax credit carryforward	-	-	-
(13) Other (including items <5% of total ordinary tax asse	<u>25,626,494</u>	<u>29,545,833</u>	<u>(3,919,339)</u>
(99) Subtotal	48,989,204	38,896,532	10,092,672
(b) Statutory valuation allowance adjustment	-	-	-
(c) Nonadmitted	<u>12,991,708</u>	<u>17,055,008</u>	<u>(4,063,300)</u>
(d) Admitted ordinary deferred tax assets (2a99 - 2b - 2c)	<u>35,997,496</u>	<u>21,841,524</u>	<u>14,155,972</u>
(e) Capital			
(1) Investments	24,535	-	24,535
(2) Net capital loss carryforward	-	-	-
(3) Real estate	-	-	-
(4) Other (including items <5% of total capital tax assets)	<u>-</u>	<u>-</u>	<u>-</u>
(99) Subtotal	24,535	-	24,535
(f) Statutory valuation allowance adjustment	24,535	-	24,535
(g) Nonadmitted	<u>-</u>	<u>-</u>	<u>-</u>
(h) Admitted capital deferred tax assets (2e99 - 2f - 2g)	<u>-</u>	<u>-</u>	<u>-</u>
(i) Admitted deferred tax assets (2d + 2h)	<u>35,997,496</u>	<u>21,841,524</u>	<u>14,155,972</u>
3. Deferred tax liabilities:			
(a) Ordinary	37,336	53,135	(15,799)
(1) Investments	-	-	-
(2) Fixed assets	-	-	-
(3) Deferred and uncollected premium	-	-	-
(4) Policyholder reserves	-	-	-
(5) Other (including items <5% of total ordinary tax liabilit	<u>-</u>	<u>-</u>	<u>-</u>
(99) Subtotal	<u>37,336</u>	<u>53,135</u>	<u>(15,799)</u>
(b) Capital			
(1) Investments	-	17,937	(17,937)
(2) Real estate	-	-	-
(3) Other (including items <5% of total capital tax liabilitie	<u>-</u>	<u>-</u>	<u>-</u>
(99) Subtotal	<u>-</u>	<u>17,937</u>	<u>(17,937)</u>
(c) Deferred tax liabilities (3a99 + 3b99)	<u>37,336</u>	<u>71,072</u>	<u>(33,736)</u>
4. Net deferred tax assets/liabilities (2i - 3c)	<u>\$ 35,960,160</u>	<u>\$ 21,770,452</u>	<u>\$ 14,189,708</u>

The other ordinary deferred tax asset of \$25,626,494 for 2014 consists of intangibles of \$25,358,614, general expenses of \$199,912, bad debt of \$28,773, and other items of \$39,195. The other ordinary deferred tax asset of \$29,545,833 for 2013 consists of intangibles of \$29,481,532, general expenses of \$12,607, and bad debt of \$38,381 and other items of \$13,313.

The Company assessed the potential realization of the gross deferred tax asset and established a valuation allowance of \$24,535 and \$0 to reduce the gross deferred tax asset to \$48,989,204 and \$38,896,532 as of December 31, 2014 and 2013, respectively.

- D. The provision for federal income taxes incurred is different from that which would be obtained by applying the statutory federal income tax rate of 35% to net income before federal income taxes, plus capital gains tax. A summarization of the significant items causing this difference as of December 31, 2014 and 2013 are as follows:

	2014	2013
Tax provision at the federal statutory rate	\$ 137,590,887	\$ 78,024,088
Tax-exempt interest	(2,072,024)	(2,125,069)
Change in statutory valuation allowance	24,535	-
Health insurer fee	17,442,454	-
Current year tax credit	(944,456)	
Tax effect of nonadmitted assets	(6,036,801)	312,667
Prior year true-up	-	44,100
Other	-	(549,107)
Total statutory income taxes	<u>\$ 146,004,595</u>	<u>\$ 75,706,679</u>
Federal income taxes incurred	\$ 154,484,191	\$ 68,518,617
Capital gains tax	1,646,812	2,358,508
Change in net deferred income tax	(10,126,408)	4,829,554
Total statutory income taxes	<u>\$ 146,004,595</u>	<u>\$ 75,706,679</u>

- E. At December 31, 2014, the Company had no net operating loss carryforwards.

Current federal income taxes payable of \$26,763,004 and \$4,007,884 as of December 31, 2014 and 2013, respectively, are included in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Federal income taxes paid, net of refunds were \$133,375,883 and \$67,570,735 in 2014 and 2013, respectively.

Federal income taxes incurred of \$156,131,003 and \$70,951,881 for 2014 and 2013, respectively, are available for recoupment in the event of future net losses.

The Company has not admitted any aggregate amounts of deposits that are included within Section 6603 ("Deposits made to suspend running of interest on potential underpayments, etc.") of the Internal Revenue Service Code ("IRS").

- F. The Company is included in the consolidated federal income tax return with its ultimate parent, UnitedHealth Group. The entities included within the consolidated return are included in NAIC Statutory Statement Schedule Y — Information Concerning Activities of Insurer Members Of A Holding Company Group. Federal income taxes are paid to or refunded by UnitedHealth Group pursuant to the terms of a tax-sharing agreement, approved by the Board of Directors, under which taxes approximate the amount that would have been computed on a separate company basis, with the exception of net operating losses and capital losses. For these losses, the Company receives a benefit at the federal rate in the current year for current taxable losses incurred in that year to the extent losses can be utilized in the consolidated federal income tax return of UnitedHealth Group. UnitedHealth Group currently files income tax returns in the U.S. federal jurisdiction, various states, and foreign jurisdictions. The IRS has completed exams on UnitedHealth Group's consolidated income tax returns for fiscal years 2013 and prior. UnitedHealth Group's 2014 tax return is under advance review by the IRS under its Compliance Assurance Program. With the exception of a few states, UnitedHealth Group is no longer subject to income tax examinations prior to 2007 in major state and foreign jurisdictions. The Company does not believe any adjustments that may result from these examinations will be material to the Company.

- G. **Tax Contingencies** — Not applicable.

10. INFORMATION CONCERNING PARENT, SUBSIDIARIES, AND AFFILIATES

A–L. Material Related Party Transactions

Pursuant to the terms of a Management Agreement (the "Agreement"), UHS will provide management services to the Company under a fee structure, which is based on a percentage of premium charge representing UHS' expenses for services or use of assets provided to the Company. In addition, UHS provides or arranges for services on behalf of the Company using a pass-through of charges incurred by UHS on a PMPM basis (where the charge incurred by UHS is on a PMPM basis) or using another allocation methodology consistent with the Agreement. These services may include, but are not limited to, integrated personal health management solutions, such as disease management, treatment decision support, and wellness services, including a 24-hour call-in service, access to a network of transplant providers, and discount program services. The amount and types of services provided pursuant to the pass-through provision of the Agreement can change year over year as UHS becomes the contracting entity for services provided to the Company's members. Total administrative services, capitation, and access fees under this arrangement totaled \$305,457,692 and \$280,119,304 in 2014 and 2013, respectively, and are included in total hospital and medical expenses, general administrative expenses, and claims

adjustment expenses in the statutory basis statements of operations. Direct expenses not covered under the Agreement, such as broker commissions, IDOI exam fees, affordable care acts assessments, and premium taxes, are paid by UHS on behalf of the Company. UHS is reimbursed by the Company for these direct expenses.

The Company also directly contracts with related parties to provide services to its members. The Company expensed as hospital and medical expenses, general administrative expenses, and claims adjustment expenses \$33,576,749 and \$33,525,614 in capitation fees to related parties during 2014 and 2013, respectively. United Behavioral Health provides mental health and substance abuse services. OptumHealth Care Solutions, Inc. provides chiropractic, physical therapy and complex medical conditions services. Dental Benefit Providers, Inc., provides dental care assistance. Spectera, Inc. provides administrative services related to vision benefit management and claims processing. Collaborative Care Holdings, LLC owns, operates, and provides services to health care delivery systems. The capitation expenses, administrative services, and access fees paid to related parties, that are included as hospital and medical expenses, general administrative expenses, and claims adjustment expenses in the statutory basis statements of operations for the years ended December 31, 2014 and 2013, are shown below:

	2014	2013
United Behavioral Health	31,725,389	27,089,218
OptumHealth Care Solutions, Inc.	774,063	773,596
Dental Benefit Providers, Inc.	948,739	4,501,526
Spectera, Inc.	128,558	746,474
Collaborative Care Holdings, LLC	<u>-</u>	<u>414,800</u>
Total	<u>\$ 33,576,749</u>	<u>\$ 33,525,614</u>

Management believes that its transactions with affiliates are fair and reasonable; however, operations of the Company may not be indicative of those that would have occurred if it had operated as an independent company.

The Company contracts with affiliates (“UHS” and “OptumRx”) to provide administrative services related to pharmacy management and pharmacy claims processing for its enrollees. Fees related to these agreements, which are calculated on a per- script basis, of \$11,905,978 and \$8,750,775 in 2014 and 2013, respectively, are included in general administrative expenses and claims adjustment expenses in the statutory basis statements of operations.

The Company contracts with OptumRx to provide personal health products catalogues showing the healthcare products and benefit credits needed to redeem the respective products. OptumRx will mail the appropriate personal health products’ catalogues to the Company’s members and manage the personal health products credit balance. OptumRx also distributes personal health products to individual members based upon the terms of the agreement. Fees related to this agreement in 2014 and 2013, which are calculated on a PMPM basis of \$11,139,175 and \$9,889,168 are included in hospital and medical expenses in the statutory basis statements of operations.

The Company has agreements with OptumInsight, Inc., an affiliate of the Company, for claim analytics, recovery of medical expense (*benefit*) overpayments, retroactive fraud, waste and abuse, subrogation and premium audit services. All recoveries are returned to the Company by OptumInsight, Inc. on a monthly basis and a capitated service fee is charged to the Company as a PMPM. Service fees of \$12,669,656 and \$8,548,853 are included in hospital and medical expenses, general administrative expenses and claims adjustment expenses in the statutory basis statements of operations for the years ended December 31, 2014 and 2013, respectively.

The Company has premium payments that are received and claim payments that are processed by an affiliated UnitedHealth Group entity. Both premiums and claims applicable to the Company are settled at regular intervals throughout the month via the intercompany settlement process and any amounts outstanding are reflected in amounts due to parent, subsidiaries, and affiliates in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

The Company has an insolvency-only reinsurance agreement with UHIC, an affiliate of the Company, to provide insolvency protection for its enrollees. Reinsurance premiums, which are calculated on a percentage of member premium income, of \$4,251,939 and \$4,076,354 in 2014 and 2013, respectively, are netted against net premium income in the statutory basis statement of operations.

The Company also has a reinsurance agreement with UHIC on its point-of-service (“POS”) product to cover certain inpatient hospital claims in excess of defined limits. Reinsurance premiums paid, which are calculated based on a PMPM basis, of \$3,369 and \$8,465 in 2014 and 2013, respectively, are netted against net premium income in the statutory basis statements of operations. There were no reinsurance recoveries recorded in 2014. Reinsurance recoveries of \$104,034 in 2013, are included in net reinsurance recoveries in the statutory basis statements of operations. The Company does not have any reinsurance receivables recorded as of December

31, 2014 and 2013. This agreement also has an insolvency provision which allows continued coverage to the enrollee though the date to which premiums were paid. Reinsurance contracts do not relieve the Company from its obligations to policyholders. Failure of reinsurers to honor their obligations could result in losses to the Company.

The Company holds a \$200,000,000 subordinated revolving credit agreement with UnitedHealth Group at an interest rate of London InterBank Offered Rate ("LIBOR") plus a margin of 0.50%. This credit agreement is subordinate to the extent it does not conflict with any credit facility held by either party. This agreement shall continue until terminated pursuant to the terms of this agreement which require either party to give a 60 day written notice to the other party. No amounts were outstanding under the line of credit as of December 31, 2014 and 2013.

At December 31, 2014 and 2013, the Company reported \$49,317,995 and \$48,767,697, respectively, as amounts due to parent, subsidiaries, and affiliates, which are included in the statutory basis statements of admitted assets, liabilities, and capital and surplus. These balances are generally settled within 90 days from the incurred date. Any balances due to the Company that are not settled within 90 days are considered nonadmitted assets.

In addition to the agreements above, UHS maintains a private short-term money market investment pool in which affiliated companies may participate (see Note 1). At December 31, 2014 and 2013, the Company's portion was \$15,470,713 and \$15,183,558, respectively, and is included in cash, cash equivalents, and short-term investments in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

The Company has entered into a Tax Sharing Agreement with UnitedHealth Group (see Note 9).

The Company paid dividends of \$147,000,000 and \$80,000,000 in 2014 and 2013, respectively, to its parent (see Note 13).

The Company does not have any amount deducted from the value of an upstream intermediate entity or ultimate parent owned, either directly or indirectly, via a downstream subsidiary, controlled, or affiliated entity.

The Company does not have any investments in a subsidiary, controlled, or affiliated entity that exceeds 10% of admitted assets.

The Company does not have any investments in impaired subsidiary, controlled, or affiliated entities.

The Company does not have any investments in foreign insurance subsidiaries.

The Company does not hold any investments in a downstream noninsurance holding company.

The Company has not extended any guarantees or undertakings for the benefit of an affiliate or related party.

11. DEBT

A–B. The Company had no outstanding debt with third parties or outstanding federal home loan bank agreements during 2014 and 2013.

12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES, AND OTHER POSTRETIREMENT BENEFIT PLANS

A–I. The Company has no defined benefit plans, defined contribution plans, multiemployer plans, consolidated/holding company plans, postemployment benefits, or compensated absences plans and is not impacted by the Medicare Modernization Act on postretirement benefits, since all personnel are employees of UHS, which provides services to the Company under the terms of a management agreement (see Note 10).

13. CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS, AND QUASI-REORGANIZATIONS

(1–2) The Company has 1,000 shares authorized and 10 shares issued and outstanding of no par value common stock. The Company has no preferred stock outstanding. All issued and outstanding shares of common stock are held by the Company's parent, UHS-RV.

(3) Payment of dividends may be restricted by the IDOI and TDCI, which generally requires that dividends be paid out of accumulated surplus.

(4) The Company paid ordinary cash dividends to UHS-RV of \$35,000,000, \$60,000,000, and \$52,000,000 on March 11, June 23, and September 14, 2014, respectively, and \$40,000,000 and \$40,000,000 on June 21 and September 13, 2013, respectively, which required no approval and was recorded as a reduction to unassigned surplus in the statutory basis statements of admitted

assets, liabilities, and capital and surplus. The ordinary dividends complied with the provisions set forth in the statutes of Illinois and Tennessee.

- (5) The amount of ordinary dividends that may be paid out during any given period are subject to certain restrictions as specified by state statute.
- (6) There are no restrictions placed on the Company's unassigned surplus.
- (7) The Company is not a mutual reciprocal or a similarly organized entity and does not have advances to surplus not repaid.
- (8) The Company does not hold any stock, including stock of affiliated companies for special purposes, such as conversion of preferred stock, employee stock options, or stock purchase warrants.
- (9) As discussed in Note 1, an amount equal to the estimated subsequent year ACA fee must be apportioned out of unassigned surplus and reported as aggregate write-ins for special surplus funds. For the year ending December 31, 2014, the amount was \$62,402,222.
- (10) The portion of unassigned funds, excluding the apportionment of estimated subsequent year Section 9010 ACA fee, net income, and dividends represented (or reduced) by each item below is as follows:

	2014	2013	Change
Net deferred income taxes	\$ 48,951,868	\$ 38,825,460	\$ 10,126,408
Nonadmitted assets	<u>(46,772,061)</u>	<u>(33,587,357)</u>	<u>(13,184,704)</u>
Total	<u>\$ 2,179,807</u>	<u>\$ 5,238,103</u>	<u>\$ (3,058,296)</u>

- (11-13) The Company does not have any outstanding surplus notes and has never been a party to a quasi-reorganization.

14. LIABILITIES, CONTINGENCIES and ASSESSMENTS

A. Contingent Commitments

The Company has no contingent commitments.

B. Assessments

The Company is not aware of any assessments, potential or accrued, that could have a material financial effect on the operations of the entity.

C. Gain Contingencies

The Company is not aware of any gain contingencies that should be disclosed in the statutory basis financial statements.

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits — Not applicable.

E. Joint and Several Liabilities — Not applicable.

F. All Other Contingences

Because of the nature of its businesses, the Company is frequently made party to a variety of legal actions and regulatory inquiries, including class actions and suits brought by members, care providers, consumer advocacy organizations, customers and regulators, relating to the Company's businesses, including management and administration of health benefit plans and other services.

The Company records liabilities for its estimates of probable costs resulting from these matters where appropriate. Estimates of costs resulting from legal and regulatory matters involving the Company are inherently difficult to predict, particularly where the matters: involve indeterminate claims for monetary damages or may involve fines, penalties or punitive damages; present novel legal theories or represent a shift in regulatory policy; involve a large number of claimants or regulatory bodies; are in the early stages of the proceedings; or could result in a change in business practices. Accordingly, the Company is often unable to estimate the losses or ranges of losses for those matters where there is a reasonable possibility or it is probable that a loss may be incurred. Although the outcomes of any such legal actions cannot be predicted, in the opinion of management, the resolution of any currently pending or threatened actions will not have a material

adverse effect on the statutory basis statements of admitted assets, liabilities, and capital and surplus or statutory basis statements of operations of the Company.

The Company's business is regulated at the federal, state, and local levels. The laws and rules governing the Company's business and interpretations of those laws and rules are subject to frequent change. Broad latitude is given to the agencies administering those regulations. Further, the Company must obtain and maintain regulatory approvals to market and sell many of its products.

The Company has been, or is currently involved in various governmental investigations, audits and reviews. These include routine, regular and special investigations, audits and reviews by CMS, state insurance and health and welfare departments and other governmental authorities. Certain of the Company's businesses have been reviewed or are currently under review, for reasons including compliance with coding and other requirements under the Medicare risk-adjustment model.

Risk Adjustment Data Validation Audit ("RADV") — CMS adjusts capitation payments to Medicare Advantage plans and Medicare Part D plans according to the predicted health status of each beneficiary as supported by data from health care providers. The Company collects claim and encounter data from providers, who the Company generally relies on to appropriately code their claim submissions and document their medical records. CMS then determines the risk score and payment amount for each enrolled member based on the health care data submitted and member demographic information.

CMS and the Office of Inspector General for Health and Human Services periodically perform RADV audits of selected Medicare health plans to validate the coding practices of and supporting documentation maintained by health care providers. Such audits have in the past resulted and in the future could result in retrospective adjustments to payments made to the Company, fines, corrective action plans or other adverse action by CMS.

In February 2012, CMS announced a final RADV and payment adjustment methodology audit and that it will conduct the RADV beginning with the 2011 payment year. These audits involve a review of medical records maintained by care providers and may result in retrospective adjustments to payments made to health plans. CMS has not communicated how the final payment adjustment under its methodology will be implemented.

Health Reform Legislation and the related federal and state regulations will continue to impact how the Company does business and could restrict revenue and enrollment growth in certain products and market segments, restrict premium growth rates for certain products and market segments, increase the Company's medical and administrative costs, expose the Company to an increased risk of liability (including increasing the Company's liability in federal and state courts for coverage determinations and contract interpretation), or put the Company at risk for loss of business. In addition, the Company's statutory basis results of operations, financial condition and cash flows could be materially adversely affected by such changes. The Health Reform Legislation may create new or expand existing opportunities for business growth, but due to its complexity, the long term impact of the Health Reform Legislation remains difficult to predict and is not yet fully known.

The Company routinely evaluates the collectability of all receivable amounts included within the statutory basis statements of admitted assets, liabilities, and capital and surplus. Impairment reserves are established for those amounts where collectability is uncertain. Based on the Company's past experience, exposure related to uncollectible balances and the potential of loss for those balances not currently reserved for is not material to the Company's statutory basis financial condition.

There are no assets that the Company considers to be impaired at December 31, 2014 and 2013, except as disclosed in Note 5 and Note 20.

15. LEASES

A–B. According to the management agreement between the Company and UHS (see Note 10), operating leases for the rental of office facilities and equipment are the responsibility of UHS. Fees associated with the lease agreements are included as a component of the Company's management fee.

16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE-SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

(1–4) The Company does not hold any financial instruments with off-balance-sheet risk or concentrations of credit risk.

17. SALE, TRANSFER, AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

A–C. The Company did not participate in any transfer of receivables, financial assets, or wash sales.

18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS

A. ASO Plans

Prior to January 1, 2009, the Company had an ASO contract in eastern Tennessee for the TennCare product, for which the State of Tennessee retains all health care service risk, while the Company assumes administrative risk. Related to this ASO contract claims run-out activity, the Company has recorded receivables of \$1,595,849 and \$1,120 in amounts receivable related to uninsured plans and payables of \$29,589 and \$862 included in liability for amounts held under uninsured plans in the accompanying statutory basis statements of admitted assets, liabilities, and capital and surplus at December 31, 2014 and December 31, 2013, respectively.

The Company did not report any gains from operations of the uninsured portion of ASO plans and the uninsured portion of partially insured plans at December 31, 2014 and 2013.

B. The Company has no operations from Administrative Services Contracts.

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

The Medicare Part D program is a partially insured plan. The Company recorded a receivable of \$33,889,516 and \$21,752,171 and also a payable of \$650 and \$0 at December 31, 2014 and 2013, respectively, for cost reimbursements under the Medicare Part D program for the catastrophic reinsurance and low-income member cost-sharing subsidies as described in Note 1, *Amounts Receivable Relating to Uninsured Plans and Liability for Amounts Held Under Uninsured Plans*. The Company also recorded a receivable of \$3,606,356 and \$2,296,302 and also a payable of \$9,224,452 and \$8,160,798 at December 31, 2014 and 2013, respectively, for the Medicare Part D Coverage Gap Discount Program as described in Note 1, *Amounts Receivable Relating to Uninsured Plans and Liability for Amounts Held Under Uninsured Plans*.

Effective June 1, 2013, the Company participates in administering the payments for TennCare's PBM. There is no risk to the Company as a result of these transactions. The last PBM pass through invoice for 2014 was reimbursed to the Company by TennCare prior to year-end, however, the corresponding payment to the PBM was not made by the Company until January 2, 2015. As a result, the Company has a PBM payable of \$7,709,855 in 2014 which is included in liability for amounts held for uninsured plans. In 2013, there was \$4,921,345 recorded as unreimbursed PBM receivables, and a corresponding PBM payable of \$4,921,345 which is included in amounts receivable relating to uninsured plans and liability for amounts held for uninsured plans, respectively.

19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD-PARTY ADMINISTRATORS

The Company did not have any direct premiums written or produced by managing general agents or third-party administrators in 2014 and 2013.

20. FAIR VALUE MEASUREMENT

The NAIC SAP defines fair value, establishes a framework for measuring fair value, and outlines the disclosure requirements related to fair value measurements. The fair value hierarchy is as follows:

Level 1 — Quoted (unadjusted) prices for identical assets in active markets.

Level 2 — Other observable inputs, either directly or indirectly, including:

- Quoted prices for similar assets in active markets;
- Quoted prices for identical or similar assets in nonactive markets (few transactions, limited information, noncurrent prices, high variability over time, etc.);
- Inputs other than quoted prices that are observable for the asset (interest rates, yield curves, volatilities, default rates, etc.);
- Inputs that are derived principally from or corroborated by other observable market data.

Level 3 — Unobservable inputs that cannot be corroborated by observable market data.

The estimated fair values of bonds and short-term investments are based on quoted market prices, where available. The Company obtains one price for each security, primarily from a third-party pricing service ("pricing service"), which generally uses quoted prices or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted

market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, non-binding broker quotes, benchmark yields, credit spreads, default rates, and prepayment speeds. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to a secondary pricing source, prices reported by its custodian, its investment consultant, and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. The Company's internal price verification procedures and review of fair value methodology documentation provided by independent pricing services have not historically resulted in an adjustment in the prices obtained from the pricing service.

In instances in which the inputs used to measure fair value fall into different levels of the fair value hierarchy, the fair value measurement has been determined based on the lowest-level input that is significant to the fair value measurement in its entirety. The Company's assessment of the significance of a particular item to the fair value measurement in its entirety requires judgment, including the consideration of inputs specific to the asset or liability.

A. Fair Value

(1) Fair Value Measurements at Reporting Date

The Company does not have financial assets measured and reported at fair value on the statutory basis statements of admitted assets, liabilities, and capital and surplus at December 31, 2014 or 2013.

(2) Fair value measurements included in (Level 3) of the Fair Value Hierarchy:

Description	2014								
	Beginning Balance at 1/1/2014	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (losses) included in net income	Purchases	Insurances	Sales	Settlements	Ending Balance at 12/31/2014
a. Assets:									
Loan-backed and structured securities (NAIC 3-6):									
Residential mortgaged-backed securities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Commercial mortgaged-backed securities	-	-	-	-	-	-	-	-	-
Derivative:									
Credit contracts	-	-	-	-	-	-	-	-	-
Other fund investments:									
Hedge fund high-yield debt securities	-	-	-	-	-	-	-	-	-
Private equity	-	-	-	-	-	-	-	-	-
Low-income housing investments	21,263,841	-	-	-	-	-	-	(1,292,471)	19,971,370
Total assets	\$ 21,263,841	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,292,471)	\$ 19,971,370
b. Liabilities									
None	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total liabilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
	2013								
Description	Beginning Balance at 1/1/2013	Transfers Into Level 3	Transfers Out of Level 3	Total Gains and (losses) included in net income	Purchases	Insurances	Sales	Settlements	Ending Balance at 12/31/2013
a. Assets:									
Loan-backed and structured securities (NAIC 3-6):									
Residential mortgaged-backed securities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Commercial mortgaged-backed securities	-	-	-	-	-	-	-	-	-
Derivative:									
Credit contracts	-	-	-	-	-	-	-	-	-
Other fund investments:									
Hedge fund high-yield debt securities	-	-	-	-	-	-	-	-	-
Private equity	-	-	-	-	-	-	-	-	-
Low-income tax housing investments	8,949,246	-	-	-	12,791,583	-	-	(476,988)	21,263,841
Total assets	\$ 8,949,246	\$ -	\$ -	\$ -	\$ 12,791,583	\$ -	\$ -	\$ (476,988)	\$ 21,263,841
b. Liabilities									
None	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total liabilities	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

(3) Transfers between fair value hierarchy levels, if any, are recorded as of the beginning of the reporting period in which the transfer occurs. There were no transfers between Levels 1, 2 or 3 of any financial assets or liabilities during the years ended December 31, 2014 or 2013.

(4) Investments — Fair values of debt securities are based on quoted market prices, where available. The Company obtains one price for each security primarily from a third-party pricing service, which generally uses quoted prices or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, and, if necessary, makes adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, benchmark yields, credit spreads, default rates, prepayment speeds and non-binding broker quotes. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices

received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to prices reported by a secondary pricing source, such as its custodian, its investment consultant and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. The Company's internal price verification procedures and reviews of fair value methodology documentation provided by independent pricing services have not historically resulted in adjustment in the prices obtained from the pricing service.

Low-income tax credit investments — The fair values of Level 3 investments in Low-Income Housing securities are deemed held-to-maturity as there is no active market and they will not be sold. Because of this, these securities are held at amortized cost. Should any contractual breakage occur that jeopardizes the ability to receive the tax credits associated with these securities, an impairment will be recognized. As of December 31, 2014, all of these investments are performing in accordance with their original contract terms.

(5) The Company has no derivative assets and liabilities to disclose.

B. Fair Value Combination — Not applicable.

C. Aggregate Fair Value Hierarchy

The aggregate fair value by hierarchy of all financial instruments as of December 31, 2014 and 2013 is presented in the table below:

Types of Financial Investment	2014					Not Practical Carrying Value
	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	
U.S. government and agency securities	\$ 186,551,736	\$ 185,983,468	\$ 109,312,447	\$ 77,239,289	\$ -	\$ -
State and agency municipalities	156,417,021	153,983,547	-	156,417,021	-	-
City and county municipalities	168,298,852	163,770,656	-	168,298,853	-	-
Corporate debt securities (includes commercial paper)	290,319,683	288,613,248	-	290,319,682	-	-
Money-market funds	138,631,638	138,631,638	138,631,638	-	-	-
Other invested assets	19,971,370	19,971,370	-	-	19,971,370	-
Total bonds, short-term investments, and other invested assets	<u>960,190,300</u>	<u>950,953,927</u>	<u>247,944,085</u>	<u>692,274,845</u>	<u>19,971,370</u>	<u>\$ -</u>
Types of Financial Investment	2013					Not Practical Carrying Value
	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)	
U.S. government and agency securities	\$ 201,855,745	\$ 204,903,467	\$ 86,775,676	\$ 115,080,070	\$ -	\$ -
State and agency municipalities	172,873,178	169,992,399	-	172,873,177	-	-
City and county municipalities	142,604,654	141,423,764	-	142,604,655	-	-
Corporate debt securities (includes commercial paper)	180,078,263	177,038,781	-	180,078,263	-	-
Money-market funds	99,630,299	99,630,299	99,630,298	-	-	-
Other invested assets	21,325,536	21,263,841	-	-	21,325,536	-
Total bonds, short-term investments, and other invested assets	<u>818,367,675</u>	<u>814,252,551</u>	<u>186,405,974</u>	<u>610,636,165</u>	<u>21,325,536</u>	<u>\$ -</u>

Included as Level 1 in U.S. government and agency securities in the fair value hierarchy table above are U.S. Treasury securities of \$109,312,447 and \$86,775,676 as of December 31, 2014 and December 31, 2013, respectively.

Included as Level 2 in corporate debt securities in the fair value hierarchy table above are commercial paper investments of \$999,982 and \$1,499,444 as of December 31, 2014 and December 31, 2013, respectively. The commercial paper investments reflected in the table above are included in cash, cash equivalents and short-term investments in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

D. Not Practicable to Estimate Fair Value — Not applicable.

21. OTHER ITEMS

The Company recorded receivables related to retroactive policies and estimated withholds of \$39,417,153 and \$2,163,561 as of December 31, 2013 for the State of Tennessee Bureau of TennCare Medicaid and CHOICES, respectively. As of December 31, 2014, the Company has collected \$39,501,430 and \$2,439,566 related to retroactive policies and estimated withholds related to these prior year balances for Medicaid and CHOICES, respectively. Currently, there is \$5,223 and \$138 accrued for TennCare retroactive receivables and estimated withholds for Medicaid and CHOICES, respectively, remaining for the prior year. These amounts are included in premiums and considerations in the statutory basis financial statements.

The Company has recorded payables for estimated recoupments of \$34,929,836 for State of Tennessee Bureau of TennCare Medicaid and CHOICES, net as of December 31, 2013. As of December 31, 2014, \$9,933,647 has been recouped related to these prior year balances related to Medicaid and CHOICES, net. Currently, there is \$6,495,522 estimated recoupments for Medicaid and CHOICES, net, remaining for the prior year. These amounts are included in premiums received in advance in the statutory basis financial statements.

The Company continues to refine the estimated recoupments on the CHOICES product. The impact of further refining the estimates due to updated information and additional history with the CHOICES product could result in adjustments to the amounts previously reported as net premium income. However, the Company is unable to accurately estimate the financial impact of the change at this time and any change would be reflected in operating results in the period in which the change in the estimate is identified.

Effective for calendar years 2014 and 2013 the ACA has mandated that certain practicing primary care physicians ("PCPs") are eligible to receive increased payments for specified primary care services provided to Medicaid eligible individuals. This is to encourage PCPs to serve the Medicaid population in advance of the Medicaid expansion in 2014. This government mandate will expire at December 31, 2014.

The ACA requires that the MCO's reimburse PCPs at a rate of no less than 100 percent of Medicare fee schedule rates for specified services. The federal government will finance the difference between the state Medicaid fee schedule rate and the corresponding Medicare fee schedule rate ("enhanced rate payment") during calendar years 2014 and 2013. The state will in turn fund the enhanced rate payments to the MCO's as part of an enhanced Medicaid capitation monthly premium or as a lump sum payment of the rate differential, depending on the model selected by each state and approved by CMS.

- A. The Company did not encounter any extraordinary items for the years ended December 31, 2014 or 2013.
- B. The Company has no troubled debt restructurings as of December 31, 2014 or 2013.
- C. The Company does not have any amounts not recorded in the statutory basis financial statements that represent segregated funds held for others. The Company also does not have any exposures related to forward commitments.
- D. The Company has not received any business interruption insurance recoveries during 2014 and 2013.
- E. The Company has no transferrable or non-transferable state tax credits.
- F. **Sub-Prime Mortgage-Related Risk Exposure**
 - (1) The investment policy for the Company limits investments in asset-backed securities, which includes sub-prime issuers. Further, the policy limits investments in private-issuer mortgage securities to 10% of the portfolio, which also includes sub-prime issuers. The exposure to unrealized losses on sub-prime issuers is due to changes in market prices. There are no realized losses due to not receiving anticipated cash flows. The investments covered are rated NAIC rating of 1 or 2.
 - (2) The Company has no direct exposure through investments in subprime mortgage loans.
 - (3) The Company has no direct exposure through other investments.
 - (4) The Company has no underwriting exposure to sub-prime mortgage risk through mortgage guaranty or financial guaranty insurance coverage.
- G. The Company does not have any retained asset accounts for beneficiaries.

22. SUBSEQUENT EVENTS

TYPE I – Recognized Subsequent Events:

Subsequent events have been evaluated through February 27, 2015, which is the date these statutory basis financial statements were available for issuance.

There are no events subsequent to December 31, 2014, that require disclosure.

TYPE II – Nonrecognized Subsequent Events:

Subsequent events have been evaluated through February 27, 2015, which is the date these statutory basis financial statements were available for issuance.

The Company is subject to the annual fee under section 9010 of the ACA. This annual fee is allocated to individual health insurers based on the ratio of the amount of the entity's net premiums written during the preceding calendar year to the amount of the health insurance for any U.S. health risk that is written

during the preceding calendar year. A health insurance entity's portion of the annual fee becomes payable once the entity provides health insurance for any U.S. health risk for each calendar year beginning on or after January 1, of the year the fee is due. As of December 31, 2014, the Company has written health insurance subject to the ACA assessment, expects to conduct health insurance business in 2015, and estimates its portion of the annual health insurance industry fee payable on September 30, 2015 to be \$68,402,222. This amount is reflected in aggregate write-ins for special surplus funds . The Company's Authorized Control Level RBC ("ACL RBC") ratio was 492% as of December 31, 2014. Reporting the ACA assessment as of December 31, 2014 would not have triggered an RBC action level.

	<u>Current Year</u>	<u>Prior Year</u>
A. ACA fee assessment payable for the upcoming year	\$ 68,402,222	\$ 48,440,549
B. ACA fee assessment paid	\$ 49,835,583	\$ -
C. Premium written subject to ACA 9010 assessment	\$ 3,571,055,283	\$ 3,327,246,148
D. Total Adjusted Capital before surplus adjustment	\$ 600,328,649	
E. Authorized Control Level before surplus adjustment	\$ 122,049,327	
F. Total Adjusted Capital after surplus adjustment	\$ 531,926,427	
G. Authorized Control Level after surplus adjustment	\$ 122,049,327	
H. Would reporting the ACA assessment as of December 31, 2014, have triggered an RBC action level (YES/NO/Not Applicable)?		<u>No</u>

The Company is expected to lose approximately one-third of its Medicaid membership in 2015. This is due to TennCare utilizing more managed care organizations in 2015 to provide services to its members.

There are no other events subsequent to December 31, 2014, that require disclosure.

23. REINSURANCE

The Company does not have any unaffiliated reinsurance agreements (external reinsurance) in place as of December 31, 2014 or 2013.

A. Ceded Reinsurance Report

Section 1 — General Interrogatories

(1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the Company or by any representative, officer, trustee, or director of the Company?

Yes () No (X)

(2) Have any policies issued by the Company been reinsured with a company chartered in a country other than the United States (excluding U.S. branches of such companies) that is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor, or any other person not primarily engaged in the insurance business?

Yes () No (X)

Section 2 — Ceded Reinsurance Report— Part A

(1) Does the Company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credit?

Yes () No (X)

(2) Does the reporting entity have any reinsurance agreements in effect that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies?

Yes () No (X)

Section 3 — Ceded Reinsurance Report — Part B

(1) What is the estimated amount of the aggregate reduction in surplus (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of all reinsurance agreements, by either party, as of the date of this statement? Where necessary, the Company may consider the current or anticipated experience of the business reinsured in making this estimate.

The Company estimates there should be no aggregate reduction in surplus for termination of all reinsurance agreements as of December 31, 2014.

- (2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts that were in force or which had existing reserves established by the Company as of the effective date of the agreement?

Yes () No (X)

- B. Uncollectible Reinsurance** — During 2014 and 2013, there were no uncollectible reinsurance recoverables.
- C. Commutation of Ceded Reinsurance** — There was no commutation of reinsurance in 2014 or 2013.
- D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation** — Not applicable.

24. RETROSPECTIVELY RATED CONTRACTS AND CONTRACTS SUBJECT TO REDETERMINATION

- A.** The Company estimates accrued retrospective premium adjustments for its group health insurance business based on mathematical calculations in accordance with contractual terms.
- B.** Estimated accrued retrospective premiums due to (from) the Company are recorded in premiums and considerations and aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus and as an adjustment to reserves for rate credits in the statutory basis statements of operations.
- C.** The Company has Medicare Part D program business which is subject to a retrospective rating feature related to Part D Premiums. The Company has estimated accrued retrospective premiums related to certain Part D premiums based on guidelines determined by CMS. The formula is tiered and based on the bid medical loss ratio. The amount of Part D direct premiums written subject to retrospective rating was \$95,397,196 and \$99,804,699 representing 2.2% and 2.5% of total direct premiums written for 2014 and 2013, respectively.

Effective January 1, 2014, the Company entered into a new contract with the federal government through the OPM to administer the FEHBP which is a contract subject to redetermination. The Company is subject to rate adjustments through audits by the OPM. The amount of direct premiums written subject to redetermination was \$20,328,503 representing 0.5% of total direct premiums written as of December 31, 2014. Prior to January 1, 2014, the FEHBP contract was a contract subject to retrospectively rated features. The amount of direct premiums written subject to retrospective rating was \$18,097,267 representing 0.4% of total direct premiums written as of December 31, 2013.

The Company has a contract with Ankeny Community School District that includes a retrospective premium feature. The Company is subject to premium adjustments based on a medical target. The amount of earned premiums subject to retrospective rating was \$7,718,913 and \$7,262,606 representing 0.2% and 0.2% of total direct premiums written as of December 31, 2014 and 2013, respectively.

Pursuant to the Health Reform Legislation, effective for calendar years 2014 and 2013, the state has elected to administer the additional PCP funds through prospective capitation increases with risk-sharing that incorporates a reconciliation model (see Note 21). Under this model, the state pays the Company prospectively but also reconciles after the contract period based on utilization and any excess or shortage, is remitted or received back from the state. The amount of Medicaid direct premiums written subject to this retrospective rating feature was \$2,766,600,445 and \$2,567,778,729 representing 65% and 63% of total direct premiums written as of December 31, 2014 and 2013, respectively.

- D. The Company is required to maintain specific minimum loss ratios. These minimum loss ratios apply to comprehensive major medical coverage and vary depending on group size. The following table discloses the minimum medical loss ratio rebates required pursuant to the Health Reform Legislation for the years ended December 31, 2014 and 2013:

	1 Individual	2 Small Group Employer	3 Large Group Employer	4 Other Categories with Rebates	5 Total
Prior reporting year:					
(1) Medical loss ratio rebates incurred	\$ -	\$ 659,869	\$ -	\$ -	\$ 659,869
(2) Medical loss ratio rebates paid	-	-	-	-	-
(3) Medical loss rebates unpaid	-	659,869	-	-	659,869
(4) Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
(5) Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
(6) Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	659,869
Current reporting year-to-date:					
(7) Medical loss ratio rebates incurred	\$ -	\$ (659,869)	\$ 13,207	\$ -	\$ (646,662)
(8) Medical loss ratio rebates paid	-	-	-	-	-
(9) Medical loss rebates unpaid	-	-	13,207	-	13,207
(10) Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
(11) Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
(12) Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	13,207

The Company recorded \$13,207 and \$659,869 of estimated rebates as of December 31, 2014 and 2013, respectively, which are included in aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

Pursuant to Health Care Reform changes effective for contract years beginning in 2014, the Company is required to maintain a specific minimum medical loss ratio on its Medicare contracts. The Company's actual medical loss ratios were in excess of the minimum requirements and, as a result, no minimum medical loss ratio liability for Medicare was required to be established as of December 31, 2014.

E. Risk-Sharing Provisions of the Affordable Care Act

- (1) The Company has Accident and Health insurance premiums in 2014 subject to the ACA risk-sharing provisions.

Effective January 1, 2014, the ACA imposed fees and premium stabilization provisions on health insurance issuers offering commercial health insurance. The three premium stabilization programs are commonly referred to as the 3Rs – risk adjustment, risk corridors, and reinsurance.

Risk Adjustment – The permanent risk adjustment program, designed to mitigate the potential impact of adverse selection and provide stability for health insurance issuers, applies to all non-grandfathered plans in the individual and small group markets both inside and outside of the insurance exchanges. Premium adjustments pursuant to the risk adjustment program are accounted for as premium subject to redetermination and user fees are accounted for as assessments.

Risk Corridors – The temporary risk corridors program, designed to provide some aggregate protection against variability for issuers in the individual and small group markets during the period 2014 through 2016, applies to Qualified Health Plans (“QHPs”) in the individual and small group markets both inside and outside of the insurance exchanges. Premium adjustments pursuant to the risk corridors program are accounted for as premium adjustments for retrospectively rated contracts.

Reinsurance – The transitional reinsurance program was designed to protect issuers in the individual market from an expected increase in large claims due to the elimination of preexisting condition limitations. The transitional reinsurance program is effective from 2014 through 2016 and applies to all issuers of major medical commercial products and third party administrators. Contributions attributable to enrollees in individual plans, including program administrative costs are accounted for as ceded premium and payments received are accounted for as ceded benefit recoveries. The portion of the individual contributions earmarked for the U.S. Treasury is accounted for as an assessment. Contributions made for enrollees in fully insured plans other than individual plans, including program administrative costs and payments to the U.S. Treasury, are treated as assessments.

(2) The following table presents the current year impact of risk-sharing provisions of the ACA on assets, liabilities, and revenue:

a. Permanent ACA Risk Adjustment Program	December 31, 2014
<u>Assets</u>	
1. Premium adjustments receivable due to ACA Risk Adjustment	\$ 349,323
<u>Liabilities</u>	
2. Risk adjustment user fees payable for ACA Risk Adjustment	\$ 599
3. Premium adjustments payable due to ACA Risk Adjustment	\$ -
<u>Operations (Revenue & Expense)</u>	
4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	\$ 349,323
5. Reported in expenses as ACA risk adjustment user fees (incurred/paid)	\$ 599
b. Transitional ACA Reinsurance Program	
<u>Assets</u>	
1. Amounts recoverable for claims paid due to ACA Reinsurance	\$ -
2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability)	\$ -
3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	\$ -
<u>Liabilities</u>	
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium	\$ 3,257,730
5. Ceded reinsurance premiums payable due to ACA Reinsurance	\$ -
6. Liability for amounts held under uninsured plans contributions for ACA Reinsurance	\$ -
<u>Operations (Revenue & Expense)</u>	
7. Ceded reinsurance premiums due to ACA Reinsurance	\$ -
8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected payments	\$ -
9. ACA Reinsurance contributions - not reported as ceded premium	\$ 3,257,730
c. Temporary ACA Risk Corridors Program	
<u>Assets</u>	
1. Accrued retrospective premium due to ACA Risk Corridors	\$ -
<u>Liabilities</u>	
2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	\$ -
<u>Operations (Revenue & Expense)</u>	
3. Effect of ACA Risk Corridors on net premium income (paid/received)	\$ -
4. Effect of ACA Risk Corridors on change in reserves for rate credits	\$ -

(3) The ACA risk-sharing programs became effective January 1, 2014. As a result, the rollforward of the prior year end balances related to the ACA risk-sharing provisions for asset and liability balances is not applicable in 2014 and has been excluded.

25. CHANGE IN INCURRED CLAIMS AND CLAIMS ADJUSTMENT EXPENSES

Changes in estimates related to the prior year incurred claims are included in total hospital and medical expenses in the current year in the statutory basis statements of operations. The following tables disclose paid claims, incurred claims, and the balance in the claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves, health care receivable and reinsurance recoverables for 2014 and 2013:

	<u>2014</u>		Total
	Current Year Incurred Claims	Prior Years Incurred Claims	
Beginning of year claim reserve	\$ -	\$ (401,679,427)	\$ (401,679,427)
Paid claims, net of health care receivable	3,056,913,086	282,175,992	3,339,089,078
End of year claim reserve	<u>355,865,150</u>	<u>17,948,312</u>	<u>373,813,462</u>
Incurred claims excluding the change in health care receivable	3,412,778,236	(101,555,123)	3,311,223,113
Beginning of year health care receivable	-	44,704,462	44,704,462
End of year health care receivable	<u>(62,745,548)</u>	<u>(4,265,817)</u>	<u>(67,011,365)</u>
Total incurred claims	<u>\$ 3,350,032,688</u>	<u>\$ (61,116,478)</u>	<u>\$ 3,288,916,210</u>

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

	2013		Total
	Current Year Incurred Claims	Prior Years Incurred Claims	
Beginning of year claim reserve	\$ -	\$ (387,759,156)	\$ (387,759,156)
Paid claims, net of health care receivable and reinsurance recoveries	3,027,281,612	335,375,472	3,362,657,084
End of year claim reserve	<u>388,116,767</u>	<u>13,562,660</u>	<u>401,679,427</u>
Incurred claims excluding the change in health care receivable	3,415,398,379	(38,821,024)	3,376,577,355
Beginning of year health care receivable	-	34,909,531	34,909,531
End of year health care receivable	<u>(39,278,776)</u>	<u>(5,425,686)</u>	<u>(44,704,462)</u>
Total incurred claims	<u>\$ 3,376,119,603</u>	<u>\$ (9,337,179)</u>	<u>\$ 3,366,782,424</u>

The liability for claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves, and health care receivable as of December 31, 2013 were \$356,974,965. As of December 31, 2014, \$282,175,992 has been paid for incurred claims attributable to insured events of prior years. Reserves remaining for prior years, net of health care receivable, are \$13,682,495, as a result of re-estimation of unpaid claims. Therefore, there has been \$61,116,478 favorable prior year development since December 31, 2013 to December 31, 2014. The primary drivers consist of favorable development in retroactivity for inpatient, outpatient, physician, and pharmacy claims of \$48,454,922 and favorable development as a result of a change in the provision for adverse deviations in experience of \$19,877,338 partially offset by unfavorable development of \$2,962,882 in provider settlements. At December 31, 2013, the Company recorded \$9,337,179 of favorable development related to favorable development as a result of a change in the provision for adverse deviations in experience of \$18,232,014 offset by unfavorable development of \$2,927,861 in provider settlement, and \$5,404,406 in retroactivity for inpatient, outpatient, physician, and pharmacy claims. Original estimates are increased or decreased, as additional information becomes known regarding individual claims, including the medical loss ratio rebate accrual. Included in this favorable development is the impact related to retrospectively rated policies, which also has a corresponding impact on medical loss ratio rebates. As a result of the prior year effects, on a regular basis, the Company adjusts revenue and the corresponding liability and/or receivable related to retrospectively rated policies and the impact of the change is included as a component of change in reserve for rate credits in the statutory basis statements of operations.

The Company incurred claims adjustment expenses of \$171,150,494 and \$168,220,056 in 2014 and 2013, respectively. These costs are included in the management service fees paid by the Company to UHS as a part of its management agreement (see Note 10). The following tables disclose paid CAE, incurred CAE, and the balance in the unpaid claim adjustment expenses reserve for 2014 and 2013:

	2014	2013
Total claims adjustment expenses	\$ 171,150,494	\$ 168,220,056
Less current year unpaid claims adjustment expenses	(5,159,859)	(7,501,050)
Add prior year unpaid claims adjustment expenses	<u>7,501,050</u>	<u>9,344,180</u>
Total claims adjustment expenses paid	<u>\$ 173,491,685</u>	<u>\$ 170,063,186</u>

26. INTERCOMPANY POOLING ARRANGEMENTS

A–G. The Company did not have any intercompany pooling arrangements in 2014 or 2013.

27. STRUCTURED SETTLEMENTS

A–B. The Company did not have structured settlements in 2014 or 2013.

28. HEALTH CARE AND OTHER AMOUNTS RECEIVABLE

A. Pharmacy rebates receivable are recorded when reasonably estimated or billed by the affiliated pharmacy benefit manager in accordance with pharmacy rebate contract provisions. Information used to support rebates billed to the manufacturer is based on utilization information gathered by the pharmacy benefit manager and adjusted for significant changes in pharmacy contract provisions.

The Company evaluates admissibility of all pharmacy rebates receivable based on the administration of each underlying pharmaceutical benefit management agreement. The Company has nonadmitted all pharmacy rebates receivable that do not meet the admissibility criteria from the statutory basis statements of admitted assets, liabilities, and capital and surplus.

For each pharmacy management agreement for which a portion of the total pharmacy rebates receivable can be admitted based on the admissibility criteria, the transaction history is summarized as follows:

Quarter	Estimated Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received within 90 Days of Billing	Actual Rebates Received within 91 to 180 Days of Billing	Actual Rebates Received More than 180 Days After Billing
12/31/2014	\$ 23,452,508	\$ -	\$ -	\$ -	\$ -
9/30/2014	21,552,754	21,716,328	12,778,211	-	-
6/30/2014	19,502,895	19,913,718	15,009,763	3,381,198	-
3/31/2014	17,808,381	18,228,146	13,664,127	3,380,354	741,160
12/31/2013	19,062,222	18,839,257	15,681,007	2,073,330	916,552
9/30/2013	17,016,230	16,987,914	13,534,902	2,405,565	898,620
6/30/2013	14,665,060	14,680,328	12,012,180	2,053,390	546,391
3/31/2013	13,175,389	13,143,443	10,152,972	2,242,705	693,527
12/31/2012	13,830,948	14,342,366	11,719,287	1,800,865	793,433
9/30/2012	12,737,015	13,194,437	11,003,274	1,743,815	448,894
6/30/2012	11,757,050	12,137,894	10,158,256	1,657,965	311,447
3/31/2012	11,094,909	11,447,583	9,704,408	1,285,740	455,525

Of the amount reported as health care receivables, \$32,251,034 and \$24,859,196 relates to pharmacy rebates receivable as of December 31, 2014 and 2013, respectively. The Company also reported \$1,703,590 and \$4,293,228 of claim overpayments due from providers at December 31, 2014 and 2013, respectively that were determined to be admissible.

B. The Company does not have any risk-sharing receivables.

29. PARTICIPATING POLICIES

The Company did not have any participating contracts in 2014 or 2013.

30. PREMIUM DEFICIENCY RESERVES

The Company has not recorded any premium deficiency reserves as of December 31, 2014 or 2013. The analysis of premium deficiency reserves was completed as of December 31, 2014 and 2013. The Company did consider anticipated investment income when calculating the premium deficiency reserves.

The following table summarizes the Company's premium deficiency reserves as of December 31, 2014 and 2013:

	2014
1. Liability carried for premium deficiency reserves	\$ -
2. Date of the most recent evaluation of this liability	<u>12/31/2014</u>
3. Was anticipated investment income utilized in this calculation?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
	2013
1. Liability carried for premium deficiency reserves	\$ -
2. Date of the most recent evaluation of this liability	<u>12/31/2013</u>
3. Was anticipated investment income utilized in this calculation?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

31. ANTICIPATED SALVAGE AND SUBROGATION

Due to the type of business being written, the Company has no salvage. As of December 31, 2014 and 2013, the Company had no specific accruals established for outstanding subrogation, as it is considered a component of the actuarial calculations used to develop the estimates of claims unpaid and aggregate health claim reserves.

* * * * *

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1, 1A and 2
- 1.2 If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent, or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations? Yes [X] No [] N/A []
- 1.3 State Regulating? Illinois
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2011
- 3.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2011
- 3.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 09/23/2013
- 3.4 By what department or departments?
Illinois Department of Insurance
- 3.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 3.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [] N/A [X]
- 4.1 During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity), receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.11 sales of new business? Yes [] No [X]
4.12 renewals? Yes [] No [X]
- 4.2 During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.21 sales of new business? Yes [] No [X]
4.22 renewals? Yes [] No [X]
- 5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
- 5.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

1 Nationality	2 Type of Entity

GENERAL INTERROGATORIES

- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Optum Bank, Inc.	Salt Lake City, UT	NO	NO	YES	NO

- 9. What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?
Deloitte & Touche LLP, Minneapolis, MN
- 10.1 Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation? Yes [] No [X]
- 10.2 If the response to 10.1 is yes, provide information related to this exemption:
.....
- 10.3 Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 17A of the Model Regulation, or substantially similar state law or regulation? Yes [] No [X]
- 10.4 If the response to 10.3 is yes, provide information related to this exemption:
.....
- 10.5 Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws? Yes [X] No [] N/A []
- 10.6 If the response to 10.5 is no or n/a, please explain
.....
- 11. What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?
Allen Sorbo, Chief Actuary of UnitedHealthcare Insurance Company, an affiliate of UnitedHealthcare Plan of the River Valley, Inc.
- 12.1 Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly? Yes [] No [X]
 - 12.11 Name of real estate holding company
 - 12.12 Number of parcels involved 0
 - 12.13 Total book/adjusted carrying value \$ 0
- 12.2 If, yes provide explanation:
.....
- 13. **FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:**
- 13.1 What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?
.....
- 13.2 Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located? Yes [] No []
- 13.3 Have there been any changes made to any of the trust indentures during the year? Yes [] No []
- 13.4 If answer to (13.3) is yes, has the domiciliary or entry state approved the changes? Yes [] No [] N/A []
- 14.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []
 - (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
 - (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
 - (c) Compliance with applicable governmental laws, rules and regulations;
 - (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
 - (e) Accountability for adherence to the code.
- 14.11 If the response to 14.1 is No, please explain:
.....
- 14.2 Has the code of ethics for senior managers been amended? Yes [] No [X]
- 14.21 If the response to 14.2 is yes, provide information related to amendment(s).
.....
- 14.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]
- 14.31 If the response to 14.3 is yes, provide the nature of any waiver(s).
.....

GENERAL INTERROGATORIES

- 15.1 Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List? Yes [] No [X]
- 15.2 If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.

1 American Bankers Association (ABA) Routing Number	2 Issuing or Confirming Bank Name	3 Circumstances That Can Trigger the Letter of Credit	4 Amount

BOARD OF DIRECTORS

16. Is the purchase or sale of all investments of the reporting entity passed upon either by the board of directors or a subordinate committee thereof? Yes [X] No []
17. Does the reporting entity keep a complete permanent record of the proceedings of its board of directors and all subordinate committees thereof? Yes [X] No []
18. Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict with the official duties of such person? Yes [X] No []

FINANCIAL

19. Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)? Yes [] No [X]
- 20.1 Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):
- 20.11 To directors or other officers \$ 0
 - 20.12 To stockholders not officers \$ 0
 - 20.13 Trustees, supreme or grand (Fraternal Only) \$ 0
- 20.2 Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):
- 20.21 To directors or other officers \$ 0
 - 20.22 To stockholders not officers \$ 0
 - 20.23 Trustees, supreme or grand (Fraternal Only) \$ 0
- 21.1 Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement? Yes [] No [X]
- 21.2 If yes, state the amount thereof at December 31 of the current year:
- 21.21 Rented from others \$ 0
 - 21.22 Borrowed from others \$ 0
 - 21.23 Leased from others \$ 0
 - 21.24 Other \$ 0
- 22.1 Does this statement include payments for assessments as described in the Annual Statement Instructions other than guaranty fund or guaranty association assessments? Yes [X] No []
- 22.2 If answer is yes:
- 22.21 Amount paid as losses or risk adjustment \$ 0
 - 22.22 Amount paid as expenses \$ 52,259,350
 - 22.23 Other amounts paid \$ 0
- 23.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No [X]
- 23.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ 0

INVESTMENT

- 24.01 Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 24.03) Yes [X] No []
- 24.02 If no, give full and complete information relating thereto
- 24.03 For security lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided) Not Applicable
- 24.04 Does the Company's security lending program meet the requirements for a conforming program as outlined in the Risk-Based Capital Instructions? Yes [] No [] N/A [X]
- 24.05 If answer to 24.04 is yes, report amount of collateral for conforming programs. \$ 0
- 24.06 If answer to 24.04 is no, report amount of collateral for other programs. \$ 0
- 24.07 Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract? Yes [] No [] N/A [X]
- 24.08 Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%? Yes [] No [] N/A [X]
- 24.09 Does the reporting entity or the reporting entity's securities lending agent utilize the Master Securities lending Agreement (MSLA) to conduct securities lending? Yes [] No [] N/A [X]

GENERAL INTERROGATORIES

24.10 For the reporting entity's security lending program state the amount of the following as December 31 of the current year:

24.101 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$	0
24.102 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$	0
24.103 Total payable for securities lending reported on the liability page	\$	0

25.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity, or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 24.03) Yes No

25.2 If yes, state the amount thereof at December 31 of the current year:

25.21 Subject to repurchase agreements	\$	0
25.22 Subject to reverse repurchase agreements	\$	0
25.23 Subject to dollar repurchase agreements	\$	0
25.24 Subject to reverse dollar repurchase agreements	\$	0
25.25 Placed under option agreements	\$	0
25.26 Letter stock or securities restricted as to sale - excluding FHLB Capital Stock	\$	0
25.27 FHLB Capital Stock	\$	0
25.28 On deposit with states	\$	54,312,628
25.29 On deposit with other regulatory bodies	\$	0
25.30 Pledged as collateral - excluding collateral pledged to an FHLB	\$	0
25.31 Pledged as collateral to FHLB - including assets backing funding agreements	\$	0
25.32 Other	\$	0

25.3 For category (25.26) provide the following:

1 Nature of Restriction	2 Description	3 Amount

26.1 Does the reporting entity have any hedging transactions reported on Schedule DB? Yes No

26.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? Yes No N/A
If no, attach a description with this statement.

27.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity? Yes No

27.2 If yes, state the amount thereof at December 31 of the current year. \$

28. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes No

28.01 For agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian's Address
Bank of New York Mellon	Global Liquidity Services, 1 Wall St, 14th Floor, New York, NY 10286
Northern Trust	50 S. LaSalle, Chicago, IL 60675

28.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year? Yes No

28.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

28.05 Identify all investment advisors, brokers/dealers or individuals acting on behalf of brokers/dealers that have access to the investment accounts, handle securities and have authority to make investments on behalf of the reporting entity:

1 Central Registration Depository Number(s)	2 Name	3 Address
107038	JPMorgan Investment Management Inc.	245 Park Avenue New York, NY 10167
113972	Standish Mellon Asset Management Company	201 Washington Street Suite 2900 Boston, MA 02108-4408

GENERAL INTERROGATORIES

- 29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D, Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5(b)(1)])? Yes [] No [X]
- 29.2 If yes, complete the following schedule:

1 CUSIP #	2 Name of Mutual Fund	3 Book/Adjusted Carrying Value
29.2999 - Total		0

- 29.3 For each mutual fund listed in the table above, complete the following schedule:

1 Name of Mutual Fund (from above table)	2 Name of Significant Holding of the Mutual Fund	3 Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	4 Date of Valuation

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1 Statement (Admitted) Value	2 Fair Value	3 Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds	931,837,435	941,073,809	9,236,374
30.2 Preferred stocks	0	0	0
30.3 Totals	931,837,435	941,073,809	9,236,374

- 30.4 Describe the sources or methods utilized in determining the fair values:
For those securities that had prices in the NAIC SVO ISIS database, those prices were used; for those securities that did not have prices in the NAIC SVO database, pricing was obtained from HUB which is an external data sources vendor. HUB utilizes various pricing sources.

- 31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? Yes [] No [X]
- 31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? Yes [] No []
- 31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:
.....
- 32.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Securities Valuation Office been followed? Yes [X] No []
- 32.2 If no, list exceptions:
.....

GENERAL INTERROGATORIES

OTHER

33.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any?\$0

33.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations and statistical or rating bureaus during the period covered by this statement.

1 Name	2 Amount Paid

34.1 Amount of payments for legal expenses, if any?\$0

34.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid

35.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any?\$0

35.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1 Name	2 Amount Paid

GENERAL INTERROGATORIES

PART 2 - HEALTH INTERROGATORIES

1.1 Does the reporting entity have any direct Medicare Supplement Insurance in force? Yes [] No [X]

1.2 If yes, indicate premium earned on U.S. business only. \$ 0

1.3 What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit? \$ 0

1.31 Reason for excluding

1.4 Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above \$ 0

1.5 Indicate total incurred claims on all Medicare Supplement Insurance. \$ 0

1.6 Individual policies: Most current three years:

1.61 Total premium earned \$ 0

1.62 Total incurred claims \$ 0

1.63 Number of covered lives 0

All years prior to most current three years:

1.64 Total premium earned \$ 0

1.65 Total incurred claims \$ 0

1.66 Number of covered lives 0

1.7 Group policies: Most current three years:

1.71 Total premium earned \$ 0

1.72 Total incurred claims \$ 0

1.73 Number of covered lives 0

All years prior to most current three years:

1.74 Total premium earned \$ 0

1.75 Total incurred claims \$ 0

1.76 Number of covered lives 0

2. Health Test:

	1 Current Year	2 Prior Year
2.1 Premium Numerator	4,252,549,640	4,067,708,191
2.2 Premium Denominator	4,252,549,640	4,067,708,191
2.3 Premium Ratio (2.1/2.2)	1.000	1.000
2.4 Reserve Numerator	390,335,182	410,888,557
2.5 Reserve Denominator	390,335,182	410,888,556
2.6 Reserve Ratio (2.4/2.5)	1.000	1.000

3.1 Has the reporting entity received any endowment or gift from contracting hospitals, physicians, dentists, or others that is agreed will be returned when, as and if the earnings of the reporting entity permits? Yes [] No [X]

3.2 If yes, give particulars:

4.1 Have copies of all agreements stating the period and nature of hospitals', physicians', and dentists' care offered to subscribers and dependents been filed with the appropriate regulatory agency? Yes [X] No []

4.2 If not previously filed, furnish herewith a copy(ies) of such agreement(s). Do these agreements include additional benefits offered? Yes [] No []

5.1 Does the reporting entity have stop-loss reinsurance? Yes [X] No []

5.2 If no, explain:

5.3 Maximum retained risk (see instructions)

5.31 Comprehensive Medical \$ 0

5.32 Medical Only \$ 0

5.33 Medicare Supplement \$ 0

5.34 Dental & Vision \$ 0

5.35 Other Limited Benefit Plan \$ 0

5.36 Other \$ 165,000

6. Describe arrangement which the reporting entity may have to protect subscribers and their dependents against the risk of insolvency including hold harmless provisions, conversion privileges with other carriers, agreements with providers to continue rendering services, and any other agreements:
 Hold harmless clauses in provider agreements and continuation of coverage endorsements in reinsurance agreement.

7.1 Does the reporting entity set up its claim liability for provider services on a service date basis? Yes [X] No []

7.2 If no, give details

8. Provide the following information regarding participating providers: 8.1 Number of providers at start of reporting year124,482

8.2 Number of providers at end of reporting year75,990

9.1 Does the reporting entity have business subject to premium rate guarantees? Yes [] No [X]

9.2 If yes, direct premium earned: 9.21 Business with rate guarantees between 15-36 months..\$ 0

9.22 Business with rate guarantees over 36 months \$ 0

GENERAL INTERROGATORIES

- 10.1 Does the reporting entity have Incentive Pool, Withhold or Bonus Arrangements in its provider contracts? Yes No
- 10.2 If yes:
- | | | |
|--|----|-----------|
| 10.21 Maximum amount payable bonuses..... | \$ | 6,961,348 |
| 10.22 Amount actually paid for year bonuses..... | \$ | 5,321,762 |
| 10.23 Maximum amount payable withholds..... | \$ | 168,744 |
| 10.24 Amount actually paid for year withholds..... | \$ | 0 |
- 11.1 Is the reporting entity organized as:
- | | | |
|--|---|--|
| 11.12 A Medical Group/Staff Model, | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| 11.13 An Individual Practice Association (IPA), or, .. | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| 11.14 A Mixed Model (combination of above)? | Yes <input checked="" type="checkbox"/> | No <input type="checkbox"/> |
- 11.2 Is the reporting entity subject to Minimum Net Worth Requirements? Yes No
- 11.3 If yes, show the name of the state requiring such net worth. Illinois
- 11.4 If yes, show the amount required. \$ 366,147,981
- 11.5 Is this amount included as part of a contingency reserve in stockholder's equity? Yes No
- 11.6 If the amount is calculated, show the calculation
300% of the Authorized Control Level as calculated by the Risk Based Capital Report.

