

ANNUAL STATEMENT

OF THE

UnitedHealthcare Plan of the River Valley, Inc.

TO THE

Insurance Department

OF THE

STATE OF

Tennessee

FOR THE YEAR ENDED
DECEMBER 31, 2018

HEALTH

2018



HEALTH ANNUAL STATEMENT

FOR THE YEAR ENDED DECEMBER 31, 2018
OF THE CONDITION AND AFFAIRS OF THE

UnitedHealthcare Plan of the River Valley, Inc.

NAIC Group Code 0707 0707 NAIC Company Code 95378 Employer's ID Number 36-3379945
(Current) (Prior)

Organized under the Laws of Illinois, State of Domicile or Port of Entry IL

Country of Domicile United States of America

Licensed as business type: Health Maintenance Organization

Is HMO Federally Qualified? Yes [] No [X]

Incorporated/Organized 08/05/1985 Commenced Business 12/19/1985

Statutory Home Office 1300 River Drive, Suite 200, Moline, IL, US 61265
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 1300 River Drive, Suite 200
(Street and Number)
Moline, IL, US 61265 309-736-4600
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address 9800 Health Care Lane, MN006-W500, Minnetonka, MN, US 55343
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 1300 River Drive, Suite 200
(Street and Number)
Moline, IL, US 61265 309-736-4600
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address UHCRiverValley.com

Statutory Statement Contact Micah Forrest Wenck, 952-979-6175
(Name) (Area Code) (Telephone Number)
micah_1_wenck@uhc.com 952-931-4651
(E-mail Address) (FAX Number)

OFFICERS

Secretary Mark Andrew Murr # Treasurer Peter Marshall Gill #
Chief Financial Officer James Wesley Kelly

OTHER

Robert Andersen Broomfield, President, Commercial Steven Marc Burstein #, Assistant Secretary Nyle Brent Cottingham, Vice President
Joy Ollani Chieko Higa #, Assistant Secretary Heather Anastasia Lang, Assistant Secretary Keith Christopher Payet #, President, Medicaid Division
Kimberly Grace Perry D.O., Chief Medical Officer Jessica Leigh Zuba #, Assistant Secretary

DIRECTORS OR TRUSTEES

William Kenneth Appelgate Ph.D. Robert Andersen Broomfield James Edward Hecker
James Wesley Kelly Keith Christopher Payet # Cathie Sue Whiteside
Scott Edward Williams Yasmine Herminia Winkler

State of Kansas State of TENNESSEE State of _____
County of Johnson County of KNOX County of _____

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Robert Andersen Broomfield Mark Andrew Murr James Wesley Kelly
President, Commercial Secretary Chief Financial Officer

Subscribed and sworn to before me this 28th day of January, 2019

Subscribed and sworn to before me this 24 day of January 2019
Douglas Wayne Buchanan

Subscribed and sworn to before me this _____ day of _____

JEANNIE FIGGE
Notary Public - State of Kansas
My Appointment Expires 1/3/2021



- a. Is this an original filing?..... Yes [X] No []
- b. If no,
 - 1. State the amendment number.....
 - 2. Date filed.....
 - 3. Number of pages attached.....

My Commission Expires
December 28, 2021

ASSETS

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
1. Bonds (Schedule D)	580,414,743	0	580,414,743	577,108,274
2. Stocks (Schedule D):				
2.1 Preferred stocks	0	0	0	0
2.2 Common stocks	0	0	0	0
3. Mortgage loans on real estate (Schedule B):				
3.1 First liens	0	0	0	0
3.2 Other than first liens	0	0	0	0
4. Real estate (Schedule A):				
4.1 Properties occupied by the company (less \$0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less \$0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$0 encumbrances)	0	0	0	0
5. Cash (\$457,837 , Schedule E - Part 1), cash equivalents (\$359,812,156 , Schedule E - Part 2) and short-term investments (\$29,036,669 , Schedule DA)	389,306,662	0	389,306,662	193,812,954
6. Contract loans, (including \$0 premium notes)	0	0	0	0
7. Derivatives (Schedule DB)	0	0	0	0
8. Other invested assets (Schedule BA)	11,787,076	0	11,787,076	13,660,076
9. Receivables for securities	0	0	0	0
10. Securities lending reinvested collateral assets (Schedule DL)	0	0	0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	981,508,481	0	981,508,481	784,581,304
13. Title plants less \$0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	5,027,139	0	5,027,139	5,278,267
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	66,530,355	22,845	66,507,510	207,519,568
15.2 Deferred premiums and agents' balances and installments booked but deferred and not yet due (including \$0 earned but unbilled premiums)	0	0	0	0
15.3 Accrued retrospective premiums (\$1,969,233) and contracts subject to redetermination (\$127,805,675)	129,408,832	0	129,408,832	159,533,233
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	0	0	0	0
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0	0
17. Amounts receivable relating to uninsured plans	22,397,675	24,448	22,373,227	16,315,397
18.1 Current federal and foreign income tax recoverable and interest thereon	442,651	0	442,651	0
18.2 Net deferred tax asset	13,166,188	0	13,166,188	23,037,327
19. Guaranty funds receivable or on deposit	440,566	0	440,566	656,429
20. Electronic data processing equipment and software	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$0)	0	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	0	0	0	0
24. Health care (\$50,851,334) and other amounts receivable	74,710,079	23,858,745	50,851,334	43,637,754
25. Aggregate write-ins for other than invested assets	5,023,308	12,246	5,011,062	3,000,000
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	1,298,655,274	23,918,284	1,274,736,990	1,243,559,279
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28. Total (Lines 26 and 27)	1,298,655,274	23,918,284	1,274,736,990	1,243,559,279
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Administrative Transitional Payment Receivable	5,000,000	0	5,000,000	3,000,000
2502. Prepaid Commissions	9,532	9,532	0	0
2503. Service Fee Billing	167	167	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	13,609	2,547	11,062	0
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	5,023,308	12,246	5,011,062	3,000,000

LIABILITIES, CAPITAL AND SURPLUS

	Current Year			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$0 reinsurance ceded).....	576,251,867	0	576,251,867	486,456,294
2. Accrued medical incentive pool and bonus amounts.....	11,458,850	0	11,458,850	5,473,270
3. Unpaid claims adjustment expenses.....	6,288,715	0	6,288,715	5,751,315
4. Aggregate health policy reserves, including the liability of \$46,132,799 for medical loss ratio rebate per the Public Health Service Act.....	81,193,096	0	81,193,096	144,022,008
5. Aggregate life policy reserves.....	0	0	0	0
6. Property/casualty unearned premium reserves.....	0	0	0	0
7. Aggregate health claim reserves.....	3,576,284	0	3,576,284	2,224,481
8. Premiums received in advance.....	2,235,901	0	2,235,901	3,522,621
9. General expenses due or accrued.....	45,576,833	0	45,576,833	43,822,657
10.1 Current federal and foreign income tax payable and interest thereon (including \$0 on realized capital gains (losses)).....	0	0	0	27,006,397
10.2 Net deferred tax liability.....	0	0	0	0
11. Ceded reinsurance premiums payable.....	553,534	0	553,534	480,844
12. Amounts withheld or retained for the account of others.....	25,971	0	25,971	28,110
13. Remittances and items not allocated.....	1,080	0	1,080	5,140
14. Borrowed money (including \$0 current) and interest thereon \$0 (including \$0 current).....	0	0	0	0
15. Amounts due to parent, subsidiaries and affiliates.....	83,409,524	0	83,409,524	62,119,571
16. Derivatives.....	0	0	0	0
17. Payable for securities.....	0	0	0	981,451
18. Payable for securities lending.....	0	0	0	0
19. Funds held under reinsurance treaties (with \$0 authorized reinsurers, \$0 unauthorized reinsurers and \$0 certified reinsurers).....	0	0	0	0
20. Reinsurance in unauthorized and certified (\$0) companies.....	0	0	0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates.....	0	0	0	0
22. Liability for amounts held under uninsured plans.....	969,490	0	969,490	15,334,631
23. Aggregate write-ins for other liabilities (including \$197,708 current).....	197,708	0	197,708	42,297
24. Total liabilities (Lines 1 to 23).....	811,738,853	0	811,738,853	797,271,087
25. Aggregate write-ins for special surplus funds.....	XXX	XXX	0	67,650,889
26. Common capital stock.....	XXX	XXX	610,000	610,000
27. Preferred capital stock.....	XXX	XXX	0	0
28. Gross paid in and contributed surplus.....	XXX	XXX	104,977,137	44,977,137
29. Surplus notes.....	XXX	XXX	0	0
30. Aggregate write-ins for other than special surplus funds.....	XXX	XXX	1,500,000	1,500,000
31. Unassigned funds (surplus).....	XXX	XXX	355,911,000	331,550,166
32. Less treasury stock, at cost:				
32.10 shares common (value included in Line 26 \$0).....	XXX	XXX	0	0
32.20 shares preferred (value included in Line 27 \$0).....	XXX	XXX	0	0
33. Total capital and surplus (Lines 25 to 31 minus Line 32).....	XXX	XXX	462,998,137	446,288,192
34. Total liabilities, capital and surplus (Lines 24 and 33).....	XXX	XXX	1,274,736,990	1,243,559,279
DETAILS OF WRITE-INS				
2301. Accrued Fines and Penalties.....	197,708	0	197,708	35,000
2302. Unclaimed Property.....	0	0	0	7,297
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page.....	0	0	0	0
2399. Totals (Lines 2301 thru 2303 plus 2398)(Line 23 above).....	197,708	0	197,708	42,297
2501. Section 9010 ACA Subsequent Fee Year Assessment.....	XXX	XXX	0	67,650,889
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page.....	XXX	XXX	0	0
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above).....	XXX	XXX	0	67,650,889
3001. Statutory Fund.....	XXX	XXX	1,500,000	1,500,000
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page.....	XXX	XXX	0	0
3099. Totals (Lines 3001 thru 3003 plus 3098)(Line 30 above).....	XXX	XXX	1,500,000	1,500,000

STATEMENT OF REVENUE AND EXPENSES

	Current Year		Prior Year
	1 Uncovered	2 Total	3 Total
1. Member Months.....	XXX	11,319,913	8,894,126
2. Net premium income (including \$0 non-health premium income).....	XXX	6,107,079,869	4,088,704,041
3. Change in unearned premium reserves and reserve for rate credits.....	XXX	14,381,702	(81,022,420)
4. Fee-for-service (net of \$0 medical expenses).....	XXX	0	0
5. Risk revenue.....	XXX	0	0
6. Aggregate write-ins for other health care related revenues.....	XXX	0	0
7. Aggregate write-ins for other non-health revenues.....	XXX	0	0
8. Total revenues (Lines 2 to 7).....	XXX	6,121,461,571	4,007,681,621
Hospital and Medical:			
9. Hospital/medical benefits.....	0	4,964,525,535	3,128,638,740
10. Other professional services.....	0	47,743,383	25,625,685
11. Outside referrals.....	0	0	0
12. Emergency room and out-of-area.....	0	0	0
13. Prescription drugs.....	0	417,624,272	222,966,648
14. Aggregate write-ins for other hospital and medical.....	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts.....	0	20,855,131	3,265,450
16. Subtotal (Lines 9 to 15).....	0	5,450,748,321	3,380,496,523
Less:			
17. Net reinsurance recoveries.....	0	0	0
18. Total hospital and medical (Lines 16 minus 17).....	0	5,450,748,321	3,380,496,523
19. Non-health claims (net).....	0	0	0
20. Claims adjustment expenses, including \$187,844,431 cost containment expenses.....	0	294,120,097	206,405,994
21. General administrative expenses.....	0	470,068,416	333,013,301
22. Increase in reserves for life and accident and health contracts (including \$0 increase in reserves for life only).....	0	(38,741,000)	4,960,000
23. Total underwriting deductions (Lines 18 through 22).....	0	6,176,195,834	3,924,875,818
24. Net underwriting gain or (loss) (Lines 8 minus 23).....	XXX	(54,734,263)	82,805,803
25. Net investment income earned (Exhibit of Net Investment Income, Line 17).....	0	17,504,020	10,433,942
26. Net realized capital gains (losses) less capital gains tax of \$8,107.....	0	(49,745)	606,777
27. Net investment gains (losses) (Lines 25 plus 26).....	0	17,454,275	11,040,719
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$34,680) (amount charged off \$(14,366))].....	0	20,314	40,469
29. Aggregate write-ins for other income or expenses.....	0	(355,194)	(60,469)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29).....	XXX	(37,614,868)	93,826,522
31. Federal and foreign income taxes incurred.....	XXX	(7,656,306)	27,714,201
32. Net income (loss) (Lines 30 minus 31).....	XXX	(29,958,562)	66,112,321
DETAILS OF WRITE-INS			
0601.	XXX		
0602.	XXX		
0603.	XXX		
0698. Summary of remaining write-ins for Line 6 from overflow page.....	XXX	0	0
0699. Totals (Lines 0601 thru 0603 plus 0698)(Line 6 above).....	XXX	0	0
0701.	XXX		
0702.	XXX		
0703.	XXX		
0798. Summary of remaining write-ins for Line 7 from overflow page.....	XXX	0	0
0799. Totals (Lines 0701 thru 0703 plus 0798)(Line 7 above).....	XXX	0	0
1401.			
1402.			
1403.			
1498. Summary of remaining write-ins for Line 14 from overflow page.....	0	0	0
1499. Totals (Lines 1401 thru 1403 plus 1498)(Line 14 above).....	0	0	0
2901. Fines & Penalties – Paid and Accrued.....	0	(355,315)	(60,469)
2902. Investment Proceeds–Settlement.....	0	121	0
2903.			
2998. Summary of remaining write-ins for Line 29 from overflow page.....	0	0	0
2999. Totals (Lines 2901 thru 2903 plus 2998)(Line 29 above).....	0	(355,194)	(60,469)

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year	2 Prior Year
CAPITAL AND SURPLUS ACCOUNT		
33. Capital and surplus prior reporting year.....	446,288,192	434,309,068
34. Net income or (loss) from Line 32.....	(29,958,562)	66,112,321
35. Change in valuation basis of aggregate policy and claim reserves.....	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$(330).....	(1,240)	(2,366)
37. Change in net unrealized foreign exchange capital gain or (loss).....	0	0
38. Change in net deferred income tax.....	(9,871,469)	(21,298,819)
39. Change in nonadmitted assets.....	(3,458,784)	17,167,988
40. Change in unauthorized and certified reinsurance.....	0	0
41. Change in treasury stock.....	0	0
42. Change in surplus notes.....	0	0
43. Cumulative effect of changes in accounting principles.....	0	0
44. Capital Changes:		
44.1 Paid in.....	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0
44.3 Transferred to surplus.....	0	0
45. Surplus adjustments:		
45.1 Paid in.....	60,000,000	0
45.2 Transferred to capital (Stock Dividend).....	0	0
45.3 Transferred from capital.....	0	0
46. Dividends to stockholders.....	0	(50,000,000)
47. Aggregate write-ins for gains or (losses) in surplus.....	0	0
48. Net change in capital and surplus (Lines 34 to 47).....	16,709,945	11,979,124
49. Capital and surplus end of reporting period (Line 33 plus 48)	462,998,137	446,288,192
DETAILS OF WRITE-INS		
4701.		
4702.		
4703.		
4798. Summary of remaining write-ins for Line 47 from overflow page.....	0	0
4799. Totals (Lines 4701 thru 4703 plus 4798)(Line 47 above)	0	0

CASH FLOW

	1	2
	Current Year	Prior Year
Cash from Operations		
1. Premiums collected net of reinsurance	6,267,302,095	3,897,799,307
2. Net investment income	25,069,491	21,276,150
3. Miscellaneous income	0	0
4. Total (Lines 1 through 3)	6,292,371,586	3,919,075,457
5. Benefit and loss related payments	5,364,393,191	3,239,043,850
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	784,313,831	528,993,039
8. Dividends paid to policyholders	0	0
9. Federal and foreign income taxes paid (recovered) net of \$0 tax on capital gains (losses)	19,800,849	20,454,293
10. Total (Lines 5 through 9)	6,168,507,871	3,788,491,182
11. Net cash from operations (Line 4 minus Line 10)	123,863,715	130,584,275
Cash from Investments		
12. Proceeds from investments sold, matured or repaid:		
12.1 Bonds	199,127,894	205,250,586
12.2 Stocks	0	0
12.3 Mortgage loans	0	0
12.4 Real estate	0	0
12.5 Other invested assets	0	2,426
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	(1,914)	(2,995)
12.7 Miscellaneous proceeds	0	5,873,349
12.8 Total investment proceeds (Lines 12.1 to 12.7)	199,125,980	211,123,366
13. Cost of investments acquired (long-term only):		
13.1 Bonds	207,905,225	166,980,704
13.2 Stocks	0	0
13.3 Mortgage loans	0	0
13.4 Real estate	0	0
13.5 Other invested assets	0	0
13.6 Miscellaneous applications	981,451	69,650
13.7 Total investments acquired (Lines 13.1 to 13.6)	208,886,676	167,050,354
14. Net increase (decrease) in contract loans and premium notes	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 minus Line 14)	(9,760,696)	44,073,012
Cash from Financing and Miscellaneous Sources		
16. Cash provided (applied):		
16.1 Surplus notes, capital notes	0	0
16.2 Capital and paid in surplus, less treasury stock	60,000,000	0
16.3 Borrowed funds	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0
16.5 Dividends to stockholders	0	50,000,000
16.6 Other cash provided (applied)	21,390,689	(25,191,420)
17. Net cash from financing and miscellaneous sources (Lines 16.1 to 16.4 minus Line 16.5 plus Line 16.6)	81,390,689	(75,191,420)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS		
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	195,493,708	99,465,867
19. Cash, cash equivalents and short-term investments:		
19.1 Beginning of year	193,812,954	94,347,087
19.2 End of year (Line 18 plus Line 19.1)	389,306,662	193,812,954

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

ANALYSIS OF OPERATIONS BY LINES OF BUSINESS

	1	2	3	4	5	6	7	8	9	10
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other Health	Other Non-Health
1. Net premium income	6,107,079,869	204,795,804	0	0	0	16,820,460	893,234,759	4,992,228,846	0	0
2. Change in unearned premium reserves and reserve for rate credit	14,381,702	304,576	0	0	0	0	14,076,644	482	0	0
3. Fee-for-service (net of \$ medical expenses)	0	0	0	0	0	0	0	0	0	XXX
4. Risk revenue	0	0	0	0	0	0	0	0	0	XXX
5. Aggregate write-ins for other health care related revenues	0	0	0	0	0	0	0	0	0	XXX
6. Aggregate write-ins for other non-health care related revenues	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
7. Total revenues (Lines 1 to 6)	6,121,461,571	205,100,380	0	0	0	16,820,460	907,311,403	4,992,229,328	0	0
8. Hospital/medical benefits	4,964,525,535	143,480,854	0	0	0	12,209,970	664,932,223	4,143,902,488	0	XXX
9. Other professional services	47,743,383	1,062,736	0	0	0	12,399	25,409,462	21,258,786	0	XXX
10. Outside referrals	0	0	0	0	0	0	0	0	0	XXX
11. Emergency room and out-of-area	0	0	0	0	0	0	0	0	0	XXX
12. Prescription drugs	417,624,272	27,836,563	0	0	0	3,422,780	31,826,339	354,538,590	0	XXX
13. Aggregate write-ins for other hospital and medical	0	0	0	0	0	0	0	0	0	XXX
14. Incentive pool, withhold adjustments and bonus amounts	20,855,131	25,000	0	0	0	(1,733)	2,374,250	18,457,614	0	XXX
15. Subtotal (Lines 8 to 14)	5,450,748,321	172,405,153	0	0	0	15,643,416	724,542,274	4,538,157,478	0	XXX
16. Net reinsurance recoveries	0	0	0	0	0	0	0	0	0	XXX
17. Total medical and hospital (Lines 15 minus 16)	5,450,748,321	172,405,153	0	0	0	15,643,416	724,542,274	4,538,157,478	0	XXX
18. Non-health claims (net)	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
19. Claims adjustment expenses including \$ 187,844,432 cost containment expenses	294,120,097	9,906,032	0	0	0	312,280	37,417,204	246,484,581	0	0
20. General administrative expenses	470,068,415	19,188,728	0	0	0	1,094,036	68,192,996	381,592,655	0	0
21. Increase in reserves for accident and health contracts	(38,741,000)	0	0	0	0	0	0	(38,741,000)	0	XXX
22. Increase in reserves for life contracts	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
23. Total underwriting deductions (Lines 17 to 22)	6,176,195,833	201,499,913	0	0	0	17,049,732	830,152,474	5,127,493,714	0	0
24. Total underwriting gain or (loss) (Line 7 minus Line 23)	(54,734,262)	3,600,467	0	0	0	(229,272)	77,158,929	(135,264,386)	0	0
DETAILS OF WRITE-INS										
0501.										XXX
0502.										XXX
0503.										XXX
0598. Summary of remaining write-ins for Line 5 from overflow page	0	0	0	0	0	0	0	0	0	XXX
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above)	0	0	0	0	0	0	0	0	0	XXX
0601.		XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
0602.		XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
0603.		XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
0698. Summary of remaining write-ins for Line 6 from overflow page	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)	0	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	0
1301.										XXX
1302.										XXX
1303.										XXX
1398. Summary of remaining write-ins for Line 13 from overflow page	0	0	0	0	0	0	0	0	0	XXX
1399. Totals (Lines 1301 thru 1303 plus 1398) (Line 13 above)	0	0	0	0	0	0	0	0	0	XXX

UNDERWRITING AND INVESTMENT EXHIBIT

PART 1 - PREMIUMS

	1	2	3	4
Line of Business	Direct Business	Reinsurance Assumed	Reinsurance Ceded	Net Premium Income (Cols. 1 + 2 - 3)
1. Comprehensive (hospital and medical)	205,001,715	0	205,907	204,795,808
2. Medicare Supplement	0	0	0	0
3. Dental only	0	0	0	0
4. Vision only	0	0	0	0
5. Federal Employees Health Benefits Plan	16,837,298	0	16,837	16,820,461
6. Title XVIII - Medicare	894,147,294	0	912,536	893,234,758
7. Title XIX - Medicaid	4,997,202,413	0	4,973,569	4,992,228,844
8. Other health	0	0	0	0
9. Health subtotal (Lines 1 through 8)	6,113,188,720	0	6,108,849	6,107,079,871
10. Life	0	0	0	0
11. Property/casualty	0	0	0	0
12. Totals (Lines 9 to 11)	6,113,188,720	0	6,108,849	6,107,079,871

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2 - CLAIMS INCURRED DURING THE YEAR

	1	2	3	4	5	6	7	8	9	10
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other Health	Other Non-Health
1. Payments during the year:										
1.1 Direct	5,349,523,640	174,103,056	0	0	0	15,854,640	716,342,269	4,443,223,675	0	0
1.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
1.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
1.4 Net	5,349,523,640	174,103,056	0	0	0	15,854,640	716,342,269	4,443,223,675	0	0
2. Paid medical incentive pools and bonuses	14,869,551	6,854	0	0	0	519	1,718,486	13,143,692	0	0
3. Claim liability December 31, current year from Part 2A:										
3.1 Direct	576,251,867	17,254,944	0	0	0	1,747,067	82,901,150	474,348,706	0	0
3.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
3.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
3.4 Net	576,251,867	17,254,944	0	0	0	1,747,067	82,901,150	474,348,706	0	0
4. Claim reserve December 31, current year from Part 2D:										
4.1 Direct	3,576,284	331,375	0	0	0	21,291	276,110	2,947,508	0	0
4.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
4.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
4.4 Net	3,576,284	331,375	0	0	0	21,291	276,110	2,947,508	0	0
5. Accrued medical incentive pools and bonuses, current year	11,458,850	24,740	0	0	0	1,862	2,166,346	9,265,902	0	0
6. Net healthcare receivables (a)	10,777,826	2,241	0	0	0	(243,000)	8,914,345	2,104,240	0	0
7. Amounts recoverable from reinsurers December 31, current year	0	0	0	0	0	0	0	0	0	0
8. Claim liability December 31, prior year from Part 2A:										
8.1 Direct	486,456,294	19,036,591	0	0	0	2,190,125	68,347,594	396,881,984	0	0
8.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
8.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
8.4 Net	486,456,294	19,036,591	0	0	0	2,190,125	68,347,594	396,881,984	0	0
9. Claim reserve December 31, prior year from Part 2D:										
9.1 Direct	2,224,481	270,390	0	0	0	30,724	89,565	1,833,802	0	0
9.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
9.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
9.4 Net	2,224,481	270,390	0	0	0	30,724	89,565	1,833,802	0	0
10. Accrued medical incentive pools and bonuses, prior year	5,473,270	6,594	0	0	0	4,114	1,510,582	3,951,980	0	0
11. Amounts recoverable from reinsurers December 31, prior year	0	0	0	0	0	0	0	0	0	0
12. Incurred Benefits:										
12.1 Direct	5,429,893,190	172,380,153	0	0	0	15,645,149	722,168,025	4,519,699,863	0	0
12.2 Reinsurance assumed	0	0	0	0	0	0	0	0	0	0
12.3 Reinsurance ceded	0	0	0	0	0	0	0	0	0	0
12.4 Net	5,429,893,190	172,380,153	0	0	0	15,645,149	722,168,025	4,519,699,863	0	0
13. Incurred medical incentive pools and bonuses	20,855,131	25,000	0	0	0	(1,733)	2,374,250	18,457,614	0	0

(a) Excludes \$ 410,359 loans or advances to providers not yet expensed.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2A - CLAIMS LIABILITY END OF CURRENT YEAR

	1	2	3	4	5	6	7	8	9	10
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other Health	Other Non-Health
1. Reported in Process of Adjustment:										
1.1 Direct	103,879,940	4,926,065	.0	.0	.0	698,194	21,788,824	76,466,857	.0	.0
1.2 Reinsurance assumed0	.0	.0	.0	.0	.0	.0	.0	.0	.0
1.3 Reinsurance ceded0	.0	.0	.0	.0	.0	.0	.0	.0	.0
1.4 Net	103,879,940	4,926,065	.0	.0	.0	698,194	21,788,824	76,466,857	.0	.0
2. Incurred but Unreported:										
2.1 Direct	469,117,945	12,328,879	.0	.0	.0	1,048,873	57,858,344	397,881,849	.0	.0
2.2 Reinsurance assumed0	.0	.0	.0	.0	.0	.0	.0	.0	.0
2.3 Reinsurance ceded0	.0	.0	.0	.0	.0	.0	.0	.0	.0
2.4 Net	469,117,945	12,328,879	.0	.0	.0	1,048,873	57,858,344	397,881,849	.0	.0
3. Amounts Withheld from Paid Claims and Capitations:										
3.1 Direct	3,253,982	.0	.0	.0	.0	.0	3,253,982	.0	.0	.0
3.2 Reinsurance assumed0	.0	.0	.0	.0	.0	.0	.0	.0	.0
3.3 Reinsurance ceded0	.0	.0	.0	.0	.0	.0	.0	.0	.0
3.4 Net	3,253,982	.0	.0	.0	.0	.0	3,253,982	.0	.0	.0
4. TOTALS:										
4.1 Direct	576,251,867	17,254,944	.0	.0	.0	1,747,067	82,901,150	474,348,706	.0	.0
4.2 Reinsurance assumed0	.0	.0	.0	.0	.0	.0	.0	.0	.0
4.3 Reinsurance ceded0	.0	.0	.0	.0	.0	.0	.0	.0	.0
4.4 Net	576,251,867	17,254,944	.0	.0	.0	1,747,067	82,901,150	474,348,706	.0	.0

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2B - ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid During the Year		Claim Reserve and Claim Liability December 31 of Current Year		5 Claims Incurred In Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1	2	3	4		
	On Claims Incurred Prior to January 1 of Current Year	On Claims Incurred During the Year	On Claims Unpaid December 31 of Prior Year	On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)	17,018,384	157,084,672	82,399	17,503,920	17,100,783	19,306,982
2. Medicare Supplement	0	0	0	0	0	0
3. Dental Only	0	0	0	0	0	0
4. Vision Only	0	0	0	0	0	0
5. Federal Employees Health Benefits Plan	892,116	14,962,525	14,260	1,754,098	906,376	2,220,848
6. Title XVIII - Medicare	21,369,030	694,973,239	1,123,249	82,054,010	22,492,279	68,437,159
7. Title XIX - Medicaid	393,997,876	4,049,225,799	13,226,090	464,070,125	407,223,966	398,715,786
8. Other health	0	0	0	0	0	0
9. Health subtotal (Lines 1 to 8)	433,277,406	4,916,246,235	14,445,998	565,382,153	447,723,404	488,680,775
10. Healthcare receivables (a)	14,167,289	55,706,535	0	4,425,896	14,167,289	63,521,894
11. Other non-health	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts	4,521,929	10,347,622	479,374	10,979,476	5,001,303	5,473,270
13. Totals (Lines 9 - 10 + 11 + 12)	423,632,046	4,870,887,322	14,925,372	571,935,733	438,557,418	430,632,151