12. List service areas in which reporting entity is licensed to operate:

1 Name of Service Area
Illinois Counties in Service Area: Bureau, Carroll, DeWitt, Fulton, Henderson, Henry, Jo Daviess, Knox, La Salle, Lee, Livingston, Marshall, McLean, Mercer, Peoria, Putnam, Rock Island, Stark, Tazewell, Warren, Whiteside, Woodford
Iowa Counties in Service Area: Adair, Adams, Appanoose, Audubon, Benton, Blackhawk, Boone, Bremer, Buchanan, Buena Vista, Butler, Calhoun, Carroll, Cass, Cedar, Cerro Gordo, Chickasaw, Clarke, Clayton, Clinton, Crawford, Dallas, Davis, Decatur, Delaware, Des Moines, Dubuque, Fayette, Floyd, Franklin, Fremont, Greene, Grundy, Guthrie, Hamilton, Hancock, Hardin, Harrison, Henry, Howard, Humboldt, Iowa, Jackson, Jasper, Jefferson, Johnson, Jones, Keokuk, Kossuth, Lee, Linn, Louisa, Lucas, Madison, Mahaska, Marion, Marshall, Mills, Mitchell, Monona, Monroe, Montgomery, Muscatine, Page, Palo Alto, Pocahontas, Polk, Pottawattamie, Poweshiek, Ringgold, Sac, Scott, Shelby, Story, Tama, Taylor, Union, Van Buren, Wapello, Warren, Washington, Wayne, Webster, Winnebago, Worth, Wright
Tennessee Counties in Service Area: Anderson, Bedford, Bledsoe, Blount, Bradley, Campbell, Cannon, Carroll, Carter, Cheatham, Chester, Claiborne, Clay, Cocke, Coffee, Crockett, Cumberland, Davidson, Decatur, DeKalb, Dickson, Dyer, Fayette, Fentress, Franklin, Gibson, Giles, Grainger, Greene, Grundy, Hamblen, Hamilton, Hancock, Hardeman, Hawkins, Haywood, Henderson, Hickman, Houston, Jackson, Jefferson, Johnson, Knox, Lake, Lauderdale, Lincoln, Loudon, Macon, Madison, Marion, Marshall, Maury, McMinn, Meigs, Monroe, Montgomery, Moore, Morgan, Obion, Overton, Pickett, Polk, Putnam, Rhea, Roane, Robertson, Rutherford, Scott, Sequatchie, Sevier, Shelby, Smith, Stewart, Sullivan, Sumner, Tipton, Trousdale, Unicoi, Union, Van Buren, Warren, Washington, Weakley, White, Williamson, Wilson
Virginia Counties in Service Area: Bedford, Bland, Botetourt, Buchanan, Carroll, Craig, Dickenson, Floyd, Franklin, Giles, Grayson, Henry, Lee, Montgomery, Pulaski, Roanoke, Rockbridge, Russell, Scott, Smyth, Tazewell, Washington, Wise, Wythe

- 13.1 Do you act as a custodian for health savings accounts? Yes No
- 13.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0
- 13.3 Do you act as an administrator for health savings accounts? Yes No
- 13.4 If yes, please provide the balance of funds administered as of the reporting date. \$ 0
- 14.1 Are any of the captive affiliates reported on Schedule S, Part 3, authorized reinsurers? Yes No N/A
- 14.2 If the answer to 14.1 is yes, please provide the following:

1 Company Name	2 NAIC Company Code	3 Domiciliary Jurisdiction	4 Reserve Credit	Assets Supporting Reserve Credit		
				5 Letters of Credit	6 Trust Agreements	7 Other

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

15. Provide the following for individual ordinary life insurance* policies (U.S. business only) for the current year (prior to reinsurance assumed or ceded):

15.1 Direct Premium Written\$0
 15.2 Total Incurred Claims\$0
 15.3 Number of Covered Lives0

*Ordinary Life Insurance Includes
Term(whether full underwriting, limited underwriting, jet issue, "short form app")
Whole Life (whether full underwriting, limited underwriting, jet issue, "short form app")
Variable Life (with or without secondary gurarantee)
Universal Life (with or without secondary gurarantee)
Variable Universal Life (with or without secondary gurarantee)

FIVE-YEAR HISTORICAL DATA

	1 2014	2 2013	3 2012	4 2011	5 2010
Balance Sheet (Pages 2 and 3)					
1. Total admitted assets (Page 2, Line 28)	1,342,922,260	1,093,654,951	1,017,358,614	1,010,036,179	1,126,576,465
2. Total liabilities (Page 3, Line 24)	742,593,611	580,253,821	576,136,838	557,260,161	564,945,177
3. Statutory surplus	366,147,981	383,043,978	373,299,168	353,507,103	291,327,658
4. Total capital and surplus (Page 3, Line 33)	600,328,649	513,401,130	441,221,776	452,776,017	359,790,690
Income Statement (Page 4)					
5. Total revenues (Line 8)	4,245,828,044	4,071,610,367	4,011,352,746	3,923,592,289	3,186,787,512
6. Total medical and hospital expenses (Line 18)	3,288,916,210	3,366,782,424	3,219,162,456	3,100,125,101	2,528,710,533
7. Claims adjustment expenses (Line 20)	171,150,494	168,220,056	140,798,914	121,875,202	125,310,554
8. Total administrative expenses (Line 21)	409,387,812	334,124,351	417,524,328	424,870,100	336,002,202
9. Net underwriting gain (loss) (Line 24)	376,373,528	202,483,536	233,867,048	276,721,886	196,764,223
10. Net investment gain (loss) (Line 27)	16,509,198	18,971,246	18,060,841	18,764,225	16,365,879
11. Total other income (Lines 28 plus 29)	(1,412,720)	(887,329)	(650,032)	1,106,009	(2,410,088)
12. Net income or (loss) (Line 32)	236,985,815	152,048,836	169,953,894	211,900,766	130,020,120
Cash Flow (Page 6)					
13. Net cash from operations (Line 11)	392,973,796	137,396,038	149,953,765	(1,000,743)	554,688,210
Risk-Based Capital Analysis					
14. Total adjusted capital	600,328,649	513,401,130	441,221,776	452,776,017	359,790,690
15. Authorized control level risk-based capital	122,049,327	127,681,326	124,433,056	117,835,701	97,604,941
Enrollment (Exhibit 1)					
16. Total members at end of period (Column 5, Line 7)	813,770	760,431	781,421	780,183	751,054
17. Total members months (Column 6, Line 7)	9,397,189	9,187,464	9,410,333	9,365,383	8,795,788
Operating Percentage (Page 4) (Item divided by Page 4, sum of Lines 2, 3 and 5) x 100.0					
18. Premiums earned plus risk revenue (Line 2 plus Lines 3 and 5)	100.0	100.0	100.0	100.0	100.0
19. Total hospital and medical plus other non-health (Lines 18 plus Line 19)	77.5	82.7	80.3	79.0	79.3
20. Cost containment expenses	2.4	2.5	2.3	2.2	3.1
21. Other claims adjustment expenses	1.6	1.7	1.2	0.9	0.9
22. Total underwriting deductions (Line 23)	91.2	95.1	94.2	93.0	93.8
23. Total underwriting gain (loss) (Line 24)	8.9	5.0	5.8	7.1	6.2
Unpaid Claims Analysis (U&I Exhibit, Part 2B)					
24. Total claims incurred for prior years (Line 13, Col. 5)	295,858,486	343,512,445	320,686,830	347,567,947	240,438,064
25. Estimated liability of unpaid claims-[prior year (Line 13, Col. 6)]	356,974,965	352,849,625	377,732,578	386,804,949	251,243,748
Investments In Parent, Subsidiaries and Affiliates					
26. Affiliated bonds (Sch. D Summary, Line 12, Col. 1)	0	0	0	0	0
27. Affiliated preferred stocks (Sch. D Summary, Line 18, Col. 1)	0	0	0	0	0
28. Affiliated common stocks (Sch. D Summary, Line 24, Col. 1)	0	0	0	0	0
29. Affiliated short-term investments (subtotal included in Schedule DA Verification, Col. 5, Line 10)	0	0	0	0	0
30. Affiliated mortgage loans on real estate	0	0	0	0	0
31. All other affiliated	0	0	0	0	0
32. Total of above Lines 26 to 31	0	0	0	0	0
33. Total investment in parent included in Lines 26 to 31 above	0	0	0	0	0

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3, Accounting Changes and Correction of Errors? Yes [] No []
 If no, please explain:



ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF		DURING THE YEAR							(LOCATION)	
		Illinois		2014							NAIC Company Code	
		1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10	
	Total	2	3	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other		
Total Members at end of:												
1. Prior Year	12,443	0	2,440	0	0	0	0	10,003	0	0		
2. First Quarter	12,085	0	2,267	0	0	0	0	9,818	0	0		
3. Second Quarter	11,342	0	1,511	0	0	0	0	9,831	0	0		
4. Third Quarter	10,880	0	1,026	0	0	0	0	9,854	0	0		
5. Current Year	10,856	0	1,063	0	0	0	0	9,793	0	0		
6. Current Year Member Months	136,817	0	18,312	0	0	0	0	118,505	0	0		
Total Member Ambulatory Encounters for Year:												
7. Physician	192,837	0	8,975	0	0	0	0	183,862	0	0		
8. Non-Physician	72,209	0	2,219	0	0	0	0	69,990	0	0		
9. Total	265,046	0	11,194	0	0	0	0	253,852	0	0		
10. Hospital Patient Days Incurred	24,374	0	364	0	0	0	0	24,010	0	0		
11. Number of Inpatient Admissions	3,713	0	106	0	0	0	0	3,607	0	0		
12. Health Premiums Written (b)	97,599,069	0	7,824,556	0	0	0	0	89,774,513	0	0		
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0		
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0		
15. Health Premiums Earned	97,509,814	0	7,811,348	0	0	0	0	89,698,466	0	0		
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0		
17. Amount Paid for Provision of Health Care Services.....	74,277,780	0	5,161,174	0	0	0	0	69,116,606	0	0		
18. Amount Incurred for Provision of Health Care Services	73,707,112	0	3,979,297	0	0	0	0	69,727,815	0	0		

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$89,774,513

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ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF		DURING THE YEAR							(LOCATION)	
		Iowa		2014							NAIC Company Code	
		1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10	
	Total	2	3	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other		
Total Members at end of:												
1. Prior Year	86,627	9,424	49,474	0	0	0	3,760	23,969	0	0		
2. First Quarter	74,589	8,819	42,812	0	0	0	3,539	19,419	0	0		
3. Second Quarter	71,735	8,833	40,079	0	0	0	3,509	19,314	0	0		
4. Third Quarter	69,074	8,395	37,902	0	0	0	3,491	19,286	0	0		
5. Current Year	64,934	8,782	33,519	0	0	0	3,498	19,135	0	0		
6. Current Year Member Months	859,898	112,933	473,674	0	0	0	42,087	231,204	0	0		
Total Member Ambulatory Encounters for Year:												
7. Physician	641,797	46,975	223,087	0	0	0	25,164	346,571	0	0		
8. Non-Physician	202,323	10,098	67,346	0	0	0	5,056	119,823	0	0		
9. Total	844,120	57,073	290,433	0	0	0	30,220	466,394	0	0		
10. Hospital Patient Days Incurred	57,452	689	13,127	0	0	0	1,671	41,965	0	0		
11. Number of Inpatient Admissions	9,690	146	2,651	0	0	0	302	6,591	0	0		
12. Health Premiums Written (b)	374,568,183	21,602,917	163,582,477	0	0	0	20,328,503	169,054,286	0	0		
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0		
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0		
15. Health Premiums Earned	374,520,110	21,602,917	164,170,972	0	0	0	19,650,201	169,096,020	0	0		
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0		
17. Amount Paid for Provision of Health Care Services.....	297,345,101	13,956,470	132,418,156	0	0	0	19,646,678	131,323,797	0	0		
18. Amount Incurred for Provision of Health Care Services	293,006,611	14,678,008	129,219,113	0	0	0	18,182,750	130,926,740	0	0		

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$169,054,286

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ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF		DURING THE YEAR							(LOCATION)	
		Tennessee		2014							NAIC Company Code	
		1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10	
	Total	2	3	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other		
Total Members at end of:												
1. Prior Year	646,679	0	3,621	0	0	0	0	75,824	567,234	0		
2. First Quarter	671,290	0	2,196	0	0	0	0	72,834	596,260	0		
3. Second Quarter	692,125	0	1,862	0	0	0	0	74,095	616,168	0		
4. Third Quarter	701,614	0	1,583	0	0	0	0	75,105	624,926	0		
5. Current Year	725,410	0	1,178	0	0	0	0	75,513	648,719	0		
6. Current Year Member Months	8,235,091	0	22,164	0	0	0	0	879,893	7,333,034	0		
Total Member Ambulatory Encounters for Year:												
7. Physician	7,472,827	0	16,877	0	0	0	0	1,765,998	5,689,952	0		
8. Non-Physician	4,196,934	0	2,891	0	0	0	0	839,384	3,354,659	0		
9. Total	11,669,761	0	19,768	0	0	0	0	2,605,382	9,044,611	0		
10. Hospital Patient Days Incurred	4,583,357	0	702	0	0	0	0	232,075	4,350,580	0		
11. Number of Inpatient Admissions	314,868	0	145	0	0	0	0	34,675	280,048	0		
12. Health Premiums Written (b)	3,664,620,923	0	9,157,972	0	0	0	0	888,648,898	2,766,600,445	213,608		
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0		
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0		
15. Health Premiums Earned	3,656,746,959	0	9,157,972	0	0	0	0	892,545,154	2,754,830,225	213,608		
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0		
17. Amount Paid for Provision of Health Care Services	2,881,693,291	0	6,611,085	0	0	0	0	726,344,254	2,148,478,587	259,365		
18. Amount Incurred for Provision of Health Care Services	2,838,384,273	0	5,407,837	0	0	0	0	709,270,959	2,123,435,038	270,439		

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$888,648,898

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ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF		DURING THE YEAR							(LOCATION)	
		Virginia		2014							NAIC Company Code	
		1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10	
	Total	2	3	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other		
Total Members at end of:												
1. Prior Year	14,682	0	5,085	0	0	0	0	9,597	0	0		
2. First Quarter	13,529	0	4,862	0	0	0	0	8,667	0	0		
3. Second Quarter	13,120	0	4,493	0	0	0	0	8,627	0	0		
4. Third Quarter	12,940	0	4,317	0	0	0	0	8,623	0	0		
5. Current Year	12,570	0	3,923	0	0	0	0	8,647	0	0		
6. Current Year Member Months	165,383	0	52,946	0	0	0	0	112,437	0	0		
Total Member Ambulatory Encounters for Year:												
7. Physician	196,978	0	30,742	0	0	0	0	166,236	0	0		
8. Non-Physician	91,949	0	4,881	0	0	0	0	87,068	0	0		
9. Total	288,927	0	35,623	0	0	0	0	253,304	0	0		
10. Hospital Patient Days Incurred	17,707	0	1,478	0	0	0	0	16,229	0	0		
11. Number of Inpatient Admissions	3,692	0	302	0	0	0	0	3,390	0	0		
12. Health Premiums Written (b)	120,016,773	0	22,138,031	0	0	0	0	97,878,742	0	0		
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0		
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0		
15. Health Premiums Earned	120,037,173	0	22,138,031	0	0	0	0	97,899,142	0	0		
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0		
17. Amount Paid for Provision of Health Care Services	85,772,907	0	23,021,630	0	0	0	0	62,751,277	0	0		
18. Amount Incurred for Provision of Health Care Services	83,818,215	0	23,422,945	0	0	0	0	60,395,270	0	0		

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$97,878,742

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ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF	(LOCATION)									
			Grand Total		DURING THE YEAR				2014		NAIC Company Code	95378
			1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
Total	2	3	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other			
Total Members at end of:												
1. Prior Year	760,431	9,424	60,620	0	0	0	3,760	119,393	567,234	0		
2. First Quarter	771,493	8,819	52,137	0	0	0	3,539	110,738	596,260	0		
3. Second Quarter	788,322	8,833	47,945	0	0	0	3,509	111,867	616,168	0		
4. Third Quarter	794,508	8,395	44,828	0	0	0	3,491	112,868	624,926	0		
5. Current Year	813,770	8,782	39,683	0	0	0	3,498	113,088	648,719	0		
6. Current Year Member Months	9,397,189	112,933	567,096	0	0	0	42,087	1,342,039	7,333,034	0		
Total Member Ambulatory Encounters for Year:												
7. Physician	8,504,439	46,975	279,681	0	0	0	25,164	2,462,667	5,689,952	0		
8. Non-Physician	4,563,415	10,098	77,337	0	0	0	5,056	1,116,265	3,354,659	0		
9. Total	13,067,854	57,073	357,018	0	0	0	30,220	3,578,932	9,044,611	0		
10. Hospital Patient Days Incurred	4,682,890	689	15,671	0	0	0	1,671	314,279	4,350,580	0		
11. Number of Inpatient Admissions	331,963	146	3,204	0	0	0	302	48,263	280,048	0		
12. Health Premiums Written (b)	4,256,804,948	21,602,917	202,703,036	0	0	0	20,328,503	1,245,356,439	2,766,600,445	213,608		
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0		
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0		
15. Health Premiums Earned	4,248,814,056	21,602,917	203,278,323	0	0	0	19,650,201	1,249,238,782	2,754,830,225	213,608		
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0		
17. Amount Paid for Provision of Health Care Services	3,339,089,079	13,956,470	167,212,045	0	0	0	19,646,678	989,535,934	2,148,478,587	259,365		
18. Amount Incurred for Provision of Health Care Services	3,288,916,211	14,678,008	162,029,192	0	0	0	18,182,750	970,320,784	2,123,435,038	270,439		

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$1,245,356,439

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Schedule S - Part 1 - Section 2

N O N E

Schedule S - Part 2

N O N E

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE S - PART 3 - SECTION 2

Reinsurance Ceded Accident and Health Insurance Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domi- ciliary Juris- diction	6 Type	7 Type of Business Ceded	8 Premiums	9 Unearned Premiums (Estimated)	10 Reserve Credit Taken Other than for Unearned Premiums	Outstanding Surplus Relief		13 Modified Coinsurance Reserve	14 Funds Withheld Under Coinsurance
										11 Current Year	12 Prior Year		
79413	36-2739571	07/01/2008	UnitedHealthcare Insurance Company	CT	SSL/A/G	CMM	3,369	0	0	0	0	0	0
79413	36-2739571	07/01/2008	UnitedHealthcare Insurance Company	CT	DTH/A/G	CMM	4,251,939	0	0	0	0	0	0
0299999. General Account - Authorized U.S. Affiliates - Other							4,255,308	0	0	0	0	0	0
0399999. Total General Account - Authorized U.S. Affiliates							4,255,308	0	0	0	0	0	0
0699999. Total General Account - Authorized Non-U.S. Affiliates							0	0	0	0	0	0	0
0799999. Total General Account - Authorized Affiliates							4,255,308	0	0	0	0	0	0
1099999. Total General Account - Authorized Non-Affiliates							0	0	0	0	0	0	0
1199999. Total General Account Authorized							4,255,308	0	0	0	0	0	0
1499999. Total General Account - Unauthorized U.S. Affiliates							0	0	0	0	0	0	0
1799999. Total General Account - Unauthorized Non-U.S. Affiliates							0	0	0	0	0	0	0
1899999. Total General Account - Unauthorized Affiliates							0	0	0	0	0	0	0
2199999. Total General Account - Unauthorized Non-Affiliates							0	0	0	0	0	0	0
2299999. Total General Account Unauthorized							0	0	0	0	0	0	0
2599999. Total General Account - Certified U.S. Affiliates							0	0	0	0	0	0	0
2899999. Total General Account - Certified Non-U.S. Affiliates							0	0	0	0	0	0	0
2999999. Total General Account - Certified Affiliates							0	0	0	0	0	0	0
3299999. Total General Account - Certified Non-Affiliates							0	0	0	0	0	0	0
3399999. Total General Account Certified							0	0	0	0	0	0	0
3499999. Total General Account Authorized, Unauthorized and Certified							4,255,308	0	0	0	0	0	0
3799999. Total Separate Accounts - Authorized U.S. Affiliates							0	0	0	0	0	0	0
4099999. Total Separate Accounts - Authorized Non-U.S. Affiliates							0	0	0	0	0	0	0
4199999. Total Separate Accounts - Authorized Affiliates							0	0	0	0	0	0	0
4499999. Total Separate Accounts - Authorized Non-Affiliates							0	0	0	0	0	0	0
4599999. Total Separate Accounts Authorized							0	0	0	0	0	0	0
4899999. Total Separate Accounts - Unauthorized U.S. Affiliates							0	0	0	0	0	0	0
5199999. Total Separate Accounts - Unauthorized Non-U.S. Affiliates							0	0	0	0	0	0	0
5299999. Total Separate Accounts - Unauthorized Affiliates							0	0	0	0	0	0	0
5599999. Total Separate Accounts - Unauthorized Non-Affiliates							0	0	0	0	0	0	0
5699999. Total Separate Accounts Unauthorized							0	0	0	0	0	0	0
5999999. Total Separate Accounts - Certified U.S. Affiliates							0	0	0	0	0	0	0
6299999. Total Separate Accounts - Certified Non-U.S. Affiliates							0	0	0	0	0	0	0
6399999. Total Separate Accounts - Certified Affiliates							0	0	0	0	0	0	0
6699999. Total Separate Accounts - Certified Non-Affiliates							0	0	0	0	0	0	0
6799999. Total Separate Accounts Certified							0	0	0	0	0	0	0
6899999. Total Separate Accounts Authorized, Unauthorized and Certified							0	0	0	0	0	0	0
6999999. Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2599999, 3099999, 3799999, 4299999, 4899999, 5399999, 5999999 and 6499999)							4,255,308	0	0	0	0	0	0
7099999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2899999, 3199999, 4099999, 4399999, 5199999, 5499999, 6299999 and 6599999)							0	0	0	0	0	0	0
9999999 - Totals							4,255,308	0	0	0	0	0	0

Schedule S - Part 4

N O N E

Schedule S - Part 4 - Bank Footnote

N O N E

Schedule S - Part 5

N O N E

Schedule S - Part 5 - Bank Footnote

N O N E

SCHEDULE S - PART 6

Five Year Exhibit of Reinsurance Ceded Business (000 Omitted)

	1 2014	2 2013	3 2012	4 2011	5 2010
A. OPERATIONS ITEMS					
1. Premiums	247	332	429	448	435
2. Title XVIII - Medicare	1,249	1,183	1,071	946	611
3. Title XIX - Medicaid	2,759	2,569	2,532	2,553	2,090
4. Commissions and reinsurance expense allowance	0	0	0	0	0
5. Total hospital and medical expenses	0	0	0	0	(52)
B. BALANCE SHEET ITEMS					
6. Premiums receivable	0	0	0	0	0
7. Claims payable	0	0	0	0	0
8. Reinsurance recoverable on paid losses	0	0	0	0	0
9. Experience rating refunds due or unpaid	0	0	0	0	0
10. Commissions and reinsurance expense allowances due	0	0	0	0	0
11. Unauthorized reinsurance offset	0	0	0	0	0
12. Offset for reinsurance with Certified Reinsurers	0	0	0	XXX	XXX
C. UNAUTHORIZED REINSURANCE (DEPOSITS BY AND FUNDS WITHHELD FROM)					
13. Funds deposited by and withheld from (F)	0	0	0	0	0
14. Letters of credit (L)	0	0	0	0	0
15. Trust agreements (T)	0	0	0	0	0
16. Other (O)	0	0	0	0	0
D. REINSURANCE WITH CERTIFIED REINSURERS (DEPOSITS BY AND FUNDS WITHHELD FROM)					
17. Multiple Beneficiary Trust	0	0	0	XXX	XXX
18. Funds deposited by and withheld from (F)	0	0	0	XXX	XXX
19. Letters of credit (L)	0	0	0	XXX	XXX
20. Trust agreements (T)	0	0	0	XXX	XXX
21. Other (O)	0	0	0	XXX	XXX

SCHEDULE S - PART 7

Restatement of Balance Sheet to Identify Net Credit For Ceded Reinsurance

	1 As Reported (net of ceded)	2 Restatement Adjustments	3 Restated (gross of ceded)
ASSETS (Page 2, Col. 3)			
1. Cash and invested assets (Line 12)	1,166,946,074	0	1,166,946,074
2. Accident and health premiums due and unpaid (Line 15)	51,364,132	0	51,364,132
3. Amounts recoverable from reinsurers (Line 16.1)	0	0	0
4. Net credit for ceded reinsurance	XXX	(364,503)	(364,503)
5. All other admitted assets (Balance)	124,612,054	0	124,612,054
6. Total assets (Line 28)	1,342,922,260	(364,503)	1,342,557,757
LIABILITIES, CAPITAL AND SURPLUS (Page 3)			
7. Claims unpaid (Line 1)	366,649,616	0	366,649,616
8. Accrued medical incentive pool and bonus payments (Line 2)	6,961,348	0	6,961,348
9. Premiums received in advance (Line 8)	187,072,160	0	187,072,160
10. Funds held under reinsurance treaties with authorized and unauthorized reinsurers (Line 19 first inset amount plus second inset amount)	0	0	0
11. Reinsurance in unauthorized companies (Line 20 minus inset amount)	0	0	0
12. Reinsurance with Certified Reinsurers (Line 20 inset amount)	0	0	0
13. Funds held under reinsurance treaties with Certified Reinsurers (Line 19 third inset amount)	0	0	0
14. All other liabilities (Balance)	181,910,487	(364,503)	181,545,984
15. Total liabilities (Line 24)	742,593,611	(364,503)	742,229,108
16. Total capital and surplus (Line 33)	600,328,649	XXX	600,328,649
17. Total liabilities, capital and surplus (Line 34)	1,342,922,260	(364,503)	1,342,557,757
NET CREDIT FOR CEDED REINSURANCE			
18. Claims unpaid	0		
19. Accrued medical incentive pool	0		
20. Premiums received in advance	0		
21. Reinsurance recoverable on paid losses	0		
22. Other ceded reinsurance recoverables	0		
23. Total ceded reinsurance recoverables	0		
24. Premiums receivable	0		
25. Funds held under reinsurance treaties with authorized and unauthorized reinsurers	0		
26. Unauthorized reinsurance	0		
27. Reinsurance with Certified Reinsurers	0		
28. Funds held under reinsurance treaties with Certified Reinsurers	0		
29. Other ceded reinsurance payables/offsets	364,503		
30. Total ceded reinsurance payables/offsets	364,503		
31. Total net credit for ceded reinsurance	(364,503)		

SCHEDULE T PREMIUMS AND OTHER CONSIDERATIONS

Allocated by States and Territories

States, etc.	1 Active Status	Direct Business Only								
		2 Accident & Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Plan Premiums	6 Life & Annuity Premiums & Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7	9 Deposit-Type Contracts	
1. Alabama	AL	N	0	0	0	0	0	0	0	0
2. Alaska	AK	N	0	0	0	0	0	0	0	0
3. Arizona	AZ	N	0	0	0	0	0	0	0	0
4. Arkansas	AR	N	0	0	0	0	0	0	0	0
5. California	CA	N	0	0	0	0	0	0	0	0
6. Colorado	CO	N	0	0	0	0	0	0	0	0
7. Connecticut	CT	N	0	0	0	0	0	0	0	0
8. Delaware	DE	N	0	0	0	0	0	0	0	0
9. District of Columbia	DC	N	0	0	0	0	0	0	0	0
10. Florida	FL	N	0	0	0	0	0	0	0	0
11. Georgia	GA	N	0	0	0	0	0	0	0	0
12. Hawaii	HI	N	0	0	0	0	0	0	0	0
13. Idaho	ID	N	0	0	0	0	0	0	0	0
14. Illinois	IL	L	7,824,556	89,774,513	0	0	0	97,599,069	0	0
15. Indiana	IN	N	0	0	0	0	0	0	0	0
16. Iowa	IA	L	185,185,394	169,054,286	0	20,328,503	0	374,568,183	0	0
17. Kansas	KS	N	0	0	0	0	0	0	0	0
18. Kentucky	KY	N	0	0	0	0	0	0	0	0
19. Louisiana	LA	N	0	0	0	0	0	0	0	0
20. Maine	ME	N	0	0	0	0	0	0	0	0
21. Maryland	MD	N	0	0	0	0	0	0	0	0
22. Massachusetts	MA	N	0	0	0	0	0	0	0	0
23. Michigan	MI	N	0	0	0	0	0	0	0	0
24. Minnesota	MN	N	0	0	0	0	0	0	0	0
25. Mississippi	MS	N	0	0	0	0	0	0	0	0
26. Missouri	MO	N	0	0	0	0	0	0	0	0
27. Montana	MT	N	0	0	0	0	0	0	0	0
28. Nebraska	NE	N	0	0	0	0	0	0	0	0
29. Nevada	NV	N	0	0	0	0	0	0	0	0
30. New Hampshire	NH	N	0	0	0	0	0	0	0	0
31. New Jersey	NJ	N	0	0	0	0	0	0	0	0
32. New Mexico	NM	N	0	0	0	0	0	0	0	0
33. New York	NY	N	0	0	0	0	0	0	0	0
34. North Carolina	NC	N	0	0	0	0	0	0	0	0
35. North Dakota	ND	N	0	0	0	0	0	0	0	0
36. Ohio	OH	N	0	0	0	0	0	0	0	0
37. Oklahoma	OK	N	0	0	0	0	0	0	0	0
38. Oregon	OR	N	0	0	0	0	0	0	0	0
39. Pennsylvania	PA	N	0	0	0	0	0	0	0	0
40. Rhode Island	RI	N	0	0	0	0	0	0	0	0
41. South Carolina	SC	N	0	0	0	0	0	0	0	0
42. South Dakota	SD	N	0	0	0	0	0	0	0	0
43. Tennessee	TN	L	9,371,580	888,648,898	2,766,600,445	0	0	3,664,620,923	0	0
44. Texas	TX	N	0	0	0	0	0	0	0	0
45. Utah	UT	N	0	0	0	0	0	0	0	0
46. Vermont	VT	N	0	0	0	0	0	0	0	0
47. Virginia	VA	L	22,138,031	97,878,742	0	0	0	120,016,773	0	0
48. Washington	WA	N	0	0	0	0	0	0	0	0
49. West Virginia	WV	N	0	0	0	0	0	0	0	0
50. Wisconsin	WI	N	0	0	0	0	0	0	0	0
51. Wyoming	WY	N	0	0	0	0	0	0	0	0
52. American Samoa	AS	N	0	0	0	0	0	0	0	0
53. Guam	GU	N	0	0	0	0	0	0	0	0
54. Puerto Rico	PR	N	0	0	0	0	0	0	0	0
55. U.S. Virgin Islands	VI	N	0	0	0	0	0	0	0	0
56. Northern Mariana Islands	MP	N	0	0	0	0	0	0	0	0
57. Canada	CAN	N	0	0	0	0	0	0	0	0
58. Aggregate other alien	OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal	XXX	224,519,561	1,245,356,439	2,766,600,445	20,328,503	0	0	4,256,804,948	0	0
60. Reporting entity contributions for Employee Benefit Plans	XXX	0	0	0	0	0	0	0	0	0
61. Total (Direct Business)	(a) 4	224,519,561	1,245,356,439	2,766,600,445	20,328,503	0	0	4,256,804,948	0	0
DETAILS OF WRITE-INS										
58001.	XXX									
58002.	XXX									
58003.	XXX									
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0	0

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG; (R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

Explanation of basis of allocation by states, premiums by state, etc.

Premiums are allocated based upon geographic market.

(a) Insert the number of L responses except for Canada and Other Alien.

**SCHEDULE T - PART 2
INTERSTATE COMPACT - EXHIBIT OF PREMIUMS WRITTEN**

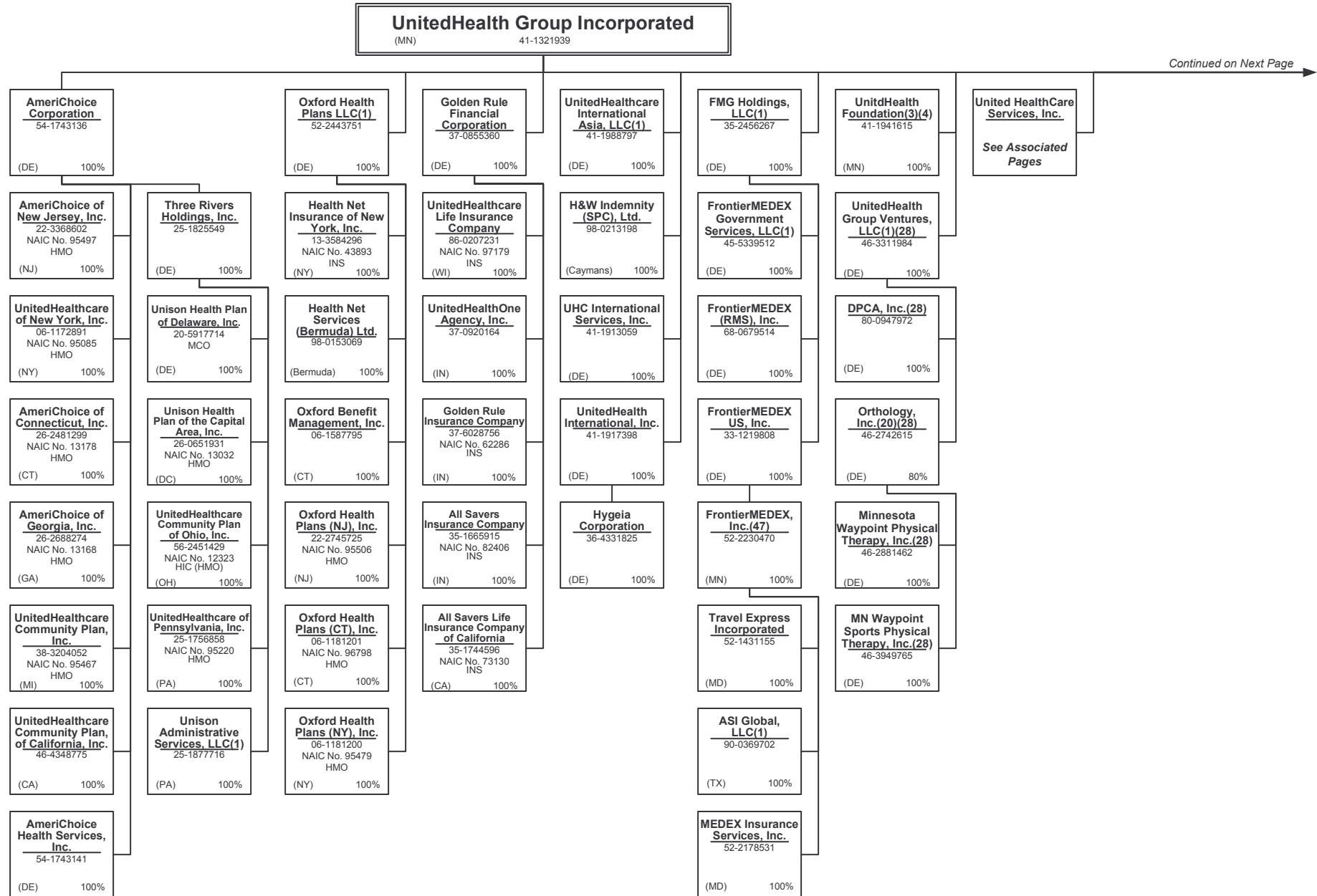
Allocated by States and Territories

States, Etc.	Direct Business Only					Totals
	1 Life (Group and Individual)	2 Annuities (Group and Individual)	3 Disability Income (Group and Individual)	4 Long-Term Care (Group and Individual)	5 Deposit-Type Contracts	
1. Alabama	AL					
2. Alaska	AK					
3. Arizona	AZ					
4. Arkansas	AR					
5. California	CA					
6. Colorado	CO					
7. Connecticut	CT					
8. Delaware	DE					
9. District of Columbia	DC					
10. Florida	FL					
11. Georgia	GA					
12. Hawaii	HI					
13. Idaho	ID					
14. Illinois	IL					
15. Indiana	IN					
16. Iowa	IA					
17. Kansas	KS					
18. Kentucky	KY					
19. Louisiana	LA					
20. Maine	ME					
21. Maryland	MD					
22. Massachusetts	MA					
23. Michigan	MI					
24. Minnesota	MN					
25. Mississippi	MS					
26. Missouri	MO					
27. Montana	MT					
28. Nebraska	NE					
29. Nevada	NV					
30. New Hampshire	NH					
31. New Jersey	NJ					
32. New Mexico	NM					
33. New York	NY					
34. North Carolina	NC					
35. North Dakota	ND					
36. Ohio	OH					
37. Oklahoma	OK					
38. Oregon	OR					
39. Pennsylvania	PA					
40. Rhode Island	RI					
41. South Carolina	SC					
42. South Dakota	SD					
43. Tennessee	TN					
44. Texas	TX					
45. Utah	UT					
46. Vermont	VT					
47. Virginia	VA					
48. Washington	WA					
49. West Virginia	WV					
50. Wisconsin	WI					
51. Wyoming	WY					
52. American Samoa	AS					
53. Guam	GU					
54. Puerto Rico	PR					
55. U.S. Virgin Islands	VI					
56. Northern Mariana Islands	MP					
57. Canada	CAN					
58. Aggregate Other Alien	OT					
59. Total						

NONE

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

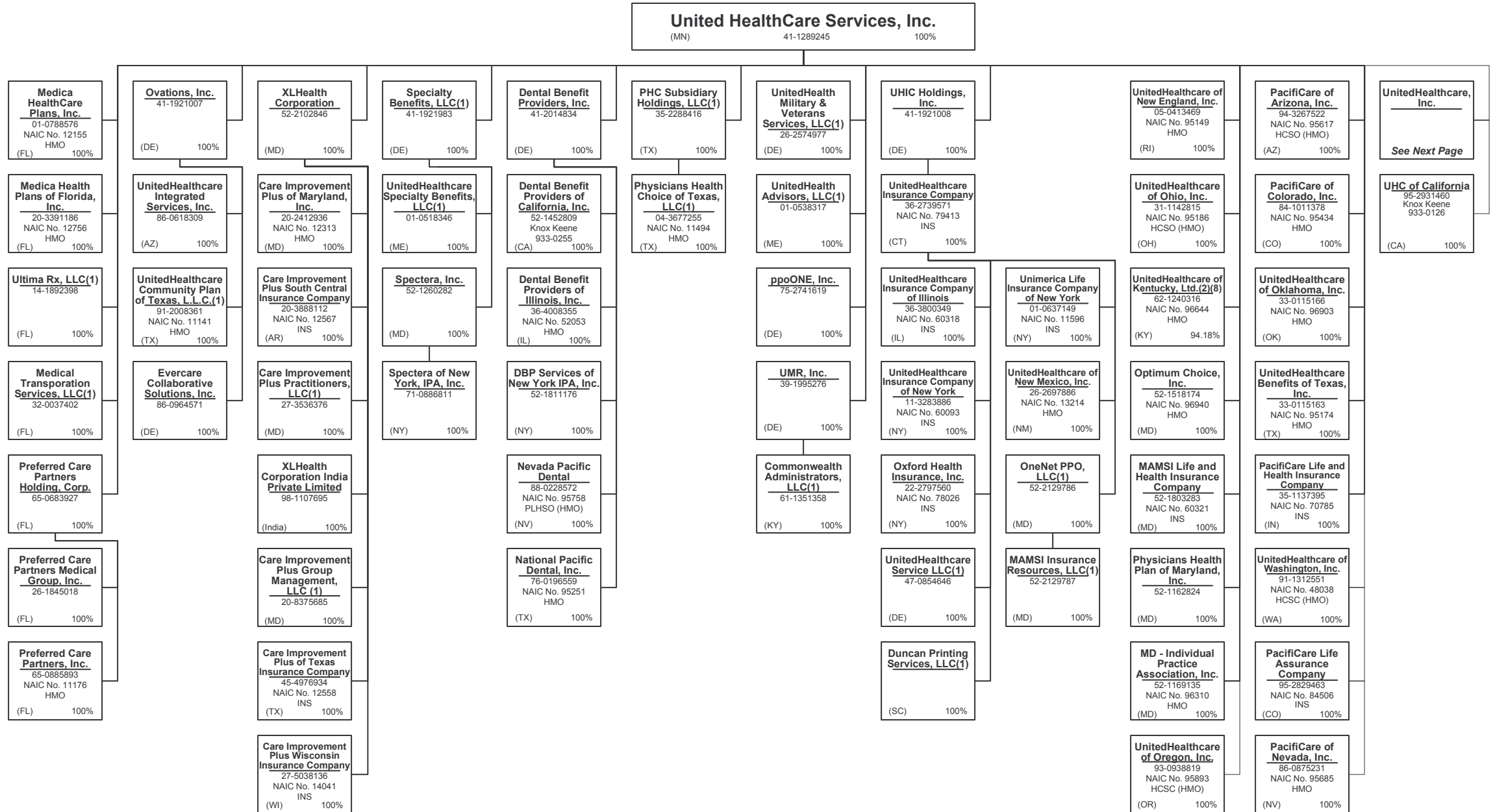
PART 1 - ORGANIZATIONAL CHART



Continued on Next Page →

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

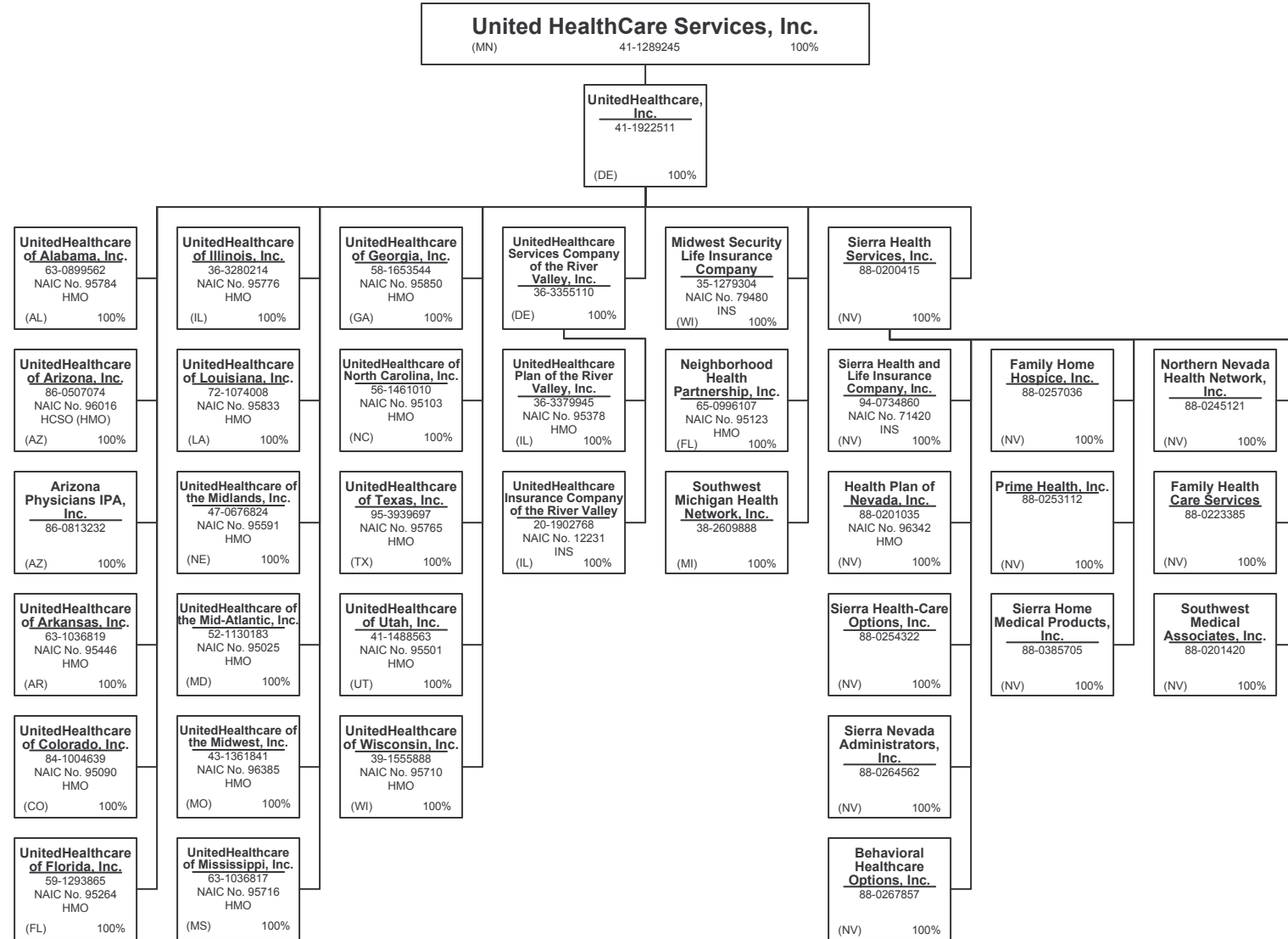
PART 1 - ORGANIZATIONAL CHART



40.2

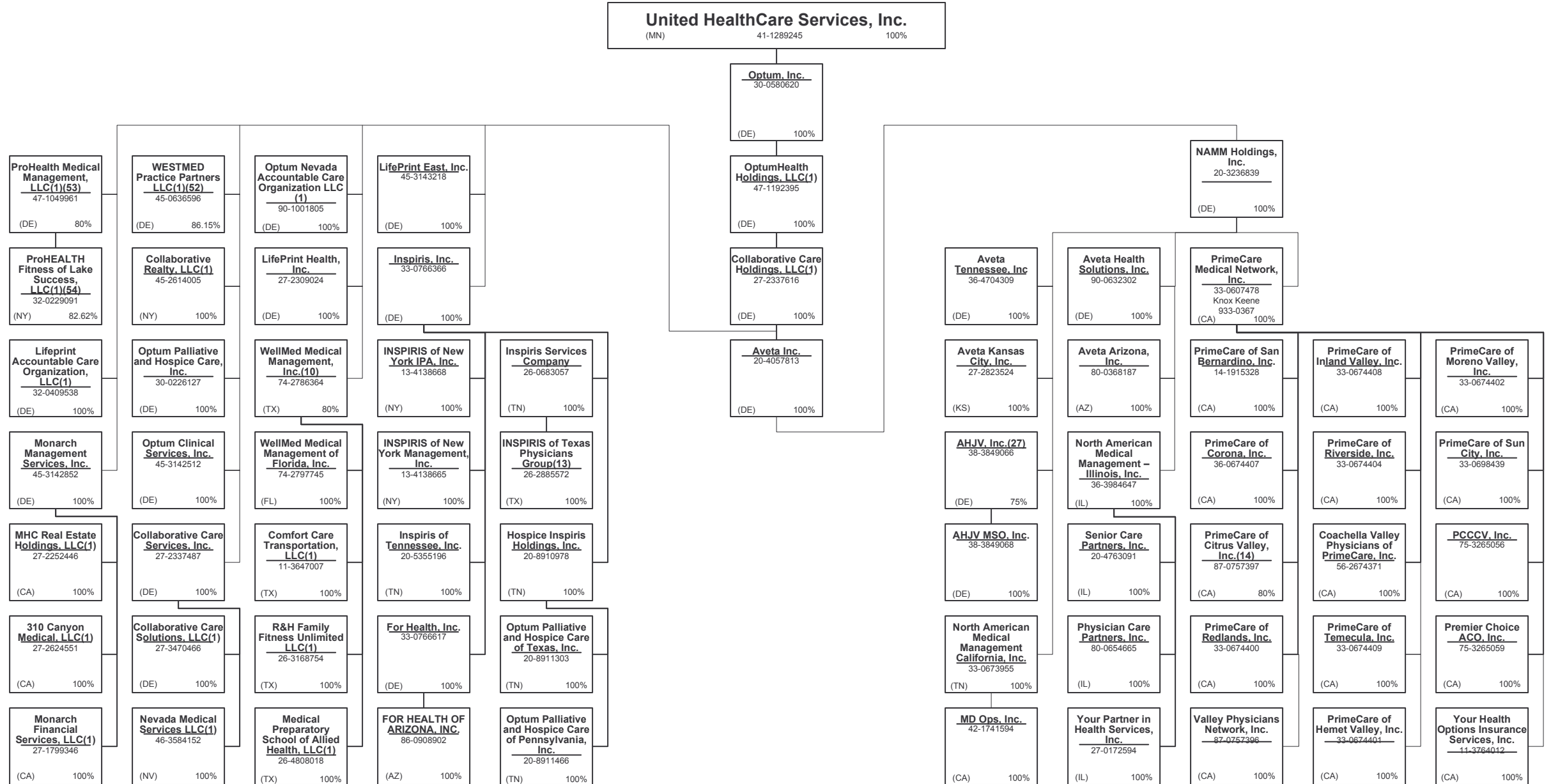
SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

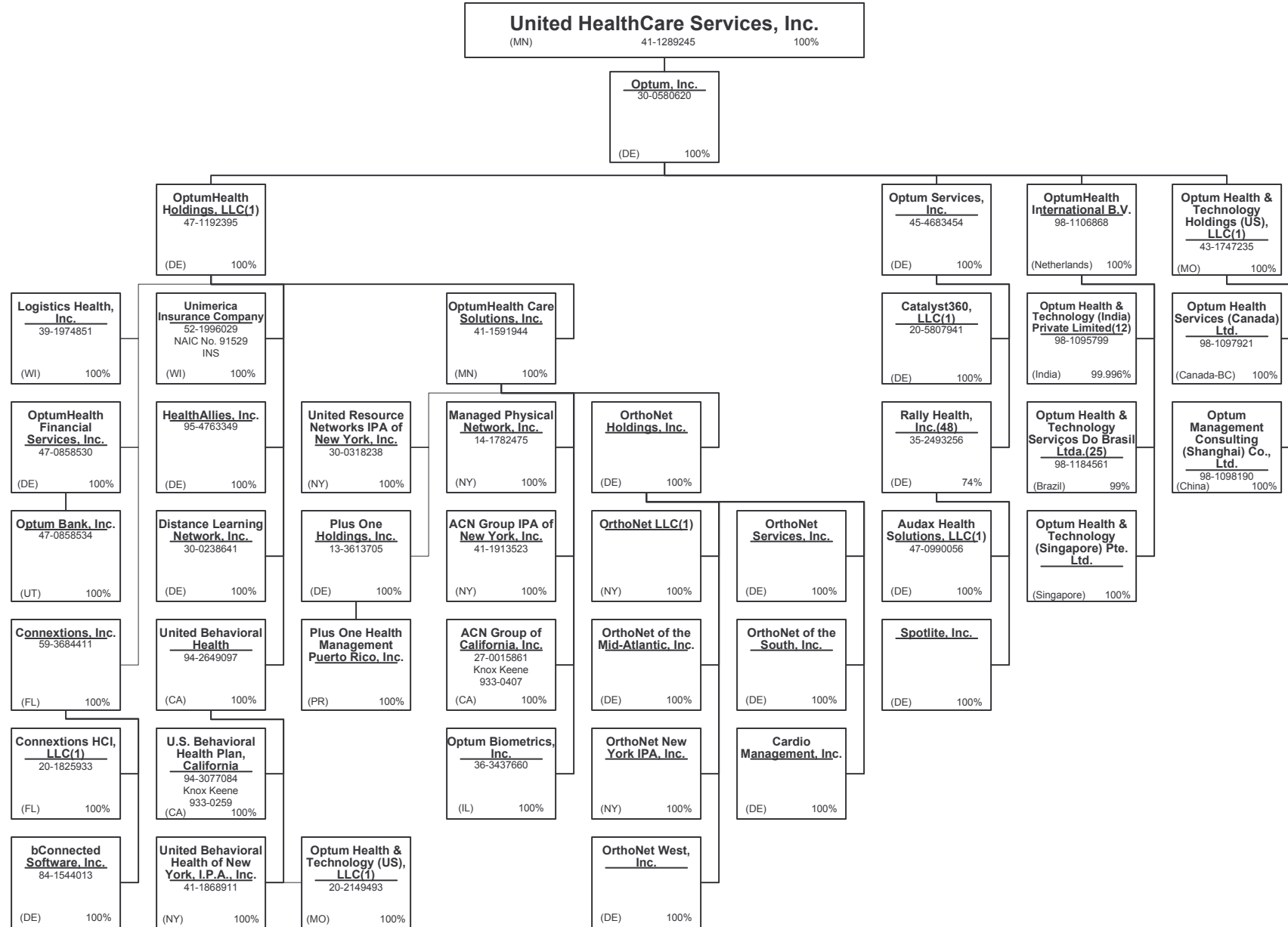
PART 1 - ORGANIZATIONAL CHART



40.4

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

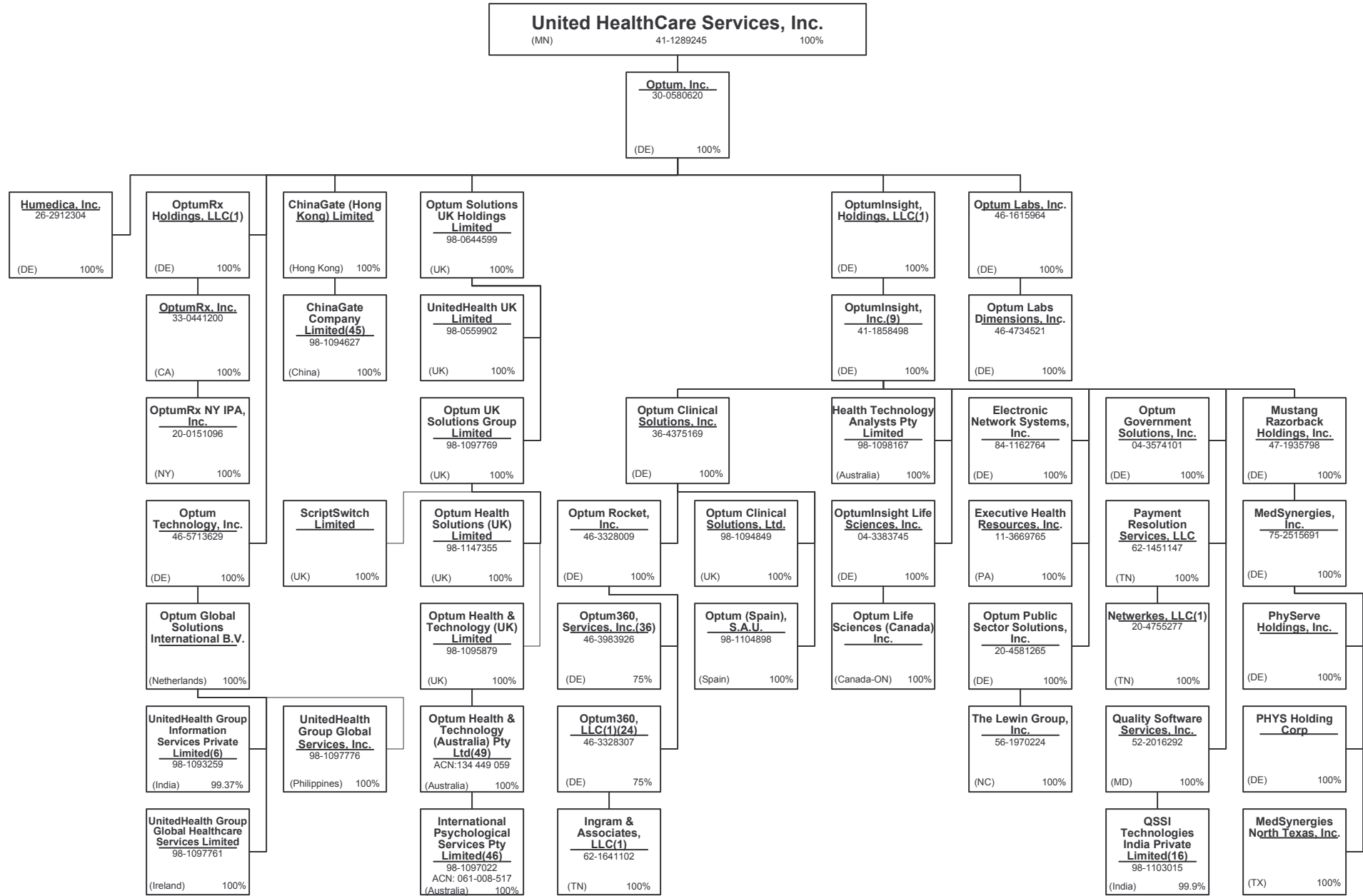
PART 1 - ORGANIZATIONAL CHART



40.5

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART



40.6

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 - ORGANIZATIONAL CHART

Notes

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

- | | | |
|---|---|---|
| <p>(1) Entity is a Limited Liability Company</p> <p>(2) Entity is a Partnership</p> <p>(3) Entity is a Non-Profit Corporation</p> <p>(4) Control of the Foundation is based on sole membership, not the ownership of voting securities</p> <p>(5) Perdicaris Participações Ltda. Is 99.9999% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.0001% owned by ISO Hospital Dia S.A.</p> <p>(6) UnitedHealth Group Information Services Private Limited is 99.37% owned by Optum Global Solutions International B.V. The remaining 0.63% is owned by UnitedHealth International, Inc.</p> <p>(7) United Healthcare India Private Limited is 99.9935% owned by UnitedHealthcare International II B.V. and 0.0065% owned by UnitedHealth International, Inc.</p> <p>(8) General partnership interests are held by United HealthCare Services, Inc. (89.77%) and by UnitedHealthcare, Inc. (10.23%). United HealthCare Services, Inc. also holds 100% of the limited partnership interests. When combined, United HealthCare Services, Inc. owns 94.18% and UnitedHealthcare, Inc. owns 5.83% of the company.</p> <p>(9) Branch office located in Abu Dhabi, UAE.</p> <p>(10) WellMed Medical Management, Inc. is 80% owned by Collaborative Care Holdings, LLC and 20% owned by WMG Healthcare Partners, L.P.</p> <p>(11) Seisa Serviços Integrados de Saúde Ltda is 99.999994% owned by Amil Assistência Médica Internacional S.A. and 0.000006% owned by Dental Center Serviços Odontológicos Ltda.</p> <p>(12) Optum Health & Technology (India) Private Limited is 99.996% owned by OptumHealth International B.V. and 0.004 % owned by United Behavioral Health.</p> <p>(13) INSPIRIS of Texas Physicians Group is a Texas non-profit (taxable) whose sole member is Inspiris Services Company.</p> <p>(14) PrimeCare of Citrus Valley, Inc. is 80% owned by PrimeCare Medical Network, Inc. and 20% owned by Citrus Valley Medical Associates, Inc.</p> <p>(15) TBD</p> <p>(16) QSSI Technologies India Private Limited is 99.9% owned by Quality Software Services, Inc. and 0.1% owned by an Indian citizen.</p> <p>(17) Amico Saúde Ltda. is 99.9999996% owned by Amil Assistência Médica Internacional S.A. and 0.0000004% owned by an officer of Amil.</p> <p>(18) Esho – Empresa de Serviços Hospitalares S.A. is 98.886822% owned by Amil Assistência Médica Internacional S.A.; 0.042571% owned by Treasury Shares and 1.070607% owned by external shareholders.</p> | <p>(19) Etho – Empresa de Tecnologia Hospitalar Ltda. 50.01% owned by Amil Assistência Médica Internacional S.A. and 49.99% owned by an external shareholder.</p> <p>(20) Orthology, Inc. is 80% owned by UnitedHealth Group Ventures, LLC and 20% owned by external shareholders. (21) Excellion Serviços Biomédicos S.A. is 99.98% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.02% owned by external shareholders.</p> <p>(22) Branch offices in Iraq and Uganda.</p> <p>(23) Cemed Care Empresa de Atendimento Clínico Geral Ltda. Is 99.999999% owned by Amil Assistência Médica Internacional S.A. and 0.000001% owned by an officer of Amil.</p> <p>(24) Optum 360, LLC is 75% owned by Optum Rocket, Inc. and 25% owned by an external interest holder.</p> <p>(25) Optum Health & Technology Serviços Do Brasil Ltda. is 99% owned by OptumHealth International B.V. and 1 % owned by OptumInsight, Inc.</p> <p>(26) Bosque Medical Center S.A. is 94.917% owned by Amil Assistência Médica Internacional S.A. and 5.083% owned by Esho – Empresa de Serviços Hospitalares S.A.</p> <p>(27) AHJV, Inc. is 75% owned by NAMM Holdings, Inc. and 25% owned by Humana, Inc.</p> <p>(28) Entity is majority-owned by UHG or one of its affiliates. Corporate secretarial services for this entity are the responsibility of the portfolio company.</p> <p>(29) Promarket Propaganda e Marketing Ltda. is 99.79% owned by Amil Assistência Médica Internacional S.A. and 0.21% owned by Amico Saúde Ltd.</p> <p>(30) Amil Clinical Research Participações Ltda. is 99.95% owned by Amil Lifesciences Participações Ltda. and 0.05% owned by an officer of Amil.</p> <p>(31) Imed Star Serviços de Desempenho Organizacional Ltda. is 99.92% owned by Amil Assistência Médica Internacional S.A. and 0.08% owned by Amico Saúde Ltd.</p> <p>(32) HPP – Medicina Molecular, S.A. is 59.99852% owned by LMN - Laboratórios de Medicina Nuclear, Unipessoal, Lda. And 40.00148% owned by Lusíadas, S.A.</p> <p>(33) Hospital Alvorada Taguatinga Ltda. Is 99.99% owned by Amil Assistência Médica Internacional S.A. and 0.000001% owned by an officer of Amil.</p> <p>(34) Amil Lifesciences Participações Ltda. Is 99.99928% owned by Amil Assistência Médica Internacional S.A. and 0.00072% owned by an officer of Amil.</p> <p>(35) FrontierMedex Kenya Limited is 99.9% owned by FrontierMEDEX Limited and 0.1% owned by UnitedHealthcare International I B.V.</p> <p>(36) Optum360 Services, Inc. is 75% owned by Optum Rocket, Inc. and 25% owned by an external interest holder.</p> <p>(37) The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC (14.9292%), Hygeia Corporation (DE) (0.2028%) and UnitedHealth Group Incorporated (84.868%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P.</p> <p>(38) Polar II Fundo de Investimento em Participações is a Brazilian private equity investment fund incorporated in the form of a closed-end condominium.</p> | <p>(39) TBD</p> <p>(40) Amil Assistência Médica Internacional S.A. is 90.23% owned by Polar II Fundo de Investimento em Participações and the remaining 9.77% is owned by the former controlling shareholders of Amil Assistência Médica Internacional S.A.</p> <p>(41) Lusíadas A.C.E. is 67% owned by Lusíadas, SGPS, S.A., 10% owned by Lusíadas, S.A., 10% owned by Lusíadas – Parcerias Cascais, S.A., 5% owned by LMN - Laboratórios de Medicina Nuclear, Unipessoal, Lda., 5% owned by HPP – Medicina Molecular, S.A. and 3% owned by HPP Viseu, S.A.</p> <p>(42) HPP Viseu, S.A. is 65% owned by Lusíadas, SGPS, S.A. The remaining 35% is jointly owned VISABEIRA Saúde - Serviços de Saúde, S.A., VISABEIRA Participações Financeiras, SGPS, S.A., VISABEIRA Investimentos Financeiros SGPS, S.A. and Ciclorama - Estudos, Projectos e Produções, Lda.</p> <p>(43) Frontier Medex Tanzania Limited is 99% owned by FrontierMEDEX Limited. The remaining 1% is owned by an officer of FrontierMEDEX Limited.</p> <p>(44) TBD</p> <p>(45) Liaison office located in Beijing.</p> <p>(46) Branch office located in Hong Kong.</p> <p>(47) Representative office in Beijing</p> <p>(48) The remaining 26% is owned by internal and external investors.</p> <p>(49) Branch office located in Hong Kong.</p> <p>(50) Dental Center Serviços Odontológicos Ltda. is 65.28% owned by Seisa Serviços Integrados de Saúde Ltda. and 34.72% owned by Amil Assistência Médica Internacional S.A.</p> <p>(51) Hospital AMA S.A. is 50.48% owned by Esho – Empresa de Serviços Hospitalares S.A. and 49.52% owned by Seisa Serviços Integrados de Saúde Ltda.</p> <p>(52) WESTMED Practice Partners LLC is 86.15% owned by Collaborative Care Holdings, LLC and 13.85% owned by external shareholders.</p> <p>(53) ProHealth Medical Management, LLC is 80% owned by Collaborative Care Holdings, LLC and 20% owned by an external shareholder.</p> <p>(54) PROHEALTH FITNESS OF LAKE SUCCESS, LLC IS 82.62% owned by ProHealth Medical Management, LLC and 17.38% by an external shareholder.</p> <p>(55) Hospital e Maternidade Saint-Vivant Ltda. is 99.9999% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.00001% owned by Cemed Care Empresa de Atendimento Clínico Geral Ltda.</p> <p>(56) Hospital Geral e Maternidade Madre Maria Theodora Ltda. is 99.9999% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.00001% owned by Cemed Care Empresa de Atendimento Clínico Geral Ltda.</p> <p>(57) Angiografia e Hemodinâmica Madre Theodora Ltda. Is 50% owned by Hospital Geral e Maternidade Madre Maria Theodora Ltda. And 50% owned by 28 individual partners.</p> |
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SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000	27-2624551				310 Canyon Medical, LLC	CA	NIA	Monarch Management Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	41-1913523				ACN Group IPA of New York, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	27-0015861				ACN Group of California, Inc.	CA	IA	OptumHealth Care Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	38-3849068				AHJV MSO, Inc.	DE	NIA	AHJV, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	38-3849066				AHJV, Inc.	CA	NIA	NAMM Holdings, Inc.	Ownership	.75.000	UnitedHealth Group Incorporated	1
.0707	UnitedHealth Group Incorporated	.82406	35-1665915				All Savers Insurance Company	IN	IA	Golden Rule Financial Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
							All Savers Life Insurance Company of California	CA	IA	Golden Rule Financial Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.73130	35-1744596				AmeriChoice Corporation	DE	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	54-1743136				AmeriChoice Health Services, Inc.	DE	NIA	AmeriChoice Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	54-1743141				AmeriChoice of Connecticut, Inc.	CT	IA	AmeriChoice Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.13178	26-2481299				AmeriChoice of Georgia, Inc.	GA	IA	AmeriChoice Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.13168	26-2688274				AmeriChoice of New Jersey, Inc.	NJ	IA	AmeriChoice Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
		.95497	22-3368602							Amil Assistência Médica Internacional S.A.	Ownership	.99.998	UnitedHealth Group Incorporated	2
		.00000	98-1108620				Amico Saúde Ltda.	BRA	NIA	Polar II Fundo de Investimento em Participações	Ownership	.90.230	UnitedHealth Group Incorporated	3
		.00000					Amil Clinical Research Participações Ltda.	BRA	NIA	Amil Lifesciences Participações Ltda.	Ownership	.99.950	UnitedHealth Group Incorporated	2
		.00000	98-1109085				AMIL Internacional S.á.r.l.	LUX	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1110579				Amil Lifesciences Participações Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.99.999	UnitedHealth Group Incorporated	2
		.00000					Angiografia e Hemodinâmica Madre Theodora Ltda.	BRA	NIA	Hospital Geral e Maternidade Madre Maria Theodora Ltda.	Ownership	.50.000	UnitedHealth Group Incorporated	4
		.00000	86-0813232				Arizona Physicians IPA, Inc.	AZ	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	90-0369702				ASI Global, LLC	TX	NIA	FrontierMEDEX, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	47-0990056				Audax Health Solutions, LLC	DE	NIA	Rally Health, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	80-0368187				Aveta Arizona, Inc.	AZ	NIA	NAMM Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	90-0632302				Aveta Health Solutions Inc.	DE	NIA	NAMM Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-4057813				Aveta Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	27-2823524				Aveta Kansas City, Inc.	KS	NIA	NAMM Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	36-4704309				Aveta Tennessee, Inc.	DE	NIA	NAMM Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	84-1544013				bConnected Software, Inc.	DE	NIA	Connexions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	88-0267857				Behavioral Healthcare Options, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1112673				Bosque Medical Center S.A.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.94.920	UnitedHealth Group Incorporated	
		.00000	98-1112673				Bosque Medical Center S.A.	BRA	NIA	Esho - Empresa de Serviços Hospitalares S.A.	Ownership	.5.080	UnitedHealth Group Incorporated	
		.00000					Cardio Management, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-8375685				Care Improvement Plus Group Management, LLC	MD	NIA	XLHealth Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.12313	20-2412936				Care Improvement Plus of Maryland, Inc.	MD	IA	XLHealth Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
		.12558	45-4976934				Care Improvement Plus of Texas Insurance Company	TX	IA	XLHealth Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.00000	27-3536376				Care Improvement Plus Practitioners, LLC	MD	NIA	XLHealth Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
		.12567	20-3888112				Care Improvement Plus South Central Insurance Company	AR	IA	XLHealth Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.14041	27-5038136				Care Improvement Plus Wisconsin Insurance Company	WI	IA	XLHealth Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-5807941				Catalyst360, LLC	DE	NIA	Optum Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000	98-1111491				Cemed Care Empresa de Atendimento Clínico Geral Ltda.	.BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.99.990	UnitedHealth Group Incorporated	2
		.00000					ChinaGate (Hong Kong) Limited	.HKG	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1094627				ChinaGate Company Limited	.CHN	NIA	ChinaGate (Hong Kong) Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	56-2674371				Coachella Valley Physicians of PrimeCare, Inc.	.CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	27-2337616				Collaborative Care Holdings, LLC	.DE	NIA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	27-2337487				Collaborative Care Services, Inc.	.DE	NIA	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	27-3470466				Collaborative Care Solutions, LLC	.DE	NIA	Collaborative Care Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	45-2614005				Collaborative Realty, LLC	.NY	NIA	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	11-3647007				Comfort Care Transportation, LLC	.TX	NIA	WellMed Medical Management, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	61-1351358				Commonwealth Administrators, LLC	.KY	NIA	UMR, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-1825933				Connexions HCI, LLC	.FL	NIA	Connexions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	59-3684411				Connexions, Inc.	.FL	NIA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	52-1811176				DBP Services of New York IPA, Inc.	.NY	NIA	Dental Benefit Providers, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	52-1452809				Dental Benefit Providers of California, Inc.	.CA	.IA	Dental Benefit Providers, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	52053	36-4008355				Dental Benefit Providers of Illinois, Inc.	.IL	.IA	Dental Benefit Providers, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	41-2014834				Dental Benefit Providers, Inc.	.DE	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1203407				Dental Center Serviços Odontológicos Ltda.	.BRA	NIA	Seisa Serviços Integrados de Saúde Ltda.	Ownership	.65.280	UnitedHealth Group Incorporated	
		.00000	98-1203407				Dental Center Serviços Odontológicos Ltda.	.BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.34.720	UnitedHealth Group Incorporated	
		.00000	30-0238641				Distance Learning Network, Inc.	.DE	NIA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	80-0947972				DPCA, Inc.	.DE	NIA	UnitedHealth Group Ventures, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					Duncan Printing Services, LLC	.SC	NIA	UnitedHealthcare Insurance Company	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	84-1162764				Electronic Network Systems, Inc.	.DE	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1103713				ELG FZE	.ARE	NIA	FrontierMEDEX Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1111172				Esho – Empresa de Serviços Hospitalares S.A.	.BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.98.890	UnitedHealth Group Incorporated	5
		.00000					Etho – Empresa de Tecnologia Hospitalar Ltda.	.BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.50.010	UnitedHealth Group Incorporated	5
		.00000	86-0964571				Evercare Collaborative Solutions, Inc.	.DE	NIA	Ovations, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	5
		.00000	98-1111239				Excellion Serviços Biomédicos S.A.	.BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	.99.990	UnitedHealth Group Incorporated	5
		.00000	11-3669765				Executive Health Resources, Inc.	.PA	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					Exploration for Mine Clearance LLC	.IRQ	NIA	FrontierMEDEX Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1113428				Exploration Logistics BC Ltd.	.CAN	NIA	FrontierMEDEX Canada Limited	Ownership	.100.000	UnitedHealth Group Incorporated	6
		.00000	88-0223385				Family Health Care Services	.NV	NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	88-0257036				Family Home Hospice, Inc.	.NV	NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	35-2456267				FMG Holdings, LLC	.DE	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	86-0908902				FOR HEALTH OF ARIZONA, INC.	.AZ	NIA	For Health, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	33-0766617				For Health, Inc.	.DE	NIA	Inspiris, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1101521				Frontier MEDEX Limited	.IRQ	NIA	FrontierMEDEX Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					Frontier Medex Tanzania Limited	.TZA	NIA	FrontierMEDEX Limited	Ownership	.99.000	UnitedHealth Group Incorporated	2
		.00000	68-0679514				FrontierMEDEX (RMS), Inc.	.DE	NIA	FMG Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1103497				FrontierMEDEX Canada Holdings Ltd.	.CAN	NIA	UnitedHealthcare International I B.V.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1104429				FrontierMEDEX Canada Limited	.CAN	NIA	FrontierMEDEX Canada Holdings Ltd.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	45-5339512				FrontierMEDEX Government Services, LLC	.DE	NIA	FMG Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1147103				FrontierMedex Kenya Limited	.KEN	NIA	FrontierMEDEX Limited	Ownership	.99.900	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000	98-1147103				FrontierMedex Kenya Limited	.KEN	NIA	UnitedHealthcare International I B.V.	Ownership	.0.100	UnitedHealth Group Incorporated	
		.00000					FrontierMEDEX Limited	.GBR	NIA	UnitedHealthcare International I B.V.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	33-1219808				FrontierMEDEX US, Inc.	.DE	NIA	FMG Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	52-2230470				FrontierMEDEX, Inc.	.MN	NIA	FrontierMEDEX US, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.62286	37-6028756	3057283			Golden Rule Financial Corporation	.DE	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-0213198				Golden Rule Insurance Company	.IN	IA	Golden Rule Financial Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.43893	13-3584296				H&W Indemnity (SPC), Ltd.	.CYM	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-0153069				Health Net Insurance of New York, Inc.	.NY	IA	Oxford Health Plans LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.96342	88-0201035				Health Net Services (Bermuda) Ltd.	.BMU	NIA	Oxford Health Plans LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1098167				Health Plan of Nevada, Inc.	.NV	IA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	95-4763349				Health Technology Analysts Pty Limited	.AUS	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-8910978				HealthAllies, Inc.	.DE	NIA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					Hospice Inspiris Holdings, Inc.	.TN	NIA	Inspiris, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1111920				Hospital Alvorada de Taguatinga Ltda.	.BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.99.990	UnitedHealth Group Incorporated	2
		.00000	98-1202916				Hospital AMA S.A.	.BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	.50.480	UnitedHealth Group Incorporated	
		.00000	98-1202916				Hospital AMA S.A.	.BRA	NIA	Seisa Serviços Integrados de Saúde Ltda.	Ownership	.49.520	UnitedHealth Group Incorporated	
		.00000	98-1203135				Hospital Carlos Chagas S.A.	.BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					Hospital e Maternidade Saint-Vivant Ltda.	.BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					Hospital e Maternidade Saint-Vivant Ltda.	.BRA	NIA	Cemed Care Empresa de Atendimento Clínico Geral Ltda.	Ownership	.0.000	UnitedHealth Group Incorporated	
		.00000	98-1209342				Hospital Geral e Maternidade Madre Maria Theodora Ltda.	.BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1209342				Hospital Geral e Maternidade Madre Maria Theodora Ltda.	.BRA	NIA	Cemed Care Empresa de Atendimento Clínico Geral Ltda.	Ownership	.0.000	UnitedHealth Group Incorporated	
		.00000					HPP – Medicina Molecular, S.A.	.PRT	NIA	LMN – Laboratório de Medicina Nuclear, Unipessoal, Lda.	Ownership	.59.999	UnitedHealth Group Incorporated	
		.00000					HPP – Medicina Molecular, S.A.	.PRT	NIA	Lusíadas, S.A.	Ownership	.40.001	UnitedHealth Group Incorporated	
		.00000	98-1139169				HPP Viseu, S.A.	.PRT	NIA	Lusíadas, SGPS, S.A.	Ownership	.65.000	UnitedHealth Group Incorporated	7
		.00000	26-2912304				Humedica, Inc.	.DE	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	36-4331825				Hygeia Corporation	.DE	NIA	UnitedHealth International, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1099968				Hygeia Corporation (Ontario)	.CAN	NIA	UnitedHealth Group International L.P.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					Imed Star – Serviços de Desempenho Organizacional Ltda.	.BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.99.920	UnitedHealth Group Incorporated	
		.00000					Imed Star – Serviços de Desempenho Organizacional Ltda.	.BRA	NIA	Amico Saúde Ltda.	Ownership	.0.080	UnitedHealth Group Incorporated	
		.00000	62-1641102				Ingram & Associates, LLC	.TN	NIA	Optum360, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	13-4138668				INSPIRIS of New York IPA, Inc.	.NY	NIA	Inspiris, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	13-4138665				INSPIRIS of New York Management, Inc.	.NY	NIA	Inspiris, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-5355196				Inspiris of Tennessee, Inc.	.TN	NIA	Inspiris, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	26-2885572				INSPIRIS of Texas Physician Group	.TX	NIA	Inspiris Services Company	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	26-0683057				Inspiris Services Company	.TN	NIA	Inspiris, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	33-0766366				Inspiris, Inc.	.DE	NIA	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1097022				International Psychological Services Pty Limited	.AUS	NIA	Optum Health & Technology (Australia) Pty. Ltd.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	32-0409538				Lifeprint Accountable Care Organization, LLC	.DE	NIA	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	

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SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.0000	45-3143218				Lifeprint East, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	27-2309024				LifePrint Health, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000					LMN - Laboratório de Medicina Nuclear, Unipessoal, Lda.	PRT	NIA	Lusiadas, S.A.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	39-1974851				Logistics Health, Inc.	WI	NIA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	98-1139095				Lusiadas A.C.E.	PRT	NIA	Lusiadas, SGPS, S.A.	Ownership	.67.000	UnitedHealth Group Incorporated	
		.0000	98-1139095				Lusiadas A.C.E.	PRT	NIA	Lusiadas, S.A.	Ownership	.10.000	UnitedHealth Group Incorporated	
		.0000	98-1139095				Lusiadas A.C.E.	PRT	NIA	Lusiadas-Parcerias Cascais, S.A.	Ownership	.10.000	UnitedHealth Group Incorporated	
		.0000	98-1139095				Lusiadas A.C.E.	PRT	NIA	HPP - Medicina Molecular, S.A.	Ownership	.5.000	UnitedHealth Group Incorporated	
		.0000	98-1139095				Lusiadas A.C.E.	PRT	NIA	LMN - Laboratório de Medicina Nuclear, Unipessoal, Lda.	Ownership	.5.000	UnitedHealth Group Incorporated	
		.0000	98-1139095				Lusiadas A.C.E.	PRT	NIA	HPP Viseu, S.A.	Ownership	.3.000	UnitedHealth Group Incorporated	
		.0000	98-1139089				Lusiadas, S.A.	PRT	NIA	Lusiadas, SGPS, S.A.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	98-1138570				Lusiadas, SGPS, S.A.	PRT	NIA	Amil International S.á.r.l.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	98-1137620				Lusiadas-Parcerias Cascais, S.A.	PRT	NIA	Lusiadas, SGPS, S.A.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	52-2129787				MAISI Insurance Resources, LLC	MD	NIA	OneNet PPO, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.60321	52-1803283				MAISI Life and Health Insurance Company	MD	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	14-1782475				Managed Physical Network, Inc.	NY	NIA	OptumHealth Care Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	42-1741594				MD Ops, Inc.	CA	NIA	North American Medical Management California, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.96310	52-1169135				MD-Individual Practice Association, Inc.	MD	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	52-2178531				MEDEX Insurance Services, Inc.	MD	NIA	FrontierMEDEX, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.12756	20-3391186				Medica Health Plans of Florida, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.12155	01-0788576				Medica HealthCare Plans, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	26-4808018				Medical Preparatory School of Allied Health, LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	32-0037402				Medical Transportation Services, LLC	FL	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000					MedSynergies North Texas, Inc.	TX	NIA	MedSynergies, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	75-2515691				MedSynergies, Inc.	DE	NIA	Mustang Razorback Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	27-2252446				MHC Real Estate Holdings, LLC	CA	NIA	Monarch Management Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.79480	35-1279304				Midwest Security Life Insurance Company	WI	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	46-2881462				Minnesota Waypoint Physical Therapy, Inc.	DE	NIA	Orthology, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	46-3949765				MN Waypoint Sports Physical Therapy, Inc.	DE	NIA	Orthology, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	27-1799346				Monarch Financial Services, LLC	CA	NIA	Monarch Management Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	45-3142852				Monarch Management Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	47-1935798				Mustang Razorback Holdings, Inc.	DE	NIA	Optuminsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	20-3236839				NAMI Holdings, Inc.	DE	NIA	Aveta Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95251	76-0196559				National Pacific Dental, Inc.	TX	IA	Dental Benefit Providers, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95123	65-0996107				Neighborhood Health Partnership, Inc.	FL	IA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	20-4755277				Netwerkes, LLC	TN	NIA	Payment Resolution Services, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	46-3584152				Nevada Medical Services LLC	NV	NIA	Collaborative Care Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95758	88-0228572				Nevada Pacific Dental	NV	IA	Dental Benefit Providers, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	36-3984647				North American Medical Management - Illinois, Inc.	IL	NIA	NAMI Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000					North American Medical Management California, Inc.	TN	NIA	NAMI Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	88-0245121				Northern Nevada Health Network, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	52-2129786				OneNet PPO, LLC	MD	NIA	UnitedHealthcare Insurance Company	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.96940	52-1518174				Optimum Choice, Inc.	MD	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	98-1104898				Optum (Spain), S.A.U.	ESP	NIA	Optum Clinical Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.0000	47-0858534	3202702			Optum Bank, Inc.	UT	NIA	OptumHealth Financial Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		.00000	36-3437660				Optum Biometrics, Inc.	IL	NIA	OptumHealth Care Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	45-3142512				Optum Clinical Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	36-4375169	3886791			Optum Clinical Solutions, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1094849				Optum Clinical Solutions, Ltd.	GBR	NIA	Optum Clinical Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					Optum Global Solutions International B.V.	NLD	NIA	Optum Technology, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	04-3574101				Optum Government Solutions, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					Optum Health & Technology (Australia) Pty Ltd	AUS	NIA	Optum Health & Technology (UK) Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1095799				Optum Health & Technology (India) Private Limited	IND	NIA	OptumHealth International B.V.	Ownership	.99.996	UnitedHealth Group Incorporated	
		.00000	98-1095799				Optum Health & Technology (India) Private Limited	IND	NIA	United Behavioral Health	Ownership	.0.004	UnitedHealth Group Incorporated	
		.00000					Optum Health & Technology (Singapore) Pte. Ltd.	SGP	NIA	OptumHealth International B.V.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1095879				Optum Health & Technology (UK) Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-2149493				Optum Health & Technology (US), LLC	MO	NIA	United Behavioral Health	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	43-1747235				Optum Health & Technology Holdings (US), LLC	MO	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1184561				Optum Health & Technology Serviços Do Brasil Ltda.	BRA	NIA	OptumHealth International B.V.	Ownership	.99.000	UnitedHealth Group Incorporated	
		.00000	98-1184561				Optum Health & Technology Serviços Do Brasil Ltda.	BRA	NIA	OptumInsight, Inc.	Ownership	.1.000	UnitedHealth Group Incorporated	
		.00000	98-1097921				Optum Health Services (Canada) Ltd.	CAN	NIA	Optum Health & Technology Holdings (US), LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1147355				Optum Health Solutions (UK) Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	46-4734521				Optum Labs Dimensions, Inc.	DE	NIA	Optum Labs, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	46-1615964				Optum Labs, Inc.	DE	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1209730				Optum Life Sciences (Canada) Inc.	CAN	NIA	OptumInsight Life Sciences, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1098190				Optum Management Consulting (Shanghai) Co., Ltd.	CHN	NIA	Optum Health & Technology Holdings (US), LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	90-1001805				Optum Nevada Accountable Care Organization LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-8911466				Optum Palliative and Hospice Care of Pennsylvania, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-8911303				Optum Palliative and Hospice Care of Texas, Inc.	TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	30-0226127				Optum Palliative and Hospice Care, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-4581265				Optum Public Sector Solutions, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	46-3328009				Optum Rocket, Inc.	DE	NIA	Optum Clinical Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	45-4683454				Optum Services, Inc.	DE	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-0644599				Optum Solutions UK Holdings Limited	GBR	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	46-5713629				Optum Technology, Inc.	DE	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	6
		.00000	98-1097769				Optum UK Solutions Group Limited	GBR	NIA	Optum Solutions UK Holdings Limited	Ownership	.100.000	UnitedHealth Group Incorporated	6
		.00000	30-0580620	3119994			Optum, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	46-3983926				Optum360 Services, Inc.	DE	NIA	Optum Rocket, Inc.	Ownership	.75.000	UnitedHealth Group Incorporated	6
		.00000	46-3328307				Optum360, LLC	DE	NIA	Optum Rocket, Inc.	Ownership	.75.000	UnitedHealth Group Incorporated	6
		.00000	41-1591944				OptumHealth Care Solutions, Inc.	MIN	NIA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	47-0858530				OptumHealth Financial Services, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	47-1192395				OptumHealth Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1106868				OptumHealth International B.V.	NLD	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					OptumInsight Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	

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ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		.00000	04-3383745				OptumInsight Life Sciences, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	41-1858498				OptumInsight, Inc.	DE	NIA	OptumInsight Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					OptumRx Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-0151096				OptumRx NY IPA, Inc.	NY	NIA	OptumRx, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	33-0441200				OptumRx, Inc.	CA	NIA	OptumRx Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					OrthoNet Holdings, Inc.	DE	NIA	OptumHealth Care Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					OrthoNet LLC	NY	NIA	OrthoNet Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					OrthoNet New York IPA, Inc.	NY	NIA	OrthoNet Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					OrthoNet of the Mid-Atlantic, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					OrthoNet of the South, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					OrthoNet Services, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					OrthoNet West, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	41-1921007				Ovations, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	06-1587795				Oxford Benefit Management, Inc.	CT	NIA	Oxford Health Plans LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.78026	22-2797560				Oxford Health Insurance, Inc.	NY	IA	UnitedHealthcare Insurance Company	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.96798	06-1181201				Oxford Health Plans (CT), Inc.	CT	IA	Oxford Health Plans LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95506	22-2745725				Oxford Health Plans (NJ), Inc.	NJ	IA	Oxford Health Plans LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95479	06-1181200				Oxford Health Plans (NY), Inc.	NY	IA	Oxford Health Plans LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	52-2443751				Oxford Health Plans LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.70785	35-1137395				PacificCare Life and Health Insurance Company	IN	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.84506	95-2829463				PacificCare Life Assurance Company	CO	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95617	94-3267522				PacificCare of Arizona, Inc.	AZ	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95434	84-1011378				PacificCare of Colorado, Inc.	CO	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.95685	86-0875231				PacificCare of Nevada, Inc.	NV	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	62-1451147				Payment Resolution Services, LLC	TN	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	75-3265056				PCCCV, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	35-2288416				PHC Subsidiary Holdings, LLC	TX	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					PHYS Holding Corp.	DE	NIA	MedSynergies, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					PhyServe Holdings, Inc.	DE	NIA	MedSynergies, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	80-0654665				Physician Care Partners, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.11494	04-367255				Physicians Health Choice of Texas, LLC	TX	IA	PHC Subsidiary Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	52-1162824				Physicians Health Plan of Maryland, Inc.	MD	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					Plus One Health Management Puerto Rico, Inc.	PR	NIA	Plus One Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	13-3613705				Plus One Holdings, Inc.	DE	NIA	OptumHealth Care Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1083164				Polar II Fundo de Investimento em Participações	BRA	NIA	UnitedHealthcare International IV S.á.r.l.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	75-2741619				ppoONE, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	65-0683927				Preferred Care Partners Holding, Corp.	FL	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	26-1845018				Preferred Care Partners Medical Group, Inc.	FL	NIA	Preferred Care Partners Holding, Corp.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.11176	65-0885893				Preferred Care Partners, Inc.	FL	IA	Preferred Care Partners Holding, Corp.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	75-3265059				Premier Choice ACO, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	88-0253112				Prime Health, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	33-0607478				PrimeCare Medical Network, Inc.	CA	IA	NAMI Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	87-0757397				PrimeCare of Citrus Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.80.000	UnitedHealth Group Incorporated	1
		.00000	33-0674407				PrimeCare of Corona, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	33-0674401				PrimeCare of Hemet Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	33-0674408				PrimeCare of Inland Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
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		.00000	33-0674402				PrimeCare of Moreno Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	33-0674400				PrimeCare of Redlands, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	33-0674404				PrimeCare of Riverside, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	14-1915328				PrimeCare of San Bernardino, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	33-0698439				PrimeCare of Sun City, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	33-0674409				PrimeCare of Temecula, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	32-0229091				ProHealth Fitness of Lake Success, LLC	NY	NIA	ProHealth Medical Management, LLC	Ownership	.82.620	UnitedHealth Group Incorporated	6
		.00000	47-1049961				ProHealth Medical Management, LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	.80.000	UnitedHealth Group Incorporated	1
		.00000	98-1112304				Promarket Propaganda e Marketing Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.99.790	UnitedHealth Group Incorporated	
		.00000	98-1112304				Promarket Propaganda e Marketing Ltda.	BRA	NIA	Amico Saúde Ltda.	Ownership	.0.210	UnitedHealth Group Incorporated	
		.00000	98-1103015				QSSI Technologies India Private Limited	JND	NIA	Quality Software Services, Inc.	Ownership	.99.900	UnitedHealth Group Incorporated	6
		.00000	52-2016292				Quality Software Services, Inc.	MD	NIA	OptumInsight, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	26-3168754				R&H Family Fitness Unlimited LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	35-2493256				Rally Health, Inc.	DE	NIA	Optum Services, Inc.	Ownership	.74.000	UnitedHealth Group Incorporated	6
		.00000					ScriptSwitch Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	98-1202716				Seisa Serviços Integrados de Saúde Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	.99.990	UnitedHealth Group Incorporated	
		.00000	98-1202716				Seisa Serviços Integrados de Saúde Ltda.	BRA	NIA	Dental Center Serviços Odontológicos Ltda.	Ownership	.0.010	UnitedHealth Group Incorporated	
		.00000	20-4763091				Senior Care Partners, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.71420	94-0734860				Sierra Health and Life Insurance Company, Inc.	NV	IA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	88-0200415				Sierra Health Services, Inc.	NV	NIA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	88-0254322				Sierra Health-Care Options, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	88-0385705				Sierra Home Medical Products, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	88-0264562				Sierra Nevada Administrators, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	88-0201420				Southwest Medical Associates, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	38-2609888				Southwest Michigan Health Network Inc.	MI	NIA	UnitedHealthcare, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	41-1921983				Specialty Benefits, LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	71-0886811				Spectera of New York, IPA, Inc.	NY	NIA	Spectera, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	52-1260282				Spectera, Inc.	MD	NIA	Specialty Benefits, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					Spotlite, Inc.	DE	NIA	Rally Health, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	56-1970224				The Lewin Group, Inc.	NC	NIA	Optum Public Sector Solutions, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	25-1825549				Three Rivers Holdings, Inc.	DE	NIA	AmeriChoice Corporation	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	52-1431155				Travel Express Incorporated	MD	NIA	FrontierMEDEX, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	94-3077084				U.S. Behavioral Health Plan, California	CA	IA	United Behavioral Health	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	41-1913059				UHC International Services, Inc.	DE	NIA	UnitedHealth Group Incorporated	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	95-2931460				UHC of California	CA	IA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000					UHG Brasil Participações S.A.	BRA	NIA	Polar II Fundo de Investimento em Participações	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	41-1921008				UHC Holdings, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	14-1892398				Ultima Rx, LLC	FL	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	39-1995276				UMR, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.91529	52-1996029				Unimerica Insurance Company	WI	IA	OptumHealth Holdings, LLC	Ownership	.100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	.11596	01-0637149				Unimerica Life Insurance Company of New York	NY	IA	UnitedHealthcare Insurance Company	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	25-1877716				Unison Administrative Services, LLC	PA	NIA	Three Rivers Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	
		.00000	20-5917714				Unison Health Plan of Delaware, Inc.	DE	IA	Three Rivers Holdings, Inc.	Ownership	.100.000	UnitedHealth Group Incorporated	

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
...0707	UnitedHealth Group Incorporated	...13032 ...00000	26-0651931 94-2649097				Unison Health Plan of the Capital Area, Inc.	...DC	...IA	Three Rivers Holdings, Inc.	Ownership	...100.000	UnitedHealth Group Incorporated	
							United Behavioral Health	...CA	...NIA	OptumHealth Holdings, LLC	Ownership	...100.000	UnitedHealth Group Incorporated	
		...00000	41-1868911				United Behavioral Health of New York, I.P.A., Inc.	...NY	...NIA	United Behavioral Health	Ownership	...100.000	UnitedHealth Group Incorporated	
		...00000	41-1941615				United Health Foundation	...MN	...NIA	UnitedHealth Group Incorporated	Ownership	...100.000	UnitedHealth Group Incorporated	
		...00000	41-1289245	3410132			United HealthCare Services, Inc.	...MN	...UIP	UnitedHealth Group Incorporated	Ownership	...100.000	UnitedHealth Group Incorporated	
		...00000	30-0318238				United Resource Networks IPA of New York, Inc.	...NY	...NIA	OptumHealth Care Solutions, Inc.	Ownership	...100.000	UnitedHealth Group Incorporated	
		...00000	01-0538317				UnitedHealth Advisors, LLC	...ME	...NIA	United HealthCare Services, Inc.	Ownership	...100.000	UnitedHealth Group Incorporated	
		...00000	98-1097761				UnitedHealth Group Global Healthcare Services Limited	...IRL	...NIA	Optum Global Solutions International B.V.	Ownership	...100.000	UnitedHealth Group Incorporated	
		...00000	98-1097776				UnitedHealth Group Global Services, Inc.	...PHL	...NIA	Optum Global Solutions International B.V.	Ownership	...100.000	UnitedHealth Group Incorporated	
		...00000	41-1321939		0000731766	New York Stock Exchange	UnitedHealth Group Incorporated	...MN	...UIP			...0.000		
		...00000	98-1093259				UnitedHealth Group Information Services Private Limited	...JND	...NIA	Optum Global Solutions International B.V.	Ownership	...99.370	UnitedHealth Group Incorporated	
		...00000	98-1093259				UnitedHealth Group Information Services Private Limited	...JND	...NIA	UnitedHealth International, Inc.	Ownership	...0.630	UnitedHealth Group Incorporated	
		...00000	98-1079826				UnitedHealth Group International GP	...CYM	...NIA	UnitedHealth Group Incorporated	Ownership	...100.000	UnitedHealth Group Incorporated	
		...00000	98-1080118				UnitedHealth Group International L.P.	...CYM	...NIA	UnitedHealth Group Incorporated	Ownership	...84.868	UnitedHealth Group Incorporated	...7
		...00000	98-1080118				UnitedHealth Group International L.P.	...CYM	...NIA	FMG Holdings, LLC	Ownership	...14.929	UnitedHealth Group Incorporated	...7
		...00000	98-1080118				UnitedHealth Group International L.P.	...CYM	...NIA	Hygeia Corporation	Ownership	...0.203	UnitedHealth Group Incorporated	...7
		...00000	46-3311984				UnitedHealth Group Ventures, LLC	...DE	...NIA	UnitedHealth Group Incorporated	Ownership	...100.000	UnitedHealth Group Incorporated	
		...00000	41-1917398				UnitedHealth International, Inc.	...DE	...NIA	UnitedHealth Group Incorporated	Ownership	...100.000	UnitedHealth Group Incorporated	
		...00000	26-2574977				UnitedHealth Military & Veterans Services, LLC	...DE	...NIA	United HealthCare Services, Inc.	Ownership	...100.000	UnitedHealth Group Incorporated	
		...00000	98-0559902				UnitedHealth UK Limited	...GBR	...NIA	Optum UK Solutions Group Limited	Ownership	...100.000	UnitedHealth Group Incorporated	
...0707	UnitedHealth Group Incorporated	...95174	33-0115163				UnitedHealthcare Benefits of Texas, Inc.	...TX	...IA	United HealthCare Services, Inc.	Ownership	...100.000	UnitedHealth Group Incorporated	
		...00000	46-4348775				UnitedHealthcare Community Plan of California, Inc.	...CA	...NIA	AmeriChoice Corporation	Ownership	...100.000	UnitedHealth Group Incorporated	
...0707	UnitedHealth Group Incorporated	...12323	56-2451429				UnitedHealthcare Community Plan of Ohio, Inc.	...OH	...IA	Three Rivers Holdings, Inc.	Ownership	...100.000	UnitedHealth Group Incorporated	
...0707	UnitedHealth Group Incorporated	...11141	91-2008361				UnitedHealthcare Community Plan of Texas, L.L.C.	...TX	...IA	Ovations, Inc.	Ownership	...100.000	UnitedHealth Group Incorporated	
...0707	UnitedHealth Group Incorporated	...95467	38-3204052				UnitedHealthcare Community Plan, Inc.	...MI	...IA	AmeriChoice Corporation	Ownership	...100.000	UnitedHealth Group Incorporated	
		...00000					UnitedHealthcare Consulting & Assistance Service (Beijing) Co., Ltd.	...CHN	...NIA	UnitedHealthcare International I B.V.	Ownership	...100.000	UnitedHealth Group Incorporated	
		...00000	98-1199879				UnitedHealthcare Europe S.á.r.l.	...LUX	...NIA	UnitedHealthcare International IV S.á.r.l.	Ownership	...100.000	UnitedHealth Group Incorporated	
		...00000	98-1099116				UnitedHealthcare India Private Limited	...JND	...NIA	UnitedHealthcare International II B.V.	Ownership	...99.994	UnitedHealth Group Incorporated	
		...00000	98-1099116				UnitedHealthcare India Private Limited	...JND	...NIA	UnitedHealth International, Inc.	Ownership	...0.007	UnitedHealth Group Incorporated	
...0707	UnitedHealth Group Incorporated	...79413	36-2739571				UnitedHealthcare Insurance Company	...CT	...IA	UHC Holdings, Inc.	Ownership	...100.000	UnitedHealth Group Incorporated	
		...60318	36-3800349				UnitedHealthcare Insurance Company of Illinois	...IL	...IA	UnitedHealthcare Insurance Company	Ownership	...100.000	UnitedHealth Group Incorporated	
...0707	UnitedHealth Group Incorporated	...60093	11-3283886				UnitedHealthcare Insurance Company of New York	...NY	...IA	UnitedHealthcare Insurance Company	Ownership	...100.000	UnitedHealth Group Incorporated	
...0707	UnitedHealth Group Incorporated	...12231	20-1902768				UnitedHealthcare Insurance Company of the River Valley	...IL	...IA	UnitedHealthcare Services Company of the River Valley, Inc.	Ownership	...100.000	UnitedHealth Group Incorporated	

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ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	86-0618309				UnitedHealthcare Integrated Services, Inc.	AZ	IA	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1988797				UnitedHealthcare International Asia, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1100512				UnitedHealthcare International I B.V.	NLD	NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1079595				UnitedHealthcare International I S.á.r.l.	LUX	NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1100980				UnitedHealthcare International II B.V.	NLD	NIA	UnitedHealthcare International I B.V.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1100980				UnitedHealthcare International II S.á.r.l.	LUX	NIA	UnitedHealthcare International I S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1079459				UnitedHealthcare International III S.á.r.l.	LUX	NIA	UnitedHealthcare International II S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1077436				UnitedHealthcare International IV S.á.r.l.	LUX	NIA	UnitedHealthcare International II S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1080926				UnitedHealthcare Life Insurance Company	WI	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	97179	86-0207231				UnitedHealthcare of Alabama, Inc.	AL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95784	63-0899562				UnitedHealthcare of Arizona, Inc.	AZ	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	96016	86-0507074				UnitedHealthcare of Arkansas, Inc.	AR	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95446	63-1036819				UnitedHealthcare of Colorado, Inc.	CO	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95090	84-1004639				UnitedHealthcare of Florida, Inc.	FL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95264	59-1293865				UnitedHealthcare of Georgia, Inc.	GA	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	8
.0707	UnitedHealth Group Incorporated	95850	58-1653544				UnitedHealthcare of Illinois, Inc.	IL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	8
.0707	UnitedHealth Group Incorporated	95776	36-3280214				UnitedHealthcare of Kentucky, Ltd.	KY	IA	UnitedHealthCare Services, Inc.	Ownership	94.180	UnitedHealth Group Incorporated	9
.0707	UnitedHealth Group Incorporated	96644	62-1240316				UnitedHealthcare of Kentucky, Ltd.	KY	IA	UnitedHealthcare, Inc.	Ownership	5.820	UnitedHealth Group Incorporated	9
.0707	UnitedHealth Group Incorporated	96644	62-1240316				UnitedHealthcare of Louisiana, Inc.	LA	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95833	72-1074008				UnitedHealthcare of Mississippi, Inc.	MS	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95716	63-1036817				UnitedHealthcare of New England, Inc.	RI	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95149	05-0413469				UnitedHealthcare of New Mexico, Inc.	NM	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	13214	26-2697886				UnitedHealthcare of New York, Inc.	NY	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95085	06-1172891				UnitedHealthcare of North Carolina, Inc.	NC	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95103	56-1461010				UnitedHealthcare of Ohio, Inc.	OH	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95186	31-1142815				UnitedHealthcare of Oklahoma, Inc.	OK	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	96903	33-0115166				UnitedHealthcare of Oregon, Inc.	OR	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95893	93-0938819				UnitedHealthcare of Pennsylvania, Inc.	PA	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95220	25-1756858				UnitedHealthcare of Texas, Inc.	TX	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95765	95-3939697				UnitedHealthcare of the Mid-Atlantic, Inc.	MD	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95025	52-1130183				UnitedHealthcare of the Midlands, Inc.	NE	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95591	47-0676824				UnitedHealthcare of the Midwest, Inc.	MO	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	96385	43-1361841				UnitedHealthcare of Utah, Inc.	UT	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95501	41-1488563				UnitedHealthcare of Washington, Inc.	WA	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	48038	91-1312551				UnitedHealthcare of Wisconsin, Inc.	WI	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95710	39-1555888				UnitedHealthcare Plan of the River Valley, Inc.	IL	RE	River Valley, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
.0707	UnitedHealth Group Incorporated	95378	36-3379945				UnitedHealthcare Service LLC	DE	NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	47-0854646				UnitedHealthcare Services Company of the River Valley, Inc.	DE	UDP	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	36-3355110				UnitedHealthcare Specialty Benefits, LLC	ME	NIA	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	01-0518346				UnitedHealthcare, Inc.	DE	UIP	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	41-1922511				UnitedHealthOne Agency, Inc.	IN	NIA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	37-0920164				Valley Physicians Network, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	87-0757396				WellMed Medical Management of Florida, Inc.	FL	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	74-2797745											

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	*
		00000	74-2786364				WellMed Medical Management, Inc.	TX	NIA	Collaborative Care Holdings, LLC	Ownership	80.000	UnitedHealth Group Incorporated	1
		00000	45-0636596				WESTMED Practice Partners LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	86.150	UnitedHealth Group Incorporated	1
		00000	52-2102846				XLHealth Corporation	MD	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	98-1107695				XLHealth Corporation India Private Limited							
		00000	98-1107695				Your Health Options Insurance Services, Inc.	JND	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	11-3764012					CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	
		00000	27-0172594				Your Partner in Health Services, Inc.	IL	NIA	North American Medical Management - Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated	

Asterisk	Explanation
1	The remaining percentage is owned by a non-affiliated company.
2	The remaining percentage is owned by an officer of the company.
3	The remaining percentage is owned by the former majority shareholders of the company.
4	The remaining 50% is owned by 28 individual partners.
5	The remaining 1.070% owned by external shareholders and 0.042571% owned by Treasury Shares.
6	The remaining percentage is owned by an external shareholder(s).
7	The remaining 35% is owned jointly and equally by five (5) non-affiliated companies.
8	The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC (14.9292%), Hygeia Corporation (DE) (0.2028%) and UnitedHealth Group Incorporated (84.8680%). UnitedHealth Group International GP is the general partner of UnitedHealth Group International, L.P.
9	The general partnership interest of 89.77% is held by United HealthCare Services, Inc. (UHS) and 10.23% is held by UnitedHealthcare, Inc. (UHC). UHS also holds 100% of the limited partnership interests. When combining general and limited partner interests, UHS owns 94.18% and UHC owns 5.83%.

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
	41-1913523	ACN Group IPA of New York, Inc.	.0	.0	.0	.0	3,046,712	.0		.0	3,046,712	.0
	27-0015861	ACN Group of California, Inc.	(1,100,000)	.0	.0	.0	5,656,865	.0		.0	4,556,865	.0
82406	35-1665915	All Savers Insurance Company	.0	10,000,000	.0	.0	(11,577,455)	.0		.0	(1,577,455)	.0
73130	35-1744596	All Savers Life Insurance Company of California	(3,000,000)	.0	.0	.0	(26,471)	.0		.0	(3,026,471)	.0
13178	26-2481299	AmeriChoice of Connecticut, Inc.	.0	.0	.0	.0	109,095	.0		.0	109,095	.0
13168	26-2688274	AmeriChoice of Georgia, Inc.	.0	.0	.0	.0	777	.0		.0	777	.0
95497	22-3368602	AmeriChoice of New Jersey, Inc.	(54,000,000)	.0	.0	.0	(226,155,178)	.0		.0	(280,155,178)	.0
	86-0813232	APIPA	(25,000,000)	14,000,000	.0	.0	(174,407,008)	.0		.0	(185,407,008)	.0
	88-0267857	Behavioral Healthcare Options, Inc.	.0	.0	.0	.0	14,846,871	.0		.0	14,846,871	.0
12313	20-2412936	Care Improvement Plus of Maryland, Inc.	.0	.0	.0	.0	(277,847)	.0		.0	(277,847)	.0
12558	45-4976934	Care Improvement Plus of Texas Insurance Company	(13,000,000)	.0	.0	.0	(154,895,622)	.0		.0	(167,895,622)	.0
12567	20-3888112	Care Improvement Plus South Central Insurance Company	(81,000,000)	.0	.0	.0	(418,029,381)	.0		.0	(499,029,381)	.0
14041	27-5038136	Care Improvement Plus Wisconsin Insurance Company	.0	7,000,000	.0	.0	(6,369,580)	.0		.0	630,420	.0
11836	13-4247706	Citrus Health Care, Inc.	.0	.0	.0	.0	(28)	.0		.0	(28)	.0
	52-1452809	Dental Benefit Providers of California, Inc.	(10,000,000)	.0	.0	.0	(3,111,848)	.0		.0	(13,111,848)	.0
52053	36-4008355	Dental Benefit Providers of Illinois, Inc.	.0	.0	.0	.0	(406,842)	.0		.0	(406,842)	.0
	41-2014834	Dental Benefit Providers, Inc.	.0	.0	.0	.0	48,179,787	.0		.0	48,179,787	.0
	88-0223385	Family Health Care Services	.0	.0	.0	.0	27,449,654	.0		.0	27,449,654	.0
	88-0257036	Family Home Hospice, Inc.	.0	.0	.0	.0	784,353	.0		.0	784,353	.0
	37-0855360	Golden Rule Financial Corporation	.0	(37,000,000)	.0	.0	.0	.0		.0	(37,000,000)	.0
62286	37-6028756	Golden Rule Insurance Company	(75,000,000)	.0	.0	.0	(236,503,904)	.0		.0	(311,503,904)	.0
	98-0213198	H&W Indemnity (SPC), Ltd.	.0	.0	.0	.0	.0	(3,657,712)		.0	(3,657,712)	10,387,148
43893	13-3584296	Health Net Insurance of New York, Inc.	.0	.0	.0	.0	211,770	.0		.0	211,770	.0
96342	88-0201035	Health Plan of Nevada	(96,000,000)	.0	.0	.0	(720,938,485)	(606,317)		.0	(817,544,802)	141,676
	13-4138668	INSPIRIS of New York IPA, Inc.	.0	.0	.0	.0	223,046,027	.0		.0	223,046,027	.0
	45-3143218	Lifepoint East, Inc.	.0	.0	.0	.0	49,703,140	.0		.0	49,703,140	.0
	27-2309024	Lifepoint Health, Inc.	.0	.0	.0	.0	859,027,615	.0		.0	859,027,615	.0
60321	52-1803283	MAMSI Life and Health Insurance Company	.0	.0	.0	.0	(5,913,248)	115,280		.0	(5,797,968)	234,284
96310	52-1169135	MD-Individual Practice Association, Inc.	(20,000,000)	.0	.0	.0	(47,220,003)	(138,022)		.0	(67,358,025)	1,047,436
12756	20-3391186	Medica Health Plans of Florida, Inc.	.0	.0	.0	.0	(457,514)	.0		.0	(457,514)	.0
12155	01-0788576	Medica HealthCare Plans, Inc.	.0	15,000,000	.0	.0	(30,950,131)	.0		.0	(15,950,131)	.0
79480	35-1279304	Midwest Security Life Insurance Company	.0	.0	.0	.0	225,326	.0		.0	225,326	.0
	45-3142852	Monarch Management Services, Inc.	.0	.0	.0	.0	126,616,324	.0		.0	126,616,324	.0
95251	76-0196559	National Pacific Dental, Inc.	(2,700,000)	.0	.0	.0	(3,132,694)	.0		.0	(5,832,694)	.0
95123	65-0996107	Neighborhood Health Partnership, Inc.	(29,500,000)	.0	.0	.0	(52,522,052)	.0		.0	(82,022,052)	.0
95758	88-0228572	Nevada Pacific Dental	.0	.0	.0	.0	(444,085)	.0		.0	(444,085)	.0
96940	52-1518174	Optimum Choice, Inc.	(12,500,000)	.0	.0	.0	(32,317,956)	(372,308)		.0	(45,190,264)	76,972
	41-1591944	OptumHealth Care Solutions, Inc.	.0	.0	.0	.0	123,427,287	.0		.0	123,427,287	.0

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
	41-1858498	OptumInsight, Inc.	0	0	0	0	190,907,182	0		0	190,907,182	0
	33-0441200	OptumRx, Inc.	0	0	0	0	884,641,597	0		0	884,641,597	0
78026	22-2797560	Oxford Health Insurance, Inc.	(202,000,000)	0	0	0	(422,856,721)	(49,115,971)		0	(673,972,692)	9,656,346
96798	06-1181201	Oxford Health Plans (CT), Inc.	(36,500,000)	0	0	0	(64,883,494)	(992,513)		0	(102,376,007)	309,219
95506	22-2745725	Oxford Health Plans (NJ), Inc.	(33,000,000)	0	0	0	(133,253,368)	2,777,071		0	(163,476,297)	7,527,062
95479	06-1181200	Oxford Health Plans (NY), Inc.	(125,000,000)	0	0	0	(162,005,244)	0		0	(287,005,244)	0
70785	35-1137395	PacifiCare Life and Health Insurance Company	(409,000,000)	0	0	0	(4,934,206)	142		0	(413,934,064)	12,011
84506	95-2829463	PacifiCare Life Assurance Company	0	0	0	0	263,246	0		0	263,246	0
95617	94-3267522	PacifiCare of Arizona, Inc.	(9,000,000)	0	0	0	(333,307,961)	(988,491)		0	(343,296,452)	0
95434	84-1011378	PacifiCare of Colorado, Inc.	(50,000,000)	0	0	0	(133,892,598)	(930,305)		0	(184,822,903)	0
95685	86-0875231	PacifiCare of Nevada, Inc.	0	10,000,000	0	0	(12,132,102)	(1,306,136)		0	(3,438,238)	0
11494	04-3677255	Physicians Health Choice of Texas, LLC	0	0	0	0	(26,065,691)	(1,842,646)		0	(27,908,337)	401,245
	26-1845018	Preferred Care Partners Medical Group, Inc.	0	0	0	0	4,281,840	0		0	4,281,840	0
11176	65-0885893	Preferred Care Partners, Inc.	0	0	0	0	(69,986,758)	0		0	(69,986,758)	0
	33-0607478	PrimeCare Medical Network, Inc.	0	0	0	0	211,417,552	0		0	211,417,552	0
71420	94-0734860	Sierra Health and Life Insurance Company	(11,500,000)	0	0	0	(103,691,177)	147,033		0	(115,044,144)	(57,676)
	88-0385705	Sierra Home Medical Products, Inc.	0	0	0	0	32,264,999	0		0	32,264,999	0
	88-0201420	Southwest Medical Associates, Inc.	0	0	0	0	573,892,416	0		0	573,892,416	0
	52-1260282	Spectera, Inc.	0	0	0	0	8,766,569	0		0	8,766,569	0
	94-3077084	U.S. Behavioral Health Plan, California	(60,000,000)	0	0	0	39,597,353	0		0	(20,402,647)	0
91529	52-1996029	Unimerica Insurance Company	(67,500,000)	0	0	0	(62,195,100)	49,975,270		0	(79,719,830)	(64,861,019)
11596	01-0637149	Unimerica Life Insurance Company of New York	0	0	0	0	(1,271,816)	0		0	(1,271,816)	0
	20-5917714	Unison Health Plan of Delaware, Inc.	(10,000,000)	0	0	0	(60,188,989)	0		0	(70,188,989)	(1,694,884)
13032	26-0651931	Unison Health Plan of the Capital Area, Inc.	(10,000,000)	0	0	0	215,930	(11,140)		0	(9,795,210)	669
	94-2649097	United Behavioral Health	0	0	0	0	756,068,656	0		0	756,068,656	0
	41-1289245	United HealthCare Services, Inc.	3,281,300,000	(169,153,287)	0	0	7,756,947,361	0		0	10,869,094,074	0
	41-1321939	UnitedHealth Group Incorporated	474,500,000	0	0	0	2,618,028,854	0		0	3,092,528,854	0
95174	33-0115163	UnitedHealthcare Benefits of Texas, Inc.	(149,000,000)	0	0	0	(292,480,182)	(2,399,146)		0	(443,879,328)	3,818,058
12323	56-2451429	UnitedHealthcare Community Plan of Ohio, Inc.	(24,000,000)	0	0	0	(177,367,044)	4,971,327		0	(196,395,717)	10,223,032
11141	91-2008361	UnitedHealthcare Community Plan of Texas, L.L.C.	(15,000,000)	0	0	0	(204,440,677)	(3,928,201)		0	(223,368,878)	7,985,839
95467	38-3204052	UnitedHealthcare Community Plan, Inc.	0	0	0	0	(107,237,632)	41,795		0	(107,195,837)	963,337
79413	36-2739571	UnitedHealthcare Insurance Company	(1,268,000,000)	70,853,287	0	0	(5,608,979,780)	115,892,491		0	(6,690,234,002)	(375,419,303)
60318	36-3800349	UnitedHealthcare Insurance Company of Illinois	0	0	0	0	(96,152,024)	(732,025)		0	(96,884,049)	3,604,612
60093	11-3283886	UnitedHealthcare Insurance Company of New York	(210,000,000)	0	0	0	(277,245,622)	(87,905,688)		0	(575,151,310)	296,945,696
12231	20-1902768	UnitedHealthcare Insurance Company of the River Valley	0	0	0	0	(54,923,623)	(1,034,435)		0	(55,958,058)	58,550

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ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
	86-0618309	UnitedHealthcare Integrated Services, Inc.	.0	.0	.0	.0	(30,321,071)	.0		0	(30,321,071)	0
97179	86-0207231	UnitedHealthcare Life insurance Company	.0	27,000,000	.0	.0	(8,352,374)	(142)		0	18,647,484	(12,011)
95784	63-0899562	UnitedHealthcare of Alabama, Inc.	(31,000,000)	.0	.0	.0	(72,426,003)	(432,086)		0	(103,858,089)	0
96016	86-0507074	UnitedHealthcare of Arizona, Inc.	.0	.0	.0	.0	(45,151,868)	(325,799)		0	(45,477,667)	0
95446	63-1036819	UnitedHealthcare of Arkansas, Inc.	(1,800,000)	.0	.0	.0	(1,413,313)	(9,250)		0	(3,222,563)	0
	95-2931460	UnitedHealthcare of California, Inc.	(250,000,000)	.0	.0	.0	(966,520,369)	.0		0	(1,216,520,369)	0
95090	84-1004639	UnitedHealthcare of Colorado, Inc.	.0	.0	.0	.0	(5,136,656)	(32,780)		0	(5,169,436)	0
95264	59-1293865	UnitedHealthcare of Florida, Inc.	.0	115,000,000	.0	.0	(248,218,875)	(2,341,837)		0	(135,560,712)	0
95850	58-1653544	UnitedHealthcare of Georgia, Inc.	(2,400,000)	.0	.0	.0	(20,275,019)	(124,047)		0	(22,799,066)	0
95776	36-3280214	UnitedHealthcare of Illinois, Inc.	(6,600,000)	.0	.0	.0	(11,628,203)	(303,326)		0	(18,531,529)	7,371
96644	62-1240316	UnitedHealthcare of Kentucky, Ltd.	(1,200,000)	3,300,000	.0	.0	(7,835,042)	(86,987)		0	(5,822,029)	0
95833	72-1074008	UnitedHealthcare of Louisiana, Inc.	.0	5,000,000	.0	.0	(37,362,103)	(53,758)		0	(32,415,861)	12,199
95716	63-1036817	UnitedHealthcare of Mississippi, Inc.	.0	25,000,000	.0	.0	(29,686,004)	(2,728,723)		0	(7,414,727)	5,387,354
95149	05-0413469	UnitedHealthcare of New England, Inc.	.0	.0	.0	.0	(135,233,712)	310,426		0	(134,923,286)	3,176,669
13214	26-2697886	UnitedHealthcare of New Mexico	(20,000,000)	.0	.0	.0	(103,538,998)	.0		0	(123,538,998)	230,000
95085	06-1172891	UnitedHealthcare of New York, Inc.	(90,000,000)	.0	.0	.0	(515,902,172)	.0		0	(605,902,172)	0
95103	56-1461010	UnitedHealthcare of North Carolina, Inc.	(39,000,000)	.0	.0	.0	(206,116,805)	(1,116,604)		0	(246,233,409)	0
95186	31-1142815	UnitedHealthcare of Ohio, Inc.	(12,500,000)	.0	.0	.0	(138,450,646)	(880,610)		0	(151,831,256)	0
96903	33-0115166	UnitedHealthcare of Oklahoma, Inc.	(21,000,000)	.0	.0	.0	(51,327,907)	.0		0	(72,327,907)	0
95893	93-0938819	UnitedHealthcare of Oregon, Inc.	(7,000,000)	.0	.0	.0	(30,500,738)	.0		0	(37,500,738)	0
95220	25-1756858	UnitedHealthcare of Pennsylvania, Inc.	(14,000,000)	.0	.0	.0	(95,382,278)	.0		0	(109,382,278)	0
95765	95-3939697	UnitedHealthcare of Texas, Inc.	.0	.0	.0	.0	(91,987)	(8,393)		0	(100,380)	738
95025	52-1130183	UnitedHealthcare of the Mid-Atlantic, Inc.	.0	.0	.0	.0	(131,812,730)	(1,229,201)		0	(133,041,931)	3,064
95591	47-0676824	UnitedHealthcare of the Midlands, Inc.	(9,500,000)	.0	.0	.0	(40,129,132)	(1,032,367)		0	(50,661,499)	0
96385	43-1361841	UnitedHealthcare of the Midwest, Inc.	.0	.0	.0	.0	(229,695,716)	(1,606,060)		0	(231,301,776)	79,834,306
95501	41-1488563	UnitedHealthcare of Utah, Inc.	.0	12,000,000	.0	.0	(49,327,987)	(343,645)		0	(37,671,632)	0
48038	91-1312551	UnitedHealthcare of Washington, Inc.	.0	70,000,000	.0	.0	(144,490,695)	.0		0	(74,490,695)	0
95710	39-1555888	UnitedHealthcare of Wisconsin, Inc.	(15,500,000)	.0	.0	.0	(262,043,653)	(1,358,857)		0	(278,902,510)	0
95378	36-3379945	UnitedHealthcare Plan of the River Valley, Inc.	(147,000,000)	.0	.0	.0	(529,233,354)	(4,255,307)		0	(680,488,661)	0
	47-0854646	UnitedHealthcare Service LLC	.0	.0	.0	.0	1,014,236	.0		0	1,014,236	0
	41-1922511	UnitedHealthcare, Inc.	25,000,000	(171,000,000)	.0	.0	.0	.0		0	(146,000,000)	0
	74-2786364	WellMed Medical Management, Inc.	.0	.0	.0	.0	122,115,157	.0		0	122,115,157	0
	52-2102846	XLHealth Corporation	.0	(7,000,000)	.0	.0	.0	.0		0	(7,000,000)	0
9999999	Control Totals		0	0	0	0	(1)	0	xxx	0	(1)	0

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SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Responses
MARCH FILING	
1. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?	YES
2. Will an actuarial opinion be filed by March 1?	YES
3. Will the confidential Risk-based Capital Report be filed with the NAIC by March 1?.....	YES
4. Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1?.....	YES
APRIL FILING	
5. Will Management's Discussion and Analysis be filed by April 1?	YES
6. Will the Supplemental Investment Risks Interrogatories be filed by April 1?	YES
7. Will the Accident and Health Policy Experience Exhibit be filed by April 1?	YES
JUNE FILING	
8. Will an audited financial report be filed by June 1?	YES
9. Will Accountant's Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1?	YES
AUGUST FILING	
10. Will Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile by August 1?	YES

The following supplemental reports are required to be filed as part of your annual statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

MARCH FILING	
11. Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?	NO
12. Will the Supplemental Life data due March 1 be filed with the state of domicile and the NAIC?	NO
13. Will the Supplemental Property/Casualty data due March 1 be filed with the state of domicile and the NAIC?.....	NO
14. Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1?.....	NO
15. Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 on Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1?.....	NO
16. Will the actuarial opinion on non-guaranteed elements as required in Interrogatory 3 to Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1?.....	NO
17. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1?.....	NO
18. Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1?	NO
19. Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?	NO
20. Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1?.....	NO
APRIL FILING	
21. Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1?	NO
22. Will the Supplemental Life data due April 1 be filed with the state of domicile and the NAIC?	NO
23. Will the Supplemental Property/Casualty Insurance Expense Exhibit due April 1 be filed with any state that requires it, and, if so, the NAIC?	NO
24. Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1?	YES
25. Will the regulator only (non-public) Supplemental Health Care Exhibit's Expense Allocation Report be filed with the state of domicile and the NAIC by April 1?	YES
AUGUST FILING	
26. Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1?	YES

- Explanations:
- 11.
 - 12.
 - 13.
 - 14.
 - 15.
 - 16.
 - 17.
 - 18.
 - 19.
 - 20.
 - 21.
 - 22.
 - 23.

Bar Codes:

11. Medicare Supplement Insurance Experience Exhibit [Document Identifier 360]	
12. Life Supplement [Document Identifier 205]	
13. Property/Casualty Supplement [Document Identifier 207]	
14. SIS Stockholder Information Supplement [Document Identifier 420]	
15. Participating Opinion for Exhibit 5 [Document Identifier 371]	
16. Non-Guaranteed Opinion for Exhibit 5 [Document Identifier 370]	
17. Medicare Part D Coverage Supplement [Document Identifier 365]	
18. Relief from the five-year rotation requirement for lead audit partner [Document Identifier 224]	
19. Relief from the one-year cooling off period for independent CPA [Document Identifier 225]	

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

20. Relief from the Requirements for Audit Committees [Document Identifier 226]



21. Long-Term Care Experience Reporting Forms [Document Identifier 306]



22. Life Supplement [Document Identifier 211]



23. Property/Casualty Supplement Insurance Expense Exhibit
[Document Identifier 213]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
2504. Premium Tax Receivable	340,572	0	340,572	20,869
2597. Summary of remaining write-ins for Line 25 from overflow page	340,572	0	340,572	20,869

Additional Write-ins for Underwriting and Investment Exhibit Part 3 Line 25

	Claim Adjustment Expenses		3 General Administrative Expenses	4 Investment Expenses	5 Total
	1 Cost Containment Expenses	2 Other Claim Adjustment Expenses			
2504. Miscellaneous Losses	1,564	1,049	(10,801)	0	(8,188)
2505. Professional Fees/Consulting	387,316	259,819	656,668	0	1,303,803
2506. Sundry General Expenses	4,090,422	2,743,938	6,932,303	0	13,766,663
2597. Summary of remaining write-ins for Line 25 from overflow page	4,479,302	3,004,806	7,578,170	0	15,062,278

SUMMARY INVESTMENT SCHEDULE

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1 Amount	2 Percentage	3 Amount	4 Securities Lending Reinvested Collateral Amount	5 Total (Col. 3 + 4) Amount	6 Percentage
1. Bonds:						
1.1 U.S. treasury securities	107,450,923	9.208	107,450,923	0	107,450,923	9.208
1.2 U.S. government agency obligations (excluding mortgage-backed securities):						
1.21 Issued by U.S. government agencies	0	0.000	0	0	0	0.000
1.22 Issued by U.S. government sponsored agencies	3,399,876	0.291	3,399,876	0	3,399,876	0.291
1.3 Non-U.S. government (including Canada, excluding mortgaged-backed securities)	0	0.000	0	0	0	0.000
1.4 Securities issued by states, territories, and possessions and political subdivisions in the U.S. :						
1.41 States, territories and possessions general obligations	49,178,968	4.214	49,178,968	0	49,178,968	4.214
1.42 Political subdivisions of states, territories and possessions and political subdivisions general obligations	74,913,088	6.420	74,913,088	0	74,913,088	6.420
1.43 Revenue and assessment obligations	191,306,143	16.394	191,306,143	0	191,306,143	16.394
1.44 Industrial development and similar obligations	0	0.000	0	0	0	0.000
1.5 Mortgage-backed securities (includes residential and commercial MBS):						
1.51 Pass-through securities:						
1.511 Issued or guaranteed by GNMA	1,071,939	0.092	1,071,939	0	1,071,939	0.092
1.512 Issued or guaranteed by FNMA and FHLMC	69,338,920	5.942	69,338,920	0	69,338,920	5.942
1.513 All other	0	0.000	0	0	0	0.000
1.52 CMOs and REMICs:						
1.521 Issued or guaranteed by GNMA, FNMA, FHLMC or VA	2,721,616	0.233	2,721,616	0	2,721,616	0.233
1.522 Issued by non-U.S. Government issuers and collateralized by mortgage-backed securities issued or guaranteed by agencies shown in Line 1.521	24,052,730	2.061	24,052,730	0	24,052,730	2.061
1.523 All other	0	0.000	0	0	0	0.000
2. Other debt and other fixed income securities (excluding short-term):						
2.1 Unaffiliated domestic securities (includes credit tenant loans and hybrid securities)	219,960,738	18.849	219,960,738	0	219,960,738	18.849
2.2 Unaffiliated non-U.S. securities (including Canada)	28,566,502	2.448	28,566,502	0	28,566,502	2.448
2.3 Affiliated securities	0	0.000	0	0	0	0.000
3. Equity interests:						
3.1 Investments in mutual funds	0	0.000	0	0	0	0.000
3.2 Preferred stocks:						
3.21 Affiliated	0	0.000	0	0	0	0.000
3.22 Unaffiliated	0	0.000	0	0	0	0.000
3.3 Publicly traded equity securities (excluding preferred stocks):						
3.31 Affiliated	0	0.000	0	0	0	0.000
3.32 Unaffiliated	0	0.000	0	0	0	0.000
3.4 Other equity securities:						
3.41 Affiliated	0	0.000	0	0	0	0.000
3.42 Unaffiliated	0	0.000	0	0	0	0.000
3.5 Other equity interests including tangible personal property under lease:						
3.51 Affiliated	0	0.000	0	0	0	0.000
3.52 Unaffiliated	0	0.000	0	0	0	0.000
4. Mortgage loans:						
4.1 Construction and land development	0	0.000	0	0	0	0.000
4.2 Agricultural	0	0.000	0	0	0	0.000
4.3 Single family residential properties	0	0.000	0	0	0	0.000
4.4 Multifamily residential properties	0	0.000	0	0	0	0.000
4.5 Commercial loans	0	0.000	0	0	0	0.000
4.6 Mezzanine real estate loans	0	0.000	0	0	0	0.000
5. Real estate investments:						
5.1 Property occupied by company	0	0.000	0	0	0	0.000
5.2 Property held for production of income (including \$ 0 of property acquired in satisfaction of debt)	0	0.000	0	0	0	0.000
5.3 Property held for sale (including \$ 0 property acquired in satisfaction of debt)	0	0.000	0	0	0	0.000
6. Contract loans	0	0.000	0	0	0	0.000
7. Derivatives	0	0.000	0	0	0	0.000
8. Receivables for securities	0	0.000	0	0	0	0.000
9. Securities Lending (Line 10, Asset Page reinvested collateral)	0	0.000	0	XXX	XXX	XXX
10. Cash, cash equivalents and short-term investments	375,013,261	32.136	375,013,261	0	375,013,261	32.136
11. Other invested assets	19,971,369	1.711	19,971,369	0	19,971,369	1.711
12. Total invested assets	1,166,946,073	100.000	1,166,946,073	0	1,166,946,073	100.000

Schedule A - Verification - Real Estate

N O N E

Schedule B - Verification - Mortgage Loans

N O N E

SCHEDULE BA - VERIFICATION BETWEEN YEARS

Other Long-Term Invested Assets

1. Book/adjusted carrying value, December 31 of prior year	21,263,841
2. Cost of acquired:	
2.1 Actual cost at time of acquisition (Part 2, Column 8)	0
2.2 Additional investment made after acquisition (Part 2, Column 9)	0
3. Capitalized deferred interest and other:	
3.1 Totals, Part 1, Column 16	0
3.2 Totals, Part 3, Column 12	0
4. Accrual of discount	0
5. Unrealized valuation increase (decrease):	
5.1 Totals, Part 1, Column 13	0
5.2 Totals, Part 3, Column 9	0
6. Total gain (loss) on disposals, Part 3, Column 19	0
7. Deduct amounts received on disposals, Part 3, Column 16	0
8. Deduct amortization of premium and depreciation	1,292,472
9. Total foreign exchange change in book/adjusted carrying value:	
9.1 Totals, Part 1, Column 17	0
9.2 Totals, Part 3, Column 14	0
10. Deduct current year's other than temporary impairment recognized:	
10.1 Totals, Part 1, Column 15	0
10.2 Totals, Part 3, Column 11	0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	19,971,369
12. Deduct total nonadmitted amounts	0
13. Statement value at end of current period (Line 11 minus Line 12)	19,971,369

SCHEDULE D - VERIFICATION BETWEEN YEARS

Bonds and Stocks

1. Book/adjusted carrying value, December 31 of prior year	676,536,942
2. Cost of bonds and stocks acquired, Part 3, Column 7	403,512,206
3. Accrual of discount	88,844
4. Unrealized valuation increase (decrease):	
4.1. Part 1, Column 12	0
4.2. Part 2, Section 1, Column 15	0
4.3. Part 2, Section 2, Column 13	0
4.4. Part 4, Column 11	0
5. Total gain (loss) on disposals, Part 4, Column 19	4,618,058
6. Deduction consideration for bonds and stocks disposed of, Part 4, Column 7	302,404,450
7. Deduct amortization of premium	10,254,864
8. Total foreign exchange change in book/adjusted carrying value:	
8.1. Part 1, Column 15	0
8.2. Part 2, Section 1, Column 19	0
8.3. Part 2, Section 2, Column 16	0
8.4. Part 4, Column 15	0
9. Deduct current year's other than temporary impairment recognized:	
9.1. Part 1, Column 14	135,293
9.2. Part 2, Section 1, Column 17	0
9.3. Part 2, Section 2, Column 14	0
9.4. Part 4, Column 13	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	771,961,443
11. Deduct total nonadmitted amounts	0
12. Statement value at end of current period (Line 10 minus Line 11)	771,961,443

SCHEDULE D - SUMMARY BY COUNTRY

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description		1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
BONDS					
Governments (Including all obligations guaranteed by governments)	1. United States	108,522,863	108,388,713	110,764,200	104,521,468
	2. Canada	0	0	0	0
	3. Other Countries	0	0	0	0
	4. Totals	108,522,863	108,388,713	110,764,200	104,521,468
U.S. States, Territories and Possessions (Direct and guaranteed)	5. Totals	49,178,969	49,962,638	51,540,831	43,640,000
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6. Totals	74,913,089	76,546,602	77,782,796	66,250,000
U.S. Special revenue and special assessment obligations and all non- guaranteed obligations of agencies and authorities of governments and their political subdivisions	7. Totals	269,122,563	274,369,424	276,954,509	244,529,453
Industrial and Miscellaneous and Hybrid Securities (unaffiliated)	8. United States	239,237,463	240,755,831	240,665,953	236,206,520
	9. Canada	12,395,696	12,272,983	12,433,649	12,240,000
	10. Other Countries	18,590,800	18,921,691	18,709,440	18,290,000
	11. Totals	270,223,959	271,950,505	271,809,042	266,736,520
Parent, Subsidiaries and Affiliates	12. Totals	0	0	0	0
	13. Total Bonds	771,961,443	781,217,882	788,851,378	725,677,441
PREFERRED STOCKS					
Industrial and Miscellaneous (unaffiliated)	14. United States	0	0	0	0
	15. Canada	0	0	0	0
	16. Other Countries	0	0	0	0
	17. Totals	0	0	0	0
Parent, Subsidiaries and Affiliates	18. Totals	0	0	0	0
	19. Total Preferred Stocks	0	0	0	0
COMMON STOCKS					
Industrial and Miscellaneous (unaffiliated)	20. United States	0	0	0	0
	21. Canada	0	0	0	0
	22. Other Countries	0	0	0	0
	23. Totals	0	0	0	0
Parent, Subsidiaries and Affiliates	24. Totals	0	0	0	0
	25. Total Common Stocks	0	0	0	0
	26. Total Stocks	0	0	0	0
	27. Total Bonds and Stocks	771,961,443	781,217,882	788,851,378	

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.7	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed (a)
1. U.S. Governments											
1.1 NAIC 1	34,913,757	80,081,002	10,274,309	95,880	5,314	125,370,262	13.5	173,612,587	20.0	125,370,262	0
1.2 NAIC 2	0	0	0	0	0	0	0.0	0	0.0	0	0
1.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	0	0
1.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	0	0
1.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	0	0
1.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	0	0
1.7 Totals	34,913,757	80,081,002	10,274,309	95,880	5,314	125,370,262	13.5	173,612,587	20.0	125,370,262	0
2. All Other Governments											
2.1 NAIC 1	0	0	0	0	0	0	0.0	0	0.0	0	0
2.2 NAIC 2	0	0	0	0	0	0	0.0	0	0.0	0	0
2.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	0	0
2.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	0	0
2.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	0	0
2.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	0	0
2.7 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0
3. U.S. States, Territories and Possessions etc., Guaranteed											
3.1 NAIC 1	3,000,000	29,580,216	16,598,752	0	0	49,178,968	5.3	64,780,102	7.5	49,178,968	0
3.2 NAIC 2	0	0	0	0	0	0	0.0	0	0.0	0	0
3.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	0	0
3.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	0	0
3.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	0	0
3.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	0	0
3.7 Totals	3,000,000	29,580,216	16,598,752	0	0	49,178,968	5.3	64,780,102	7.5	49,178,968	0
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed											
4.1 NAIC 1	8,711,876	19,956,592	46,244,621	0	0	74,913,089	8.0	55,340,600	6.4	74,913,088	0
4.2 NAIC 2	0	0	0	0	0	0	0.0	0	0.0	0	0
4.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	0	0
4.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	0	0
4.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	0	0
4.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	0	0
4.7 Totals	8,711,876	19,956,592	46,244,621	0	0	74,913,089	8.0	55,340,600	6.4	74,913,088	0
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed											
5.1 NAIC 1	33,117,557	112,745,514	110,371,127	10,458,779	2,429,582	269,122,559	28.9	307,290,576	35.4	269,122,559	0
5.2 NAIC 2	0	0	0	0	0	0	0.0	0	0.0	0	0
5.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	0	0
5.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	0	0
5.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	0	0
5.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	0	0
5.7 Totals	33,117,557	112,745,514	110,371,127	10,458,779	2,429,582	269,122,559	28.9	307,290,576	35.4	269,122,559	0

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.7	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed (a)
6. Industrial & Miscellaneous (Unaffiliated)											
6.1 NAIC 1	199,401,987	112,287,161	14,857,017	0	0	326,546,165	35.0	191,582,318	22.1	285,354,836	41,191,329
6.2 NAIC 2	17,677,036	41,753,881	27,275,475	0	0	86,706,392	9.3	75,382,296	8.7	80,708,784	5,997,608
6.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	0	0
6.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	0	0
6.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	0	0
6.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	0	0
6.7 Totals	217,079,023	154,041,042	42,132,492	0	0	413,252,557	44.3	266,964,614	30.8	366,063,620	47,188,937
7. Hybrid Securities											
7.1 NAIC 1	0	0	0	0	0	0	0.0	0	0.0	0	0
7.2 NAIC 2	0	0	0	0	0	0	0.0	0	0.0	0	0
7.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	0	0
7.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	0	0
7.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	0	0
7.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	0	0
7.7 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0
8. Parent, Subsidiaries and Affiliates											
8.1 NAIC 1	0	0	0	0	0	0	0.0	0	0.0	0	0
8.2 NAIC 2	0	0	0	0	0	0	0.0	0	0.0	0	0
8.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	0	0
8.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	0	0
8.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	0	0
8.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	0	0
8.7 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.7	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed (a)
9. Total Bonds Current Year											
9.1 NAIC 1	(d) 279,145,177	354,650,485	198,345,826	10,554,659	2,434,896	845,131,043	90.7	XXX	XXX	803,939,713	41,191,329
9.2 NAIC 2	(d) 17,677,036	41,753,881	27,275,475	0	0	86,706,392	9.3	XXX	XXX	80,708,784	5,997,608
9.3 NAIC 3	(d) 0	0	0	0	0	0	0.0	XXX	XXX	0	0
9.4 NAIC 4	(d) 0	0	0	0	0	0	0.0	XXX	XXX	0	0
9.5 NAIC 5	(d) 0	0	0	0	0	0	0.0	XXX	XXX	0	0
9.6 NAIC 6	(d) 0	0	0	0	0	0	0.0	XXX	XXX	0	0
9.7 Totals	296,822,213	396,404,366	225,621,301	10,554,659	2,434,896	931,837,435	100.0	XXX	XXX	884,648,497	47,188,937
9.8 Line 9.7 as a % of Col. 6	31.9	42.5	24.2	1.1	0.3	100.0	XXX	XXX	XXX	94.9	5.1
10. Total Bonds Prior Year											
10.1 NAIC 1	269,412,978	295,962,793	209,636,144	13,775,669	3,818,599	XXX	XXX	792,606,183	91.3	775,063,030	17,543,153
10.2 NAIC 2	11,130,098	35,140,414	28,039,745	1,072,039	0	XXX	XXX	75,382,296	8.7	70,105,715	5,276,581
10.3 NAIC 3	0	0	0	0	0	XXX	XXX	0	0.0	0	0
10.4 NAIC 4	0	0	0	0	0	XXX	XXX	0	0.0	0	0
10.5 NAIC 5	0	0	0	0	0	XXX	XXX	0	0.0	0	0
10.6 NAIC 6	0	0	0	0	0	XXX	XXX	0	0.0	0	0
10.7 Totals	280,543,076	331,103,207	237,675,889	14,847,708	3,818,599	XXX	XXX	867,988,479	100.0	845,168,745	22,819,734
10.8 Line 10.7 as a % of Col. 8	32.3	38.1	27.4	1.7	0.4	XXX	XXX	100.0	XXX	97.4	2.6
11. Total Publicly Traded Bonds											
11.1 NAIC 1	260,568,688	334,819,746	195,561,725	10,554,660	2,434,897	803,939,716	86.3	775,063,030	89.3	803,939,716	XXX
11.2 NAIC 2	17,677,036	37,351,292	25,680,456	0	0	80,708,784	8.7	70,105,715	8.1	80,708,784	XXX
11.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	0	XXX
11.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	0	XXX
11.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	0	XXX
11.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	0	XXX
11.7 Totals	278,245,724	372,171,038	221,242,181	10,554,660	2,434,897	884,648,500	94.9	845,168,745	97.4	884,648,500	XXX
11.8 Line 11.7 as a % of Col. 6	31.5	42.1	25.0	1.2	0.3	100.0	XXX	XXX	XXX	100.0	XXX
11.9 Line 11.7 as a % of Line 9.7, Col. 6, Section 9	29.9	39.9	23.7	1.1	0.3	94.9	XXX	XXX	XXX	94.9	XXX
12. Total Privately Placed Bonds											
12.1 NAIC 1	18,576,489	19,830,739	2,784,101	0	0	41,191,329	4.4	17,543,153	2.0	XXX	41,191,329
12.2 NAIC 2	0	4,402,589	1,595,019	0	0	5,997,608	0.6	5,276,581	0.6	XXX	5,997,608
12.3 NAIC 3	0	0	0	0	0	0	0.0	0	0.0	XXX	0
12.4 NAIC 4	0	0	0	0	0	0	0.0	0	0.0	XXX	0
12.5 NAIC 5	0	0	0	0	0	0	0.0	0	0.0	XXX	0
12.6 NAIC 6	0	0	0	0	0	0	0.0	0	0.0	XXX	0
12.7 Totals	18,576,489	24,233,328	4,379,120	0	0	47,188,937	5.1	22,819,734	2.6	XXX	47,188,937
12.8 Line 12.7 as a % of Col. 6	39.4	51.4	9.3	0.0	0.0	100.0	XXX	XXX	XXX	XXX	100.0
12.9 Line 12.7 as a % of Line 9.7, Col. 6, Section 9	2.0	2.6	0.5	0.0	0.0	5.1	XXX	XXX	XXX	XXX	5.1

(a) Includes \$ 0 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.

(b) Includes \$ 0 current year, \$ 0 prior year of bonds with Z designations and \$ 0, current year \$ 0 prior year of bonds with Z* designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement. "Z*" means the SVO could not evaluate the obligation because valuation procedures for the security class are under regulatory review.

(c) Includes \$ 0 current year, \$ 0 prior year of bonds with 5* designations and \$ 0, current year \$ 0 prior year of bonds with 6* designations. "5*" means the NAIC designation was assigned by the (SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.