(a) Excludes \$ 410,359 loans or advances to providers not yet expensed.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS

(\$000 Omitted)

Section A - Paid Health Claims - Comprehensive (Hospital & Medical)

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2014	2 2015	3 2016	4 2017	5 2018
1.	Prior	17,336	17,271	17,111	17,016	16,957
2.	2014	163,833	176,157	175,998	175,833	175,810
3.	2015	XXX	126,065	136,116	136,430	137,455
4.	2016	XXX	XXX	141,507	150,895	152,780
5.	2017	XXX	XXX	XXX	128,708	142,876
6.	2018	XXX	XXX	XXX	XXX	157,092

Section B - Incurred Health Claims - Comprehensive (Hospital & Medical)

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2014	2 2015	3 2016	4 2017	5 2018
1.	Prior	17,843	17,271	17,111	17,016	16,957
2.	2014	182,634	176,554	175,998	175,833	175,810
3.	2015	XXX	139,306	136,374	136,430	137,455
4.	2016	XXX	XXX	157,046	151,199	152,780
5.	2017	XXX	XXX	XXX	147,718	142,959
6.	2018	XXX	XXX	XXX	XXX	174,620

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Comprehensive (Hospital & Medical)

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2014	224,654	175,810	8,871	5.0	184,681	82.2	0	0	184,681	82.2
2. 2015	184,686	137,455	6,107	4.4	143,562	77.7	0	0	143,562	77.7
3. 2016	200,604	152,780	8,058	5.3	160,838	80.2	0	0	160,838	80.2
4. 2017	173,140	142,876	8,650	6.1	151,526	87.5	83	1	151,610	87.6
5. 2018	205,100	157,092	8,592	5.5	165,684	80.8	17,528	115	183,327	89.4

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS

(\$000 Omitted)

Section A - Paid Health Claims - Federal Employees Health Benefits Plan Premium

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2014	2 2015	3 2016	4 2017	5 2018
1.	Prior	3,265	3,249	3,235	3,149	3,150
2.	2014	16,382	17,913	17,901	17,897	17,895
3.	2015	XXX	15,919	17,661	17,720	17,701
4.	2016	XXX	XXX	13,917	15,524	15,538
5.	2017	XXX	XXX	XXX	13,333	14,233
6.	2018	XXX	XXX	XXX	XXX	14,963

Section B - Incurred Health Claims - Federal Employees Health Benefits Plan Premium

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2014	2 2015	3 2016	4 2017	5 2018
1.	Prior	3,870	3,249	3,235	3,149	3,150
2.	2014	18,125	18,038	17,901	17,897	17,895
3.	2015	XXX	18,205	17,675	17,720	17,701
4.	2016	XXX	XXX	16,473	15,700	15,538
5.	2017	XXX	XXX	XXX	15,382	14,247
6.	2018	XXX	XXX	XXX	XXX	16,719

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Federal Employees Health Benefits Plan Premium

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2014	19,631	17,895	21	0.1	17,916	91.3	0	0	17,916	91.3
2. 2015	21,757	17,701	66	0.4	17,767	81.7	0	0	17,767	81.7
3. 2016	18,612	15,538	405	2.6	15,943	85.7	0	0	15,943	85.7
4. 2017	16,220	14,233	369	2.6	14,602	90.0	14	0	14,616	90.1
5. 2018	16,820	14,963	269	1.8	15,232	90.6	1,756	8	16,996	101.0

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS

(\$000 Omitted)

Section A - Paid Health Claims - Title XVIII

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2014	2 2015	3 2016	4 2017	5 2018
1.	Prior	75,353	73,437	72,951	72,828	72,797
2.	2014	914,182	985,852	984,996	984,835	984,800
3.	2015	XXX	864,615	930,877	930,748	930,566
4.	2016	XXX	XXX	454,176	471,964	471,137
5.	2017	XXX	XXX	XXX	518,223	541,469
6.	2018	XXX	XXX	XXX	XXX	695,891

Section B - Incurred Health Claims - Title XVIII

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2014	2 2015	3 2016	4 2017	5 2018
1.	Prior	78,401	73,437	72,951	72,828	72,797
2.	2014	1,021,832	988,724	984,996	984,835	984,800
3.	2015	XXX	978,699	934,023	930,748	930,566
4.	2016	XXX	XXX	512,591	474,164	471,137
5.	2017	XXX	XXX	XXX	585,972	542,951
6.	2018	XXX	XXX	XXX	XXX	779,754

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Title XVIII

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2014	1,247,990	984,800	49,315	5.0	1,034,115	82.9	0	0	1,034,115	82.9
2. 2015	1,196,827	930,566	31,337	3.4	961,903	80.4	0	0	961,903	80.4
3. 2016	649,045	471,137	28,418	6.0	499,555	77.0	0	0	499,555	77.0
4. 2017	746,614	541,469	33,491	6.2	574,960	77.0	1,481	16	576,457	77.2
5. 2018	907,312	695,891	33,081	4.8	728,972	80.3	83,862	907	813,741	89.7

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS

(\$000 Omitted)

Section A - Paid Health Claims - Title XIX

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2014	2 2015	3 2016	4 2017	5 2018
1.	Prior	186,223	179,861	178,828	177,166	176,565
2.	2014	1,962,256	2,153,016	2,154,107	2,153,292	2,152,659
3.	2015	XXX	1,438,156	1,587,753	1,588,751	1,590,549
4.	2016	XXX	XXX	2,028,075	2,283,279	2,283,125
5.	2017	XXX	XXX	XXX	2,296,660	2,693,991
6.	2018	XXX	XXX	XXX	XXX	4,058,648

Section B - Incurred Health Claims - Title XIX

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2014	2 2015	3 2016	4 2017	5 2018
1.	Prior	200,010	179,861	178,828	177,166	176,565
2.	2014	2,189,917	2,163,593	2,154,107	2,153,292	2,152,659
3.	2015	XXX	1,597,773	1,601,086	1,588,751	1,590,549
4.	2016	XXX	XXX	2,288,879	2,290,950	2,283,125
5.	2017	XXX	XXX	XXX	2,691,655	2,707,338
6.	2018	XXX	XXX	XXX	XXX	4,531,863

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Title XIX

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2014	2,752,071	2,152,659	116,243	5.4	2,268,902	82.4	0	0	2,268,902	82.4
2. 2015	2,000,408	1,590,549	130,819	8.2	1,721,368	86.1	0	0	1,721,368	86.1
3. 2016	2,723,108	2,283,125	144,775	6.3	2,427,900	89.2	0	0	2,427,900	89.2
4. 2017	3,071,708	2,693,991	174,184	6.5	2,868,175	93.4	13,347	144	2,881,666	93.8
5. 2018	4,992,229	4,058,648	212,592	5.2	4,271,240	85.6	473,215	5,098	4,749,553	95.1

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS

(\$000 Omitted)

Section A - Paid Health Claims - Other

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2014	2 2015	3 2016	4 2017	5 2018
1.	Prior	0	0	0	0	0
2.	2014	259	(21)	(21)	(21)	(21)
3.	2015	XXX	0	0	0	0
4.	2016	XXX	XXX	0	0	0
5.	2017	XXX	XXX	XXX	0	0
6.	2018	XXX	XXX	XXX	XXX	0

Section B - Incurred Health Claims - Other

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2014	2 2015	3 2016	4 2017	5 2018
1.	Prior	0	0	0	0	0
2.	2014	270	(21)	(21)	(21)	(21)
3.	2015	XXX	0	0	0	0
4.	2016	XXX	XXX	0	0	0
5.	2017	XXX	XXX	XXX	0	0
6.	2018	XXX	XXX	XXX	XXX	0

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Other

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2014	213	0	0	0.0	0	0.0	0	0	0	0.0
2. 2015	(213)	(21)	0	0.0	(21)	9.9	0	0	(21)	9.9
3. 2016	0	0	0	0.0	0	0.0	0	0	0	0.0
4. 2017	0	0	0	0.0	0	0.0	0	0	0	0.0
5. 2018	0	0	0	0.0	0	0.0	0	0	0	0.0

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2C - DEVELOPMENT OF PAID AND INCURRED HEALTH CLAIMS
(\$000 Omitted)

Section A - Paid Health Claims - Grand Total

Year in Which Losses Were Incurred		Cumulative Net Amounts Paid				
		1 2014	2 2015	3 2016	4 2017	5 2018
1.	Prior	282,177	273,818	272,125	270,159	269,469
2.	2014	3,056,912	3,332,917	3,332,981	3,331,836	3,331,143
3.	2015	XXX	2,444,755	2,672,407	2,673,649	2,676,271
4.	2016	XXX	XXX	2,637,675	2,921,662	2,922,580
5.	2017	XXX	XXX	XXX	2,956,924	3,392,569
6.	2018	XXX	XXX	XXX	XXX	4,926,594

Section B - Incurred Health Claims - Grand Total

Year in Which Losses Were Incurred		Sum of Cumulative Net Amount Paid and Claim Liability, Claim Reserve and Medical Incentive Pool and Bonuses Outstanding at End of Year				
		1 2014	2 2015	3 2016	4 2017	5 2018
1.	Prior	300,124	273,818	272,125	270,159	269,469
2.	2014	3,412,778	3,346,888	3,332,981	3,331,836	3,331,143
3.	2015	XXX	2,733,983	2,689,158	2,673,649	2,676,271
4.	2016	XXX	XXX	2,974,989	2,932,013	2,922,580
5.	2017	XXX	XXX	XXX	3,440,727	3,407,495
6.	2018	XXX	XXX	XXX	XXX	5,502,956

Section C - Incurred Year Health Claims and Claims Adjustment Expense Ratio - Grand Total

Years in which Premiums were Earned and Claims were Incurred	1 Premiums Earned	2 Claims Payment	3 Claim Adjustment Expense Payments	4 (Col. 3/2) Percent	5 Claim and Claim Adjustment Expense Payments (Col. 2 + 3)	6 (Col. 5/1) Percent	7 Claims Unpaid	8 Unpaid Claims Adjustment Expenses	9 Total Claims and Claims Adjustment Expense Incurred (Col. 5+7+8)	10 (Col. 9/1) Percent
1. 2014	4,244,559	3,331,164	174,450	5.2	3,505,614	82.6	0	0	3,505,614	82.6
2. 2015	3,403,465	2,676,250	168,329	6.3	2,844,579	83.6	0	0	2,844,579	83.6
3. 2016	3,591,369	2,922,580	181,656	6.2	3,104,236	86.4	0	0	3,104,236	86.4
4. 2017	4,007,682	3,392,569	216,694	6.4	3,609,263	90.1	14,925	161	3,624,349	90.4
5. 2018	6,121,461	4,926,594	254,534	5.2	5,181,128	84.6	576,361	6,128	5,763,617	94.2

12.GT

UNDERWRITING AND INVESTMENT EXHIBIT

PART 2D - AGGREGATE RESERVE FOR ACCIDENT AND HEALTH CONTRACTS ONLY

	1	2	3	4	5	6	7	8	9
	Total	Comprehensive (Hospital & Medical)	Medicare Supplement	Dental Only	Vision Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other
1. Unearned premium reserves	0	0	0	0	0	0	0	0	0
2. Additional policy reserves (a)	5,121,000	0	0	0	0	0	0	5,121,000	0
3. Reserve for future contingent benefits	0	0	0	0	0	0	0	0	0
4. Reserve for rate credits or experience rating refunds (including \$0) for investment income	64,039,588	4,101,951	0	0	0	0	45,830,487	14,107,150	0
5. Aggregate write-ins for other policy reserves	12,032,508	1,771,195	0	0	0	0	0	10,261,313	0
6. Totals (gross)	81,193,096	5,873,146	0	0	0	0	45,830,487	29,489,463	0
7. Reinsurance ceded	0	0	0	0	0	0	0	0	0
8. Totals (Net)(Page 3, Line 4)	81,193,096	5,873,146	0	0	0	0	45,830,487	29,489,463	0
9. Present value of amounts not yet due on claims	0	0	0	0	0	0	0	0	0
10. Reserve for future contingent benefits	3,576,284	331,375	0	0	0	21,291	276,110	2,947,508	0
11. Aggregate write-ins for other claim reserves	0	0	0	0	0	0	0	0	0
12. Totals (gross)	3,576,284	331,375	0	0	0	21,291	276,110	2,947,508	0
13. Reinsurance ceded	0	0	0	0	0	0	0	0	0
14. Totals (Net)(Page 3, Line 7)	3,576,284	331,375	0	0	0	21,291	276,110	2,947,508	0
DETAILS OF WRITE-INS									
0501. Redetermination Contract Payable	10,261,313	0	0	0	0	0	0	10,261,313	0
0502. Risk Adjustment Payable	1,661,532	1,661,532	0	0	0	0	0	0	0
0503. High-Cost Risk Pool Payable	109,663	109,663	0	0	0	0	0	0	0
0598. Summary of remaining write-ins for Line 5 from overflow page.....	0	0	0	0	0	0	0	0	0
0599. Totals (Lines 0501 thru 0503 plus 0598) (Line 5 above)	12,032,508	1,771,195	0	0	0	0	0	10,261,313	0
1101.									
1102.									
1103.									
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0	0	0	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198) (Line 11 above)	0	0	0	0	0	0	0	0	0

(a) Includes \$5,121,000 premium deficiency reserve.

UNDERWRITING AND INVESTMENT EXHIBIT

PART 3 - ANALYSIS OF EXPENSES

	Claim Adjustment Expenses		3 General Administrative Expenses	4 Investment Expenses	5 Total
	1 Cost Containment Expenses	2 Other Claim Adjustment Expenses			
1. Rent (\$0 for occupancy of own building)	5,541,401	3,255,596	7,958,984	0	16,755,981
2. Salary, wages and other benefits	98,715,905	57,996,004	141,783,338	0	298,495,247
3. Commissions (less \$0 ceded plus \$0 assumed)	0	0	16,200,265	0	16,200,265
4. Legal fees and expenses	1,124,473	660,633	1,615,054	0	3,400,160
5. Certifications and accreditation fees	0	0	0	0	0
6. Auditing, actuarial and other consulting services	9,469,256	5,563,227	14,409,639	0	29,442,122
7. Traveling expenses	3,043,150	1,787,863	4,370,805	0	9,201,818
8. Marketing and advertising	5,836,976	3,429,247	10,120,708	0	19,386,931
9. Postage, express and telephone	5,696,696	3,346,833	8,182,031	0	17,225,560
10. Printing and office supplies	6,199,781	3,642,397	8,904,600	0	18,746,778
11. Occupancy, depreciation and amortization	2,622,240	1,540,577	3,766,262	0	7,929,079
12. Equipment	809,949	475,849	1,163,311	0	2,449,109
13. Cost or depreciation of EDP equipment and software	11,269,050	6,620,614	16,185,472	0	34,075,136
14. Outsourced services including EDP, claims, and other services	10,356,400	5,748,881	21,989,529	0	38,094,810
15. Boards, bureaus and association fees	161,514	94,890	231,978	0	488,382
16. Insurance, except on real estate	805,446	473,203	1,156,843	0	2,435,492
17. Collection and bank service charges	506,408	297,517	727,343	0	1,531,268
18. Group service and administration fees	2,605,915	1,530,986	3,742,815	0	7,879,716
19. Reimbursements by uninsured plans	0	0	(7,196,400)	0	(7,196,400)
20. Reimbursements from fiscal intermediaries	0	0	0	0	0
21. Real estate expenses	0	0	0	0	0
22. Real estate taxes	71,543	1,998	582,729	0	656,270
23. Taxes, licenses and fees:					
23.1 State and local insurance taxes	0	0	(4,151)	0	(4,151)
23.2 State premium taxes	0	0	121,878,251	0	121,878,251
23.3 Regulatory authority licenses and fees	0	0	63,744,181	0	63,744,181
23.4 Payroll taxes	5,106,681	1,946,693	8,036,484	0	15,089,858
23.5 Other (excluding federal income and real estate taxes)	0	0	0	0	0
24. Investment expenses not included elsewhere	0	0	0	309,271	309,271
25. Aggregate write-ins for expenses	17,901,647	7,862,658	20,518,345	0	46,282,650
26. Total expenses incurred (Lines 1 to 25)	187,844,431	106,275,666	470,068,416	309,271	(a) 764,497,784
27. Less expenses unpaid December 31, current year	4,016,387	2,272,328	45,475,186	101,647	51,865,548
28. Add expenses unpaid December 31, prior year	3,645,117	2,106,198	43,732,786	89,871	49,573,972
29. Amounts receivable relating to uninsured plans, prior year	0	0	0	0	0
30. Amounts receivable relating to uninsured plans, current year	0	0	0	0	0
31. Total expenses paid (Lines 26 minus 27 plus 28 minus 29 plus 30)	187,473,161	106,109,536	468,326,016	297,495	762,206,208
DETAILS OF WRITE-INS					
2501. Information Technology	509,183	299,147	731,328	0	1,539,658
2502. Interest	90,775	53,331	988,769	0	1,132,875
2503. Managed Care & Network Access	1,853,523	50,787	165,760	0	2,070,070
2598. Summary of remaining write-ins for Line 25 from overflow page	15,448,166	7,459,393	18,632,488	0	41,540,047
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	17,901,647	7,862,658	20,518,345	0	46,282,650

(a) Includes management fees of \$420,330,303 to affiliates and \$0 to non-affiliates.

EXHIBIT OF NET INVESTMENT INCOME

	1 Collected During Year	2 Earned During Year
1. U.S. government bonds	(a) 2,366,003	1,971,490
1.1 Bonds exempt from U.S. tax	(a) 0	0
1.2 Other bonds (unaffiliated)	(a) 11,304,078	10,973,688
1.3 Bonds of affiliates	(a) 0	0
2.1 Preferred stocks (unaffiliated)	(b) 0	0
2.11 Preferred stocks of affiliates	(b) 0	0
2.2 Common stocks (unaffiliated)	0	0
2.21 Common stocks of affiliates	0	0
3. Mortgage loans	(c) 0	0
4. Real estate	(d) 0	0
5. Contract Loans	0	0
6. Cash, cash equivalents and short-term investments	(e) 6,707,475	6,741,108
7. Derivative instruments	(f) 0	0
8. Other invested assets	0	(1,872,995)
9. Aggregate write-ins for investment income	0	0
10. Total gross investment income	20,377,556	17,813,291
11. Investment expenses		(g) 309,271
12. Investment taxes, licenses and fees, excluding federal income taxes		(g) 0
13. Interest expense		(h) 0
14. Depreciation on real estate and other invested assets		(i) 0
15. Aggregate write-ins for deductions from investment income		0
16. Total deductions (Lines 11 through 15)		309,271
17. Net investment income (Line 10 minus Line 16)		17,504,020
DETAILS OF WRITE-INS		
0901.		
0902.		
0903.		
0998. Summary of remaining write-ins for Line 9 from overflow page	0	0
0999. Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)	0	0
1501.		
1502.		
1503.		
1598. Summary of remaining write-ins for Line 15 from overflow page		0
1599. Totals (Lines 1501 thru 1503 plus 1598) (Line 15, above)		0

- (a) Includes \$ 484,609 accrual of discount less \$ 5,914,176 amortization of premium and less \$ 578,281 paid for accrued interest on purchases.
- (b) Includes \$ 0 accrual of discount less \$ 0 amortization of premium and less \$ 0 paid for accrued dividends on purchases.
- (c) Includes \$ 0 accrual of discount less \$ 0 amortization of premium and less \$ 0 paid for accrued interest on purchases.
- (d) Includes \$ 0 for company's occupancy of its own buildings; and excludes \$ 0 interest on encumbrances.
- (e) Includes \$ 149,619 accrual of discount less \$ 155,644 amortization of premium and less \$ 109,245 paid for accrued interest on purchases.
- (f) Includes \$ 0 accrual of discount less \$ 0 amortization of premium.
- (g) Includes \$ 0 investment expenses and \$ 0 investment taxes, licenses and fees, excluding federal income taxes, attributable to segregated and Separate Accounts.
- (h) Includes \$ 0 interest on surplus notes and \$ 0 interest on capital notes.
- (i) Includes \$ 0 depreciation on real estate and \$ 0 depreciation on other invested assets.

EXHIBIT OF CAPITAL GAINS (LOSSES)

	1 Realized Gain (Loss) On Sales or Maturity	2 Other Realized Adjustments	3 Total Realized Capital Gain (Loss) (Columns 1 + 2)	4 Change in Unrealized Capital Gain (Loss)	5 Change in Unrealized Foreign Exchange Capital Gain (Loss)
1. U.S. Government bonds	0	0	0	0	0
1.1 Bonds exempt from U.S. tax	0	0	0	0	0
1.2 Other bonds (unaffiliated)	8,543	(49,839)	(41,296)	0	0
1.3 Bonds of affiliates	0	0	0	0	0
2.1 Preferred stocks (unaffiliated)	0	0	0	0	0
2.11 Preferred stocks of affiliates	0	0	0	0	0
2.2 Common stocks (unaffiliated)	0	0	0	0	0
2.21 Common stocks of affiliates	0	0	0	0	0
3. Mortgage loans	0	0	0	0	0
4. Real estate	0	0	0	0	0
5. Contract loans	0	0	0	0	0
6. Cash, cash equivalents and short-term investments	(343)	0	(343)	(1,571)	0
7. Derivative instruments	0	0	0	0	0
8. Other invested assets	0	0	0	0	0
9. Aggregate write-ins for capital gains (losses)	0	0	0	0	0
10. Total capital gains (losses)	8,200	(49,839)	(41,639)	(1,571)	0
DETAILS OF WRITE-INS					
0901.					
0902.					
0903.					
0998. Summary of remaining write-ins for Line 9 from overflow page	0	0	0	0	0
0999. Totals (Lines 0901 thru 0903 plus 0998) (Line 9, above)	0	0	0	0	0

EXHIBIT OF NON-ADMITTED ASSETS

	1	2	3
	Current Year Total Nonadmitted Assets	Prior Year Total Nonadmitted Assets	Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
1. Bonds (Schedule D)	0	0	0
2. Stocks (Schedule D):			
2.1 Preferred stocks	0	0	0
2.2 Common stocks	0	0	0
3. Mortgage loans on real estate (Schedule B):			
3.1 First liens	0	0	0
3.2 Other than first liens.....	0	0	0
4. Real estate (Schedule A):			
4.1 Properties occupied by the company	0	0	0
4.2 Properties held for the production of income.....	0	0	0
4.3 Properties held for sale	0	0	0
5. Cash (Schedule E - Part 1), cash equivalents (Schedule E - Part 2) and short-term investments (Schedule DA)	0	0	0
6. Contract loans	0	0	0
7. Derivatives (Schedule DB)	0	0	0
8. Other invested assets (Schedule BA)	0	0	0
9. Receivables for securities	0	0	0
10. Securities lending reinvested collateral assets (Schedule DL)	0	0	0
11. Aggregate write-ins for invested assets	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	0	0	0
13. Title plants (for Title insurers only)	0	0	0
14. Investment income due and accrued	0	0	0
15. Premiums and considerations:			
15.1 Uncollected premiums and agents' balances in the course of collection	22,845	28,852	6,007
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due	0	0	0
15.3 Accrued retrospective premiums and contracts subject to redetermination	0	0	0
16. Reinsurance:			
16.1 Amounts recoverable from reinsurers	0	0	0
16.2 Funds held by or deposited with reinsured companies	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0
17. Amounts receivable relating to uninsured plans	24,448	0	(24,448)
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0
18.2 Net deferred tax asset	0	0	0
19. Guaranty funds receivable or on deposit	0	0	0
20. Electronic data processing equipment and software	0	0	0
21. Furniture and equipment, including health care delivery assets	0	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0
23. Receivable from parent, subsidiaries and affiliates	0	0	0
24. Health care and other amounts receivable	23,858,745	20,406,590	(3,452,155)
25. Aggregate write-ins for other than invested assets	12,246	24,058	11,812
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	23,918,284	20,459,500	(3,458,784)
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
28. Total (Lines 26 and 27)	23,918,284	20,459,500	(3,458,784)
DETAILS OF WRITE-INS			
1101.			
1102.			
1103.			
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0
1199. Totals (Lines 1101 thru 1103 plus 1198)(Line 11 above)	0	0	0
2501. Prepaid Commissions	9,532	10,757	1,225
2502. Miscellaneous Receivable	2,547	0	(2,547)
2503. Service Fee Billing	167	301	134
2598. Summary of remaining write-ins for Line 25 from overflow page	0	13,000	13,000
2599. Totals (Lines 2501 thru 2503 plus 2598)(Line 25 above)	12,246	24,058	11,812

EXHIBIT 1 - ENROLLMENT BY PRODUCT TYPE FOR HEALTH BUSINESS ONLY

Source of Enrollment	Total Members at End of					6 Current Year Member Months
	1 Prior Year	2 First Quarter	3 Second Quarter	4 Third Quarter	5 Current Year	
1. Health Maintenance Organizations	934,758	947,801	951,915	935,494	936,930	11,319,913
2. Provider Service Organizations	0	0	0	0	0	0
3. Preferred Provider Organizations	0	0	0	0	0	0
4. Point of Service	0	0	0	0	0	0
5. Indemnity Only	0	0	0	0	0	0
6. Aggregate write-ins for other lines of business	0	0	0	0	0	0
7. Total	934,758	947,801	951,915	935,494	936,930	11,319,913
DETAILS OF WRITE-INS						
0601.						
0602.						
0603.						
0698. Summary of remaining write-ins for Line 6 from overflow page	0	0	0	0	0	0
0699. Totals (Lines 0601 thru 0603 plus 0698) (Line 6 above)	0	0	0	0	0	0

EXHIBIT 3A - ANALYSIS OF HEALTH CARE RECEIVABLES COLLECTED AND ACCRUED

Type of Health Care Receivable	Health Care Receivables Collected During the Year		Health Care Receivables Accrued as of December 31 of Current Year		5 Health Care Receivables in Prior Years (Columns 1 + 3)	6 Estimated Health Care Receivables Accrued as of December 31 of Prior Year
	1 On Amounts Accrued Prior to January 1 of Current Year	2 On Amounts Accrued During the Year	3 On Amounts Accrued December 31 of Prior Year	4 On Amounts Accrued During the Year		
1. Pharmaceutical rebate receivables	35,525,056	73,750,482	778,122	50,527,953	36,303,178	40,971,711
2. Claim overpayment receivables	6,981,258	203,568,622	12,702,410	9,604,477	19,683,668	22,192,702
3. Loans and advances to providers	1,359	500,000	0	410,359	1,359	522,450
4. Capitation arrangement receivables	0	0	0	0	0	0
5. Risk sharing receivables	64,364	0	686,757	0	751,121	357,481
6. Other health care receivables.....	0	0	0	0	0	0
7. Totals (Lines 1 through 6)	42,572,037	277,819,104	14,167,289	60,542,789	56,739,326	64,044,344

Note that the accrued amounts in Columns 3, 4, and 6 are the total health care receivables, not just the admitted portion.

EXHIBIT 4 - CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims

1 Account	2 1 - 30 Days	3 31 - 60 Days	4 61 - 90 Days	5 91 - 120 Days	6 Over 120 Days	7 Total
Claims Unpaid (Reported)						
0199999. Individually listed claims unpaid	0	0	0	0	0	0
0299999. Aggregate accounts not individually listed- uncovered	0	0	0	0	0	0
0399999. Aggregate accounts not individually listed-covered	87,868,387	6,763,913	1,144,557	678,073	7,425,010	103,879,940
0499999. Subtotals	87,868,387	6,763,913	1,144,557	678,073	7,425,010	103,879,940
0599999. Unreported claims and other claim reserves						469,117,946
0699999. Total amounts withheld						3,253,982
0799999. Total claims unpaid						576,251,868
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0899999 Accrued medical incentive pool and bonus amounts						11,458,850

EXHIBIT 8 - FURNITURE, EQUIPMENT AND SUPPLIES OWNED

Description	1 Cost	2 Improvements	3 Accumulated Depreciation	4 Book Value Less Encumbrances	5 Assets Not Admitted	6 Net Admitted Assets
1. Administrative furniture and equipment	NONE					
2. Medical furniture, equipment and fixtures						
3. Pharmaceuticals and surgical supplies						
4. Durable medical equipment						
5. Other property and equipment						
6. Total						

UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.

NOTES TO STATUTORY BASIS FINANCIAL STATEMENTS AS OF AND FOR THE YEARS ENDED DECEMBER 31, 2018 AND 2017

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES AND GOING CONCERN

Organization and Operation

UnitedHealthcare Plan of the River Valley, Inc. (the "Company"), licensed as a health maintenance organization ("HMO"), offers its enrollees a variety of managed care programs and products through contractual arrangements with health care providers. The Company is a wholly owned subsidiary of UnitedHealthcare Services Company of the River Valley, Inc. ("UHS-RV"). UHS-RV is a wholly owned subsidiary of UnitedHealthCare, Inc. ("UHC"). UHC is a wholly owned subsidiary of United HealthCare Services, Inc. ("UHS"), an HMO management corporation that provides services to the Company under the terms of a management agreement (the "Agreement"). UHS is a wholly owned subsidiary of UnitedHealth Group Incorporated ("UnitedHealth Group"). UnitedHealth Group is a publicly held company trading on the New York Stock Exchange.