(d) Includes the following amount of non-rated short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ 14,788,388 ; NAIC 2 \$ 4,455,773 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 2

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.5	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed
1. U.S. Governments											
1.1 Issuer Obligations	34,696,759	79,565,186	10,036,379	0	0	124,298,324	13.3	172,389,420	19.9	124,298,324	0
1.2 Residential Mortgage-Backed Securities	216,998	515,815	237,931	95,880	5,314	1,071,938	0.1	1,223,167	0.1	1,071,939	0
1.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
1.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
1.5 Totals	34,913,757	80,081,001	10,274,310	95,880	5,314	125,370,262	13.5	173,612,587	20.0	125,370,263	0
2. All Other Governments											
2.1 Issuer Obligations	0	0	0	0	0	0	0.0	0	0.0	0	0
2.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
2.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
2.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
2.5 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0
3. U.S. States, Territories and Possessions, Guaranteed											
3.1 Issuer Obligations	3,000,000	29,580,216	16,598,752	0	0	49,178,968	5.3	64,780,102	7.5	49,178,968	0
3.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
3.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
3.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
3.5 Totals	3,000,000	29,580,216	16,598,752	0	0	49,178,968	5.3	64,780,102	7.5	49,178,968	0
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed											
4.1 Issuer Obligations	8,711,876	19,956,592	46,244,621	0	0	74,913,089	8.0	55,340,600	6.4	74,913,088	0
4.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
4.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
4.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
4.5 Totals	8,711,876	19,956,592	46,244,621	0	0	74,913,089	8.0	55,340,600	6.4	74,913,088	0
5. U.S. Special Revenue & Special Assessment Obligations etc., Non-Guaranteed											
5.1 Issuer Obligations	20,548,796	82,766,237	93,746,991	0	0	197,062,024	21.1	228,279,157	26.3	197,062,023	0
5.2 Residential Mortgage-Backed Securities	12,568,761	29,979,277	16,624,136	10,458,779	2,429,582	72,060,535	7.7	79,011,419	9.1	72,060,536	0
5.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
5.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
5.5 Totals	33,117,557	112,745,514	110,371,127	10,458,779	2,429,582	269,122,559	28.9	307,290,576	35.4	269,122,559	0
6. Industrial and Miscellaneous											
6.1 Issuer Obligations	190,626,060	112,642,381	40,746,628	0	0	344,015,069	36.9	263,530,041	30.4	314,513,688	29,501,381
6.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
6.3 Commercial Mortgage-Backed Securities	2,416,873	20,249,994	1,385,863	0	0	24,052,730	2.6	0	0.0	24,052,730	0
6.4 Other Loan-Backed and Structured Securities	24,036,090	21,148,665	0	0	0	45,184,755	4.8	3,434,574	0.4	27,497,202	17,687,553
6.5 Totals	217,079,023	154,041,040	42,132,491	0	0	413,252,554	44.3	266,964,615	30.8	366,063,620	47,188,934
7. Hybrid Securities											
7.1 Issuer Obligations	0	0	0	0	0	0	0.0	0	0.0	0	0
7.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
7.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
7.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
7.5 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0
8. Parent, Subsidiaries and Affiliates											
8.1 Issuer Obligations	0	0	0	0	0	0	0.0	0	0.0	0	0
8.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
8.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
8.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	0	0.0	0	0.0	0	0
8.5 Totals	0	0	0	0	0	0	0.0	0	0.0	0	0

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 Total Current Year	7 Col. 6 as a % of Line 9.5	8 Total from Col. 6 Prior Year	9 % From Col. 7 Prior Year	10 Total Publicly Traded	11 Total Privately Placed
9. Total Bonds Current Year											
9.1 Issuer Obligations	257,583,491	324,510,612	207,373,371	0	0	789,467,474	84.7	XXX	XXX	759,966,091	29,501,381
9.2 Residential Mortgage-Backed Securities	12,785,759	30,495,092	16,862,067	10,554,659	2,434,896	73,132,473	7.8	XXX	XXX	73,132,475	0
9.3 Commercial Mortgage-Backed Securities	2,416,873	20,249,994	1,385,863	0	0	24,052,730	2.6	XXX	XXX	24,052,730	0
9.4 Other Loan-Backed and Structured Securities	24,036,090	21,148,665	0	0	0	45,184,755	4.8	XXX	XXX	27,497,202	17,687,553
9.5 Totals	296,822,213	396,404,363	225,621,301	10,554,659	2,434,896	931,837,432	100.0	XXX	XXX	884,648,498	47,188,934
9.6 Line 9.5 as a % of Col. 6	31.9	42.5	24.2	1.1	0.3	100.0	XXX	XXX	XXX	94.9	5.1
10. Total Bonds Prior Year											
10.1 Issuer Obligations	265,540,840	299,570,912	218,135,529	1,072,039	0	XXX	XXX	784,319,320	90.4	761,499,585	22,819,735
10.2 Residential Mortgage-Backed Securities	11,735,841	31,444,022	19,460,455	13,775,669	3,818,599	XXX	XXX	80,234,586	9.2	80,234,586	0
10.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	XXX	0	0.0	0	0
10.4 Other Loan-Backed and Structured Securities	3,266,395	88,274	79,905	0	0	XXX	XXX	3,434,574	0.4	3,434,574	0
10.5 Totals	280,543,076	331,103,208	237,675,889	14,847,708	3,818,599	XXX	XXX	867,988,480	100.0	845,168,745	22,819,735
10.6 Line 10.5 as a % of Col. 8	32.3	38.1	27.4	1.7	0.4	XXX	XXX	100.0	XXX	97.4	2.6
11. Total Publicly Traded Bonds											
11.1 Issuer Obligations	250,992,350	305,979,491	202,994,251	0	0	759,966,092	81.6	761,499,585	87.7	759,966,092	XXX
11.2 Residential Mortgage-Backed Securities	12,785,759	30,495,093	16,862,067	10,554,660	2,434,897	73,132,476	7.8	80,234,586	9.2	73,132,476	XXX
11.3 Commercial Mortgage-Backed Securities	2,416,873	20,249,994	1,385,863	0	0	24,052,730	2.6	0	0.0	24,052,730	XXX
11.4 Other Loan-Backed and Structured Securities	12,050,742	15,446,460	0	0	0	27,497,202	3.0	3,434,574	0.4	27,497,202	XXX
11.5 Totals	278,245,724	372,171,038	221,242,181	10,554,660	2,434,897	884,648,500	94.9	845,168,745	97.4	884,648,500	XXX
11.6 Line 11.5 as a % of Col. 6	31.5	42.1	25.0	1.2	0.3	100.0	XXX	XXX	XXX	100.0	XXX
11.7 Line 11.5 as a % of Line 9.5, Col. 6, Section 9	29.9	39.9	23.7	1.1	0.3	94.9	XXX	XXX	XXX	94.9	XXX
12. Total Privately Placed Bonds											
12.1 Issuer Obligations	6,591,141	18,531,121	4,379,120	0	0	29,501,382	3.2	22,819,735	2.6	XXX	29,501,382
12.2 Residential Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	XXX	0
12.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	0	0.0	0	0.0	XXX	0
12.4 Other Loan-Backed and Structured Securities	11,985,348	5,702,205	0	0	0	17,687,553	1.9	0	0.0	XXX	17,687,553
12.5 Totals	18,576,489	24,233,326	4,379,120	0	0	47,188,935	5.1	22,819,735	2.6	XXX	47,188,935
12.6 Line 12.5 as a % of Col. 6	39.4	51.4	9.3	0.0	0.0	100.0	XXX	XXX	XXX	XXX	100.0
12.7 Line 12.5 as a % of Line 9.5, Col. 6, Section 9	2.0	2.6	0.5	0.0	0.0	5.1	XXX	XXX	XXX	XXX	5.1

SCHEDULE DA - VERIFICATION BETWEEN YEARS

Short-Term Investments

	1	2	3	4	5
	Total	Bonds	Mortgage Loans	Other Short-term Investment Assets (a)	Investments in Parent, Subsidiaries and Affiliates
1. Book/adjusted carrying value, December 31 of prior year	116,451,767	116,451,767	0	0	0
2. Cost of short-term investments acquired	7,960,469,262	7,960,469,262	0	0	0
3. Accrual of discount	2,754	2,754	0	0	0
4. Unrealized valuation increase (decrease)	0	0	0	0	0
5. Total gain (loss) on disposals	0	0	0	0	0
6. Deduct consideration received on disposals	7,917,559,784	7,917,559,784	0	0	0
7. Deduct amortization of premium	342,885	342,885	0	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0	0	0	0
9. Deduct current year's other than temporary impairment recognized	0	0	0	0	0
10. Book adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	159,021,114	159,021,114	0	0	0
11. Deduct total nonadmitted amounts	0	0	0	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	159,021,114	159,021,114	0	0	0

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - VERIFICATION BETWEEN YEARS

(Cash Equivalents)

	1	2	3
	Total	Bonds	Other (a)
1. Book/adjusted carrying value, December 31 of prior year	74,999,771	74,999,771	0
2. Cost of cash equivalents acquired	26,804,277	26,804,277	0
3. Accrual of discount	1,431	1,431	0
4. Unrealized valuation increase (decrease)	0	0	0
5. Total gain (loss) on disposals	0	0	0
6. Deduct consideration received on disposals	100,950,000	100,950,000	0
7. Deduct amortization of premium	601	601	0
8. Total foreign exchange change in book/adjusted carrying value	0	0	0
9. Deduct current year's other than temporary impairment recognized	0	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	854,878	854,878	0
11. Deduct total nonadmitted amounts	0	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	854,878	854,878	0

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule A - Part 1 - Real Estate Owned

N O N E

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 1 - Mortgage Loans Owned

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE BA - PART 1

Showing Other Long-Term Invested Assets OWNED December 31 of Current Year

1 CUSIP Identifi- cation	2 Name or Description	3 Code	4 Location		6 Name of Vendor or General Partner	7 NAIC Designation	8 Date Originally Acquired	9 Type and Strategy	10 Actual Cost	11 Fair Value	12 Book/ Adjusted Carrying Value Less Encum- brances	Change in Book/Adjusted Carrying Value					18 Invest- ment Income	19 Commit- ment for Additional Invest- ment	20 Percen- tage of Own- ership	
			4 City	5 State								13 Unrealized Valuation Increase (Decrease)	14 Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion	15 Current Year's Other- Than- Tempo- rary Impair- ment Recogn- ized	16 Capital- ized Deferred Interest and Other	17 Total Foreign Exchange Change in Book/ Adjusted Carrying Value				
	US Bancorp Guar Fed LIHTC Fd 2012-5 Prv P			.GA.	US Bank	.12	.07/02/2012		7,577,333	7,577,333	7,577,333	0	0	0	0	0	0	0	0	0.000
3199999	Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated								7,577,333	7,577,333	7,577,333	0	0	0	0	0	0	0	0	XXX
	Enterprise N Guar Fed LIHTC PrvPlc CS			.US.	Enterprise	.12	.08/27/2013		12,394,036	12,394,036	12,394,036	0	0	0	0	0	0	0	0	0.000
3399999	Non-Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated								12,394,036	12,394,036	12,394,036	0	0	0	0	0	0	0	0	XXX
4499999	Total - Unaffiliated								19,971,369	19,971,369	19,971,369	0	0	0	0	0	0	0	0	XXX
4599999	Total - Affiliated								0	0	0	0	0	0	0	0	0	0	0	XXX
4699999	Totals								19,971,369	19,971,369	19,971,369	0	0	0	0	0	0	0	0	XXX

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ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE December 31 of Current Year

1 CUSIP Identification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 Date Originally Acquired	7 Type and Strategy	8 Actual Cost at Time of Acquisition	9 Additional Investment Made After Acquisition	10 Amount of Encumbrances	11 Percentage of Ownership
		3 City	4 State							
NONE										
4699999 - Totals										XXX

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Year

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/ Adjusted Carrying Value Less Encum- brances, Prior Year	Change in Book/Adjusted Carrying Value						15 Book/ Adjusted Carrying Value Less Encum- brances on Disposal	16 Consid- eration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Invest- ment Income
		3 City	4 State					9 Unrealized Valuation Increase (De- crease)	10 Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion	11 Current Year's Other- Than- Tempo- rary Impair- ment Recogn- ized	12 Capital- ized Deferred Interest and Other	13 Total Change in Book/ Adjusted Carrying Value (9+10- 11+12)	14 Total Foreign Exchange Change in Book/ Adjusted Carrying Value						
	US Bancorp Guar Fed LIHTC Fd 2012-5 Prv P		GA	Paydown	07/02/2012		894,925	0	(894,925)	0	0	(894,925)	0	894,925	0	0	0	0	0
3199999	Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated						894,925	0	(894,925)	0	0	(894,925)	0	894,925	0	0	0	0	0
	Enterprise N Guar Fed LIHTC PrvPlc CS		US	Paydown	08/27/2013		397,547	0	(397,547)	0	0	(397,547)	0	397,547	0	0	0	0	0
3399999	Non-Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated						397,547	0	(397,547)	0	0	(397,547)	0	397,547	0	0	0	0	0
4499999	Total - Unaffiliated						1,292,472	0	(1,292,472)	0	0	(1,292,472)	0	1,292,472	0	0	0	0	0
4599999	Total - Affiliated						0	0	0	0	0	0	0	0	0	0	0	0	0
4699999	Totals						1,292,472	0	(1,292,472)	0	0	(1,292,472)	0	1,292,472	0	0	0	0	0

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1 CUSIP Identification	2 Description	Codes			6 NAIC Des.	7 Actual Cost	Fair Value		10 Par Value	11 Book/ Adjusted Carrying Value	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3 Code	4 F o r e i g n	5 Bond Char			8 Rate Used to Obtain Fair Value	9 Fair Value			12 Unrealized Valuation Increase/ (Decrease)	13 Current Year's (Amor- tization) Accretion	14 Current Year's Other- Than- Temporary Impairment Recognized	15 Total Foreign Exchange Change in Book/ Adjusted Carrying Value	16 Rate of	17 Effective Rate of	18 When Paid	19 Admitted Amount Due and Accrued	20 Amount Received During Year	21 Acquired	22 Stated Contractual Maturity Date
912828-A6-7	US Treasury Note				1	756,628	99,9840	758,879	759,000	757,815	0	1,182	0	0	0.250	0.407	JD	5	1,898	12/26/2013	12/31/2015
912828-B8-2	US Treasury Note				1	747,422	99,8750	749,063	750,000	749,460	0	1,038	0	0	0.250	0.428	FA	637	938	03/19/2014	02/29/2016
912828-C8-1	US Treasury Note				1	1,601,875	99,9840	1,599,744	1,600,000	1,601,661	0	(214)	0	0	0.375	0.297	AO	1,028	0	10/30/2014	04/30/2016
912828-EI-6	US Treasury Note		SD		1	1,016,469	104,6330	941,697	900,000	925,806	0	(22,560)	0	0	4.500	1.904	AO	15,298	40,500	02/08/2011	02/15/2016
912828-F8-2	US Treasury Note				1	10,044,176	99,3910	10,038,491	10,100,000	10,045,456	0	1,280	0	0	1.500	1.617	AO	25,948	0	11/17/2014	10/31/2019
912828-G3-8	US Treasury Note				1FE	10,035,691	100,6720	10,167,872	10,100,000	10,036,379	0	687	0	0	2.250	2.322	MN	29,505	0	11/14/2014	11/15/2024
912828-GS-3	US Treasury Note		SD		1	2,336,063	108,5860	2,182,579	2,010,000	2,170,952	0	(66,708)	0	0	4.500	1.069	MN	11,744	90,450	11/04/2013	05/15/2017
912828-HH-6	US Treasury Note		SD		1	5,871,875	109,0550	5,452,750	5,000,000	5,502,086	0	(172,560)	0	0	4.250	0.709	MN	27,590	212,500	11/06/2012	11/15/2017
912828-HR-4	US Treasury Note		SD		1	47,291,156	107,1640	45,437,536	42,400,000	45,706,809	0	(1,038,550)	0	0	3.500	0.958	FA	560,533	1,484,000	11/06/2013	02/15/2018
912828-NZ-9	US Treasury Note				1	2,029,688	100,7660	2,015,320	2,000,000	2,015,390	0	(14,298)	0	0	1.250	0.215	MS	6,387	12,500	04/22/2014	09/30/2015
912828-PM-6	US Treasury Note				1	818,750	101,8200	814,560	800,000	815,679	0	(3,071)	0	0	2.125	0.157	JD	47	8,500	10/20/2014	12/31/2015
912828-OF-0	US Treasury Note				1	768,779	102,1090	765,818	750,000	765,921	0	(2,858)	0	0	2.000	0.396	AO	2,569	7,500	10/03/2014	04/30/2016
912828-QX-1	US Treasury Note				1	1,630,813	101,5310	1,624,496	1,600,000	1,627,857	0	(2,956)	0	0	1.500	0.395	JJ	10,043	0	10/30/2014	07/31/2016
912828-SP-6	US Treasury Note				1	1,002,422	100,0780	1,000,780	1,000,000	1,000,574	0	(1,848)	0	0	0.375	0.174	AO	804	3,750	01/29/2014	04/15/2015
912828-SZ-4	US Treasury Note				1	751,523	100,1170	750,878	750,000	750,490	0	(1,034)	0	0	0.375	0.231	JD	131	2,813	01/15/2014	06/15/2015
912828-TK-6	US Treasury Note				1	1,501,699	100,0390	1,500,585	1,500,000	1,500,818	0	(881)	0	0	0.250	0.162	FA	1,416	1,875	04/30/2014	08/15/2015
912828-TT-7	US Treasury Note				1	750,322	100,0080	750,060	750,000	750,172	0	(150)	0	0	0.250	0.221	AO	402	938	04/24/2014	10/15/2015
912828-TX-8	US Treasury Note				1	751,729	100,0940	750,705	750,000	751,094	0	(634)	0	0	0.375	0.207	MN	365	1,406	06/27/2014	11/15/2015
912828-UG-3	US Treasury Note				1	751,143	100,0630	750,473	750,000	750,860	0	(283)	0	0	0.375	0.264	JJ	1,299	0	08/27/2014	01/15/2016
912828-UT-5	US Treasury Note				1	3,502,598	100,0350	3,501,225	3,500,000	3,500,542	0	(2,056)	0	0	0.250	0.187	MS	2,236	8,750	01/24/2014	03/31/2015
912828-VH-0	US Treasury Note				1	2,005,234	100,1330	2,002,660	2,000,000	2,001,853	0	(3,382)	0	0	0.375	0.189	JD	21	7,500	01/31/2014	06/30/2015
912828-VR-8	US Treasury Note				1	751,494	100,1640	751,230	750,000	751,235	0	(259)	0	0	0.625	0.523	FA	1,771	0	08/27/2014	08/15/2016
912828-VU-1	US Treasury Note				1	3,008,906	100,1330	3,003,990	3,000,000	3,004,548	0	(4,358)	0	0	0.375	0.145	FA	3,823	5,625	05/14/2014	08/31/2015
912828-VY-3	US Treasury Note				1	1,000,664	100,0310	1,000,310	1,000,000	1,000,384	0	(280)	0	0	0.250	0.198	MS	639	1,250	06/13/2014	09/30/2015
912828-WM-8	US Treasury Note				1	1,601,375	99,9380	1,599,008	1,600,000	1,601,228	0	(147)	0	0	0.375	0.320	MN	527	3,000	10/31/2014	05/31/2016
912828-WO-9	US Treasury Note				1	499,805	100,0310	500,155	500,000	499,852	0	48	0	0	0.500	0.520	JD	7	1,250	07/03/2014	06/30/2016
912828-WT-3	US Treasury Note				1	3,434,783	99,8830	3,445,964	3,450,000	3,436,994	0	2,211	0	0	0.875	1.024	JJ	13,945	0	07/30/2014	07/15/2017
912828-WW-6	US Treasury Note				1	3,427,775	100,1560	3,455,382	3,450,000	3,430,009	0	2,234	0	0	1.625	1.759	JJ	23,209	0	07/30/2014	07/31/2019
0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations						109,690,857	XXX	107,312,210	103,519,000	107,450,924	0	(1,330,407)	0	0	XXX	XXX	XXX	741,929	1,896,943	XXX	XXX
3620A9-PO-8	GNMA Pool 723231 MBS		2		1	1,069,959	107,3790	1,072,813	999,086	1,068,563	0	(613)	0	0	4.000	2.188	MON	3,330	39,963	06/17/2013	10/15/2039
36220N-VU-8	GNMA Pool 283327 MBS		2		1	3,384	109,0970	3,690	3,382	3,376	0	(1)	0	0	9.000	9.083	MON	25	304	11/16/1989	12/15/2019
0299999. Subtotal - Bonds - U.S. Governments - Residential Mortgage-Backed Securities						1,073,343	XXX	1,076,503	1,002,468	1,071,939	0	(614)	0	0	XXX	XXX	XXX	3,355	40,267	XXX	XXX
0599999. Total - U.S. Government Bonds						110,764,200	XXX	108,388,713	104,521,468	108,522,863	0	(1,331,021)	0	0	XXX	XXX	XXX	745,284	1,937,210	XXX	XXX
1099999. Total - All Other Government Bonds						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
13063B-5F-5	CALIFORNIA ST GO Cont Call		1		1FE	1,142,420	112,5290	1,125,290	1,000,000	1,095,150	0	(28,114)	0	0	5.000	1.961	AO	12,500	50,000	04/12/2013	10/01/2025
13063B-HK-7	CALIFORNIA ST GO Non Call				1FE	1,961,009	116,8720	1,928,388	1,650,000	1,948,545	0	(12,463)	0	0	5.000	1.140	MN	13,750	41,250	10/14/2014	11/01/2019
13063C-JG-6	CALIFORNIA ST GO Cont Call		1		1FE	4,953,545	121,8920	5,180,410	4,250,000	4,906,629	0	(46,916)	0	0	5.000	3.011	JD	17,708	144,028	04/02/2014	12/01/2024
20772J-D0-2	CONNECTICUT ST GO Non Call				1FE	4,120,025	114,1430	3,995,005	3,500,000	3,983,858	0	(122,388)	0	0	5.000	1.290	MN	29,167	175,000	11/18/2013	11/01/2018
20772J-NG-3	CONNECTICUT ST GO Non Call				1FE	5,841,650	117,3320	5,866,600	5,000,000	5,682,488	0	(114,102)	0	0	5.000	2.356	JJ	115,278	234,722	07/24/2013	07/15/2020
574193-DX-3	MARYLAND ST GO Non Call				1FE	6,032,600	109,5650	5,478,250	5,000,000	5,460,785	0	(206,750)	0	0	5.000	0.770	MS	73,611	250,000	03/08/2012	03/15/2017
574193-KJ-6	MARYLAND ST GO Non Call				1FE	6,079,700	120,1420	6,007,100	5,000,000	6,009,635	0	(70,065)	0	0	5.250	1.470	FA	106,458	0	07/28/2014	08/01/2020
658256-L2-6	NORTH CAROLINA S GO Non Call				1FE	4,594,680	110,3030	4,412,120	4,000,000	4,412,136	0	(168,675)	0	0	5.000	0.690	JD	16,667	200,000	11/26/2013	06/01/2017
709141-08-1	PA St GO Cont Call		1		1FE	3,447,330	100,3420	3,010,260	3,000,000	3,000,000	0	(56,134)	0	0	5.000	2.140	MS	50,000	150,000	02/05/2009	09/01/2015
70914P-LB-6	PENNSYLVANIA ST GO Non Call				1FE	4,629,478	115,2710	4,478,278	3,885,000	4,326,717	0	(92,145)	0	0	5.000	2.323	JJ	97,125	194,250	12/14/2011	07/01/2019
70914P-UP-5	PENNSYLVANIA ST GO Non Call				1FE	5,926,500	116,0180	5,800,900	5,000,000	5,760,073	0	(151,506)	0	0	5.000	1.680	AO	52,778	234,722	11/21/2013	10/15/2019
882722-YF-2	TX St GO Non Call				1FE	2,811,894	113,8020	2,680,037	2,355,000	2,592,953	0	(63,334)	0	0	5.000	2.061	FA	49,063	117,750	05/18/2011	08/01/2018
1199999. Subtotal - Bonds - U.S. States, Territories and Possessions - Issuer Obligations						51,540,831	XXX	49,962,638	43,640,000	49,178,969	0	(1,132,592)	0	0	XXX	XXX	XXX	634,105	1,791,722	XXX	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds						51,540,831	XXX	49,962,638	43,640,000	49,178,969	0	(1,132,592)	0	0	XXX	XXX	XXX	634,105	1,791,722	XXX	XXX
088275-7A-4	Bexar Cnty TX GO Cont Call				1FE	2,384,470	102,2640	2,331,619	2,280,000	2,288,308	0	(17,773)	0	0	5.250	4.428	JD	5,320	119,700	11/19/2008	06/15/2019
184540-ZJ-4	CLEAR CREEK TX I GO Non Call				1FE	2,764,802	115,4050	2,792,801	2,420,000	2,575,402	0	(34,631)	0	0	5.000	3.320	FA	45,711	121,000	01/22/2009	02/15/2019
235218-NB-3	DALLAS TX GO Cont Call				1FE	2,225,420	100,5260	2,010,520	2,000,000	2,005,379	0	(43,541)	0	0	5.000	2.770	FA	37,778	100,000	08/18/2009	02/15/2017
235219-HS-4	DALLAS TX GO Cont Call				1FE	6,196,338	119,9310	6,206,429	5,175,000	6,144,078	0	(52,260)	0	0	5.000	2.150	FA	97,750	129,375	07/28/2014	02

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
346604-HN-4	FORSYTH CNTY GA GO Non Call				1FE	1,186,240	117.4500	1,174,500	1,000,000	1,179,781	0	(6,459)	0	0	5.000	1.331	FA	9,444	0	10/10/2014	02/01/2020
346604-HS-3	FORSYTH CNTY GA GO Non Call				1FE	1,585,004	123.6470	1,576,499	1,275,000	1,579,243	0	(5,760)	0	0	5.000	2.101	FA	12,042	0	10/10/2014	02/01/2024
349460-Y3-3	Fort Worth TX Sch Dist GO Non Call				1FE	4,981,747	100.5780	4,430,461	4,405,000	4,418,189	0	(106,860)	0	0	5.000	2.521	FA	83,206	220,250	04/29/2009	02/15/2015
358860-Y9-1	Frisco TX Indp Sch Dist GO Cont Call			1	1FE	1,248,000	110.7920	1,329,504	1,200,000	1,216,160	0	(5,690)	0	0	5.000	4.450	FA	22,667	60,000	09/17/2008	08/15/2021
401784-HK-5	Guilford Cnty NC GO Non Call				1FE	2,832,224	112.3840	2,674,739	2,380,000	2,547,481	0	(51,497)	0	0	5.000	2.610	FA	49,583	119,000	02/09/2009	02/01/2018
438670-J4-4	HONOLULU CITY & GO Cont Call			1	1FE	6,272,768	122.3430	6,545,351	5,350,000	6,201,011	0	(71,757)	0	0	5.000	2.730	MN	44,593	267,500	04/01/2014	11/01/2024
442331-E2-6	HOUSTON TX GO Non Call				1FE	4,780,160	119.1340	4,765,360	4,000,000	4,738,316	0	(41,844)	0	0	5.000	1.820	MS	73,333	0	07/23/2014	03/01/2021
49474F-BD-1	KING CNTY WA GO Non Call				1FE	2,539,530	111.8730	2,349,333	2,100,000	2,344,526	0	(82,366)	0	0	5.000	0.941	JD	8,750	105,000	07/17/2012	12/01/2017
581664-CP-2	MCKINNEY TX INDE GO Non Call				1FE	3,046,148	117.2550	3,019,316	2,575,000	3,035,746	0	(10,402)	0	0	5.000	1.371	FA	15,378	0	10/09/2014	02/15/2020
584002-MW-6	MECKLENBURG CNTY GO Non Call				1FE	1,195,140	113.8400	1,138,400	1,000,000	1,080,173	0	(21,059)	0	0	5.000	2.641	FA	20,833	50,000	02/12/2009	08/01/2018
591852-TM-0	MET COUNCIL MINN GO Non Call				1FE	4,662,389	111.0290	4,274,617	3,850,000	4,271,979	0	(156,087)	0	0	5.000	0.830	MS	64,167	192,500	06/06/2012	09/01/2017
592112-JR-8	MET GOVT NASHVIL GO Non Call				1FE	3,522,360	119.7790	3,593,340	3,000,000	3,459,021	0	(63,339)	0	0	5.000	2.440	JJ	75,000	75,000	01/02/2014	07/01/2021
592112-JS-6	MET GOVT NASHVIL GO Non Call				1FE	2,965,600	120.9930	2,419,860	2,000,000	2,323,672	0	(38,593)	0	0	5.000	2.610	JJ	50,000	100,000	11/25/2013	07/01/2022
64966K-U6-8	NEW YORK NY GO Non Call				1FE	2,332,200	118.7200	2,374,400	2,000,000	2,279,608	0	(38,358)	0	0	5.000	2.670	FA	41,667	96,111	08/01/2013	08/01/2021
64966K-VY-9	NEW YORK NY GO Non Call				1FE	5,985,700	120.0410	6,002,050	5,000,000	5,835,888	0	(98,668)	0	0	5.000	2.561	FA	104,167	250,000	06/18/2013	08/01/2022
64966L-DU-2	NEW YORK NY GO Non Call				1FE	3,569,017	115.5920	3,479,319	3,010,000	3,486,584	0	(82,433)	0	0	5.000	1.420	FA	62,708	75,250	02/27/2014	08/01/2019
64966L-DX-6	NEW YORK NY GO Non Call				1FE	1,892,121	120.0410	1,980,677	1,650,000	1,866,267	0	(24,989)	0	0	5.000	3.051	FA	34,375	50,875	12/12/2013	08/01/2022
64966L-RG-8	NEW YORK NY GO Non Call				1FE	6,052,350	118.7200	5,936,000	5,000,000	6,023,453	0	(28,897)	0	0	5.000	1.700	FA	81,250	0	10/15/2014	08/01/2021
751091-JN-0	Raleigh NC GO Non Call				1FE	2,556,246	112.2870	2,447,857	2,180,000	2,434,287	0	(80,557)	0	0	5.000	1.140	FA	45,417	109,000	06/19/2013	02/01/2018
796237-WY-9	SAN ANTONIO TX GO Cont Call			1	1FE	1,806,822	120.9750	1,693,650	1,400,000	1,578,537	0	(20,580)	0	0	5.000	3.100	FA	29,167	70,000	08/08/2013	02/01/2024
1899999. Subtotal - Bonds - U.S. Political Subdivisions - Issuer Obligations						77,782,796	XXX	76,546,602	66,250,000	74,913,089	0	(1,184,400)	0	0	XXX	XXX	XXX	1,114,296	2,310,561	XXX	XXX
2499999. Total - U.S. Political Subdivisions Bonds						77,782,796	XXX	76,546,602	66,250,000	74,913,089	0	(1,184,400)	0	0	XXX	XXX	XXX	1,114,296	2,310,561	XXX	XXX
010609-AP-0	ALABAMA ST PUBLI Rev Bond Non Call				1FE	7,068,720	117.0160	7,020,960	6,000,000	6,979,602	0	(89,118)	0	0	5.000	1.590	JJ	142,500	0	07/02/2014	01/01/2020
010609-AS-4	ALABAMA ST PUBLI Rev Bond Non Call				1FE	4,808,760	121.3010	4,852,040	4,000,000	4,768,902	0	(39,858)	0	0	5.000	2.350	JJ	95,000	0	07/11/2014	01/01/2023
040580-FA-5	AZ Sch Fac Rev Bond Non Call				1FE	4,254,000	100.0000	4,000,000	4,000,000	4,000,000	0	(45,094)	0	0	5.000	3.840	JJ	100,000	200,000	10/09/2008	01/01/2015
052476-AA-0	AUSTIN TX WTR & Rev Bond Cont Call			1	1FE	4,971,880	120.9850	4,839,400	4,000,000	4,763,713	0	(87,450)	0	0	5.000	2.331	MN	25,556	200,000	07/12/2012	11/15/2023
13034A-BA-7	CA St Infra Rev Bond Non Call				1FE	2,613,525	114.3140	2,372,016	2,075,000	2,384,427	0	(80,758)	0	0	5.000	0.940	AO	25,938	103,750	02/02/2012	10/01/2018
13068L-HE-8	CALIFORNIA ST PU Rev Bond Non Call				1FE	1,447,363	119.4230	1,492,788	1,250,000	1,419,269	0	(22,857)	0	0	5.000	2.761	MS	20,833	55,903	10/03/2013	09/01/2021
167582-MZ-7	CHICAGO IL MIDWA Rev Bond Non Call				1FE	3,350,361	116.7840	3,462,646	2,965,000	3,297,020	0	(49,728)	0	0	5.000	2.950	JJ	74,125	84,832	11/22/2013	01/01/2021
235416-AM-3	DALLAS TX WTRKS Rev Bond Non Call				1FE	2,361,100	120.3520	2,407,400	2,000,000	2,316,700	0	(42,666)	0	0	5.000	2.440	AO	25,000	100,000	12/12/2013	10/01/2021
29270C-ZR-9	ENERGY N W WA EL Rev Bond Non Call				1FE	4,207,246	113.4130	4,139,575	3,650,000	4,123,906	0	(83,340)	0	0	5.000	1.201	JJ	91,250	23,826	04/10/2014	07/01/2018
3130A0-P3-8	FHLB Note Non Call				1FE	999,850	100.0430	1,000,430	1,000,000	999,945	0	95	0	0	0.260	0.270	JJ	1,156	1,300	01/13/2014	07/21/2015
3135G0-P0-0	FNMA Note Non Call				1FE	2,399,880	99.1550	2,379,720	2,400,000	2,399,931	0	24	0	0	0.875	0.876	AO	3,792	21,000	09/20/2012	10/26/2017
37358M-DF-5	GEORGIA ST ROAD Rev Bond Non Call				1FE	4,050,197	120.7050	3,928,948	3,255,000	3,805,736	0	(74,698)	0	0	5.000	2.280	AO	40,688	162,750	08/17/2011	10/01/2021
407288-ZC-6	HAMILTON CNTY OH Rev Bond Non Call				1FE	1,348,655	132.7610	1,322,761	1,100,000	1,344,677	0	(3,978)	0	0	5.000	1.590	JD	6,417	0	10/16/2014	12/01/2021
426357-FV-6	HENRY CNTY GA HO Rev Bond Non Call				1FE	1,178,242	116.6000	1,171,830	1,005,000	1,175,799	0	(2,443)	0	0	5.000	2.500	JJ	5,863	0	10/24/2014	07/01/2022
442404-DM-8	HOUSTON TX INDEP Rev Bond Non Call				1FE	2,357,500	117.4720	2,349,440	2,000,000	2,356,005	0	(1,495)	0	0	5.000	1.709	MS	2,222	0	12/12/2014	09/15/2020
442435-YM-9	HOUSTON TX UTILI Rev Bond Non Call				1FE	2,408,260	114.4880	2,298,760	2,000,000	2,201,997	0	(49,407)	0	0	5.000	2.259	MN	12,778	100,000	08/25/2010	11/15/2018
45203H-B9-2	ILLINOIS ST FIN Rev Bond Non Call				1FE	185,930	110.4220	193,239	175,000	184,584	0	(1,346)	0	0	5.000	2.719	JJ	4,375	0	08/19/2014	07/01/2017
45203H-C4-2	ILLINOIS ST FIN Rev Bond Non Call				1FE	2,470,216	110.2400	2,563,080	2,325,000	2,452,330	0	(17,886)	0	0	5.000	2.719	JJ	58,125	0	08/19/2014	07/01/2017
452252-HQ-5	ILLINOIS ST TOLL Rev Bond Non Call				1FE	3,535,440	118.8910	3,566,730	3,000,000	3,472,287	0	(63,153)	0	0	5.000	2.151	JD	12,500	114,583	01/29/2014	12/01/2020
452252-KA-6	ILLINOIS ST TOLL Rev Bond Non Call				1FE	1,772,490	118.0820	1,771,230	1,500,000	1,770,875	0	(1,555)	0	0	5.000	1.810	JJ	2,708	0	11/26/2014	01/01/2021
46613C-UK-9	JEA FL ELEC SYS Rev Bond Non Call				1FE	2,438,319	110.9690	2,369,188	2,135,000	2,258,582	0	(42,709)	0	0	5.000	2.796	AO	26,688	106,750	07/30/2010	10/01/2017
46613P-II-9-3	JEA FL WTR & SWR Rev Bond Non Call				1FE	3,716,217	118.3810	3,800,030	3,210,000	3,624,062	0	(66,049)	0	0	5.000	2.571	AO	40,125	160,500	07/26/2013	10/01/2020
484890-KK-3	KANSAS CITY MO A Rev Bond Non Call				1FE	1,353,336	112.3180	1,347,816	1,200,000	1,312,193	0	(29,021)	0	0	5.000	2.322	MS	20,000	60,000	07/24/2013	09/01/2018
485429-CT-9	KANSAS ST DEV F1 Rev Bond Non Call				1FE	2,578,049	115.4350	2,510,711	2,175,000	2,495,292	0	(74,367)	0	0	5.000	1.350	MS	36,250	108,750	11/18/2013	03/01/2019
544525-RX-8																					

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	Code	Foreign	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
59259Y-F5-3	MET TRANSPRTN AU Rev Bond Non Call				1FE	1,275,428	117.7750	1,295,525	1,100,000	1,242,788	0	(22,240)	0	0	5.000	2.601	MN	7,028	55,000	07/03/2013	11/15/2020
59259Y-F8-7	MET TRANSPRTN AU Rev Bond Non Call				1FE	1,371,840	121.4350	1,457,220	1,200,000	1,350,749	0	(14,374)	0	0	5.000	3.351	MN	7,667	60,000	07/03/2013	11/15/2023
59447W-NG-2	MICHIGAN ST FIN Rev Bond Cont Call	1			1FE	2,674,710	113.0460	2,543,535	2,250,000	2,505,317	0	(70,291)	0	0	5.000	1.650	JJ	56,250	112,500	07/18/2012	07/01/2021
59469S-X4-5	MICHIGAN ST TRLN Rev Bond Non Call				1FE	1,213,360	119.9860	1,199,860	1,000,000	1,203,726	0	(9,634)	0	0	5.000	1.830	MN	17,222	0	08/14/2014	11/15/2021
60636M-DJ-3	MISSOURI ST REGL Rev Bond Non Call				1FE	2,511,394	115.4300	2,487,517	2,155,000	2,433,948	0	(56,970)	0	0	5.000	2.050	FA	40,706	106,253	08/01/2013	08/15/2019
60636M-DL-8	MISSOURI ST REGL Rev Bond Non Call				1FE	2,208,820	118.0750	2,255,233	1,910,000	2,162,340	0	(34,230)	0	0	5.000	2.800	FA	36,078	94,174	08/12/2013	08/15/2021
60636M-HK-4	MISSOURI ST HIGH Rev Bond Non Call				1FE	5,304,922	101.5610	4,651,494	4,580,000	4,647,375	0	(202,600)	0	0	5.000	0.550	MN	38,167	229,000	09/21/2011	05/01/2015
626207-XT-6	MUNI ELEC AUTH O Rev Bond Non Call				1FE	1,660,781	115.9710	1,669,982	1,440,000	1,613,965	0	(32,352)	0	0	5.000	2.420	JJ	36,000	72,000	07/12/2013	01/01/2020
63968A-YS-8	NEBRASKA ST PUBL Rev Bond Non Call				1FE	2,975,150	118.9680	2,974,200	2,500,000	2,970,021	0	(5,129)	0	0	5.000	1.910	JJ	9,375	0	11/05/2014	07/01/2021
649717-PN-7	NY NYC Tr Cultural Rev Bond Non Call				1FE	2,156,944	108.3350	2,031,281	1,875,000	2,016,661	0	(53,820)	0	0	4.000	1.030	FA	31,250	75,000	05/09/2012	08/01/2017
64971Q-SB-5	NEW YORK CITY NY Rev Bond Non Call				1FE	5,604,069	114.3450	5,448,539	4,765,000	5,429,492	0	(168,119)	0	0	5.000	1.260	MN	39,708	238,250	12/17/2013	11/01/2018
64971Q-6N-8	NEW YORK CITY NY Rev Bond Non Call				1FE	2,935,850	113.6950	2,842,375	2,500,000	2,801,405	0	(87,989)	0	0	5.000	1.291	MN	20,833	125,000	06/13/2013	05/01/2018
64971W-LU-2	NEW YORK CITY NY Rev Bond Non Call				1FE	3,663,235	111.8930	3,653,306	3,265,000	3,644,215	0	(19,200)	0	0	5.000	0.840	MN	27,208	0	11/10/2014	11/01/2017
64971W-LX-6	NEW YORK CITY NY Rev Bond Non Call				1FE	2,081,256	111.4770	2,067,898	1,855,000	2,070,450	0	(10,806)	0	0	5.000	0.840	MN	15,458	0	11/10/2014	11/01/2017
64972F-A7-1	NY NYC Wtr Fin Auth Rev Bond Non Call				1FE	1,148,120	113.1350	1,131,350	1,000,000	1,060,042	0	(16,212)	0	0	5.000	3.151	JD	2,222	50,000	02/19/2009	06/15/2018
64990S-SB-8	NEW YORK ST DORM Rev Bond Non Call				1FE	2,642,990	111.7000	2,597,025	2,325,000	2,561,303	0	(64,576)	0	0	5.000	1.980	JJ	58,125	116,250	09/19/2013	07/01/2018
64990A-CM-5	NEW YORK ST DORM Rev Bond Non Call				1FE	1,797,720	117.5260	1,762,890	1,500,000	1,787,369	0	(10,351)	0	0	5.000	1.191	MS	14,167	0	10/16/2014	03/15/2020
64990A-CN-3	NEW YORK ST DORM Rev Bond Non Call				1FE	2,056,240	119.7040	2,022,998	1,690,000	2,045,639	0	(10,601)	0	0	5.000	1.441	MS	15,961	0	10/16/2014	03/15/2021
650010-AD-3	NEW YORK ST THRU Rev Bond Non Call				1FE	3,993,640	114.4480	4,005,680	3,500,000	3,902,893	0	(87,586)	0	0	5.000	2.201	JJ	87,500	93,819	12/12/2013	05/01/2019
650035-IN-4	NEW YORK ST URBA Rev Bond Non Call				1FE	4,671,000	114.9560	4,598,240	4,000,000	4,520,876	0	(118,394)	0	0	5.000	1.770	MS	58,889	200,000	09/20/2013	03/15/2019
67756A-3Q-3	OHIO ST HGR EDUC Rev Bond Non Call				1FE	1,328,385	114.2860	1,328,860	1,140,000	1,289,598	0	(35,933)	0	0	5.000	1.600	JJ	28,500	57,000	11/26/2013	01/01/2019
70869V-AE-4	PA St Econ Dev Rev Bond Non Call				1FE	7,018,980	113.7440	6,824,640	6,000,000	6,720,701	0	(199,234)	0	0	5.000	1.467	JJ	150,000	300,000	07/15/2013	07/01/2018
73358W-PN-8	PORT AUTH OF NEW Rev Bond Non Call				1FE	5,778,400	116.8050	5,840,250	5,000,000	5,665,622	0	(102,945)	0	0	5.000	2.560	JD	252,778	0	11/22/2013	12/01/2020
759911-WB-2	REGL TRANSPRTN A Rev Bond Non Call				1FE	1,760,419	113.8990	1,759,740	1,545,000	1,629,877	0	(22,879)	0	0	5.000	3.770	JD	7,081	84,975	09/09/2008	06/01/2018
796311-CS-5	San Antonio TX Util Rev Bond Cont Call	1			1FE	2,025,653	120.4330	1,957,036	1,625,000	1,964,203	0	(37,994)	0	0	5.000	2.171	FA	33,854	81,250	04/25/2013	02/01/2024
812643-G0-1	SEATTLE WA MUNI Rev Bond Non Call				1FE	3,487,830	120.8510	3,625,530	3,000,000	3,417,569	0	(49,801)	0	0	5.000	2.900	JD	12,500	150,000	07/25/2013	06/01/2022
812728-PF-4	SEATTLE WA WTR S Rev Bond Non Call				1FE	1,660,875	112.3200	1,735,344	1,545,000	1,588,896	0	(13,119)	0	0	5.000	4.011	FA	32,188	77,250	11/20/2008	02/01/2018
849103-AF-3	SPOKANE WA WATR Rev Bond Non Call				1FE	4,115,458	118.6430	4,099,116	3,455,000	4,106,784	0	(8,674)	0	0	5.000	1.640	JD	13,916	0	11/18/2014	12/01/2020
882135-T4-8	TEXAS ST A & M U Rev Bond Non Call				1FE	4,494,520	101.7510	4,070,040	4,000,000	4,035,375	0	(94,060)	0	0	5.000	2.580	MN	25,556	200,000	10/15/2009	05/15/2015
89602N-T3-8	TRIBOROUGH NY BR Rev Bond Non Call				1FE	2,357,860	122.0380	2,440,760	2,000,000	2,318,014	0	(35,743)	0	0	5.000	2.740	MN	12,778	100,000	11/15/2013	11/15/2022
89602N-ZF-4	TRIBOROUGH NY BR Rev Bond Cont Call	1			1FE	2,281,240	120.5030	2,410,060	2,000,000	2,242,774	0	(26,682)	0	0	5.000	3.240	MN	12,778	100,000	07/15/2013	11/15/2024
913366-FV-7	UNIV OF CALIFORN Rev Bond Cont Call				1FE	1,123,650	120.1690	1,201,690	1,000,000	1,108,681	0	(11,004)	0	0	5.000	3.491	MN	6,389	50,000	08/08/2013	05/15/2024
914692-U5-5	UNIV OF NEW MEXI Rev Bond Non Call				1FE	1,490,000	119.0020	1,487,525	1,250,000	1,487,121	0	(2,879)	0	0	5.000	1.850	JD	5,035	0	11/14/2014	06/01/2021
927793-UN-7	VIRGINIA ST CMML Rev Bond Non Call				1FE	4,390,540	115.6270	4,046,945	3,500,000	4,048,309	0	(121,168)	0	0	5.000	1.301	MN	22,361	175,000	02/01/2012	05/15/2019
92817S-LR-7	VA St Pub Sch Auth Rev Bond Cont Call	1			1FE	3,442,500	117.0890	3,512,670	3,000,000	3,216,761	0	(43,135)	0	0	5.000	3.289	FA	62,500	150,000	04/22/2009	08/01/2020
977109-BU-0	WI St Petro Rev Bond Non Call				1FE	3,416,340	102.3560	3,070,680	3,000,000	3,038,848	0	(76,332)	0	0	5.000	2.379	JJ	75,000	150,000	10/09/2009	07/01/2015
2599999-Subtotal	Bonds - U.S. Special Revenues - Issuer Obligations					204,656,888	XXX	201,586,787	176,105,000	197,062,026	0	(3,563,661)	0	0	XXX	XXX	XXX	2,544,151	6,472,631	XXX	XXX
3128PC-2X-9	FHLMC Pool J01690 MBS			2	1	81,398	106.3210	86,570	81,424	81,342	0	(10)	0	0	5.500	5.512	MON	373	4,478	09/21/2006	04/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS			2	1	162,179	110.4120	176,148	159,537	161,174	0	(180)	0	0	6.000	5.504	MON	798	9,572	11/02/2006	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS			2	1	80,088	104.7780	82,750	78,977	79,731	0	(74)	0	0	6.000	5.453	MON	395	4,739	11/09/2006	10/01/2021
3128PL-PR-7	FHLMC Pool J08532 MBS			2	1	567,236	109.2200	612,645	560,926	565,627	0	(328)	0	0	5.500	5.129	MON	2,571	30,851	11/05/2008	08/01/2023
3128PT-MF-9	FHLMC Pool J13958 MBS			2	1	677,719	106.2350	697,204	656,287	674,413	0	(749)	0	0	4.000	3.102	MON	2,188	26,252	12/13/2010	12/01/2025
3128PU-WF-5	FHLMC Pool J15146 MBS			2	1	411,525	105.1030	406,904	387,147	409,099	0	(652)	0	0	4.500	2.185	MON	1,452	17,422	05/16/2011	04/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS			2	1	359,414	108.0940	365,328	337,974	357,028	0	(669)	0	0	4.500	2.455	MON	1,267	15,209	05/17/2011	05/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS			2	1	653,842	108.0680	664,638	615,019	649,570	0	(1,175)	0	0	4.500	2.465	MON	2,306	27,676	05/17/2011	06/01/2026
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO			2	1	36,738	106.2500	38,829	36,545	36,448	0	(6)	0	0	7.950	8.028	MON	242	21	08/31/1999	07/01/2021
312926-2P-2	FHLMC Pool A80782 MBS			2	1	146,482	113.0220	162,560	143,830	146,442	0	(4)	0	0	6.000	4.240	MON				

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization) Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
032511-AJ-5	ANADARKO PETRO Corp Note MW 25BP			1	2FE	1,396,572	106.9380	1,283,256	1,200,000	1,287,783	0	(50,518)	0	0	5.950	1.575	MS	21,023	71,400	10/25/2012	09/15/2016
035229-CV-3	ANHEUSER-BUSCH Corp Note Cont Call			1	1FE	1,215,514	106.9370	1,256,510	1,175,000	1,186,926	0	(6,285)	0	0	5.050	4.452	AO	12,527	59,338	01/11/2010	10/15/2016
035237-AM-0	ANHEUSER-BUSCH Corp Note MW 30BP PPut			1	1FE	1,486,786	100.0930	1,401,302	1,400,000	1,401,885	0	(49,460)	0	0	4.125	0.576	JJ	26,629	57,750	04/09/2013	01/15/2015
039483-AV-5	AON Corp Note MW 35BP			1	2FE	875,570	101.9800	897,424	880,000	897,330	0	876	0	0	3.500	3.605	MS	7,786	30,800	09/07/2010	09/30/2015
039483-AJ-8	Archer Daniels Corp Note MW 30BP PPut			1	1FE	702,156	111.3900	690,618	620,000	692,355	0	(9,802)	0	0	5.450	1.690	MS	9,949	16,895	07/31/2014	03/15/2018
039483-BB-7	Archer Daniels Corp Note MW 15BP			1	1FE	532,449	110.5040	524,894	475,000	514,642	0	(5,780)	0	0	4.479	2.986	MS	7,092	21,275	10/25/2011	03/01/2021
049560-AJ-4	ATMOS Energy Corp Note MW 50BP			1	1FE	613,430	124.2910	621,455	500,000	557,040	0	(11,793)	0	0	8.500	5.426	MS	12,514	42,500	08/19/2009	03/15/2019
053332-AK-8	AUTOZONE INC Corp Note MW 50BP PPut			1	2FE	1,158,193	100.1400	1,101,540	1,100,000	1,100,461	0	(11,949)	0	0	5.750	4.624	JJ	29,165	63,250	09/01/2009	01/15/2015
05348E-AR-0	AVALONBAY COMMUN Corp Note Call			1	2FE	848,331	96.8330	827,922	855,000	849,493	0	586	0	0	2.850	2.939	MS	7,175	24,368	12/05/2012	03/15/2023
05531F-AG-8	BB&T CORPORATION Corp Note Call			1	1FE	777,920	102.3020	767,265	750,000	770,960	0	(6,960)	0	0	3.200	0.693	MS	7,067	12,000	08/27/2014	03/15/2016
060505-DA-9	BANK OF AMER CRP Corp Note Non Call			1	2FE	1,072,091	107.2150	1,050,707	980,000	1,035,143	0	(23,975)	0	0	5.420	2.767	MS	15,640	53,116	06/10/2013	03/15/2017
06051G-ED-7	BANK OF AMER CRP Corp Note Non Call			1	1FE	360,626	101.7450	356,108	350,000	356,955	0	(3,671)	0	0	3.700	0.690	MS	4,317	6,475	08/21/2014	09/01/2015
06051G-EQ-8	BANK OF AMER CRP Corp Note Non Call			1	1FE	498,540	104.6300	523,150	500,000	499,317	0	289	0	0	3.875	3.940	MS	5,328	19,375	03/19/2012	03/22/2017
06051G-EY-1	BANK OF AMER CRP Corp Note Non Call			1	1FE	2,028,760	101.4210	2,028,420	2,000,000	2,020,904	0	(3,856)	0	0	1.271	1.018	JAUO	5,506	19,307	03/25/2014	01/15/2019
06406H-CC-1	BANK OF NY MELLO Corp Note Call			1	1FE	655,408	100.0330	650,215	650,000	650,184	0	(3,542)	0	0	1.200	0.653	FA	2,838	7,800	07/03/2013	02/20/2015
07274E-AA-1	BAYER US FINANCE Corp Note Non Call Prv Plc			1	1FE	1,000,576	100.0350	1,000,350	1,000,000	1,000,541	0	(35)	0	0	0.482	0.451	JAUO	1,139	0	11/17/2014	10/07/2016
07330N-AF-2	BRANCH BKG & TR Corp Note Call			1	1FE	502,180	100.0910	500,455	500,000	502,046	0	(134)	0	0	0.664	0.443	MJSD	286	830	11/13/2014	12/01/2016
084664-BZ-3	BERKSHIRE HATH Corp Note MW			1	1FE	641,331	102.5380	656,243	640,000	641,151	0	(170)	0	0	2.900	2.866	AO	3,918	18,560	04/21/2013	10/15/2020
084664-CA-7	BERKSHIRE HATH Corp Note Non Call			1	1FE	999,420	99.9200	999,200	1,000,000	999,427	0	0	0	0	0.379	0.407	JAUO	874	0	12/18/2014	01/10/2017
09247X-AK-7	BlackRock Inc Corp Note MW 15BP			1	1FE	1,015,520	100.4590	1,004,590	1,000,000	1,003,260	0	(7,829)	0	0	1.375	0.587	JD	1,146	13,750	06/04/2013	06/01/2015
097023-AJ-1	BOEING CO Corp Note MW 25BP			1	1FE	912,244	100.3240	922,981	920,000	919,813	0	1,507	0	0	3.500	3.669	FA	12,164	32,200	07/23/2009	02/15/2015
097023-BG-9	BOEING CO Corp Note MW			1	1FE	1,016,868	99.3390	1,023,192	1,030,000	1,017,163	0	296	0	0	2.350	2.550	AO	4,101	0	10/28/2014	10/30/2021
12189T-AJ-0	BURLINGTON NORTH Corp Note Cont Call			1	1FE	677,925	109.6860	652,632	595,000	652,205	0	(23,947)	0	0	5.650	1.441	MN	5,603	33,618	12/02/2013	05/01/2017
126650-BT-6	CVS PASS-THROUGH Corp Note MW 15BP PPut			1	2FE	411,231	100.9630	403,852	400,000	404,220	0	(7,011)	0	0	3.250	0.456	MN	1,553	13,000	05/12/2014	05/18/2015
14040H-BE-4	CAPITAL ONE FINL Corp Note Call			1	2FE	1,358,980	99.7710	1,356,886	1,380,000	1,359,113	0	133	0	0	2.450	2.466	AO	6,201	16,660	04/21/2014	04/24/2019
140420-ND-8	CAPITAL ONE BANK Corp Note Call			1	1FE	949,972	99.6400	946,580	950,000	949,982	0	9	0	0	1.150	1.151	MN	1,214	10,925	11/18/2013	11/21/2016
14912L-6A-4	CATERPILLAR FINL Corp Note Non Call			1	1FE	750,000	99.9280	749,460	750,000	750,000	0	0	0	0	0.332	0.329	FIAM	290	1,213	05/22/2014	05/20/2016
166764-AJ-9	CHEVRON CORP Corp Note Non Call			1	1FE	1,500,000	100.0150	1,500,225	1,500,000	1,500,000	0	0	0	0	0.332	0.332	FIAM	609	0	11/10/2014	11/09/2016
17275R-AM-4	CISCO SYSTEMS Corp Note Non Call			1	1FE	500,000	100.0030	500,015	500,000	500,000	0	0	0	0	0.285	0.286	MJSD	115	1,078	02/24/2014	09/03/2015
172967-ET-4	CITIGROUP INC Corp Note Non Call			1	1FE	1,607,852	103.3020	1,590,851	1,540,000	1,591,715	0	(15,032)	0	0	1.932	0.941	FIAM	3,719	30,355	12/02/2013	05/15/2018
172967-GG-0	CITIGROUP INC Corp Note Non Call			1	1FE	1,625,876	100.1010	1,631,646	1,630,000	1,628,561	0	1,367	0	0	1.250	1.336	JJ	9,395	20,375	01/03/2013	01/15/2016
20030N-AI-1	COMCAST CORP Corp Note Cont Call			1	1FE	1,214,647	112.6050	1,283,697	1,140,000	1,174,246	0	(9,171)	0	0	5.700	4.725	MN	8,303	64,980	03/03/2010	05/15/2018
200340-AN-7	COMERICA INC Corp Note Non Call			1	1FE	853,841	101.4930	842,392	830,000	843,806	0	(10,035)	0	0	3.000	0.629	MS	7,263	15,450	08/06/2014	09/16/2015
22003B-AH-9	CORP OFF PROP LP Corp Note Call MW			1	2FE	1,071,796	107.4030	1,165,323	1,085,000	1,073,017	0	978	0	0	5.250	5.405	FA	21,519	52,057	09/09/2013	02/15/2024
224044-CA-3	COX COMMUN INC Corp Note Call MW 20BP Prv Plc			1	2FE	1,082,792	95.8940	1,097,986	1,145,000	1,091,019	0	5,345	0	0	2.950	3.599	JD	94	33,778	06/14/2013	06/30/2023
233851-AS-3	DAIMLER FINANCE Corp Note Non Call Prv Plc			1	1FE	800,000	100.0050	800,040	800,000	800,000	0	0	0	0	0.831	0.905	JAUO	1,551	6,791	01/07/2013	01/09/2015
233851-BA-1	DAIMLER FINANCE Corp Note MW Prv Plc			1	1FE	633,750	101.4030	633,769	625,000	631,812	0	(1,807)	0	0	2.375	2.058	FA	6,185	14,844	11/29/2013	08/01/2018
24422E-SF-7	JOHN DEERE CAP Corp Note Non Call			1	1FE	1,361,806	99.9770	1,364,686	1,365,000	1,362,316	0	510	0	0	1.950	2.002	JD	1,331	26,618	03/25/2014	12/13/2018
24422E-SJ-9	JOHN DEERE CAP Corp Note Non Call			1	1FE	300,000	100.0300	300,090	300,000	300,000	0	0	0	0	0.333	0.335	FIAM	103	734	02/25/2014	02/25/2016
25179M-AT-0	DEVON ENERGY Corp Note Call MW			1	2FE	1,775,178	99.6400	1,768,610	1,775,000	1,775,177	0	(1)	0	0	2.250	2.247	JD	1,775	19,969	10/03/2014	12/15/2018
25459H-AN-5	DIRECTV HLDGS/FN Corp Note MW 20BP			1	2FE	1,299,036	100.5940	1,307,722	1,300,000	1,299,958	0	203	0	0	3.550	3.566	MS	13,589	46,150	06/15/2010	03/15/2015
25470D-AC-3	DISCOVERY COMMUN Corp Note MW 30BP			1	2FE	1,224,069	109.8630	1,208,493	1,100,000	1,205,125	0	(17,560)	0	0	5.050	3.118	JD	4,629	55,550	11/27/2013	06/01/2020
260543-CJ-0	DOW CHEMICAL CO Corp Note Call MW			1	2FE	1,382,220	98.9860	1,385,804	1,400,000	1,382,657	0	437	0	0	3.500	3.652	AO	14,292	0	09/09/2014	10/01/2024
26441Y-AV-9	DUKE REALTY Corp Note Call MW 45BP			1	2FE	1,369,570	105.8560	1,354,957	1,280,000	1,351,387	0	(8,569)	0	0	4.375	3.493	JD	2,489	56,000	10/25/2012	06/15/2022
26875P-AF-8	EOG RESOURCES IN Corp Note MW 15BP			1	1FE	676,885	100.9680	666,389	660,000	666,856	0	(10,028)	0	0	2.950	0.440	JD	1,623	19,470	05/22/2014	06/01/2015
26875P-AL-5	EOG RESOURCES IN Corp Note Call MW			1	1FE	1,732,293	99.4810	1,725,995	1,735,000	1,732,629	0	335	0	0	2.450	2.478	AO	10,627	22,435	03/18/2014	04/01/2020
26884L-AB-5	EQT Corp Note MW 45BP			1	2FE	663,870	108.0310	723,808	670,000	665,490	0	538	0	0	4.875	4.992	MN	4,174	32,663	11/02/2011	11/15/2021
26884T-AK-																					

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Maturity Date
345397-WC-3	FORD MOTOR CRED Corp Note Non Call				2FE	1,116,500	100.6690	1,107,359	1,100,000	1,102,312	0	(6,163)	0	0	2.750	2.176	MM	3,865	30,250	08/27/2012	05/15/2015
345397-WX-7	FORD MOTOR CRED Corp Note Non Call				2FE	1,000,000	100.0450	1,000,450	1,000,000	1,000,000	0	0	0	0	1.162	1.162	FMAN	0	0	10/28/2014	11/04/2019
35671D-BD-6	FREEMONT-C&G Corp Note MW	1			2FE	1,094,906	98.9000	1,082,955	1,095,000	1,094,938	0	23	0	0	2.375	2.377	MS	7,657	26,006	10/10/2013	03/15/2018
36962G-6N-9	GEN ELEC CAP CRP Corp Note MW OBP	1			1FE	1,150,000	100.4570	1,155,256	1,150,000	1,150,000	0	0	0	0	0.899	0.910	MJSD	563	9,745	12/05/2012	12/11/2015
36962G-6T-6	GEN ELEC CAP CRP Corp Note Non Call				1FE	1,000,000	100.0050	1,000,050	1,000,000	1,000,000	0	0	0	0	0.611	0.685	JAJU	1,428	6,258	01/07/2013	01/09/2015
369668-AA-6	GECC/LJ VP Hold Corp Note Non Call Prv Plc				1FE	533,359	106.2390	567,316	534,000	533,567	0	87	0	0	3.800	3.820	JD	733	20,292	04/25/2012	06/18/2019
370334-BS-2	GENERAL MILLS IN Corp Note Non Call				2FE	200,000	99.9880	199,976	200,000	200,000	0	0	0	0	0.433	0.435	JAJU	156	656	01/21/2014	01/28/2016
37247D-AN-6	Genworth Fin Inc Corp Note MW 65BP	1			2FE	910,512	97.3810	910,512	935,000	910,512	0	(15,167)	135,293	0	7.200	4.934	FA	25,432	67,320	06/21/2013	02/15/2021
373334-JY-8	GEORGIA POWER Corp Note MW 10BP				1FE	501,425	100.0630	500,315	500,000	500,831	0	(594)	0	0	0.750	0.476	FA	1,469	1,875	07/22/2014	08/10/2015
377372-AG-2	Glaxo SmithKline Cap Inc Corp Note MW 5BP	1			1FE	1,053,975	99.9950	1,051,947	1,052,000	1,053,636	0	(338)	0	0	0.700	0.571	MS	2,107	1,932	11/12/2014	03/18/2016
38141E-B3-2	GOLDMAN SACHS GP Corp Note Non Call				1FE	1,868,467	100.5240	1,879,799	1,870,000	1,869,536	0	512	0	0	1.600	1.628	MM	3,158	29,920	11/15/2012	11/23/2015
38141G-VK-7	GOLDMAN SACHS GP Corp Note Non Call				1FE	644,469	101.1730	647,507	640,000	643,403	0	(983)	0	0	1.433	1.275	JAJU	1,605	9,300	12/02/2013	04/30/2018
40414L-AF-6	HCP INC Corp Note Call	1			2FE	701,637	104.9550	739,933	705,000	702,940	0	458	0	0	3.750	3.828	FA	11,016	26,438	01/18/2012	02/01/2019
421915-AG-4	HCP INC Corp Note Call				2FE	375,179	102.6260	359,191	350,000	359,713	0	(15,466)	0	0	7.072	0.658	JD	1,581	24,752	04/16/2014	06/08/2015
421915-EJ-4	HCP INC Corp Note Cont Call				2FE	648,341	109.1130	627,400	575,000	623,831	0	(22,851)	0	0	6.000	1.818	JJ	14,471	34,500	12/02/2013	01/30/2017
42217K-AY-2	HEALTH CARE REIT Corp Note Call				1FE	1,073,038	106.3390	1,058,073	995,000	1,052,153	0	(13,395)	0	0	4.125	2.603	AO	10,261	41,044	06/03/2013	04/01/2019
437076-BE-1	HOME DEPOT INC Corp Note Call MW	1			1FE	2,042,149	100.2450	2,055,023	2,050,000	2,042,981	0	832	0	0	2.000	2.081	JD	1,822	20,842	06/09/2014	06/15/2019
449230-AH-7	HYUNDAI CAP AMER Corp Note MW Prv Plc	1			2FE	1,398,894	99.6240	1,394,736	1,400,000	1,399,222	0	328	0	0	1.450	1.477	FA	8,176	10,150	02/03/2014	02/06/2017
454889-AP-1	IND MICH POWER Corp Note Call MW 20BP	1			2FE	1,392,754	100.5440	1,402,589	1,395,000	1,393,103	0	199	0	0	3.200	3.219	MS	13,144	44,640	03/13/2013	03/15/2023
45687A-AA-0	INGERSOLL-RND GL Corp Note MW 50BP	1			2FE	1,038,242	116.0870	1,015,761	875,000	1,022,594	0	(15,648)	0	0	6.875	2.022	FA	22,728	23,891	08/12/2014	08/15/2018
459200-GJ-4	IBM CORP Corp Note Call	1			1FE	1,018,543	111.4440	991,852	890,000	990,993	0	(27,549)	0	0	5.700	1.400	MS	15,078	25,365	03/26/2014	09/14/2017
459200-GM-7	IBM CORP Corp Note MW 50BP				1FE	886,853	120.5630	904,223	750,000	811,725	0	(14,405)	0	0	7.625	5.201	AO	12,073	57,188	02/04/2009	10/15/2018
459200-HB-0	IBM CORP Corp Note MW 10BP				1FE	1,002,818	100.0210	1,000,210	1,000,000	1,000,162	0	(1,654)	0	0	0.550	0.384	FA	2,215	5,500	05/17/2013	02/06/2015
46623E-JR-1	JPMORGAN CHASE Corp Note Non Call				1FE	1,264,481	100.1800	1,267,277	1,265,000	1,264,862	0	174	0	0	1.100	1.114	AO	2,938	13,915	10/15/2012	10/15/2015
46623H-HL-7	JPMORGAN CHASE Corp Note Non Call				1FE	449,375	116.1580	522,711	450,000	449,683	0	62	0	0	6.300	6.319	AO	5,355	28,350	04/16/2009	04/23/2019
46625H-JE-1	JPMORGAN CHASE Corp Note Non Call				1FE	1,371,695	100.5830	1,388,045	1,380,000	1,373,332	0	739	0	0	3.250	3.321	MS	12,209	44,281	07/31/2014	09/23/2022
46849L-SF-9	JACKSON NAT LIFE Corp Note Non Call Prv Plc				1FE	900,000	100.1430	901,287	900,000	900,000	0	0	0	0	0.607	0.598	MJSD	130	5,356	09/25/2013	09/30/2015
49326E-EE-9	Keycorp Corp Note Call	1			2FE	1,024,354	100.3450	1,028,536	1,025,000	1,024,502	0	139	0	0	2.300	2.313	JD	1,179	25,540	11/05/2013	12/13/2018
49327M-ZE-3	KEY BANK NA Corp Note Call	1			1FE	381,680	100.2610	380,992	380,000	381,598	0	(82)	0	0	0.723	0.494	FMAN	282	0	11/24/2014	11/25/2016
494368-BM-4	KIMBERLY-CLARK Corp Note Non Call				1FE	500,000	99.9690	499,845	500,000	500,000	0	0	0	0	0.282	0.276	FMAN	168	704	05/19/2014	05/19/2016
494550-AZ-9	KINDER MORGAN EN Corp Note MW	1			2FE	1,402,324	121.2260	1,333,486	1,100,000	1,374,820	0	(27,504)	0	0	9.000	2.523	FA	41,250	49,500	07/22/2014	02/01/2019
501044-DM-1	KROGER CO/THE Corp Note MW 25BP PPut	1			2FE	879,023	102.1480	898,902	880,000	879,866	0	174	0	0	3.900	3.921	AO	8,580	34,320	09/24/2009	10/01/2015
534187-BC-2	Lincoln National Corp Note Non Call				2FE	660,000	106.2170	701,032	660,000	660,000	0	0	0	0	4.200	4.200	MS	8,162	27,720	03/26/2012	03/15/2022
539830-AY-5	LOCKHEED MARTIN Corp Note MW	1			1FE	1,024,360	103.5870	1,035,870	1,000,000	1,022,140	0	(2,220)	0	0	3.350	2.983	MS	9,864	16,750	03/27/2014	09/15/2021
55279H-AB-6	MANUF & TRADERS Corp Note Non Call				1FE	369,099	99.9560	368,838	369,000	369,093	0	(6)	0	0	0.535	0.512	MJSD	132	497	12/01/2014	03/07/2016
56585A-AE-2	Marathon Petroleum Corp Note Non Call				2FE	963,294	102.4740	937,637	915,000	940,234	0	(21,463)	0	0	3.500	1.106	MS	10,675	32,025	12/02/2013	03/01/2016
571748-AS-1	MARSH & MCLENNAN Corp Note Non Call	1			2FE	1,154,890	101.2390	1,170,323	1,156,000	1,155,495	0	217	0	0	2.300	2.320	AO	6,647	26,588	03/07/2012	04/01/2017
581557-BA-2	MCKESSON CORP Corp Note Non Call				2FE	2,160,000	100.0310	2,160,670	2,160,000	2,160,000	0	0	0	0	0.638	0.635	MJSD	842	10,441	03/05/2014	09/10/2015
59217G-AC-3	MET LIFE GLOB Corp Note Non Call Prv Plc				1FE	409,704	101.4330	405,732	400,000	405,858	0	(3,846)	0	0	2.500	0.515	MS	2,556	5,000	07/01/2014	09/29/2015
59217G-AO-2	MET LIFE GLOB Corp Note Non Call Prv Plc				1FE	1,083,839	105.7110	1,146,964	1,085,000	1,084,114	0	104	0	0	3.875	3.888	AO	9,343	42,044	04/04/2012	04/11/2022
61747Y-CL-7	MORGAN STANLEY Corp Note Non Call				1FE	849,813	100.1980	851,683	850,000	849,997	0	40	0	0	4.100	4.105	JJ	15,005	34,850	01/21/2010	01/26/2015
61747Y-DT-9	MORGAN STANLEY Corp Note Non Call				1FE	1,066,360	106.5190	1,065,190	1,000,000	1,059,454	0	(25,931)	0	0	4.750	1.999	MS	13,063	47,500	03/01/2013	03/22/2017
61945C-AC-7	MOSAIC CO Corp Note Call MW	1			2FE	972,953	105.5200	1,028,820	975,000	973,146	0	171	0	0	4.250	4.276	MM	5,295	41,668	11/07/2013	11/15/2023
628530-BE-6	MYLAN INC Corp Note MW	1			2FE	1,049,381	99.5080	1,044,834	1,050,000	1,049,603	0	204	0	0	1.350	1.370	MM	14,175	11,179	11/19/2013	11/29/2016
64952W-BM-4	NEW YORK LIFE GL Corp Note Non Call Prv Plc				1FE	2,728,226	100.1710	2,734,668	2,730,000	2,729,335	0	592	0	0	0.800	0.822	FA	8,433	21,840	02/06/2013	02/12/2016
64952W-BS-1	NEW YORK LIFE GL Corp Note Non Call Prv Plc				1FE	750,000	99.9920	749,940	750,000	750,000	0	0	0	0	0.282	0.270	JAJU	469	1,007	04/01/2014	10/05/2015
652482-BT-6	NEWS AMER INC Corp Note MW 50BP	1			2FE	333,999	117.9150	324,266	275,000	322,668	0	(10,744)	0	0	6.900	2.490	MS	6,325	18,975	12/09/2013	03/01/2019
652482-CG-3	NEWS AMER INC Corp Note MW 25BP	1			2FE	338,328	99.3250														