The Company was incorporated on August 5, 1985, as an HMO and operations commenced in December 1985. The Company is certified as an HMO by the Illinois Department of Insurance ("IDOI"). The Company has entered into contracts with physicians, hospitals, and other health care provider organizations to deliver health care services for all enrollees. The Company is licensed in four states.

The Company offers comprehensive commercial products to employer groups. Each contract outlines the coverage provided and renewal provisions.

The Company has a contract with the Office of Personnel Management ("OPM") to provide healthcare services to employees of the Federal government under the Federal Employee Health Benefit Plan ("FEHBP"). The contract was discontinued effective January 1, 2019 (see Note 22).

The Company offers the Dual Special Needs Plan ("DSNP") and effective January 1, 2018, Fully Integrated Dual Eligible Special Needs Plan (FIDE-SNP) products to the dual-eligible population in the State of Tennessee under a contract with Centers for Medicare and Medicaid Services ("CMS"). These products are solely funded by CMS. A DSNP is a specialized type of Medicare Advantage Prescription Drug Plan ("MAPD") that is limited to dually eligible members and provides additional Medicaid coordination and clinical programs. The FIDE-SNP is a DSNP which coordinates the delivery of covered Medicare and Medicaid health and long-term care services, using aligned care management and specialty care network methods for high-risk beneficiaries and employs policies and procedures approved by CMS and the State to coordinate or integrate enrollment, member materials, communications, grievance and appeals, and quality improvement.

The Company participates state-wide as a contracted managed care organization ("MCO") in the State of Tennessee Bureau of TennCare ("TennCare") program. TennCare is a project authorized through a conditional waiver by CMS that replaced the State of Tennessee's Medicaid program on January 1, 1994. Uninsured individuals and Medicaid eligible individuals who enroll in the TennCare program receive prepaid health care through participating MCO's. The Company has risk arrangements with TennCare servicing individuals in the Middle Grand Region Tennessee, the West Grand Region Tennessee, and the East Grand Region Tennessee. The contract for all three Grand regions is effective through December 31, 2019 and subject to renewal provisions as outlined in the contract. Also in 2017, the Company has contracts with TennCare to administer the Emergency Medical Services ("EMS"), Employment and Community First ("ECF"), Patient Centered Medical Home ("PCMH"), and Hospital Directed Payments ("HDP") pass-through programs (see Note 18).

The Company offers the TennCare CHOICES in Long-Term Services and Supports ("CHOICES") Program for individuals in the Middle Grand Region Tennessee, the West Grand Region Tennessee, and the East Grand Region Tennessee which provides long-term care benefits to the uninsured individuals and Medicaid eligible individuals who are enrolled in the TennCare program and qualify for this benefit. The Company also offers the CHOICES 3 program for individuals who qualify for CHOICES but are considered at-risk for nursing facility care.

The Company has a contract with the State of Iowa, Department of Human Services ("IA DHS"), to deliver high quality health care services for the Iowa Health Link, which includes Hawk-i (a program for uninsured children) eligible beneficiaries in Iowa. The current contract is effective through March 31, 2019 and is subject to renewal provisions as outlined in the contract. The Company has contracts with IA DHS to administer the Graduate Medical Education Program ("GME") and University of Iowa Health Care Physician Supplemental Program ("UIHCP") pass-through programs (see Note 18).

The Company continues to operate in a run-out capacity as a plan sponsor under a contract with CMS for the Medicare Advantage and Medicare Part D prescription drug insurance coverage (collectively "Medicare program"). This contract was novated to UnitedHealthcare of Wisconsin, Inc., an affiliate, effective January 1, 2016. The novation agreement resulted in full control of the contracts being transferred for dates of service on or after January 1, 2016. Under this Medicare program, there were

seven separate elements of payment received by the Company either during the year or at settlement in the subsequent year(s). These payment elements are CMS premium, member premium, CMS low-income premium subsidy, CMS catastrophic reinsurance subsidy, CMS low-income member cost-sharing subsidy, CMS risk share, and the CMS coverage gap discount program (“CGDP”). Each component of the Medicare program is further defined throughout Note 1.

A. Accounting Practices

The statutory basis financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the IDOI.

The IDOI recognizes only statutory accounting practices, prescribed or permitted by the State of Illinois, for determining and reporting the financial condition and results of operations of an HMO, for determining its solvency under Illinois Insurance Law. The state prescribes the use of the National Association of Insurance Commissioners’ (“NAIC”) Accounting Practices and Procedures manual (“NAIC SAP”) in effect for the accounting periods covered in the statutory basis financial statements.

No significant differences exist between the practices prescribed or permitted by the State of Illinois and the NAIC SAP which materially affect the statutory basis net (loss) income and capital and surplus, as illustrated in the table below:

	SSAP #	F/S Page #	F/S Line #	2018	2017
Net (Loss) Income					
(1) Company state basis (Page 4, Line 32, Columns 2 & 3)	XXX	XXX	XXX	\$ (29,958,562)	\$ 66,112,321
(2) State prescribed practices that are an increase/(decrease) from NAIC SAP: Not Applicable				-	-
(3) State permitted practices that are an increase/(decrease) from NAIC SAP: Not Applicable				-	-
(4) NAIC SAP (1 - 2 - 3 = 4)	XXX	XXX	XXX	<u>\$ (29,958,562)</u>	<u>\$ 66,112,321</u>
Capital and Surplus					
(5) Company state basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	\$462,998,137	\$446,288,192
(6) State prescribed practices that are an increase/(decrease) from NAIC SAP: Not Applicable				-	-
(7) State permitted practices that are an increase/(decrease) from NAIC SAP: Not Applicable				-	-
(8) NAIC SAP (5 - 6 - 7 = 8)	XXX	XXX	XXX	<u>\$462,998,137</u>	<u>\$446,288,192</u>

B. Use of Estimates in the Preparation of the Statutory Basis Financial Statements

The preparation of these statutory basis financial statements in conformity with the NAIC Annual Statement Instructions and the NAIC SAP include certain amounts that are based on the Company’s estimates and judgments. These estimates require the Company to apply complex assumptions and judgments, often because the Company must make estimates about the effects of matters that are inherently uncertain and will change in subsequent periods. The most significant estimates relate to hospital and medical benefits, claims unpaid, aggregate health policy reserves (including medical loss ratio rebates and premium deficiency reserves) (see Note 21, Note 24, and Note 30), aggregate health claim reserves, and risk adjustment estimates. The Company adjusts these estimates each period as more current information becomes available. The impact of any changes in estimates is included in the determination of net (loss) income in the period in which the estimate is adjusted.

C. Accounting Policy

Basis of Presentation—The Company prepares its statutory basis financial statements on the basis of accounting practices prescribed or permitted by the IDOI. These statutory practices differ from accounting principles generally accepted in the United States of America (“GAAP”).

Accounting policy disclosures that are required by the NAIC Annual Statement instructions are as follows:

- (1–2) Bonds and short-term investments are stated at book/adjusted carrying value if they meet NAIC designation of one or two and stated at the lower of book/adjusted carrying value or fair value if they meet an NAIC designation of three or higher. The Company does not have any mandatory convertible securities or Securities Valuation Office of the NAIC (“SVO”) identified funds (i.e. exchange traded funds or bond mutual funds) in its bond portfolio. Amortization of bond premium or accretion of discount is calculated using the constant-yield interest method. Bonds and short-term investments are valued and reported using market prices published by the SVO in accordance with the NAIC Valuation of Securities manual prepared by the SVO or an external pricing service;
- (3–4) The Company holds no common or preferred stock;
- (5) The Company holds no mortgage loans on real estate;
- (6) U.S. government and agency securities and corporate debt securities include loan-backed securities (mortgage-backed securities and asset-backed securities), which are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the book/adjusted carrying value, commonly referred to as amortized cost, of loan-backed securities are based on a three-month constant prepayment rate history obtained from external data source vendors. The Company’s investment policy limits investments in nonagency residential mortgage-backed securities, including home equity and sub-prime mortgages, to 10% of total cash and invested assets. Total combined investments in mortgage-backed securities and asset-backed securities cannot exceed more than 30% of total cash and invested assets;
- (7) The Company holds no investments in subsidiaries, controlled, or affiliated entities;
- (8) The Company has no investment interests with respect to joint ventures, partnerships, or limited liability companies;
- (9) The Company holds no derivatives;
- (10) Premium deficiency reserves (inclusive of conversion reserves) and the related expenses are recognized when it is probable that expected future health care expenses, claims adjustment expenses (“CAE”), direct administration costs, and an allocation of indirect administration costs under a group of existing contracts will exceed anticipated future premiums and reinsurance recoveries considered over the remaining lives of the contracts, and are recorded as aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Indirect administration costs arise from activities that are not specifically identifiable to a specific group of existing contracts, and therefore, those costs are fully allocated among the various contract groupings. The allocation of indirect administration costs to each contract grouping is made proportionately to the expected margins remaining in the premiums after future health care expenses, CAE, and direct administration costs are considered. The methods for making such estimates and for establishing the resulting reserves are periodically reviewed and updated, and any adjustments are reflected as (decrease) increase in reserves for accident and health contracts in the statutory basis statements of operations in the period in which the change in estimate is identified. The Company anticipates investment income as a factor in the premium deficiency calculation (see Note 30);
- (11) CAE are those costs expected to be incurred in connection with the adjustment and recording of accident and health claims. Pursuant to the terms of the Agreement (see Note 10), the Company pays a management fee to its affiliate, UHS, in exchange for administrative and management services. A detailed review of the administrative expenses of the Company and UHS is performed to determine the allocation between CAE and general administrative expenses (“GAE”) to be reported in the statutory basis statements of operations. It is the responsibility of UHS to pay CAE in the event the Company ceases operations. The Company has recorded an estimate of unpaid CAE associated with incurred but unpaid claims, which is included in unpaid CAE in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Management believes the amount of the liability for unpaid CAE as of December 31, 2018 is adequate to cover the Company’s cost for the adjustment and recording of unpaid claims; however, actual expenses may differ from those established estimates. Adjustments to the estimates for unpaid CAE are reflected in operating results in the period in which the change in estimate is identified;
- (12) The Company does not carry any fixed assets in the statutory basis financial statements;

- (13) Health care receivables consist of pharmacy rebates receivable estimated based on the most currently available data from the Company's claims processing systems and from data provided by the Company's affiliated pharmaceutical benefit manager, OptumRx, Inc. ("OptumRx"). Health care receivables also include receivables for amounts due to the Company for claim overpayments to providers, hospitals, and other health care organizations. Health care receivables are considered nonadmitted assets under the NAIC SAP if they do not meet admissibility requirements. Accordingly, the Company has excluded receivables that do not meet the admissibility criteria from the statutory basis statements of admitted assets, liabilities, and capital and surplus (see Note 28).

The Company has also deemed the following to be significant accounting policies and/or differences between statutory practices and GAAP:

ASSETS

Cash and Invested Assets

- Bonds include U.S. government and agency securities, state and agency municipal securities, city and county municipal securities, and corporate debt securities, with a maturity of greater than one year at the time of purchase;
- Certain debt investments categorized as available-for-sale or held-to-maturity under GAAP are presented at the lower of book/adjusted carrying value or fair value in accordance with the NAIC designations in the statutory basis financial statements, whereas under GAAP, these investments are shown at fair value or book/adjusted carrying value, respectively;
- Cash, cash equivalents, and short-term investments in the statutory basis financial statements represent cash balances and investments with original maturities of one year or less from the time of acquisition, whereas under GAAP, the corresponding caption of cash, cash equivalents, and short-term investments includes cash balances and investments that will mature in one year or less from the balance sheet date;
- Cash represents cash held by the Company in operating accounts;
- Cash equivalents represent money-market funds and U.S. treasury bills. Cash equivalents have original maturity dates of three months or less from the date of acquisition. Cash equivalents, excluding money-market funds are reported at cost or book/adjusted carrying value depending on the nature of the underlying security, which approximates fair value. Money-market funds are reported at fair value or net asset value ("NAV") as a practical expedient;
- Short-term investments represent U.S. government and agency securities, and corporate debt securities. Short-term investments have a maturity of greater than three months but less than one year at the time of purchase. Short-term investments also consist of the Company's share of an investment pool sponsored and administered by UHS. The investment pool consists principally of investments with original maturities of less than one year, with the average life of the individual investments being less than 60 days. The Company's share of the pool represents an undivided ownership interest in the pool and is immediately convertible to cash at no cost or penalty. The participants within the pool have an individual fund number to track those investments owned by the Company. In addition, the Company is listed as a participant in the executed custodial agreement between UHS and the custodian whereby the Company's share in the investment pool is segregated and separately maintained. The pool is primarily invested in government obligations, commercial paper, certificates of deposit, and short-term agency notes and is recorded at cost or book/adjusted carrying value depending on the composition of the underlying securities. Interest income from the pool accrues daily to participating members based upon ownership percentage;
- Realized capital gains and losses on sales of investments are calculated based upon specific identification of the investments sold. These gains and losses are reported as net realized capital (losses) gains less capital gains tax in the statutory basis statements of operations;
- The Company continually monitors the difference between amortized cost and estimated fair value of its investments. If any of the Company's investments experience a decline in value that the Company has determined is other-than-temporary, or if the Company has determined it will sell a security that is in an impaired status, the Company will record a realized loss in net realized capital (losses) gains less capital gains tax in the statutory basis statements of operations. The new cost basis is not changed for subsequent recoveries in fair value. The prospective adjustment method is utilized for loan-backed securities for periods subsequent to the loss recognition. The Company recognized an other-than-temporary impairment ("OTTI") of \$49,839 and \$0 for the years ended December 31, 2018 and 2017, respectively.

- The statutory basis statements of cash flows reconcile cash, cash equivalents, short-term investments and restricted cash reserves, with original maturities of one year or less from the time of acquisition; whereas under GAAP, pursuant to Accounting Standards Update 2016-18, *Statement of Cash Flows, Restricted Cash*, the statements of cash flows reconcile the corresponding captions of cash, cash equivalents, and restricted cash reserves with maturities of three months or less. Short-term investments with a final maturity of one year or less from the balance sheet date are not included in the reconciliation of GAAP cash flows. In addition, there are classification differences within the presentation of the cash flow categories between GAAP and statutory reporting. The statutory basis statements of cash flows are prepared in accordance with the NAIC Annual Statement Instructions.
- **Other Invested Assets**—Other invested assets include low-income housing tax credit (“LIHTC”) investments which are stated at book/adjusted carrying value, which approximates fair value in the statutory basis statements of admitted assets, liabilities and capital and surplus.

Other Assets

- **Investment Income Due and Accrued**—Investment income earned and due as of the reporting date, in addition to investment income earned but not paid or collected until subsequent periods, is reported as investment income due and accrued in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company evaluates the collectability of the amounts due and accrued and amounts determined to be uncollectible are written off in the period in which the determination is made. In addition, the remaining balance is assessed for admissibility and any balance greater than 90 days past due is considered a nonadmitted asset.
- **Premiums and Considerations**—The Company reports uncollected premium balances from its insured members as premiums and considerations in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Uncollected premium balances that are over 90 days past due, with the exception of amounts due from government insured plans, are considered nonadmitted assets. In addition to those balances, current balances are also considered nonadmitted if the corresponding balance greater than 90 days past due is deemed more than inconsequential. Premiums and considerations also include the following:
 - a) Risk adjustment receivables as defined in Section 1343 of The Patient Protection and Affordable Care Act (“ACA”). Premium adjustments are based upon the risk scores (health status) of enrollees participating in risk adjustment covered plans, rather than the actual loss experience of the insured. Effective for 2018 benefit plan year, the risk adjustments and distribution are calculated using a high-cost risk pool which adds a reinsurance-like element to this program. A risk adjustment receivable is recorded when the Company estimates its average actuarial risk score for policies included in this program is greater than the average actuarial risk scores in that market and state risk pool (see Note 24);
 - b) CMS risk corridor receivables for which adjustments are based on whether the ultimate per member per month (“PMPM”) benefit costs of any Medicare program plan varies more than 5% above the level estimated in the original bid submitted by the Company and approved by CMS (see Note 24);
 - c) CMS risk adjustment receivables. The risk adjustment model apportions premiums paid to all health plans according to the health severity and certain demographic factors of its enrollees. The CMS risk adjustment model pays more for members whose medical history indicates they have certain medical conditions. Under this risk adjustment methodology, CMS calculates the risk-adjusted premium payment using diagnosis data from hospital inpatient, hospital outpatient, and physician treatment settings. The Company and health care providers collect, capture, and submit the necessary and available diagnosis data to CMS within prescribed deadlines. The Company estimates risk adjustment revenues based upon the diagnosis data submitted and expected to be submitted to CMS. The Company recognizes such changes when the amounts become determinable and supportable and collectability is reasonably assured (see Note 24);
 - d) Premium withhold receivables from TennCare which are calculated as a percentage of the capitation rate based on the Company’s estimate of performance metrics that have been met as of the financial statement date (see Note 24);
 - e) Premium withhold receivables from IA DHS which are calculated as a percentage of the capitation rate based on the Company’s estimate of performance metrics that have been met as of the financial statement date (see Note 24);

- f) Risk adjustment receivable from IA DHS which represents adjustments to revenue that are based on a calculation performed by IA DHS consultants for each MCO participating in the program (see Note 24); and
- g) Risk pool receivables for Home Based Habilitation Services from IA DHS which are calculated as a percentage of the Iowa Medicaid fee-for-service schedule for the submitted claims (see Note 24).

Premium adjustment for the CMS risk corridor program is accounted for premium adjustment subject to retrospectively rated features (see Note 24). Premium adjustments for the ACA Section 1343 risk adjustment, CMS risk adjustment, TennCare premium withholds, IA DHS premium withholds, IA DHS risk adjustment, and IA DHS risk pool programs are accounted for as premium adjustments subject to redetermination (see Note 21 and Note 24).

- **Amounts Receivable Relating to Uninsured Plans**—Receivables for amounts held under uninsured plans represent the costs incurred in excess of the cost reimbursement under the Medicare program for the catastrophic reinsurance subsidy and the low-income member cost-sharing subsidy for the individual members. The Company is fully reimbursed by CMS for costs incurred for these contract elements, and accordingly, there is no insurance risk to the Company. Subsidies for individual members are received monthly and are not reflected as net premium income, but rather are accounted for as deposits. If the Company incurs costs in excess of these subsidies, a corresponding receivable is recorded in amounts receivable relating to uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Related cash flows are presented within operating expenses paid within net cash provided by operations in the statutory basis statements of cash flows. ACA mandates consumer discounts of 50% on brand name prescription drugs for Part D plan participants in the coverage gap. As part of the CGDP, the Company records a receivable from the pharmaceutical manufacturers for reimbursement of the discounts which is included in amounts receivable relating to uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Related cash flows are presented within operating expenses paid within net cash provided by operations in the statutory basis statements of cash flows. The Company solely administers the application of these funds and has no insurance risk.

The Company records a receivable related to the administration of TennCare's pharmaceutical benefit program ("PBM"). The PBM submits weekly invoices to the Company that reflects the total payment amount to be made to the TennCare pharmacy providers. When the invoice is received, the Company records a PBM receivable in amounts receivable relating to the uninsured plans. The Company will then receive a reimbursement for the invoice from TennCare and remits the amounts receivable to the PBM. The Company records a premium tax receivable for any amounts due from TennCare that remains unremitted at month-end, which is included in amounts receivable relating to uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company has no financial or member risk as a result of this relationship. The Company is operating in a pass-through capacity only.

The Company records receivables for the amounts due from TennCare for the administration of TennCare's ECF program (see Note 18), which are included in amounts receivable relating to uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus. These receivables consist of unreimbursed administration service fees, amounts due from TennCare for payments made/to be made to providers for members who have received ECF benefits, and any corresponding premium tax receivable due from TennCare that remains unremitted at month end. The Company has no financial or member risk for the benefits provided under the ECF program and is operating in a pass through capacity only.

The Company also records receivables for the amounts due from TennCare for the administration of TennCare's EMS, PCMH, and HDP programs (see Note 18), which are included in amounts receivable relating to uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus. These receivables consist of amounts due from TennCare for payments made/to be made to providers for members who have received EMS, PCMH, and HDP benefits and any corresponding premium tax receivable due from TennCare that remains unremitted at month end. The Company has no financial or member risk for the benefits provided under the EMS, PCMH and HDP programs and is operating in a pass through capacity only.

The Company also records receivables for the amounts due from IA DHS for the administration of enhanced rate payments related to the GME and the UIHCP programs through non-risk payments (see Note 18), which are included in amounts receivable relating to uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company has no financial or member risk related to these payments and is operating in a pass through capacity only.

- **Current Federal Income Tax Recoverable** — The Company is included in the consolidated federal income tax return with its ultimate parent, UnitedHealth Group, under which taxes approximate the amount that would have been computed on a separate company basis, with the exception of net operating losses and capital losses. For these losses, the Company receives a benefit at the federal rate in the current year for current taxable losses incurred in that year to the extent losses can be utilized in the consolidated federal income tax return of UnitedHealth Group. A current federal income tax recoverable is recognized when the Company's allocated intercompany estimated payments are more than its actual calculated obligation based on the Company's stand-alone federal income tax return (see Note 9).
- **Net Deferred Tax Asset**—The NAIC SAP provides for an amount to be recorded for deferred taxes on temporary differences between the financial reporting and tax bases of assets, subject to a valuation allowance and admissibility limitations on deferred tax assets (see Note 9). In addition, under the NAIC SAP, the change in deferred tax assets is recorded directly to unassigned surplus in the statutory basis financial statements, whereas under GAAP, the change in deferred tax assets is recorded as a component of the income tax provision within the income statement and is based on the ultimate recoverability of the deferred tax assets. Based on the admissibility criteria under the NAIC SAP, any deferred tax assets determined to be nonadmitted are charged directly to surplus and excluded from the statutory basis financial statements, whereas under GAAP, such assets are included in the balance sheet.
- **Administrative Transitional Payment Receivable**—The Company has recorded a receivable from IA DHS for new member administrative expenses of \$5,000,000 and \$3,000,000 as of December 31, 2018 and 2017, respectively, which is included in aggregate write-ins for other than invested assets in the statutory basis statements of admitted assets, liabilities, and capital and surplus and as a reduction to general administrative expenses in the statutory basis statements of operations.

LIABILITIES

- **Claims Unpaid and Aggregate Health Claim Reserves**—Claims unpaid and aggregate health claim reserves include claims processed but not yet paid, estimates for claims received but not yet processed, estimates for the costs of health care services enrollees have received but for which claims have not yet been submitted, and payments and liabilities for physician, hospital, and other medical costs disputes.

The estimates for incurred but not yet reported claims are developed using an actuarial process that is consistently applied, centrally controlled, and automated. The actuarial models consider factors such as historical submission and payment data, cost trends, customer and product mix, seasonality, utilization of health care services, contracted service rates, and other relevant factors. The Company estimates such liabilities for physician, hospital, and other medical cost disputes based upon an analysis of potential outcomes, assuming a combination of litigation and settlement strategies. These estimates may change as actuarial methods change or as underlying facts upon which estimates are based change. The Company did not change actuarial methods during 2018 and 2017. Management believes the amount of claims unpaid and aggregate health claim reserves is a best estimate of the Company's liability for unpaid claims and aggregate health claim reserves as of December 31, 2018; however, actual payments may differ from those established estimates.

The Company contracts with hospitals, physicians, and other providers of health care under capitated or discounted fee for service arrangements, including a hospital per diem to provide medical care services to enrollees. Some of these contracts are with related parties (see Note 10). Capitated providers are at risk for the cost of medical care services provided to the Company's enrollees; however, the Company is ultimately responsible for the provision of services to its enrollees should the capitated provider be unable to provide the contracted services.

- **Accrued Medical Incentive Pool and Bonus Amounts**—The Company has agreements with certain independent physicians and physician network organizations that provide for the establishment of a fund into which the Company places monthly premiums payable for members assigned to the physician. The Company manages the disbursement of funds from this account as well as reviews the utilization of nonprimary care medical services of members assigned to the physicians. Any surpluses in the fund are shared by the Company and the physician based upon a predetermined risk-sharing percentage and the liability is included in accrued medical incentive pool and bonus amounts in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company also has incentive and bonus arrangements with providers that are based on quality, utilization, and/or various health outcome measures. The estimated amount due to providers that meet the established metrics is included in accrued medical incentive pool and bonus amounts in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

- **Aggregate Health Policy Reserves**—The Company establishes a liability, for estimated accrued retrospective and redetermination premiums due from the Company based on the actuarial method and assumptions for each respective contract. Aggregate health policy reserves also includes:
 - a) Risk adjustment payables as defined in Section 1343 of the ACA. Premium adjustments are based upon the risk scores (health status) of enrollees participating in risk adjustment covered plans, rather than the actual loss experience of the insured. Effective for 2018 benefit plan year, the risk adjustments and distributions are calculated using a high-cost risk pool which adds a reinsurance-like element to this program. A risk adjustment payable is recorded when the Company estimates its average actuarial risk score for policies included in this program is less than the average actuarial risk scores in that market and state risk pool (see Note 24);
 - b) CMS risk corridor payables for which adjustments are based on whether the ultimate PMPM benefit costs of any Medicare program plan varies more than 5% below the level estimated in the original bid submitted by the Company and approved by CMS (see Note 24);
 - c) CMS risk adjustment payables. The risk adjustment model apportions premiums paid to all health plans according to the health severity and certain demographic factors of its enrollees. The CMS risk adjustment model pays more for members whose medical history indicates they have certain medical conditions. Under this risk adjustment methodology, CMS calculates the risk-adjusted premium payment using diagnosis data from hospital inpatient, hospital outpatient, and physician treatment settings. The Company and health care providers collect, capture, and submit the necessary and available diagnosis data to CMS within prescribed deadlines. If diagnosis data submitted to CMS needs to be corrected or deleted, the revised diagnosis data can be re-submitted. The Company estimates reductions to risk adjustment revenues and corresponding change in CMS risk adjustment payables based upon the diagnosis data submitted and expected to be submitted to CMS. The Company recognizes such changes when the amounts become determinable and supportable (see Note 24);
 - d) The Company holds an estimated reserve for recoupment for the rate differential between the TennCare capitation rate and the CHOICES 3 capitation rate for TennCare Medicaid members that the state has identified at-risk for nursing facility care that have not received home and community based services or nursing facility services within any 30 day period in which a member was enrolled in the CHOICES 3 program (see Note 21);
 - e) TennCare Medicaid estimated risk adjustment payables. The base capitation rates are adjusted annually by the State based on each MCO's health risk assessment scores. Health plan risk assessment scores are calibrated annually for the purpose of monitoring shifts in enrollment. If there is a significant change in health plan risk assessment scores, TennCare may adjust the original base capitation rates and redistribute across participating MCOs (see Note 24);
 - f) Commercial retrospectively rated contract payables. The Company has a contract with Ankeny Community School District that includes a retrospectively rated premium feature. The Company is subject to premium adjustments based on a medical target (see Note 24);
 - g) Estimated rebates payable on the comprehensive commercial and Medicare, including DSNP, products if the medical loss ratios on these fully insured products, as calculated under the definitions of the ACA and implementing regulations, fall below certain targets. The Company is required to rebate the ratable portions of the premiums annually (see Note 24); and
 - h) The estimated amount for premium deficiency reserves (see Note 30).
- **Premiums Received in Advance**—Premiums received in full for the policies processed during the current period, but prior to the commencement of the service period, are recorded as premiums received in advance in the statutory basis statements of admitted assets, liabilities, and capital and surplus.
- **General Expenses Due or Accrued**—General expenses that are due as of the reporting date in addition to general expenses that have been incurred but are not due until a subsequent period are reported as general expenses due or accrued in the statutory basis statements of admitted assets, liabilities, and capital and surplus. General expenses due or accrued also includes the amounts for unpaid assessments, premium taxes, state income taxes and the unpaid portion of the contributions required under the ACA risk adjustment (see Note 24).

The Company has a liability related to the administration of TennCare's PBM for premium taxes payable for amounts received from TennCare that remains unremitted at month end. This amount is included in general expenses due or accrued in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company has no financial or member risk as a result of this relationship. The Company is operating in a pass through capacity only.

The Company also has a liability related to the administration of TennCare's ECF, EMS, and PCMH programs for premium taxes payable for amounts received from TennCare that remains unremitted at month end. This amount is included in general expenses due or accrued in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company has no financial or member risk as a result of this program and is operating in a pass through capacity only.