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
69371R-L3-8	PACCAR FINL CORP Corp Note Non Call				1FE	501,380		500,560	500,000	500,866	0	(514)	0	0	0.502	0.352	FMAN	362	1,903	05/01/2014	02/08/2016
69371R-L5-3	PACCAR FINL CORP Corp Note Non Call				1FE	500,000		500,080	500,000	500,000	0	0	0	0	0.367	0.408	MON	138	1,858	05/09/2013	05/05/2015
69371R-L7-9	PACCAR FINL CORP Corp Note Non Call				1FE	1,285,000		1,292,414	1,285,000	1,285,000	0	0	0	0	0.835	0.842	MJSD	716	10,932	12/03/2013	12/06/2018
713448-CA-4	PEPSICO INC Corp Note MW				1FE	753,075		751,410	750,000	751,523	0	(1,552)	0	0	0.700	0.370	FA	2,013	2,625	05/09/2014	08/13/2015
718546-AE-4	Phillips 66 Corp Note MW 20BP				2FE	355,180		350,886	350,000	350,823	0	(4,357)	0	0	1.950	0.595	MS	2,199	6,825	01/27/2014	03/05/2015
72650R-AZ-5	PLAINS ALL AMER Corp Note Call				2FE	1,020,393		1,015,454	1,010,000	1,019,651	0	(742)	0	0	3.650	3.498	JD	3,072	36,865	03/27/2014	06/01/2022
737415-AL-3	Post Apartment Homes Corp Note Call MW 25BP				2FE	1,799,496		1,773,684	1,800,000	1,799,621	0	41	0	0	3.375	3.378	JD	5,063	60,750	11/02/2012	12/01/2022
74005P-AV-6	PRAXAIR INC Corp Note MW 15BP				1FE	812,905		830,452	815,000	814,736	0	366	0	0	3.250	3.297	MS	7,799	26,488	08/27/2009	09/15/2015
74005P-BE-3	PRAXAIR INC Corp Note MW				1FE	1,103,020		1,100,077	1,100,000	1,102,180	0	(840)	0	0	0.750	0.575	FA	2,979	1,500	12/08/2014	02/21/2016
740189-AJ-4	PRECISION CAST Corp Note MW				1FE	1,142,534		1,135,805	1,140,000	1,141,893	0	(641)	0	0	0.700	0.528	JD	244	3,990	10/30/2014	12/20/2015
74153W-CA-5	PRICOA GLOB FUND Corp Note Non Call Prv Plc				1FE	600,000		600,486	600,000	600,000	0	0	0	0	0.502	0.533	FMAN	360	3,059	08/20/2013	08/19/2015
74153W-CC-1	PRICOA GLOB FUND Corp Note Non Call Prv Plc				1FE	850,014		850,204	850,000	850,013	0	(1)	0	0	0.382	0.379	FMAN	406	966	11/06/2014	05/16/2016
74256L-AC-3	PRINCIPAL LFE II Note Non Call Prv Plc				1FE	301,254		300,768	300,000	300,733	0	(521)	0	0	1.000	0.739	JD	167	3,000	04/24/2014	12/11/2016
74256L-AG-4	PRINCIPAL LFE II Corp Note Non Call Prv Plc				1FE	1,104,994		1,108,030	1,100,000	1,103,901	0	(968)	0	0	2.250	2.152	AO	5,225	25,781	11/05/2013	10/15/2018
742718-EF-2	PROCTER & GAMBLE Corp Note Non Call				1FE	500,000		497,900	500,000	500,000	0	0	0	0	0.312	0.312	FMAN	251	0	11/19/2014	11/04/2016
744320-BZ-7	PRUDENTIAL FIN Corp Note MW				2FE	1,361,081		1,392,550	1,370,000	1,361,446	0	365	0	0	3.500	3.579	MM	6,127	23,975	07/31/2014	05/15/2024
74456Q-AW-6	PUBLIC SERVICE E Corp Note MW 10BP				1FE	613,554		604,392	600,000	604,473	0	(9,081)	0	0	2.700	0.446	MM	2,700	16,200	04/24/2014	05/01/2015
756109-AM-6	REALTY INCOME Corp Note Call MW 25BP				2FE	656,235		665,224	650,000	658,371	0	2,053	0	0	2.000	2.337	JJ	5,379	13,300	12/12/2013	01/31/2018
760759-AL-4	REPUBLIC SVCS Corp Note MW 20BP				2FE	1,014,857		1,005,110	950,000	1,007,939	0	(6,919)	0	0	3.800	1.922	MM	4,613	18,050	07/31/2014	05/15/2018
78573A-AE-0	SABMILLER HLD IN Corp Note MW Prv Plc				1FE	1,209,480		1,203,228	1,200,000	1,207,352	0	(1,952)	0	0	2.200	2.022	FA	11,000	25,520	11/22/2013	08/01/2018
792860-AH-1	Travelers Corp Note MW 20BP				1FE	565,268		565,683	525,000	568,314	0	(16,954)	0	0	5.500	0.624	JD	2,406	28,875	04/28/2014	12/01/2015
808513-AH-8	CHARLES SCHWAB Corp Note MW 10BP				1FE	652,392		651,417	650,000	651,702	0	(690)	0	0	0.850	0.565	JD	414	3,613	09/17/2014	12/04/2015
828807-CF-2	SIMON PROP GP LP Corp Note MW 25BP				1FE	1,245,063		1,372,563	1,250,000	1,246,859	0	435	0	0	4.375	4.422	MS	18,229	54,688	08/09/2010	03/01/2021
845467-AE-9	SOUTHWEST ENERGY Corp Note MW				2FE	1,195,998		1,126,530	1,000,000	1,148,248	0	(45,619)	0	0	7.500	2.477	FA	31,250	75,000	12/16/2013	02/01/2018
84756N-AC-3	SPECTRA PARTNERS Corp Note Call MW				2FE	1,010,866		1,023,390	975,000	1,007,187	0	(3,679)	0	0	2.950	2.004	MS	7,670	14,381	07/28/2014	09/25/2018
855030-AM-4	Staples Inc Corp Note Call MW 37.5BP				2FE	1,376,480		1,373,740	1,370,000	1,375,627	0	(584)	0	0	4.375	4.313	JJ	28,137	59,938	06/10/2013	01/12/2023
857477-AH-6	State Street Corp Note Non Call				1FE	1,443,922		1,434,244	1,400,000	1,438,467	0	(5,455)	0	0	2.875	0.535	MS	12,746	0	11/04/2014	03/07/2016
872287-AC-1	TCI Communications Inc Corp Note Non Call PPut				1FE	388,945		366,163	350,000	366,717	0	(22,227)	0	0	8.750	0.549	FA	12,760	15,313	03/17/2014	08/01/2015
887317-AJ-4	TIME WARNER INC Corp Note MW 25BP				2FE	1,343,082		1,318,174	1,300,000	1,318,024	0	(25,058)	0	0	3.150	0.565	JJ	18,883	20,475	03/26/2014	07/15/2015
89236T-BU-8	TOYOTA MTR CRED Corp Note Non Call				1FE	799,980		799,136	800,000	799,983	0	3	0	0	0.352	0.334	MJSD	674	0	09/19/2014	09/23/2016
90131H-AA-3	NEWS AMER INC Corp Note MW				2FE	258,473		276,063	260,000	258,608	0	135	0	0	4.000	4.073	AO	2,600	10,429	01/23/2014	10/01/2023
90331H-AE-0	US BANK NA OHIO Corp Note Call				1FE	500,000		500,080	500,000	500,000	0	0	0	0	0.295	0.307	JAJU	377	0	03/28/2014	10/01/2015
90331H-AF-7	US BANK NA OHIO Corp Note Call				1FE	950,210		949,962	950,000	950,177	0	(33)	0	0	0.352	0.337	JAJU	660	674	11/13/2014	04/22/2016
91159H-HH-6	US BANCORP Corp Note Call				1FE	2,097,742		2,089,506	2,080,000	2,096,290	0	(1,452)	0	0	2.200	2.006	AO	8,389	23,007	08/04/2014	04/25/2019
92276M-AV-7	VENTAS REALTY LP Corp Note MW 40BP				2FE	513,855		502,020	500,000	510,837	0	(3,018)	0	0	3.125	0.735	MM	1,345	7,813	09/25/2014	11/30/2015
92276M-AX-3	VENTAS REALTY LP Corp Note Non Call				2FE	1,264,819		1,308,146	1,245,000	1,263,989	0	(1,617)	0	0	4.250	4.000	MS	17,638	39,738	03/27/2014	03/01/2022
92343V-BD-5	VERIZON COMM INC Corp Note MW 20BP				2FE	509,335		507,330	500,000	506,602	0	(733)	0	0	2.000	1.049	MM	1,667	0	10/31/2014	11/01/2016
92343V-BM-5	VERIZON COMM INC Corp Note Non Call				2FE	684,724		676,169	650,000	678,496	0	(6,228)	0	0	1.991	0.807	MJSD	647	13,085	03/03/2014	09/14/2018
92343V-CE-2	VERIZON COMM INC Corp Note MW				2FE	1,049,475		1,045,139	1,050,000	1,049,571	0	96	0	0	1.350	1.367	JD	866	7,009	06/04/2014	06/09/2017
92343V-CG-7	VERIZON COMM INC Corp Note MW Prv Plc				2FE	504,000		498,239	504,000	504,000	0	0	0	0	2.625	2.625	FA	4,778	0	08/21/2014	02/21/2020
927804-FH-2	VIRGINIA EL&PHR Corp Note MW 25BP				1FE	898,245		1,008,333	900,000	899,107	0	173	0	0	5.000	5.025	JD	125	45,000	06/23/2009	06/30/2019
928668-AD-4	VOLKSWAGEN GRP Corp Note Non Call Prv Plc				1FE	750,000		749,243	750,000	750,000	0	0	0	0	0.453	0.447	FMAN	359	1,738	05/15/2014	05/23/2016
929903-CH-3	WACHOVIA CORP Corp Note Non Call				1FE	642,840		612,978	570,000	615,721	0	(25,251)	0	0	5.625	1.077	AO	6,769	32,063	12/02/2013	10/15/2016
931422-AE-9	WALGREEN CO Corp Note MW				2FE	1,291,643		1,111,590	1,140,000	1,291,683	0	(28,315)	0	0	5.250	2.457	JJ	27,598	59,850	12/05/2013	01/15/2019
931427-AG-3	WALGREENS BOOTS Corp Note Non Call				2FE	400,000		399,988	400,000	400,000	0	0	0	0	0.682	0.682	FMAN	333	0	11/06/2014	05/18/2016
94106L-AT-6	WASTE MANAGEMENT Corp Note MW 50BP				2FE	608,566		596,077	590,000	590,720	0	(3,707)	0	0	6.375	5.719	MS	11,493	37,613	09/09/2009	03/11/2015
94106L-AW-9	WASTE MANAGEMENT Corp Note MW 25BP				2FE	334,446		328,833	300,000	331,902	0	(2,544)	0	0	4.750	2.657	JD	40	7,125	07/08/2014	06/30/2020
94974B-EU-0	WELLS FARGO CO Corp Note Non Call				1FE	1,298,375		1,311,583	1,300,000	1,299,901	0	339	0	0	3.625	3.652	AO	9,949	47,125	03/23/2010	04/15/2015
94974B-FM-7	WELLS FARGO CO Corp Note Non Call				1FE	1,457,086		1,454,466	1,450,000	1,456,324	0	(762)	0	0	0.761	0.482	JAJU	2,237	0	10/27/2014	07/20/2016
94974B-GC-8	WELLS FARGO CO Corp Note Non Call				1FE	1,750,000		1,745,275	1,750,000	1,750,00											

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
89153U-AE-1	Total Capital Corp Note MW 12.5BP	A		1	1FE	1,330,111	99.2940	1,320,610	1,330,000	1,330,068	0	(22)	0	0	1.450	1.448	JJ	8,893	19,285	01/10/2013	01/15/2018
00080Q-AE-5	ABN AMRO BANK NV Corp Note Non Call Prv Plc	F			1FE	1,000,000	99.8700	998,700	1,000,000	1,000,000	0	0	0	0	0.645	0.640	MJSD	430	3,298	05/30/2014	06/06/2016
00084D-AE-0	ABN AMRO BANK NV Corp Note Non Call Prv Plc	F			1FE	774,241	105.3660	816,587	775,000	774,663	0	151	0	0	4.250	4.272	FA	13,632	32,938	01/30/2012	02/02/2017
002799-AQ-7	ABBEY NATL TREAS Corp Note Non Call	F			1FE	2,066,638	99.6630	2,068,007	2,075,000	2,067,132	0	494	0	0	2.350	2.436	MS	15,035	0	09/03/2014	09/10/2019
02364W-AU-9	AMERICA MOVIL SA Corp Note MW 20BP	F		1	1FE	489,041	100.5670	492,778	490,000	489,946	0	220	0	0	3.625	3.672	MS	4,490	17,763	09/09/2010	03/30/2015
034863-AL-4	ANGLO AMERICAN Corp Note Non Call Prv Plc	F			2FE	730,000	100.1010	730,737	730,000	730,000	0	0	0	0	1.181	1.176	JAJO	1,867	4,379	04/08/2014	04/15/2016
055451-AU-2	BHP FINANCE USA Corp Note MW 125BP	F		1	1FE	1,045,750	105.2750	1,052,750	1,000,000	1,044,018	0	(1,732)	0	0	3.850	3.267	MS	9,732	19,250	08/01/2014	09/30/2023
06739F-HU-8	Barclays Bank Corp Note Non Call	F			1FE	1,510,000	100.0820	1,511,238	1,510,000	1,510,000	0	0	0	0	0.812	0.816	FMAN	1,533	9,178	02/12/2014	02/17/2017
111013-AG-3	BRIT SKY BROADCA Corp Note MW 40BP Prv Plc	F		1	2FE	349,516	111.2920	445,168	400,000	378,470	0	5,847	0	0	6.100	8.086	FA	9,218	24,400	01/08/2009	02/15/2018
111013-AM-0	BRIT SKY BROADCA Corp Note MW Prv Plc	F		1	2FE	698,957	100.0370	700,259	700,000	699,015	0	58	0	0	2.625	2.657	MS	5,359	0	09/09/2014	09/16/2019
202740-HL-6	COM BK AUSTRALIA Corp Note Non Call Prv Plc	F			1FE	2,420,000	99.9050	2,417,701	2,420,000	2,420,000	0	0	0	0	0.785	0.784	MJSD	1,267	4,790	09/03/2014	09/06/2019
22303Q-AG-5	Coviden Int'l Fin Corp Note MW 25BP PPut	F		1	1FE	1,234,710	111.9090	1,258,976	1,125,000	1,167,238	0	(13,924)	0	0	6.000	4.549	AO	14,250	67,500	08/28/2009	10/15/2017
22546Q-AN-7	CPED SUIS NY Corp Note Non Call	F			1FE	1,368,868	99.8280	1,372,635	1,375,000	1,369,396	0	528	0	0	2.300	2.398	MN	2,899	15,813	07/28/2014	05/29/2019
25244Q-AF-8	Diageo Finance Corp Note MW 15BP	F		1	1FE	1,181,869	100.0610	1,185,723	1,185,000	1,184,976	0	628	0	0	3.250	3.304	JJ	17,759	38,513	11/03/2009	01/15/2015
40428Q-AN-9	HSBC HOLDINGS Corp Note Non Call	F			1FE	1,044,631	106.4300	1,043,014	980,000	1,041,596	0	(3,035)	0	0	4.000	3.027	MS	9,909	19,600	08/04/2014	03/30/2022
71654Q-BJ-0	PETROLEOS MEXICA Corp Note MW	F			2FE	1,013,075	101.2500	992,250	980,000	1,007,532	0	(5,543)	0	0	3.500	2.664	JJ	15,530	18,620	03/25/2014	07/18/2018
767201-AH-9	RIO TINTO FINANC Corp Note MW 50BP	F		1	1FE	702,000	126.5160	759,096	600,000	653,303	0	(10,321)	0	0	9.000	6.607	MN	9,000	54,000	07/21/2009	05/01/2019
78010X-AE-1	Royal Bank of Scotland Note Non Call	F		1	1FE	1,080,144	113.8700	1,076,072	945,000	1,053,522	0	(17,174)	0	0	5.625	3.374	FA	18,752	53,156	06/04/2013	08/24/2020
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						202,524,459	XXX	202,781,297	197,871,000	200,986,483	0	(946,601)	135,293	0	XXX	XXX	XXX	1,379,991	4,366,983	XXX	XXX
12591V-AA-9	COMM MORTGAGE TRUST COMM 2014 CR16 A1 CMBS	2			1FML	3,049,853	99.5710	3,036,841	3,049,925	3,049,853	0	(264)	0	0	1.445	1.437	MON	3,673	29,981	03/28/2014	02/10/2019
12592G-AZ-6	COMM MORTGAGE TR COMM 2014-CR19 A2 CMBS	2			1FML	2,961,138	102.8670	2,957,426	2,875,000	2,955,100	0	(6,038)	0	0	2.965	2.325	MON	7,104	28,415	08/13/2014	08/10/2047
12631D-AW-3	COMM MORTGAGE TR COMM 2014-CR17 A1 CMBS	2			1FML	1,289,541	99.5040	1,283,161	1,289,557	1,289,443	0	(98)	0	0	1.275	1.266	MON	1,370	9,591	04/29/2014	05/10/2047
17322M-AS-5	Citigroup Commercial Mtge Tr CGOINT 2014-GC21 A1 CMBS	2			1FML	2,419,531	99.4430	2,406,115	2,419,592	2,419,376	0	(155)	0	0	1.242	1.234	MON	2,504	17,530	05/07/2014	05/10/2047
17322V-AR-7	CITIGROUP COMMERCIAL MORTGAGE COGMT 2014-GC23 A2 CMBS	2			1FML	4,119,946	102.4250	4,097,000	4,000,000	4,111,095	0	(8,851)	0	0	2.851	2.242	MON	9,503	38,013	07/17/2014	07/10/2047
46641W-AS-6	JPMBB COMMERCIAL JPMBB 2014-C19 A1 CMBS	2			1FML	1,904,523	99.6560	1,897,971	1,904,523	1,904,523	0	0	0	0	1.266	1.250	MON	2,009	14,066	04/29/2014	04/15/2047
61762T-AB-2	MORGAN STANLEY B MSBAM 2013-C11 A2 CMBS	2			1FML	3,125,156	103.8940	3,116,820	3,000,000	3,102,127	0	(23,009)	0	0	3.085	2.060	MON	7,713	69,413	03/06/2014	08/15/2046
61763K-AW-4	ORGAN STANLEY BAML TRUST MSBAM 2014-C15 A1 CMBS	2			1FML	2,013,226	99.5720	2,004,659	2,013,276	2,012,974	0	(252)	0	0	1.313	1.298	MON	2,203	17,623	03/26/2014	04/15/2047
61763M-AB-6	MORGAN STANLEY B MSBAM 2014-C16 A2 CMBS	2			1FML	3,218,623	102.2610	3,195,656	3,125,000	3,208,502	0	(10,120)	0	0	2.849	2.190	MON	7,419	44,516	06/05/2014	06/15/2047
3499999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Commercial Mortgage-Backed Securities						24,101,537	XXX	23,995,649	23,676,873	24,052,730	0	(48,787)	0	0	XXX	XXX	XXX	43,498	268,548	XXX	XXX
00214J-AC-4	ARI FLEET LEASE ARIFL 2014-A2 ABS Prv Plc	2			1FE	2,199,945	99.9290	2,198,438	2,200,000	2,199,961	0	16	0	0	0.810	0.812	MON	792	12,177	04/01/2014	11/15/2022
03064V-AE-8	AMERICREDIT AUTO AMCAR 2014-2 C ABS	2			1FE	2,449,578	99.6730	2,441,989	2,450,000	2,449,619	0	42	0	0	2.180	2.193	MON	3,412	26,112	06/03/2014	06/08/2020
05579U-AB-9	BMW VEHICLE LEAS BMWLT 2014-1 A2 ABS	2			1FE	2,931,545	99.9840	2,931,247	2,931,716	2,931,640	0	94	0	0	0.450	0.455	MON	403	8,942	04/09/2014	03/21/2016
12623P-AB-2	CNH EQUIPMENT TR CNH 2014-B A2 ABS	2			1FE	3,449,838	99.9660	3,448,827	3,450,000	3,449,906	0	68	0	0	0.480	0.484	MON	736	8,464	06/03/2014	08/15/2017
13975H-AE-6	CAPITAL AUTO REC AFIN 2014-2 B ABS	2			1FE	1,349,800	100.1870	1,352,525	1,350,000	1,349,837	0	37	0	0	2.030	2.043	MON	837	18,042	04/16/2014	12/20/2018
13975J-AD-4	CAPITAL AUTO REC AFIN 2014-3 A4 ABS	2			1FE	2,460,000	100.1720	2,464,231	2,460,000	2,460,000	0	0	0	0	1.830	1.836	MON	1,376	13,380	08/26/2014	04/22/2019
14313R-AB-9	CARMAX AUTO OINE CARMX 2014-2 A2 ABS	2			1FE	2,766,330	99.9130	2,764,057	2,766,464	2,766,394	0	65	0	0	0.460	0.465	MON	566	7,459	05/07/2014	04/17/2017
17119X-AB-5	CHRYSLER CAPITAL CCART 2014-AA A2 ABS Prv Plc	2			1FE	2,120,129	100.0370	2,120,925	2,120,140	2,120,123	0	(6)	0	0	0.490	0.490	MON	462	7,676	03/12/2014	02/15/2017
34530M-AA-7	FORD CREDIT AUTO FORDR 2014-1 A ABS Prv Plc	2			1FE	2,314,422	100.5330	2,327,339	2,315,000	2,314,490	0	68	0	0	2.260	2.276	MON	2,325	30,519	05/06/2014	11/15/2025
36189A-AC-2	GM FINANCIAL AUT GIALT 2014-1A A2 ABS Prv Plc	2			1FE	2,451,953	99.9130	2,450,082	2,452,215	2,452,075	0	122	0	0	0.610	0.618	MON	457	10,388	04/03/2014	07/20/2016
44890T-AB-3	HYUNDAI AUTO LEA HALST 2014-A A2 ABS Prv Plc	2			1FE	3,031,112	100.0150	3,031,686	3,031,231	3,031,177	0	65	0	0	0.520	0.524	MON	701	11,647	03/12/2014	07/15/2016
44890U-AE-4	HYUNDAI AUTO REC HART 2014-B B ABS	2			1FE	2,214,254	99.9440	2,213,760	2,215,000	2,214,342	0	88	0	0	1.720	1.733	MON	1,693	22,330	05/07/2014	11/15/2019
493268-BY-1	Keycorp Student Loan Tr KSLT 2004-A 2A2 ABS	2			1FE	125,548	95.7410	121,477	126,881	126,139	0	385	0	0	0.534	0.798	JAJO	124	686	03/15/2013	10/28/2041
55315C-AB-3	MIAF EQUIPMENT F MIAF 2014-AA A2 ABS Prv Plc	2			1FE	3,399,715	99.7930	3,392,962	3,400,000	3,399,842	0	127	0	0	0.520	0.527	MON	1,130	8,644	06/03/2014	04/10/2017
80283W-AE-9	SANTANDER DRIVE SDART 2014-2 B ABS	2			1FE	2,299,640	100.0150	2,300,345	2,300,000	2,299,730	0	90	0	0	1.620	1.631	MON	1,656	24,012	04/16/2014	02/15/2019
80283Y-AC-9	SANTANDER DRIVE SDART 2014-A A2B ABS	2			1FE	4,100,000	99.9310	4,097,171	4,100,000	4,100,000	0	0	0	0	0.481	0.481	MON	931	4,794	09/10/2014	01/16/2018
89231M-AC-9	TOYOTA AUTO RECE TAOT 2014-A A3 ABS	2			1FE	3,349,381	99.8900	3,346,315	3,350,000	3,349,594	0	213	0	0	0.670	0.679	MON	998	16,584	03/11/2014	12/15/2017

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	Bond Char	NAIC Des.	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization) Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
4899999. Total - Hybrid Securities						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
7799999. Total - Issuer Obligations						646,195,831	XXX	638,189,534	587,385,000	629,591,491	0	(8,157,661)	135,293	0	XXX	XXX	XXX	6,414,472	16,838,840	XXX	XXX
7899999. Total - Residential Mortgage-Backed Securities						73,370,964	XXX	73,859,140	69,426,921	73,132,476	0	(93,127)	0	0	XXX	XXX	XXX	228,256	2,505,357	XXX	XXX
7999999. Total - Commercial Mortgage-Backed Securities						24,101,537	XXX	23,995,649	23,676,873	24,052,730	0	(48,787)	0	0	XXX	XXX	XXX	43,498	268,548	XXX	XXX
8099999. Total - Other Loan-Backed and Structured Securities						45,183,046	XXX	45,173,559	45,188,647	45,184,746	0	1,489	0	0	XXX	XXX	XXX	19,156	242,388	XXX	XXX
8399999 - Total Bonds						788,851,378	XXX	781,217,882	725,677,441	771,961,443	0	(8,298,086)	135,293	0	XXX	XXX	XXX	6,705,382	19,855,133	XXX	XXX

Schedule D - Part 2 - Section 1 - Preferred Stocks Owned

N O N E

Schedule D - Part 2 - Section 2 - Common Stocks Owned

N O N E

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9	
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	
912828-B8-2	US Treasury Note 0.250% 02/29/16		03/19/2014	Deutsche Bank		747,422	750,000	102	
912828-C8-1	US Treasury Note 0.375% 04/30/16		10/30/2014	Merrill Lynch		1,601,875	1,600,000	0	
912828-F6-2	US Treasury Note 1.500% 10/31/19		11/14/2014	Deutsche Bank		3,980,156	4,000,000	3,149	
912828-F6-2	US Treasury Note 1.500% 10/31/19		11/17/2014	JP Morgan Chase		6,064,020	6,100,000	5,055	
912828-G3-8	US Treasury Note 2.250% 11/15/24		11/14/2014	Barclays Group Inc		10,035,691	10,100,000	2,511	
912828-NZ-9	US Treasury Note 1.250% 09/30/15		04/22/2014	Deutsche Bank		2,029,688	2,000,000	1,571	
912828-PM-6	US Treasury Note 2.125% 12/31/15		10/20/2014	Harris Nesbitt Corp		818,750	800,000	5,220	
912828-QF-0	US Treasury Note 2.000% 04/30/16		10/03/2014	BNP Paribas Securities Corp		768,779	750,000	6,481	
912828-QX-1	US Treasury Note 1.500% 07/31/16		10/30/2014	Merrill Lynch		1,630,813	1,600,000	6,000	
912828-SP-6	US Treasury Note 0.375% 04/15/15		01/29/2014	Deutsche Bank		1,002,422	1,000,000	1,102	
912828-SZ-4	US Treasury Note 0.375% 06/15/15		01/15/2014	Wachovia Bank		751,523	750,000	247	
912828-TK-6	US Treasury Note 0.250% 08/15/15		04/30/2014	Merrill Lynch		1,501,699	1,500,000	777	
912828-TT-7	US Treasury Note 0.250% 10/15/15		04/24/2014	Merrill Lynch		750,322	750,000	51	
912828-TX-8	US Treasury Note 0.375% 11/15/15		06/27/2014	Bank New York Mellon		751,729	750,000	352	
912828-UG-3	US Treasury Note 0.375% 01/15/16		08/27/2014	Deutsche Bank		751,143	750,000	336	
912828-UT-5	US Treasury Note 0.250% 03/31/15		01/24/2014	RBS Securities		3,502,598	3,500,000	2,861	
912828-VH-0	US Treasury Note 0.375% 06/30/15		01/31/2014	Barclays Group Inc		2,005,234	2,000,000	704	
912828-VR-8	US Treasury Note 0.625% 08/15/16		08/27/2014	Toronto Dominion Sec		751,494	750,000	166	
912828-VU-1	US Treasury Note 0.375% 08/31/15		05/14/2014	RBS Securities		3,008,906	3,000,000	2,323	
912828-VY-3	US Treasury Note 0.250% 09/30/15		06/13/2014	Wells Fargo Bank		1,000,664	1,000,000	526	
912828-WM-8	US Treasury Note 0.375% 05/31/16		10/31/2014	CitiGroup		1,601,375	1,600,000	2,508	
912828-WO-9	US Treasury Note 0.500% 06/30/16		07/03/2014	Harris Nesbitt Corp		499,805	500,000	48	
912828-WT-3	US Treasury Note 0.875% 07/15/17		07/30/2014	CitiGroup		3,434,783	3,450,000	1,313	
912828-WW-6	US Treasury Note 1.625% 07/31/19		07/30/2014	JP Morgan Chase		3,427,775	3,450,000	0	
0599999. Subtotal - Bonds - U.S. Governments							52,418,666	52,450,000	43,403
13063B-KK-7	CALIFORNIA ST GO Non Call 5.000% 11/01/19		10/14/2014	Jefferys and Company		1,961,009	1,960,000	38,042	
13063C-JG-6	CALIFORNIA ST GO Cont Call 5.000% 12/01/24		04/02/2014	CitiGroup		4,953,545	4,250,000	5,903	
574193-KJ-6	MARYLAND ST GO Non Call 5.250% 08/01/20		07/28/2014	Morgan Stanley		6,079,700	5,000,000	0	
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions							12,994,254	10,900,000	43,945
235219-HS-4	DALLAS TX GO Cont Call 5.000% 02/15/23		07/28/2014	DEPPA First Albany		6,196,338	5,175,000	119,313	
346604-HN-4	FORSYTH CNTY GA GO Non Call 5.000% 02/01/20		10/10/2014	CitiGroup		1,186,240	1,000,000	0	
346604-HS-3	FORSYTH CNTY GA GO Non Call 5.000% 02/01/24		10/10/2014	CitiGroup		1,585,004	1,275,000	0	
438670-J4-4	HONOLULU CITY & GO Cont Call 5.000% 11/01/24		04/01/2014	Goldman Sachs		6,272,768	5,350,000	113,688	
442331-E2-6	HOUSTON TX GO Non Call 5.000% 03/01/21		07/23/2014	Pershing		4,780,160	4,000,000	0	
581664-CP-2	MCKINNEY TX INDE GO Non Call 5.000% 02/15/20		10/09/2014	Bosc Inc		3,046,148	2,575,000	0	
592112-JR-8	MET GOVT NASHVIL GO Non Call 5.000% 07/01/21		01/02/2014	Wachovia Bank		3,522,360	3,000,000	2,500	
64966L-DU-2	NEW YORK NY GO Non Call 5.000% 08/01/19		02/27/2014	DEPPA First Albany		3,569,017	3,010,000	13,796	
64966L-RG-8	NEW YORK NY GO Non Call 5.000% 08/01/21		10/15/2014	Merrill Lynch		6,052,350	5,000,000	31,944	
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions							36,210,385	30,385,000	281,241
010609-AP-0	ALABAMA ST PUBLI Rev Bond Non Call 5.000% 01/01/20		07/02/2014	Goldman Sachs		7,068,720	6,000,000	0	
010609-AS-4	ALABAMA ST PUBLI Rev Bond Non Call 5.000% 01/01/23		07/11/2014	Goldman Sachs		4,808,760	4,000,000	3,333	
29270C-ZR-9	ENERGY N W IIA EL Rev Bond Non Call 5.000% 07/01/18		04/10/2014	JP Morgan Chase		4,207,246	3,650,000	0	
313040-P3-8	FHLB Note Non Call 0.260% 07/21/15		01/13/2014	HSBC Securities Inc		999,850	1,000,000	0	
3138WC-EB-5	FNMA Pool AS2829 MBS 4.000% 07/01/44		07/02/2014	CitiGroup		2,170,115	2,049,998	2,961	
3138WC-F3-2	FNMA Pool AS2885 MBS 3.500% 07/01/44		07/08/2014	CitiGroup		1,626,514	1,586,843	2,006	
3138WC-F4-0	FNMA Pool AS2886 MBS 3.500% 07/01/44		07/02/2014	Wachovia Bank		3,813,797	3,813,212	4,819	
3138WC-CF-6	FNMA Pool AT7269 MBS 3.500% 09/01/43		07/02/2014	JP Morgan Chase		1,371,768	1,340,968	1,695	
3138XQ-HM-0	FNMA Pool AW1263 MBS 4.000% 06/01/44		07/02/2014	Nomura Securities		1,332,783	1,258,827	1,818	
313980-TE-7	FHLMC FHR 3747 HG CMO 2.400% 07/15/37		03/17/2014	Merrill Lynch		2,703,836	2,661,420	3,371	
407288-ZC-6	HAMILTON CNTY OH Rev Bond Non Call 5.000% 12/01/21		10/16/2014	Morgan Stanley		1,348,655	1,100,000	0	
426357-FV-6	HENRY CNTY GA HD Rev Bond Non Call 5.000% 07/01/22		10/24/2014	Merrill Lynch		1,178,242	1,005,000	0	
442404-DM-8	HOUSTON TX INDEP Rev Bond Non Call 5.000% 09/15/20		12/12/2014	Loop Capital Markets		2,357,500	2,000,000	0	
45203H-B9-2	ILLINOIS ST FIN Rev Bond Non Call 5.000% 07/01/17		08/19/2014	Tax Free Exchange		185,930	175,000	1,167	
45203H-C4-2	ILLINOIS ST FIN Rev Bond Non Call 5.000% 07/01/17		08/19/2014	Tax Free Exchange		2,470,216	2,325,000	15,500	
452252-HO-5	ILLINOIS ST TOLL Rev Bond Non Call 5.000% 12/01/20		01/29/2014	Goldman Sachs		3,535,440	3,000,000	0	
452252-KA-6	ILLINOIS ST TOLL Rev Bond Non Call 5.000% 01/01/21		11/26/2014	National Financial Services Co		1,772,430	1,500,000	0	
576000-NP-4	MASSACHUSETTS ST Rev Bond Non Call 5.000% 08/15/17		02/07/2014	JP Morgan Chase		5,509,745	4,790,000	117,754	
57604T-AM-8	MASSACHUSETTS ST Rev Bond Non Call 5.000% 06/01/20		06/27/2014	Morgan Stanley		2,396,300	2,000,000	8,056	
594695-X4-5	MICHIGAN ST TRUN Rev Bond Non Call 5.000% 11/15/21		08/14/2014	CitiGroup		1,213,360	1,000,000	0	
63968A-YS-8	NEBRASKA ST PUBL Rev Bond Non Call 5.000% 07/01/21		11/05/2014	JP Morgan Chase		2,975,150	2,500,000	0	
64971W-LU-2	NEW YORK CITY NY Rev Bond Non Call 5.000% 11/01/17		11/10/2014	Tax Free Exchange		3,663,235	3,265,000	4,081	
64971W-LX-6	NEW YORK CITY NY Rev Bond Non Call 5.000% 11/01/17		11/10/2014	Tax Free Exchange		2,081,256	1,855,000	2,319	
64990A-CM-5	NEW YORK ST DORM Rev Bond Non Call 5.000% 03/15/20		10/16/2014	Merrill Lynch		1,797,720	1,500,000	0	
64990A-CN-3	NEW YORK ST DORM Rev Bond Non Call 5.000% 03/15/21		10/16/2014	Merrill Lynch		2,056,240	1,690,000	0	

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ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
849103-AF-3	SPOKANE WA WATR Rev Bond Non Call 5.000% 12/01/20		11/18/2014	CitiGroup		4,115,458	3,455,000	.0
914692-US-5	UNIV OF NEW MEXI Rev Bond Non Call 5.000% 06/01/21		11/14/2014	RBC Capital Markets		1,490,000	1,250,000	.0
3199999. Subtotal - Bonds - U.S. Special Revenues						70,337,266	61,771,268	168,880
00038A-AA-1	ABB TREASURY USA Corp Note MW Prv Plc 2.500% 06/15/16		10/28/2014	Mizuho Investor Sec Co		1,130,503	1,100,000	10,389
00206R-BR-2	AT&T INC Corp Note MW 10BP 0.900% 02/12/16		10/31/2014	Wells Fargo Bank		400,944	400,000	830
00214J-AC-4	ARI FLEET LEASE ARIFL 2014-A A2 ABS Prv Plc 0.810% 11/15/22		04/01/2014	JP Morgan Chase		2,199,945	2,200,000	.0
00440E-AN-7	ACE INA HOLDINGS Corp Note MW 20BP 2.600% 11/23/15		11/10/2014	Wells Fargo Bank		969,114	950,000	11,733
015271-AF-6	ALEXANDRIA REAL Corp Note Call MW 2.750% 01/15/20		07/09/2014	JP Morgan Chase		997,930	1,000,000	.0
0258MO-DE-6	AMERICAN EXPRESS Corp Note Non Call 1.750% 06/12/15		03/13/2014	Cantor Fitzgerald		761,660	750,000	3,500
02666Q-G6-4	AMER HONDA FIN Corp Note Non Call Prv Plc 2.500% 09/21/15		02/06/2014	Barclays Group Inc		309,615	300,000	2,917
026874-CZ-8	AMER INTL GROUP Corp Note Call MW 2.300% 07/16/19		07/09/2014	Goldman Sachs		1,696,566	1,700,000	.0
03064V-AE-8	AMERICREDIT AUTO AMCAR 2014-2 C ABS 2.180% 06/08/20		06/03/2014	Deutsche Bank		2,449,578	2,450,000	.0
031162-BT-6	AMGEN INC Corp Note Non Call 0.833% 05/22/19		05/19/2014	Morgan Stanley		1,000,000	1,000,000	.0
039483-AY-8	Archer Daniels Corp Note MW 30BP PPut 5.450% 03/15/18		07/02/2014	CitiGroup		351,928	310,000	5,303
039483-AY-8	Archer Daniels Corp Note MW 30BP PPut 5.450% 03/15/18		07/31/2014	CitiGroup		350,229	310,000	6,570
05531F-AG-8	BB&T CORPORATION Corp Note Call 3.200% 03/15/16		07/29/2014	Wells Fargo Bank		363,272	350,000	4,231
05531F-AG-8	BB&T CORPORATION Corp Note Call 3.200% 03/15/16		08/27/2014	Cantor Fitzgerald		414,648	400,000	5,938
05579U-AB-9	BMW VEHICLE LEAS BMWLT 2014-1 A2 ABS 0.450% 03/21/16		04/09/2014	Barclays Group Inc		2,931,545	2,931,716	.0
06051G-ED-7	BANK OF AMER CRP Corp Note Non Call 3.700% 09/01/15		08/21/2014	Toronto Dominion Sec		360,626	360,000	6,295
06051G-EY-1	BANK OF AMER CRP Corp Note Non Call 1.271% 01/15/19		03/25/2014	Merrill Lynch		2,024,760	2,000,000	5,116
07274E-AA-1	BAYER US FINANCE Corp Note Non Call Prv Plc 0.482% 10/07/16		10/01/2014	Merrill Lynch		300,000	300,000	.0
07274E-AA-1	BAYER US FINANCE Corp Note Non Call Prv Plc 0.482% 10/07/16		11/17/2014	Wells Fargo Bank		700,576	700,000	404
07330N-AF-2	BRANCH BK & TR Corp Note Call 0.664% 12/01/16		11/13/2014	Goldman Sachs		502,180	500,000	710
084664-CA-7	BERKSHIRE HATH Corp Note Non Call 0.379% 01/10/17		12/18/2014	Goldman Sachs		999,420	1,000,000	779
097023-BG-9	BOEING CO Corp Note MW 2.350% 10/30/21		10/28/2014	CitiGroup		1,016,868	1,030,000	.0
12591V-AA-9	COMM MORTGAGE TRUST COMM 2014 CR16 A1 CMBS 1.445% 02/10/19		03/28/2014	Deutsche Bank		3,049,853	3,049,925	979
12592G-AZ-6	COMM MORTGAGE TR COMM 2014-CR19 A2 CMBS 2.965% 08/10/47		08/13/2014	Deutsche Bank		2,961,138	2,875,000	4,736
12623P-AB-2	CNH EQUIPMENT TR CNH 2014-B A2 ABS 0.480% 08/15/17		06/03/2014	CitiGroup		3,449,838	3,450,000	.0
12631D-AW-3	COMM MORTGAGE TR COMM 2014-CR17 A1 CMBS 1.275% 05/10/47		04/29/2014	Deutsche Bank		1,289,541	1,289,557	548
126650-BT-6	CVS PASS-THROUGH Corp Note MW 15BP PPut 3.250% 05/18/15		05/12/2014	Cantor Fitzgerald		411,231	400,000	6,392
13975H-AE-6	CAPITAL AUTO REC AFIN 2014-2 B ABS 2.030% 12/20/18		04/16/2014	Deutsche Bank		1,349,800	1,350,000	.0
13975H-AD-4	CAPITAL AUTO REC AFIN 2014-3 A4 ABS 1.830% 04/22/19		08/26/2014	CitiGroup		2,460,000	2,460,000	.0
14040H-BE-4	CAPITAL ONE FINL Corp Note Call 2.450% 04/24/19		04/21/2014	JP Morgan Chase		1,358,980	1,360,000	.0
14313R-AB-9	CARMAX AUTO OWNE CARMX 2014-2 A2 ABS 0.460% 04/17/17		05/07/2014	JP Morgan Chase		2,766,330	2,766,464	.0
14912L-6A-4	CATERPILLAR FINL Corp Note Non Call 0.332% 05/20/16		05/22/2014	Merrill Lynch		750,000	750,000	.0
166764-AJ-9	CHEVRON CORP Corp Note Non Call 0.332% 11/09/16		11/10/2014	Merrill Lynch		1,500,000	1,500,000	.0
17119X-AB-5	CHRYSLER CAPITAL CCART 2014-AA A2 ABS Prv Plc 0.490% 02/15/17		03/12/2014	JP Morgan Chase		2,120,129	2,120,140	.0
17275R-AM-4	CISCO SYSTEMS Corp Note Non Call 0.285% 09/03/15		02/24/2014	Merrill Lynch		500,000	500,000	.0
17322M-AS-5	Citigroup Commercial Mtge Tr CGCMT 2014-GC21 A1 CMBS 1.242% 05/10/47		05/07/2014	CitiGroup		2,419,531	2,419,592	1,753
17322V-AR-7	CITIGROUP COMMERCIAL MORTGAGE CGCMT 2014-GC23 A2 CMBS 2.851% 07/10/47		07/17/2014	CitiGroup		4,119,946	4,000,000	1,901
200340-AN-7	COMERICA INC Corp Note Non Call 3.000% 09/16/15		03/10/2014	Castleak Securities		207,228	200,000	2,950
200340-AN-7	COMERICA INC Corp Note Non Call 3.000% 09/16/15		07/22/2014	Market Axess		390,226	380,000	4,085
200340-AN-7	COMERICA INC Corp Note Non Call 3.000% 09/16/15		08/06/2014	Market Axess		256,388	250,000	3,021
24422E-SF-7	JOHN DEERE CAP Corp Note Non Call 1.950% 12/13/18		03/25/2014	Deutsche Bank		1,361,806	1,365,000	7,763
24422E-SJ-9	JOHN DEERE CAP Corp Note Non Call 0.333% 02/25/16		02/25/2014	CitiGroup		300,000	300,000	.0
25179M-AT-0	DEVON ENERGY Corp Note Call MW 2.250% 12/15/18		10/03/2014	Barclays Group Inc		1,775,178	1,775,000	12,536
260543-CJ-0	DOW CHEMICAL CO Corp Note Call MW 3.500% 10/01/24		09/09/2014	CitiGroup		1,382,220	1,400,000	.0
26875P-AF-8	EOG RESOURCES IN Corp Note MW 15BP 2.950% 06/01/15		05/15/2014	KGS ALPHA CAPITAL MKTS		410,242	400,000	5,539
26875P-AF-8	EOG RESOURCES IN Corp Note MW 15BP 2.950% 06/01/15		05/22/2014	Market Axess		266,643	260,000	3,750
26875P-AL-5	EOG RESOURCES IN Corp Note Call MW 2.450% 04/01/20		03/18/2014	JP Morgan Chase		1,732,293	1,735,000	.0
30219G-AJ-7	EXPRESS SCRIPTS Corp Note MW 1.250% 06/02/17		06/02/2014	JP Morgan Chase		1,459,445	1,460,000	.0
31620M-AL-0	FIDELITY NATIOLA Corp Note MW 1.450% 06/05/17		05/27/2014	Merrill Lynch		944,140	945,000	.0
316770-AW-9	FIFTH THRD BANK Corp Note Call 0.644% 02/26/16		02/28/2014	Barclays Group Inc		200,421	200,000	25
316770-AW-9	FIFTH THRD BANK Corp Note Call 0.644% 02/26/16		04/21/2014	Market Axess		351,012	350,000	357
316770-BA-6	FIFTH THRD BANK Corp Note Call 1.350% 06/01/17		04/22/2014	Morgan Stanley		2,039,062	2,040,000	.0
341099-CD-9	FLORIDA PWR CORP Corp Note MW 5.100% 12/01/15		10/28/2014	Keybank Capital Markets		525,290	500,000	10,625
34530M-AA-7	FORD CREDIT AUTO FORDR 2014-1 A ABS Prv Plc 2.260% 11/15/25		05/06/2014	Merrill Lynch		2,314,422	2,314,000	.0
345397-IX-7	FORD MOTOR CRED Corp Note Non Call 1.162% 11/04/19		10/28/2014	Deutsche Bank		1,000,000	1,000,000	.0
361894-AC-2	GM FINANCIAL AUT GMAILT 2014-1A A2 ABS Prv Plc 0.610% 07/20/16		04/03/2014	Deutsche Bank		2,451,953	2,452,215	.0
370334-BS-2	GENERAL MILLS IN Corp Note Non Call 0.433% 01/28/16		01/21/2014	Morgan Stanley		200,000	200,000	.0
373334-JY-8	GEORGIA POWER Corp Note MW 10BP 0.750% 08/10/15		07/22/2014	Market Axess		501,425	500,000	1,708
377372-AG-2	Glaxo SmithKline Cap Inc Corp Note MW 5BP 0.700% 03/18/16		08/21/2014	Pierpont Securities LLC		260,551	260,000	799
377372-AG-2	Glaxo SmithKline Cap Inc Corp Note MW 5BP 0.700% 03/18/16		08/21/2014	CitiGroup		175,382	175,000	538
377372-AG-2	Glaxo SmithKline Cap Inc Corp Note MW 5BP 0.700% 03/18/16		08/21/2014	BNP Paribas Securities Corp		117,247	117,000	359
377372-AG-2	Glaxo SmithKline Cap Inc Corp Note MW 5BP 0.700% 03/18/16		11/12/2014	Barclays Group Inc		500,795	500,000	574

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
421915-AG-4	HCP INC Corp Note Call 7.072% 06/08/15		04/16/2014	Wells Fargo Bank		375,179	350,000	9,213
437076-BE-1	HOME DEPOT INC Corp Note Call MW 2.000% 06/15/19		06/09/2014	Merrill Lynch		2,042,149	2,050,000	0
448901-AB-3	HYUNDAI AUTO LEA HALST 2014-A A2 ABS Prv Plc 0.520% 07/15/16		03/12/2014	Barclays Group Inc.		3,031,112	3,031,231	0
44890U-AE-4	HYUNDAI AUTO REC HART 2014-B B ABS 1.720% 11/15/19		05/07/2014	JP Morgan Chase		2,214,254	2,215,000	0
449230-AH-7	HYUNDAI CAP AMER Corp Note MW Prv Plc 1.450% 02/06/17		02/03/2014	Barclays Group Inc.		1,398,894	1,400,000	0
45687A-AA-0	INGERSOLL-RND GL Corp Note MW 50BP 6.875% 08/15/18		07/31/2014	Barclays Group Inc.		823,999	695,000	22,563
45687A-AA-0	INGERSOLL-RND GL Corp Note MW 50BP 6.875% 08/15/18		08/12/2014	JP Morgan Chase		214,243	180,000	0
459200-GJ-4	IBM CORP Corp Note Cont Call 5.700% 09/14/17		03/26/2014	Wachovia Bank		1,018,543	890,000	2,396
46625H-JE-1	JPMORGAN CHASE Corp Note Non Call 3.250% 09/23/22		07/31/2014	JP Morgan Chase		34,873	35,000	417
46641W-AS-6	JPMBB COMMERCIAL JPMBB 2014-C19 A1 CMB5 1.266% 04/15/47		04/29/2014	JP Morgan Chase		1,904,523	1,904,523	1,340
49327M-2E-3	KEY BANK NA Corp Note Call 0.723% 11/25/16		11/24/2014	Mizuho Investor Sec Co		381,680	380,000	23
494368-BM-4	KIMBERLY-CLARK Corp Note Non Call 0.282% 05/19/16		05/19/2014	Barclays Group Inc.		500,000	500,000	0
494550-AZ-9	KINDER MORGAN EN Corp Note MW 9.000% 02/01/19		07/22/2014	Stifel Nicolaus & Co.		1,402,324	1,100,000	47,850
539830-AY-5	LOCKHEED MARTIN Corp Note MW 3.350% 09/15/21		03/27/2014	Goldman Sachs		1,024,360	1,000,000	1,489
55279H-AB-6	MANUF & TRADERS Corp Note Non Call 0.535% 03/07/16		12/01/2014	US Bancorp		369,099	369,000	475
55315C-AB-3	MMAF EQUIPMENT F MMAF 2014-AA A2 ABS Prv Plc 0.520% 04/10/17		06/03/2014	JP Morgan Chase		3,399,715	3,400,000	0
581557-BA-2	MCKESSON CORP Corp Note Non Call 0.638% 09/10/15		03/05/2014	Goldman Sachs		2,160,000	2,160,000	0
59217G-AC-3	MET LIFE GLOB Corp Note Non Call Prv Plc 2.500% 09/29/15		07/01/2014	Wells Fargo Bank		409,704	400,000	2,722
61762T-AB-2	MORGAN STANLEY B MSBAM 2013-C11 A2 CMB5 3.085% 08/15/46		03/06/2014	JP Morgan Chase		3,125,156	3,000,000	2,571
61763K-AW-4	MORGAN STANLEY BAML TRUST MSBAM 2014-C15 A1 CMB5 1.313% 04/15/47		03/26/2014	Morgan Stanley		2,013,226	2,013,276	1,028
61763M-AB-6	MORGAN STANLEY B MSBAM 2014-C16 A2 CMB5 2.849% 06/15/47		06/05/2014	Morgan Stanley		3,218,623	3,125,000	4,204
64952H-BS-1	NEW YORK LIFE GL Corp Note Non Call Prv Plc 0.262% 10/05/15		04/01/2014	Deutsche Bank		750,000	750,000	0
652482-CG-3	NEWS AMER INC Corp Note MW 25BP 3.000% 09/15/22		07/31/2014	BNP Paribas Securities Corp		338,328	350,000	4,083
65364U-AK-2	NIAGARA MOHAWK Corp Note Call MW Prv Plc 3.508% 10/01/24		09/22/2014	Wachovia Bank		1,699,983	1,700,000	0
65473Q-AR-4	NISOURCE FINANCE Corp Note MW 135BP 5.450% 09/15/20		07/29/2014	Goldman Sachs		324,654	300,000	6,177
65473Q-AT-0	NISOURCE FINANCE Corp Note MW 6.800% 01/15/19		01/23/2014	Credit Suisse		566,262	475,000	1,166
665772-CF-4	Northern States Power Corp Note MW 5BP 1.950% 08/15/15		04/22/2014	KEYBANC CAP/ McDonald		635,325	625,000	2,370
674599-BZ-7	OCCIDENTAL PETE Corp Note MW 2.500% 02/01/16		05/27/2014	Jefferys and Company		619,812	600,000	4,958
693476-BH-5	PNC Funding Corp Note Non Call 3.625% 02/08/15		01/22/2014	US Bancorp		258,318	250,000	4,254
69349L-AJ-7	PNC BANK NA Corp Note Non Call 0.543% 01/28/16		02/03/2014	Mizuho Investor Sec Co		601,326	600,000	82
69371R-L3-8	PACCAR FINL CORP Corp Note Non Call 0.502% 02/08/16		05/01/2014	Cantor Fitzgerald		501,380	500,000	598
713448-CA-4	PEPSICO INC Corp Note MW 0.700% 08/13/15		05/09/2014	KEYBANC CAP/ McDonald		753,075	750,000	1,327
718546-AE-4	Phillips 66 Corp Note MW 20BP 1.950% 03/05/15		01/27/2014	KEYBANC CAP/ McDonald		355,180	350,000	2,749
72650R-AZ-5	PLAINS ALL AMER Corp Note Call 3.650% 06/01/22		03/27/2014	Merrill Lynch		1,020,393	1,010,000	12,288
74005P-BE-3	PRAXAIR INC Corp Note MW 0.750% 02/21/16		05/28/2014	KEYBANC CAP/ McDonald		402,112	400,000	842
74005P-BE-3	PRAXAIR INC Corp Note MW 0.750% 02/21/16		10/03/2014	Castleock Securities		500,700	500,000	479
74005P-BE-3	PRAXAIR INC Corp Note MW 0.750% 02/21/16		12/08/2014	Wells Fargo Bank		200,208	200,000	458
740189-AJ-4	PRECISION CAST Corp Note MW 0.700% 12/20/15		07/08/2014	KEYBANC CAP/ McDonald		741,510	740,000	302
740189-AJ-4	PRECISION CAST Corp Note MW 0.700% 12/20/15		10/30/2014	Market Axess		401,024	400,000	1,042
74153W-CC-1	PRICOA GLOB FUND Corp Note Non Call Prv Plc 0.382% 05/16/16		05/13/2014	UBS Financial Services		150,000	150,000	0
74153W-CC-1	PRICOA GLOB FUND Corp Note Non Call Prv Plc 0.382% 05/16/16		11/06/2014	RBC Capital Markets		700,014	700,000	637
74256L-AC-3	PRINCIPAL LFE II Note Non Call Prv Plc 1.000% 12/11/15		04/24/2014	KGS ALPHA CAPITAL MKTS		301,254	300,000	1,150
742718-EF-2	PROCTER & GAMBLE Corp Note Non Call 0.312% 11/04/16		11/19/2014	Goldman Sachs		500,000	500,000	87
744320-BZ-7	PRUDENTIAL FIN Corp Note MW 3.500% 05/15/24		07/31/2014	Wachovia Bank		1,361,081	1,370,000	10,656
74456Q-AW-6	PUBLIC SERVICE E Corp Note MW 10BP 2.700% 05/01/15		04/24/2014	Market Axess		613,554	600,000	8,010
760759-AL-4	REPUBLIC SVCS Corp Note MW 20BP 3.800% 05/15/18		07/24/2014	KEYBANC CAP/ McDonald		507,642	475,000	3,710
760759-AL-4	REPUBLIC SVCS Corp Note MW 20BP 3.800% 05/15/18		07/28/2014	Jefferys and Company		180,442	180,000	1,444
760759-AL-4	REPUBLIC SVCS Corp Note MW 20BP 3.800% 05/15/18		07/31/2014	Barclays Group Inc.		314,774	295,000	2,491
792860-AH-1	Travelers Corp Note MW 20BP 5.500% 12/01/15		04/28/2014	HSBC Securities Inc		565,268	525,000	12,031
80283W-AE-9	SANTANDER DRIVE SDART 2014-2 B ABS 1.620% 02/15/19		04/16/2014	Deutsche Bank		2,299,640	2,300,000	0
80283Y-AC-9	SANTANDER DRIVE SDART 2014-4 A2B ABS 0.481% 01/16/18		09/10/2014	Merrill Lynch		4,100,000	4,100,000	0
808513-AH-8	CHARLES SCHWAB Corp Note MW 10BP 0.850% 12/04/15		05/27/2014	Market Axess		200,886	200,000	831
808513-AH-8	CHARLES SCHWAB Corp Note MW 10BP 0.850% 12/04/15		09/17/2014	Market Axess		321,050	320,000	816
808513-AH-8	CHARLES SCHWAB Corp Note MW 10BP 0.850% 12/04/15		09/17/2014	Morgan Stanley		130,456	130,000	332
84756N-AC-3	SPECTRA PARTNERS Corp Note Call MW 2.950% 09/25/18		07/14/2014	Wachovia Bank		440,780	425,000	3,901
84756N-AC-3	SPECTRA PARTNERS Corp Note Call MW 2.950% 09/25/18		07/28/2014	JP Morgan Chase		570,086	550,000	5,679
857477-AH-6	State Street Corp Note Non Call 2.875% 03/07/16		10/24/2014	Mizuho Investor Sec Co		1,031,890	1,000,000	4,233
857477-AH-6	State Street Corp Note Non Call 2.875% 03/07/16		11/04/2014	Merrill Lynch		412,032	400,000	1,917
872287-AC-1	TCI Communications Inc Corp Note Non Call PPut 8.750% 08/01/15		03/17/2014	Wachovia Bank		388,945	350,000	4,168
887317-AJ-4	TIME WARNER INC Corp Note MW 25BP 3.150% 07/15/15		03/26/2014	Wachovia Bank		1,343,082	1,300,000	8,645
89231M-AC-9	TOYOTA AUTO RECE TAOT 2014-A A3 ABS 0.670% 12/15/17		03/11/2014	CitiGroup		3,349,381	3,350,000	0
89236T-BU-8	TOYOTA MTR CRED Corp Note Non Call 0.352% 09/23/16		09/18/2014	Castleock Securities		700,000	700,000	0
89236T-BU-8	TOYOTA MTR CRED Corp Note Non Call 0.352% 09/23/16		09/19/2014	Wells Fargo Bank		57,988	58,000	1
89236T-BU-8	TOYOTA MTR CRED Corp Note Non Call 0.352% 09/23/16		09/19/2014	Wells Fargo Bank		41,992	42,000	0
90131H-AA-3	NEWS AMER INC Corp Note MW 4.000% 10/01/23		01/23/2014	Tax Free Exchange		258,473	260,000	3,264

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
90331H-ME-0	US BANK NA OHIO Corp Note Call 0.295% 10/01/15		03/28/2014	US Bancorp		500,000	500,000	126
90331H-MF-7	US BANK NA OHIO Corp Note Call 0.352% 04/22/16		10/08/2014	Goldman Sachs		489,150	489,000	401
90331H-MF-7	US BANK NA OHIO Corp Note Call 0.352% 04/22/16		10/08/2014	Cantor Fitzgerald		87,026	87,000	71
90331H-MF-7	US BANK NA OHIO Corp Note Call 0.352% 04/22/16		10/08/2014	US Bancorp		174,054	174,000	143
90331H-MF-7	US BANK NA OHIO Corp Note Call 0.352% 04/22/16		11/13/2014	Goldman Sachs		199,980	200,000	53
91159H-HH-6	US BANCORP Corp Note Call 2.200% 04/25/19		08/04/2014	Merrill Lynch		2,097,742	2,080,000	13,092
92276M-AV-7	VENTAS REALTY LP Corp Note MW 40BP 3.125% 11/30/15		09/25/2014	Wells Fargo Bank		513,855	500,000	5,208
92276M-AX-3	VENTAS REALTY LP Corp Note Non Call 4.250% 03/01/22		03/27/2014	Barclays Group Inc.		644,732	620,000	2,196
92343V-BD-5	VERIZON COMM INC Corp Note MW 20BP 2.000% 11/01/16		10/31/2014	SG Cowen and Company		509,335	500,000	111
92343V-BM-5	VERIZON COMM INC Corp Note Non Call 1.991% 09/14/18		03/03/2014	Stifel Nicolaus & Co.		684,724	650,000	2,879
92343V-CE-2	VERIZON COMM INC Corp Note MW 1.350% 06/09/17		06/04/2014	JP Morgan Chase		1,049,475	1,050,000	0
92343V-CG-7	VERIZON COMM INC Corp Note MW Prv Plc 2.625% 02/21/20		08/21/2014	Taxable Exchange		504,000	504,000	0
928668-AD-4	VOLKSWAGEN GRP Corp Note Non Call Prv Plc 0.453% 05/23/16		05/15/2014	Merrill Lynch		750,000	750,000	0
931427-AG-3	WALGREENS BOOTS Corp Note Non Call 0.682% 05/18/16		11/06/2014	Merrill Lynch		400,000	400,000	0
94106L-AW-9	WASTE MANAGEMENT Corp Note MW 25BP 4.750% 06/30/20		07/08/2014	Merrill Lynch		334,446	300,000	435
94974B-FM-7	WELLS FARGO CO Corp Note Non Call 0.761% 07/20/16		10/15/2014	Goldman Sachs		753,908	750,000	0
94974B-FM-7	WELLS FARGO CO Corp Note Non Call 0.761% 07/20/16		10/27/2014	Goldman Sachs		703,178	700,000	148
94974B-GC-8	WELLS FARGO CO Corp Note Non Call 0.495% 09/08/17		09/02/2014	Wachovia Bank		1,750,000	1,750,000	0
96328D-AC-8	WHEELS SPV LLC WHLS 2014-1A A2 ABS Prv Plc 0.840% 03/20/23		05/13/2014	JP Morgan Chase		2,169,862	2,170,000	0
448055-AK-9	HUSKY ENERGY INC Corp Note Call MW 4.000% 04/15/24	A.	03/12/2014	JP Morgan Chase		2,380,015	2,385,000	0
78010U-D3-8	ROYAL BK CANADA Corp Note Non Call 0.483% 06/16/17	A.	06/10/2014	RBC Capital Markets		2,375,000	2,375,000	0
87425E-AL-7	TALISMAN ENERGY Corp Note MW 7.750% 06/01/19	A.	07/11/2014	Wachovia Bank		464,970	375,000	3,633
878742-AY-1	TECK RESOURC Corp Note Call MW 3.750% 02/01/23	A.	04/23/2014	CitiGroup		1,381,111	1,450,000	13,141
00080Q-AE-5	ABN AMRO BANK NV Corp Note Non Call Prv Plc 0.645% 06/06/16	F.	05/30/2014	Barclays Group Inc.		1,000,000	1,000,000	0
002799-AQ-7	ABBAY NATL TREAS Corp Note Non Call 2.350% 09/10/19	F.	09/03/2014	UBS Financial Services		2,066,638	2,075,000	0
034863-AL-4	ANGLO AMERICAN Corp Note Non Call Prv Plc 1.181% 04/15/16	F.	04/08/2014	Credit Suisse		730,000	730,000	0
055451-AU-2	BHP FINANCE USA Corp Note MW 125BP 3.850% 09/30/23	F.	08/01/2014	Wachovia Bank		1,045,750	1,000,000	13,475
06739F-HJ-8	Barclays Bank Corp Note Non Call 0.812% 02/17/17	F.	02/12/2014	Barclays Group Inc.		1,510,000	1,510,000	0
111013-AM-0	BRIT SKY BROADCA Corp Note MW Prv Plc 2.625% 09/16/19	F.	09/09/2014	Morgan Stanley		698,957	700,000	0
2027A0-HL-6	COM BK AUSTRALIA Corp Note Non Call Prv Plc 0.785% 09/06/19	F.	09/03/2014	Credit Suisse		2,420,000	2,420,000	0
22546Q-AN-7	CPED SUIS NY Corp Note Non Call 2.300% 05/28/19	F.	07/28/2014	Credit Suisse		1,368,868	1,375,000	5,447
404280-AN-9	HSBC HOLDINGS Corp Note Non Call 4.000% 03/30/22	F.	08/04/2014	JP Morgan Chase		1,044,631	980,000	13,829
71654Q-BJ-0	PETROLEOS MEXICA Corp Note MW 3.500% 07/18/18	F.	03/25/2014	Barclays Group Inc.		1,013,072	980,000	6,669
8399999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					170,278,028	168,208,639	430,179
8399997	Total - Bonds - Part 3					342,238,599	323,714,907	967,648
8399998	Total - Bonds - Part 5					61,273,615	57,931,653	159,299
8399999	Total - Bonds					403,512,214	381,646,560	1,126,947
8999997	Total - Preferred Stocks - Part 3					0	XXX	0
8999998	Total - Preferred Stocks - Part 5					0	XXX	0
8999999	Total - Preferred Stocks					0	XXX	0
9799997	Total - Common Stocks - Part 3					0	XXX	0
9799998	Total - Common Stocks - Part 5					0	XXX	0
9799999	Total - Common Stocks					0	XXX	0
9899999	Total - Preferred and Common Stocks					0	XXX	0
9999999	Totals					403,512,214	XXX	1,126,947

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/Decrease	Current Year's (Amortization)/Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		01/01/2014	Paydown		5,007	5,007	5,363	5,359	0	(351)	0	(351)	0	5,007	0	0	0	17	10/15/2039
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		02/01/2014	Paydown		2,482	2,482	2,658	2,656	0	(174)	0	(174)	0	2,482	0	0	0	17	10/15/2039
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		03/01/2014	Paydown		10,765	10,765	11,528	11,520	0	(755)	0	(755)	0	10,765	0	0	0	108	10/15/2039
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		04/01/2014	Paydown		6,785	6,785	7,266	7,261	0	(476)	0	(476)	0	6,785	0	0	0	90	10/15/2039
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		05/01/2014	Paydown		5,659	5,659	6,060	6,056	0	(397)	0	(397)	0	5,659	0	0	0	94	10/15/2039
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		06/01/2014	Paydown		25,623	25,623	27,440	27,420	0	(1,798)	0	(1,798)	0	25,623	0	0	0	512	10/15/2039
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		07/01/2014	Paydown		5,154	5,154	5,519	5,515	0	(362)	0	(362)	0	5,154	0	0	0	120	10/15/2039
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		08/01/2014	Paydown		21,390	21,390	22,908	22,891	0	(1,501)	0	(1,501)	0	21,390	0	0	0	570	10/15/2039
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		09/01/2014	Paydown		13,724	13,724	14,697	14,686	0	(963)	0	(963)	0	13,724	0	0	0	412	10/15/2039
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		10/01/2014	Paydown		15,389	15,389	16,481	16,469	0	(1,080)	0	(1,080)	0	15,389	0	0	0	513	10/15/2039
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		11/01/2014	Paydown		11,413	11,413	12,223	12,214	0	(801)	0	(801)	0	11,413	0	0	0	418	10/15/2039
3620A9-PQ-8	GNMA Pool 723231 MBS 4.000% 10/15/39		12/01/2014	Paydown		16,588	16,588	17,765	17,752	0	(1,164)	0	(1,164)	0	16,588	0	0	0	663	10/15/2039
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		01/01/2014	Paydown		65	65	65	65	0	0	0	0	0	65	0	0	0	0	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		02/01/2014	Paydown		102	102	102	102	0	0	0	0	0	102	0	0	0	0	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		03/01/2014	Paydown		58	58	58	58	0	0	0	0	0	58	0	0	0	1	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		04/01/2014	Paydown		62	62	63	62	0	0	0	0	0	62	0	0	0	2	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		05/01/2014	Paydown		59	59	59	59	0	0	0	0	0	59	0	0	0	2	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		06/01/2014	Paydown		66	66	66	66	0	0	0	0	0	66	0	0	0	3	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		07/01/2014	Paydown		66	66	66	66	0	0	0	0	0	66	0	0	0	4	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		08/01/2014	Paydown		68	68	68	68	0	0	0	0	0	68	0	0	0	4	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		09/01/2014	Paydown		73	73	73	73	0	0	0	0	0	73	0	0	0	5	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		10/01/2014	Paydown		68	68	68	68	0	0	0	0	0	68	0	0	0	5	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		11/01/2014	Paydown		69	69	69	68	0	0	0	0	0	69	0	0	0	6	12/15/2019
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		12/01/2014	Paydown		62	62	62	62	0	0	0	0	0	62	0	0	0	6	12/15/2019
912828-CJ-7	US Treasury Note 4.750% 05/15/14		05/15/2014	Maturity		2,000,000	2,000,000	2,274,149	2,020,384	0	(20,384)	0	(20,384)	0	2,000,000	0	0	0	47,500	05/15/2014
912828-JZ-4	US Treasury Note 1.750% 01/31/14		01/31/2014	Maturity		2,000,000	2,000,000	2,034,766	2,002,485	0	(2,485)	0	(2,485)	0	2,000,000	0	0	0	17,500	01/31/2014
912828-QM-5	US Treasury Note 1.000% 05/15/14		05/15/2014	Maturity		3,000,000	3,000,000	3,029,180	3,008,934	0	(8,934)	0	(8,934)	0	3,000,000	0	0	0	15,000	05/15/2014
912828-FM-4	US Treasury Note 1.000% 10/31/16		10/23/2014	Nomura Securities		5,257,891	5,200,000	5,191,689	5,195,244	0	1,347	0	1,347	0	5,196,591	0	61,300	61,300	51,011	10/31/2016
912828-SB-7	US Treasury Note 0.250% 01/31/14		01/31/2014	Maturity		2,000,000	2,000,000	1,998,047	1,999,918	0	82	0	82	0	2,000,000	0	0	0	2,500	01/31/2014
912828-TA-8	US Treasury Note 0.250% 06/30/14		06/30/2014	Maturity		2,000,000	2,000,000	2,001,328	2,000,478	0	(478)	0	(478)	0	2,000,000	0	0	0	2,500	06/30/2014
912828-TU-4	US Treasury Note 0.250% 10/31/14		10/31/2014	Maturity		6,000,000	6,000,000	6,007,266	6,006,022	0	(6,022)	0	(6,022)	0	6,000,000	0	0	0	15,000	10/31/2014
912828-UJ-7	US Treasury Note 0.875% 01/31/18		06/27/2014	Barclays Group Inc.		8,988,815	9,080,000	9,091,026	9,089,056	0	(1,084)	0	(1,084)	0	9,087,972	0	(99,157)	(99,157)	72,646	01/31/2018
0599999	Subtotal - Bonds - U.S. Governments					31,387,503	31,420,797	31,778,178	31,473,137	0	(47,780)	0	(47,780)	0	31,425,360	0	(37,857)	(37,857)	227,231	XXX
13063B-06-2	CALIFORNIA ST GO Non Call 5.000% 02/01/19		10/14/2014	Jefferys and Company		1,927,893	1,650,000	1,971,948	1,931,603	0	(42,564)	0	(42,564)	0	1,889,039	0	38,854	38,854	99,917	02/01/2019
20772J-B0-4	CONNECTICUT ST GO Non Call 5.000%		07/11/2014	Goldman Sachs		4,982,880	4,200,000	4,997,916	4,811,204	0	(40,563)	0	(40,563)	0	4,770,642	0	212,238	212,238	140,583	05/15/2021
246380-3M-9	DE St GO Non Call 5.000% 10/01/14		10/01/2014	Maturity		3,355,000	3,355,000	3,868,986	3,435,527	0	(80,527)	0	(80,527)	0	3,355,000	0	0	0	167,750	10/01/2014
373384-UQ-7	GEORGIA ST GO Non Call 5.000% 07/01/20		10/21/2014	Loop Capital Markets		3,602,160	3,000,000	3,731,880	3,549,998	0	(64,787)	0	(64,787)	0	3,485,211	0	116,949	116,949	196,667	07/01/2020
452152-RC-7	ILLINOIS ST GO Non Call 5.000% 04/01/19		08/15/2014	Morgan Stanley		1,683,785	1,500,000	1,729,395	1,703,033	0	(23,224)	0	(23,224)	0	1,679,809	0	(16,024)	(16,024)	66,458	04/01/2019
604129-XB-7	MINNESOTA ST GO Non Call 5.000% 08/01/18		03/21/2014	Goldman Sachs		3,487,080	3,000,000	3,657,180	3,396,453	0	(19,122)	0	(19,122)	0	3,377,331	0	109,749	109,749	97,917	08/01/2018
658256-VC-3	NORTH CAROLINA S GO Non Call 5.000% 04/01/14		04/01/2014	Maturity		3,045,000	3,045,000	3,269,599	3,056,024	0	(11,024)	0	(11,024)	0	3,045,000	0	0	0	76,125	04/01/2014
93974C-RN-2	WASHINGTON ST GO Non Call 5.000% 01/01/19		03/26/2014	JP Morgan Chase		5,818,150	5,000,000	5,932,500	5,578,952	0	(25,984)	0	(25,984)	0	5,552,968	0	265,182	265,182	185,417	01/01/2019
1799999	Subtotal - Bonds - U.S. States, Territories and Possessions					27,881,948	24,750,000	29,159,404	27,462,794	0	(307,795)	0	(307,795)	0	27,155,000	0	726,948	726,948	1,030,834	XXX
232760-F6-0	Cypress TX Indpt Sch GO Non Call 5.000% 02/15/14		02/15/2014	Maturity		2,325,000	2,325,000	2,521,765	2,329,980	0	(4,980)	0	(4,980)	0	2,325,000	0	0	0	58,125	02/15/2014
442402-4F-7	Houston TX Ind Sch Dist GO Non Call 5.000% 07/15/14		07/15/2014	Maturity		1,150,000	1,150,000	1,217,712	1,156,972	0	(6,972)	0	(6,972)	0	1,150,000	0	0	0	57,500	07/15/2014
64966J-UJ-0	NEW YORK NY GO Non Call 5.000% 08/01/21		10/15/2014	Merrill Lynch		6,051,850	5,000,000	5,976,350	5,919,296	0	(89,720)	0	(89,720)	0	5,829,575	0	222,275	222,275	304,861	08/01/2021
659154-3Z-9	North East ISD TX GO Cont Call 5.000% 08/01/21		08/01/2014	Call 100.0000		1,030,000	1,030,000	1,058,871	1,033,227	0	(3,227)	0	(3,227)	0	1,030,000	0	0	0	51,500	08/01/2021
717146-MG-6	Pharr San Juan Alama TX GO Non Call 5.000% 02/01/14		02/01/2014	Maturity		2,000,000	2,000,000	2,166,100	2,002,901	0	(2,901)	0	(2,901)	0	2,000,000	0	0	0	50,000	02/01/2014
2499999	Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					12,556,850	11,505,000	12,940,798	12,442,376	0	(107,800)	0	(107,800)	0	12,334,575	0	222,275	222,275	521,966	

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
13066Y-QM-4	CA St Dpt of Wtr Rev Bond Non Call 5.000%		03/26/2014	Wedbush Morgan Securities		2,221,449	1,890,000	2,142,161	2,049,462	0	(6,528)	0	(6,528)	0	2,042,934	0	178,515	178,515	38,588	05/01/2019
13066Y-QM-4	CA St Dpt of Wtr Rev Bond Non Call 5.000%		03/26/2014	Wedbush Morgan Securities		1,217,781	1,035,000	1,172,417	1,121,929	0	(3,558)	0	(3,558)	0	1,118,371	0	99,410	99,410	21,131	05/01/2019
13066Y-QN-2	CA St Dpt of Wtr Rev Bond Non Call 5.000%		03/24/2014	CitiGroup		4,085,111	3,450,000	3,946,697	3,788,758	0	(11,349)	0	(11,349)	0	3,777,410	0	307,701	307,701	69,958	05/01/2020
13066Y-SP-5	CA St Dpt of Wtr Rev Bond Non Call 5.000%		03/25/2014	Raymond James & Assoc		2,366,380	2,000,000	2,389,080	2,292,156	0	(10,147)	0	(10,147)	0	2,282,009	0	84,371	84,371	40,833	05/01/2020
167593-FZ-0	CHICAGO IL O'HAR Rev Bond Non Call 5.000%		10/20/2014	Goldman Sachs		4,504,000	4,000,000	4,331,080	4,208,517	0	(39,769)	0	(39,769)	0	4,168,748	0	335,252	335,252	261,667	01/01/2018
176553-GL-3	CITIZENS PROPERT Rev Bond Non Call 5.000%		06/01/17	Wachovia Bank		4,472,040	4,000,000	4,476,160	4,334,802	0	(22,313)	0	(22,313)	0	4,312,489	0	159,551	159,551	65,000	06/01/2017
28270C-HH-8	ENERGY N W WA EL Rev Bond Cont Call 5.250% 07/01/15		07/01/2014	Call 100.0000		2,895,000	2,895,000	3,117,510	2,916,741	0	(21,741)	0	(21,741)	0	2,895,000	0	0	0	151,988	07/01/2015
3128PC-2X-9	FHLMC Pool J01690 MBS 5.500% 04/01/21		01/01/2014	Paydown		6,274	6,274	6,272	6,269	0	6	0	6	0	6,274	0	0	0	29	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS 5.500% 04/01/21		02/01/2014	Paydown		1,113	1,113	1,113	1,112	0	1	0	1	0	1,113	0	0	0	10	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS 5.500% 04/01/21		03/01/2014	Paydown		1,117	1,117	1,116	1,116	0	1	0	1	0	1,117	0	0	0	15	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS 5.500% 04/01/21		04/01/2014	Paydown		1,123	1,123	1,123	1,122	0	1	0	1	0	1,123	0	0	0	21	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS 5.500% 04/01/21		05/01/2014	Paydown		1,128	1,128	1,127	1,127	0	1	0	1	0	1,128	0	0	0	26	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS 5.500% 04/01/21		06/01/2014	Paydown		1,134	1,134	1,134	1,133	0	1	0	1	0	1,134	0	0	0	31	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS 5.500% 04/01/21		07/01/2014	Paydown		1,146	1,146	1,145	1,145	0	1	0	1	0	1,146	0	0	0	37	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS 5.500% 04/01/21		08/01/2014	Paydown		1,145	1,145	1,145	1,144	0	1	0	1	0	1,145	0	0	0	42	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS 5.500% 04/01/21		09/01/2014	Paydown		1,945	1,945	1,944	1,943	0	2	0	2	0	1,945	0	0	0	80	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS 5.500% 04/01/21		10/01/2014	Paydown		1,410	1,410	1,410	1,409	0	1	0	1	0	1,410	0	0	0	65	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS 5.500% 04/01/21		11/01/2014	Paydown		6,535	6,535	6,533	6,529	0	6	0	6	0	6,535	0	0	0	329	04/01/2021
3128PC-2X-9	FHLMC Pool J01690 MBS 5.500% 04/01/21		12/01/2014	Paydown		1,071	1,071	1,071	1,070	0	1	0	1	0	1,071	0	0	0	59	04/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS 6.000% 10/01/21		01/01/2014	Paydown		2,264	2,264	2,302	2,290	0	(26)	0	(26)	0	2,264	0	0	0	11	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS 6.000% 10/01/21		02/01/2014	Paydown		2,097	2,097	2,131	2,120	0	(24)	0	(24)	0	2,097	0	0	0	21	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS 6.000% 10/01/21		03/01/2014	Paydown		2,106	2,106	2,141	2,130	0	(24)	0	(24)	0	2,106	0	0	0	32	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS 6.000% 10/01/21		04/01/2014	Paydown		2,092	2,092	2,127	2,116	0	(24)	0	(24)	0	2,092	0	0	0	42	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS 6.000% 10/01/21		05/01/2014	Paydown		2,255	2,255	2,292	2,280	0	(26)	0	(26)	0	2,255	0	0	0	56	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS 6.000% 10/01/21		06/01/2014	Paydown		5,719	5,719	5,814	5,784	0	(65)	0	(65)	0	5,719	0	0	0	172	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS 6.000% 10/01/21		07/01/2014	Paydown		2,337	2,337	2,376	2,364	0	(27)	0	(27)	0	2,337	0	0	0	82	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS 6.000% 10/01/21		08/01/2014	Paydown		6,160	6,160	6,262	6,230	0	(70)	0	(70)	0	6,160	0	0	0	246	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS 6.000% 10/01/21		09/01/2014	Paydown		6,078	6,078	6,179	6,147	0	(69)	0	(69)	0	6,078	0	0	0	274	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS 6.000% 10/01/21		10/01/2014	Paydown		2,104	2,104	2,139	2,128	0	(24)	0	(24)	0	2,104	0	0	0	105	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS 6.000% 10/01/21		11/01/2014	Paydown		2,189	2,189	2,225	2,214	0	(25)	0	(25)	0	2,189	0	0	0	120	10/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS 6.000% 10/01/21		12/01/2014	Paydown		2,565	2,565	2,608	2,595	0	(29)	0	(29)	0	2,565	0	0	0	154	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS 6.000% 10/01/21		01/01/2014	Paydown		23,893	23,893	24,229	24,143	0	(250)	0	(250)	0	23,893	0	0	0	119	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS 6.000% 10/01/21		02/01/2014	Paydown		1,531	1,531	1,553	1,547	0	(16)	0	(16)	0	1,531	0	0	0	15	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS 6.000% 10/01/21		03/01/2014	Paydown		16,959	16,959	17,198	17,137	0	(178)	0	(178)	0	16,959	0	0	0	254	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS 6.000% 10/01/21		04/01/2014	Paydown		1,519	1,519	1,540	1,535	0	(16)	0	(16)	0	1,519	0	0	0	30	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS 6.000% 10/01/21		05/01/2014	Paydown		21,994	21,994	22,303	22,224	0	(230)	0	(230)	0	21,994	0	0	0	550	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS 6.000% 10/01/21		06/01/2014	Paydown		1,305	1,305	1,324	1,319	0	(14)	0	(14)	0	1,305	0	0	0	39	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS 6.000% 10/01/21		07/01/2014	Paydown		1,309	1,309	1,327	1,322	0	(14)	0	(14)	0	1,309	0	0	0	46	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS 6.000% 10/01/21		08/01/2014	Paydown		28,211	28,211	28,608	28,507	0	(296)	0	(296)	0	28,211	0	0	0	1,128	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS 6.000% 10/01/21		09/01/2014	Paydown		15,638	15,638	15,858	15,802	0	(164)	0	(164)	0	15,638	0	0	0	704	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS 6.000% 10/01/21		10/01/2014	Paydown		950	950	963	960	0	(10)	0	(10)	0	950	0	0	0	48	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS 6.000% 10/01/21		11/01/2014	Paydown		993	993	1,006	1,003	0	(10)	0	(10)	0	993	0	0	0	55	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS 6.000% 10/01/21		12/01/2014	Paydown		960	960	974	970	0	(10)	0	(10)	0	960	0	0	0	58	10/01/2021
3128PL-PR-7	FHLMC Pool J08532 MBS 5.500% 08/01/23		01/01/2014	Paydown		6,165	6,165	6,234	6,220	0	(55)	0	(55)	0	6,165	0	0	0	28	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS 5.500% 08/01/23		02/01/2014	Paydown		20,882	20,882	21,117	21,069	0	(187)	0	(187)	0	20,882	0	0	0	191	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS 5.500% 08/01/23		03/01/2014	Paydown		9,174	9,174	9,277	9,256	0	(82)	0	(82)	0	9,174	0	0	0	126	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS 5.500% 08/01/23		04/01/2014	Paydown		46,678	46,678	47,203	47,097	0	(418)	0	(418)	0	46,678	0	0	0	856	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS 5.500% 08/01/23		05/01/2014	Paydown		5,886	5,886	5,952	5,939	0	(53)	0	(53)	0	5,886	0	0	0	135	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS 5.500% 08/01/23		06/01/2014	Paydown		6,152	6,152	6,221	6,207	0	(55)	0	(55)	0	6,152	0	0	0	169	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS 5.500% 08/01/23		07/01/2014	Paydown		6,166	6,166	6,236	6,222	0	(55)	0	(55)	0	6,166	0	0	0	198	08/01/2023

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con- sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor- tization)/ Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500%	08/01/23	09/01/2014	Paydown		5,935	5,935	6,002	5,988	0	(53)	(53)	0	5,935	0	0	0	245	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500%	08/01/23	10/01/2014	Paydown		6,018	6,018	6,085	6,072	0	(54)	(54)	0	6,018	0	0	0	276	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500%	08/01/23	11/01/2014	Paydown		19,225	19,225	19,441	19,397	0	(172)	(172)	0	19,225	0	0	0	969	08/01/2023
3128PL-PR-7	FHLMC Pool J08532 MBS	5.500%	08/01/23	12/01/2014	Paydown		5,862	5,862	5,928	5,915	0	(53)	(53)	0	5,862	0	0	0	322	08/01/2023
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25	01/01/2014	Paydown		5,431	5,431	5,609	5,587	0	(156)	(156)	0	5,431	0	0	0	18	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25	02/01/2014	Paydown		5,614	5,614	5,797	5,776	0	(161)	(161)	0	5,614	0	0	0	37	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25	03/01/2014	Paydown		44,882	44,882	46,348	46,173	0	(1,291)	(1,291)	0	44,882	0	0	0	449	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25	04/01/2014	Paydown		4,952	4,952	5,114	5,095	0	(142)	(142)	0	4,952	0	0	0	66	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25	05/01/2014	Paydown		4,963	4,963	5,125	5,106	0	(143)	(143)	0	4,963	0	0	0	83	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25	06/01/2014	Paydown		4,981	4,981	5,144	5,124	0	(143)	(143)	0	4,981	0	0	0	100	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25	07/01/2014	Paydown		5,908	5,908	6,101	6,078	0	(170)	(170)	0	5,908	0	0	0	138	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25	08/01/2014	Paydown		5,314	5,314	5,488	5,467	0	(153)	(153)	0	5,314	0	0	0	142	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25	09/01/2014	Paydown		5,606	5,606	5,789	5,767	0	(161)	(161)	0	5,606	0	0	0	168	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25	10/01/2014	Paydown		76,662	76,662	79,166	78,867	0	(2,205)	(2,205)	0	76,662	0	0	0	2,555	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25	11/01/2014	Paydown		16,936	16,936	17,489	17,423	0	(487)	(487)	0	16,936	0	0	0	621	12/01/2025
3128PT-HF-9	FHLMC Pool J13958 MBS	4.000%	12/01/25	12/01/2014	Paydown		5,578	5,578	5,760	5,738	0	(160)	(160)	0	5,578	0	0	0	223	12/01/2025
3128PU-WF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	01/01/2014	Paydown		3,337	3,337	3,547	3,532	0	(195)	(195)	0	3,337	0	0	0	13	04/01/2026
3128PU-WF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	02/01/2014	Paydown		3,349	3,349	3,560	3,545	0	(196)	(196)	0	3,349	0	0	0	25	04/01/2026
3128PU-WF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	03/01/2014	Paydown		5,269	5,269	5,600	5,576	0	(308)	(308)	0	5,269	0	0	0	59	04/01/2026
3128PU-WF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	04/01/2014	Paydown		7,182	7,182	7,634	7,601	0	(419)	(419)	0	7,182	0	0	0	108	04/01/2026
3128PU-WF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	05/01/2014	Paydown		35,635	35,635	37,879	37,716	0	(2,081)	(2,081)	0	35,635	0	0	0	668	04/01/2026
3128PU-WF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	06/01/2014	Paydown		3,595	3,595	3,821	3,805	0	(210)	(210)	0	3,595	0	0	0	81	04/01/2026
3128PU-WF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	07/01/2014	Paydown		3,516	3,516	3,737	3,721	0	(205)	(205)	0	3,516	0	0	0	92	04/01/2026
3128PU-WF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	08/01/2014	Paydown		7,576	7,576	8,053	8,018	0	(442)	(442)	0	7,576	0	0	0	227	04/01/2026
3128PU-WF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	09/01/2014	Paydown		10,473	10,473	11,132	11,084	0	(611)	(611)	0	10,473	0	0	0	353	04/01/2026
3128PU-WF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	10/01/2014	Paydown		2,885	2,885	3,067	3,053	0	(168)	(168)	0	2,885	0	0	0	108	04/01/2026
3128PU-WF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	11/01/2014	Paydown		2,842	2,842	3,021	3,008	0	(166)	(166)	0	2,842	0	0	0	117	04/01/2026
3128PU-WF-5	FHLMC Pool J15146 MBS	4.500%	04/01/26	12/01/2014	Paydown		70,155	70,155	74,572	74,251	0	(4,096)	(4,096)	0	70,155	0	0	0	3,152	04/01/2026
3128PV-6T-2	FHLMC Pool J16282 MBS	3.500%	08/01/26	01/01/2014	Paydown		12,710	12,710	13,382	13,314	0	(603)	(603)	0	12,710	0	0	0	37	08/01/2026
3128PV-6T-2	FHLMC Pool J16282 MBS	3.500%	08/01/26	02/01/2014	Paydown		13,216	13,216	13,914	13,843	0	(627)	(627)	0	13,216	0	0	0	77	08/01/2026
3128PV-6T-2	FHLMC Pool J16282 MBS	3.500%	08/01/26	03/01/2014	Paydown		1,993	1,993	2,098	2,087	0	(95)	(95)	0	1,993	0	0	0	17	08/01/2026
3128PV-6T-2	FHLMC Pool J16282 MBS	3.500%	08/01/26	03/05/2014	Nomura Securities		344,218	326,213	343,441	341,690	0	(25)	(25)	0	341,665	0	2,553	2,553	3,140	08/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26	01/01/2014	Paydown		2,526	2,526	2,686	2,674	0	(147)	(147)	0	2,526	0	0	0	9	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26	02/01/2014	Paydown		40,763	40,763	43,349	43,142	0	(2,379)	(2,379)	0	40,763	0	0	0	306	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26	03/01/2014	Paydown		2,384	2,384	2,535	2,523	0	(139)	(139)	0	2,384	0	0	0	27	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26	04/01/2014	Paydown		2,394	2,394	2,546	2,534	0	(140)	(140)	0	2,394	0	0	0	36	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26	05/01/2014	Paydown		61,454	61,454	65,352	65,040	0	(3,586)	(3,586)	0	61,454	0	0	0	1,152	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26	06/01/2014	Paydown		2,316	2,316	2,463	2,452	0	(135)	(135)	0	2,316	0	0	0	52	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26	07/01/2014	Paydown		2,120	2,120	2,254	2,243	0	(124)	(124)	0	2,120	0	0	0	56	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26	08/01/2014	Paydown		2,128	2,128	2,263	2,252	0	(124)	(124)	0	2,128	0	0	0	64	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26	09/01/2014	Paydown		2,137	2,137	2,273	2,262	0	(125)	(125)	0	2,137	0	0	0	72	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26	10/01/2014	Paydown		2,128	2,128	2,263	2,252	0	(124)	(124)	0	2,128	0	0	0	80	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26	11/01/2014	Paydown		7,193	7,193	7,649	7,612	0	(420)	(420)	0	7,193	0	0	0	297	05/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS	4.500%	05/01/26	12/01/2014	Paydown		2,167	2,167	2,305	2,294	0	(126)	(126)	0	2,167	0	0	0	98	05/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26	01/01/2014	Paydown		3,511	3,511	3,733	3,715	0	(204)	(204)	0	3,511	0	0	0	13	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26	02/01/2014	Paydown		3,786	3,786	4,025	4,006	0	(220)	(220)	0	3,786	0	0	0	28	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26	03/01/2014	Paydown		3,543	3,543	3,767	3,749	0	(206)	(206)	0	3,543	0	0	0	40	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26	04/01/2014	Paydown		3,519	3,519	3,741	3,723	0	(204)	(204)	0	3,519	0	0	0	53	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26	05/01/2014	Paydown		3,569	3,569	3,794	3,776	0	(207)	(207)	0	3,569	0	0	0	67	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26	06/01/2014	Paydown		3,589	3,589	3,816	3,798	0	(209)	(209)	0	3,589	0	0	0	81	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26	07/01/2014	Paydown		3,987	3,987	4,238	4,218	0	(232)	(232)	0	3,987	0	0	0	105	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26	08/01/2014	Paydown		3,683	3,683	3,916	3,897	0	(214)	(214)	0	3,683	0	0	0	110	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26	09/01/2014	Paydown		3,734	3,734	3,969	3,951	0	(217)	(217)	0	3,734	0	0	0	126	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26	10/01/2014	Paydown		4,096	4,096	4,354	4,334	0	(238)	(238)	0	4,096	0	0	0	154	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26	11/01/2014	Paydown		3,734	3,734	3,970	3,951	0	(217)	(217)	0	3,734	0	0	0	154	06/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS	4.500%	06/01/26	12/01/2014	Paydown		4,004	4,004	4,256	4,236	0	(233)	(233)	0	4,004	0	0	0	180	06/01/2026
3128PII-E2-0	FHLMC Pool J16453 MBS	3.500%	09/01/26	01/01/2014	Paydown		269	269	284	282	0	(13)	(13)	0	269	0	0	0		

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3128PII-E2-0	FHLMC Pool J16453 MBS 3.500% 09/01/26		03/01/2014	Paydown		279	279	294	293	0	(14)	0	(14)	0	279	0	0	0	2	09/01/2026
3128PII-E2-0	FHLMC Pool J16453 MBS 3.500% 09/01/26		03/05/2014	JP Morgan Chase		51,616	48,933	51,601	51,348	0	2	0	2	0	51,349	0	267	267	471	09/01/2026
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		01/01/2014	Paydown		9	9	9	9	0	0	0	0	0	9	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		02/01/2014	Paydown		9	9	9	9	0	0	0	0	0	9	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		03/01/2014	Paydown		9	9	9	9	0	0	0	0	0	9	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		04/01/2014	Paydown		18	18	18	18	0	0	0	0	0	18	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		05/01/2014	Paydown		11	11	11	11	0	0	0	0	0	11	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		06/01/2014	Paydown		8	8	8	8	0	0	0	0	0	8	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		07/01/2014	Paydown		9	9	9	9	0	0	0	0	0	9	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		08/01/2014	Paydown		9	9	10	9	0	0	0	0	0	9	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		09/01/2014	Paydown		9	9	9	9	0	0	0	0	0	9	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		10/01/2014	Paydown		9	9	9	9	0	0	0	0	0	9	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		11/01/2014	Paydown		8	8	8	8	0	0	0	0	0	8	0	0	0	0	07/01/2021
312906-FZ-8	FHLMC FHR 1105 Cls EZ CMO 7.950% 07/01/21		12/01/2014	Paydown		10	10	10	10	0	0	0	0	0	10	0	0	0	0	07/01/2021
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		01/01/2014	Paydown		60,130	60,130	61,239	61,223	0	(1,093)	0	(1,093)	0	60,130	0	0	0	301	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		02/01/2014	Paydown		311	311	317	317	0	(6)	0	(6)	0	311	0	0	0	3	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		03/01/2014	Paydown		313	313	318	318	0	(6)	0	(6)	0	313	0	0	0	5	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		04/01/2014	Paydown		295	295	301	301	0	(5)	0	(5)	0	295	0	0	0	6	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		05/01/2014	Paydown		316	316	322	322	0	(6)	0	(6)	0	316	0	0	0	8	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		06/01/2014	Paydown		324	324	330	330	0	(6)	0	(6)	0	324	0	0	0	10	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		07/01/2014	Paydown		337	337	343	343	0	(6)	0	(6)	0	337	0	0	0	12	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		08/01/2014	Paydown		48,271	48,271	49,161	49,149	0	(878)	0	(878)	0	48,271	0	0	0	1,931	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		09/01/2014	Paydown		229	229	233	233	0	(4)	0	(4)	0	229	0	0	0	10	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		10/01/2014	Paydown		270	270	275	275	0	(5)	0	(5)	0	270	0	0	0	14	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		11/01/2014	Paydown		298	298	303	303	0	(5)	0	(5)	0	298	0	0	0	16	08/01/2038
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		12/01/2014	Paydown		274	274	279	278	0	(5)	0	(5)	0	274	0	0	0	16	08/01/2038
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		01/01/2014	Paydown		2,201	2,201	2,295	2,294	0	(92)	0	(92)	0	2,201	0	0	0	6	11/01/2042
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		02/01/2014	Paydown		3,116	3,116	3,249	3,246	0	(131)	0	(131)	0	3,116	0	0	0	16	11/01/2042
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		03/01/2014	Paydown		1,397	1,397	1,457	1,456	0	(59)	0	(59)	0	1,397	0	0	0	10	11/01/2042
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		04/01/2014	Paydown		2,398	2,398	2,501	2,499	0	(101)	0	(101)	0	2,398	0	0	0	24	11/01/2042
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		05/01/2014	Paydown		4,926	4,926	5,137	5,133	0	(207)	0	(207)	0	4,926	0	0	0	62	11/01/2042
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		06/01/2014	Paydown		3,603	3,603	3,757	3,754	0	(151)	0	(151)	0	3,603	0	0	0	54	11/01/2042
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		07/01/2014	Paydown		6,176	6,176	6,440	6,435	0	(259)	0	(259)	0	6,176	0	0	0	58	11/01/2042
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		08/01/2014	Paydown		7,352	7,352	7,667	7,661	0	(309)	0	(309)	0	7,352	0	0	0	147	11/01/2042
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		09/01/2014	Paydown		6,171	6,171	6,435	6,430	0	(259)	0	(259)	0	6,171	0	0	0	139	11/01/2042
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		10/01/2014	Paydown		7,836	7,836	8,171	8,165	0	(329)	0	(329)	0	7,836	0	0	0	196	11/01/2042
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		11/01/2014	Paydown		2,908	2,908	3,033	3,031	0	(122)	0	(122)	0	2,908	0	0	0	80	11/01/2042
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		12/01/2014	Paydown		4,386	4,386	4,574	4,570	0	(184)	0	(184)	0	4,386	0	0	0	132	11/01/2042
312972-LE-0	FHLMC Pool B19325 MBS 5.000% 05/01/20		01/01/2014	Paydown		2,866	2,866	2,809	2,826	0	41	0	41	0	2,866	0	0	0	12	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS 5.000% 05/01/20		02/01/2014	Paydown		2,415	2,415	2,366	2,380	0	34	0	34	0	2,415	0	0	0	20	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS 5.000% 05/01/20		03/01/2014	Paydown		2,174	2,174	2,130	2,143	0	31	0	31	0	2,174	0	0	0	27	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS 5.000% 05/01/20		04/01/2014	Paydown		2,178	2,178	2,134	2,147	0	31	0	31	0	2,178	0	0	0	36	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS 5.000% 05/01/20		05/01/2014	Paydown		3,005	3,005	2,945	2,962	0	43	0	43	0	3,005	0	0	0	63	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS 5.000% 05/01/20		06/01/2014	Paydown		1,968	1,968	1,929	1,940	0	28	0	28	0	1,968	0	0	0	49	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS 5.000% 05/01/20		07/01/2014	Paydown		1,950	1,950	1,911	1,922	0	28	0	28	0	1,950	0	0	0	57	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS 5.000% 05/01/20		08/01/2014	Paydown		15,623	15,623	15,308	15,401	0	223	0	223	0	15,623	0	0	0	521	05/01/2020

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
312972-LE-0	FHLMC Pool B19325 MBS 5.000% 05/01/20		09/01/2014	Paydown		1,779	1,779	1,743	1,753	0	25	0	25	0	1,779	0	0	0	67	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS 5.000% 05/01/20		10/01/2014	Paydown		1,787	1,787	1,751	1,762	0	25	0	25	0	1,787	0	0	0	75	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS 5.000% 05/01/20		11/01/2014	Paydown		1,926	1,926	1,887	1,898	0	27	0	27	0	1,926	0	0	0	88	05/01/2020
312972-LE-0	FHLMC Pool B19325 MBS 5.000% 05/01/20		12/01/2014	Paydown		6,565	6,565	6,433	6,471	0	94	0	94	0	6,565	0	0	0	328	05/01/2020
3130A0-A9-1	FHLM Note Call 0.250% 10/30/14		04/17/2014	Call	100.0000	1,400,000	1,400,000	1,400,000	1,400,000	0	0	0	0	0	1,400,000	0	0	0	1,750	10/30/2014
3130A0-AD-2	FHLM Note Call 0.260% 11/10/14		04/25/2014	Call	100.0000	600,000	600,000	600,000	600,000	0	0	0	0	0	600,000	0	0	0	780	11/10/2014
3132GV-JF-0	FHLMC Pool 009862 MBS 3.500% 08/01/42		01/01/2014	Paydown		1,225	1,225	1,310	1,308	0	(82)	0	(82)	0	1,225	0	0	0	4	08/01/2042
3132GV-JF-0	FHLMC Pool 009862 MBS 3.500% 08/01/42		02/01/2014	Paydown		1,116	1,116	1,193	1,191	0	(75)	0	(75)	0	1,116	0	0	0	7	08/01/2042
3132GV-JF-0	FHLMC Pool 009862 MBS 3.500% 08/01/42		03/01/2014	Paydown		1,069	1,069	1,143	1,141	0	(72)	0	(72)	0	1,069	0	0	0	9	08/01/2042
3132GV-JF-0	FHLMC Pool 009862 MBS 3.500% 08/01/42		04/01/2014	Paydown		1,044	1,044	1,116	1,114	0	(70)	0	(70)	0	1,044	0	0	0	12	08/01/2042
3132GV-JF-0	FHLMC Pool 009862 MBS 3.500% 08/01/42		05/01/2014	Paydown		919	919	983	981	0	(62)	0	(62)	0	919	0	0	0	13	08/01/2042
3132GV-JF-0	FHLMC Pool 009862 MBS 3.500% 08/01/42		06/01/2014	Paydown		6,479	6,479	6,926	6,915	0	(436)	0	(436)	0	6,479	0	0	0	113	08/01/2042
3132GV-JF-0	FHLMC Pool 009862 MBS 3.500% 08/01/42		07/01/2014	Paydown		2,007	2,007	2,146	2,142	0	(135)	0	(135)	0	2,007	0	0	0	41	08/01/2042
3132GV-JF-0	FHLMC Pool 009862 MBS 3.500% 08/01/42		08/01/2014	Paydown		1,009	1,009	1,079	1,077	0	(68)	0	(68)	0	1,009	0	0	0	24	08/01/2042
3132GV-JF-0	FHLMC Pool 009862 MBS 3.500% 08/01/42		09/01/2014	Paydown		870	870	929	928	0	(59)	0	(59)	0	870	0	0	0	23	08/01/2042
3132GV-JF-0	FHLMC Pool 009862 MBS 3.500% 08/01/42		10/01/2014	Paydown		866	866	925	924	0	(58)	0	(58)	0	866	0	0	0	25	08/01/2042
3132GV-JF-0	FHLMC Pool 009862 MBS 3.500% 08/01/42		11/01/2014	Paydown		855	855	914	912	0	(58)	0	(58)	0	855	0	0	0	27	08/01/2042
3132GV-JF-0	FHLMC Pool 009862 MBS 3.500% 08/01/42		12/01/2014	Paydown		857	857	916	914	0	(58)	0	(58)	0	857	0	0	0	30	08/01/2042
3132HQ-DB-5	FHLMC Pool 013698 MBS 3.000% 12/01/42		01/01/2014	Paydown		2,822	2,822	2,956	2,953	0	(131)	0	(131)	0	2,822	0	0	0	7	12/01/2042
3132HQ-DB-5	FHLMC Pool 013698 MBS 3.000% 12/01/42		02/01/2014	Paydown		3,207	3,207	3,359	3,356	0	(149)	0	(149)	0	3,207	0	0	0	16	12/01/2042
3132HQ-DB-5	FHLMC Pool 013698 MBS 3.000% 12/01/42		03/01/2014	Paydown		2,703	2,703	2,831	2,829	0	(125)	0	(125)	0	2,703	0	0	0	20	12/01/2042
3132HQ-DB-5	FHLMC Pool 013698 MBS 3.000% 12/01/42		04/01/2014	Paydown		2,945	2,945	3,084	3,082	0	(136)	0	(136)	0	2,945	0	0	0	29	12/01/2042
3132HQ-DB-5	FHLMC Pool 013698 MBS 3.000% 12/01/42		05/01/2014	Paydown		2,877	2,877	3,012	3,010	0	(133)	0	(133)	0	2,877	0	0	0	36	12/01/2042
3132HQ-DB-5	FHLMC Pool 013698 MBS 3.000% 12/01/42		06/01/2014	Paydown		2,990	2,990	3,131	3,128	0	(138)	0	(138)	0	2,990	0	0	0	45	12/01/2042
3132HQ-DB-5	FHLMC Pool 013698 MBS 3.000% 12/01/42		07/01/2014	Paydown		2,870	2,870	3,005	3,003	0	(133)	0	(133)	0	2,870	0	0	0	50	12/01/2042
3132HQ-DB-5	FHLMC Pool 013698 MBS 3.000% 12/01/42		08/01/2014	Paydown		2,874	2,874	3,010	3,007	0	(133)	0	(133)	0	2,874	0	0	0	58	12/01/2042
3132HQ-DB-5	FHLMC Pool 013698 MBS 3.000% 12/01/42		09/01/2014	Paydown		3,058	3,058	3,202	3,200	0	(142)	0	(142)	0	3,058	0	0	0	69	12/01/2042
3132HQ-DB-5	FHLMC Pool 013698 MBS 3.000% 12/01/42		10/01/2014	Paydown		2,798	2,798	2,930	2,927	0	(130)	0	(130)	0	2,798	0	0	0	70	12/01/2042
3132HQ-DB-5	FHLMC Pool 013698 MBS 3.000% 12/01/42		11/01/2014	Paydown		2,925	2,925	3,063	3,061	0	(135)	0	(135)	0	2,925	0	0	0	90	12/01/2042
3132HQ-DB-5	FHLMC Pool 013698 MBS 3.000% 12/01/42		12/01/2014	Paydown		3,039	3,039	3,182	3,180	0	(141)	0	(141)	0	3,039	0	0	0	91	12/01/2042
3132HQ-M4-1	FHLMC Pool 013979 MBS 3.000% 12/01/42		01/01/2014	Paydown		2,030	2,030	2,125	2,123	0	(93)	0	(93)	0	2,030	0	0	0	5	12/01/2042
3132HQ-M4-1	FHLMC Pool 013979 MBS 3.000% 12/01/42		02/01/2014	Paydown		1,896	1,896	1,985	1,983	0	(87)	0	(87)	0	1,896	0	0	0	9	12/01/2042
3132HQ-M4-1	FHLMC Pool 013979 MBS 3.000% 12/01/42		03/01/2014	Paydown		1,884	1,884	1,972	1,970	0	(87)	0	(87)	0	1,884	0	0	0	14	12/01/2042
3132HQ-M4-1	FHLMC Pool 013979 MBS 3.000% 12/01/42		04/01/2014	Paydown		2,063	2,063	2,160	2,158	0	(95)	0	(95)	0	2,063	0	0	0	21	12/01/2042
3132HQ-M4-1	FHLMC Pool 013979 MBS 3.000% 12/01/42		05/01/2014	Paydown		1,830	1,830	1,916	1,914	0	(84)	0	(84)	0	1,830	0	0	0	23	12/01/2042
3132HQ-M4-1	FHLMC Pool 013979 MBS 3.000% 12/01/42		06/01/2014	Paydown		1,835	1,835	1,921	1,920	0	(84)	0	(84)	0	1,835	0	0	0	28	12/01/2042
3132HQ-M4-1	FHLMC Pool 013979 MBS 3.000% 12/01/42		07/01/2014	Paydown		1,858	1,858	1,946	1,944	0	(85)	0	(85)	0	1,858	0	0	0	33	12/01/2042
3132HQ-M4-1	FHLMC Pool 013979 MBS 3.000% 12/01/42		08/01/2014	Paydown		2,291	2,291	2,399	2,397	0	(105)	0	(105)	0	2,291	0	0	0	46	12/01/2042
3132HQ-M4-1	FHLMC Pool 013979 MBS 3.000% 12/01/42		09/01/2014	Paydown		1,857	1,857	1,944	1,942	0	(85)	0	(85)	0	1,857	0	0	0	42	12/01/2042
3132HQ-M4-1	FHLMC Pool 013979 MBS 3.000% 12/01/42		10/01/2014	Paydown		39,638	39,638	41,496	41,460	0	(1,822)	0	(1,822)	0	39,638	0	0	0	991	12/01/2042
3132HQ-M4-1	FHLMC Pool 013979 MBS 3.000% 12/01/42		11/01/2014	Paydown		120,448	120,448	126,094	125,986	0	(5,538)	0	(5,538)	0	120,448	0	0	0	3,312	12/01/2042
3132HQ-M4-1	FHLMC Pool 013979 MBS 3.000% 12/01/42		12/01/2014	Paydown		38,892	38,892	40,716	40,681	0	(1,788)	0	(1,788)	0	38,892	0	0	0	1,167	12/01/2042
3132HQ-MR-0	FHLMC Pool 013968 MBS 3.000% 12/01/42		01/01/2014	Paydown		1,581	1,581	1,661	1,659	0	(78)	0	(78)	0	1,581	0	0	0	4	12/01/2042
3132HQ-MR-0	FHLMC Pool 013968 MBS 3.000% 12/01/42		02/01/2014	Paydown		1,489	1,489	1,564	1,562	0	(74)	0	(74)	0	1,489	0	0	0	7	12/01/2042
3132HQ-MR-0	FHLMC Pool 013968 MBS 3.000% 12/01/42		03/01/2014	Paydown		1,530	1,530	1,607	1,606	0	(76)	0	(76)	0	1,530	0	0	0	11	12/01/2042
3132HQ-MR-0	FHLMC Pool 013968 MBS 3.000% 12/01/42		04/01/2014	Paydown		1,694	1,694	1,779	1,777	0	(84)	0	(84)	0	1,694	0	0	0	17	12/01/2042
3132HQ-MR-0	FHLMC Pool 013968 MBS 3.000% 12/01/42		05/01/2014	Paydown		1,559	1,559	1,637	1,636	0	(77)	0	(77)	0	1,559	0	0	0	20	12/01/2042
3132HQ-MR-0	FHLMC Pool 013968 MBS 3.000% 12/01/42		06/01/2014	Paydown		1,573	1,573	1,652	1,650	0	(78)	0	(78)	0	1,573	0	0	0	24	12/01/2042
3132HQ-MR-0	FHLMC Pool 013968 MBS 3.000% 12/01/42		06/24/2014	Nomura Securities		817,140	829,715	871,460	870,686	0	(261)	0	(261)	0	870,425	0	(53,286)	(53,286)	14,243	12/01/2042
3133XII-KV-0	FHLM Note Non Call 2.375% 03/14/14		03/14/2014	Maturity		2,000,000	2,000,000	2,053,996	2,008,450	0	(8,450)	0	(8,450)	0	2,000,000	0	0	0	23,750	03/14/2014
3134G3-JR-2	FHLMC Note Non Call 0.450% 01/09/14		01/09/2014	Maturity		1,500,000	1,500,000	1,499,700	1,499,997	0	3									

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/Decrease	Current Year's (Amortization)/Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		03/01/2014	Paydown		27,116	27,116	28,099	27,721	0	(605)	0	(605)	0	27,116	0	0	0	339	06/01/2018
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		04/01/2014	Paydown		27,769	27,769	28,776	28,389	0	(620)	0	(620)	0	27,769	0	0	0	463	06/01/2018
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		05/01/2014	Paydown		28,908	28,908	29,956	29,553	0	(645)	0	(645)	0	28,908	0	0	0	602	06/01/2018
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		06/01/2014	Paydown		26,694	26,694	27,661	27,290	0	(596)	0	(596)	0	26,694	0	0	0	667	06/01/2018
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		07/01/2014	Paydown		28,273	28,273	29,298	28,904	0	(631)	0	(631)	0	28,273	0	0	0	825	06/01/2018
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		08/01/2014	Paydown		27,095	27,095	28,077	27,699	0	(605)	0	(605)	0	27,095	0	0	0	903	06/01/2018
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		09/01/2014	Paydown		24,675	24,675	25,569	25,226	0	(551)	0	(551)	0	24,675	0	0	0	925	06/01/2018
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		10/01/2014	Paydown		25,648	25,648	26,578	26,221	0	(572)	0	(572)	0	25,648	0	0	0	1,069	06/01/2018
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		11/01/2014	Paydown		24,124	24,124	24,999	24,663	0	(538)	0	(538)	0	24,124	0	0	0	1,106	06/01/2018
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		12/01/2014	Paydown		21,547	21,547	22,328	22,028	0	(481)	0	(481)	0	21,547	0	0	0	1,077	06/01/2018
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		01/01/2014	Paydown		3,724	3,724	3,914	3,912	0	(188)	0	(188)	0	3,724	0	0	0	12	12/01/2040
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		02/01/2014	Paydown		3,228	3,228	3,393	3,391	0	(163)	0	(163)	0	3,228	0	0	0	22	12/01/2040
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		03/01/2014	Paydown		2,123	2,123	2,231	2,230	0	(107)	0	(107)	0	2,123	0	0	0	21	12/01/2040
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		04/01/2014	Paydown		3,522	3,522	3,702	3,700	0	(178)	0	(178)	0	3,522	0	0	0	47	12/01/2040
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		05/01/2014	Paydown		4,271	4,271	4,489	4,486	0	(216)	0	(216)	0	4,271	0	0	0	71	12/01/2040
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		06/01/2014	Paydown		4,095	4,095	4,304	4,302	0	(207)	0	(207)	0	4,095	0	0	0	82	12/01/2040
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		07/01/2014	Paydown		4,348	4,348	4,570	4,567	0	(220)	0	(220)	0	4,348	0	0	0	101	12/01/2040
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		08/01/2014	Paydown		5,805	5,805	6,102	6,099	0	(293)	0	(293)	0	5,805	0	0	0	155	12/01/2040
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		09/01/2014	Paydown		5,210	5,210	5,476	5,473	0	(263)	0	(263)	0	5,210	0	0	0	156	12/01/2040
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		10/01/2014	Paydown		4,432	4,432	4,658	4,656	0	(224)	0	(224)	0	4,432	0	0	0	148	12/01/2040
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		11/01/2014	Paydown		5,706	5,706	5,998	5,994	0	(288)	0	(288)	0	5,706	0	0	0	209	12/01/2040
3138A2-BQ-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		12/01/2014	Paydown		4,774	4,774	5,018	5,016	0	(241)	0	(241)	0	4,774	0	0	0	191	12/01/2040
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		01/01/2014	Paydown		2,296	2,296	2,417	2,416	0	(120)	0	(120)	0	2,296	0	0	0	8	03/01/2041
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		02/01/2014	Paydown		2,998	2,998	3,155	3,154	0	(156)	0	(156)	0	2,998	0	0	0	20	03/01/2041
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		03/01/2014	Paydown		1,752	1,752	1,844	1,843	0	(91)	0	(91)	0	1,752	0	0	0	18	03/01/2041
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		04/01/2014	Paydown		3,215	3,215	3,384	3,382	0	(167)	0	(167)	0	3,215	0	0	0	43	03/01/2041
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		05/01/2014	Paydown		4,288	4,288	4,513	4,511	0	(223)	0	(223)	0	4,288	0	0	0	71	03/01/2041
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		06/01/2014	Paydown		3,847	3,847	4,049	4,047	0	(200)	0	(200)	0	3,847	0	0	0	77	03/01/2041
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		07/01/2014	Paydown		6,079	6,079	6,400	6,396	0	(316)	0	(316)	0	6,079	0	0	0	142	03/01/2041
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		08/01/2014	Paydown		5,544	5,544	5,836	5,832	0	(289)	0	(289)	0	5,544	0	0	0	148	03/01/2041
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		09/01/2014	Paydown		5,438	5,438	5,725	5,721	0	(283)	0	(283)	0	5,438	0	0	0	163	03/01/2041
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		10/01/2014	Paydown		7,159	7,159	7,536	7,532	0	(373)	0	(373)	0	7,159	0	0	0	239	03/01/2041
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		11/01/2014	Paydown		4,492	4,492	4,728	4,726	0	(234)	0	(234)	0	4,492	0	0	0	165	03/01/2041
3138A8-YD-2	FNMA Pool AH7007 MBS 4.000% 03/01/41		12/01/2014	Paydown		7,395	7,395	7,785	7,780	0	(385)	0	(385)	0	7,395	0	0	0	296	03/01/2041
3138AB-TT-6	FNMA Pool AH9561 MBS 3.500% 08/01/26		01/01/2014	Paydown		3,681	3,681	3,873	3,855	0	(174)	0	(174)	0	3,681	0	0	0	11	08/01/2026
3138AB-TT-6	FNMA Pool AH9561 MBS 3.500% 08/01/26		02/01/2014	Paydown		3,983	3,983	4,190	4,171	0	(188)	0	(188)	0	3,983	0	0	0	23	08/01/2026
3138AB-TT-6	FNMA Pool AH9561 MBS 3.500% 08/01/26		03/01/2014	Paydown		3,712	3,712	3,905	3,887	0	(175)	0	(175)	0	3,712	0	0	0	32	08/01/2026
3138AB-TT-6	FNMA Pool AH9561 MBS 3.500% 08/01/26		03/05/2014	JP Morgan Chase		684,494	647,324	681,106	677,922	0	(35)	0	(35)	0	677,887	0	6,608	6,608	6,230	08/01/2026
3138AM-3T-0	FNMA Pool A18009 MBS 3.500% 08/01/26		01/01/2014	Paydown		2,227	2,227	2,347	2,335	0	(108)	0	(108)	0	2,227	0	0	0	7	08/01/2026
3138AM-3T-0	FNMA Pool A18009 MBS 3.500% 08/01/26		02/01/2014	Paydown		2,173	2,173	2,290	2,278	0	(105)	0	(105)	0	2,173	0	0	0	13	08/01/2026
3138AM-3T-0	FNMA Pool A18009 MBS 3.500% 08/01/26		03/01/2014	Paydown		2,561	2,561	2,699	2,685	0	(124)	0	(124)	0	2,561	0	0	0	22	08/01/2026
3138AM-3T-0	FNMA Pool A18009 MBS 3.500% 08/01/26		03/05/2014	Nomura Securities		338,402	319,801	337,015	335,287	0	12	0	12	0	335,299	0	3,103	3,103	3,078	08/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS 3.500% 10/01/26		01/01/2014	Paydown		11,029	11,029	11,541	11,495	0	(466)	0	(466)	0	11,029	0	0	0	32	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS 3.500% 10/01/26		02/01/2014	Paydown		10,088	10,088	10,556	10,513	0	(426)	0	(426)	0	10,088	0	0	0	59	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS 3.500% 10/01/26		03/01/2014	Paydown		81,493	81,493	85,275	84,933	0	(3,440)	0	(3,440)	0	81,493	0	0	0	713	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS 3.500% 10/01/26		04/01/2014	Paydown		9,697	9,697	10,147	10,106	0	(409)	0	(409)	0	9,697	0	0	0	113	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS 3.500% 10/01/26		05/01/2014	Paydown		9,836	9,836	10,292	10,251	0	(415)	0	(415)	0	9,836	0	0	0	143	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS 3.500% 10/01/26		06/01/2014	Paydown		10,472	10,472	10,958	10,914	0	(442)	0	(442)	0	10,472	0	0	0	183	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS 3.500% 10/01/26		07/01/2014	Paydown		10,374	10,374	10,856	10,812	0	(438)	0	(438)	0	10,374	0	0	0	212	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS 3.500% 10/01/26		08/01/2014	Paydown		9,833	9,833	10,289	10,249	0	(415)	0	(415)	0	9,833	0	0	0	229	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS 3.500% 10/01/26		09/01/2014	Paydown		71,217	71,217	74,522	74,223	0	(3,006)	0	(3,006)	0	71,217	0	0	0	1,869	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS 3.500% 10/01/26		10/01/2014	Paydown		9,768	9,768	10,221	10,180	0	(412)	0	(412)	0	9,768	0	0	0	285	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS 3.500% 10/01/26		11/01/2014	Paydown		9,636	9,636	10,084	10,043	0	(407)	0	(407)	0	9,636	0	0	0	309	10/01/2026
3138AM-5W-1	FNMA Pool A18060 MBS 3.500% 10/01/26		12/01/2014	Paydown		9,593	9,593	10,038	9,998	0	(405)	0	(405)	0	9,593	0	0	0	336	10/01/2026
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		01/01/2014	Paydown		19,385	19,385	20,392	20,294	0	(908)	0	(908)	0	19,385	0	0	0	57	09/01/2026
3138AN-6M-0	FNMA Pool A18975 MBS 3.500% 09/01/26		02/01/2014	Paydown		6,209	6,209	6,531	6,500	0	(291)	0	(291)	0	6,209	0				

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3138AP-DP-0	FNMA Pool A19109 MBS	4.000%	05/01/42			7,221	7,221	7,793	7,778	0	(557)	0	(557)	0	7,221	0	0	0	.24	05/01/2042
3138AP-DP-0	FNMA Pool A19109 MBS	4.000%	05/01/42			7,333	7,333	7,914	7,899	0	(566)	0	(566)	0	7,333	0	0	0	.49	05/01/2042
3138AP-DP-0	FNMA Pool A19109 MBS	4.000%	05/01/42			7,360	7,360	7,943	7,928	0	(568)	0	(568)	0	7,360	0	0	0	.74	05/01/2042
3138AP-DP-0	FNMA Pool A19109 MBS	4.000%	05/01/42			7,387	7,387	7,972	7,957	0	(570)	0	(570)	0	7,387	0	0	0	.98	05/01/2042
3138AP-DP-0	FNMA Pool A19109 MBS	4.000%	05/01/42			7,775	7,775	8,391	8,375	0	(600)	0	(600)	0	7,775	0	0	0	.130	05/01/2042
3138AP-DP-0	FNMA Pool A19109 MBS	4.000%	05/01/42			7,356	7,356	7,939	7,924	0	(568)	0	(568)	0	7,356	0	0	0	.147	05/01/2042
3138AP-DP-0	FNMA Pool A19109 MBS	4.000%	05/01/42			7,384	7,384	7,969	7,954	0	(570)	0	(570)	0	7,384	0	0	0	.172	05/01/2042
3138AP-DP-0	FNMA Pool A19109 MBS	4.000%	05/01/42			7,484	7,484	8,077	8,062	0	(578)	0	(578)	0	7,484	0	0	0	.200	05/01/2042
3138AP-DP-0	FNMA Pool A19109 MBS	4.000%	05/01/42			7,438	7,438	8,028	8,012	0	(574)	0	(574)	0	7,438	0	0	0	.223	05/01/2042
3138AP-DP-0	FNMA Pool A19109 MBS	4.000%	05/01/42			154,328	154,328	166,554	166,238	0	(11,909)	0	(11,909)	0	154,328	0	0	0	5.144	05/01/2042
3138AP-DP-0	FNMA Pool A19109 MBS	4.000%	05/01/42			7,263	7,263	7,838	7,823	0	(560)	0	(560)	0	7,263	0	0	0	.266	05/01/2042
3138AP-DP-0	FNMA Pool A19109 MBS	4.000%	05/01/42			8,259	8,259	8,914	8,897	0	(637)	0	(637)	0	8,259	0	0	0	.330	05/01/2042
3138AR-X4-1	FNMA Pool AJ0698 MBS	4.500%	09/01/41			9,916	9,916	10,707	10,705	0	(789)	0	(789)	0	9,916	0	0	0	.37	09/01/2041
3138AR-X4-1	FNMA Pool AJ0698 MBS	4.500%	09/01/41			9,570	9,570	10,334	10,331	0	(762)	0	(762)	0	9,570	0	0	0	.72	09/01/2041
3138AR-X4-1	FNMA Pool AJ0698 MBS	4.500%	09/01/41			69,571	69,571	75,126	75,108	0	(5,537)	0	(5,537)	0	69,571	0	0	0	.783	09/01/2041
3138AR-X4-1	FNMA Pool AJ0698 MBS	4.500%	09/01/41			10,268	10,268	11,088	11,086	0	(817)	0	(817)	0	10,268	0	0	0	.154	09/01/2041
3138AR-X4-1	FNMA Pool AJ0698 MBS	4.500%	09/01/41			9,258	9,258	9,997	9,995	0	(737)	0	(737)	0	9,258	0	0	0	.174	09/01/2041
3138AR-X4-1	FNMA Pool AJ0698 MBS	4.500%	09/01/41			65,450	65,450	70,675	70,659	0	(5,209)	0	(5,209)	0	65,450	0	0	0	1.473	09/01/2041
3138AR-X4-1	FNMA Pool AJ0698 MBS	4.500%	09/01/41			9,836	9,836	10,621	10,619	0	(783)	0	(783)	0	9,836	0	0	0	.258	09/01/2041
3138AR-X4-1	FNMA Pool AJ0698 MBS	4.500%	09/01/41			10,377	10,377	11,205	11,203	0	(826)	0	(826)	0	10,377	0	0	0	.311	09/01/2041
3138AR-X4-1	FNMA Pool AJ0698 MBS	4.500%	09/01/41			115,142	115,142	124,336	124,306	0	(9,164)	0	(9,164)	0	115,142	0	0	0	3.886	09/01/2041
3138AR-X4-1	FNMA Pool AJ0698 MBS	4.500%	09/01/41			9,523	9,523	10,284	10,281	0	(758)	0	(758)	0	9,523	0	0	0	.357	09/01/2041
3138AR-X4-1	FNMA Pool AJ0698 MBS	4.500%	09/01/41			62,619	62,619	67,619	67,603	0	(4,984)	0	(4,984)	0	62,619	0	0	0	2.583	09/01/2041
3138AR-X4-1	FNMA Pool AJ0698 MBS	4.500%	09/01/41			122,974	122,974	132,793	132,762	0	(9,787)	0	(9,787)	0	122,974	0	0	0	5.534	09/01/2041
3138AT-CX-6	FNMA Pool AJ1885 MBS	3.000%	03/01/42			31	31	33	33	0	(2)	0	(2)	0	31	0	0	0	0	03/01/2042
3138AT-CX-6	FNMA Pool AJ1885 MBS	3.000%	03/01/42			26	26	28	28	0	(2)	0	(2)	0	26	0	0	0	0	03/01/2042
3138AT-CX-6	FNMA Pool AJ1885 MBS	3.000%	03/01/42			33	33	34	34	0	(2)	0	(2)	0	33	0	0	0	0	03/01/2042
3138AT-CX-6	FNMA Pool AJ1885 MBS	3.000%	03/01/42			36	36	38	38	0	(2)	0	(2)	0	36	0	0	0	0	03/01/2042
3138AT-CX-6	FNMA Pool AJ1885 MBS	3.000%	03/01/42			33	33	35	35	0	(2)	0	(2)	0	33	0	0	0	0	03/01/2042
3138AT-CX-6	FNMA Pool AJ1885 MBS	3.000%	03/01/42			34	34	36	36	0	(2)	0	(2)	0	34	0	0	0	0	03/01/2042
3138AT-CX-6	FNMA Pool AJ1885 MBS	3.000%	03/01/42			27	27	29	29	0	(2)	0	(2)	0	27	0	0	0	0	03/01/2042
3138AT-CX-6	FNMA Pool AJ1885 MBS	3.000%	03/01/42			32	32	34	34	0	(2)	0	(2)	0	32	0	0	0	0	03/01/2042
3138AT-CX-6	FNMA Pool AJ1885 MBS	3.000%	03/01/42			58	58	61	61	0	(3)	0	(3)	0	58	0	0	0	0	03/01/2042
3138AT-CX-6	FNMA Pool AJ1885 MBS	3.000%	03/01/42			28	28	30	29	0	(2)	0	(2)	0	28	0	0	0	0	03/01/2042
3138AT-CX-6	FNMA Pool AJ1885 MBS	3.000%	03/01/42			33	33	35	34	0	(2)	0	(2)	0	33	0	0	0	0	03/01/2042
3138AT-CX-6	FNMA Pool AJ1885 MBS	3.000%	03/01/42			32	32	34	34	0	(2)	0	(2)	0	32	0	0	0	0	03/01/2042
3138AU-YJ-0	FNMA Pool AJ3412 MBS	3.500%	11/01/26			14,717	14,717	15,414	15,348	0	(631)	0	(631)	0	14,717	0	0	0	.43	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS	3.500%	11/01/26			91,468	91,468	95,799	95,391	0	(3,922)	0	(3,922)	0	91,468	0	0	0	.534	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS	3.500%	11/01/26			83,830	83,830	87,799	87,425	0	(3,595)	0	(3,595)	0	83,830	0	0	0	.734	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS	3.500%	11/01/26			14,337	14,337	15,015	14,951	0	(615)	0	(615)	0	14,337	0	0	0	.167	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS	3.500%	11/01/26			14,113	14,113	14,782	14,719	0	(605)	0	(605)	0	14,113	0	0	0	.206	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS	3.500%	11/01/26			88,297	88,297	92,478	92,084	0	(3,786)	0	(3,786)	0	88,297	0	0	0	1.545	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS	3.500%	11/01/26			13,937	13,937	14,597	14,534	0	(598)	0	(598)	0	13,937	0	0	0	.285	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS	3.500%	11/01/26			13,564	13,564	14,206	14,146	0	(582)	0	(582)	0	13,564	0	0	0	.316	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS	3.500%	11/01/26			14,124	14,124	14,793	14,730	0	(606)	0	(606)	0	14,124	0	0	0	.371	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS	3.500%	11/01/26			14,590	14,590	15,281	15,216	0	(626)	0	(626)	0	14,590	0	0	0	.426	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS	3.500%	11/01/26			14,228	14,228	14,902	14,838	0	(610)	0	(610)	0	14,228	0	0	0	.457	11/01/2026
3138AU-YJ-0	FNMA Pool AJ3412 MBS	3.500%	11/01/26			78,466	78,466	82,181	81,830	0	(3,365)	0	(3,365)	0	78,466	0	0	0	2.746	11/01/2026
3138AW-EN-9	FNMA Pool AJ4640 MBS	4.000%	10/01/41			10,190	10,190	10,755	10,749	0	(559)	0	(559)	0	10,190	0	0	0	.34	10/01/2041
3138AW-EN-9	FNMA Pool AJ4640 MBS	4.000%	10/01/41			835	835	882	881	0	(46)	0	(46)	0	835	0	0	0	.8	10/01/2041
3138AW-EN-9	FNMA Pool AJ4640 MBS	4.000%	10/01/41			837	837	884	883	0	(46)	0	(46)	0	837	0	0	0	.8	10/01/2041
3138AW-EN-9	FNMA Pool AJ4640 MBS	4.000%	10/01/41			890	890	939	939	0	(49)	0	(49)	0	890	0	0	0	.12	10/01/2041
3138AW-EN-9	FNMA Pool AJ4640 MBS	4.000%	10/01/41			872	872	920	920	0	(48)	0	(48)	0	872	0	0	0	.15	10/01/2041
3138AW-EN-9	FNMA Pool AJ4640 MBS	4.000%	10/01/41			822	822	868	867	0	(45)	0	(45)	0	822	0	0	0	.16	10/01/2041
3138AW-EN-9	FNMA Pool AJ4640 MBS	4.000%	10/01/41			867	867	915	914	0	(48)	0	(48)	0	867	0	0	0	.20	10/01/2041
3138AW-EN-9	FNMA Pool AJ4640 MBS	4.000%	10/01/41			15,310	15,310	16,160	16,150	0	(840)	0	(840)	0	15,310	0	0	0	.408	10/01/2041
3138AW-EN-9	FNMA Pool AJ4640 MBS	4.000%	10/01/41			828	828	874	874	0	(45)	0	(45)	0	828	0	0	0	.25	10/01/2041
3138AW-EN-9	FNMA Pool AJ4640 MBS	4.000%	10/01/41			860	860	908	907	0	(47)	0	(47)	0	860	0	0	0	.29	10/01/2041

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3138AW-EN-9	FNMA Pool AJ4640 MBS	4.00%	10/01/41			12,326	12,326	13,010	13,002	0	(676)	0	(676)	0	12,326	0	0	0	452	10/01/2041
3138AW-EN-9	FNMA Pool AJ4640 MBS	4.00%	10/01/41			1,321	1,321	1,395	1,394	0	(72)	0	(72)	0	1,321	0	0	0	53	10/01/2041
3138AX-BX-8	FNMA Pool AJ5453 MBS	4.50%	11/01/41			79,643	79,643	86,002	85,980	0	(6,337)	0	(6,337)	0	79,643	0	0	0	299	11/01/2041
3138AX-BX-8	FNMA Pool AJ5453 MBS	4.50%	11/01/41			46,234	46,234	49,925	49,913	0	(3,679)	0	(3,679)	0	46,234	0	0	0	347	11/01/2041
3138AX-BX-8	FNMA Pool AJ5453 MBS	4.50%	11/01/41			8,246	8,246	8,904	8,902	0	(656)	0	(656)	0	8,246	0	0	0	93	11/01/2041
3138AX-BX-8	FNMA Pool AJ5453 MBS	4.50%	11/01/41			13,052	13,052	14,094	14,091	0	(1,039)	0	(1,039)	0	13,052	0	0	0	196	11/01/2041
3138AX-BX-8	FNMA Pool AJ5453 MBS	4.50%	11/01/41			8,527	8,527	9,208	9,206	0	(679)	0	(679)	0	8,527	0	0	0	160	11/01/2041
3138AX-BX-8	FNMA Pool AJ5453 MBS	4.50%	11/01/41			50,665	50,665	54,710	54,696	0	(4,031)	0	(4,031)	0	50,665	0	0	0	1,140	11/01/2041
3138AX-BX-8	FNMA Pool AJ5453 MBS	4.50%	11/01/41			8,873	8,873	9,582	9,579	0	(706)	0	(706)	0	8,873	0	0	0	233	11/01/2041
3138AX-BX-8	FNMA Pool AJ5453 MBS	4.50%	11/01/41			163,503	163,503	176,558	176,513	0	(13,010)	0	(13,010)	0	163,503	0	0	0	4,905	11/01/2041
3138AX-BX-8	FNMA Pool AJ5453 MBS	4.50%	11/01/41			49,782	49,782	53,757	53,744	0	(3,961)	0	(3,961)	0	49,782	0	0	0	1,680	11/01/2041
3138AX-BX-8	FNMA Pool AJ5453 MBS	4.50%	11/01/41			87,644	87,644	94,642	94,618	0	(6,974)	0	(6,974)	0	87,644	0	0	0	3,287	11/01/2041
3138AX-BX-8	FNMA Pool AJ5453 MBS	4.50%	11/01/41			85,597	85,597	92,432	92,408	0	(6,811)	0	(6,811)	0	85,597	0	0	0	3,531	11/01/2041
3138AX-BX-8	FNMA Pool AJ5453 MBS	4.50%	11/01/41			41,407	41,407	44,713	44,702	0	(3,295)	0	(3,295)	0	41,407	0	0	0	1,863	11/01/2041
3138EO-RK-7	FNMA Pool AJ7689 MBS	4.00%	12/01/41			4,311	4,311	4,540	4,538	0	(227)	0	(227)	0	4,311	0	0	0	14	12/01/2041
3138EO-RK-7	FNMA Pool AJ7689 MBS	4.00%	12/01/41			2,812	2,812	2,961	2,960	0	(148)	0	(148)	0	2,812	0	0	0	19	12/01/2041
3138EO-RK-7	FNMA Pool AJ7689 MBS	4.00%	12/01/41			2,520	2,520	2,655	2,653	0	(133)	0	(133)	0	2,520	0	0	0	25	12/01/2041
3138EO-RK-7	FNMA Pool AJ7689 MBS	4.00%	12/01/41			3,761	3,761	3,961	3,959	0	(198)	0	(198)	0	3,761	0	0	0	50	12/01/2041
3138EO-RK-7	FNMA Pool AJ7689 MBS	4.00%	12/01/41			4,429	4,429	4,665	4,663	0	(233)	0	(233)	0	4,429	0	0	0	74	12/01/2041
3138EO-RK-7	FNMA Pool AJ7689 MBS	4.00%	12/01/41			4,875	4,875	5,135	5,132	0	(257)	0	(257)	0	4,875	0	0	0	98	12/01/2041
3138EO-RK-7	FNMA Pool AJ7689 MBS	4.00%	12/01/41			4,519	4,519	4,759	4,757	0	(238)	0	(238)	0	4,519	0	0	0	105	12/01/2041
3138EO-RK-7	FNMA Pool AJ7689 MBS	4.00%	12/01/41			5,059	5,059	5,328	5,325	0	(267)	0	(267)	0	5,059	0	0	0	135	12/01/2041
3138EO-RK-7	FNMA Pool AJ7689 MBS	4.00%	12/01/41			4,157	4,157	4,378	4,376	0	(219)	0	(219)	0	4,157	0	0	0	125	12/01/2041
3138EO-RK-7	FNMA Pool AJ7689 MBS	4.00%	12/01/41			4,139	4,139	4,359	4,357	0	(218)	0	(218)	0	4,139	0	0	0	138	12/01/2041
3138EO-RK-7	FNMA Pool AJ7689 MBS	4.00%	12/01/41			5,176	5,176	5,452	5,449	0	(273)	0	(273)	0	5,176	0	0	0	190	12/01/2041
3138EO-RK-7	FNMA Pool AJ7689 MBS	4.00%	12/01/41			4,003	4,003	4,216	4,214	0	(211)	0	(211)	0	4,003	0	0	0	160	12/01/2041
3138E9-YH-7	FNMA Pool AK5211 MBS	3.00%	04/01/27			123,743	123,743	130,143	129,667	0	(5,924)	0	(5,924)	0	123,743	0	0	0	309	04/01/2027
3138E9-YH-7	FNMA Pool AK5211 MBS	3.00%	04/01/27			18,397	18,397	19,348	19,277	0	(881)	0	(881)	0	18,397	0	0	0	92	04/01/2027
3138E9-YH-7	FNMA Pool AK5211 MBS	3.00%	04/01/27			17,909	17,909	18,835	18,766	0	(857)	0	(857)	0	17,909	0	0	0	134	04/01/2027
3138E9-YH-7	FNMA Pool AK5211 MBS	3.00%	04/01/27			17,165	17,165	18,053	17,987	0	(822)	0	(822)	0	17,165	0	0	0	172	04/01/2027
3138E9-YH-7	FNMA Pool AK5211 MBS	3.00%	04/01/27			17,177	17,177	18,065	17,999	0	(822)	0	(822)	0	17,177	0	0	0	215	04/01/2027
3138E9-YH-7	FNMA Pool AK5211 MBS	3.00%	04/01/27			18,197	18,197	19,138	19,068	0	(871)	0	(871)	0	18,197	0	0	0	273	04/01/2027
3138E9-YH-7	FNMA Pool AK5211 MBS	3.00%	04/01/27			16,821	16,821	17,691	17,626	0	(805)	0	(805)	0	16,821	0	0	0	294	04/01/2027
3138E9-YH-7	FNMA Pool AK5211 MBS	3.00%	04/01/27			17,219	17,219	18,110	18,044	0	(824)	0	(824)	0	17,219	0	0	0	344	04/01/2027
3138E9-YH-7	FNMA Pool AK5211 MBS	3.00%	04/01/27			69,214	69,214	72,794	72,528	0	(3,313)	0	(3,313)	0	69,214	0	0	0	1,557	04/01/2027
3138E9-YH-7	FNMA Pool AK5211 MBS	3.00%	04/01/27			17,039	17,039	17,920	17,855	0	(816)	0	(816)	0	17,039	0	0	0	426	04/01/2027
3138E9-YH-7	FNMA Pool AK5211 MBS	3.00%	04/01/27			18,719	18,719	19,687	19,615	0	(896)	0	(896)	0	18,719	0	0	0	515	04/01/2027
3138E9-YH-7	FNMA Pool AK5211 MBS	3.00%	04/01/27			15,704	15,704	16,516	16,455	0	(752)	0	(752)	0	15,704	0	0	0	471	04/01/2027
3138EA-SG-3	FNMA Pool AK5918 MBS	4.00%	03/01/42			3,300	3,300	3,491	3,486	0	(186)	0	(186)	0	3,300	0	0	0	41	03/01/2042
3138EA-SG-3	FNMA Pool AK5918 MBS	4.00%	03/01/42			3,623	3,623	3,833	3,827	0	(204)	0	(204)	0	3,623	0	0	0	24	03/01/2042
3138EA-SG-3	FNMA Pool AK5918 MBS	4.00%	03/01/42			3,692	3,692	3,907	3,901	0	(208)	0	(208)	0	3,692	0	0	0	37	03/01/2042
3138EA-SG-3	FNMA Pool AK5918 MBS	4.00%	03/01/42			3,633	3,633	3,844	3,838	0	(205)	0	(205)	0	3,633	0	0	0	48	03/01/2042
3138EA-SG-3	FNMA Pool AK5918 MBS	4.00%	03/01/42			151,360	151,360	160,134	159,904	0	(8,544)	0	(8,544)	0	151,360	0	0	0	2,523	03/01/2042
3138EA-SG-3	FNMA Pool AK5918 MBS	4.00%	03/01/42			3,379	3,379	3,575	3,570	0	(191)	0	(191)	0	3,379	0	0	0	68	03/01/2042
3138EA-SG-3	FNMA Pool AK5918 MBS	4.00%	03/01/42			3,392	3,392	3,588	3,583	0	(191)	0	(191)	0	3,392	0	0	0	79	03/01/2042
3138EA-SG-3	FNMA Pool AK5918 MBS	4.00%	03/01/42			3,483	3,483	3,685	3,680	0	(197)	0	(197)	0	3,483	0	0	0	93	03/01/2042
3138EA-SG-3	FNMA Pool AK5918 MBS	4.00%	03/01/42			3,222	3,222	3,408	3,404	0	(182)	0	(182)	0	3,222	0	0	0	97	03/01/2042
3138EA-SG-3	FNMA Pool AK5918 MBS	4.00%	03/01/42			3,471	3,471	3,672	3,667	0	(196)	0	(196)	0	3,471	0	0	0	116	03/01/2042
3138EA-SG-3	FNMA Pool AK5918 MBS	4.00%	03/01/42			3,597	3,597	3,806	3,800	0	(203)	0	(203)	0	3,597	0	0	0	132	03/01/2042
3138EA-SG-3	FNMA Pool AK5918 MBS	4.00%	03/01/42			3,143	3,143	3,326	3,321	0	(177)	0	(177)	0	3,143	0	0	0	126	03/01/2042
3138EE-7J-2	FNMA Pool AK9896 MBS	3.50%	07/01/42			5,569	5,569	5,943	5,934	0	(365)	0	(365)	0	5,569	0	0	0	16	07/01/2042
3138EE-7J-2	FNMA Pool AK9896 MBS	3.50%	07/01/42			9,852	9,852	10,513	10,497	0	(645)	0	(645)	0	9,852	0	0	0	57	07/01/2042
3138EE-7J-2	FNMA Pool AK9896 MBS	3.50%	07/01/42			27,984	27,984	29,860	29,816	0	(1,832)	0	(1,832)	0	27,984	0	0	0	245	07/01/2042
3138EE-7J-2	FNMA Pool AK9896 MBS	3.50%	07/01/42			2,192	2,192	2,339	2,335	0	(143)	0	(143)	0	2,192	0	0	0	26	07/01/2042
3138EE-7J-2	FNMA Pool AK9896 MBS	3.50%	07/01/42			14,175	14,175	15,125	15,103	0	(928)	0	(928)	0	14,175	0	0	0	207	07/01/2042
3138EE-7J-2	FNMA Pool AK9896 MBS	3.50%	07/01/42			2,235	2,235	2,385	2,382	0	(146)	0	(146)	0	2,235	0	0	0	39	07/01/2042
3138EE-7J-2	FNMA Pool AK9896 MBS	3.50%	07/01/42			30,606	30,606	32,658	32,610	0	(2,003)	0	(2,003)	0	30,606	0	0	0	625	07/01/2042
3138EE-7J-2	FNMA Pool AK9896 MBS	3.50%	07/01/42			2,165	2,165	2,310	2,307	0	(142)	0	(142)	0	2,165	0	0	0	51	07/01/2042