- **Current Federal Income Taxes Payable**—The Company is included in the consolidated federal income tax return with its ultimate parent, UnitedHealth Group under which taxes approximate the amount that would have been computed on a separate company basis, with the exception of net operating losses and capital losses. For these losses, the Company receives a benefit at the federal rate in the current year for current taxable losses incurred in that year to the extent losses can be utilized in the consolidated federal income tax return of UnitedHealth Group. A liability for federal income taxes payable is recognized when its allocated intercompany estimated payments are less than its actual calculated obligation based on the Company's stand-alone federal income tax return (see Note 9).
- **Remittances and Items Not Allocated**—Remittances and items not allocated generally represent monies received from policyholders for monthly premium billings or providers that have not been specifically identified or applied prior to year-end. The majority is from monies received in the lockbox account on the last day of the year.
- **Amounts Due to Parent, Subsidiaries, and Affiliates, Net**—In the normal course of business, the Company has various transactions with related parties (see Note 10). The Company reports any unsettled amounts owed as amounts due to parent, subsidiaries, and affiliates, net, in the statutory basis statements of admitted assets, liabilities, and capital and surplus.
- **Payable for Securities**—The Company reports payable for securities when investments are traded at the end of an accounting period for which the settlement does not occur until the following month in the statutory basis statements of admitted assets, liabilities, and capital and surplus.
- **Liability for Amounts Held Under Uninsured Plans** — The ACA mandates consumer discounts of 50% on brand name prescription drugs for Part D plan participants in the coverage gap. These discounts are pre-funded for the individual members by CMS and a liability for the amount subject to recoupment is recorded in liability for amounts held under uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Related cash flows are presented within operating expenses paid within net cash provided by operations in the statutory basis statements of cash flows. The Company solely administers the application of these funds and has no insurance risk.

The Company records a payable related to the administration of TennCare's ECF, EMS, PCMH, and HDP programs and IA DHS' GME and UIHCP programs for amounts due to providers that have provided services to the members that remain unpaid as of month end which is included in liabilities for amounts held under uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company has no financial or member risk for the benefits provided under these programs and is operating in a pass through capacity only.

CAPITAL AND SURPLUS AND MINIMUM STATUTORY REQUIREMENTS

- **Nonadmitted Assets**—Certain assets, including certain aged premium receivables, certain health care receivables, certain deferred tax assets, prepaid expenses, and certain amounts receivable relating to uninsured plans, are considered nonadmitted assets under the NAIC SAP and are excluded from the statutory basis statements of admitted assets, liabilities, and capital and surplus and charged directly to unassigned surplus. Under GAAP, such assets are included in the balance sheet.

Restricted Cash Reserves—The Company held regulatory deposits in the amount of \$38,519,034 and \$44,442,483 as of December 31, 2018 and 2017, respectively, in compliance with the various state requirements for qualification purposes as a domestic and foreign insurer. These restricted cash reserves consist principally of government obligations and are stated at book/adjusted carrying value, which approximates fair value. These restricted deposits are included in bonds and short-term investments in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Interest earned on these deposits accrues to the Company.

Minimum Capital and Surplus—Under the laws of the State of Illinois, the IDOI requires the Company to maintain net worth of \$300,000 and a special contingency reserve of 5% of its net earned subscription revenue for health care services through December 31st of the year in which certified. In subsequent years, the organization must accumulate additions to the reserve in an amount equal to 2% of its net earned subscription revenue for each calendar year. Additional accumulations will no longer be required when the total special contingency reserve reaches \$1,500,000. The Company's accumulated reserve reached \$1,500,000 during 1989.

The Company has reported the IDOI minimum capital and surplus requirement of \$1,500,000, calculated pursuant to state statutes governing HMOs, as aggregate write-ins for other than special surplus funds, contingency reserve in the statutory basis statements of admitted assets, liabilities, and capital and surplus, whereas under GAAP, such reserves are included in retained earnings.

Risk-based capital ("RBC") is a regulatory tool for measuring the minimum amount of capital appropriate for a managed care organization to support its overall business operations in consideration of its size and risk profile. The IDOI requires the Company to maintain minimum capital and surplus equal to the greater of the state statute as outlined above, or the level needed to avoid action pursuant to the trend test in the RBC formula.

The Company is also subject to minimum capital and surplus requirements in other states where it is licensed to do business.

The Company has \$462,998,137 and \$446,288,192 in total statutory basis capital and surplus as of December 31, 2018 and 2017, respectively, which is in compliance with the required amounts where it is licensed to do business.

- **Section 9010 ACA Subsequent Fee Year Assessment** — The Company is subject to the Section 9010 ACA subsequent fee year assessment. Under the NAIC SAP, an amount equal to the estimated subsequent year fee must be apportioned out of unassigned surplus and reported as Section 9010 ACA subsequent fee year assessment, in the statutory basis statements of admitted assets, liabilities, and capital and surplus, whereas under GAAP, no such special surplus designation is required. In accordance with the 2019 Health Insurer Fee ("HIF") moratorium, no HIF will be payable in 2019, therefore no amounts were apportioned out of unassigned surplus in the 2018 statutory basis statements of admitted assets, liabilities, and capital and surplus.

STATEMENTS OF OPERATIONS

- **Net Premium Income and Change in Reserve for Rate Credits**—Revenues consist of net premium income that is recognized in the period in which enrollees are entitled to receive health care services. Net premium income is shown net of reinsurance premiums paid and reinsurance premiums incurred but not paid in the statutory basis statements of operations.

Comprehensive commercial health plans with medical loss ratios on fully insured products, as calculated under the definitions in the ACA (see Note 14) and implementing regulations, that fall below certain targets are required to rebate ratable portions of premiums annually. The Company classifies its estimated rebates as change in reserve for rate credits in the statutory basis statements of operations.

Pursuant to Section 1343 of the ACA, the Company records premium adjustments for changes to the risk adjustment balances which are included in net premium income in the statutory basis statements of operations.

Net premium income includes retroactive premiums from the Medicare Advantage run-out program and current premiums from CMS for the DSNP and FIDE-SNP, which includes CMS premium and CMS low-income premium subsidy for the Company's insurance risk coverage. Net premium income is recognized ratably over the period in which eligible individuals are entitled to receive health care services and prescription drug benefits.

Net premium income also includes amounts pursuant to the CMS risk adjustment program. The Company recognized \$2,237,036 and \$2,869,956 for changes in prior year Medicare risk factor estimates during the years ended December 31, 2018 and 2017, respectively, which are recorded as net premium income in the statutory basis statements of operations.

The Company also records estimates related to the CMS risk corridor program. Changes to these estimates are recorded in change in reserve for rate credits in the statutory basis statements of operations.

Medicare Advantage plans and Part D prescription drug plans, including DSNP and FIDE-SNP, are subject to medical loss ratio requirements under the ACA. Plans with medical loss ratios that fall below certain targets are required to rebate ratable portions of premiums annually. The Company classifies its estimated rebates as change in reserve for rate credits in the statutory basis statements of operations.

Net premium income also includes amounts paid by TennCare for the membership enrolled under the at-risk agreement. Premiums are contractual and are recognized in the coverage period in which members are entitled to receive services. The majority of net premium income recorded is based on capitated rates, which are monthly premiums paid for each member enrolled.

The Company records withhold receivables in addition to risk adjustment receivables from TennCare. The changes to the risk adjustment receivable are driven by the estimated impact to the capitation rates initially received as a result of TennCare recalibrating the rates annually for participating MCOs in the Medicaid program. Changes to the estimated amounts recorded as a result of further refined information are reflected as net premium income in the statutory basis statements of operations.

Net premium income also includes amounts received from the State of Iowa for membership enrolled in the Iowa Health Link program. Premiums are contractual and are recognized in the coverage period in which members are entitled to receive services, except in the case of maternity payments. Maternity income is billed on contractual rates and recognized as income as each birth case is identified by the Company.

Lastly, the Company records withholds receivable in addition to risk adjustment and risk pool receivables from IA DHS. The risk adjustment receivables are based on a calculation performed by IA DHS consultants for each MCO. Changes to these receivable calculations are reflected as net premium income in the statutory basis statements of operations.

- **Total Hospital and Medical Expenses**—Total hospital and medical expenses include claims paid, claims processed but not yet paid, estimates for claims received but not yet processed, estimates for the costs of health care services enrollees have received but for which claims have not yet been submitted, and payments and liabilities for physician, hospital, and other medical costs disputes.

Total hospital and medical expenses also include amounts incurred for incentive pool, withhold adjustments, and bonus amounts that are based on the underlying contractual provisions with the respective provider. In addition, adjustments to claims unpaid estimates and aggregate health claim reserves are reflected in the period once the change in estimate is identified and included in total hospital and medical expenses in the statutory basis statements of operations.

- **General Administrative Expenses**—Pursuant to the terms of the Agreement (see Note 10), the Company pays a management fee to UHS in exchange for administrative and management services. Costs for items not included within the scope of the Agreement are directly expensed as incurred. State income taxes are also a component of GAE. A detailed review of the administrative expenses of the Company and UHS is performed to determine the allocation between CAE and GAE to be reported in the statutory basis statements of operations.

The Company is subject to an annual fee under Section 9010 of the ACA. A health insurance entity's annual fee becomes payable once the entity provides health insurance for any U.S. health risk during the calendar year, which is nondeductible for tax purposes. Under the NAIC SAP, the entire amount of the estimated annual fee expense is recognized on January 1 of the fee year in GAE in the statutory basis statements of operations, whereas under GAAP, a deferred asset is created on January 1 of the fee year which is amortized to expense on a straight-line basis throughout the year.

Administrative fee revenues include amounts related to the TennCare ECF program. TennCare reimburses the Company on a PMPM basis for actual and reasonable administration costs to support the ECF program. As the Company has neither the obligation for funding the ECF claims, nor the primary responsibility for providing the medical care, the Company does not recognize net premium revenue and hospital/medical expenses for these contracts. Administrative fee revenue and related expenses for this program are netted against GAE in the statutory basis statements of operations (see Note 18). Also included in administrative fee revenue is \$2,000,000 and \$3,000,000 as of December 31, 2018 and 2017, respectively for the administrative transitional payment due from IA DHS for the estimated reimbursement of the administrative costs associated with the new membership.

- **Net Investment Income Earned**—Net investment income earned includes investment income collected during the period, as well as the change in investment income due and accrued on the Company's holdings. Amortization of premium or discount on bonds and certain external investment management costs are also included in net investment income earned (see Note 7).
- **Federal Income Taxes (Benefit) Incurred** —The provision for federal income taxes (benefit) incurred is calculated based on applying the statutory federal income tax rate of 21% in 2018 and 35% in 2017 to net (loss) income before federal income taxes and net realized capital (losses) gains subject to certain adjustments (see Note 9).
- **Comprehensive Income**—Comprehensive income and its components are not separately presented in the statutory basis financial statements, whereas under GAAP, it is a requirement to present comprehensive income and its components in the financial statements.

REINSURANCE

- **Reinsurance Ceded** — In the normal course of business, the Company seeks to limit its exposure to loss on any single insured and to recover a portion of benefits paid by ceding premium to other insurance enterprises or reinsurers under excess coverage contracts. The Company remains primarily liable as the direct insurer on the risks reinsured. Reinsurance premiums paid and reinsurance premiums incurred but not paid are deducted from net premium income in the statutory basis statements of operations (see Note 23).

The Company has an excess loss reinsurance agreement on its point of service product with UnitedHealthcare Insurance Company ("UHIC"), an affiliate, whereby \$0.32 PMPM is ceded to UHIC to cover certain hospital claims in excess of defined limits.

The Company also has an insolvency-only reinsurance agreement with UHIC whereby 0.1% of net premium income is ceded to UHIC.

- **Ceded Reinsurance Premiums Payable**—The ceded reinsurance premiums payable balance represents amounts due to the reinsurer for specified coverage which will be paid based on the contract terms.

OTHER

- **Vulnerability Due to Certain Concentrations**—The Company is subject to substantial federal and state government regulation, including licensing and other requirements relating to the offering of the Company's existing products in new markets and offerings of new products, both of which may restrict the Company's ability to expand its business.

The Company has no commercial customers that individually exceed 10% of total direct premiums written and uncollected premiums, including receivables for contracts subject to redetermination, for the years ended December 31, 2018 and 2017.

Direct premiums written and uncollected premiums, including receivables for contracts subject to redetermination, from CMS related to DSNP, FIDE-SNP, and run-out Medicare Advantage and the Medicare Part D program as a percentage of total direct premiums written and total uncollected premiums, including receivables for contracts subject to redetermination, are 14.6% and 17.7% as of December 31, 2018 and 19.2% and 27.2% as of December 31, 2017, respectively.

Direct premiums written and uncollected premiums, including receivables for contracts subject to redetermination, from the State of Tennessee, TennCare program as a percentage of total direct premiums written and total uncollected premiums, including receivables for contracts subject to redetermination, are 33.1% and 30.7% as of December 31, 2018 and 47.3% and 17.2% as of December 31, 2017, respectively.

Direct premiums written and uncollected premiums, including receivables for contracts subject to redetermination, from the IA DHS as a percentage of total direct premiums written and total uncollected premiums, including receivables for contracts subject to redetermination, are 49.7% and 50.4% as of December 31, 2018 and 29.9% and 55.1% as of December 31, 2017, respectively.

Recently Issued Accounting Standards— The Company reviewed all recently issued guidance in 2018 and 2017 that has been adopted for 2018 or subsequent years' implementation and has determined that none of the items would have a significant impact to the statutory basis financial statements.

D. Going Concern

The Company has the ability and will continue to operate for a period of time sufficient to carry out its commitments, obligations and business objectives.

2. ACCOUNTING CHANGES AND CORRECTION OF ERRORS

No changes in accounting principles or correction of errors have been recorded during the years ended December 31, 2018 and 2017.

3. BUSINESS COMBINATIONS AND GOODWILL

A–D. The Company was not party to a business combination during the years ended December 31, 2018 and 2017, and does not carry goodwill in its statutory basis statements of admitted assets, liabilities, and capital and surplus.

4. DISCONTINUED OPERATIONS

A. Discontinued Operation Disposed of or Classified as Held for Sale

(1–4) The Company did not have any discontinued operations disposed of or classified as held for sale during 2018 and 2017.

B. Change in Plan of Sale of Discontinued Operation—Not applicable.

C. Nature of any Significant Continuing Involvement with Discontinued Operations after Disposal—Not applicable.

D. Equity Interest Retained in the Discontinued Operation after Disposal—Not applicable.

5. INVESTMENTS AND OTHER INVESTED ASSETS

For purposes of calculating gross realized gains and losses on sales of investments, the amortized cost of each investment sold is used. The gross realized gains and losses on sales of long-term investments were \$222,103 and \$213,560, respectively, for 2018 and \$1,133,693 and \$169,716, respectively, for 2017. The gross realized losses on sales of short-term investments were \$343 for 2018. There were no gross realized gains and losses on sales of short-term investments in 2017. The net realized gain is included in net realized capital (losses) gains less capital gains tax in the statutory basis statements of operations. Total proceeds on the sale of long-term investments were \$50,282,572 and \$104,804,029 and for short-term investments were \$6,448,568,406 and \$7,232,040,081 in 2018 and 2017, respectively.

As of December 31, 2018 and 2017, the book/adjusted carrying value, fair value, and gross unrecognized unrealized gains and losses of the Company's investments, excluding cash and cash equivalents of \$360,269,993 and \$168,490,781, respectively, are as follows:

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

	2018				
	Book/Adjusted Carrying Value	Gross Unrealized Gains	Gross Unrealized Losses < 1 Year	Gross Unrealized Losses > 1 Year	Fair Value
U.S. government and agency securities	\$ 186,169,154	\$ 393,489	\$ 234,032	\$ 3,712,409	\$ 182,616,202
State and agency municipal securities	83,470,780	422,923	42,737	487,437	83,363,529
City and county municipal securities	88,792,172	547,264	61,528	401,019	88,876,889
Corporate debt securities (includes commercial paper)	251,019,306	131,357	1,726,038	1,673,921	247,750,704
Other invested assets	11,787,076	-	-	-	11,787,076
Total bonds, short-term investments, and other invested assets	<u>\$ 621,238,488</u>	<u>\$ 1,495,033</u>	<u>\$ 2,064,335</u>	<u>\$ 6,274,786</u>	<u>\$ 614,394,400</u>

	2018				
	Book/Adjusted Carrying Value	Gross Unrealized Gains	Gross Unrealized Losses < 1 Year	Gross Unrealized Losses > 1 Year	Fair Value
Less than one year	\$ 73,643,411	\$ 6,301	\$ 53,416	\$ 56,031	\$ 73,540,265
One to five years	316,117,255	408,217	844,304	2,568,643	313,112,525
Five to ten years	162,264,372	1,028,257	1,105,702	1,673,106	160,513,821
Over ten years	69,213,450	52,258	60,913	1,977,006	67,227,789
Total bonds, short-term investments, and other invested assets	<u>\$ 621,238,488</u>	<u>\$ 1,495,033</u>	<u>\$ 2,064,335</u>	<u>\$ 6,274,786</u>	<u>\$ 614,394,400</u>

	2017				
	Book/Adjusted Carrying Value	Gross Unrealized Gains	Gross Unrealized Losses < 1 Year	Gross Unrealized Losses > 1 Year	Fair Value
U.S. government and agency securities	\$ 184,367,289	\$ 89,329	\$ 451,595	\$ 2,301,391	\$ 181,703,632
State and agency municipal securities	107,886,220	1,023,450	168,578	177,624	108,563,468
City and county municipal securities	97,419,799	840,877	390,252	-	97,870,424
Corporate debt securities (includes commercial paper)	212,757,139	723,437	314,166	466,939	212,699,471
Other invested assets	13,660,076	-	-	-	13,660,076
Total bonds, short-term investments, and other invested assets	<u>\$ 616,090,523</u>	<u>\$ 2,677,093</u>	<u>\$ 1,324,591</u>	<u>\$ 2,945,954</u>	<u>\$ 614,497,071</u>

Included in U.S. government and agency securities and corporate debt securities in the tables above are mortgage-related loan-backed securities, which do not have a single maturity date. For the years to maturity table above, these securities have been presented in the maturity group based on the securities' final maturity date and at a book/adjusted carrying value of \$55,922,470 and fair value of \$53,899,990.

The following table illustrates the fair value and gross unrecognized unrealized losses, aggregated by investment category and length of time that the individual securities have been in a continuous unrecognized unrealized loss position as of December 31, 2018 and 2017:

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

	2018					
	< 1 Year		> 1 Year		Total	
	Fair Value	Gross Unrealized Losses	Fair Value	Gross Unrealized Losses	Fair Value	Gross Unrealized Losses
U.S. government and agency securities	\$ 53,618,285	\$ 234,032	\$ 100,605,885	\$ 3,712,409	\$ 154,224,170	\$ 3,946,441
State and agency municipal securities	17,480,291	42,737	29,350,108	487,437	46,830,399	530,174
City and county municipal securities	9,295,285	61,528	26,045,128	401,019	35,340,413	462,547
Corporate debt securities (includes commercial paper)	136,995,020	1,726,038	64,266,644	1,673,921	201,261,664	3,399,959
Total bonds and short-term investments	\$ 217,388,881	\$ 2,064,335	\$ 220,267,765	\$ 6,274,786	\$ 437,656,646	\$ 8,339,121

	2017					
	< 1 Year		> 1 Year		Total	
	Fair Value	Gross Unrealized Losses	Fair Value	Gross Unrealized Losses	Fair Value	Gross Unrealized Losses
U.S. government and agency securities	\$ 69,143,022	\$ 451,597	\$ 107,930,876	\$ 2,301,391	\$ 177,073,898	\$ 2,752,988
State and agency municipal securities	33,884,683	168,577	8,726,505	177,624	42,611,188	346,201
City and county municipal securities	47,151,448	390,252	-	-	47,151,448	390,252
Corporate debt securities (includes commercial paper)	94,624,460	314,165	23,467,727	466,939	118,092,187	781,104
Total bonds and short-term investments	\$ 244,803,613	\$ 1,324,591	\$ 140,125,108	\$ 2,945,954	\$ 384,928,721	\$ 4,270,545

The unrecognized unrealized losses on investments in U.S. government and agency securities, state and agency municipal securities, city and county municipal securities, and corporate debt securities at December 31, 2018 and 2017, were mainly caused by interest rate increases and not by unfavorable changes in the credit ratings associated with these securities. The Company evaluates impairment at each reporting period for each of the securities whereby the fair value of the investment is less than its book/adjusted carrying value. The contractual cash flows of the U.S. government and agency securities are guaranteed either by the U.S. government or an agency of the U.S. government. It is expected that the securities would not be settled at a price less than the cost of the investment, and the Company does not intend to sell the investment until the unrealized loss is fully recovered. The Company evaluated the credit ratings of the municipal, local agency and corporate debt securities, noting whether a significant deterioration since purchase or other factors that may indicate an OTTI, such as the length of time and extent to which fair value has been less than cost, the financial condition, and near-term prospects of the issuer as well as specific events or circumstances that may influence the operations of the issuer and the Company's intent to sell the investment. Additionally, the Company evaluated its intent and ability to retain loan-backed securities for a period of time sufficient to recover the amortized cost. As a result of these reviews, the Company recorded an OTTI of \$49,839 and \$0 as of December 31, 2018 and 2017, respectively, which are included in net realized capital (losses) gains less capital gains tax in the statutory basis statements of operations.

A-C. The Company has no mortgage loans, real estate loans, restructured debt, or reverse mortgages. The Company also has no real estate property occupied by the Company, real estate property held for the production of income, or real estate property held for sale.

D. Loan-Backed Securities

- (1) U.S. government and agency securities and corporate debt securities include loan-backed securities (mortgage-backed securities and asset-backed securities), which are valued using the retrospective adjustment methodology. Prepayment assumptions for the determination of the book/adjusted carrying value, commonly referred to as amortized cost, of loan-backed securities are based on a three-month constant prepayment rate history obtained from external data source vendors.
- (2) The Company did not recognize any OTTIs on loan-backed securities as of December 31, 2018 and 2017.
- (3) The Company did not have any loan-backed securities with OTTIs to report by CUSIP as of December 31, 2018 or 2017.

- (4) The following table illustrates the fair value, gross unrecognized unrealized losses, and length of time that the loan-backed securities have been in a continuous unrecognized unrealized loss position as of December 31, 2018 and 2017:

	2018
The aggregate amount of unrealized losses:	
1. Less than 12 months	\$ 96,766
2. 12 months or longer	2,269,875
The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 months	32,080,386
2. 12 months or longer	73,011,183
	2017
The aggregate amount of unrealized losses:	
1. Less than 12 months	\$ 223,559
2. 12 months or longer	1,132,560
The aggregate related fair value of securities with unrealized losses:	
1. Less than 12 months	48,006,391
2. 12 months or longer	46,431,767

- (5) The Company believes that it will collect all principal and interest due on all investments that have an amortized cost in excess of fair value. The unrecognized unrealized losses as of December 31, 2018 and 2017 were primarily caused by interest rate increases and not by unfavorable changes in the credit ratings associated with these securities.

E. Dollar Repurchase Agreements and/or Securities Lending Transactions—Not applicable.

F. Repurchase Agreements Transactions Accounted for as Secured Borrowing — Not applicable.

G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing—Not applicable.

H. Repurchase Agreements Transactions Accounted for as a Sale—Not applicable.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale—Not applicable.

J. Real Estate—Not applicable.

K. Low-Income Housing Tax Credits (“LIHTC”)

(1–7) LIHTC investments of \$11,787,076 and \$13,660,076 as of December 31, 2018 and 2017, respectively, are included in other invested assets in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company also has a corresponding liability of \$0 and \$981,451 as of December 31, 2018 and 2017, respectively. The number of remaining years of unexpired tax credits is six and the required holding period for the LIHTC investments is eleven years. The LIHTC investments are not currently subject to any regulatory reviews. The Company did not recognize any impairment losses, write-downs, or reclassifications during 2018 or 2017.

L. Restricted Assets

- (1) Restricted assets, including pledged securities as of December 31, 2018 and 2017, are presented below:

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Restricted Asset Category	1	2	3	4	5	6	7
	Total Gross (Admitted & Nonadmitted) Restricted From Current Year	Total Gross (Admitted & Nonadmitted) Restricted From Prior Year	Increase/ (Decrease) (1 Minus 2)	Total Current Year Nonadmitted Restricted	Total Current Year Admitted Restricted (1 minus 4)	Gross (Admitted & Nonadmitted) Restricted to Total Assets (a)	Admitted Restricted to Total Admitted Assets (b)
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	\$ -	\$ -	\$ -	0 %	0 %
b. Collateral held under security lending agreements	-	-	-	-	-	0 %	0 %
c. Subject to repurchase agreements	-	-	-	-	-	0 %	0 %
d. Subject to reverse repurchase agreements	-	-	-	-	-	0 %	0 %
e. Subject to dollar repurchase agreements	-	-	-	-	-	0 %	0 %
f. Subject to dollar reverse repurchase agreements	-	-	-	-	-	0 %	0 %
g. Placed under option contracts	-	-	-	-	-	0 %	0 %
h. Letter stock or securities restricted as to sale—excluding FHLB capital stock	-	-	-	-	-	0 %	0 %
i. FHLB capital stock	-	-	-	-	-	0 %	0 %
j. On deposit with states	38,519,034	44,442,483	(5,923,449)	-	38,519,034	3 %	3 %
k. On deposit with other regulatory bodies	-	-	-	-	-	0 %	0 %
l. Pledged as collateral to FHLB (including assets backing funding agreements)	-	-	-	-	-	0 %	0 %
m. Pledged as collateral not captured in other categories	-	-	-	-	-	0 %	0 %
n. Other restricted assets	-	-	-	-	-	0 %	0 %
o. Total restricted assets	\$ 38,519,034	\$ 44,442,483	\$ (5,923,449)	\$ -	\$ 38,519,034	3 %	3 %

(a) Column 1 divided by Asset Page, Column 1, Line 28
(b) Column 5 divided by Asset Page, Column 3, Line 28

(2–4) The Company has no assets pledged as collateral not captured in other categories and no other restricted assets as of December 31, 2018 or 2017.

M. Working Capital Finance Investments—Not applicable.

N. Offsetting and Netting of Assets and Liabilities

The Company does not have any offsetting or netting of assets and liabilities as it relates to derivatives, repurchase and reverse repurchase agreements, and securities borrowing and securities lending activities.

O. Structured Notes

The Company does not have any structured notes.

P. 5GI Securities

The Company does not have any investments with an NAIC designation of 5GI as of December 31, 2018 and 2017.

Q. Short Sales—Not applicable.

R. Prepayment Penalty and Acceleration Fees—Not applicable.

6. JOINT VENTURES, PARTNERSHIPS, AND LIMITED LIABILITY COMPANIES

A–B. The Company has no investments in joint ventures, partnerships, or limited liability companies that exceed 10% of admitted assets and did not recognize any impairment write-down for its investments in joint ventures, partnerships, and limited liability companies during the statement periods.

7. INVESTMENT INCOME

A. The Company excludes all investment income due and accrued amounts that are over 90 days past due from the statutory basis statements of admitted assets, liabilities, and capital and surplus.

B. There were no investment income amounts excluded from the statutory basis financial statements.

8. DERIVATIVE INSTRUMENTS

A–H. The Company has no derivative instruments.

9. INCOME TAXES

The Tax Cuts and Jobs Act (“Tax Reform”) enacted by the U.S. federal government in December 2017 changed the existing United States tax law including reducing the U.S. corporate income tax rate from 35% in 2017 to 21% beginning in 2018. The Company accounted for the impacts of Tax Reform and as of December 31, 2017, remeasured its deferred tax assets/(liabilities) at the 21% enacted tax rate.

A. Deferred Tax Asset/Liability

(1) The components of the net deferred tax asset at December 31, 2018 and 2017, are as follows:

	2018			2017			Change		
	1 Ordinary	2 Capital	3 (Col 1 + 2) Total	4 Ordinary	5 Capital	6 (Col 4 + 5) Total	7 (Col 1 - 4) Ordinary	8 (Col 2 - 5) Capital	9 (Col 7 + 8) Total
(a) Gross deferred tax asset	\$ 14,045,764	\$ 959	\$ 14,046,723	\$ 23,116,854	\$ 629	\$ 23,117,483	\$ (9,071,090)	\$ 330	\$ (9,070,760)
(b) Statutory valuation allowance adjustments	-	-	-	-	-	-	-	-	-
(c) Adjusted gross deferred tax assets (1a - 1b)	14,045,764	959	14,046,723	23,116,854	629	23,117,483	(9,071,090)	330	(9,070,760)
(d) Deferred tax assets nonadmitted	-	-	-	-	-	-	-	-	-
(e) Subtotal net admitted deferred tax asset (1c - 1d)	14,045,764	959	14,046,723	23,116,854	629	23,117,483	(9,071,090)	330	(9,070,760)
(f) Deferred tax liabilities	877,206	3,329	880,535	60,866	19,290	80,156	816,340	(15,961)	800,379
(g) Net admitted deferred tax asset/(net deferred tax liability) (1e - 1f)	\$ 13,168,558	\$ (2,370)	\$ 13,166,188	\$ 23,055,988	\$ (18,661)	\$ 23,037,327	\$ (9,887,430)	\$ 16,291	\$ (9,871,139)

(2) The components of the adjusted gross deferred tax assets admissibility calculation under SSAP No. 101, *Income Taxes—A Replacement of SSAP No. 10R and SSAP No. 10*, are as follows:

Admission Calculation Components SSAP No. 101	2018			2017			Change		
	1 Ordinary	2 Capital	3 (Col 1 + 2) Total	4 Ordinary	5 Capital	6 (Col 4 + 5) Total	7 (Col 1 - 4) Ordinary	8 (Col 2 - 5) Capital	9 (Col 7 + 8) Total
(a) Federal income taxes paid in prior years recoverable through loss carrybacks	\$ 14,045,764	\$ 550	\$ 14,046,314	\$ 23,116,854	\$ 629	\$ 23,117,483	\$ (9,071,090)	\$ (79)	\$ (9,071,169)
(b) Adjusted gross deferred tax assets expected to be realized (excluding the amount of deferred tax assets from 2(a) above) after application of the threshold limitation. (The lesser of 2(b)1 and 2(b)2 below)	-	-	-	-	-	-	-	-	-
1. Adjusted gross deferred tax assets expected to be realized following the balance sheet date	-	-	-	-	-	-	-	-	-
2. Adjusted gross deferred tax assets allowed per limitation threshold	XXX	XXX	44,983,195	XXX	XXX	63,487,630	XXX	XXX	(18,504,435)
(c) Adjusted gross deferred tax assets (excluding the amount of deferred tax assets from 2(a) and 2(b) above) offset by gross deferred tax liabilities	-	409	409	-	-	-	-	409	409
(d) Deferred tax assets admitted as the result of application of SSAP No. 101 Total (2(a) + 2(b) + 2(c))	\$ 14,045,764	\$ 959	\$ 14,046,723	\$ 23,116,854	\$ 629	\$ 23,117,483	\$ (9,071,090)	\$ 330	\$ (9,070,760)

- (3) The ratio percentage and adjusted capital and surplus used to determine the recovery period and threshold limitations for the admissibility calculation are presented below:

	2018	2017
(a) Ratio percentage used to determine recovery period and threshold limitation amount	225 %	382 %
(b) Amount of adjusted capital and surplus used to determine recovery period and threshold limitation in 2(b)(2) above	\$ 449,831,949	\$ 423,250,865

- (4) The impact to the gross deferred tax assets balances as a result of tax-planning strategies as of December 31, 2018 and 2017, is presented below:

Impact of Tax-Planning Strategies	2018		2017		Change	
	1 Ordinary	2 Capital	3 Ordinary	4 Capital	5 (Col 1 - 3) Ordinary	6 (Col 2 - 4) Capital
(a) Determination of adjusted gross deferred tax assets and net admitted deferred tax assets by tax character as a percentage.						
1. Adjusted gross DTAs amount from Note 9A1(c)	\$ 14,045,764	\$ 959	\$ 23,116,854	\$ 629	\$ (9,071,090)	\$ 330
2. Percentage of adjusted gross DTAs by tax character attributable to the impact of tax-planning strategies	- %	- %	- %	- %	- %	- %
3. Net admitted adjusted gross DTAs amount from Note 9A1(e)	\$ 14,045,764	\$ 959	\$ 23,116,854	\$ 629	\$ (9,071,090)	\$ 330
4. Percentage of net admitted adjusted gross DTAs by tax character admitted because of the impact of tax-planning strategies	- %	- %	- %	- %	- %	- %
(b) Does the Company's tax-planning strategies include the use of reinsurance?			Yes _____		No _____	X _____

B. Unrecognized Deferred Tax Liabilities

- (1-4) There are no unrecognized deferred tax liabilities for the years ended December 31, 2018 and 2017.

C. Significant Components of Income Taxes

- (1) The current federal and foreign income taxes (benefit) incurred for the years ended December 31, 2018 and 2017 are as follows:

	1 2018	2 2017	3 (Col 1 - 2) Change
1. Current income tax			
(a) Federal	\$ (7,656,306)	\$ 27,714,201	\$ (35,370,507)
(b) Foreign	-	-	-
(c) Subtotal	(7,656,306)	27,714,201	(35,370,507)
(d) Federal income tax on net capital gains	8,107	357,200	(349,093)
(e) Utilization of capital loss carryforwards	-	-	-
(f) Other	-	-	-
(g) Total federal and foreign income taxes (benefit) incurred	<u>\$ (7,648,199)</u>	<u>\$ 28,071,401</u>	<u>\$ (35,719,600)</u>

(2-4) The tax effects of temporary differences that give rise to significant portions of the deferred tax assets and liabilities as of December 31, 2018 and 2017, are as follows:

	1	2	3
	2018	2017	(Col 1 - 2) Change
2 Deferred tax assets:			
(a) Ordinary:			
(1) Discounting of unpaid losses	\$ 1,927,540	\$ 758,061	\$ 1,169,479
(2) Unearned premium reserve	93,908	147,950	(54,042)
(3) Policyholder reserves	1,075,410	9,211,020	(8,135,610)
(4) Investments	-	-	-
(5) Deferred acquisition costs	-	-	-
(6) Policyholder dividends accrual	-	-	-
(7) Fixed assets	-	-	-
(8) Compensation and benefits accrual	-	-	-
(9) Pension accrual	-	-	-
(10) Receivables—nonadmitted	5,020,838	4,289,096	731,742
(11) Net operating loss carryforward	-	-	-
(12) Tax credit carryforward	-	-	-
(13) Other (including items <5% of total ordinary tax assets)	<u>5,928,068</u>	<u>8,710,727</u>	<u>(2,782,659)</u>
(99) Subtotal	14,045,764	23,116,854	(9,071,090)
(b) Statutory valuation allowance adjustment	-	-	-
(c) Nonadmitted	-	-	-
(d) Admitted ordinary deferred tax assets (2a99 - 2b - 2c)	<u>14,045,764</u>	<u>23,116,854</u>	<u>(9,071,090)</u>
(e) Capital:			
(1) Investments	-	-	-
(2) Net capital loss carryforward	-	-	-
(3) Real estate	-	-	-
(4) Other (including items <5% of total capital tax assets)	<u>959</u>	<u>629</u>	<u>330</u>
(99) Subtotal	959	629	330
(f) Statutory valuation allowance adjustment	-	-	-
(g) Nonadmitted	-	-	-
(h) Admitted capital deferred tax assets (2e99 - 2f - 2g)	<u>959</u>	<u>629</u>	<u>330</u>
(i) Admitted deferred tax assets (2d + 2h)	<u>14,046,723</u>	<u>23,117,483</u>	<u>(9,070,760)</u>
3 Deferred tax liabilities:			
(a) Ordinary:			
(1) Investments	118,972	36,965	82,007
(2) Fixed assets	-	-	-
(3) Deferred and uncollected premium	-	-	-
(4) Policyholder reserves	-	-	-
(5) Other (including items <5% of total ordinary tax liabilities)	<u>758,234</u>	<u>23,901</u>	<u>734,333</u>
(99) Subtotal	<u>877,206</u>	<u>60,866</u>	<u>816,340</u>
(b) Capital:			
(1) Investments	3,329	19,290	(15,961)
(2) Real estate	-	-	-
(3) Other (including items <5% of total capital tax liabilities)	-	-	-
(99) Subtotal	<u>3,329</u>	<u>19,290</u>	<u>(15,961)</u>
(c) Deferred tax liabilities (3a99 + 3b99)	<u>880,535</u>	<u>80,156</u>	<u>800,379</u>
4 Net deferred tax assets/liabilities (2i - 3c)	<u>\$ 13,166,188</u>	<u>\$ 23,037,327</u>	<u>\$ (9,871,139)</u>

The other ordinary deferred tax asset of \$5,928,068 for 2018 consists of intangibles of \$5,320,165, other items of \$604,167, and general expenses of \$3,736. The other ordinary deferred tax asset of \$8,710,727 for 2017 consists of intangibles of \$7,793,916, bad debt of \$481,471, other items of \$429,412, prepaid expenses of \$5,140, and general expenses of \$788. The other capital deferred tax asset of \$959 for 2018 consists of unrealized losses. The other capital deferred tax asset of \$629 for 2017 consists of unrealized losses. The other ordinary deferred tax liability of \$758,234 for 2018 consists of discounting of unpaid losses of \$747,629 and premium acquisition expense of \$10,605. The other ordinary deferred tax liability of \$23,901 for 2017 consists of premium acquisition expense.

The Company's measurement of the income tax effects on Tax Reform for the year ended December 31, 2017 was reasonably estimated. The Company has completed the accounting for the income tax effects of Tax Reform by the end of the measurement period in 2018.

The Company assessed the potential realization of the gross deferred tax asset and as a result no statutory valuation allowance was required and no allowance was established as of December 31, 2018 and 2017.

- D. The provision for federal income taxes (benefit) incurred is different from that which would be obtained by applying the statutory federal income tax rate of 21% in 2018 and 35% in 2017 to net income before federal income taxes (benefit) incurred, plus capital gains tax. A summarization of the significant items causing this difference as of December 31, 2018 and 2017 is as follows:

	2018		2017	
	Amount	Effective Tax Rate	Amount	Effective Tax Rate
Tax provision at the federal statutory rate	\$ (7,897,421)	21%	\$ 32,964,304	35%
Tax-exempt interest	(597,470)	2%	(1,276,743)	(1)%
Health insurer fee	13,385,071	(36)%	-	0%
Current year tax credit	(1,911,946)	5%	(1,920,092)	(2)%
Tax effect of nonadmitted assets	(726,345)	2%	4,244,952	4%
Change in tax law	(28,619)	0%	15,357,799	16%
Total statutory income taxes	<u>\$ 2,223,270</u>	<u>(6)%</u>	<u>\$ 49,370,220</u>	<u>52%</u>
Federal income taxes incurred	\$ (7,656,306)	20%	\$ 27,714,201	29%
Capital gains tax	8,107	(0)%	357,200	0%
Change in net deferred income tax	<u>9,871,469</u>	<u>(26)%</u>	<u>21,298,819</u>	<u>23%</u>
Total statutory income taxes	<u>\$ 2,223,270</u>	<u>(6)%</u>	<u>\$ 49,370,220</u>	<u>52%</u>

- E. At December 31, 2018, the Company had no net operating loss carryforwards.

Current federal income taxes (recoverable) payable of \$(442,651) and \$27,006,397 as of December 31, 2018 and 2017, respectively, are included in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Federal income taxes paid, net of refunds were \$19,800,849 and \$20,454,293 in 2018 and 2017, respectively.

Federal income taxes incurred of \$0 and \$27,999,849 for 2018 and 2017, respectively, are available for recoupment in the event of future net losses.

The Company has not admitted any aggregate amounts of deposits that are included within Section 6603 ("Deposits made to suspend running of interest on potential underpayments, etc.") of the Internal Revenue Service ("IRS") Code.

- F. The Company is included in the consolidated federal income tax return with its ultimate parent, UnitedHealth Group. The entities included within the consolidated return are included in NAIC Statutory Statement Schedule Y—Information Concerning Activities of Insurer Members Of A Holding Company Group. Federal income taxes are paid to or refunded by UnitedHealth Group pursuant to the terms of a tax-sharing agreement, approved by the Board of Directors, under which taxes approximate the amount that would have been computed on a separate company basis, with the exception of net operating losses and capital losses. For these losses, the Company receives a benefit at the federal rate in the current year for current taxable losses incurred in that year to the extent losses can be utilized in the consolidated federal income tax return of UnitedHealth Group. UnitedHealth Group currently files income tax returns in the U.S. federal jurisdiction, various states, and foreign jurisdictions. The IRS has completed exams on UnitedHealth Group's consolidated income tax returns for fiscal years 2016 and prior. UnitedHealth Group's 2017 and 2018 tax returns are under review by the IRS under its Compliance Assurance Program. With the exception of a few states, UnitedHealth Group is no longer subject to income tax examinations prior to 2012 in major state and foreign jurisdictions. The Company does not believe any adjustments that may result from these examinations will be material to the Company.
- G. **Tax Contingencies**—Not applicable.
- H. **Repatriation Transition Tax**—Not applicable.
- I. **Alternative Minimum Tax Credit**—Not applicable.

10. INFORMATION CONCERNING PARENT, SUBSIDIARIES, AND AFFILIATES

A–O. Material Related Party Transactions

Management believes that its transactions with affiliates are fair and reasonable; however, operations of the Company may not be indicative of those that would have occurred if it had operated as an independent company.

Pursuant to the terms of the Agreement, UHS will provide management services to the Company under a fee structure, which is based on a percentage of premium charges representing UHS' expenses for services or use of assets provided to the Company. In addition, UHS provides or arranges for services on behalf of the Company using a pass-through of charges incurred by UHS on a PMPM basis (where the charges incurred by UHS is on a PMPM basis) or using another allocation methodology consistent with the Agreement. These services may include, but are not limited to, integrated personal health management solutions, such as disease management, treatment decision support, and wellness services, including a 24-hour call-in service, access to a network of transplant providers, and discount program services. The amount and types of services provided pursuant to the pass-through provision of the Agreement can change year over year as UHS becomes the contracting entity for services provided to the Company's members. Total administrative services, capitation expenses, and access fees under this arrangement totaled \$460,157,338 and \$346,143,152 in 2018 and 2017, respectively, and are included in GAE and CAE in the statutory basis statements of operations. Direct expenses not covered under the Agreement, such as broker commissions, IDOI exam fees, ACA assessments, and premium taxes, are paid by UHS on behalf of the Company. UHS is reimbursed by the Company for these direct expenses.

In the ordinary course of business, the Company also directly contracts with related parties to provide services that are routine in nature to its members. The administrative services, access fees, and cost of care services provided are calculated using a PMPM, per employee per month ("PEPM") per claim or a combination thereof. These amounts are included in GAE, CAE, and hospital and medical expenses in the statutory basis statements of operations.

The following table identifies the amounts for the administrative services, access fees, and cost of care services provided by related parties for the years ended December 31, 2018 and 2017, which meet the disclosure requirements pursuant to SSAP No. 25, *Affiliates and Other Related Parties* ("SSAP No. 25"), regardless of the effective date of the contract:

	2018	2017
United HealthCare Services, Inc.	\$ 460,157,338	\$ 346,143,152
OptumRx, Inc.	92,420,281	61,910,208
United Behavioral Health	43,114,011	30,081,191
OptumInsight, Inc.	23,215,146	11,887,308

OptumRx and its affiliates provide administrative services related to pharmacy management and pharmacy claims processing for its enrollees, specialty drug pharmacy services, durable medical equipment services including orthotics and prosthetics and personal health products catalogues showing the healthcare products and benefit credits enrollees needed to redeem the respective products. United Behavioral Health provides mental health and substance abuse services. OptumInsight, Inc. provides claim analytics, recovery of medical expense (benefit) overpayments, retroactive fraud, waste and abuse, and subrogation and premium audit services. All recoveries are returned to the Company by OptumInsight, Inc. on a monthly basis.

The Company has premium payments that are received and claim payments that are processed by an affiliated UnitedHealth Group entity. Both premiums and claims applicable to the Company are settled at regular intervals throughout the month via the intercompany settlement process and any amounts outstanding are reflected in amounts due to parent, subsidiaries, and affiliates, net in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

The Company holds a \$200,000,000 subordinated revolving credit agreement with UnitedHealth Group at an interest rate of London InterBank Offered Rate plus a margin of 0.50%. This credit agreement is subordinate to the extent it does not conflict with any credit facility held by either party. The credit agreement is for a one-year term and automatically renews annually, unless terminated by either party. The agreement shall continue until terminated pursuant to the terms of this agreement which require either party to give a 60 day written notice to the other party.

In addition to the agreements above, UHS maintains a private short-term money-market investment pool in which affiliated companies may participate (see Note 1). At December 31, 2018 and 2017, the Company's portion was \$7,705,621 and \$3,745,220, respectively, and is included in cash, cash equivalents, and short-term investments in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

The Company has a Tax Sharing Agreement with UnitedHealth Group (see Note 9).

The Company received a capital infusion of \$60,000,000 in 2018 from its parent (see Note 13).

The Company paid a dividend of \$50,000,000 in 2017 to its parent (see Note 13).

The Company has entered into a reinsurance agreement with an affiliated entity (see Note 23).

At December 31, 2018 and 2017, the Company reported \$83,409,524 and \$62,119,571, respectively, as amounts due to parent, subsidiaries, and affiliates, net which are included in the statutory basis statements of admitted assets, liabilities, and capital and surplus. These balances are generally settled within 90 days from the incurred date. Any balances due to the Company that are not settled within 90 days are considered nonadmitted assets.

The Company has not extended any guarantees or undertakings for the benefit of an affiliate or related party.

The Company does not have any amount deducted from the value of an upstream intermediate entity or ultimate parent owned, either directly or indirectly, via a downstream subsidiary, controlled, or affiliated entity.

The Company does not have any investments in a subsidiary, controlled, or affiliated entity that exceeds 10% of admitted assets.

The Company does not have any investments in impaired subsidiaries, controlled, or affiliated entities.

The Company does not have any investments in foreign insurance subsidiaries.

The Company does not hold any investments in a downstream noninsurance holding company.

The Company does not have any investments in noninsurance subsidiaries, controlled, or affiliated entities.

The Company does not have any investments in insurance subsidiaries, controlled, or affiliated entities.

11. DEBT

A–B. The Company had no outstanding debt with third-parties or outstanding Federal Home Loan Bank agreements during 2018 and 2017.

12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS

A–I. The Company has no defined benefit plans, defined contribution plans, multiemployer plans, consolidated/holding company plans, postemployment benefits, or compensated absences plans and is not impacted by the Medicare Modernization Act on postretirement benefits, since all personnel are employees of UHS, which provides services to the Company under the terms of the Agreement (see Note 10).

13. CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS, AND QUASI-REORGANIZATIONS

(1–2) The Company has 1,000 shares authorized and 10 shares issued and outstanding of no par value common stock. The Company has no preferred stock outstanding. All issued and outstanding shares of common stock are held by the Company's parent, UHS-RV.

(3) Payment of dividends may be restricted by the IDOI, which generally requires that dividends be paid out of unassigned surplus.

(4) The Company received a cash infusion of \$60,000,000 on December 28, 2018 from UHS-RV, which was recorded as an increase to gross paid-in and contributed surplus in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company did not receive any infusions in 2017.

The Company paid an ordinary cash dividend to UHS-RV of \$50,000,000 on June 27, 2017, which required no approval and was recorded as a reduction to unassigned surplus in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company did not pay any dividends in 2018.

(5) The amount of ordinary dividends that may be paid out during any given period is subject to certain restrictions as specified by state statute.

(6) There are no restrictions placed on the Company's unassigned surplus.

(7) The Company is not a mutual reciprocal or a similarly organized entity and does not have advances to surplus not repaid.

- (8) The Company does not hold any stock, including stock of affiliated companies for special purposes, such as conversion of preferred stock, employee stock options, or stock purchase warrants.
- (9) For the year ended December 31, 2017, the amount of the estimated Section 9010 ACA subsequent fee year assessment apportioned out of unassigned surplus was \$67,650,889. As discussed in Note 1, in 2018 no amount was required to be apportioned out of unassigned surplus for the Section 9010 ACA subsequent fee year assessment.
- (10) The portion of unassigned surplus, excluding the apportionment of estimated Section 9010 ACA subsequent fee year assessment, dividends and net (loss) income, represented (or reduced) by each item below is as follows:

	2018	2017	Change
Unrealized capital losses on investments	\$ (4,566)	\$ (2,996)	\$ (1,570)
Net deferred income taxes	13,166,188	23,037,327	(9,871,139)
Nonadmitted assets	<u>(23,918,282)</u>	<u>(20,459,498)</u>	<u>(3,458,784)</u>
Total	<u>\$ (10,756,660)</u>	<u>\$ 2,574,833</u>	<u>\$ (13,331,493)</u>

- (11–13) The Company does not have any outstanding surplus notes and has never been a party to a quasi-reorganization.

14. LIABILITIES, CONTINGENCIES AND ASSESSMENTS

A. Contingent Commitments

The Company has no contingent commitments.

B. Assessments

The Company is not aware of any guaranty fund assessments or premium tax offsets, potential or accrued, that could have a material financial effect on the operations of the entity.

C. Gain Contingencies

The Company is not aware of any gain contingencies that should be disclosed in the statutory basis financial statements.

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits—Not applicable.

E. Joint and Several Liabilities—Not applicable.

F. All Other Contingencies

The Company's business is regulated at the federal, state, and local levels. The laws and rules governing the Company's business and interpretations of those laws and rules are subject to frequent change. Broad latitude is given to the agencies administering those regulations. Further, the Company must obtain and maintain regulatory approvals to market and sell many of its products.

The ACA and the related federal and state regulations will continue to impact how the Company does business and could restrict revenue and enrollment growth in certain products and market segments, restrict premium growth rates for certain products and market segments, increase the Company's medical and administrative costs, expose the Company to an increased risk of liability (including increasing the Company's liability in federal and state courts for coverage determinations and contract interpretation), or put the Company at risk for loss of business. In addition, the Company's statutory basis results of operations, financial condition, and cash flows could be materially adversely affected by such changes. The ACA may create new or expand existing opportunities for business growth, but due to its complexity, the long term impact of the ACA remains difficult to predict and is not yet fully known.

The Company has been, or is currently involved, in various governmental investigations, audits and reviews. These include routine, regular and special investigations, audits and reviews by CMS, state insurance and health and welfare departments and other governmental authorities. Certain of the Company's businesses have been reviewed or are currently under review, for reasons including compliance with coding and other requirements under the Medicare risk-adjustment model.

On February 14, 2017, the Department of Justice (“DOJ”) announced its decision to pursue certain claims within a lawsuit initially asserted against the Company and filed under seal by a whistleblower in 2011. The whistleblower’s complaint, which was unsealed on February 15, 2017, alleges that the Company made improper risk adjustment submissions and violated the False Claims Act. On February 12, 2018, the court granted in part and denied in part the Company’s motion to dismiss. In May 2018, the DOJ moved to dismiss the Company’s counterclaims, which were filed in March 2018, and moved for partial summary judgment. Those motions were argued in September 2018. The Company cannot reasonably estimate the outcome that may result from this matter given its procedural status.

Risk Adjustment Data Validation (“RADV”) Audit—CMS adjusts capitation payments to Medicare Advantage plans and Medicare Part D plans according to the predicted health status of each beneficiary as supported by data from health care providers. The Company collects claim and encounter data from providers who the Company generally relies on to appropriately code their claim submissions and document their medical records. CMS then determines the risk score and payment amount for each enrolled member based on the health care data submitted and member demographic information.

CMS and the Office of Inspector General for Health and Human Services periodically perform RADV audits of selected Medicare health plans to validate the coding practices and supporting documentation maintained by health care providers. Such audits have in the past resulted in, and in the future could result in, retrospective adjustments to payments made to the Company, fines, corrective action plans or other adverse action by CMS.

In February 2012, CMS announced a final RADV and payment adjustment methodology and is conducting the RADV audits beginning with the 2011 payment year. These audits involve a review of medical records maintained by care providers and may result in retrospective adjustments to payments made to health plans. CMS has not communicated how the final payment adjustment under its methodology will be implemented.

In September 2015, CMS informed the Company that it had been selected for a RADV audit for 2011 dates of service (2012 payment year). This audit closed in May 2016 and no information has been received from CMS regarding results or when to expect results. The Company cannot reasonably estimate the range of loss, if any, that may result from any material government investigations, audits and reviews in which it is currently involved given the inherent difficulty in predicting regulatory action, fines and penalties, if any, and the various remedies and levels of judicial review available to the Company in the event of an adverse finding.

Because of the nature of its businesses, the Company is frequently made party to a variety of legal actions and regulatory inquiries, including class actions and suits brought by members, care providers, consumer advocacy organizations, customers and regulators, relating to the Company’s businesses, including management and administration of health benefit plans and other services.

The Company records liabilities for its estimates of probable costs resulting from these matters where appropriate. Estimates of costs resulting from legal and regulatory matters involving the Company are inherently difficult to predict, particularly where the matters involve: indeterminate claims for monetary damages or may involve fines, penalties or punitive damages; present novel legal theories or represent a shift in regulatory policy; involve a large number of claimants or regulatory bodies; are in the early stages of the proceedings; or could result in a change in business practices. Accordingly, the Company is often unable to estimate the losses or ranges of losses for those matters where there is a reasonable possibility or it is probable that a loss may be incurred. Although the outcomes of any such legal actions cannot be predicted, in the opinion of management, the resolution of any currently pending or threatened actions will not have a material adverse effect on the statutory basis statements of admitted assets, liabilities, and capital and surplus or statutory basis statements of operations of the Company.

The Company routinely evaluates the collectability of all receivable amounts included in the statutory basis statements of admitted assets, liabilities, and capital and surplus. Impairment reserves are established for those amounts where collectability is uncertain. Based on the Company’s past experience, exposure related to uncollectible balances and the potential of loss for those balances not currently reserved for is not material to the Company’s statutory basis financial condition.

There are no assets that the Company considers to be impaired at December 31, 2018 and 2017, except as disclosed in Note 5 and Note 20.

15. LEASES

- A–B.** According to the Agreement between the Company and UHS (see Note 10), operating leases for the rental of office facilities and equipment are the responsibility of UHS. Fees associated with the lease agreements are included as a component of the Company’s management fee.

16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE-SHEET RISK AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK

(1–4) The Company does not hold any financial instruments with off-balance-sheet risk or have any concentrations of credit risk.

17. SALE, TRANSFER, AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS OF LIABILITIES

A–C. The Company did not participate in any transfer of receivables, financial assets or wash sales.

18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND THE UNINSURED PORTION OF PARTIALLY INSURED PLANS

A. ASO Plans

On July 1, 2017, the Company began an Administrative Services Only Contract (“ASO”) with TennCare for the ECF product for which the TennCare retains all of the health care service risk, while the Company assumes the administrative risk. The Company has recorded ASO receivables for amounts due from TennCare for administering the ECF benefit of \$443,600 and \$430,000 at December 31, 2018 and 2017, respectively, which are included in amounts receivable relating to uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus. The Company’s liability for premium taxes payable on the ECF ASO revenue of \$534,927 and \$136,817 at December 31, 2018 and 2017, respectively, are included in general expenses due or accrued in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

The net gain from operations of the uninsured portion of ASO uninsured plans and the uninsured portion of partially insured plans are as follows:

	2018		
	ASO Uninsured Plans	Uninsured Portion of Partially Insured Plans	Total ASO
a. Net reimbursement for administrative expenses (including administrative fees) in excess of actual expenses	\$ 2,934,891	\$ -	\$ 2,934,891
b. Total net other income or expenses (including interest paid to or received from plans)	-	-	-
c. Net gain (loss) from operations	2,934,891	-	2,934,891
d. Total claim payment volume	4,940,031	-	4,940,031
	2017		
	ASO Uninsured Plans	Uninsured Portion of Partially Insured Plans	Total ASO
a. Net reimbursement for administrative expenses (including administrative fees) in excess of actual expenses	\$ 1,693,393	\$ -	\$ 1,693,393
b. Total net other income or expenses (including interest paid to or received from plans)	-	-	-
c. Net gain (loss) from operations	1,693,393	-	1,693,393
d. Total claim payment volume	51,570	-	51,570

B. The Company has no operations from Administrative Services Contracts.

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

The Medicare Part D program is a partially insured plan. The Company recorded a receivable in amounts receivable relating to uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus of \$9,386,156 and \$2,195,674 at December 31, 2018 and 2017, respectively, for cost reimbursement under the Medicare Part D program for the catastrophic reinsurance and low-income member cost-sharing subsidies as described in Note 1, *Amounts Receivable Relating to Uninsured Plans and Liability for Amounts Held Under*

Uninsured Plans. The Company also recorded a payable in liability for amounts held under uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus of \$5,628,320 at December 31, 2017 for the cost reimbursement under the Medicare Part D program for the low-income member cost-sharing subsidies. The Company also recorded a receivable and a payable of \$ 22,580 and \$17,922, respectively, as of December 31, 2018 and a payable of \$7,369,122 at December 31, 2017 for the Medicare Part D CGDP as described in Note 1, *Amounts Receivable Relating to Uninsured Plans* and *Liability for Amounts Held Under Uninsured Plans*.

The Company participates in administering the payments for TennCare's pharmaceutical benefit manager. There is no risk to the Company as a result of these transactions. The Company recorded a premium tax receivable from TennCare of \$5,224,912 and \$5,210,393 and a corresponding premium tax payable of \$5,224,912 and \$5,210,393 as of December 31, 2018 and 2017, respectively, which is included in amounts receivable relating to uninsured plans and general expenses due or accrued, respectively, in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

Effective July 1, 2017, TennCare has elected to administer enhanced rate payments for the EMS, PCMH, and HDP programs through non-risk reconciled payments. EMS and HDP are calculated on a fee-for-service basis by TennCare. For PCMH, the acute benefits are included in TennCare's capitation rate. The state-directed PCMH enhanced payments are reimbursed outside of the monthly capitation rates. The Company subsequently remits payment at agreed upon intervals based on a detailed listing provided by TennCare. There is no risk to the Company as any excess or shortfall is 100% remitted or received back from the state. A receivable of \$7,295,979 and \$8,479,330 and a payable of \$45,528 and \$2,337,189 as of December 31, 2018 and 2017, respectively, related to the enhanced rate payments are included in amounts receivable relating to uninsured plans and liability for amounts held under uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

The IA DHS has elected to administer enhanced rate payments for the GME and the UIHCP programs through non-risk reconciled payments. The IA DHS capitation rate is inclusive of the enhanced rate and the Company subsequently remits payment at agreed upon intervals to the GME and the UIHCP programs based on a detailed listing provided by the IA DHS. There is no risk to the Company as any excess or shortfall is 100% remitted or received back from the state. A payable of \$906,040 and \$0 as of December 31, 2018 and 2017, respectively, related to rate enhanced payments is included in liability for amounts held under uninsured plans in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD-PARTY ADMINISTRATORS

The Company did not have any direct premiums written or produced by managing general agents or third-party administrators in 2018 and 2017.