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3138EE-7J-2	FNMA Pool AK9896 MBS 3.500% 07/01/42		09/01/2014	Paydown		28,607	28,607	30,524	30,479	0	(1,873)	0	(1,873)	0	28,607	0	0	0	751	07/01/2042
3138EE-7J-2	FNMA Pool AK9896 MBS 3.500% 07/01/42		10/01/2014	Paydown		2,116	2,116	2,258	2,255	0	(139)	0	(139)	0	2,116	0	0	0	62	07/01/2042
3138EE-7J-2	FNMA Pool AK9896 MBS 3.500% 07/01/42		11/01/2014	Paydown		2,145	2,145	2,289	2,286	0	(140)	0	(140)	0	2,145	0	0	0	69	07/01/2042
3138EE-7J-2	FNMA Pool AK9896 MBS 3.500% 07/01/42		12/01/2014	Paydown		26,992	26,992	28,801	28,759	0	(1,767)	0	(1,767)	0	26,992	0	0	0	945	07/01/2042
3138EH-FB-3	FNMA Pool AL1061 MBS 4.000% 02/01/41		01/01/2014	Paydown		13,526	13,526	14,240	14,232	0	(706)	0	(706)	0	13,526	0	0	0	45	02/01/2041
3138EH-FB-3	FNMA Pool AL1061 MBS 4.000% 02/01/41		02/01/2014	Paydown		6,933	6,933	7,299	7,295	0	(362)	0	(362)	0	6,933	0	0	0	46	02/01/2041
3138EH-FB-3	FNMA Pool AL1061 MBS 4.000% 02/01/41		03/01/2014	Paydown		8,420	8,420	8,865	8,859	0	(440)	0	(440)	0	8,420	0	0	0	84	02/01/2041
3138EH-FB-3	FNMA Pool AL1061 MBS 4.000% 02/01/41		04/01/2014	Paydown		7,799	7,799	8,211	8,206	0	(407)	0	(407)	0	7,799	0	0	0	104	02/01/2041
3138EH-FB-3	FNMA Pool AL1061 MBS 4.000% 02/01/41		05/01/2014	Paydown		7,612	7,612	8,014	8,009	0	(397)	0	(397)	0	7,612	0	0	0	127	02/01/2041
3138EH-FB-3	FNMA Pool AL1061 MBS 4.000% 02/01/41		06/01/2014	Paydown		9,205	9,205	9,691	9,686	0	(481)	0	(481)	0	9,205	0	0	0	184	02/01/2041
3138EH-FB-3	FNMA Pool AL1061 MBS 4.000% 02/01/41		07/01/2014	Paydown		11,693	11,693	12,310	12,303	0	(610)	0	(610)	0	11,693	0	0	0	273	02/01/2041
3138EH-FB-3	FNMA Pool AL1061 MBS 4.000% 02/01/41		08/01/2014	Paydown		14,600	14,600	15,371	15,371	0	(762)	0	(762)	0	14,600	0	0	0	389	02/01/2041
3138EH-FB-3	FNMA Pool AL1061 MBS 4.000% 02/01/41		09/01/2014	Paydown		10,786	10,786	11,355	11,349	0	(563)	0	(563)	0	10,786	0	0	0	324	02/01/2041
3138EH-FB-3	FNMA Pool AL1061 MBS 4.000% 02/01/41		10/01/2014	Paydown		8,851	8,851	9,319	9,313	0	(462)	0	(462)	0	8,851	0	0	0	295	02/01/2041
3138EH-FB-3	FNMA Pool AL1061 MBS 4.000% 02/01/41		10/09/2014	Nomura Securities		985,938	928,078	977,092	976,529	0	90	0	90	0	976,619	0	9,319	9,319	32,380	02/01/2041
3138EJ-LA-4	FNMA Pool AL2120 MBS 4.000% 02/01/41		01/01/2014	Paydown		9,007	9,007	9,491	9,485	0	(479)	0	(479)	0	9,007	0	0	0	30	02/01/2041
3138EJ-LA-4	FNMA Pool AL2120 MBS 4.000% 02/01/41		02/01/2014	Paydown		6,241	6,241	6,576	6,573	0	(332)	0	(332)	0	6,241	0	0	0	42	02/01/2041
3138EJ-LA-4	FNMA Pool AL2120 MBS 4.000% 02/01/41		03/01/2014	Paydown		5,625	5,625	5,928	5,924	0	(299)	0	(299)	0	5,625	0	0	0	56	02/01/2041
3138EJ-LA-4	FNMA Pool AL2120 MBS 4.000% 02/01/41		04/01/2014	Paydown		6,771	6,771	7,135	7,131	0	(360)	0	(360)	0	6,771	0	0	0	90	02/01/2041
3138EJ-LA-4	FNMA Pool AL2120 MBS 4.000% 02/01/41		05/01/2014	Paydown		7,361	7,361	7,757	7,752	0	(391)	0	(391)	0	7,361	0	0	0	123	02/01/2041
3138EJ-LA-4	FNMA Pool AL2120 MBS 4.000% 02/01/41		06/01/2014	Paydown		7,512	7,512	7,916	7,911	0	(399)	0	(399)	0	7,512	0	0	0	150	02/01/2041
3138EJ-LA-4	FNMA Pool AL2120 MBS 4.000% 02/01/41		07/01/2014	Paydown		10,155	10,155	10,701	10,694	0	(540)	0	(540)	0	10,155	0	0	0	237	02/01/2041
3138EJ-LA-4	FNMA Pool AL2120 MBS 4.000% 02/01/41		08/01/2014	Paydown		9,943	9,943	10,478	10,471	0	(528)	0	(528)	0	9,943	0	0	0	265	02/01/2041
3138EJ-LA-4	FNMA Pool AL2120 MBS 4.000% 02/01/41		09/01/2014	Paydown		9,081	9,081	9,569	9,564	0	(482)	0	(482)	0	9,081	0	0	0	272	02/01/2041
3138EJ-LA-4	FNMA Pool AL2120 MBS 4.000% 02/01/41		10/01/2014	Paydown		9,107	9,107	9,596	9,591	0	(484)	0	(484)	0	9,107	0	0	0	304	02/01/2041
3138EJ-LA-4	FNMA Pool AL2120 MBS 4.000% 02/01/41		11/01/2014	Paydown		8,797	8,797	9,270	9,265	0	(467)	0	(467)	0	8,797	0	0	0	323	02/01/2041
3138EJ-LA-4	FNMA Pool AL2120 MBS 4.000% 02/01/41		12/01/2014	Paydown		8,022	8,022	8,453	8,448	0	(426)	0	(426)	0	8,022	0	0	0	321	02/01/2041
3138EK-A8-8	FNMA Pool AL2730 MBS 5.000% 07/01/41		01/01/2014	Paydown		51,960	51,960	57,217	57,196	0	(5,236)	0	(5,236)	0	51,960	0	0	0	217	07/01/2041
3138EK-A8-8	FNMA Pool AL2730 MBS 5.000% 07/01/41		02/01/2014	Paydown		47,442	47,442	52,241	52,222	0	(4,780)	0	(4,780)	0	47,442	0	0	0	395	07/01/2041
3138EK-A8-8	FNMA Pool AL2730 MBS 5.000% 07/01/41		03/01/2014	Paydown		43,832	43,832	48,267	48,249	0	(4,417)	0	(4,417)	0	43,832	0	0	0	548	07/01/2041
3138EK-A8-8	FNMA Pool AL2730 MBS 5.000% 07/01/41		04/01/2014	Paydown		18,510	18,510	20,383	20,375	0	(1,865)	0	(1,865)	0	18,510	0	0	0	308	07/01/2041
3138EK-A8-8	FNMA Pool AL2730 MBS 5.000% 07/01/41		05/01/2014	Paydown		13,645	13,645	15,025	15,020	0	(1,375)	0	(1,375)	0	13,645	0	0	0	284	07/01/2041
3138EK-A8-8	FNMA Pool AL2730 MBS 5.000% 07/01/41		06/01/2014	Paydown		43,190	43,190	47,560	47,542	0	(4,352)	0	(4,352)	0	43,190	0	0	0	1,080	07/01/2041
3138EK-A8-8	FNMA Pool AL2730 MBS 5.000% 07/01/41		07/01/2014	Paydown		62,403	62,403	68,717	68,691	0	(6,288)	0	(6,288)	0	62,403	0	0	0	1,820	07/01/2041
3138EK-A8-8	FNMA Pool AL2730 MBS 5.000% 07/01/41		08/01/2014	Paydown		57,621	57,621	63,451	63,427	0	(5,806)	0	(5,806)	0	57,621	0	0	0	1,921	07/01/2041
3138EK-A8-8	FNMA Pool AL2730 MBS 5.000% 07/01/41		09/01/2014	Paydown		82,417	82,417	90,756	90,722	0	(8,305)	0	(8,305)	0	82,417	0	0	0	3,091	07/01/2041
3138EK-A8-8	FNMA Pool AL2730 MBS 5.000% 07/01/41		10/01/2014	Paydown		60,811	60,811	66,963	66,938	0	(6,127)	0	(6,127)	0	60,811	0	0	0	2,534	07/01/2041
3138EK-A8-8	FNMA Pool AL2730 MBS 5.000% 07/01/41		11/01/2014	Paydown		85,273	85,273	93,900	93,865	0	(8,592)	0	(8,592)	0	85,273	0	0	0	3,908	07/01/2041
3138EK-A8-8	FNMA Pool AL2730 MBS 5.000% 07/01/41		12/01/2014	Paydown		36,289	36,289	39,960	39,945	0	(3,657)	0	(3,657)	0	36,289	0	0	0	1,814	07/01/2041
3138EK-BW-4	FNMA Pool AL2752 MBS 5.000% 03/01/42		01/01/2014	Paydown		26,936	26,936	29,604	29,595	0	(2,659)	0	(2,659)	0	26,936	0	0	0	1,112	03/01/2042
3138EK-BW-4	FNMA Pool AL2752 MBS 5.000% 03/01/42		02/01/2014	Paydown		30,381	30,381	33,391	33,381	0	(2,999)	0	(2,999)	0	30,381	0	0	0	253	03/01/2042
3138EK-BW-4	FNMA Pool AL2752 MBS 5.000% 03/01/42		03/01/2014	Paydown		15,884	15,884	17,452	17,452	0	(1,568)	0	(1,568)	0	15,884	0	0	0	199	03/01/2042
3138EK-BW-4	FNMA Pool AL2752 MBS 5.000% 03/01/42		04/01/2014	Paydown		14,605	14,605	16,052	16,047	0	(1,442)	0	(1,442)	0	14,605	0	0	0	243	03/01/2042
3138EK-BW-4	FNMA Pool AL2752 MBS 5.000% 03/01/42		05/01/2014	Paydown		18,522	18,522	20,357	20,351	0	(1,829)	0	(1,829)	0	18,522	0	0	0	386	03/01/2042
3138EK-BW-4	FNMA Pool AL2752 MBS 5.000% 03/01/42		06/01/2014	Paydown		14,405	14,405	15,832	15,827	0	(1,422)	0	(1,422)	0	14,405	0	0	0	360	03/01/2042
3138EK-BW-4	FNMA Pool AL2752 MBS 5.000% 03/01/42		07/01/2014	Paydown		44,660	44,660	49,084	49,069	0	(4,409)	0	(4,409)	0	44,660	0	0	0	1,303	03/01/2042
3138EK-BW-4	FNMA Pool AL2752 MBS 5.000% 03/01/42		08/01/2014	Paydown		26,122	26,122	28,709	28,701	0	(2,579)	0	(2,579)	0	26,122	0	0	0	871	03/01/2042
3138EK-BW-4	FNMA Pool AL2752 MBS 5.000% 03/01/42		09/01/2014	Paydown		24,181	24,181	26,576	26,568	0	(2,387)	0	(2,387)	0	24,181	0	0	0	907	03/01/2042
3138EK-BW-4	FNMA Pool AL2752 MBS 5.000% 03/01/42		10/01/2014	Paydown		37,472	37,472	41,184	41,171	0	(3,699)	0	(3,699)	0	37,472	0	0	0	1,561	03/01/2042
3138EK-BW-4	FNMA Pool AL2752 MBS 5.000% 03/01/42		11/01/2014	Paydown		41,070	41,070	45,138	45,124	0	(4,055)	0	(4,055)	0	41,070	0				

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3138M4-E3-2	FNMA Pool AP1053 MBS 3.500% 07/01/42		08/01/2014	Paydown		2,794	2,794	2,981	2,977	0	(183)	0	(183)	0	2,794	0	0	0	.65	07/01/2042
3138M4-E3-2	FNMA Pool AP1053 MBS 3.500% 07/01/42		09/01/2014	Paydown		11,599	11,599	12,378	12,360	0	(761)	0	(761)	0	11,599	0	0	0	.304	07/01/2042
3138M4-E3-2	FNMA Pool AP1053 MBS 3.500% 07/01/42		10/01/2014	Paydown		5,746	5,746	6,132	6,123	0	(377)	0	(377)	0	5,746	0	0	0	.168	07/01/2042
3138M4-E3-2	FNMA Pool AP1053 MBS 3.500% 07/01/42		11/01/2014	Paydown		23,743	23,743	25,338	25,300	0	(1,557)	0	(1,557)	0	23,743	0	0	0	.762	07/01/2042
3138M4-E3-2	FNMA Pool AP1053 MBS 3.500% 07/01/42		12/01/2014	Paydown		33,981	33,981	36,264	36,209	0	(2,229)	0	(2,229)	0	33,981	0	0	0	1.189	07/01/2042
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		01/01/2014	Paydown		1,424	1,424	1,523	1,521	0	(97)	0	(97)	0	1,424	0	0	0	4	08/01/2042
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		02/01/2014	Paydown		1,414	1,414	1,512	1,510	0	(96)	0	(96)	0	1,414	0	0	0	4	08/01/2042
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		03/01/2014	Paydown		1,604	1,604	1,716	1,713	0	(109)	0	(109)	0	1,604	0	0	0	.14	08/01/2042
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		04/01/2014	Paydown		1,541	1,541	1,649	1,647	0	(105)	0	(105)	0	1,541	0	0	0	.18	08/01/2042
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		05/01/2014	Paydown		35,428	35,428	37,903	37,844	0	(2,416)	0	(2,416)	0	35,428	0	0	0	.517	08/01/2042
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		06/01/2014	Paydown		1,823	1,823	1,950	1,947	0	(124)	0	(124)	0	1,823	0	0	0	.32	08/01/2042
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		07/01/2014	Paydown		1,775	1,775	1,899	1,896	0	(121)	0	(121)	0	1,775	0	0	0	.36	08/01/2042
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		08/01/2014	Paydown		1,814	1,814	1,941	1,938	0	(124)	0	(124)	0	1,814	0	0	0	.42	08/01/2042
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		09/01/2014	Paydown		1,880	1,880	2,012	2,009	0	(128)	0	(128)	0	1,880	0	0	0	.49	08/01/2042
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		10/01/2014	Paydown		1,826	1,826	1,954	1,951	0	(125)	0	(125)	0	1,826	0	0	0	.53	08/01/2042
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		11/01/2014	Paydown		1,819	1,819	1,946	1,943	0	(124)	0	(124)	0	1,819	0	0	0	.58	08/01/2042
3138M4-TC-6	FNMA Pool AP1446 MBS 3.500% 08/01/42		12/01/2014	Paydown		1,480	1,480	1,584	1,581	0	(101)	0	(101)	0	1,480	0	0	0	.52	08/01/2042
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		01/01/2014	Paydown		292	292	311	311	0	(19)	0	(19)	0	292	0	0	0	1	08/01/2042
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		02/01/2014	Paydown		293	293	312	312	0	(19)	0	(19)	0	293	0	0	0	.2	08/01/2042
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		03/01/2014	Paydown		301	301	321	321	0	(20)	0	(20)	0	301	0	0	0	.3	08/01/2042
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		04/01/2014	Paydown		295	295	314	314	0	(19)	0	(19)	0	295	0	0	0	.3	08/01/2042
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		05/01/2014	Paydown		4,752	4,752	5,070	5,062	0	(310)	0	(310)	0	4,752	0	0	0	.69	08/01/2042
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		06/01/2014	Paydown		339	339	361	361	0	(22)	0	(22)	0	339	0	0	0	.6	08/01/2042
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		07/01/2014	Paydown		304	304	324	323	0	(20)	0	(20)	0	304	0	0	0	.6	08/01/2042
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		08/01/2014	Paydown		4,105	4,105	4,380	4,373	0	(268)	0	(268)	0	4,105	0	0	0	.96	08/01/2042
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		09/01/2014	Paydown		320	320	342	341	0	(21)	0	(21)	0	320	0	0	0	.8	08/01/2042
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		10/01/2014	Paydown		287	287	307	306	0	(19)	0	(19)	0	287	0	0	0	.8	08/01/2042
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		11/01/2014	Paydown		325	325	347	346	0	(21)	0	(21)	0	325	0	0	0	.10	08/01/2042
3138M7-6J-9	FNMA Pool AP4472 MBS 3.500% 08/01/42		12/01/2014	Paydown		302	302	322	321	0	(20)	0	(20)	0	302	0	0	0	.11	08/01/2042
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		01/01/2014	Paydown		22,467	22,467	24,096	24,057	0	(1,590)	0	(1,590)	0	22,467	0	0	0	.66	08/01/2042
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		02/01/2014	Paydown		1,384	1,384	1,485	1,482	0	(98)	0	(98)	0	1,384	0	0	0	.8	08/01/2042
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		03/01/2014	Paydown		1,326	1,326	1,422	1,420	0	(94)	0	(94)	0	1,326	0	0	0	.12	08/01/2042
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		04/01/2014	Paydown		819	819	878	877	0	(58)	0	(58)	0	819	0	0	0	.10	08/01/2042
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		05/01/2014	Paydown		1,245	1,245	1,335	1,333	0	(88)	0	(88)	0	1,245	0	0	0	.18	08/01/2042
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		06/01/2014	Paydown		2,902	2,902	3,113	3,108	0	(205)	0	(205)	0	2,902	0	0	0	.51	08/01/2042
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		07/01/2014	Paydown		917	917	984	982	0	(65)	0	(65)	0	917	0	0	0	.19	08/01/2042
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		08/01/2014	Paydown		1,481	1,481	1,589	1,586	0	(105)	0	(105)	0	1,481	0	0	0	.35	08/01/2042
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		09/01/2014	Paydown		1,022	1,022	1,096	1,095	0	(72)	0	(72)	0	1,022	0	0	0	.27	08/01/2042
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		10/01/2014	Paydown		1,347	1,347	1,445	1,443	0	(95)	0	(95)	0	1,347	0	0	0	.39	08/01/2042
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		11/01/2014	Paydown		1,407	1,407	1,509	1,507	0	(100)	0	(100)	0	1,407	0	0	0	.45	08/01/2042
3138M9-3C-3	FNMA Pool AP6194 MBS 3.500% 08/01/42		12/01/2014	Paydown		1,366	1,366	1,465	1,463	0	(97)	0	(97)	0	1,366	0	0	0	.48	08/01/2042
3138MK-VC-7	FNMA Pool A04210 MBS 3.000% 11/01/42		01/01/2014	Paydown		4,689	4,689	4,940	4,934	0	(245)	0	(245)	0	4,689	0	0	0	.12	11/01/2042
3138MK-VC-7	FNMA Pool A04210 MBS 3.000% 11/01/42		02/01/2014	Paydown		5,111	5,111	5,384	5,378	0	(267)	0	(267)	0	5,111	0	0	0	.26	11/01/2042
3138MK-VC-7	FNMA Pool A04210 MBS 3.000% 11/01/42		03/01/2014	Paydown		4,461	4,461	4,700	4,694	0	(233)	0	(233)	0	4,461	0	0	0	.33	11/01/2042
3138MK-VC-7	FNMA Pool A04210 MBS 3.000% 11/01/42		04/01/2014	Paydown		4,574	4,574	4,818	4,813	0	(239)	0	(239)	0	4,574	0	0	0	.46	11/01/2042
3138MK-VC-7	FNMA Pool A04210 MBS 3.000% 11/01/42		05/01/2014	Paydown		4,746	4,746	5,000	4,994	0	(248)	0	(248)	0	4,746	0	0	0	.59	11/01/2042
3138MK-VC-7	FNMA Pool A04210 MBS 3.000% 11/01/42		06/01/2014	Paydown		5,311	5,311	5,589	5,589	0	(277)	0	(277)	0	5,311	0	0	0	.80	11/01/2042
3138MK-VC-7	FNMA Pool A04210 MBS 3.000% 11/01/42		07/01/2014	Paydown		4,731	4,731	4,984	4,978	0	(247)	0	(247)	0	4,731	0	0	0	.83	11/01/2042
3138MK-VC-7	FNMA Pool A04210 MBS 3.000% 11/01/42		08/01/2014	Paydown		4,763	4,763	5,018	5,012	0	(249)	0	(249)	0	4,763	0	0	0	.95	11/01/2042
3138MK-VC-7	FNMA Pool A04210 MBS 3.000% 11/01/42		09/01/2014	Paydown		4,796	4,796	5,053	5,047	0	(251)	0	(251)	0	4,796	0	0	0	.108	11/01/2042
3138MK-VC-7	FNMA Pool A04210 MBS 3.000% 11/01/42		10/01/2014	Paydown		4,657	4,657	4,906	4,900	0	(243)	0	(243)	0	4,657	0	0	0	.116	11/01/2042
3138MK-VC-7	FNMA Pool A04210 MBS 3.000% 11/01/42		11/01/2014	Paydown		4,673	4,673	4,923	4,918	0	(244)	0	(244)	0	4,673	0	0	0	.128	11/01/2042
3138MK-VC-7	FNMA Pool A04210 MBS 3.000% 11/01/42		12/01/2014	Paydown		4,831	4,831	5,089	5,084	0	(252)	0	(252)	0	4,831	0	0	0	.145	11/01/2042
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		01/01/2014	Paydown		3,729	3,729	3,929	3,925	0	(196)	0	(196)	0	3,729	0	0	0	.9	11/01/2042
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		02/01/2014	Paydown		3,810	3,810	4,015	4,011	0	(201)	0	(201)	0	3,810	0	0	0	.19	11/01/2042
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		03/01/2014	Paydown		3,937	3,937	4,149	4,145	0	(207)	0	(207)	0	3,937	0	0	0	.30	11/01/2042
3138MM-NT-5	FNMA Pool A05801 MBS 3.000% 11/01/42		03/05/2014	Nomura Securities		1,958,944	2,020,995	2,129,544	2,127,470	0	261	0	261	0	2,127,731	0	(168,787)	(168,787)	16,673	11/01/2042
3138MS-A4-1	FNMA Pool A0926																			

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/Decrease	Current Year's (Amortization)/Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Book/Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		02/01/2014	Paydown		1,319	1,319	1,387	1,386	0	(67)	0	(67)	0	1,319	0	0	0	7	12/01/2042
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		03/01/2014	Paydown		1,298	1,298	1,365	1,364	0	(66)	0	(66)	0	1,298	0	0	0	10	12/01/2042
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		04/01/2014	Paydown		1,299	1,299	1,366	1,365	0	(66)	0	(66)	0	1,299	0	0	0	13	12/01/2042
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		05/01/2014	Paydown		1,327	1,327	1,395	1,394	0	(67)	0	(67)	0	1,327	0	0	0	17	12/01/2042
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		06/01/2014	Paydown		1,314	1,314	1,382	1,381	0	(67)	0	(67)	0	1,314	0	0	0	20	12/01/2042
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		07/01/2014	Paydown		1,383	1,383	1,455	1,453	0	(70)	0	(70)	0	1,383	0	0	0	24	12/01/2042
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		08/01/2014	Paydown		1,408	1,408	1,481	1,479	0	(71)	0	(71)	0	1,408	0	0	0	28	12/01/2042
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		09/01/2014	Paydown		1,320	1,320	1,388	1,387	0	(67)	0	(67)	0	1,320	0	0	0	30	12/01/2042
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		10/01/2014	Paydown		1,317	1,317	1,385	1,384	0	(67)	0	(67)	0	1,317	0	0	0	33	12/01/2042
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		11/01/2014	Paydown		1,328	1,328	1,397	1,396	0	(67)	0	(67)	0	1,328	0	0	0	37	12/01/2042
3138MS-AA-1	FNMA Pool A09926 MBS 3.000% 12/01/42		12/01/2014	Paydown		1,416	1,416	1,490	1,488	0	(72)	0	(72)	0	1,416	0	0	0	42	12/01/2042
3138NI-XS-3	FNMA Pool ARO688 MBS 3.000% 12/01/42		01/01/2014	Paydown		622	622	653	652	0	(30)	0	(30)	0	622	0	0	0	2	12/01/2042
3138NI-XS-3	FNMA Pool ARO688 MBS 3.000% 12/01/42		02/01/2014	Paydown		646	646	678	677	0	(32)	0	(32)	0	646	0	0	0	3	12/01/2042
3138NI-XS-3	FNMA Pool ARO688 MBS 3.000% 12/01/42		03/01/2014	Paydown		742	742	779	778	0	(36)	0	(36)	0	742	0	0	0	6	12/01/2042
3138NI-XS-3	FNMA Pool ARO688 MBS 3.000% 12/01/42		04/01/2014	Paydown		757	757	795	794	0	(37)	0	(37)	0	757	0	0	0	8	12/01/2042
3138NI-XS-3	FNMA Pool ARO688 MBS 3.000% 12/01/42		05/01/2014	Paydown		665	665	698	698	0	(33)	0	(33)	0	665	0	0	0	8	12/01/2042
3138NI-XS-3	FNMA Pool ARO688 MBS 3.000% 12/01/42		06/01/2014	Paydown		29,187	29,187	30,641	30,614	0	(1,427)	0	(1,427)	0	29,187	0	0	0	438	12/01/2042
3138NI-XS-3	FNMA Pool ARO688 MBS 3.000% 12/01/42		07/01/2014	Paydown		606	606	636	635	0	(30)	0	(30)	0	606	0	0	0	11	12/01/2042
3138NI-XS-3	FNMA Pool ARO688 MBS 3.000% 12/01/42		08/01/2014	Paydown		608	608	638	637	0	(30)	0	(30)	0	608	0	0	0	12	12/01/2042
3138NI-XS-3	FNMA Pool ARO688 MBS 3.000% 12/01/42		09/01/2014	Paydown		609	609	640	639	0	(30)	0	(30)	0	609	0	0	0	14	12/01/2042
3138NI-XS-3	FNMA Pool ARO688 MBS 3.000% 12/01/42		10/01/2014	Paydown		611	611	642	641	0	(30)	0	(30)	0	611	0	0	0	15	12/01/2042
3138NI-XS-3	FNMA Pool ARO688 MBS 3.000% 12/01/42		11/01/2014	Paydown		613	613	643	643	0	(30)	0	(30)	0	613	0	0	0	17	12/01/2042
3138NI-XS-3	FNMA Pool ARO688 MBS 3.000% 12/01/42		12/01/2014	Paydown		589	589	619	618	0	(29)	0	(29)	0	589	0	0	0	18	12/01/2042
3138I3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		01/01/2014	Paydown		7,718	7,718	8,015	8,012	0	(294)	0	(294)	0	7,718	0	0	0	23	02/01/2043
3138I3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		02/01/2014	Paydown		8,703	8,703	9,039	9,035	0	(332)	0	(332)	0	8,703	0	0	0	51	02/01/2043
3138I3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		03/01/2014	Paydown		7,969	7,969	8,276	8,273	0	(304)	0	(304)	0	7,969	0	0	0	70	02/01/2043
3138I3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		04/01/2014	Paydown		7,873	7,873	8,176	8,173	0	(300)	0	(300)	0	7,873	0	0	0	92	02/01/2043
3138I3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		05/01/2014	Paydown		184,038	184,038	191,141	191,060	0	(7,022)	0	(7,022)	0	184,038	0	0	0	2,684	02/01/2043
3138I3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		06/01/2014	Paydown		260,422	260,422	270,473	270,358	0	(9,936)	0	(9,936)	0	260,422	0	0	0	4,557	02/01/2043
3138I3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		07/01/2014	Paydown		7,142	7,142	7,417	7,414	0	(272)	0	(272)	0	7,142	0	0	0	146	02/01/2043
3138I3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		08/01/2014	Paydown		7,249	7,249	7,529	7,526	0	(277)	0	(277)	0	7,249	0	0	0	169	02/01/2043
3138I3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		09/01/2014	Paydown		7,191	7,191	7,469	7,465	0	(274)	0	(274)	0	7,191	0	0	0	189	02/01/2043
3138I3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		10/01/2014	Paydown		7,687	7,687	7,983	7,980	0	(293)	0	(293)	0	7,687	0	0	0	224	02/01/2043
3138I3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		11/01/2014	Paydown		174,681	174,681	181,423	181,346	0	(6,665)	0	(6,665)	0	174,681	0	0	0	5,604	02/01/2043
3138I3-LR-2	FNMA Pool AR5735 MBS 3.500% 02/01/43		12/01/2014	Paydown		185,837	185,837	193,009	192,928	0	(7,090)	0	(7,090)	0	185,837	0	0	0	6,504	02/01/2043
314020-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		01/01/2014	Paydown		4,344	4,344	4,268	4,290	0	54	0	54	0	4,344	0	0	0	18	05/01/2019
314020-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		02/01/2014	Paydown		4,713	4,713	4,630	4,654	0	59	0	59	0	4,713	0	0	0	39	05/01/2019
314020-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		03/01/2014	Paydown		4,320	4,320	4,245	4,267	0	54	0	54	0	4,320	0	0	0	54	05/01/2019
314020-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		04/01/2014	Paydown		4,332	4,332	4,256	4,278	0	54	0	54	0	4,332	0	0	0	72	05/01/2019
314020-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		05/01/2014	Paydown		4,656	4,656	4,575	4,598	0	58	0	58	0	4,656	0	0	0	97	05/01/2019
314020-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		06/01/2014	Paydown		4,460	4,460	4,382	4,404	0	55	0	55	0	4,460	0	0	0	111	05/01/2019
314020-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		07/01/2014	Paydown		4,192	4,192	4,118	4,139	0	52	0	52	0	4,192	0	0	0	122	05/01/2019
314020-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		08/01/2014	Paydown		4,580	4,580	4,500	4,524	0	57	0	57	0	4,580	0	0	0	153	05/01/2019
314020-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		09/01/2014	Paydown		4,048	4,048	3,977	3,998	0	50	0	50	0	4,048	0	0	0	152	05/01/2019
314020-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		10/01/2014	Paydown		3,841	3,841	3,774	3,793	0	48	0	48	0	3,841	0	0	0	160	05/01/2019
314020-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		11/01/2014	Paydown		3,854	3,854	3,787	3,806	0	48	0	48	0	3,854	0	0	0	177	05/01/2019
314020-R6-0	FNMA Pool 735009 MBS 5.000% 05/01/19		12/01/2014	Paydown		3,426	3,426	3,366	3,383	0	43	0	43	0	3,426	0	0	0	171	05/01/2019
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		01/01/2014	Paydown		51,379	51,379	55,866	54,295	0	(2,916)	0	(2,916)	0	51,379	0	0	0	235	12/01/2017
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		02/01/2014	Paydown		48,024	48,024	52,218	50,749	0	(2,726)	0	(2,726)	0	48,024	0	0	0	440	12/01/2017
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		03/01/2014	Paydown		41,694	41,694	45,336	44,061	0	(2,366)	0	(2,366)	0	41,694	0	0	0	573	12/01/2017
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		04/01/2014	Paydown		42,703	42,703	46,433	45,127	0	(2,424)	0	(2,424)	0	42,703	0	0	0	783	12/01/2017
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		05/01/2014																	

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
31402R-JE-0	FNMA Pool 735661 MBS 5.500% 12/01/17		12/01/2014	Paydown		36,346	36,346	39,521	38,409	0	(2,063)	0	(2,063)	0	36,346	0	0	0	1,999	12/01/2017
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		01/01/2014	Paydown		2,702	2,702	2,647	2,660	0	42	0	42	0	2,702	0	0	0	11	09/01/2020
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		02/01/2014	Paydown		1,593	1,593	1,560	1,568	0	25	0	25	0	1,593	0	0	0	13	09/01/2020
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		03/01/2014	Paydown		1,634	1,634	1,601	1,608	0	26	0	26	0	1,634	0	0	0	20	09/01/2020
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		04/01/2014	Paydown		5,370	5,370	5,261	5,286	0	84	0	84	0	5,370	0	0	0	90	09/01/2020
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		05/01/2014	Paydown		2,722	2,722	2,667	2,679	0	43	0	43	0	2,722	0	0	0	57	09/01/2020
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		06/01/2014	Paydown		4,272	4,272	4,186	4,205	0	67	0	67	0	4,272	0	0	0	107	09/01/2020
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		07/01/2014	Paydown		3,455	3,455	3,385	3,401	0	54	0	54	0	3,455	0	0	0	101	09/01/2020
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		08/01/2014	Paydown		1,555	1,555	1,524	1,531	0	24	0	24	0	1,555	0	0	0	52	09/01/2020
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		09/01/2014	Paydown		2,180	2,180	2,135	2,146	0	34	0	34	0	2,180	0	0	0	82	09/01/2020
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		10/01/2014	Paydown		6,423	6,423	6,293	6,322	0	101	0	101	0	6,423	0	0	0	268	09/01/2020
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		11/01/2014	Paydown		1,507	1,507	1,476	1,483	0	24	0	24	0	1,507	0	0	0	69	09/01/2020
31407N-FK-4	FNMA Pool 835470 MBS 5.000% 09/01/20		12/01/2014	Paydown		3,997	3,997	3,916	3,934	0	63	0	63	0	3,997	0	0	0	200	09/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		01/01/2014	Paydown		8,429	8,429	8,264	8,305	0	124	0	124	0	8,429	0	0	0	35	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		02/01/2014	Paydown		1,833	1,833	1,798	1,806	0	27	0	27	0	1,833	0	0	0	15	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		03/01/2014	Paydown		5,005	5,005	4,907	4,931	0	74	0	74	0	5,005	0	0	0	63	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		04/01/2014	Paydown		7,009	7,009	6,872	6,905	0	103	0	103	0	7,009	0	0	0	117	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		05/01/2014	Paydown		4,547	4,547	4,458	4,480	0	67	0	67	0	4,547	0	0	0	95	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		06/01/2014	Paydown		1,756	1,756	1,722	1,730	0	26	0	26	0	1,756	0	0	0	44	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		07/01/2014	Paydown		1,723	1,723	1,689	1,697	0	25	0	25	0	1,723	0	0	0	50	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		08/01/2014	Paydown		3,412	3,412	3,346	3,362	0	50	0	50	0	3,412	0	0	0	114	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		09/01/2014	Paydown		6,606	6,606	6,477	6,509	0	97	0	97	0	6,606	0	0	0	248	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		10/01/2014	Paydown		1,712	1,712	1,679	1,687	0	25	0	25	0	1,712	0	0	0	71	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		11/01/2014	Paydown		1,658	1,658	1,626	1,634	0	24	0	24	0	1,658	0	0	0	76	10/01/2020
31407S-GA-4	FNMA Pool 839093 MBS 5.000% 10/01/20		12/01/2014	Paydown		3,217	3,217	3,154	3,170	0	47	0	47	0	3,217	0	0	0	161	10/01/2020
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		01/01/2014	Paydown		2,879	2,879	2,879	2,877	0	2	0	2	0	2,879	0	0	0	13	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		02/01/2014	Paydown		421	421	421	421	0	0	0	0	0	421	0	0	0	4	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		03/01/2014	Paydown		424	424	424	424	0	0	0	0	0	424	0	0	0	6	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		04/01/2014	Paydown		417	417	417	416	0	0	0	0	0	417	0	0	0	8	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		05/01/2014	Paydown		1,284	1,284	1,284	1,283	0	1	0	1	0	1,284	0	0	0	29	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		06/01/2014	Paydown		2,747	2,747	2,747	2,745	0	2	0	2	0	2,747	0	0	0	76	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		07/01/2014	Paydown		399	399	399	398	0	0	0	0	0	399	0	0	0	13	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		08/01/2014	Paydown		1,845	1,845	1,846	1,844	0	1	0	1	0	1,845	0	0	0	68	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		09/01/2014	Paydown		498	498	498	498	0	0	0	0	0	498	0	0	0	21	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		10/01/2014	Paydown		1,608	1,608	1,608	1,607	0	1	0	1	0	1,608	0	0	0	74	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		11/01/2014	Paydown		383	383	383	383	0	0	0	0	0	383	0	0	0	19	08/01/2021
31410X-VR-4	FNMA Pool 900724 MBS 5.500% 08/01/21		12/01/2014	Paydown		382	382	382	382	0	0	0	0	0	382	0	0	0	21	08/01/2021
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		01/01/2014	Paydown		3,103	3,103	3,305	3,273	0	(170)	0	(170)	0	3,103	0	0	0	13	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		02/01/2014	Paydown		2,954	2,954	3,146	3,116	0	(162)	0	(162)	0	2,954	0	0	0	25	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		03/01/2014	Paydown		26,555	26,555	28,286	28,011	0	(1,456)	0	(1,456)	0	26,555	0	0	0	332	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		04/01/2014	Paydown		5,033	5,033	5,361	5,309	0	(276)	0	(276)	0	5,033	0	0	0	84	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		05/01/2014	Paydown		3,074	3,074	3,274	3,242	0	(168)	0	(168)	0	3,074	0	0	0	64	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		06/01/2014	Paydown		3,092	3,092	3,294	3,262	0	(169)	0	(169)	0	3,092	0	0	0	77	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		07/01/2014	Paydown		3,039	3,039	3,237	3,206	0	(167)	0	(167)	0	3,039	0	0	0	89	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		08/01/2014	Paydown		2,749	2,749	2,928	2,900	0	(151)	0	(151)	0	2,749	0	0	0	92	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		09/01/2014	Paydown		5,413	5,413	5,766	5,710	0	(297)	0	(297)	0	5,413	0	0	0	203	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		10/01/2014	Paydown		3,139	3,139	3,344	3,311	0	(172)	0	(172)	0	3,139	0	0	0	131	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		11/01/2014	Paydown		3,378	3,378	3,598	3,563	0	(185)	0	(185)	0	3,378	0	0	0	155	11/01/2024
31412V-AG-3	FNMA Pool 935707 MBS 5.000% 11/01/24		12/01/2014	Paydown		25,794	25,794	27,475	27,208	0	(1,414)	0	(1,414)	0	25,794	0	0	0	1,290	11/01/2024
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		01/01/2014	Paydown		3,347	3,347	3,379	3,373	0	(26)	0	(26)	0	3,347	0	0	0	14	08/01/2023
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		02/01/2014	Paydown		3,504	3,504	3,536	3,530	0	(27)	0	(27)	0	3,504	0	0	0	29	08/01/2023
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		03/01/2014	Paydown		3,307	3,307	3,338	3,332	0	(25)	0	(25)	0	3,307	0	0	0	41	08/01/2023
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		04/01/2014	Paydown		3,602	3,602	3,636	3,630	0	(28)	0	(28)	0	3,602	0	0	0	60	08/01/2023
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		05/01/2014	Paydown		10,910	10,910	11,013	10,994	0	(83)	0	(83)	0	10,910	0	0	0	227	08/01/2023
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		06/01/2014	Paydown		3,468	3,468	3,501	3,495	0	(27)	0	(27)	0	3,468	0	0	0	87	08/01/2023
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		07/01/2014	Paydown		16,556	16,556	16,711	16,683	0	(127)	0	(127)	0	16,556	0	0	0	483	08/01/2023
31414F-K9-1	FNMA Pool 964820 MBS 5.000% 08/01/23		08/01/2014	Paydown		13,203	13,203	13,327	13,304	0	(101)	0	(101)	0	13,203	0	0			

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
31414F-K9-1	FNMA Pool 964820 MBS	5.000%	08/01/23			6,226	6,226	6,285	6,274	0	(48)	0	(48)	0	6,226	0	0	0	259	08/01/2023
31414F-K9-1	FNMA Pool 964820 MBS	5.000%	08/01/23			3,194	3,194	3,224	3,219	0	(24)	0	(24)	0	3,194	0	0	0	146	08/01/2023
31414F-K9-1	FNMA Pool 964820 MBS	5.000%	08/01/23			2,976	2,976	3,004	2,999	0	(23)	0	(23)	0	2,976	0	0	0	149	08/01/2023
31416B-RB-6	FNMA Pool 995182 MBS	5.500%	06/01/20			16,451	16,451	17,988	17,638	0	(1,187)	0	(1,187)	0	16,451	0	0	0	75	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS	5.500%	06/01/20			16,881	16,881	18,459	18,099	0	(1,218)	0	(1,218)	0	16,881	0	0	0	155	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS	5.500%	06/01/20			17,295	17,295	18,911	18,543	0	(1,248)	0	(1,248)	0	17,295	0	0	0	238	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS	5.500%	06/01/20			18,264	18,264	19,970	19,581	0	(1,318)	0	(1,318)	0	18,264	0	0	0	335	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS	5.500%	06/01/20			16,213	16,213	17,728	17,383	0	(1,170)	0	(1,170)	0	16,213	0	0	0	372	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS	5.500%	06/01/20			15,566	15,566	17,021	16,689	0	(1,123)	0	(1,123)	0	15,566	0	0	0	428	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS	5.500%	06/01/20			15,906	15,906	17,392	17,053	0	(1,147)	0	(1,147)	0	15,906	0	0	0	510	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS	5.500%	06/01/20			15,826	15,826	17,305	16,967	0	(1,142)	0	(1,142)	0	15,826	0	0	0	580	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS	5.500%	06/01/20			15,635	15,635	17,095	16,762	0	(1,128)	0	(1,128)	0	15,635	0	0	0	645	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS	5.500%	06/01/20			14,363	14,363	15,705	15,399	0	(1,036)	0	(1,036)	0	14,363	0	0	0	658	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS	5.500%	06/01/20			13,334	13,334	14,580	14,296	0	(962)	0	(962)	0	13,334	0	0	0	672	06/01/2020
31416B-RB-6	FNMA Pool 995182 MBS	5.500%	06/01/20			13,012	13,012	14,228	13,951	0	(939)	0	(939)	0	13,012	0	0	0	716	06/01/2020
31416S-M2-4	FNMA Pool AA8476 MBS	5.000%	06/01/24			10,676	10,676	11,103	11,034	0	(357)	0	(357)	0	10,676	0	0	0	44	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS	5.000%	06/01/24			14,328	14,328	14,901	14,807	0	(479)	0	(479)	0	14,328	0	0	0	119	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS	5.000%	06/01/24			10,328	10,328	10,741	10,673	0	(346)	0	(346)	0	10,328	0	0	0	129	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS	5.000%	06/01/24			10,328	10,328	10,742	10,674	0	(346)	0	(346)	0	10,328	0	0	0	172	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS	5.000%	06/01/24			90,302	90,302	93,914	93,324	0	(3,022)	0	(3,022)	0	90,302	0	0	0	1,881	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS	5.000%	06/01/24			8,784	8,784	9,135	9,078	0	(294)	0	(294)	0	8,784	0	0	0	220	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS	5.000%	06/01/24			8,548	8,548	8,890	8,834	0	(286)	0	(286)	0	8,548	0	0	0	249	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS	5.000%	06/01/24			8,693	8,693	9,040	8,983	0	(291)	0	(291)	0	8,693	0	0	0	290	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS	5.000%	06/01/24			8,551	8,551	8,894	8,838	0	(286)	0	(286)	0	8,551	0	0	0	321	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS	5.000%	06/01/24			8,662	8,662	9,009	8,952	0	(290)	0	(290)	0	8,662	0	0	0	361	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS	5.000%	06/01/24			37,715	37,715	39,223	38,977	0	(1,262)	0	(1,262)	0	37,715	0	0	0	1,729	06/01/2024
31416S-M2-4	FNMA Pool AA8476 MBS	5.000%	06/01/24			8,811	8,811	9,163	9,106	0	(295)	0	(295)	0	8,811	0	0	0	441	06/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS	5.000%	07/01/24			7,994	7,994	8,301	8,256	0	(262)	0	(262)	0	7,994	0	0	0	33	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS	5.000%	07/01/24			8,136	8,136	8,448	8,402	0	(267)	0	(267)	0	8,136	0	0	0	68	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS	5.000%	07/01/24			8,244	8,244	8,561	8,514	0	(270)	0	(270)	0	8,244	0	0	0	103	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS	5.000%	07/01/24			8,256	8,256	8,573	8,526	0	(271)	0	(271)	0	8,256	0	0	0	138	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS	5.000%	07/01/24			118,921	118,921	123,492	122,819	0	(3,897)	0	(3,897)	0	118,921	0	0	0	2,478	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS	5.000%	07/01/24			10,174	10,174	10,585	10,508	0	(333)	0	(333)	0	10,174	0	0	0	254	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS	5.000%	07/01/24			9,543	9,543	9,910	9,856	0	(313)	0	(313)	0	9,543	0	0	0	278	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS	5.000%	07/01/24			9,594	9,594	9,963	9,909	0	(314)	0	(314)	0	9,594	0	0	0	320	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS	5.000%	07/01/24			10,901	10,901	11,320	11,258	0	(357)	0	(357)	0	10,901	0	0	0	409	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS	5.000%	07/01/24			12,188	12,188	12,657	12,588	0	(399)	0	(399)	0	12,188	0	0	0	508	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS	5.000%	07/01/24			9,128	9,128	9,479	9,428	0	(299)	0	(299)	0	9,128	0	0	0	418	07/01/2024
31416T-2S-7	FNMA Pool AA9784 MBS	5.000%	07/01/24			9,153	9,153	9,504	9,453	0	(300)	0	(300)	0	9,153	0	0	0	458	07/01/2024
31416X-6C-9	FNMA Pool AB2666 MBS	4.500%	04/01/26			8,758	8,758	9,330	9,274	0	(516)	0	(516)	0	8,758	0	0	0	33	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS	4.500%	04/01/26			8,714	8,714	9,283	9,227	0	(514)	0	(514)	0	8,714	0	0	0	65	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS	4.500%	04/01/26			8,813	8,813	9,389	9,332	0	(519)	0	(519)	0	8,813	0	0	0	99	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS	4.500%	04/01/26			8,848	8,848	9,426	9,369	0	(521)	0	(521)	0	8,848	0	0	0	133	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS	4.500%	04/01/26			8,885	8,885	9,465	9,409	0	(524)	0	(524)	0	8,885	0	0	0	167	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS	4.500%	04/01/26			8,948	8,948	9,533	9,476	0	(527)	0	(527)	0	8,948	0	0	0	201	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS	4.500%	04/01/26			8,881	8,881	9,461	9,404	0	(523)	0	(523)	0	8,881	0	0	0	233	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS	4.500%	04/01/26			8,909	8,909	9,491	9,435	0	(525)	0	(525)	0	8,909	0	0	0	267	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS	4.500%	04/01/26			8,951	8,951	9,536	9,479	0	(528)	0	(528)	0	8,951	0	0	0	302	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS	4.500%	04/01/26			8,983	8,983	9,570	9,513	0	(529)	0	(529)	0	8,983	0	0	0	337	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS	4.500%	04/01/26			49,270	49,270	52,488	52,174	0	(2,904)	0	(2,904)	0	49,270	0	0	0	2,032	04/01/2026
31416X-6C-9	FNMA Pool AB2666 MBS	4.500%	04/01/26			8,921	8,921	9,503	9,447	0	(526)	0	(526)	0	8,921	0	0	0	401	04/01/2026
31417D-M7-5	FNMA Pool AB6681 MBS	3.000%	10/01/42			63,437	63,437	66,569	66,509	0	(3,072)	0	(3,072)	0	63,437	0	0	0	159	10/01/2042
31417D-M7-5	FNMA Pool AB6681 MBS	3.000%	10/01/42			9,316	9,316	9,776	9,767	0	(451)	0	(451)	0	9,316	0	0	0	47	10/01/2042
31417D-M7-5	FNMA Pool AB6681 MBS	3.000%	10/01/42			2,093	2,093	2,196	2,194	0	(101)	0	(101)	0	2,093	0	0	0	6	10/01/2042
31417D-M7-5	FNMA Pool AB6681 MBS	3.000%	10/01/42			2,132	2,132	2,237	2,235	0	(103)	0	(103)	0	2,132	0	0	0	21	10/01/2042
31417D-M7-5	FNMA Pool AB6681 MBS	3.000%	10/01/42			2,105	2,105	2,209	2,207	0	(102)	0	(102)	0	2,105	0	0	0	26	10/01/2042
31417D-M7-5	FNMA Pool AB6681 MBS	3.000%	10/01/42			2,087	2,087	2,190	2,188	0	(101)	0	(101)	0	2,087	0	0	0	31	10/01/2042
31417D-M7-5	FNMA Pool AB6681 MBS	3.000%	10/01/42	Nomura Securities		1,120,932	1,138,544	1,194,760	1,193,681	0	(369)	0	(369)	0	1,193,312	0	(72,380)	(72,380)	19,545	10/01/2042

E14.12

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
31417E-CM-1	FNMA Pool AB7275 MBS 3.000% 12/01/42		01/01/2014	Paydown		11,393	11,393	11,958	11,947	0	(654)	0	(554)	0	11,393	0	0	0	28	12/01/2042
31417E-CM-1	FNMA Pool AB7275 MBS 3.000% 12/01/42		02/01/2014	Paydown		2,870	2,870	3,012	3,010	0	(139)	0	(139)	0	2,870	0	0	0	14	12/01/2042
31417E-CM-1	FNMA Pool AB7275 MBS 3.000% 12/01/42		03/01/2014	Paydown		12,520	12,520	13,140	13,129	0	(608)	0	(608)	0	12,520	0	0	0	94	12/01/2042
31417E-CM-1	FNMA Pool AB7275 MBS 3.000% 12/01/42		03/05/2014	Nomura Securities		1,444,270	1,491,220	1,565,082	1,563,678	0	196	0	196	0	1,563,873	0	(119,604)	(119,604)	12,303	12/01/2042
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		01/01/2014	Paydown		12,772	12,772	13,293	13,188	0	(416)	0	(416)	0	12,772	0	0	0	43	01/01/2021
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		02/01/2014	Paydown		9,510	9,510	9,897	9,819	0	(309)	0	(309)	0	9,510	0	0	0	63	01/01/2021
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		03/01/2014	Paydown		12,515	12,515	13,026	12,922	0	(407)	0	(407)	0	12,515	0	0	0	125	01/01/2021
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		04/01/2014	Paydown		9,422	9,422	9,807	9,729	0	(307)	0	(307)	0	9,422	0	0	0	126	01/01/2021
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		05/01/2014	Paydown		10,589	10,589	11,020	10,933	0	(345)	0	(345)	0	10,589	0	0	0	177	01/01/2021
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		06/01/2014	Paydown		11,204	11,204	11,661	11,568	0	(365)	0	(365)	0	11,204	0	0	0	224	01/01/2021
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		07/01/2014	Paydown		13,633	13,633	14,189	14,076	0	(444)	0	(444)	0	13,633	0	0	0	318	01/01/2021
31417Y-VY-0	FNMA Pool MA0630 MBS 4.000% 01/01/21		07/10/2014	Credit Suisse		491,098	462,959	481,839	478,022	0	(513)	0	(513)	0	477,508	0	13,590	13,590	11,522	01/01/2021
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		01/01/2014	Paydown		7,948	7,948	8,410	8,348	0	(400)	0	(400)	0	7,948	0	0	0	30	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		02/01/2014	Paydown		9,317	9,317	9,859	9,786	0	(469)	0	(469)	0	9,317	0	0	0	70	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		03/01/2014	Paydown		8,965	8,965	9,486	9,416	0	(451)	0	(451)	0	8,965	0	0	0	101	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		04/01/2014	Paydown		8,873	8,873	9,389	9,320	0	(447)	0	(447)	0	8,873	0	0	0	133	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		05/01/2014	Paydown		9,012	9,012	9,536	9,466	0	(454)	0	(454)	0	9,012	0	0	0	169	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		06/01/2014	Paydown		8,580	8,580	9,078	9,011	0	(432)	0	(432)	0	8,580	0	0	0	193	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		07/01/2014	Paydown		8,591	8,591	9,090	9,023	0	(432)	0	(432)	0	8,591	0	0	0	226	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		08/01/2014	Paydown		8,560	8,560	9,058	8,991	0	(431)	0	(431)	0	8,560	0	0	0	257	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		09/01/2014	Paydown		67,617	67,617	71,547	71,020	0	(3,403)	0	(3,403)	0	67,617	0	0	0	2,282	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		10/01/2014	Paydown		8,336	8,336	8,821	8,756	0	(420)	0	(420)	0	8,336	0	0	0	313	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		11/01/2014	Paydown		8,420	8,420	8,909	8,844	0	(424)	0	(424)	0	8,420	0	0	0	347	06/01/2025
31418V-VF-6	FNMA Pool AD7813 MBS 4.500% 06/01/25		12/01/2014	Paydown		8,454	8,454	8,946	8,880	0	(425)	0	(425)	0	8,454	0	0	0	380	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		01/01/2014	Paydown		21,500	21,500	22,733	22,569	0	(1,069)	0	(1,069)	0	21,500	0	0	0	81	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		02/01/2014	Paydown		6,580	6,580	6,957	6,907	0	(327)	0	(327)	0	6,580	0	0	0	49	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		03/01/2014	Paydown		4,288	4,288	4,534	4,502	0	(213)	0	(213)	0	4,288	0	0	0	48	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		04/01/2014	Paydown		4,217	4,217	4,459	4,427	0	(210)	0	(210)	0	4,217	0	0	0	63	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		05/01/2014	Paydown		7,782	7,782	8,228	8,169	0	(387)	0	(387)	0	7,782	0	0	0	146	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		06/01/2014	Paydown		4,421	4,421	4,674	4,640	0	(220)	0	(220)	0	4,421	0	0	0	99	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		07/01/2014	Paydown		19,893	19,893	21,033	20,881	0	(989)	0	(989)	0	19,893	0	0	0	522	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		08/01/2014	Paydown		37,409	37,409	39,555	39,269	0	(1,860)	0	(1,860)	0	37,409	0	0	0	1,122	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		09/01/2014	Paydown		24,647	24,647	26,060	25,872	0	(1,225)	0	(1,225)	0	24,647	0	0	0	832	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		10/01/2014	Paydown		4,041	4,041	4,272	4,241	0	(201)	0	(201)	0	4,041	0	0	0	152	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		11/01/2014	Paydown		4,010	4,010	4,240	4,209	0	(199)	0	(199)	0	4,010	0	0	0	165	06/01/2025
31418W-4T-4	FNMA Pool AD8933 MBS 4.500% 06/01/25		12/01/2014	Paydown		4,068	4,068	4,301	4,270	0	(202)	0	(202)	0	4,068	0	0	0	183	06/01/2025
31419M-CI-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		01/01/2014	Paydown		6,908	6,908	7,260	7,221	0	(313)	0	(313)	0	6,908	0	0	0	20	05/01/2026
31419M-CI-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		02/01/2014	Paydown		4,317	4,317	4,537	4,513	0	(196)	0	(196)	0	4,317	0	0	0	25	05/01/2026
31419M-CI-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		03/01/2014	Paydown		1,261	1,261	1,325	1,318	0	(57)	0	(57)	0	1,261	0	0	0	11	05/01/2026
31419M-CI-9	FNMA Pool AE9984 MBS 3.500% 05/01/26		03/05/2014	Nomura Securities		205,326	194,212	204,104	203,025	0	(21)	0	(21)	0	203,004	0	2,322	2,322	1,869	05/01/2026
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		01/01/2014	Paydown		6,151	6,151	6,461	6,427	0	(277)	0	(277)	0	6,151	0	0	0	18	07/01/2026
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		02/01/2014	Paydown		3,210	3,210	3,372	3,355	0	(145)	0	(145)	0	3,210	0	0	0	19	07/01/2026
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		03/01/2014	Paydown		3,227	3,227	3,390	3,372	0	(145)	0	(145)	0	3,227	0	0	0	28	07/01/2026
31419M-CZ-2	FNMA Pool AE9987 MBS 3.500% 07/01/26		03/05/2014	Nomura Securities		474,068	448,473	471,142	468,663	0	(40)	0	(40)	0	468,624	0	5,444	5,444	4,317	07/01/2026
45200F-SQ-3	5.000% 07/01/17 KS Dept Trans Hwy Rev Bond Non Call		08/19/2014	Tax Free Exchange		2,656,146	2,500,000	2,888,275	2,689,073	0	(32,927)	0	(32,927)	0	2,656,146	0	0	0	141,667	07/01/2017
485424-NZ-4	5.000% 09/01/20 NEW MEXICO ST SE Rev Bond Non Call	5.000%	06/27/2014	Morgan Stanley		3,003,900	2,500,000	3,180,000	3,097,470	0	(42,426)	0	(42,426)	0	3,055,045	0	(51,145)	(51,145)	103,819	09/01/2020
647310-K2-6	07/01/19 NEW YORK CITY NY Rev Bond Non Call	5.000%	03/19/2014	Morgan Stanley		1,963,616	1,650,000	1,926,870	1,823,125	0	(6,625)	0	(6,625)	0	1,816,500	0	147,115	147,115	60,271	07/01/2019
649710-2T-9	11/01/17 NEW YORK CITY NY Rev Bond Non Call	5.000%	11/10/2014	Tax Free Exchange		5,744,491	5,120,000	5,940,019	5,921,265	0	(176,773)	0	(176,773)	0	5,744,491	0	0	0	262,400	11/01/2017
649710-US-0	11/01/17 REGL TRANSPRTN A Rev Bond Non Call	6.250%	03/24/2014	Wachovia Bank		2,818,801	2,465,000	2,677,076	2,639,372	0	(10,109)	0	(10,109)	0	2,629,262	0	189,539	189,539	49,985	11/01/2017
759911-K5-8	07/01/21 REGL TRANSPRTN A Rev Bond Non Call	5.500%	07/25/2014																	

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1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
796253-W3-2	San Antonio TX Elec Gas Rev Bond Non Call 5.250% 02/01/14		02/01/2014	Maturity		30,000	30,000	31,836	30,045	0	(45)	0	(45)	0	30,000	0	0	0	788	02/01/2014
796253-W4-0	San Antonio TX Elec Gas Rev Bond Non Call 5.250% 02/01/14		02/01/2014	Maturity		2,970,000	2,970,000	3,151,788	2,974,456	0	(4,456)	0	(4,456)	0	2,970,000	0	0	0	77,963	02/01/2014
927781-K5-2	VIRGINIA ST CLG Rev Bond Non Call 5.000% 02/01/20		06/30/2014	Goldman Sachs		2,362,620	2,000,000	2,519,600	2,445,941	0	(35,740)	0	(35,740)	0	2,410,202	0	(47,582)	(47,582)	92,222	02/01/2020
3199999 Subtotal - Bonds - U.S. Special Revenues						103,796,276	97,233,845	105,238,259	102,839,708	0	(999,454)	0	(999,454)	0	101,840,251	0	1,956,023	1,956,023	2,368,364	XXX
00440E-AG-2	ACE INA HOLDINGS Corp Note MW 20BP 5.875% 06/15/14		06/15/2014	Maturity		825,000	825,000	899,300	832,592	0	(7,592)	0	(7,592)	0	825,000	0	0	0	24,234	06/15/2014
020002-AR-2	Allstate Corp Note Non Call 5.000% 08/15/14		08/15/2014	Maturity		1,100,000	1,100,000	1,209,615	1,125,116	0	(25,116)	0	(25,116)	0	1,100,000	0	0	0	55,000	08/15/2014
02666Q-L8-4	AMER HONDA FIN Corp Note Non Call Prv Plc 0.452% 11/03/14		11/03/2014	Maturity		800,000	800,000	800,000	800,000	0	0	0	0	0	800,000	0	0	0	3,684	11/03/2014
03076C-AF-3	AMERIPRISE FINL Corp Note MW 4.000% 10/15/23		10/24/2014	Credit Suisse		1,325,016	1,260,000	1,253,927	1,254,087	0	471	0	471	0	1,254,558	0	70,458	70,458	57,820	10/15/2023
05348E-AH-2	AVALONBAY COMMUN Corp Note MW 20BP 5.375% 04/15/14		04/15/2014	Maturity		640,000	640,000	647,878	640,542	0	(542)	0	(542)	0	640,000	0	0	0	17,200	04/15/2014
05531F-AH-6	BB&T CORPORATION Corp Note Call 2.050% 04/28/14		04/28/2014	Maturity		100,000	100,000	101,734	100,356	0	(356)	0	(356)	0	100,000	0	0	0	1,025	04/28/2014
06051G-EB-1	BANK OF AMER CRP Corp Note Non Call 4.500% 04/01/15		03/19/2014	Merrill Lynch		752,927	725,000	724,268	724,810	0	33	0	33	0	724,843	0	28,084	28,084	15,678	04/01/2015
06051G-EC-9	BANK OF AMER CRP Corp Note Non Call 5.625% 07/01/20		03/19/2014	Merrill Lynch		1,510,212	1,320,000	1,367,507	1,358,517	0	(1,149)	0	(1,149)	0	1,357,368	0	152,844	152,844	54,244	07/01/2020
071813-BK-4	Baxter Intl Inc Corp Note Non Call 0.409% 12/11/14		12/11/2014	Maturity		1,000,000	1,000,000	1,001,000	1,000,756	0	(756)	0	(756)	0	1,000,000	0	0	0	4,115	12/11/2014
10112R-AT-1	Boston Prop Corp Note MW 35BP 3.700% 11/15/18		10/24/2014	Wachovia Bank		1,333,238	1,250,000	1,247,088	1,247,904	0	329	0	329	0	1,248,232	0	85,005	85,005	44,194	11/15/2018
10138M-AH-8	BOTTLING GROUP Corp Note Cont Call 6.950% 03/15/14		03/15/2014	Maturity		1,750,000	1,750,000	1,746,378	1,749,833	0	167	0	167	0	1,750,000	0	0	0	60,813	03/15/2014
12189T-BB-9	BURLINGTON NORTH Corp Note MW 50BP 7.000% 02/01/14		02/01/2014	Maturity		320,000	320,000	319,946	319,998	0	2	0	2	0	320,000	0	0	0	11,200	02/01/2014
126650-AV-2	CVS PASS-THROUGH Corp Note Cont Call 4.875% 09/15/14		09/15/2014	Maturity		1,035,000	1,035,000	1,095,962	1,044,249	0	(9,249)	0	(9,249)	0	1,035,000	0	0	0	50,456	09/15/2014
133131-AT-9	CAMDEN PROP TRST Corp Note MW 25BP 2.950% 12/15/22		06/24/2014	Wachovia Bank		1,906,839	1,980,000	1,959,111	1,961,057	0	901	0	901	0	1,961,958	0	(55,119)	(55,119)	31,152	12/15/2022
14912L-ST-4	CATERPILLAR FINL Corp Note Non Call 2.450% 09/06/18		10/23/2014	RBC Capital Markets		1,540,770	1,500,000	1,499,295	1,499,338	0	111	0	111	0	1,499,449	0	41,321	41,321	42,058	09/06/2018
17275R-AG-7	CISCO SYSTEMS Corp Note MW 10BP 2.900% 11/17/14		11/17/2014	Maturity		450,000	450,000	448,857	449,788	0	212	0	212	0	450,000	0	0	0	13,050	11/17/2014
17275R-AG-7	CISCO SYSTEMS Corp Note MW 10BP 2.900% 11/17/14		11/17/2014	Maturity		1,000,000	1,000,000	1,027,930	1,020,783	0	(20,783)	0	(20,783)	0	1,000,000	0	0	0	29,000	11/17/2014
172967-EY-3	CITIGROUP INC Corp Note Non Call 6.375% 08/12/14		08/12/2014	Maturity		550,000	550,000	581,290	554,705	0	(4,705)	0	(4,705)	0	550,000	0	0	0	35,063	08/12/2014
20825C-AR-5	CONOCOPHILLIPS Corp Note MW 50BP 5.750% 02/01/19		03/19/2014	Stifel Nicolaus & Co.		1,785,333	1,525,000	1,514,722	1,519,036	0	229	0	229	0	1,519,265	0	266,068	266,068	56,753	02/01/2019
233851-AC-8	DAIMLER FINANCE Corp Note Non Call Prv Plc 0.843% 03/28/14		03/28/2014	Maturity		350,000	350,000	350,000	350,000	0	0	0	0	0	350,000	0	0	0	733	03/28/2014
235851-AK-8	DANAHER CORP Corp Note MW 10BP 1.300% 06/23/14		06/23/2014	Maturity		1,000,000	1,000,000	1,011,000	1,004,629	0	(4,629)	0	(4,629)	0	1,000,000	0	0	0	6,500	06/23/2014
24422E-RX-9	JOHN DEERE CAP Corp Note Non Call 0.333% 10/08/14		10/08/2014	Maturity		600,000	600,000	600,000	600,000	0	0	0	0	0	600,000	0	0	0	2,045	10/08/2014
260543-CD-3	DOW CHEMICAL CO Corp Note MW 25BP PPut 2.500% 02/15/16		07/15/2014	Cantor Fitzgerald		836,769	815,000	813,778	814,481	0	131	0	131	0	814,612	0	22,157	22,157	18,847	02/15/2016
263534-BV-0	DUPONT EI NEMOUR Corp Note MW 50BP 5.875% 01/15/14		01/15/2014	Maturity		51,000	51,000	50,761	50,998	0	2	0	2	0	51,000	0	0	0	1,498	01/15/2014
263534-BZ-1	DUPONT EI NEMOUR Corp Note MW 20BP 4.625% 01/15/20		07/15/2014	Merrill Lynch		529,825	475,000	473,029	473,762	0	98	0	98	0	473,860	0	55,965	55,965	22,152	01/15/2020