20. FAIR VALUE MEASUREMENT

The NAIC SAP defines fair value, establishes a framework for measuring fair value, and outlines the disclosure requirements related to fair value measurements. The fair value hierarchy is as follows:

Level 1—Quoted (unadjusted) prices for identical assets in active markets.

Level 2—Other observable inputs, either directly or indirectly, including:

- Quoted prices for similar assets in active markets;
- Quoted prices for identical or similar assets in nonactive markets (few transactions, limited information, noncurrent prices, high variability over time, etc.);
- Inputs other than quoted prices that are observable for the asset (interest rates, yield curves, volatilities, default rates, etc.);
- Inputs that are derived principally from or corroborated by other observable market data.

Level 3—Unobservable inputs that cannot be corroborated by observable market data.

The estimated fair values of bonds and short-term investments are based on quoted market prices, where available. The Company obtains one price for each security primarily from a third-party pricing service (“pricing service”), which generally uses quoted prices or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, making adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, non-binding broker quotes, benchmark yields, credit spreads, default rates, and prepayment speeds. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to a secondary pricing source, prices reported by its custodian, its investment consultant, and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. The Company’s internal price verification procedures and review of fair value methodology documentation provided by independent pricing services have not historically resulted in an adjustment in the prices obtained from the pricing service.

In instances in which the inputs used to measure fair value fall into different levels of the fair value hierarchy, the fair value measurement has been determined based on the lowest-level input that is significant to the fair value measurement in its entirety. The Company’s assessment of the significance of a particular item to the fair value measurement in its entirety requires judgment, including the consideration of inputs specific to the asset or liability.

A. Fair Value

(1) Fair Value Measurements at Reporting Date

The Company does not have any financial assets that are measured and reported at fair value in the statutory basis statements of admitted assets, liabilities, and capital and surplus at December 31, 2018 and 2017. However, the Company does consider its investments in LIHTC investments as a Level 3 investment even though no market valuation was required as of December 31, 2018 and 2017. As there is no readily available market, these securities are recorded at book/adjusted carrying value and considered held to maturity as they will not be sold.

(2) The Company does consider its investments in LIHTC investments as a Level 3 investment as there is no readily available market. As a result these investments are recorded and reported at book value of \$11,787,076 and \$13,660,076 as of December 31, 2018 and 2017, respectively.

(3) Transfers between fair value hierarchy levels, if any, are recorded as of the beginning of the reporting period in which the transfer occurs. There were no transfers between Levels 1, 2 or 3 of any financial assets or liabilities during the years ended December 31, 2018 or 2017.

(4) **Investments**—Fair values of debt securities are based on quoted market prices, where available. The Company obtains one price for each security primarily from a pricing service, which generally uses quoted prices or other observable inputs for the determination of fair value. The pricing service normally derives the security prices through recently reported trades for identical or similar securities, and, if necessary, makes adjustments through the reporting date based upon available observable market information. For securities not actively traded, the pricing service may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, benchmark yields, credit spreads, default rates, prepayment speeds and non-binding broker quotes. As the Company is responsible for the determination of fair value, it performs quarterly analyses on the prices received from the pricing service to determine whether the prices are reasonable estimates of fair value. Specifically, the Company compares the prices received from the pricing service to prices reported by a secondary pricing source, such as its custodian, its investment consultant and third-party investment advisors. Additionally, the Company compares changes in the reported market values and returns to relevant market indices to test the reasonableness of the reported prices. The Company’s internal price verification procedures and reviews of fair value methodology documentation provided by independent pricing services have not historically resulted in adjustment in the prices obtained from the pricing service.

LIHTC Investments —The Company does consider its investments in LIHTC investments as a Level 3 investment even though no market valuation adjustment was required as of December 31, 2018 and 2017. As a result these investments are excluded from being

presented as a Level 3 security in the financial hierarchy tables. As there is no readily available market, these securities are recorded and reported at book/adjusted carrying value and considered held to maturity as they will not be sold. Should any contractual breakage occur that jeopardizes the ability to receive the tax credits associated with these securities, impairments will be recognized. As of December 31, 2018, all of these investments are performing in accordance with their original contract terms.

(5) The Company has no derivative assets and liabilities to disclose.

B. Fair Value Combination—Not applicable.

C. Aggregate Fair Value Hierarchy

The aggregate fair value by hierarchy of all financial instruments as of December 31, 2018 and 2017 is presented in the table below:

Types of Financial Investment	2018					Net Asset Value (NAV)	Not Practicable (Carrying Value)
	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)		
U.S. government and agency securities	\$ 182,616,202	\$ 186,169,154	\$ 123,443,670	\$ 59,172,532	\$ -	\$ -	\$ -
State and agency municipal securities	83,363,529	83,470,780	-	83,363,529	-	-	-
City and county municipal securities	88,876,889	88,792,172	-	88,876,889	-	-	-
Corporate debt securities (includes commercial paper)	247,750,704	251,019,306	7,705,621	240,045,083	-	-	-
Other invested assets	<u>11,787,076</u>	<u>11,787,076</u>	<u>-</u>	<u>-</u>	<u>11,787,076</u>	<u>-</u>	<u>-</u>
Total bonds, short-term investments, and other invested assets	<u>\$ 614,394,400</u>	<u>\$ 621,238,488</u>	<u>\$ 131,149,291</u>	<u>\$ 471,458,033</u>	<u>\$ 11,787,076</u>	<u>\$ -</u>	<u>\$ -</u>

Types of Financial Investment	2017					Net Asset Value (NAV)	Not Practicable (Carrying Value)
	Aggregate Fair Value	Admitted Assets	(Level 1)	(Level 2)	(Level 3)		
U.S. government and agency securities	\$ 181,703,632	\$ 184,367,290	\$ 118,169,861	\$ 63,533,771	\$ -	\$ -	\$ -
State and agency municipal securities	108,563,468	107,886,220	-	108,563,468	-	-	-
City and county municipal securities	97,870,424	97,419,799	-	97,870,424	-	-	-
Corporate debt securities (includes commercial paper)	212,699,471	212,757,138	3,745,220	208,954,251	-	-	-
Other invested assets	<u>13,660,076</u>	<u>13,660,076</u>	<u>-</u>	<u>-</u>	<u>13,660,076</u>	<u>-</u>	<u>-</u>
Total bonds, short-term investments, and other invested assets	<u>\$ 614,497,071</u>	<u>\$ 616,090,523</u>	<u>\$ 121,915,081</u>	<u>\$ 478,921,914</u>	<u>\$ 13,660,076</u>	<u>\$ -</u>	<u>\$ -</u>

Included as Level 1 in U.S. government and agency securities in the fair value hierarchy tables above are U.S. Treasury securities of \$123,443,670 and \$118,169,861 as of December 31, 2018 and December 31, 2017, respectively.

Included as Level 2 in corporate debt securities in the fair value hierarchy tables above are commercial paper investments of \$2,184,662 and \$743,711 as of December 31, 2018 and December 31, 2017, respectively. The commercial paper investments reflected in the tables above are included in short-term investments in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

D. Not Practicable to Estimate Fair Value—Not applicable.

E. Investments Measured Using the NAV Practical Expedient—Not applicable.

21. OTHER ITEMS

The Company recorded receivables related to retroactive policies and estimated withholds of \$61,496,977 as of December 31, 2017 for the TennCare Medicaid and CHOICES products. As of December 31, 2018, the Company has collected \$52,361,206 related to retroactive policies and estimated withholds related to these prior year balances for Medicaid and CHOICES. Additionally, TennCare performed a 5 year look-back and recouped \$7,164,390 related to retroactive policies and estimated withholds related to these prior year balances for Medicaid and CHOICES. Currently, there are no accruals for TennCare retroactive receivables and estimated withholds for Medicaid and CHOICES remaining for the prior year. These amounts are included in premiums and considerations in the statutory basis financial statements as of December 31, 2018 and December 31, 2017.

The Company has recorded payables for estimated recoupments of \$12,746,205 for TennCare Medicaid and CHOICES, net as of December 31, 2017. As of December 31, 2018, \$13,008,140 has been recouped related to these prior year balances for Medicaid and CHOICES, net. Currently, there is \$2,129,274 estimated recoupments for Medicaid and CHOICES, net, remaining for the prior year. These amounts are included in aggregate health policy reserves in the statutory basis financial statements as of December 31, 2018 and December 31, 2017.

The Company continues to refine the estimated recoupments on the CHOICES product. The impact of further refining the estimates due to updated information and additional history with the CHOICES product could result in adjustments to the amounts previously reported as net premium income. However, the Company is unable to accurately estimate the financial impact of the change at this time and any change would be reflected in operating results in the period in which the change in the estimate is identified.

A. Unusual or Infrequent Items

The Company did not encounter any unusual or infrequent items for the years ended December 31, 2018 and 2017.

B. Troubled Debt Restructuring: Debtors

The Company has no troubled debt restructurings as of December 31, 2018 and 2017.

C. Other Disclosures

The Company does not have any amounts not recorded in the statutory basis financial statements that represent segregated funds held for others. The Company also does not have any exposures related to forward commitments that are not derivative instruments.

D. Business Interruption Insurance Recoveries

The Company has not received any business interruption insurance recoveries during 2018 and 2017.

E. State Transferable and Non-transferable Tax Credits

The Company has no transferable or non-transferable state tax credits.

F. Sub-Prime Mortgage-Related Risk Exposure

- (1) The investment policy for the Company limits investments in loan-backed securities, which includes sub-prime issuers. Further, the policy limits investments in private-issuer mortgage securities to 10% of the portfolio, which also includes sub-prime issuers. The exposure to unrealized losses on sub-prime issuers is due to changes in market prices. There are no realized losses due to not receiving anticipated cash flows. The investments covered have an NAIC designation of 1 or 2.
- (2) The Company has no direct exposure through investments in sub-prime mortgage loans.
- (3) The Company has no direct exposure through other investments.
- (4) The Company has no underwriting exposure to sub-prime mortgage risk through mortgage guaranty or financial guaranty insurance coverage.

G. Retained Assets

The Company does not have any retained asset accounts for beneficiaries.

H. Insurance-Linked Securities Contracts

As of December 31, 2018, the Company is not aware of any possible proceeds of insurance-linked securities.

22. EVENTS SUBSEQUENT

Subsequent events have been evaluated through February 28, 2019, which is the date these statutory basis financial statements were available for issuance.

TYPE I—Recognized Subsequent Events

There are no events subsequent to December 31, 2018, that require recognition and disclosure.

TYPE II—Non-Recognized Subsequent Events

The Company is subject to the annual fee under Section 9010 of the ACA. The fee is allocated to individual health insurers based on the ratio of the amount of the entity's net premiums written during the preceding calendar year to the amount of the health insurance for any U.S. health risk that is written during the preceding calendar year. A health insurance entity's portion of the annual fee becomes payable once the entity provides health insurance for any U.S. health risk for each calendar year beginning on or after January 1, of the year the fee is due. Pursuant to the 2019 HIF moratorium (see Note 1), no HIF will be payable in 2019 and therefore there is no amount apportioned out of unassigned funds in 2018 representing an estimate of the 2019 HIF.

The table below presents information regarding the annual fee under Section 9010 of the ACA as of December 31, 2018 and 2017:

	Current Year	Prior Year
A. Did the reporting entity write accident and health insurance premium that is subject to Section 9010 of the Federal Affordable Care Act (YES/NO)?	Yes	
B. ACA fee assessment payable for the upcoming year	\$ -	\$ 67,650,889
C. ACA fee assessment paid	63,738,432	-
D. Premium written subject to ACA 9010 assessment	-	3,175,551,781
E. Total Adjusted Capital before surplus adjustment (Five-Year Historical Line 14)	462,998,137	
F. Total Adjusted Capital after surplus adjustment (Five-Year Historical Line 14 minus 22B above)	462,998,137	
G. Authorized Control Level (Five-Year Historical Line 15)	199,719,863	
H. Would reporting the ACA assessment as of December 31, 2018, have triggered an RBC action level (YES/NO)?	NO	

Effective January 1, 2019, the Company has discontinued offering the FEHBP product, which represented 0.3% of total direct premiums written as of December 31, 2018.

There are no other events subsequent to December 31, 2018 that require disclosure.

23. REINSURANCE

Reinsurance Agreements—In the normal course of business, the Company seeks to reduce potential losses that may arise from catastrophic events that cause unfavorable underwriting results by reinsuring certain levels of such risk with affiliated and other nonaffiliated reinsurers. The Company remains primarily liable as the direct insurer on all risks reinsured.

The Company does not have any unaffiliated reinsurance agreements in place as of December 31, 2018 or 2017.

The Company has an insolvency-only reinsurance agreement with UHIC, an affiliate of the Company, to provide insolvency protection for its enrollees. Reinsurance premiums, which are calculated on a percentage of member premium income, of \$6,108,851 and \$4,080,723 in 2018 and 2017, respectively, are netted against net premium income in the statutory basis statement of operations.

The Company also contracts with UHIC to cover in-network point-of-service ("POS") claims in excess of a set percentage of in-network premiums. Reinsurance premiums paid, which are calculated based on a PMPM basis, of \$0 and \$3 in 2018 and 2017, respectively, are netted against net premium income in the statutory basis statements of operations. There were no reinsurance recoveries or reinsurance recoverables recorded as of December 31, 2018 and 2017. Reinsurance contracts do not relieve the Company from its obligations to policyholders. Failure of reinsurers to honor their obligations could result in losses to the Company.

The effect of internal reinsurance agreements outlined above on net premium income and hospital and medical expenses is presented below:

	2018	2017
Premiums:		
Direct	\$ 6,113,188,720	\$ 4,092,784,767
Ceded:		
Affiliate	<u>6,108,851</u>	<u>4,080,726</u>
Net premium income	<u>\$ 6,107,079,869</u>	<u>\$ 4,088,704,041</u>
Hospital and medical expenses:		
Direct	\$ 5,450,748,321	\$ 3,380,496,523
Ceded:		
Affiliate	<u>-</u>	<u>-</u>
Net hospital and medical expenses	<u>\$ 5,450,748,321</u>	<u>\$ 3,380,496,523</u>

A. Ceded Reinsurance Report

Section 1—General Interrogatories

- (1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10% or controlled, either directly or indirectly, by the Company or by any representative, officer, trustee, or director of the Company?

Yes () No (X)

- (2) Have any policies issued by the Company been reinsured with a company chartered in a country other than the United States (excluding U.S. branches of such companies) that is owned in excess of 10% or controlled directly or indirectly by an insured, a beneficiary, a creditor, or any other person not primarily engaged in the insurance business?

Yes () No (X)

Section 2—Ceded Reinsurance Report—Part A

- (1) Does the Company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credit?

Yes () No (X)

- (2) Does the reporting entity have any reinsurance agreements in effect that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies?

Yes () No (X)

Section 3—Ceded Reinsurance Report—Part B

- (1) What is the estimated amount of the aggregate reduction in surplus (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of all reinsurance agreements, by either party, as of the date of this statement? Where necessary, the Company may consider the current or anticipated experience of the business reinsured in making this estimate.

The Company estimates there should be no aggregate reduction in surplus for termination of all reinsurance agreements as of December 31, 2018.

(2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts that were in force or which had existing reserves established by the Company as of the effective date of the agreement?

Yes () No (X)

- B. **Uncollectible Reinsurance**—During 2018 and 2017, there were no uncollectible reinsurance recoverables.
- C. **Commutation of Ceded Reinsurance**—There was no commutation of reinsurance in 2018 or 2017.
- D. **Certified Reinsurer Rating Downgraded or Status Subject to Revocation**—Not applicable.

24. RETROSPECTIVELY RATED CONTRACTS AND CONTRACTS SUBJECT TO REDETERMINATION

- A. The Company estimates accrued retrospective premium adjustments for its group health insurance business based on mathematical calculations in accordance with contractual terms.
- B. Estimated accrued retrospective premiums due to (from) the Company are recorded in premiums and considerations and aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus and as an adjustment to change in reserve for rate credits in the statutory basis statements of operations.
- C. Pursuant to the ACA, the Company's commercial business is subject to retrospectively rated features based on the actual medical loss ratios experienced on the commercial lines of business. The formula is calculated pursuant to the ACA guidance. The total amount of direct premiums written for the commercial lines of business subject to the retrospectively rated features was \$205,001,715 and \$132,895,017, representing 3.4% and 3.2% of total direct premiums written as of December 31, 2018 and 2017, respectively.

Pursuant to the ACA, the Company's Medicare business, including DSNP and FIDE-SNP, is subject to retrospectively rated features based on the actual medical loss ratios experienced on the Medicare line of business. The formula is calculated pursuant to the ACA guidance. The total amount of direct premiums written for the Medicare line of business subject to the retrospectively rated features was \$894,147,294 and \$786,039,250, representing 14.6% and 19.2% of total direct premiums written as of 2018 and 2017, respectively.

The Company has Medicare Part D risk-corridor amounts from CMS which are subject to a retrospectively rated feature related to Part D premiums. The Company has estimated accrued retrospective premiums related to certain Part D premiums based on guidelines determined by CMS. The formula is tiered and based on the bid medical loss ratio. The amount of Medicare Part D direct premiums written subject to the retrospectively rated feature was \$46,062,304 and \$52,135,547 representing, 0.8% and 1.3% of total direct premiums written for 2018 and 2017, respectively.

The Company has risk-adjustment amounts from CMS which are subject to a redetermination feature related to Medicare, including DSNP and FIDE-SNP premiums. The Company has estimated premium adjustments for changes to each member's health scores based on guidelines determined by CMS. The total amount of Medicare direct premiums written for which a portion is subject to the redetermination feature was \$894,147,294 and \$786,039,250 representing, 14.6% and 19.2% of total direct premiums written for 2018 and 2017, respectively.

During 2018 and 2017, the Company contracted with the federal government through the OPM to administer the FEHBP. The Company is subject to rate adjustments through audits by the OPM. The amount of direct premiums written subject to redetermination features was \$16,837,298 and \$16,235,852, representing 0.3% and 0.4% of total direct premiums written for 2018 and 2017, respectively.

The Company has a contract with Ankeny Community School District that includes a retrospective premium feature. The Company is subject to premium adjustments based on a medical target. The amount of direct premiums written subject to retrospective rating was \$12,653,918 and \$11,054,243 representing 0.02% and 0.3% of total direct premiums written for 2018 and 2017, respectively.

CMS has released the final Medicaid Managed Care Rule which is subject to each State's administration elections. This rule is the first major update to the Medicaid Managed Care regulations in more than a decade. Many items including a minimum loss ratio requirement were implemented for contracts with an effective date starting on or after July 1, 2017 while other elements of the regulation will be implemented over the following decade. Pursuant to the regulations, for contracts effective on or after July 1, 2017 premiums associated with the Company's Medicaid line of business is subject to retrospectively rated features based on the actual medical loss ratios experienced on this product. The calculation is pursuant to the Medicaid Managed Care guidance. The total amount of direct premiums written for the Medicaid line of business for which a portion is subject to the retrospectively rated features was \$4,997,202,413 and \$716,014,891, representing 81.7% and 17.5% of total direct premiums written as of December 31, 2018 and December 31, 2017, respectively.

The Company records risk adjusted rates related to TennCare and withhold adjustments. The amount of direct premiums written related to these redetermination features was \$2,020,679,899 and \$1,933,871,987 representing 33.1% and 47.3% of total direct premiums written for 2018 and 2017, respectively.

The Company records risk adjusted rates related to IA DHS and withhold adjustments. The amount of direct premiums written related to these redetermination features was \$2,976,522,514 and \$1,182,348,507, representing 49.7% and 28.9% of total direct premiums written for 2018 and 2017, respectively.

Effective July 1, 2017, the Company has a contract with the State of Iowa to provide Home Based Habilitation services to eligible members that includes a redetermination feature. The amount of direct premiums written subject for which a portion is subject to the redetermination feature was \$2,976,522,514 and \$1,182,348,507, representing 0.2% and 0.4% as of December 31, 2018 and December 31, 2017, respectively, of total direct premiums written.

D. The Company is required to maintain specific minimum loss ratios on the comprehensive commercial and Medicare lines of business.

The following table discloses the minimum medical loss ratio rebate liability for the comprehensive commercial and Medicare lines of business which is included in aggregate health policy reserves in the statutory basis statements of admitted assets, liabilities, and capital and surplus for the years ended December 31, 2018 and 2017:

	1	2	3	4	5
	Individual	Small Group Employer	Large Group Employer	Other Categories with Rebates	Total
Prior reporting year					
(1) Medical loss ratio rebates incurred	\$ -	\$ 1,608,387	\$ (5,259)	\$ 47,167,209	\$ 48,770,337
(2) Medical loss ratio rebates paid	-	-	107,534	-	107,534
(3) Medical loss rebates unpaid	-	1,608,387	-	57,759,520	59,367,907
(4) Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
(5) Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
(6) Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	59,367,907
Current reporting year-to-date					
(7) Medical loss ratio rebates incurred	-	81,819	-	4,663,828	4,745,647
(8) Medical loss ratio rebates paid	-	1,387,893	-	16,592,862	17,980,755
(9) Medical loss rebates unpaid	-	302,312	-	45,830,487	46,132,799
(10) Plus reinsurance assumed amounts	XXX	XXX	XXX	XXX	-
(11) Less reinsurance ceded amounts	XXX	XXX	XXX	XXX	-
(12) Rebates unpaid net of reinsurance	XXX	XXX	XXX	XXX	46,132,799

Pursuant to the Medicaid Managed Care Rule, based on the State's election the Company may be required to maintain specific minimum loss ratios on the Medicaid line of business. The Company has no amounts accrued for Medicaid minimum loss ratio rebates as of December 31, 2018.

E. Risk-Sharing Provisions of the Affordable Care Act

- (1) The Company has accident and health insurance premiums in 2018 and 2017 subject to the risk-sharing provisions of the ACA.

The ACA imposed fees and premium stabilization provisions on health insurance issuers offering comprehensive commercial health insurance. The three premium stabilization programs are commonly referred to as the 3Rs—risk adjustment, reinsurance, and risk corridors.

Risk Adjustment—The permanent risk adjustment program, designed to mitigate the potential impact of adverse selection and provide stability for health insurance issuers, applies to all non-grandfathered plans not subject to transitional relief in the individual and small group markets both inside and outside of the insurance exchanges. Effective for 2018 benefit plan year, the risk adjustments and distributions are calculated using a high-cost risk pool which adds a reinsurance-like element to this program. The operation of the high-cost risk pools exclude a percentage of costs above a threshold level determined by federal regulations. The program operates two national high-cost risk pools, one for individuals and one for small groups. Premium adjustments pursuant to the risk adjustment program are accounted for as premium subject to redetermination and user fees are accounted for as assessments.

Reinsurance—The transitional reinsurance program was designed to protect issuers in the individual market from an expected increase in large claims due to the elimination of preexisting condition limitations. The transitional reinsurance program was effective from 2014 through 2016 and applied to all issuers of major medical commercial products and third-party administrators. Contributions attributable to enrollees in the ACA compliant individual plans, including program administrative costs, were accounted for as ceded premium and payments received were accounted for as ceded benefit recoveries. The portion of the individual contributions earmarked for the U.S. Treasury was accounted for as an assessment. Contributions made for enrollees in fully insured plans other than the ACA compliant individual plans, including program administrative costs and payments to the U.S. Treasury, were treated as assessments.

Risk Corridors—The temporary risk corridors program, designed to provide some aggregate protection against variability for issuers in the individual and small group markets during the period 2014 through 2016, applied to Qualified Health Plans in the individual and small group markets both inside and outside of the insurance exchanges. Premium adjustments pursuant to the risk corridors program were accounted for as premium adjustments for retrospectively rated contracts.

(2) The following table presents the current year impact of risk-sharing provisions of the ACA on assets, liabilities and operations:

a. Permanent ACA Risk Adjustment Program	December 31, 2018
<u>Assets</u>	
1. Premium adjustments receivable due to ACA Risk Adjustment (including high risk pool payments)	\$ 817,093
<u>Liabilities</u>	
2. Risk adjustment user fees payable for ACA Risk Adjustment	16,039
3. Premium adjustments payable due to ACA Risk Adjustment (including high risk pool payments)	1,771,195
<u>Operations (Revenue & Expense)</u>	
4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment	(521,111)
5. Reported in expenses as ACA risk adjustment user fees (incurred/paid)	16,066
b. Transitional ACA Reinsurance Program	
<u>Assets</u>	
1. Amounts recoverable for claims paid due to ACA Reinsurance	\$ -
2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability)	-
3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance	-
<u>Liabilities</u>	
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium	-
5. Ceded reinsurance premiums payable due to ACA Reinsurance	-
6. Liability for amounts held under uninsured plans contributions for ACA Reinsurance	-
<u>Operations (Revenue & Expense)</u>	
7. Ceded reinsurance premiums due to ACA Reinsurance	-
8. Reinsurance recoveries (income statement) due to ACA reinsurance payments or expected payments	-
9. ACA Reinsurance contributions - not reported as ceded premium	-
c. Temporary ACA Risk Corridors Program	
<u>Assets</u>	
1. Accrued retrospective premium due to ACA Risk Corridors	\$ -
<u>Liabilities</u>	
2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors	-
<u>Operations (Revenue & Expense)</u>	
3. Effect of ACA Risk Corridors on net premium income (paid/received)	-
4. Effect of ACA Risk Corridors on change in reserves for rate credits	-

(3) The following table is a rollforward of the prior year ACA risk-sharing provisions for asset and liability balances, along with reasons for adjustments to prior year balances:

	Accrued During the Prior Year on Business Written before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written before December 31 of the Prior Year		Differences		Adjustments		Ref	Unsettled Balances as of the Reporting Date	
					Prior Year Accrued Less Payments (Col 1 - 3)	Prior Year Accrued Less Payments (Col 2 - 4)	To Prior Year Balances	To Prior Year Balances		Cumulative Balance from Prior Years (Col 1 - 3 + 7)	Cumulative Balance from Prior Years (Col 2 - 4 + 8)
	1	2	3	4	5	6	7	8		9	10
	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)		Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program											
1. Premium Adjustment Receivable (including high risk pool premium)	\$ 171,430	\$ -	\$ 433,448	\$ -	\$ (262,018)	\$ -	\$ 267,000	\$ -	A	\$ 4,982	\$ -
2. Premium Adjustment (Payable) (including high risk pool premium)	-	(2,167,493)	-	(1,996,520)	-	(170,973)	-	170,973	B	-	-
3. Subtotal ACA Permanent Risk Adjustment Program	171,430	(2,167,493)	433,448	(1,996,520)	(262,018)	(170,973)	267,000	170,973		4,982	-
b. Transitional ACA Reinsurance Program											
1. Amounts recoverable for claims paid	-	-	-	-	-	-	-	-	C	-	-
2. Amounts recoverable for claims unpaid (contra liability)	-	-	-	-	-	-	-	-	D	-	-
3. Amounts receivable relating to uninsured plans	-	-	-	-	-	-	-	-	E	-	-
4. Liabilities for contributions payable due to ACA Reinsurance—not reported as ceded premium	-	-	-	-	-	-	-	-	F	-	-
5. Ceded reinsurance premiums payable	-	-	-	-	-	-	-	-	G	-	-
6. Liability for amounts held under uninsured plans	-	-	-	-	-	-	-	-	H	-	-
7. Subtotal ACA Transitional Reinsurance Program	-	-	-	-	-	-	-	-		-	-
c. Temporary ACA Risk Corridors Program											
1. Accrued retrospective premium	-	-	-	-	-	-	-	-	I	-	-
2. Reserve for rate credits or policy experience rating refunds	-	-	-	-	-	-	-	-	J	-	-
3. Subtotal ACA Risk Corridors Program	-	-	-	-	-	-	-	-		-	-
d. Total for ACA Risk-Sharing Provisions	\$ 171,430	\$ (2,167,493)	\$ 433,448	\$ (1,996,520)	\$ (262,018)	\$ (170,973)	\$ 267,000	\$ 170,973		\$ 4,982	\$ -

Explanation of Adjustments

- A. The risk adjustment receivable as of December 31, 2018 was adjusted based on the final CMS Summary Report on Permanent Risk Adjustment Transfers for the 2017 Benefit Year. The risk adjustment receivable as of December 31, 2017 utilized paid claims through October 31, 2017. The adjustment to the prior year receivable balance reflects the true up to final results for the 2017 Benefit Year.
- B. The risk adjustment payable as of December 31, 2018 was adjusted based on the final CMS Summary Report on Permanent Risk Adjustment Transfers for the 2017 Benefit Year. The risk adjustment payable as of December 31, 2017 utilized paid claims through October 31, 2017. The adjustment to the prior year payable balance reflects the true up to final results for the 2017 Benefit Year.
- C. N/A
D. N/A
E. N/A
F. N/A
G. N/A
H. N/A
I. N/A
J. N/A

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

(4) The Company does not have any risk corridor receivables or payables to present in the table below.

Risk Corridors Program Year:	Accrued During the Prior Year on Business Written Before December 31 of the Prior Year		Received or Paid as of the Current Year on Business Written Before December 31 of the Prior Year		Differences		Adjustments		Unsettled Balances as of the Reporting Date		
	1	2	3	4	Prior Year Accrued Less Payments (Col 1-3)	Prior Year Accrued Less Payments (Col 2-4)	To Prior Year Balances	To Prior Year Balances	Cumulative Balance from Prior Years (Col 1-3+7)	Cumulative Balance from Prior Years (Col 2-4+8)	
	Receivable	(Payable)	Receivable	(Payable)	5	6	7	8	9	10	
a. 2014											
1. Accrued retrospective premium	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	A	\$ -	\$ -
2. Reserve for rate credits or policy experience rating refunds	-	-	-	-	-	-	-	-	B	-	-
b. 2015											
1. Accrued retrospective premium	-	-	-	-	-	-	-	-	C	-	-
2. Reserve for rate credits or policy experience rating refunds	-	-	-	-	-	-	-	-	D	-	-
c. 2016											
1. Accrued retrospective premium	-	-	-	-	-	-	-	-	E	-	-
2. Reserve for rate credits or policy experience rating refunds	-	-	-	-	-	-	-	-	F	-	-
d. Total for Risk Corridors	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -

Explanation of Adjustments

- A.
- B.
- C.
- D.
- E.
- F.

(5) The Company does not have any risk corridor receivables to present in the table below.

Risk Corridors Program Year:	1	2	3	4	5	6
	Estimated Amount to be Filed or Final Amount Filed with CMS	Non-Accrued Amounts for Impairment or Other Reasons	Amounts received from CMS	Asset Balance (Gross of Non-admissions) (1-2-3)	Non-admitted Amount	Net Admitted Asset (4-5)
a. 2014	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
b. 2015	-	-	-	-	-	-
c. 2016	-	-	-	-	-	-
d. Total (a+b+c)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

25. CHANGE IN INCURRED CLAIMS AND CLAIMS ADJUSTMENT EXPENSES

- A. Changes in estimates related to the prior year incurred claims are included in total hospital and medical expenses in the current year in the statutory basis statements of operations. The following tables disclose paid claims, incurred claims, and the balance in claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves, and health care receivables (excluding provider loans and advances not yet expensed) for the years ended December 31, 2018 and 2017:

	2018		
	Current Year Incurred Claims	Prior Years Incurred Claims	Total
Beginning of year claim reserve	\$ -	\$ (494,154,045)	\$ (494,154,045)
Paid claims—net of health care receivables*	4,926,593,857	437,799,334	5,364,393,191
End of year claim reserve	<u>576,361,629</u>	<u>14,925,372</u>	<u>591,287,001</u>
Incurred claims excluding the change in health care receivables	5,502,955,486	(41,429,339)	5,461,526,147
Beginning of year health care receivables*		63,521,893	63,521,893
End of year health care receivables*	<u>(60,132,431)</u>	<u>(14,167,288)</u>	<u>(74,299,719)</u>
Total incurred claims	<u>\$ 5,442,823,055</u>	<u>\$ 7,925,266</u>	<u>\$ 5,450,748,321</u>

*Health care receivables excludes provider loans and advances not yet expensed of \$410,359 and \$522,450 for 2018 and 2017, respectively.

	2017		
	Current Year Incurred Claims	Prior Years Incurred Claims	Total
Beginning of year claim reserve	\$ -	\$ (354,064,065)	\$ (354,064,065)
Paid claims—net of health care receivables*	2,956,923,999	282,119,851	3,239,043,850
End of year claim reserve	<u>483,803,046</u>	<u>10,350,999</u>	<u>494,154,045</u>
Incurred claims excluding the change in health care receivables	3,440,727,045	(61,593,215)	3,379,133,830
Beginning of year health care receivables*	-	64,884,586	64,884,586
End of year health care receivables*	<u>(52,264,520)</u>	<u>(11,257,373)</u>	<u>(63,521,893)</u>
Total incurred claims	<u>\$ 3,388,462,525</u>	<u>\$ (7,966,002)</u>	<u>\$ 3,380,496,523</u>

*Health care receivables excludes provider loans and advances not yet expensed of \$522,450 and \$87,816 for 2017 and 2016, respectively.

The liability for claims unpaid, accrued medical incentive pool and bonus amounts, aggregate health claim reserves, net of health care receivables (excluding provider loans and advances not yet expensed), as of December 31, 2017 was \$430,632,152. As of December 31, 2018, \$437,799,334 has been paid for incurred claims attributable to insured events of prior years. Reserves remaining for prior years, net of health care receivables (excluding provider loans and advances not yet expensed) are now \$758,084, as a result of re-estimation of unpaid claims. Therefore, there has been \$ 7,925,266 unfavorable prior year development since December 31, 2017 to December 31, 2018. The primary drivers consist of unfavorable development of \$27,907,475 in retroactivity for inpatient, outpatient, physician, and pharmacy claims, partially offset by favorable development as a result of change in provision for adverse deviations in experience of \$19,005,283. At December 31, 2017, the Company recorded \$7,966,002 of favorable development as a result of a change in the provision for adverse deviations in experience of \$15,248,919 and favorable development of \$1,825,694 in risk share activity, partially offset by unfavorable development of \$5,339,053 in retroactivity for inpatient, outpatient, physician, and pharmacy claims, \$1,644,024 in other claim related payments, \$729,393 in provider settlements, and \$662,520 in extended benefits. Original estimates are increased or decreased, as additional information becomes known regarding individual claims, which could have an impact on the accruals for medical loss ratio rebates and retrospectively rated contracts. As a result of the prior year effects, on a regular basis, the Company adjusts revenue and the corresponding liability and/or receivable related to retrospectively rated policies and the impact of the change is included as a component of change in reserve for rate credits in the statutory basis statements of operations.

The Company incurred CAE of \$294,120,097 and \$206,405,994 in 2018 and 2017, respectively. These costs are included in the management service fees paid by the Company to UHS as a part of the Agreement (see Note 10). The following table discloses paid CAE, incurred CAE, and the balance in unpaid CAE reserve for 2018 and 2017:

	2018	2017
Total claims adjustment expenses	\$ 294,120,097	\$ 206,405,994
Less: current year unpaid claims adjustment expenses	(6,288,715)	(5,751,315)
Add: prior year unpaid claims adjustment expenses	<u>5,751,315</u>	<u>4,881,947</u>
Total claims adjustment expenses paid	<u>\$ 293,582,697</u>	<u>\$ 205,536,626</u>

B. The Company did not make any significant changes in methodologies and assumptions used in the calculation of the liability for claims unpaid and unpaid CAE in 2018.

26. INTERCOMPANY POOLING ARRANGEMENTS

A–G. The Company did not have any intercompany pooling arrangements in 2018 or 2017.

27. STRUCTURED SETTLEMENTS

A–B. The Company did not have structured settlements in 2018 or 2017.

28. HEALTH CARE RECEIVABLES

A. Pharmacy rebates receivable are recorded when reasonably estimated or billed by the affiliated pharmaceutical benefit manager in accordance with pharmaceutical rebate contract provisions. Information used to support rebates billed to the manufacturer is based on utilization information gathered by the pharmaceutical benefit manager and adjusted for significant changes in pharmaceutical contract provisions.

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

The Company evaluates admissibility of all pharmacy rebates receivable based on the administration of each underlying pharmaceutical benefit management agreement. The Company has nonadmitted and excluded all pharmacy rebates receivable that do not meet the admissibility criteria of SSAP No. 84, *Certain Health Care Receivables and Receivables under Government Insured Plans* ("SSAP No. 84") from the statutory basis statements of admitted assets, liabilities, and capital and surplus.

For each pharmaceutical management agreement for which a portion of the total pharmacy rebates receivable can be admitted based on the admissibility criteria of SSAP No. 84, the pharmacy rebate transaction history is summarized as follows:

Quarter	Estimated Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received within 90 Days of Billing	Actual Rebates Received within 91 to 180 Days of Billing	Actual Rebates Received More than 180 Days after Billing
12/31/2018	\$34,663,144	\$ -	\$ -	\$ -	\$ -
9/30/2018	32,641,235	32,844,273	17,567,783	-	-
6/30/2018	30,795,896	30,869,795	26,108,784	4,191,108	-
3/31/2018	28,545,912	28,503,969	24,325,253	3,461,034	691,724
12/31/2017	26,884,495	27,108,572	22,194,220	4,501,519	314,313
9/30/2017	26,124,867	25,896,471	20,962,405	3,613,652	1,084,784
6/30/2017	25,085,770	25,284,347	19,395,515	4,966,952	776,382
3/31/2017	23,357,945	23,391,954	11,839,880	8,671,925	2,741,484
12/31/2016	23,034,509	22,836,246	17,876,710	3,692,915	1,205,879
9/30/2016	22,920,004	22,578,109	17,086,082	4,382,275	1,060,629
6/30/2016	22,719,099	22,151,368	17,797,082	3,711,820	600,206
3/31/2016	20,749,065	20,426,140	15,888,877	4,142,836	432,492

Of the amount reported as health care receivables, \$50,039,832 and \$37,703,028 relates to pharmacy rebates receivable as of December 31, 2018 and 2017, respectively. This increase is primarily due to increased membership along with the change in generic/name brand mix. The Company also reported \$811,502 and \$5,934,726 of claims overpayment due from providers at December 31, 2018 and 2017, respectively, that were determined to be admissible.

B. The Company does not have any risk-sharing receivables.

29. PARTICIPATING POLICIES

The Company did not have any participating contracts in 2018 or 2017.

30. PREMIUM DEFICIENCY RESERVES

The following table summarizes the Company's premium deficiency reserves as of December 31, 2018 and 2017:

	2018
1. Liability carried for premium deficiency reserves	\$ 5,121,000
2. Date of the most recent evaluation of this liability	<u>12/31/2018</u>
3. Was anticipated investment income utilized in this calculation?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
	2017
1. Liability carried for premium deficiency reserves	\$ 43,862,000
2. Date of the most recent evaluation of this liability	<u>12/31/2017</u>
3. Was anticipated investment income utilized in this calculation?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

Premium deficiency reserves are included in aggregate health policy reserves (see Note 1—*Basis of Presentation*) in the statutory basis statements of admitted assets, liabilities, and capital and surplus.

31. ANTICIPATED SALVAGE AND SUBROGATION

Due to the type of business being written, the Company has no salvage. As of December 31, 2018 and 2017, the Company had no specific accruals established for outstanding subrogation, as it is considered a component of the actuarial calculations used to develop the estimates of claims unpaid and aggregate health claim reserves.

* * * * *

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES GENERAL

- 1.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer? Yes [X] No []
If yes, complete Schedule Y, Parts 1, 1A and 2
- 1.2 If yes, did the reporting entity register and file with its domiciliary State Insurance Commissioner, Director or Superintendent, or with such regulatory official of the state of domicile of the principal insurer in the Holding Company System, a registration statement providing disclosure substantially similar to the standards adopted by the National Association of Insurance Commissioners (NAIC) in its Model Insurance Holding Company System Regulatory Act and model regulations pertaining thereto, or is the reporting entity subject to standards and disclosure requirements substantially similar to those required by such Act and regulations? Yes [X] No [] N/A []
- 1.3 State Regulating? Illinois
- 1.4 Is the reporting entity publicly traded or a member of a publicly traded group? Yes [X] No []
- 1.5 If the response to 1.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group. 0000731766
- 2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity? Yes [] No [X]
- 2.2 If yes, date of change:
- 3.1 State as of what date the latest financial examination of the reporting entity was made or is being made. 12/31/2016
- 3.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released. 12/31/2016
- 3.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date). 06/22/2018
- 3.4 By what department or departments?
Illinois Department of Insurance
- 3.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments? Yes [] No [] N/A [X]
- 3.6 Have all of the recommendations within the latest financial examination report been complied with? Yes [] No [X] N/A []
- 4.1 During the period covered by this statement, did any agent, broker, sales representative, non-affiliated sales/service organization or any combination thereof under common control (other than salaried employees of the reporting entity), receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.11 sales of new business? Yes [] No [X]
4.12 renewals? Yes [] No [X]
- 4.2 During the period covered by this statement, did any sales/service organization owned in whole or in part by the reporting entity or an affiliate, receive credit or commissions for or control a substantial part (more than 20 percent of any major line of business measured on direct premiums) of:
4.21 sales of new business? Yes [] No [X]
4.22 renewals? Yes [] No [X]
- 5.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement? Yes [] No [X]
If yes, complete and file the merger history data file with the NAIC.
- 5.2 If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.
- | 1
Name of Entity | 2
NAIC Company Code | 3
State of Domicile |
|---------------------|------------------------|------------------------|
| | | |
- 6.1 Has the reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period? Yes [] No [X]
- 6.2 If yes, give full information:
- 7.1 Does any foreign (non-United States) person or entity directly or indirectly control 10% or more of the reporting entity? Yes [] No [X]
- 7.2 If yes,
7.21 State the percentage of foreign control; 0.0 %
7.22 State the nationality(s) of the foreign person(s) or entity(s) or if the entity is a mutual or reciprocal, the nationality of its manager or attorney-in-fact; and identify the type of entity(s) (e.g., individual, corporation or government, manager or attorney in fact).

1 Nationality	2 Type of Entity

GENERAL INTERROGATORIES

- 8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]
- 8.2 If response to 8.1 is yes, please identify the name of the bank holding company.
.....
- 8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [X] No []
- 8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
Optum Bank, Inc.	Salt Lake City, UT	NO.....	NO.....	YES.....	NO.....

9. What is the name and address of the independent certified public accountant or accounting firm retained to conduct the annual audit?
Deloitte & Touche LLP, Minneapolis, MN
- 10.1 Has the insurer been granted any exemptions to the prohibited non-audit services provided by the certified independent public accountant requirements as allowed in Section 7H of the Annual Financial Reporting Model Regulation (Model Audit Rule), or substantially similar state law or regulation? Yes [] No [X]
- 10.2 If the response to 10.1 is yes, provide information related to this exemption:
.....
- 10.3 Has the insurer been granted any exemptions related to the other requirements of the Annual Financial Reporting Model Regulation as allowed for in Section 18A of the Model Regulation, or substantially similar state law or regulation? Yes [] No [X]
- 10.4 If the response to 10.3 is yes, provide information related to this exemption:
.....
- 10.5 Has the reporting entity established an Audit Committee in compliance with the domiciliary state insurance laws? Yes [X] No [] N/A []
- 10.6 If the response to 10.5 is no or n/a, please explain
.....
11. What is the name, address and affiliation (officer/employee of the reporting entity or actuary/consultant associated with an actuarial consulting firm) of the individual providing the statement of actuarial opinion/certification?
Gary A. Iannone, Vice President of Actuarial Services of United HealthCare Services Inc., an affiliate of UnitedHealthcare Plan of the River Valley, Inc., 185 Asylum Street, Hartford, CT 06103
- 12.1 Does the reporting entity own any securities of a real estate holding company or otherwise hold real estate indirectly? Yes [] No [X]
- 12.11 Name of real estate holding company
- 12.12 Number of parcels involved 0
- 12.13 Total book/adjusted carrying value \$ 0
- 12.2 If, yes provide explanation:
.....
- 13. FOR UNITED STATES BRANCHES OF ALIEN REPORTING ENTITIES ONLY:**
- 13.1 What changes have been made during the year in the United States manager or the United States trustees of the reporting entity?
.....
- 13.2 Does this statement contain all business transacted for the reporting entity through its United States Branch on risks wherever located? Yes [] No []
- 13.3 Have there been any changes made to any of the trust indentures during the year? Yes [] No []
- 13.4 If answer to (13.3) is yes, has the domiciliary or entry state approved the changes? Yes [] No [] N/A []
- 14.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? Yes [X] No []
- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.
- 14.11 If the response to 14.1 is No, please explain:
.....
- 14.2 Has the code of ethics for senior managers been amended? Yes [] No [X]
- 14.21 If the response to 14.2 is yes, provide information related to amendment(s).
Non-material updates to existing Code.
- 14.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]
- 14.31 If the response to 14.3 is yes, provide the nature of any waiver(s).
.....

GENERAL INTERROGATORIES

- 15.1 Is the reporting entity the beneficiary of a Letter of Credit that is unrelated to reinsurance where the issuing or confirming bank is not on the SVO Bank List? Yes [] No [X]
- 15.2 If the response to 15.1 is yes, indicate the American Bankers Association (ABA) Routing Number and the name of the issuing or confirming bank of the Letter of Credit and describe the circumstances in which the Letter of Credit is triggered.

1 American Bankers Association (ABA) Routing Number	2 Issuing or Confirming Bank Name	3 Circumstances That Can Trigger the Letter of Credit	4 Amount

BOARD OF DIRECTORS

16. Is the purchase or sale of all investments of the reporting entity passed upon either by the board of directors or a subordinate committee thereof? Yes [X] No []
17. Does the reporting entity keep a complete permanent record of the proceedings of its board of directors and all subordinate committees thereof? Yes [X] No []
18. Has the reporting entity an established procedure for disclosure to its board of directors or trustees of any material interest or affiliation on the part of any of its officers, directors, trustees or responsible employees that is in conflict with the official duties of such person? Yes [X] No []

FINANCIAL

19. Has this statement been prepared using a basis of accounting other than Statutory Accounting Principles (e.g., Generally Accepted Accounting Principles)? Yes [] No [X]
- 20.1 Total amount loaned during the year (inclusive of Separate Accounts, exclusive of policy loans):
- 20.11 To directors or other officers.....\$0
 - 20.12 To stockholders not officers.....\$0
 - 20.13 Trustees, supreme or grand (Fraternal Only).....\$0
- 20.2 Total amount of loans outstanding at the end of year (inclusive of Separate Accounts, exclusive of policy loans):
- 20.21 To directors or other officers.....\$0
 - 20.22 To stockholders not officers.....\$0
 - 20.23 Trustees, supreme or grand (Fraternal Only).....\$0
- 21.1 Were any assets reported in this statement subject to a contractual obligation to transfer to another party without the liability for such obligation being reported in the statement? Yes [] No [X]
- 21.2 If yes, state the amount thereof at December 31 of the current year:
- 21.21 Rented from others.....\$0
 - 21.22 Borrowed from others.....\$0
 - 21.23 Leased from others.....\$0
 - 21.24 Other.....\$0
- 22.1 Does this statement include payments for assessments as described in the Annual Statement Instructions other than guaranty fund or guaranty association assessments? Yes [X] No []
- 22.2 If answer is yes:
- 22.21 Amount paid as losses or risk adjustment \$488
 - 22.22 Amount paid as expenses.....\$63,514,468
 - 22.23 Other amounts paid.....\$0
- 23.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [] No [X]
- 23.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:.....\$0

INVESTMENT

- 24.01 Were all the stocks, bonds and other securities owned December 31 of current year, over which the reporting entity has exclusive control, in the actual possession of the reporting entity on said date? (other than securities lending programs addressed in 24.03)..... Yes [X] No []
- 24.02 If no, give full and complete information relating thereto
.....
- 24.03 For security lending programs, provide a description of the program including value for collateral and amount of loaned securities, and whether collateral is carried on or off-balance sheet. (an alternative is to reference Note 17 where this information is also provided)
Not Applicable
- 24.04 Does the Company's security lending program meet the requirements for a conforming program as outlined in the Risk-Based Capital Instructions? Yes [] No [] N/A [X]
- 24.05 If answer to 24.04 is yes, report amount of collateral for conforming programs.....\$0
- 24.06 If answer to 24.04 is no, report amount of collateral for other programs.....\$0
- 24.07 Does your securities lending program require 102% (domestic securities) and 105% (foreign securities) from the counterparty at the outset of the contract? Yes [] No [] N/A [X]
- 24.08 Does the reporting entity non-admit when the collateral received from the counterparty falls below 100%? Yes [] No [] N/A [X]
- 24.09 Does the reporting entity or the reporting entity 's securities lending agent utilize the Master Securities lending Agreement (MSLA) to conduct securities lending? Yes [] No [] N/A [X]

GENERAL INTERROGATORIES

24.10 For the reporting entity's security lending program state the amount of the following as December 31 of the current year:

24.101 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$	0
24.102 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$	0
24.103 Total payable for securities lending reported on the liability page	\$	0

25.1 Were any of the stocks, bonds or other assets of the reporting entity owned at December 31 of the current year not exclusively under the control of the reporting entity, or has the reporting entity sold or transferred any assets subject to a put option contract that is currently in force? (Exclude securities subject to Interrogatory 21.1 and 24.03)..... Yes [X] No []

25.2 If yes, state the amount thereof at December 31 of the current year:

25.21 Subject to repurchase agreements	\$	0
25.22 Subject to reverse repurchase agreements	\$	0
25.23 Subject to dollar repurchase agreements	\$	0
25.24 Subject to reverse dollar repurchase agreements	\$	0
25.25 Placed under option agreements	\$	0
25.26 Letter stock or securities restricted as to sale - excluding FHLB Capital Stock	\$	0
25.27 FHLB Capital Stock	\$	0
25.28 On deposit with states	\$	0
25.29 On deposit with other regulatory bodies	\$	38,519,035
25.30 Pledged as collateral - excluding collateral pledged to an FHLB	\$	0
25.31 Pledged as collateral to FHLB - including assets backing funding agreements	\$	0
25.32 Other	\$	0

25.3 For category (25.26) provide the following:

1 Nature of Restriction	2 Description	3 Amount

26.1 Does the reporting entity have any hedging transactions reported on Schedule DB?..... Yes [] No [X]

26.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?..... Yes [] No [] N/A []
If no, attach a description with this statement.

27.1 Were any preferred stocks or bonds owned as of December 31 of the current year mandatorily convertible into equity, or, at the option of the issuer, convertible into equity?..... Yes [] No [X]

27.2 If yes, state the amount thereof at December 31 of the current year..... \$

28. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook?..... Yes [X] No []

28.01 For agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian's Address
Bank of New York Mellon	Global Liquidity Services, 1 Wall St, 14th Floor, New York, NY 10286
Northern Trust	50 S. LaSalle, Chicago, IL 60675

28.02 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

28.03 Have there been any changes, including name changes, in the custodian(s) identified in 28.01 during the current year?..... Yes [] No [X]

28.04 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

GENERAL INTERROGATORIES

28.05 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Internally Managed	I.....
JPMorgan Investment Management Inc.	U.....
BNY Mellon Asset Management North America	U.....

28.0597 For those firms/individuals listed in the table for Question 28.05, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's assets?..... Yes [X] No []

28.0598 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 28.05, does the total assets under management aggregate to more than 50% of the reporting entity's assets?..... Yes [] No [X]

28.06 For those firms or individuals listed in the table for 28.05 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
107038	JPMorgan Investment Management Inc.	549300W78QH4XMM6K69	SEC	NO.....
105764	BNY Mellon Asset Management North America	ME7YUCK4NF1W8VM8SP25	SEC	NO.....

29.1 Does the reporting entity have any diversified mutual funds reported in Schedule D, Part 2 (diversified according to the Securities and Exchange Commission (SEC) in the Investment Company Act of 1940 [Section 5(b)(1)])? Yes [] No [X]

29.2 If yes, complete the following schedule:

1 CUSIP #	2 Name of Mutual Fund	3 Book/Adjusted Carrying Value
29.2999 - Total		0

29.3 For each mutual fund listed in the table above, complete the following schedule:

1 Name of Mutual Fund (from above table)	2 Name of Significant Holding of the Mutual Fund	3 Amount of Mutual Fund's Book/Adjusted Carrying Value Attributable to the Holding	4 Date of Valuation
.....

30. Provide the following information for all short-term and long-term bonds and all preferred stocks. Do not substitute amortized value or statement value for fair value.

	1 Statement (Admitted) Value	2 Fair Value	3 Excess of Statement over Fair Value (-), or Fair Value over Statement (+)
30.1 Bonds	614,945,769	608,101,682	(6,844,087)
30.2 Preferred stocks	0	0	0
30.3 Totals	614,945,769	608,101,682	(6,844,087)

30.4 Describe the sources or methods utilized in determining the fair values:
For those securities that had prices in the NAIC SVO ISIS database, those prices were used; for those securities that did not have prices in the NAIC SVO ISIS database, pricing was obtained from Hub which is an external data sources vendor. Hub utilizes various pricing sources. ...

31.1 Was the rate used to calculate fair value determined by a broker or custodian for any of the securities in Schedule D? Yes [] No [X]

31.2 If the answer to 31.1 is yes, does the reporting entity have a copy of the broker's or custodian's pricing policy (hard copy or electronic copy) for all brokers or custodians used as a pricing source? Yes [] No []

31.3 If the answer to 31.2 is no, describe the reporting entity's process for determining a reliable pricing source for purposes of disclosure of fair value for Schedule D:
.....

32.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []

32.2 If no, list exceptions:
.....

GENERAL INTERROGATORIES

33. By self-designating 5GI securities, the reporting entity is certifying the following elements of each self-designated 5GI security:
 a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.
 b. Issuer or obligor is current on all contracted interest and principal payments.
 c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.
 Has the reporting entity self-designated 5GI securities? Yes [] No [X]

34. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:
 a. The security was purchased prior to January 1, 2018.
 b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.
 c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.
 d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.
 Has the reporting entity self-designated PLGI securities? Yes [] No [X]

OTHER

35.1 Amount of payments to trade associations, service organizations and statistical or rating bureaus, if any?\$0

35.2 List the name of the organization and the amount paid if any such payment represented 25% or more of the total payments to trade associations, service organizations and statistical or rating bureaus during the period covered by this statement.

1 Name	2 Amount Paid

36.1 Amount of payments for legal expenses, if any?\$0

36.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payments for legal expenses during the period covered by this statement.

1 Name	2 Amount Paid

37.1 Amount of payments for expenditures in connection with matters before legislative bodies, officers or departments of government, if any?\$0

37.2 List the name of the firm and the amount paid if any such payment represented 25% or more of the total payment expenditures in connection with matters before legislative bodies, officers or departments of government during the period covered by this statement.

1 Name	2 Amount Paid

GENERAL INTERROGATORIES

PART 2 - HEALTH INTERROGATORIES

1.1 Does the reporting entity have any direct Medicare Supplement Insurance in force? Yes [] No [X]

1.2 If yes, indicate premium earned on U.S. business only. \$ 0

1.3 What portion of Item (1.2) is not reported on the Medicare Supplement Insurance Experience Exhibit? \$ 0

1.31 Reason for excluding

1.4 Indicate amount of earned premium attributable to Canadian and/or Other Alien not included in Item (1.2) above \$ 0

1.5 Indicate total incurred claims on all Medicare Supplement Insurance. \$ 0

1.6 Individual policies:

Most current three years:

1.61 Total premium earned \$ 0

1.62 Total incurred claims \$ 0

1.63 Number of covered lives 0

All years prior to most current three years:

1.64 Total premium earned \$ 0

1.65 Total incurred claims \$ 0

1.66 Number of covered lives 0

1.7 Group policies:

Most current three years:

1.71 Total premium earned \$ 0

1.72 Total incurred claims \$ 0

1.73 Number of covered lives 0

All years prior to most current three years:

1.74 Total premium earned \$ 0

1.75 Total incurred claims \$ 0

1.76 Number of covered lives 0

2. Health Test:

	1 Current Year	2 Prior Year
2.1 Premium Numerator	6,107,079,869	4,088,704,041
2.2 Premium Denominator	6,107,079,869	4,088,704,041
2.3 Premium Ratio (2.1/2.2)	1.000	1.000
2.4 Reserve Numerator	672,480,097	638,176,053
2.5 Reserve Denominator	672,480,097	638,176,053
2.6 Reserve Ratio (2.4/2.5)	1.000	1.000

3.1 Has the reporting entity received any endowment or gift from contracting hospitals, physicians, dentists, or others that is agreed will be returned when, as and if the earnings of the reporting entity permits? Yes [] No [X]

3.2 If yes, give particulars:

4.1 Have copies of all agreements stating the period and nature of hospitals', physicians', and dentists' care offered to subscribers and dependents been filed with the appropriate regulatory agency? Yes [X] No []

4.2 If not previously filed, furnish herewith a copy(ies) of such agreement(s). Do these agreements include additional benefits offered? Yes [] No [X]

5.1 Does the reporting entity have stop-loss reinsurance? Yes [] No [X]

5.2 If no, explain:
 UnitedHealthcare Plan of the River Valley, Inc. has no active stop-loss reinsurance agreements. UnitedHealthcare Plan of the River Valley, Inc. only has insolvency only and excess loss reinsurance agreements.