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										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
26441C-AE-5	DUKE ENERGY CORP Corp Note MW 15BP 3.350% 04/01/15		10/10/2014	Merrill Lynch		1,266,638	1,250,000	1,249,313	1,249,820	0	113	0	113	0	1,249,933	0	16,705	16,705	43,620	04/01/2015
36162W-AB-3	GE Equip GEET 2013-1 A2 ABS 0.500% 11/24/15		01/24/2014	Paydown		233,982	233,982	233,973	233,978	0	4	0	4	0	233,982	0	0	0	97	11/24/2015
36162W-AB-3	GE Equip GEET 2013-1 A2 ABS 0.500% 11/24/15		02/24/2014	Paydown		189,174	189,174	189,166	189,170	0	3	0	3	0	189,174	0	0	0	158	11/24/2015
36162W-AB-3	GE Equip GEET 2013-1 A2 ABS 0.500% 11/24/15		03/24/2014	Paydown		198,853	198,853	198,845	198,849	0	4	0	4	0	198,853	0	0	0	249	11/24/2015
36162W-AB-3	GE Equip GEET 2013-1 A2 ABS 0.500% 11/24/15		04/24/2014	Paydown		182,402	182,402	182,395	182,399	0	3	0	3	0	182,402	0	0	0	304	11/24/2015
36162W-AB-3	GE Equip GEET 2013-1 A2 ABS 0.500% 11/24/15		05/24/2014	Paydown		283,563	283,563	283,551	283,557	0	5	0	5	0	283,563	0	0	0	591	11/24/2015
36162W-AB-3	GE Equip GEET 2013-1 A2 ABS 0.500% 11/24/15		06/24/2014	Paydown		161,572	161,572	161,565	161,569	0	3	0	3	0	161,572	0	0	0	404	11/24/2015
36162W-AB-3	GE Equip GEET 2013-1 A2 ABS 0.500% 11/24/15		07/24/2014	Paydown		178,836	178,836	178,829	178,832	0	3	0	3	0	178,836	0	0	0	522	11/24/2015
36162W-AB-3	GE Equip GEET 2013-1 A2 ABS 0.500% 11/24/15		08/24/2014	Paydown		272,504	272,504	272,493	272,499	0	5	0	5	0	272,504	0	0	0	908	11/24/2015
36162W-AB-3	GE Equip GEET 2013-1 A2 ABS 0.500% 11/24/15		09/24/2014	Paydown		153,168	153,168	153,162	153,166	0	3	0	3	0	153,168	0	0	0	574	11/24/2015
36162W-AB-3	GE Equip GEET 2013-1 A2 ABS 0.500% 11/24/15		10/24/2014	Paydown		166,148	166,148	166,141	166,145	0	3	0	3	0	166,148	0	0	0	692	11/24/2015
36162W-AB-3	GE Equip GEET 2013-1 A2 ABS 0.500% 11/24/15		11/24/2014	Paydown		260,400	260,400	260,390	260,395	0	5	0	5	0	260,400	0	0	0	1,194	11/24/2015
36162W-AB-3	GE Equip GEET 2013-1 A2 ABS 0.500% 11/24/15		12/24/2014	Paydown		130,706	130,706	130,701	130,703	0	2	0	2	0	130,706	0	0	0	654	11/24/2015
375558-AR-4	GILEAD SCIENCES Corp Note MW 35BP PPut 2.400% 12/01/14		12/01/2014	Maturity		350,000	350,000	359,051	355,561	0	(5,561)	0	(5,561)	0	350,000	0	0	0	8,400	12/01/2014
46625H-HP-8	JPMORGAN CHASE Corp Note Non Call 3.700% 01/20/15		07/11/2014	JP Morgan Chase		1,220,136	1,200,000	1,214,100	1,203,255	0	(1,658)	0	(1,658)	0	1,201,597	0	18,539	18,539	43,907	01/20/2015
46640B-AC-8	JP Morgan Chase JPMMT 2013-2 A2 CMO 3.500% 05/25/43		12/16/2013	JP Morgan Chase		296,876	308,995	312,905	312,707	0	0	0	0	0	312,707	0	(15,831)	(15,831)	541	05/25/2043
46640B-AC-8	JP Morgan Chase JPMMT 2013-2 A2 CMO 3.500% 05/25/43		03/01/2014	JP Morgan Chase		(297,810)	(308,995)	(312,905)	(312,707)	0	0	0	0	0	(312,707)	0	14,896	14,896	(541)	05/25/2043
493268-BY-1	Keycorp Student Loan Tr KSLT 2004-A 2A2 ABS 0.534% 10/28/41		01/27/2014	Paydown		16,444	16,444	16,271	16,298	0	146	0	146	0	16,444	0	0	0	22	10/28/2041
493268-BY-1	Keycorp Student Loan Tr KSLT 2004-A 2A2 ABS 0.534% 10/28/41		04/28/2014	Paydown		16,625	16,625	16,450	16,477	0	148	0	148	0	16,625	0	0	0	45	10/28/2041
493268-BY-1	Keycorp Student Loan Tr KSLT 2004-A 2A2 ABS 0.534% 10/28/41		07/28/2014	Paydown		15,980	15,980	15,812	15,838	0	142	0	142	0	15,980	0	0	0	65	10/28/2041
493268-BY-1	Keycorp Student Loan Tr KSLT 2004-A 2A2 ABS 0.534% 10/28/41		10/27/2014	Paydown		15,523	15,523	15,360	15,385	0	138	0	138	0	15,523	0	0	0	84	10/28/2041
494550-BE-5	KINDER MORGAN EN Corp Note MW 30BP 5.300% 09/15/20		07/11/2014	Nomura Securities		1,350,058	1,205,000	1,204,530	1,204,600	0	27	0	27	0	1,204,627	0	145,431	145,431	53,398	09/15/2020
59217E-BW-3	MetLife Global Corp Note Non Call Prv Plc 5.125% 06/10/14		06/10/2014	Maturity		1,300,000	1,300,000	1,366,235	1,326,908	0	(26,908)	0	(26,908)	0	1,300,000	0	0	0	33,313	06/10/2014
595620-AD-7	MIDAMERICAN ENERGY Corp Note Cont Call 4.650% 10/01/14		05/05/2014	Call 101.8037		1,018,037	1,000,000	1,057,440	1,031,764	0	(13,727)	0	(13,727)	0	1,018,037	0	0	0	27,642	10/01/2014
63946B-AA-8	NBC Universal Corp Note MW 25BP 2.100% 04/01/14		04/01/2014	Maturity		350,000	350,000	355,799	351,290	0	(1,290)	0	(1,290)	0	350,000	0	0	0	3,675	04/01/2014
652482-CH-1	NEWS AMER INC Corp Note MW Prv Plc 4.000% 10/01/23		01/23/2014	Tax Free Exchange		258,473	260,000	258,432	258,465	0	8	0	8	0	258,473	0	0	0	3,264	10/01/2023
653522-DQ-2	Niagara Mohawk Corp Note MW 20BP Prv Plc 3.553% 10/01/14		10/01/2014	Maturity		1,300,000	1,300,000	1,366,183	1,327,783	0	(27,783)	0	(27,783)	0	1,300,000	0	0	0	46,189	10/01/2014
665859-AK-0	NORTHERN TRST CO Corp Note Non Call 4.625% 05/01/14		05/01/2014	Maturity		1,100,000	1,100,000	1,156,815	1,115,030	0	(15,030)	0	(15,030)	0	1,100,000	0	0	0	25,438	05/01/2014
66989H-AE-8	NOVARTIS CAPITAL Corp Note MW 10BP 2.400% 09/21/22		06/30/2014	Credit Suisse		1,296,567	1,350,000	1,339,538	1,340,739	0	472	0	472	0	1,341,211	0	(44,644)	(44,644)	25,110	09/21/2022

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1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
693476-BA-0	PNC Funding Corp Note Non Call 0.436% 01/31/14		01/31/2014	Maturity		300,000	300,000	297,557	299,893	0	107	0	107	0	300,000	0	0	0	335	01/31/2014
74005P-AS-3	PRAXAIR INC Corp Note MW 40BP 4.375% 03/31/14		03/31/2014	Maturity		500,000	500,000	498,420	499,912	0	88	0	88	0	500,000	0	0	0	10,938	03/31/2014
74153W-BY-4	PRICOA GLOB FUND Corp Note Non Call Prv Plc 5.450% 06/11/14		06/11/2014	Maturity		500,000	500,000	498,940	499,894	0	106	0	106	0	500,000	0	0	0	13,625	06/11/2014
74256L-AA-7	PRINCIPAL LFE II Note Non Call Prv Plc 0.859% 07/09/14		07/09/2014	Maturity		500,000	500,000	500,000	500,000	0	0	0	0	0	500,000	0	0	0	3,273	07/09/2014
743263-AM-7	Progress Energy Inc Corp Note MW 50BP 6.050% 03/15/14		03/15/2014	Maturity		450,000	450,000	448,763	449,943	0	57	0	57	0	450,000	0	0	0	13,613	03/15/2014
744320-BJ-3	PRUDENTIAL FIN Corp Note Non Call 4.750% 09/17/15		07/10/2014	Stifel Nicolaus & Co.		733,404	700,000	698,369	699,489	0	155	0	155	0	699,645	0	33,759	33,759	27,524	09/17/2015
760759-AH-3	REPUBLIC SVCS Corp Note MW 25BP PPut 5.000% 03/01/20		03/25/2014	Deutsche Bank		1,106,710	1,000,000	999,851	999,925	0	2	0	2	0	999,927	0	106,783	106,783	28,750	03/01/2020
80283D-AB-7	SANTANDER DRIVE SDART 2013-2 A2 ABS 0.470% 03/15/16		01/15/2014	Paydown		110,857	110,857	110,848	110,853	0	3	0	3	0	110,857	0	0	0	43	03/15/2016
80283D-AB-7	SANTANDER DRIVE SDART 2013-2 A2 ABS 0.470% 03/15/16		02/15/2014	Paydown		132,171	132,171	132,161	132,167	0	4	0	4	0	132,171	0	0	0	104	03/15/2016
80283D-AB-7	SANTANDER DRIVE SDART 2013-2 A2 ABS 0.470% 03/15/16		03/15/2014	Paydown		132,303	132,303	132,292	132,299	0	4	0	4	0	132,303	0	0	0	155	03/15/2016
80283D-AB-7	SANTANDER DRIVE SDART 2013-2 A2 ABS 0.470% 03/15/16		04/15/2014	Paydown		132,215	132,215	132,204	132,211	0	4	0	4	0	132,215	0	0	0	207	03/15/2016
80283D-AB-7	SANTANDER DRIVE SDART 2013-2 A2 ABS 0.470% 03/15/16		05/15/2014	Paydown		119,332	119,332	119,323	119,329	0	4	0	4	0	119,332	0	0	0	234	03/15/2016
80283D-AB-7	SANTANDER DRIVE SDART 2013-2 A2 ABS 0.470% 03/15/16		06/15/2014	Paydown		118,881	118,881	118,872	118,878	0	4	0	4	0	118,881	0	0	0	279	03/15/2016
80283D-AB-7	SANTANDER DRIVE SDART 2013-2 A2 ABS 0.470% 03/15/16		07/15/2014	Paydown		87,824	87,824	87,817	87,821	0	3	0	3	0	87,824	0	0	0	241	03/15/2016
816851-AR-0	SEMPRA ENERGY Corp Note Non Call 0.993% 03/15/14		03/17/2014	Maturity		1,390,000	1,390,000	1,394,367	1,390,297	0	(297)	0	(297)	0	1,390,000	0	0	0	3,446	03/15/2014
87612E-AX-4	TARGET CORP Corp Note Non Call 0.404% 07/18/14		07/18/2014	Maturity		1,000,000	1,000,000	1,002,520	1,000,972	0	(972)	0	(972)	0	1,000,000	0	0	0	3,086	07/18/2014
887317-AF-2	TIME WARNER INC Corp Note MW 20BP 4.875% 03/15/20		03/19/2014	Credit Suisse		1,589,879	1,439,000	1,434,251	1,435,800	0	100	0	100	0	1,435,900	0	153,979	153,979	36,829	03/15/2020
88732J-AQ-1	Time Warner Corp Note MW 50BP 8.250% 02/14/14		02/14/2014	Maturity		1,565,000	1,565,000	1,562,637	1,564,926	0	74	0	74	0	1,565,000	0	0	0	64,556	02/14/2014
89233P-6M-3	Toyota Motor Cr Corp Note Non Call 0.404% 01/27/14		01/27/2014	Maturity		500,000	500,000	500,662	500,038	0	(38)	0	(38)	0	500,000	0	0	0	509	01/27/2014
89417E-AG-4	Travelers Corp Note MW 15BP 3.900% 11/01/20		10/23/2014	Credit Suisse		1,304,449	1,205,000	1,241,960	1,234,060	0	(3,169)	0	(3,169)	0	1,230,891	0	73,558	73,558	46,603	11/01/2020
911312-AL-0	UPS Corp Note Non Call 3.875% 04/01/14		04/01/2014	Maturity		400,000	400,000	399,112	399,952	0	48	0	48	0	400,000	0	0	0	7,750	04/01/2014
91150H-HA-1	US BANCORP Corp Note Call MW 15BP 4.125% 05/24/21		07/15/2014	JP Morgan Chase		1,412,216	1,300,000	1,295,047	1,296,148	0	245	0	245	0	1,296,393	0	115,823	115,823	34,856	05/24/2021
92343V-AM-6	VERIZON COMM INC Corp Note Cont Call 6.100% 04/15/18		03/21/2014	KEYBANC CAP/ McDonald		727,294	625,000	649,150	638,234	0	(634)	0	(634)	0	637,600	0	89,694	89,694	17,050	04/15/2018
92343V-BP-8	VERIZON COMM INC Corp Note MW 3.650% 09/14/18		08/21/2014	Taxable Exchange		504,399	470,000	469,981	469,981	0	2	0	2	0	469,983	0	34,416	34,416	15,868	09/14/2018
94106L-AS-8	WASTE MANAGEMENT Corp Note MW 40BP 6.100% 03/15/18		03/25/2014	Imperial Bank		127,367	110,000	127,332	121,811	0	(622)	0	(622)	0	121,190	0	6,177	6,177	3,597	03/15/2018
94106L-AS-8	WASTE MANAGEMENT Corp Note MW 40BP 6.100% 03/15/18		03/26/2014	JP Morgan Chase		625,153	540,000	625,082	597,983	0	(3,158)	0	(3,158)	0	594,825	0	30,328	30,328	17,934	03/15/2018
94974B-ET-3	WELLS FARGO CO Corp Note Non Call 3.750% 10/01/14		10/01/2014	Maturity		1,000,000	1,000,000	1,053,070	1,024,008	0	(24,008)	0	(24,008)	0	1,000,000	0	0	0	37,500	10/01/2014
96950F-AH-7	WILLIAMS PARTNER Corp Note MW 30BP 4.000% 11/15/21		03/10/2014	Nomura Securities		1,581,824	1,565,000	1,558,630	1,559,777	0	111	0	111	0	1,559,888	0	21,935	21,935	20,519	11/15/2021
984121-BY-8	XEROX CORP Corp Note MW 75BP PPut 8.250% 05/15/14		05/15/2014	Maturity		950,000	950,000	997,310	954,192	0	(4,192)	0	(4,192)	0	950,000	0	0	0	39,188	05/15/2014

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ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	
136375-BS-0	CANADIAN NATL RR Corp Note Non Call 4.950% 01/15/14	A	01/15/2014	Maturity		540,000	540,000	541,708	540,013	0	(13)	0	(13)	0	540,000	0	0	0	13,365	01/15/2014	
02364W-BD-6	AMERICA MOVIL SA Corp Note MW 25BP 3.125% 07/16/22	F	10/27/2014	Nomura Securities		877,062	885,000	814,992	818,289	0	5,496	0	5,496	0	823,785	0	53,277	53,277	35,646	07/16/2022	
055451-AG-3	BHP FINANCE USA Corp Note Non Call 5.500% 04/01/14	F	04/01/2014	Maturity		425,000	425,000	425,818	425,045	0	(45)	0	(45)	0	425,000	0	0	0	11,688	04/01/2014	
055650-BT-4	BP CAPITAL PLC Corp Note Non Call 2.248% 11/01/16	F	10/10/2014	FTN Financial Services		1,332,071	1,300,000	1,300,000	1,300,000	0	0	0	0	0	1,300,000	0	32,071	32,071	28,006	11/01/2016	
2027A0-DH-9	COM BK AUSTRALIA Corp Note Prv Plc 3.750% 10/15/14	F	10/15/2014	Maturity		1,200,000	1,200,000	1,196,316	1,199,375	0	625	0	625	0	1,200,000	0	0	0	45,000	10/15/2014	
29268B-AD-3	Enel Finance Int Corp Note Non Call Prv Plc 3.875% 10/07/14	F	10/07/2014	Maturity		1,150,000	1,150,000	1,146,895	1,149,487	0	513	0	513	0	1,150,000	0	0	0	44,563	10/07/2014	
44328M-AK-0	HSBC BANK PLC Corp Note Non Call Prv Plc 4.750% 01/19/21	F	03/19/2014	Goldman Sachs		1,350,596	1,235,000	1,233,926	1,234,192	0	22	0	22	0	1,234,214	0	116,382	116,382	39,923	01/19/2021	
44841B-AA-4	Hutch Whampoa Corp Note Non Call Prv Plc 5.750% 09/11/19	F	10/23/2014	Morgan Stanley		1,330,780	1,150,000	1,143,365	1,145,767	0	528	0	528	0	1,146,295	0	184,485	184,485	74,758	09/11/2019	
53944V-AA-7	Lloyds Bank Corp Note Non Call 2.300% 11/27/18	F	07/11/2014	Merrill Lynch		1,012,840	1,000,000	998,400	998,429	0	164	0	164	0	998,594	0	14,247	14,247	14,631	11/27/2018	
636274-AC-6	Natl Grid PLC Corp Note Cont Call 6.300% 08/01/16	F	03/19/2014	Barclays Group Inc.		723,978	648,000	637,013	643,490	0	363	0	363	0	643,853	0	80,125	80,125	26,422	08/01/2016	
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					65,920,389	63,937,463	64,671,877	64,162,692	0	(191,227)	0	(191,227)	0	63,971,469	0	1,948,918	1,948,918	1,761,647	XXX	
8399997	Total - Bonds - Part 4					241,542,966	228,847,105	243,788,516	238,380,707	0	(1,654,056)	0	(1,654,056)	0	236,726,655	0	4,816,307	4,816,307	5,910,062	XXX	
8399998	Total - Bonds - Part 5					60,861,485	57,931,653	61,273,615	0	0	(213,878)	0	(213,878)	0	61,059,736	0	(198,252)	(198,252)	674,229	XXX	
8399999	Total - Bonds					302,404,451	286,778,758	305,062,131	238,380,707	0	(1,867,934)	0	(1,867,934)	0	297,786,391	0	4,618,055	4,618,055	6,584,291	XXX	
8999997	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
8999998	Total - Preferred Stocks - Part 5					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
8999999	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9799997	Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9799998	Total - Common Stocks - Part 5					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9799999	Total - Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9899999	Total - Preferred and Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9999999	Totals					302,404,451	XXX	305,062,131	238,380,707	0	(1,867,934)	0	(1,867,934)	0	297,786,391	0	4,618,055	4,618,055	6,584,291	XXX	

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ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21
											12	13	14	15	16					
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consideration	Book/Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (12 + 13 - 14)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends
13063B-SE-3	CALIFORNIA ST GO Non Call 5.00%		02/01/19	RBC Capital Markets	02/27/2014	Morgan Stanley	8,000,000	9,461,440	9,346,160	9,365,962	0	(95,478)	0	(95,478)	0	0	(19,802)	(19,802)	168,889	36,667
1799999	Subtotal - Bonds - U.S. States, Territories and Possessions																			
							8,000,000	9,461,440	9,346,160	9,365,962	0	(95,478)	0	(95,478)	0	0	(19,802)	(19,802)	168,889	36,667
3138W-6G-3	FNMA Pool AR9198 MBS 3.000% 03/01/43		07/02/2014	RBC Capital Markets	08/01/2014	Paydown	13,787	13,533	13,787	13,787	0	254	0	254	0	0	0	0	34	15
3138W-6G-3	FNMA Pool AR9198 MBS 3.000% 03/01/43		07/02/2014	RBC Capital Markets	09/01/2014	Paydown	14,241	13,979	14,241	14,241	0	263	0	263	0	0	0	0	71	15
3138W-6G-3	FNMA Pool AR9198 MBS 3.000% 03/01/43		07/02/2014	RBC Capital Markets	10/01/2014	Paydown	17,019	16,705	17,019	17,019	0	314	0	314	0	0	0	0	128	18
3138W-6G-3	FNMA Pool AR9198 MBS 3.000% 03/01/43		07/02/2014	RBC Capital Markets	10/09/2014	Nomura Securities	1,748,262	1,716,029	1,750,584	1,716,138	0	109	0	109	0	0	34,446	34,446	15,152	1,894
3138W-EB-5	FNMA Pool AS2829 MBS 4.000% 07/01/44		07/02/2014	CitiGroup	08/01/2014	Paydown	29,631	31,367	29,631	29,631	0	(1,736)	0	(1,736)	0	0	0	0	99	43
3138W-EB-5	FNMA Pool AS2829 MBS 4.000% 07/01/44		07/02/2014	CitiGroup	09/01/2014	Paydown	4,950	5,240	4,950	4,950	0	(290)	0	(290)	0	0	0	0	33	7
3138W-EB-5	FNMA Pool AS2829 MBS 4.000% 07/01/44		07/02/2014	CitiGroup	10/01/2014	Paydown	3,652	3,866	3,652	3,652	0	(214)	0	(214)	0	0	0	0	37	5
3138W-EB-5	FNMA Pool AS2829 MBS 4.000% 07/01/44		07/02/2014	CitiGroup	11/01/2014	Paydown	4,201	4,447	4,201	4,201	0	(246)	0	(246)	0	0	0	0	56	6
3138W-EB-5	FNMA Pool AS2829 MBS 4.000% 07/01/44		07/02/2014	CitiGroup	12/01/2014	Paydown	4,537	4,803	4,537	4,537	0	(266)	0	(266)	0	0	0	0	76	7
3138W-F3-2	FNMA Pool AS2885 MBS 3.500% 07/01/44		07/08/2014	CitiGroup	08/01/2014	Paydown	2,319	2,377	2,319	2,319	0	(58)	0	(58)	0	0	0	0	7	3
3138W-F3-2	FNMA Pool AS2885 MBS 3.500% 07/01/44		07/08/2014	CitiGroup	09/01/2014	Paydown	2,880	2,952	2,880	2,880	0	(72)	0	(72)	0	0	0	0	17	4
3138W-F3-2	FNMA Pool AS2885 MBS 3.500% 07/01/44		07/08/2014	CitiGroup	10/01/2014	Paydown	2,734	2,802	2,734	2,734	0	(68)	0	(68)	0	0	0	0	24	3
3138W-F3-2	FNMA Pool AS2885 MBS 3.500% 07/01/44		07/08/2014	CitiGroup	11/01/2014	Paydown	2,585	2,649	2,585	2,585	0	(65)	0	(65)	0	0	0	0	30	3
3138W-F3-2	FNMA Pool AS2885 MBS 3.500% 07/01/44		07/08/2014	CitiGroup	12/01/2014	Paydown	2,299	2,357	2,299	2,299	0	(57)	0	(57)	0	0	0	0	34	3
3138W-F4-0	FNMA Pool AS2886 MBS 3.500% 07/01/44		07/02/2014	Wachovia Bank	08/01/2014	Paydown	5,889	6,024	5,889	5,889	0	(135)	0	(135)	0	0	0	0	17	7
3138W-F4-0	FNMA Pool AS2886 MBS 3.500% 07/01/44		07/02/2014	Wachovia Bank	09/01/2014	Paydown	36,749	37,593	36,749	36,749	0	(844)	0	(844)	0	0	0	0	214	46
3138W-F4-0	FNMA Pool AS2886 MBS 3.500% 07/01/44		07/02/2014	Wachovia Bank	10/01/2014	Paydown	5,904	6,040	5,904	5,904	0	(136)	0	(136)	0	0	0	0	52	7
3138W-F4-0	FNMA Pool AS2886 MBS 3.500% 07/01/44		07/02/2014	Wachovia Bank	11/01/2014	Paydown	7,098	7,261	7,098	7,098	0	(163)	0	(163)	0	0	0	0	83	9
3138W-F4-0	FNMA Pool AS2886 MBS 3.500% 07/01/44		07/02/2014	Wachovia Bank	12/01/2014	Paydown	5,938	6,075	5,938	5,938	0	(136)	0	(136)	0	0	0	0	87	8
3138WIP-G2-4	FNMA Pool AT2016 MBS 3.000% 04/01/43		07/02/2014	Nomura Securities	08/01/2014	Paydown	12,013	11,792	12,013	12,013	0	222	0	222	0	0	0	0	30	13
3138WIP-G2-4	FNMA Pool AT2016 MBS 3.000% 04/01/43		07/02/2014	Nomura Securities	09/01/2014	Paydown	12,795	12,559	12,795	12,795	0	236	0	236	0	0	0	0	64	14
3138WIP-G2-4	FNMA Pool AT2016 MBS 3.000% 04/01/43		07/02/2014	Nomura Securities	10/01/2014	Paydown	12,377	12,149	12,377	12,377	0	228	0	228	0	0	0	0	93	13
3138WIP-G2-4	FNMA Pool AT2016 MBS 3.000% 04/01/43		07/02/2014	Nomura Securities	10/09/2014	Nomura Securities	1,635,377	1,605,225	1,637,549	1,605,325	0	100	0	100	0	0	32,224	32,224	14,173	1,772
3138WIV-CF-6	FNMA Pool AT7269 MBS 3.500% 09/01/43		07/02/2014	JP Morgan Chase	08/01/2014	Paydown	2,246	2,297	2,246	2,246	0	(52)	0	(52)	0	0	0	0	7	3
3138WIV-CF-6	FNMA Pool AT7269 MBS 3.500% 09/01/43		07/02/2014	JP Morgan Chase	09/01/2014	Paydown	2,463	2,520	2,463	2,463	0	(57)	0	(57)	0	0	0	0	14	3
3138WIV-CF-6	FNMA Pool AT7269 MBS 3.500% 09/01/43		07/02/2014	JP Morgan Chase	10/01/2014	Paydown	2,442	2,498	2,442	2,442	0	(56)	0	(56)	0	0	0	0	21	3
3138WIV-CF-6	FNMA Pool AT7269 MBS 3.500% 09/01/43		07/02/2014	JP Morgan Chase	11/01/2014	Paydown	2,474	2,531	2,474	2,474	0	(57)	0	(57)	0	0	0	0	29	3

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ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21
											12	13	14	15	16					
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consideration	Book/Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Valu (12 + 13 - 14)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends
3138WV-CF-6	FNMA Pool AT7269 MBS 3.500% 09/01/43		07/02/2014	JP Morgan Chase	12/01/2014	Paydown	2,332	2,385	2,332	2,332	0	(54)	0	(54)	0	0	0	0	34	3
3138XQ-MM-0	FNMA Pool AII1263 MBS 4.000% 06/01/44		07/02/2014	Nomura Securities	08/01/2014	Paydown	1,717	1,818	1,717	1,717	0	(101)	0	(101)	0	0	0	0	6	2
3138XQ-MM-0	FNMA Pool AII1263 MBS 4.000% 06/01/44		07/02/2014	Nomura Securities	09/01/2014	Paydown	32,540	34,452	32,540	32,540	0	(1,912)	0	(1,912)	0	0	0	0	217	47
3138XQ-MM-0	FNMA Pool AII1263 MBS 4.000% 06/01/44		07/02/2014	Nomura Securities	10/01/2014	Paydown	1,723	1,824	1,723	1,723	0	(101)	0	(101)	0	0	0	0	17	2
3138XQ-MM-0	FNMA Pool AII1263 MBS 4.000% 06/01/44		07/02/2014	Nomura Securities	11/01/2014	Paydown	1,740	1,842	1,740	1,740	0	(102)	0	(102)	0	0	0	0	23	3
3138XQ-MM-0	FNMA Pool AII1263 MBS 4.000% 06/01/44		07/02/2014	Nomura Securities	12/01/2014	Paydown	1,687	1,786	1,687	1,687	0	(99)	0	(99)	0	0	0	0	28	2
31398Q-TE-7	FHLMC FHR 3747 HG CMO 2.400% 07/15/37		03/17/2014	Merrill Lynch	04/01/2014	Paydown	52,072	52,902	52,072	52,072	0	(830)	0	(830)	0	0	0	0	104	66
31398Q-TE-7	FHLMC FHR 3747 HG CMO 2.400% 07/15/37		03/17/2014	Merrill Lynch	05/01/2014	Paydown	70,039	71,155	70,039	70,039	0	(1,116)	0	(1,116)	0	0	0	0	280	89
31398Q-TE-7	FHLMC FHR 3747 HG CMO 2.400% 07/15/37		03/17/2014	Merrill Lynch	06/01/2014	Paydown	81,434	82,732	81,434	81,434	0	(1,298)	0	(1,298)	0	0	0	0	489	103
31398Q-TE-7	FHLMC FHR 3747 HG CMO 2.400% 07/15/37		03/17/2014	Merrill Lynch	07/01/2014	Paydown	94,177	95,678	94,177	94,177	0	(1,501)	0	(1,501)	0	0	0	0	753	119
31398Q-TE-7	FHLMC FHR 3747 HG CMO 2.400% 07/15/37		03/17/2014	Merrill Lynch	08/01/2014	Paydown	97,291	98,841	97,291	97,291	0	(1,551)	0	(1,551)	0	0	0	0	973	123
31398Q-TE-7	FHLMC FHR 3747 HG CMO 2.400% 07/15/37		03/17/2014	Merrill Lynch	09/01/2014	Paydown	88,101	89,505	88,101	88,101	0	(1,404)	0	(1,404)	0	0	0	0	1,057	112
31398Q-TE-7	FHLMC FHR 3747 HG CMO 2.400% 07/15/37		03/17/2014	Merrill Lynch	10/01/2014	Paydown	83,599	84,931	83,599	83,599	0	(1,332)	0	(1,332)	0	0	0	0	1,170	106
31398Q-TE-7	FHLMC FHR 3747 HG CMO 2.400% 07/15/37		03/17/2014	Merrill Lynch	11/01/2014	Paydown	70,524	71,647	70,524	70,524	0	(1,124)	0	(1,124)	0	0	0	0	1,128	89
31398Q-TE-7	FHLMC FHR 3747 HG CMO 2.400% 07/15/37		03/17/2014	Merrill Lynch	12/01/2014	Paydown	59,053	59,994	59,053	59,053	0	(941)	0	(941)	0	0	0	0	1,063	75
646065-8F-2	NEW JERSEY ST ED Rev Bond Non Call 5.000% 06/01/20		02/27/2014	JP Morgan Chase	06/26/2014	Pershing	5,000,000	5,862,350	5,757,950	5,821,321	0	(41,029)	0	(41,029)	0	0	(63,371)	(63,371)	104,167	25,000
646135-5T-6	NEW JERSEY ST TR Rev Bond Non Call 5.250% 12/15/23		03/19/2014	Morgan Stanley	06/25/2014	Merrill Lynch	2,540,000	3,000,019	2,968,777	2,989,282	0	(10,737)	0	(10,737)	0	0	(20,505)	(20,505)	72,231	36,671
646136-FC-0	NEW JERSEY ST TR Rev Bond Non Call 5.250% 12/15/22		01/23/2014	Morgan Stanley	07/17/2014	Morgan Stanley	3,250,000	3,810,723	3,778,645	3,784,063	0	(26,659)	0	(26,659)	0	0	(5,418)	(5,418)	102,849	20,380
3199999	Subtotal - Bonds - U.S. Special Revenues						15,124,891	16,962,254	16,844,757	16,867,381	0	(94,873)	0	(94,873)	0	0	(22,624)	(22,624)	317,271	86,919
00206R-BB-7	AT&T INC Corp Note Non Call 0.875% 02/13/15		01/16/2014	Cantor Fitzgerald	07/15/2014	Call	100,4087	702,023	702,861	702,861	0	838	0	838	0	0	0	0	5,649	2,705
026874-CT-2	AMER INTL GROUP Corp Note Non Call 3.000% 03/20/15		01/21/2014	Mizuho Investor Sec Co	05/05/2014	Call	102,2329	821,872	817,863	817,863	0	(4,009)	0	(4,009)	0	0	0	0	15,000	8,267
03879Q-AA-2	ARC PROP OPER LP Corp Note MW Prv Plc 2.000% 02/06/17		02/04/2014	Barclays Group Inc.	10/17/2014	Tax Free Exchange	2,075,000	2,074,398	2,074,536	2,074,536	0	138	0	138	0	0	0	0	29,281	0
03879Q-AB-0	ARC PROP OPER LP Corp Note MW 20BP 2.000% 02/06/17		10/17/2014	Tax Free Exchange	12/18/2014	RBC Capital Markets	770,000	769,828	708,400	769,845	0	17	0	17	0	0	(61,445)	(61,445)	5,861	3,166
03879Q-AB-0	ARC PROP OPER LP Corp Note MW 20BP 2.000% 02/06/17		10/17/2014	Tax Free Exchange	12/18/2014	Cantor Fitzgerald	1,305,000	1,304,708	1,202,231	1,304,738	0	30	0	30	0	0	(102,507)	(102,507)	9,933	5,365
05531F-AR-4	BB&T CORPORATION Corp Note Call 0.892% 02/01/19		01/28/2014	Deutsche Bank	07/11/2014	Merrill Lynch	2,375,000	2,375,000	2,393,026	2,375,000	0	0	0	0	0	0	18,026	18,026	9,526	0
05579U-AB-9	BMW VEHICLE LEAS BMWLT 2014-1 A2 ABS 0.450% 03/21/16		04/09/2014	Barclays Group Inc.	11/20/2014	Paydown	20,889	20,887	20,889	20,889	0	1	0	1	0	0	0	0	56	0
05579U-AB-9	BMW VEHICLE LEAS BMWLT 2014-1 A2 ABS 0.450% 03/21/16		04/09/2014	Barclays Group Inc.	12/20/2014	Paydown	232,396	232,382	232,396	232,396	0	14	0	14	0	0	0	0	709	0
06742L-AC-7	BARCLAYS DRYROCK DROCK 2014-2 A ABS 0.501% 03/16/20		05/21/2014	Barclays Group Inc.	11/17/2014	Barclays Group Inc.	2,300,000	2,300,000	2,297,125	2,300,000	0	0	0	0	0	0	(2,875)	(2,875)	5,577	0
12591V-AA-9	COMM MORTGAGE TRUST COMM 2014 CR16 A1 CMB 1.445% 02/10/19		03/28/2014	Deutsche Bank	05/01/2014	Paydown	40,862	40,861	40,862	40,862	0	1	0	1	0	0	0	0	49	13

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ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21	
											12	13	14	15	16						
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consideration	Book/ Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Valu (12 + 13 - 14)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends	
12591V-AA-9	COMM MORTGAGE TRUST COMM 2014 CR16 A1 CMBS 1.445% 02/10/19		03/28/2014	Deutsche Bank	06/01/2014	Paydown	36,988	36,987	36,988	36,988	0	1	0	1	0	0	0	0	0	.89	12
12591V-AA-9	COMM MORTGAGE TRUST COMM 2014 CR16 A1 CMBS 1.445% 02/10/19		03/28/2014	Deutsche Bank	07/01/2014	Paydown	41,188	41,187	41,188	41,188	0	1	0	1	0	0	0	0	0	.149	13
12591V-AA-9	COMM MORTGAGE TRUST COMM 2014 CR16 A1 CMBS 1.445% 02/10/19		03/28/2014	Deutsche Bank	08/01/2014	Paydown	37,326	37,326	37,326	37,326	0	1	0	1	0	0	0	0	0	.180	12
12591V-AA-9	COMM MORTGAGE TRUST COMM 2014 CR16 A1 CMBS 1.445% 02/10/19		03/28/2014	Deutsche Bank	09/01/2014	Paydown	37,488	37,487	37,488	37,488	0	1	0	1	0	0	0	0	0	.226	12
12591V-AA-9	COMM MORTGAGE TRUST COMM 2014 CR16 A1 CMBS 1.445% 02/10/19		03/28/2014	Deutsche Bank	10/01/2014	Paydown	41,675	41,674	41,675	41,675	0	1	0	1	0	0	0	0	0	.301	13
12591V-AA-9	COMM MORTGAGE TRUST COMM 2014 CR16 A1 CMBS 1.445% 02/10/19		03/28/2014	Deutsche Bank	11/01/2014	Paydown	52,541	52,539	52,541	52,541	0	1	0	1	0	0	0	0	0	.443	17
12591V-AA-9	COMM MORTGAGE TR COMM 2014-CR17 A1 CMBS 1.275% 05/10/47		03/28/2014	Deutsche Bank	12/01/2014	Paydown	42,008	42,007	42,008	42,008	0	1	0	1	0	0	0	0	0	.405	13
12631D-AW-3	COMM MORTGAGE TR COMM 2014-CR17 A1 CMBS 1.275% 05/10/47		04/29/2014	Deutsche Bank	06/01/2014	Paydown	14,883	14,883	14,883	14,883	0	0	0	0	0	0	0	0	0	.16	6
12631D-AW-3	COMM MORTGAGE TR COMM 2014-CR17 A1 CMBS 1.275% 05/10/47		04/29/2014	Deutsche Bank	07/01/2014	Paydown	16,580	16,580	16,580	16,580	0	0	0	0	0	0	0	0	0	.35	7
12631D-AW-3	COMM MORTGAGE TR COMM 2014-CR17 A1 CMBS 1.275% 05/10/47		04/29/2014	Deutsche Bank	08/01/2014	Paydown	15,016	15,016	15,016	15,016	0	0	0	0	0	0	0	0	0	.48	6
12631D-AW-3	COMM MORTGAGE TR COMM 2014-CR17 A1 CMBS 1.275% 05/10/47		04/29/2014	Deutsche Bank	09/01/2014	Paydown	15,079	15,079	15,079	15,079	0	0	0	0	0	0	0	0	0	.64	6
12631D-AW-3	COMM MORTGAGE TR COMM 2014-CR17 A1 CMBS 1.275% 05/10/47		04/29/2014	Deutsche Bank	10/01/2014	Paydown	16,771	16,770	16,771	16,771	0	0	0	0	0	0	0	0	0	.89	7
12631D-AW-3	COMM MORTGAGE TR COMM 2014-CR17 A1 CMBS 1.275% 05/10/47		04/29/2014	Deutsche Bank	11/01/2014	Paydown	15,214	15,213	15,214	15,214	0	0	0	0	0	0	0	0	0	.97	6
12631D-AW-3	COMM MORTGAGE TR COMM 2014-CR17 A1 CMBS 1.275% 05/10/47		04/29/2014	Deutsche Bank	12/01/2014	Paydown	16,901	16,901	16,901	16,901	0	0	0	0	0	0	0	0	0	.126	7
14313Q-AB-1	CARMAX AUTO OWNER TRUST CARMX 2014-1 A2 ABS 0.470% 02/15/17		02/04/2014	Merrill Lynch	08/15/2014	Paydown	20,212	20,212	20,212	20,212	0	0	0	0	0	0	0	0	0	.48	0
14313Q-AB-1	CARMAX AUTO OWNER TRUST CARMX 2014-1 A2 ABS 0.470% 02/15/17		02/04/2014	Merrill Lynch	09/15/2014	Paydown	277,355	277,351	277,355	277,355	0	5	0	5	0	0	0	0	0	.771	0
14313Q-AB-1	CARMAX AUTO OWNER TRUST CARMX 2014-1 A2 ABS 0.470% 02/15/17		02/04/2014	Merrill Lynch	10/15/2014	Paydown	264,872	264,868	264,872	264,872	0	4	0	4	0	0	0	0	0	.840	0
14313Q-AB-1	CARMAX AUTO OWNER TRUST CARMX 2014-1 A2 ABS 0.470% 02/15/17		02/04/2014	Merrill Lynch	11/15/2014	Paydown	255,737	255,733	255,737	255,737	0	4	0	4	0	0	0	0	0	.911	0
14313Q-AB-1	CARMAX AUTO OWNER TRUST CARMX 2014-1 A2 ABS 0.470% 02/15/17		02/04/2014	Merrill Lynch	11/17/2014	Robert Baird & Co	2,466,823	2,466,782	2,466,823	2,466,798	0	16	0	16	0	0	.25	25	25	.8,953	0
14313R-AB-9	CARMAX AUTO OWNE CARMX 2014-2 A2 ABS 0.460% 04/17/17		05/07/2014	JP Morgan Chase	11/15/2014	Paydown	21,847	21,846	21,847	21,847	0	1	0	1	0	0	0	0	0	.51	0
14313R-AB-9	CARMAX AUTO OWNE CARMX 2014-2 A2 ABS 0.460% 04/17/17		05/07/2014	JP Morgan Chase	12/15/2014	Paydown	231,689	231,678	231,689	231,689	0	11	0	11	0	0	0	0	0	.625	0
17119X-AB-5	CHRYSLER CAPITAL CCART 2014-AA A2 ABS Prv Plc 0.490% 02/15/17		03/12/2014	JP Morgan Chase	09/15/2014	Paydown	188,184	188,183	188,184	188,184	0	1	0	1	0	0	0	0	0	.451	0
17119X-AB-5	CHRYSLER CAPITAL CCART 2014-AA A2 ABS Prv Plc 0.490% 02/15/17		03/12/2014	JP Morgan Chase	10/15/2014	Paydown	308,851	308,849	308,851	308,851	0	2	0	2	0	0	0	0	0	.866	0
17119X-AB-5	CHRYSLER CAPITAL CCART 2014-AA A2 ABS Prv Plc 0.490% 02/15/17		03/12/2014	JP Morgan Chase	11/15/2014	Paydown	310,493	310,491	310,493	310,493	0	2	0	2	0	0	0	0	0	.997	0
17119X-AB-5	CHRYSLER CAPITAL CCART 2014-AA A2 ABS Prv Plc 0.490% 02/15/17		03/12/2014	JP Morgan Chase	12/15/2014	Paydown	272,332	272,331	272,332	272,332	0	1	0	1	0	0	0	0	0	.986	0
17322M-AS-5	Citigroup Commercial Mtge Tr CGMNT 2014-GC21 A1 CMBS 1.242% 05/10/47		05/07/2014	CitiGroup	06/01/2014	Paydown	29,636	29,636	29,636	29,636	0	1	0	1	0	0	0	0	0	.31	21
17322M-AS-5	Citigroup Commercial Mtge Tr CGMNT 2014-GC21 A1 CMBS 1.242% 05/10/47		05/07/2014	CitiGroup	07/01/2014	Paydown	33,184	33,183	33,184	33,184	0	1	0	1	0	0	0	0	0	.69	24
17322M-AS-5	Citigroup Commercial Mtge Tr CGMNT 2014-GC21 A1 CMBS 1.242% 05/10/47		05/07/2014	CitiGroup	08/01/2014	Paydown	29,895	29,894	29,895	29,895	0	1	0	1	0	0	0	0	0	.93	22
17322M-AS-5	Citigroup Commercial Mtge Tr CGMNT 2014-GC21 A1 CMBS 1.242% 05/10/47		05/07/2014	CitiGroup	09/01/2014	Paydown	30,020	30,019	30,020	30,020	0	1	0	1	0	0	0	0	0	.124	22

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21	
											12	13	14	15	16						
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consideration	Book/ Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Valu (12 + 13 - 14)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends	
17322M-AS-5	Citigroup Commercial Mtge Tr CGMNT 2014-GC21 A1 CMBS 1.242% 05/10/47		05/07/2014	CitiGroup	10/01/2014	Paydown	33,564	33,563	33,564	33,564	0	1	0	1	0	0	0	0	0	174	24
17322M-AS-5	Citigroup Commercial Mtge Tr CGMNT 2014-GC21 A1 CMBS 1.242% 05/10/47		05/07/2014	CitiGroup	11/01/2014	Paydown	30,286	30,285	30,286	30,286	0	1	0	1	0	0	0	0	0	188	22
17322M-AS-5	Citigroup Commercial Mtge Tr CGMNT 2014-GC21 A1 CMBS 1.242% 05/10/47		05/07/2014	CitiGroup	12/01/2014	Paydown	33,823	33,822	33,823	33,823	0	1	0	1	0	0	0	0	0	245	25
34530J-AC-0	FORD CREDIT AUTO FORDL 2014-A A2B ABS 0.341% 10/17/16		03/18/2014	Barclays Group Inc.	11/17/2014	CitiGroup	1,164,286	1,164,286	1,163,740	1,164,286	0	0	0	0	0	0	0	(546)	(546)	2,562	0
34530J-AC-0	FORD CREDIT AUTO FORDL 2014-A A2B ABS 0.341% 10/17/16		03/18/2014	Barclays Group Inc.	11/17/2014	Paydown	85,714	85,714	85,714	85,714	0	0	0	0	0	0	0	0	0	187	0
36163L-AB-6	GE EQUIPMENT SMA GEEST 2014-1A A2 ABS Prv Plc 0.590% 08/24/16		04/02/2014	RBC Capital Markets	11/14/2014	Pershing	2,460,000	2,459,902	2,459,520	2,459,944	0	42	0	42	0	0	0	(425)	(425)	8,991	0
361894-AC-2	GM FINANCIAL AUT GHALT 2014-1A A2 ABS Prv Plc 0.610% 07/20/16		04/03/2014	Deutsche Bank	10/20/2014	Paydown	73,771	73,763	73,771	73,771	0	8	0	8	0	0	0	0	0	238	0
361894-AC-2	GM FINANCIAL AUT GHALT 2014-1A A2 ABS Prv Plc 0.610% 07/20/16		04/03/2014	Deutsche Bank	11/20/2014	Paydown	145,632	145,616	145,632	145,632	0	16	0	16	0	0	0	0	0	543	0
361894-AC-2	GM FINANCIAL AUT GHALT 2014-1A A2 ABS Prv Plc 0.610% 07/20/16		04/03/2014	Deutsche Bank	12/20/2014	Paydown	128,382	128,368	128,382	128,382	0	14	0	14	0	0	0	0	0	544	0
41284A-AC-2	HARLEY-DAVIDSON HDMOT 2014-1 A2B ABS 0.331% 04/15/18		04/08/2014	JP Morgan Chase	09/15/2014	Paydown	165,745	165,745	165,745	165,745	0	0	0	0	0	0	0	0	0	226	0
41284A-AC-2	HARLEY-DAVIDSON HDMOT 2014-1 A2B ABS 0.331% 04/15/18		04/08/2014	JP Morgan Chase	10/15/2014	Paydown	224,507	224,507	224,507	224,507	0	0	0	0	0	0	0	0	0	366	0
41284A-AC-2	HARLEY-DAVIDSON HDMOT 2014-1 A2B ABS 0.331% 04/15/18		04/08/2014	JP Morgan Chase	11/14/2014	Merrill Lynch	2,705,099	2,705,099	2,703,831	2,705,099	0	0	0	0	0	0	(1,268)	(1,268)	4,460	0	
41284A-AC-2	HARLEY-DAVIDSON HDMOT 2014-1 A2B ABS 0.331% 04/15/18		04/08/2014	JP Morgan Chase	11/17/2014	Paydown	204,649	204,649	204,649	204,649	0	0	0	0	0	0	0	0	0	1,196	0
44890T-AB-3	HYUNDAI AUTO LEA HALST 2014-A A2 ABS Prv Plc 0.520% 07/15/16		03/12/2014	Barclays Group Inc.	10/15/2014	Paydown	34,166	34,164	34,166	34,166	0	1	0	1	0	0	0	0	0	102	0
44890T-AB-3	HYUNDAI AUTO LEA HALST 2014-A A2 ABS Prv Plc 0.520% 07/15/16		03/12/2014	Barclays Group Inc.	11/15/2014	Paydown	226,757	226,748	226,757	226,757	0	9	0	9	0	0	0	0	0	773	0
44890T-AB-3	HYUNDAI AUTO LEA HALST 2014-A A2 ABS Prv Plc 0.520% 07/15/16		03/12/2014	Barclays Group Inc.	12/15/2014	Paydown	207,846	207,838	207,846	207,846	0	8	0	8	0	0	0	0	0	799	0
46641W-AS-6	JPMBB COMMERCIAL JPMBB 2014-C19 A1 CMBS 1.266% 04/15/47		04/29/2014	JP Morgan Chase	06/01/2014	Paydown	22,420	22,420	22,420	22,420	0	0	0	0	0	0	0	0	0	24	16
46641W-AS-6	JPMBB COMMERCIAL JPMBB 2014-C19 A1 CMBS 1.266% 04/15/47		04/29/2014	JP Morgan Chase	07/01/2014	Paydown	24,663	24,663	24,663	24,663	0	0	0	0	0	0	0	0	0	52	17
46641W-AS-6	JPMBB COMMERCIAL JPMBB 2014-C19 A1 CMBS 1.266% 04/15/47		04/29/2014	JP Morgan Chase	08/01/2014	Paydown	22,624	22,624	22,624	22,624	0	0	0	0	0	0	0	0	0	72	16
46641W-AS-6	JPMBB COMMERCIAL JPMBB 2014-C19 A1 CMBS 1.266% 04/15/47		04/29/2014	JP Morgan Chase	09/01/2014	Paydown	22,723	22,723	22,723	22,723	0	0	0	0	0	0	0	0	0	96	16
46641W-AS-6	JPMBB COMMERCIAL JPMBB 2014-C19 A1 CMBS 1.266% 04/15/47		04/29/2014	JP Morgan Chase	10/01/2014	Paydown	24,958	24,958	24,958	24,958	0	0	0	0	0	0	0	0	0	132	18
46641W-AS-6	JPMBB COMMERCIAL JPMBB 2014-C19 A1 CMBS 1.266% 04/15/47		04/29/2014	JP Morgan Chase	11/01/2014	Paydown	22,930	22,930	22,930	22,930	0	0	0	0	0	0	0	0	0	145	16
46641W-AS-6	JPMBB COMMERCIAL JPMBB 2014-C19 A1 CMBS 1.266% 04/15/47		04/29/2014	JP Morgan Chase	12/01/2014	Paydown	25,159	25,159	25,159	25,159	0	0	0	0	0	0	0	0	0	186	18
47787V-AB-7	JOHN DEERE OWNER JDOT 2014-A A2 ABS 0.450% 09/15/16		04/02/2014	Merrill Lynch	11/17/2014	Robert Baird & Co	3,820,000	3,819,851	3,818,657	3,819,914	0	63	0	63	0	0	(1,257)	(1,257)	10,553	0	
58768E-AC-3	MERCEDES-BENZ AU MBALT 2014-A A2A ABS 0.480% 06/15/16		04/01/2014	CitiGroup	11/15/2014	Pershing	3,150,000	3,149,917	3,149,262	3,149,955	0	38	0	38	0	0	(693)	(693)	9,240	0	
61763K-AW-4	ORGAN STANLEY BAML TRUST MSBAM 2014-C15 A1 CMBS 1.313% 04/15/47		03/26/2014	Morgan Stanley	05/01/2014	Paydown	30,807	30,806	30,807	30,807	0	1	0	1	0	0	0	0	0	34	16
61763K-AW-4	ORGAN STANLEY BAML TRUST MSBAM 2014-C15 A1 CMBS 1.313% 04/15/47		03/26/2014	Morgan Stanley	06/01/2014	Paydown	27,609	27,608	27,609	27,609	0	1	0	1	0	0	0	0	0	60	14
61763K-AW-4	ORGAN STANLEY BAML TRUST MSBAM 2014-C15 A1 CMBS 1.313% 04/15/47		03/26/2014	Morgan Stanley	07/01/2014	Paydown	31,059	31,058	31,059	31,059	0	1	0	1	0	0	0	0	0	102	16
61763K-AW-4	ORGAN STANLEY BAML TRUST MSBAM 2014-C15 A1 CMBS 1.313% 04/15/47		03/26/2014	Morgan Stanley	08/01/2014	Paydown	27,870	27,869	27,870	27,870	0	1	0	1	0	0	0	0	0	122	14

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1 CUSIP Identi- fication	2 Description	3 For- eign	4 Date Acquired	5 Name of Vendor	6 Disposal Date	7 Name of Purchaser	8 Par Value (Bonds) or Number of Shares (Stock)	9 Actual Cost	10 Consid- eration	11 Book/ Adjusted Carrying Value at Disposal	Change in Book/Adjusted Carrying Value					17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Interest and Dividends Received During Year	21 Paid for Accrued Interest and Dividends	
											12 Unrealized Valuation Increase/ (Decrease)	13 Current Year's (Amort- ization)/ Accretion	14 Current Year's Other- Than- Temporary Impairment Recognized	15 Total Change in Book/ Adjusted Carrying Valu (12 + 13 - 14)	16 Total Foreign Exchange Change in Book/ Adjusted Carrying Value						
61763K-AW-4	ORGAN STANLEY BAML TRUST MSBAM 2014-C15 A1 CMBS 1.313% 04/15/47		03/26/2014	Morgan Stanley	09/01/2014	Paydown	27,994	27,994	27,994	27,994	0	1	0	1	0	0	0	0	153	14	
61763K-AW-4	ORGAN STANLEY BAML TRUST MSBAM 2014-C15 A1 CMBS 1.313% 04/15/47		03/26/2014	Morgan Stanley	10/01/2014	Paydown	31,434	31,433	31,434	31,434	0	1	0	1	0	0	0	0	206	16	
61763K-AW-4	ORGAN STANLEY BAML TRUST MSBAM 2014-C15 A1 CMBS 1.313% 04/15/47		03/26/2014	Morgan Stanley	11/01/2014	Paydown	28,259	28,259	28,259	28,259	0	1	0	1	0	0	0	0	216	14	
61763K-AW-4	ORGAN STANLEY BAML TRUST MSBAM 2014-C15 A1 CMBS 1.313% 04/15/47		03/26/2014	Morgan Stanley	12/01/2014	Paydown	31,691	31,691	31,691	31,691	0	1	0	1	0	0	0	0	277	16	
63938E-AA-2	NAVIENT STUDENT NAVSL 2014-1 A1 ABS 0.420% 03/25/21		05/20/2014	RBC Capital Markets	07/25/2014	Paydown	120,304	120,304	120,304	120,304	0	0	0	0	0	0	0	0	83	0	
63938E-AA-2	NAVIENT STUDENT NAVSL 2014-1 A1 ABS 0.420% 03/25/21		05/20/2014	RBC Capital Markets	08/25/2014	Paydown	74,890	74,890	74,890	74,890	0	0	0	0	0	0	0	0	78	0	
63938E-AA-2	NAVIENT STUDENT NAVSL 2014-1 A1 ABS 0.420% 03/25/21		05/20/2014	RBC Capital Markets	09/25/2014	Paydown	100,161	100,161	100,161	100,161	0	0	0	0	0	0	0	0	139	0	
63938E-AA-2	NAVIENT STUDENT NAVSL 2014-1 A1 ABS 0.420% 03/25/21		05/20/2014	RBC Capital Markets	10/27/2014	Paydown	88,511	88,511	88,511	88,511	0	0	0	0	0	0	0	0	155	0	
63938E-AA-2	NAVIENT STUDENT NAVSL 2014-1 A1 ABS 0.420% 03/25/21		05/20/2014	RBC Capital Markets	11/14/2014	JP Morgan Chase	2,616,135	2,616,135	2,613,274	2,616,135	0	0	0	0	0	0	0	(2,861)	(2,861)	5,250	0
828807-CC-9	SIMON PROP OP LP Corp Note MW 30BP 4.200% 02/01/15		01/27/2014	Cantor Fitzgerald	11/01/2014	Call	100,000	750,000	770,825	750,000	0	(20,825)	0	(20,825)	0	0	0	0	39,375	15,663	
92343V-CG-7	VERIZON COMM INC Corp Note MW Prv Plc 2.625% 02/21/20		08/21/2014	Taxable Exchange	08/21/2014	Northern Trust	399	399	399	399	0	0	0	0	0	0	0	0	0	0	
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)							34,806,762	34,849,921	34,670,568	34,826,393	0	(23,527)	0	(23,527)	0	0	(155,826)	(155,826)	188,069	35,713	
8399998. Total - Bonds							57,931,653	61,273,615	60,861,485	61,059,736	0	(213,878)	0	(213,878)	0	0	(198,252)	(198,252)	674,229	159,299	
8999998. Total - Preferred Stocks								0	0	0	0	0	0	0	0	0	0	0	0	0	0
9799998. Total - Common Stocks								0	0	0	0	0	0	0	0	0	0	0	0	0	0
9899999. Total - Preferred and Common Stocks								0	0	0	0	0	0	0	0	0	0	0	0	0	0
9999999 - Totals								61,273,615	60,861,485	61,059,736	0	(213,878)	0	(213,878)	0	0	(198,252)	(198,252)	674,229	159,299	

Schedule D-Part 6-Section 1-Valuation of Shares of Subsidiary, Controlled or Affiliated Companies

N O N E

Schedule D - Part 6 - Section 2

N O N E

ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE DA - PART 1

Showing All SHORT-TERM INVESTMENTS Owned December 31 of Current Year

1	2	Codes		5	6	7	8	Change in Book/Adjusted Carrying Value				13	14	Interest						21	
		3	4					9	10	11	12			15	16	17	18	19	20		
CUSIP Identification	Description	Code	Foreign	Date Acquired	Name of Vendor	Maturity Date	Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Par Value	Actual Cost	Amount Due and Accrued Dec. 31 of Current Year on Bonds not in Default	Non-Admitted Due and Accrued	Rate of	Effective Rate of	When Paid	Amount Received During Year	Paid for Accrued Interest	
	US Treasury Note			01/31/2014	Wachovia Bank	01/31/2015	2,000,193	0	(2,151)	0	0	2,000,000	2,002,344	2,092	0	0.250	0.132	JJ	2,500	41	
0199999	Subtotal - Bonds - U.S. Governments - Issuer Obligations							2,000,193	0	(2,151)	0	0	2,000,000	2,002,344	2,092	0	XXX	XXX	XXX	2,500	41
0599999	Total - U.S. Government Bonds							2,000,193	0	(2,151)	0	0	2,000,000	2,002,344	2,092	0	XXX	XXX	XXX	2,500	41
1099999	Total - All Other Government Bonds							0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
1799999	Total - U.S. States, Territories and Possessions Bonds							0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
2499999	Total - U.S. Political Subdivisions Bonds							0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
3199999	Total - U.S. Special Revenues Bonds							0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
	AT&T INC Corp Note Non Call			10/03/2014	First Tennessee	08/15/2015	506,172	0	(2,283)	0	0	500,000	508,455	4,722	0	2.500	0.510	FA	0	1,840	
	ABBVIE INC Corp Note MW			11/25/2014	Goldman Sachs	11/06/2015	451,570	0	(159)	0	0	450,000	451,728	825	0	1.200	0.785	MN	0	375	
	ALABAMA POWER CO Corp Note MW OBP			11/03/2014	Cantor Fitzgerald	10/15/2015	600,590	0	(116)	0	0	600,000	600,706	697	0	0.550	0.425	AO	0	193	
	AMERICAN EXPRESS Corp Note Non Call			10/28/2014	Goldman Sachs	09/15/2015	254,098	0	(997)	0	0	250,000	255,095	2,024	0	2.750	0.407	MS	0	878	
	AMER HONDA FIN Corp Note Non Call Prv Plc			11/13/2014	Barclays Group Inc.	09/21/2015	1,015,400	0	(2,613)	0	0	1,000,000	1,018,013	6,944	0	2.500	0.354	MS	0	3,958	
	AMER INTL GROUP Corp Note MW 12.5BP			10/29/2014	Cantor Fitzgerald	10/01/2015	929,736	0	(6,455)	0	0	900,000	936,191	11,363	0	5.050	0.617	AO	0	4,040	
	BANK OF AMER CRP Corp Note Non Call			10/28/2014	Guggenheim Capital Markets	09/01/2015	560,654	0	(2,738)	0	0	550,000	563,393	6,783	0	3.700	0.762	MS	0	3,392	
	BANK OF NY MELLO Corp Note Call			04/24/2014	Goldman Sachs	01/20/2015	750,359	0	(4,673)	0	0	750,000	755,033	3,275	0	1.200	0.273	FA	4,500	1,725	
	CAPITAL ONE FINL Corp Note Non Call			04/29/2014	Jefferys and Company	03/23/2015	702,521	0	(7,673)	0	0	700,000	710,194	4,097	0	2.150	0.536	MS	7,525	1,415	
	COMERICA INC Corp Note Non Call			11/18/2014	Market Axess	09/16/2015	355,810	0	(959)	0	0	350,000	356,769	3,063	0	3.000	0.637	MS	0	1,867	
	Duke Power Corp Note MW 20BP			11/18/2014	Wells Fargo Bank	10/01/2015	828,966	0	(4,370)	0	0	800,000	833,336	10,600	0	5.300	0.446	AO	0	5,889	
	EOG RESOURCES IN Corp Note MW 15BP			11/06/2014	Market Axess	06/01/2015	303,150	0	(1,089)	0	0	300,000	304,239	738	0	2.950	0.413	JD	4,425	3,909	
	EPB OPERATING LP Corp Note Non Call			05/14/2014	KGS ALPHA CAPITAL MKTS	04/13/2015	305,059	0	(11,168)	0	0	300,000	316,227	4,280	0	6.584	0.550	AO	9,876	1,975	
	EBAY INC Corp Note MW			10/30/2014	Keybank Capital Markets	10/15/2015	957,138	0	(1,699)	0	0	950,000	958,837	3,259	0	1.625	0.665	AO	0	404	
	GOLDMAN SACHS GP Corp Note Non Call			01/27/2014	Nomura Securities	01/12/2015	750,036	0	(1,082)	0	0	750,000	751,118	1,201	0	0.730	0.586	JAJO	4,190	263	
	HSBC USA INC Corp Note Non Call			03/14/2014	Cantor Fitzgerald	02/13/2015	601,383	0	(9,360)	0	0	600,000	610,743	5,463	0	2.375	0.398	FA	7,125	1,306	
	Keycorp Corp Note Non Call			10/16/2014	Keybank Capital Markets	08/13/2015	560,788	0	(3,402)	0	0	550,000	564,190	7,906	0	3.750	0.557	FA	0	3,896	
	KROGER CO/THE Corp Note Cont Call			01/31/2014	Wachovia Bank	01/15/2015	350,580	0	(13,787)	0	0	350,000	364,367	7,989	0	4.950	0.585	JJ	8,663	963	
	Louisville G&E Corp Note MW 10BP			11/25/2014	Barclays Group Inc.	11/15/2015	1,109,193	0	(1,048)	0	0	1,100,000	1,110,241	2,284	0	1.625	0.660	MN	0	546	
	LOWES COMPANIES Corp Note MW			10/28/2014	Morgan Stanley	10/15/2015	1,299,039	0	(9,525)	0	0	1,255,000	1,308,563	13,247	0	5.000	0.516	AO	0	2,789	
	METLIFE INC Corp Note Call			06/18/2014	Cantor Fitzgerald	06/15/2015	714,484	0	(17,081)	0	0	700,000	731,565	1,556	0	5.000	0.426	JD	17,500	389	
	NET LIFE GLOB Corp Note Non Call Prv Plc			10/30/2014	Keybank Capital Markets	09/29/2015	507,901	0	(1,714)	0	0	500,000	509,615	3,194	0	2.500	0.364	MS	0	1,215	
	NET LIFE GLOB Corp Note Non Call Prv Plc			05/30/2014	Cantor Fitzgerald	01/09/2015	250,092	0	(2,433)	0	0	250,000	252,525	2,389	0	2.000	0.306	JJ	2,500	2,014	
	NEW YORK LIFE GL Corp Note Non Call Prv Plc			06/23/2014	Credit Suisse	05/04/2015	756,861	0	(10,403)	0	0	750,000	767,264	3,563	0	3.000	0.304	MN	11,250	3,250	
	PNC Funding Corp Note Non Call			10/29/2014	Keybank Capital Markets	02/08/2015	301,004	0	(1,558)	0	0	300,000	302,562	4,320	0	3.625	0.382	FA	0	2,568	
	Private Expt Fdg Corp Disc Comm Paper			07/23/2014	Williams Capital Group	01/09/2015	999,958	0	850	0	0	1,000,000	999,108	850	0	0.190	0.193	MIAT	0	0	
	PRUDENTIAL FIN Corp Note Non Call			01/28/2014	Jefferys and Company	01/15/2015	300,642	0	(15,468)	0	0	300,000	316,110	8,577	0	6.200	0.557	JJ	9,300	827	
	Travelers Corp Note MW 20BP			12/18/2014	Market Axess	12/01/2015	365,418	0	(416)	0	0	350,000	365,834	1,604	0	5.500	0.658	JD	0	1,176	
	TEXAS INSTRUMENT Corp Note Call MW 5BP			10/24/2014	Mizuho Investor Sec Co	08/03/2015	1,000,681	0	(199)	0	0	1,000,000	1,000,880	1,850	0	0.450	0.334	FA	0	1,075	
	UHC Liquidity Pool Pro Rata Share Portion			12/31/2014	No Broker	12/31/2015	15,470,710	0	0	0	0	0	15,470,713	0	0	0.000	0.000		3,060	0	
3299999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations							33,859,993	0	(133,618)	0	0	18,155,000	33,993,614	127,813	0	XXX	XXX	XXX	89,914	54,137
3899999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds							33,859,993	0	(133,618)	0	0	18,155,000	33,993,614	127,813	0	XXX	XXX	XXX	89,914	54,137
4899999	Total - Hybrid Securities							0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
5599999	Total - Parent, Subsidiaries and Affiliates Bonds							0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
7799999	Total - Issuer Obligations							35,860,186	0	(135,769)	0	0	20,155,000	35,995,958	129,905	0	XXX	XXX	XXX	92,414	54,178
7899999	Total - Residential Mortgage-Backed Securities							0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
7999999	Total - Commercial Mortgage-Backed Securities							0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
8099999	Total - Other Loan-Backed and Structured Securities							0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
8399999	Total Bonds							35,860,186	0	(135,769)	0	0	20,155,000	35,995,958	129,905	0	XXX	XXX	XXX	92,414	54,178
8699999	Total - Parent, Subsidiaries and Affiliates							0	0	0	0	0	XXX	0	0	0	XXX	XXX	XXX	0	0
609068-DF-5	Federated Treas Oblg Fund 60934N500	SD		12/02/2014	No Broker		6,975	0	0	0	0	0	6,975	0	0	0.000	0.000		0	0	
61747C-70-7	Morgan Stanley Institutional Liquidity Fund							0	0	0	0	0	0	0	0	0.000	0.000		0	0	
61747C-70-7	Government Port			12/08/2014	No Broker		44,718	0	0	0	0	0	44,718	0	0	0.000	0.000		418	0	
665278-70-1	Northern Inst Govt Select Port			12/31/2014	No Broker		14,795,515	0	0	0	0	0	14,795,515	0	0	0.000	0.000		267	0	
8899999	Subtotal - Exempt Money Market Mutual Funds							14,847,208	0	0	0	0	XXX	14,847,208	0	0	XXX	XXX	XXX	685	0
097100-51-5	Bank of America Money Market Reserves			12/08/2014	No Broker		5,073,447	0	0	0	0	0	5,073,447	0	0	0.000	0.000		1,337	0	

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ANNUAL STATEMENT FOR THE YEAR 2014 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE DA - PART 1

Showing All SHORT-TERM INVESTMENTS Owned December 31 of Current Year

1	2	Codes		5	6	7	8	Change in Book/Adjusted Carrying Value				13	14	Interest					21	
		3	4					9	10	11	12			15	16	17	18	19		20
CUSIP Identi- fication	Description	Code	For- eign	Date Acquired	Name of Vendor	Maturity Date	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Par Value	Actual Cost	Amount Due and Accrued Dec. 31 of Current Year on Bonds not in Default	Non- Admitted Due and Accrued	Rate of	Effective Rate of	When Paid	Amount Received During Year	Paid for Accrued Interest
23337T-20-1	DWS MMKT Inst Shares			12/08/2014	No Broker	XXX	19,139,450	0	0	0	0	0	19,139,450	0	0	0.000	0.000		997	0
26200T-10-9	Dreyfus Inst Preferred Money Market			12/29/2014	No Broker	XXX	10,803,758	0	0	0	0	0	10,803,758	0	0	0.000	0.000		1,762	0
26200V-10-4	Dreyfus Institutional Cash Advantage			12/02/2014	No Broker	XXX	5,394,547	0	0	0	0	0	5,394,547	0	0	0.000	0.000		1,563	0
31846V-62-5	First American Prime Obligations MMF Class Z			12/08/2014	No Broker	XXX	10,122	0	0	0	0	0	10,122	0	0	0.000	0.000		190	0
38141W-23-2	Goldman Sachs Money Market Fund			12/08/2014	No Broker	XXX	36,345,738	0	0	0	0	0	36,345,738	0	0	0.000	0.000		4,028	0
38141W-36-4	Goldman Sachs Prime Oblg Fund - Inst Morgan Stanley Institutional Liquidity Funds			12/08/2014	No Broker	XXX	98,894	0	0	0	0	0	98,894	0	0	0.000	0.000		452	0
61747C-71-5	Prime Port			12/31/2014	No Broker	XXX	2,439,207	0	0	0	0	0	2,439,207	0	0	0.000	0.000		313	0
90262Y-50-5	UBS Global Asset Mgmt Select Prime Inst Fund			12/09/2013	No Broker	XXX	0	0	0	0	0	0	0	0	0	0.000	0.000		1	0
90262Y-86-9	UBS Global Asset Mgmt Select Prime Pref			12/23/2014	No Broker	XXX	28,972,428	0	0	0	0	0	28,972,428	0	0	0.000	0.000		3,287	0
949917-39-7	Wells Fargo Advantage Heritage Money Fund			12/10/2014	No Broker	XXX	36,129	0	0	0	0	0	36,129	0	0	0.000	0.000		8	0
8999999	Subtotal - Class One Money Market Mutual Funds						108,313,720	0	0	0	0	XXX	108,313,720	0	0	XXX	XXX	XXX	13,938	0
9199999	Totals						159,021,114	0	(135,769)	0	0	XXX	159,156,886	129,905	0	XXX	XXX	XXX	107,037	54,178

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

N O N E

Schedule DB - Part A - Section 2 - Options, Caps, Floors, Collars, Swaps and Forwards Terminated

N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open

N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

N O N E

Schedule DB - Part B - Section 2 - Futures Contracts Terminated

N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

N O N E

SCHEDULE E - PART 3 - SPECIAL DEPOSITS

States, Etc.	1 Type of Deposit	2 Purpose of Deposit	Deposits For the Benefit of All Policyholders		All Other Special Deposits	
			3 Book/Adjusted Carrying Value	4 Fair Value	5 Book/Adjusted Carrying Value	6 Fair Value
1. Alabama	AL		0	0	0	0
2. Alaska	AK		0	0	0	0
3. Arizona	AZ		0	0	0	0
4. Arkansas	AR		0	0	0	0
5. California	CA		0	0	0	0
6. Colorado	CO		0	0	0	0
7. Connecticut	CT		0	0	0	0
8. Delaware	DE		0	0	0	0
9. District of Columbia	DC		0	0	0	0
10. Florida	FL		0	0	0	0
11. Georgia	GA		0	0	0	0
12. Hawaii	HI		0	0	0	0
13. Idaho	ID		0	0	0	0
14. Illinois	IL	B II ins code 215 ilcs 5-26	6,427,892	6,394,447	0	0
15. Indiana	IN		0	0	0	0
16. Iowa	IA		0	0	0	0
17. Kansas	KS		0	0	0	0
18. Kentucky	KY		0	0	0	0
19. Louisiana	LA		0	0	0	0
20. Maine	ME		0	0	0	0
21. Maryland	MD		0	0	0	0
22. Massachusetts	MA		0	0	0	0
23. Michigan	MI		0	0	0	0
24. Minnesota	MN		0	0	0	0
25. Mississippi	MS		0	0	0	0
26. Missouri	MO		0	0	0	0
27. Montana	MT		0	0	0	0
28. Nebraska	NE		0	0	0	0
29. Nevada	NV		0	0	0	0
30. New Hampshire	NH		0	0	0	0
31. New Jersey	NJ		0	0	0	0
32. New Mexico	NM		0	0	0	0
33. New York	NY		0	0	0	0
34. North Carolina	NC		0	0	0	0
35. North Dakota	ND		0	0	0	0
36. Ohio	OH		0	0	0	0
37. Oklahoma	OK		0	0	0	0
38. Oregon	OR		0	0	0	0
39. Pennsylvania	PA		0	0	0	0
40. Rhode Island	RI		0	0	0	0
41. South Carolina	SC		0	0	0	0
42. South Dakota	SD		0	0	0	0
43. Tennessee	TN	B TennCare medicaid program contract 2 21 5 3	0	0	47,542,938	47,283,498
44. Texas	TX		0	0	0	0
45. Utah	UT		0	0	0	0
46. Vermont	VT		0	0	0	0
47. Virginia	VA	0 Multiple	0	0	341,798	343,592
48. Washington	WA		0	0	0	0
49. West Virginia	WV		0	0	0	0
50. Wisconsin	WI		0	0	0	0
51. Wyoming	WY		0	0	0	0
52. American Samoa	AS		0	0	0	0
53. Guam	GU		0	0	0	0
54. Puerto Rico	PR		0	0	0	0
55. U.S. Virgin Islands	VI		0	0	0	0
56. Northern Mariana Islands	MP		0	0	0	0
57. Canada	CAN		0	0	0	0
58. Aggregate Alien and Other	OT	XXX XXX	0	0	0	0
59. Subtotal	XXX	XXX	6,427,892	6,394,447	47,884,736	47,627,090
DETAILS OF WRITE-INS						
5801.						
5802.						
5803.						
5898. Summary of remaining write-ins for Line 58 from overflow page	XXX	XXX	0	0	0	0
5899. Totals (Lines 5801 thru 5803 plus 5898)(Line 58 above)	XXX	XXX	0	0	0	0