5.3 Maximum retained risk (see instructions)

5.31 Comprehensive Medical \$ 0

5.32 Medical Only \$ 0

5.33 Medicare Supplement \$ 0

5.34 Dental & Vision \$ 0

5.35 Other Limited Benefit Plan \$ 0

5.36 Other \$ 0

6. Describe arrangement which the reporting entity may have to protect subscribers and their dependents against the risk of insolvency including hold harmless provisions, conversion privileges with other carriers, agreements with providers to continue rendering services, and any other agreements:
 Hold harmless clauses in provider agreements and continuation of coverage endorsements in reinsurance agreements.

7.1 Does the reporting entity set up its claim liability for provider services on a service date basis? Yes [X] No []

7.2 If no, give details

8. Provide the following information regarding participating providers:

8.1 Number of providers at start of reporting year 126,348

8.2 Number of providers at end of reporting year 135,644

9.1 Does the reporting entity have business subject to premium rate guarantees? Yes [] No [X]

9.2 If yes, direct premium earned:

9.21 Business with rate guarantees between 15-36 months. \$ 0

9.22 Business with rate guarantees over 36 months \$ 0

GENERAL INTERROGATORIES

10.1 Does the reporting entity have Incentive Pool, Withhold or Bonus Arrangements in its provider contracts? Yes No

10.2 If yes:

	10.21 Maximum amount payable bonuses.....	\$	11,458,850
	10.22 Amount actually paid for year bonuses.....	\$	14,805,187
	10.23 Maximum amount payable withholds.....	\$	3,253,982
	10.24 Amount actually paid for year withholds.....	\$	1,520,026

11.1 Is the reporting entity organized as:

	11.12 A Medical Group/Staff Model,	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
	11.13 An Individual Practice Association (IPA), or,	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
	11.14 A Mixed Model (combination of above)?	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>

11.2 Is the reporting entity subject to Statutory Minimum Capital and Surplus Requirements? Yes No

11.3 If yes, show the name of the state requiring such minimum capital and surplus. Illinois

11.4 If yes, show the amount required. \$ 1,800,000

11.5 Is this amount included as part of a contingency reserve in stockholder's equity? Yes No

11.6 If the amount is calculated, show the calculation
IL State Statute 215 ILCS 5/13

12. List service areas in which reporting entity is licensed to operate:

1 Name of Service Area
Illinois Counties in Service Area: Bureau, Carroll, DeWitt, Fulton, Henderson, Henry, Jo Daviess, Knox, La Salle, Lee, Livingston, Marshall, McLean, Mercer, Peoria, Putnam, Rock Island, Stark, Tazewell, Warren, Whiteside, Woodford
Iowa Counties in Service Area: Adair, Adams, Appanoose, Audubon, Benton, Black Hawk, Boone, Bremer, Buchanan, Buena Vista, Butler, Calhoun, Carroll, Cass, Cedar, Cerro Gordo, Chickasaw, Clarke, Clayton, Clinton, Crawford, Dallas, Davis, Decatur, Delaware, Des Moines, Dubuque, Fayette, Floyd, Franklin, Fremont, Greene, Grundy, Guthrie, Hamilton, Hancock, Hardin, Harrison, Henry, Howard, Humboldt, Iowa, Jackson, Jasper, Jefferson, Johnson, Jones, Keokuk, Kossuth, Lee, Linn, Louisa, Lucas, Madison, Mahaska, Marion, Marshall, Mills, Mitchell, Monona, Monroe, Montgomery, Muscatine, Page, Palo Alto, Pocahontas, Polk, Pottawattamie, Poweshiek, Ringgold, Sac, Scott, Shelby, Story, Tama, Taylor, Union, VanBuren, Wapello, Warren, Washington, Wayne, Webster, Winnebago, Woodbury, Worth and Wright
Tennessee Counties in Service Area: Statewide
Virginia Counties in Service Area: Counties: Bedford, Bland, Botetourt, Buchanan, Carroll, Craig, Dickenson, Floyd, Franklin, Giles, Grayson, Henry, Lee, Montgomery, Pulaski, Roanoke, Rockbridge, Russell, Scott Smyth, Tazewell, Washington, Wise, Wythe; Cities: Bedford, Bristil, Buena Vista, Galax, Lexington, Martinsville, Norton, Radford, Roanoke, Salem

13.1 Do you act as a custodian for health savings accounts? Yes No

13.2 If yes, please provide the amount of custodial funds held as of the reporting date. \$ 0

13.3 Do you act as an administrator for health savings accounts? Yes No

13.4 If yes, please provide the balance of funds administered as of the reporting date. \$ 0

14.1 Are any of the captive affiliates reported on Schedule S, Part 3, authorized reinsurers? Yes No N/A

14.2 If the answer to 14.1 is yes, please provide the following:

1 Company Name	2 NAIC Company Code	3 Domiciliary Jurisdiction	4 Reserve Credit	Assets Supporting Reserve Credit		
				5 Letters of Credit	6 Trust Agreements	7 Other

15. Provide the following for individual ordinary life insurance* policies (U.S. business only) for the current year (prior to reinsurance assumed or ceded):

15.1 Direct Premium Written	\$	0
15.2 Total Incurred Claims	\$	0
15.3 Number of Covered Lives		0

*Ordinary Life Insurance Includes
Term(whether full underwriting, limited underwriting, jet issue, "short form app")
Whole Life (whether full underwriting, limited underwriting, jet issue, "short form app")
Variable Life (with or without secondary gurarantee)
Universal Life (with or without secondary gurarantee)
Variable Universal Life (with or without secondary gurarantee)

16. Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states? Yes No

16.1 If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity? Yes No

FIVE-YEAR HISTORICAL DATA

	1 2018	2 2017	3 2016	4 2015	5 2014
Balance Sheet (Pages 2 and 3)					
1. Total admitted assets (Page 2, Line 28)	1,274,736,990	1,243,559,279	1,063,055,229	1,131,070,715	1,342,922,260
2. Total liabilities (Page 3, Line 24)	811,738,853	797,271,087	628,746,161	707,765,179	742,593,611
3. Statutory minimum capital and surplus requirement	1,800,000	1,800,000	1,800,000	189,545,450	366,147,981
4. Total capital and surplus (Page 3, Line 33)	462,998,137	446,288,192	434,309,068	423,305,536	600,328,649
Income Statement (Page 4)					
5. Total revenues (Line 8)	6,121,461,571	4,007,681,621	3,591,369,162	3,404,123,348	4,245,828,044
6. Total medical and hospital expenses (Line 18)	5,450,748,321	3,380,496,523	2,912,948,099	2,645,527,617	3,288,916,210
7. Claims adjustment expenses (Line 20)	294,120,097	206,405,994	177,953,618	168,138,242	171,150,494
8. Total administrative expenses (Line 21)	470,068,416	333,013,301	380,589,931	365,781,295	409,387,812
9. Net underwriting gain (loss) (Line 24)	(54,734,263)	82,805,803	158,341,514	147,310,194	376,373,528
10. Net investment gain (loss) (Line 27)	17,454,275	11,040,719	12,512,569	14,225,908	16,509,198
11. Total other income (Lines 28 plus 29)	(334,880)	(20,000)	(693,146)	(2,319,250)	(1,412,720)
12. Net income or (loss) (Line 32)	(29,958,562)	66,112,321	125,998,557	62,453,637	236,985,815
Cash Flow (Page 6)					
13. Net cash from operations (Line 11)	123,863,715	130,584,275	(92,800,303)	3,080,557	392,973,796
Risk-Based Capital Analysis					
14. Total adjusted capital	462,998,137	446,288,192	434,309,068	423,305,536	600,328,649
15. Authorized control level risk-based capital	199,719,863	110,732,140	102,740,134	94,772,725	122,049,327
Enrollment (Exhibit 1)					
16. Total members at end of period (Column 5, Line 7)	936,930	934,758	749,280	626,339	813,770
17. Total members months (Column 6, Line 7)	11,319,913	8,894,126	8,628,554	7,423,212	9,397,189
Operating Percentage (Page 4) (Item divided by Page 4, sum of Lines 2, 3 and 5) x 100.0					
18. Premiums earned plus risk revenue (Line 2 plus Lines 3 and 5)	100.0	100.0	100.0	100.0	100.0
19. Total hospital and medical plus other non-health (Lines 18 plus Line 19)	89.0	84.4	81.1	77.7	77.5
20. Cost containment expenses	3.1	3.3	3.3	3.0	2.4
21. Other claims adjustment expenses	1.7	1.9	1.6	2.0	1.6
22. Total underwriting deductions (Line 23)	100.9	97.9	95.6	95.7	91.2
23. Total underwriting gain (loss) (Line 24)	(0.9)	2.1	4.4	4.3	8.9
Unpaid Claims Analysis (U&I Exhibit, Part 2B)					
24. Total claims incurred for prior years (Line 13, Col. 5)	438,557,418	281,213,478	229,524,853	274,414,071	295,858,486
25. Estimated liability of unpaid claims-[prior year (Line 13, Col. 6)]	430,632,151	289,179,479	239,931,715	306,802,097	356,974,965
Investments In Parent, Subsidiaries and Affiliates					
26. Affiliated bonds (Sch. D Summary, Line 12, Col. 1)	0	0	0	0	0
27. Affiliated preferred stocks (Sch. D Summary, Line 18, Col. 1)	0	0	0	0	0
28. Affiliated common stocks (Sch. D Summary, Line 24, Col. 1)	0	0	0	0	0
29. Affiliated short-term investments (subtotal included in Schedule DA Verification, Col. 5, Line 10)	0	0	0	0	0
30. Affiliated mortgage loans on real estate	0	0	0	0	0
31. All other affiliated	0	0	0	0	0
32. Total of above Lines 26 to 31	0	0	0	0	0
33. Total investment in parent included in Lines 26 to 31 above	0	0	0	0	0

NOTE: If a party to a merger, have the two most recent years of this exhibit been restated due to a merger in compliance with the disclosure requirements of SSAP No. 3, Accounting Changes and Correction of Errors? Yes [] No []
 If no, please explain:



ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF		DURING THE YEAR							(LOCATION)	
		Illinois		2018							NAIC Company Code	
		1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10	
	Total	2	3	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other		
		Individual	Group									
Total Members at end of:												
1. Prior Year	3,404	0	3,404	0	0	0	0	0	0	0		
2. First Quarter	3,413	0	3,413	0	0	0	0	0	0	0		
3. Second Quarter	3,187	0	3,187	0	0	0	0	0	0	0		
4. Third Quarter	3,166	0	3,166	0	0	0	0	0	0	0		
5. Current Year	2,835	0	2,835	0	0	0	0	0	0	0		
6. Current Year Member Months	38,381	0	38,381	0	0	0	0	0	0	0		
Total Member Ambulatory Encounters for Year:												
7. Physician	26,026	0	26,026	0	0	0	0	0	0	0		
8. Non-Physician	1,118	0	1,118	0	0	0	0	0	0	0		
9. Total	27,144	0	27,144	0	0	0	0	0	0	0		
10. Hospital Patient Days Incurred	398	0	398	0	0	0	0	0	0	0		
11. Number of Inpatient Admissions	129	0	129	0	0	0	0	0	0	0		
12. Health Premiums Written (b)	15,796,771	0	15,775,421	0	0	0	0	21,350	0	0		
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0		
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0		
15. Health Premiums Earned	15,792,674	0	15,775,421	0	0	0	0	17,253	0	0		
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0		
17. Amount Paid for Provision of Health Care Services	13,859,674	0	14,015,762	0	0	0	0	(156,088)	0	0		
18. Amount Incurred for Provision of Health Care Services	13,571,560	0	13,759,698	0	0	0	0	(188,138)	0	0		

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$21,350



ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF Iowa			DURING THE YEAR 2018					(LOCATION)	
		1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
			2 Individual	3 Group							
Total Members at end of:											
1. Prior Year	423,748	33,904	22,139	0	0	0	2,199	0	365,506	0	
2. First Quarter	445,193	39,661	20,501	0	0	0	1,993	0	383,038	0	
3. Second Quarter	451,609	39,485	20,731	0	0	0	1,977	0	389,416	0	
4. Third Quarter	450,604	39,267	21,124	0	0	0	1,951	0	388,262	0	
5. Current Year	447,270	38,243	21,483	0	0	0	1,935	0	385,609	0	
6. Current Year Member Months	5,340,572	460,937	250,805	0	0	0	23,673	0	4,605,157	0	
Total Member Ambulatory Encounters for Year:											
7. Physician	4,399,972	220,714	193,506	0	0	0	35,053	0	3,950,699	0	
8. Non-Physician	3,917,813	113,955	8,298	0	0	0	2,152	0	3,793,408	0	
9. Total	8,317,785	334,669	201,804	0	0	0	37,205	0	7,744,107	0	
10. Hospital Patient Days Incurred	3,791,197	3,826	3,050	0	0	0	959	0	3,783,362	0	
11. Number of Inpatient Admissions	170,710	624	987	0	0	0	223	0	168,876	0	
12. Health Premiums Written (b)	3,158,018,187	61,515,639	103,107,740	0	0	0	16,837,298	34,996	2,976,522,514	0	
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0	
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0	
15. Health Premiums Earned	3,158,318,422	61,515,639	103,412,316	0	0	0	16,837,298	30,655	2,976,522,514	0	
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0	
17. Amount Paid for Provision of Health Care Services	2,952,038,523	62,665,012	80,000,825	0	0	0	15,855,159	(107,548)	2,793,625,075	0	
18. Amount Incurred for Provision of Health Care Services	3,028,456,244	62,195,678	79,361,203	0	0	0	15,643,417	(8,112)	2,871,264,058	0	

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$34,996

301A



ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF Tennessee		DURING THE YEAR 2018							(LOCATION)	
		Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other	NAIC Company Code 95378	
		1 Total	2 Individual								3 Group	
Total Members at end of:												
1. Prior Year	504,486	0	462	0	0	0	0	51,581	452,443	0		
2. First Quarter	495,765	0	348	0	0	0	0	54,792	440,625	0		
3. Second Quarter	493,223	0	348	0	0	0	0	57,124	435,751	0		
4. Third Quarter	477,718	0	348	0	0	0	0	59,192	418,178	0		
5. Current Year	482,870	0	311	0	0	0	0	60,890	421,669	0		
6. Current Year Member Months	5,895,612	0	4,124	0	0	0	0	687,331	5,204,157	0		
Total Member Ambulatory Encounters for Year:												
7. Physician	5,229,028	0	3,419	0	0	0	0	1,507,692	3,717,917	0		
8. Non-Physician	2,739,903	0	203	0	0	0	0	775,093	1,964,607	0		
9. Total	7,968,931	0	3,622	0	0	0	0	2,282,785	5,682,524	0		
10. Hospital Patient Days Incurred	2,974,530	0	31	0	0	0	0	199,446	2,775,053	0		
11. Number of Inpatient Admissions	197,250	0	12	0	0	0	0	25,981	171,257	0		
12. Health Premiums Written (b)	2,916,552,400	0	1,825,760	0	0	0	0	894,046,741	2,020,679,899	0		
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0		
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0		
15. Health Premiums Earned	2,930,637,964	0	1,825,760	0	0	0	0	908,131,823	2,020,680,381	0		
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0		
17. Amount Paid for Provision of Health Care Services	2,382,394,310	0	1,269,519	0	0	0	0	718,382,499	1,662,742,292	0		
18. Amount Incurred for Provision of Health Care Services	2,392,773,409	0	1,112,282	0	0	0	0	724,767,708	1,666,893,419	0		

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$894,046,741

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ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF		DURING THE YEAR							(LOCATION)	
		Virginia		2018							NAIC Company Code	
		1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10	
	Total	2	3	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other		
Total Members at end of:												
1. Prior Year	3,120	0	3,120	0	0	0	0	0	0	0		
2. First Quarter	3,430	0	3,430	0	0	0	0	0	0	0		
3. Second Quarter	3,896	0	3,896	0	0	0	0	0	0	0		
4. Third Quarter	4,006	0	4,006	0	0	0	0	0	0	0		
5. Current Year	3,954	0	3,954	0	0	0	0	0	0	0		
6. Current Year Member Months	45,348	0	45,348	0	0	0	0	0	0	0		
Total Member Ambulatory Encounters for Year:												
7. Physician	30,571	0	30,571	0	0	0	0	0	0	0		
8. Non-Physician	1,592	0	1,592	0	0	0	0	0	0	0		
9. Total	32,163	0	32,163	0	0	0	0	0	0	0		
10. Hospital Patient Days Incurred	737	0	737	0	0	0	0	0	0	0		
11. Number of Inpatient Admissions	174	0	174	0	0	0	0	0	0	0		
12. Health Premiums Written (b)	22,821,362	0	22,777,155	0	0	0	0	44,207	0	0		
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0		
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0		
15. Health Premiums Earned	22,821,362	0	22,777,155	0	0	0	0	44,207	0	0		
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0		
17. Amount Paid for Provision of Health Care Services	16,100,685	0	16,158,792	0	0	0	0	(58,107)	0	0		
18. Amount Incurred for Provision of Health Care Services	15,947,109	0	15,976,292	0	0	0	0	(29,183)	0	0		

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$44,207

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ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION (a)

REPORT FOR: 1. CORPORATION

UnitedHealthcare Plan of the River Valley, Inc.

2. Moline, IL

NAIC Group Code	0707	BUSINESS IN THE STATE OF	(LOCATION)										
			Grand Total			DURING THE YEAR				2018		NAIC Company Code	95378
			1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10	
Total	2	3	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other				
Total Members at end of:													
1. Prior Year	934,758	33,904	29,125	0	0	0	2,199	51,581	817,949	0			
2. First Quarter	947,801	39,661	27,692	0	0	0	1,993	54,792	823,663	0			
3. Second Quarter	951,915	39,485	28,162	0	0	0	1,977	57,124	825,167	0			
4. Third Quarter	935,494	39,267	28,644	0	0	0	1,951	59,192	806,440	0			
5. Current Year	936,930	38,243	28,583	0	0	0	1,935	60,890	807,278	0			
6. Current Year Member Months	11,319,913	460,937	338,658	0	0	0	23,673	687,331	9,809,315	0			
Total Member Ambulatory Encounters for Year:													
7. Physician	9,685,597	220,714	253,522	0	0	0	35,053	1,507,692	7,668,616	0			
8. Non-Physician	6,660,426	113,955	11,211	0	0	0	2,152	775,093	5,758,015	0			
9. Total	16,346,023	334,669	264,733	0	0	0	37,205	2,282,785	13,426,631	0			
10. Hospital Patient Days Incurred	6,766,862	3,826	4,216	0	0	0	959	199,446	6,558,415	0			
11. Number of Inpatient Admissions	368,263	624	1,302	0	0	0	223	25,981	340,133	0			
12. Health Premiums Written (b)	6,113,188,720	61,515,639	143,486,076	0	0	0	16,837,298	894,147,294	4,997,202,413	0			
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0			
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0			
15. Health Premiums Earned	6,127,570,422	61,515,639	143,790,652	0	0	0	16,837,298	908,223,938	4,997,202,895	0			
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0			
17. Amount Paid for Provision of Health Care Services	5,364,393,192	62,665,012	111,444,898	0	0	0	15,855,159	718,060,756	4,456,367,367	0			
18. Amount Incurred for Provision of Health Care Services	5,450,748,322	62,195,678	110,209,475	0	0	0	15,643,417	724,542,275	4,538,157,477	0			

(a) For health business: number of persons insured under PPO managed care products0 and number of persons insured under indemnity only products0 .

(b) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$894,147,294

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Schedule S - Part 1 - Section 2

NONE

Schedule S - Part 2

NONE

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE S - PART 3 - SECTION 2

Reinsurance Ceded Accident and Health Insurance Listed by Reinsuring Company as of December 31, Current Year

1 NAIC Company Code	2 ID Number	3 Effective Date	4 Name of Company	5 Domi- ciliary Juris- diction	6 Type of Reinsurance Ceded	7 Type of Business Ceded	8 Premiums	9 Unearned Premiums (Estimated)	10 Reserve Credit Taken Other than for Unearned Premiums	Outstanding Surplus Relief		13 Modified Coinsurance Reserve	14 Funds Withheld Under Coinsurance
										11 Current Year	12 Prior Year		
79413	36-2739571	07/01/2008	UnitedHealthcare Insurance Company	CT	OTH/L/G	CMM	222,747	0	0	0	0	0	0
79413	36-2739571	07/01/2008	UnitedHealthcare Insurance Company	CT	OTH/L/G	MD	221	0	0	0	0	0	0
79413	36-2739571	07/01/2008	UnitedHealthcare Insurance Company	CT	OTH/L/G	MR	912,314	0	0	0	0	0	0
79413	36-2739571	07/01/2008	UnitedHealthcare Insurance Company	CT	OTH/L/G	MC	4,973,568	0	0	0	0	0	0
0299999. General Account - Authorized U.S. Affiliates - Other							6,108,850	0	0	0	0	0	0
0399999. Total General Account - Authorized U.S. Affiliates							6,108,850	0	0	0	0	0	0
0699999. Total General Account - Authorized Non-U.S. Affiliates							0	0	0	0	0	0	0
0799999. Total General Account - Authorized Affiliates							6,108,850	0	0	0	0	0	0
1099999. Total General Account - Authorized Non-Affiliates							0	0	0	0	0	0	0
1199999. Total General Account Authorized							6,108,850	0	0	0	0	0	0
1499999. Total General Account - Unauthorized U.S. Affiliates							0	0	0	0	0	0	0
1799999. Total General Account - Unauthorized Non-U.S. Affiliates							0	0	0	0	0	0	0
1899999. Total General Account - Unauthorized Affiliates							0	0	0	0	0	0	0
2199999. Total General Account - Unauthorized Non-Affiliates							0	0	0	0	0	0	0
2299999. Total General Account Unauthorized							0	0	0	0	0	0	0
2599999. Total General Account - Certified U.S. Affiliates							0	0	0	0	0	0	0
2899999. Total General Account - Certified Non-U.S. Affiliates							0	0	0	0	0	0	0
2999999. Total General Account - Certified Affiliates							0	0	0	0	0	0	0
3299999. Total General Account - Certified Non-Affiliates							0	0	0	0	0	0	0
3399999. Total General Account Certified							0	0	0	0	0	0	0
3499999. Total General Account Authorized, Unauthorized and Certified							6,108,850	0	0	0	0	0	0
3799999. Total Separate Accounts - Authorized U.S. Affiliates							0	0	0	0	0	0	0
4099999. Total Separate Accounts - Authorized Non-U.S. Affiliates							0	0	0	0	0	0	0
4199999. Total Separate Accounts - Authorized Affiliates							0	0	0	0	0	0	0
4499999. Total Separate Accounts - Authorized Non-Affiliates							0	0	0	0	0	0	0
4599999. Total Separate Accounts Authorized							0	0	0	0	0	0	0
4899999. Total Separate Accounts - Unauthorized U.S. Affiliates							0	0	0	0	0	0	0
5199999. Total Separate Accounts - Unauthorized Non-U.S. Affiliates							0	0	0	0	0	0	0
5299999. Total Separate Accounts - Unauthorized Affiliates							0	0	0	0	0	0	0
5599999. Total Separate Accounts - Unauthorized Non-Affiliates							0	0	0	0	0	0	0
5699999. Total Separate Accounts Unauthorized							0	0	0	0	0	0	0
5999999. Total Separate Accounts - Certified U.S. Affiliates							0	0	0	0	0	0	0
6299999. Total Separate Accounts - Certified Non-U.S. Affiliates							0	0	0	0	0	0	0
6399999. Total Separate Accounts - Certified Affiliates							0	0	0	0	0	0	0
6699999. Total Separate Accounts - Certified Non-Affiliates							0	0	0	0	0	0	0
6799999. Total Separate Accounts Certified							0	0	0	0	0	0	0
6899999. Total Separate Accounts Authorized, Unauthorized and Certified							0	0	0	0	0	0	0
6999999. Total U.S. (Sum of 0399999, 0899999, 1499999, 1999999, 2599999, 3099999, 3799999, 4299999, 4899999, 5399999, 5999999 and 6499999)							6,108,850	0	0	0	0	0	0
7099999. Total Non-U.S. (Sum of 0699999, 0999999, 1799999, 2099999, 2899999, 3199999, 4099999, 4399999, 5199999, 5499999, 6299999 and 6599999)							0	0	0	0	0	0	0
9999999 - Totals							6,108,850	0	0	0	0	0	0

Schedule S - Part 4

NONE

Schedule S - Part 4 - Bank Footnote

NONE

Schedule S - Part 5

NONE

Schedule S - Part 5 - Bank Footnote

NONE

SCHEDULE S - PART 6

Five Year Exhibit of Reinsurance Ceded Business (\$000 Omitted)

	1 2018	2 2017	3 2016	4 2015	5 2014
A. OPERATIONS ITEMS					
1. Premiums	223	193	220	209	247
2. Title XVIII - Medicare	913	794	660	1,198	1,249
3. Title XIX - Medicaid	4,974	3,094	2,712	2,002	2,759
4. Commissions and reinsurance expense allowance	0	0	0	0	0
5. Total hospital and medical expenses	0	0	0	0	0
B. BALANCE SHEET ITEMS					
6. Premiums receivable	554	481	376	283	0
7. Claims payable	0	0	0	0	0
8. Reinsurance recoverable on paid losses	0	0	0	0	0
9. Experience rating refunds due or unpaid	0	0	0	0	0
10. Commissions and reinsurance expense allowances due	0	0	0	0	0
11. Unauthorized reinsurance offset	0	0	0	0	0
12. Offset for reinsurance with Certified Reinsurers	0	0	0	0	0
C. UNAUTHORIZED REINSURANCE (DEPOSITS BY AND FUNDS WITHHELD FROM)					
13. Funds deposited by and withheld from (F)	0	0	0	0	0
14. Letters of credit (L)	0	0	0	0	0
15. Trust agreements (T)	0	0	0	0	0
16. Other (O)	0	0	0	0	0
D. REINSURANCE WITH CERTIFIED REINSURERS (DEPOSITS BY AND FUNDS WITHHELD FROM)					
17. Multiple Beneficiary Trust	0	0	0	0	0
18. Funds deposited by and withheld from (F)	0	0	0	0	0
19. Letters of credit (L)	0	0	0	0	0
20. Trust agreements (T)	0	0	0	0	0
21. Other (O)	0	0	0	0	0

SCHEDULE S - PART 7

Restatement of Balance Sheet to Identify Net Credit For Ceded Reinsurance

	1 As Reported (net of ceded)	2 Restatement Adjustments	3 Restated (gross of ceded)
ASSETS (Page 2, Col. 3)			
1. Cash and invested assets (Line 12)	981,508,481	0	981,508,481
2. Accident and health premiums due and unpaid (Line 15)	195,916,342	0	195,916,342
3. Amounts recoverable from reinsurers (Line 16.1)	0	0	0
4. Net credit for ceded reinsurance	XXX	(553,534)	(553,534)
5. All other admitted assets (Balance)	97,312,167	0	97,312,167
6. Total assets (Line 28)	1,274,736,990	(553,534)	1,274,183,456
LIABILITIES, CAPITAL AND SURPLUS (Page 3)			
7. Claims unpaid (Line 1)	576,251,867	0	576,251,867
8. Accrued medical incentive pool and bonus payments (Line 2)	11,458,850	0	11,458,850
9. Premiums received in advance (Line 8)	2,235,901	0	2,235,901
10. Funds held under reinsurance treaties with authorized and unauthorized reinsurers (Line 19 first inset amount plus second inset amount)	0	0	0
11. Reinsurance in unauthorized companies (Line 20 minus inset amount)	0	0	0
12. Reinsurance with Certified Reinsurers (Line 20 inset amount)	0	0	0
13. Funds held under reinsurance treaties with Certified Reinsurers (Line 19 third inset amount)	0	0	0
14. All other liabilities (Balance)	221,792,235	(553,534)	221,238,701
15. Total liabilities (Line 24)	811,738,853	(553,534)	811,185,319
16. Total capital and surplus (Line 33)	462,998,137	XXX	462,998,137
17. Total liabilities, capital and surplus (Line 34)	1,274,736,990	(553,534)	1,274,183,456
NET CREDIT FOR CEDED REINSURANCE			
18. Claims unpaid	0		
19. Accrued medical incentive pool	0		
20. Premiums received in advance	0		
21. Reinsurance recoverable on paid losses	0		
22. Other ceded reinsurance recoverables	0		
23. Total ceded reinsurance recoverables	0		
24. Premiums receivable	0		
25. Funds held under reinsurance treaties with authorized and unauthorized reinsurers	0		
26. Unauthorized reinsurance	0		
27. Reinsurance with Certified Reinsurers	0		
28. Funds held under reinsurance treaties with Certified Reinsurers	0		
29. Other ceded reinsurance payables/offsets	553,534		
30. Total ceded reinsurance payables/offsets	553,534		
31. Total net credit for ceded reinsurance	(553,534)		

SCHEDULE T PREMIUMS AND OTHER CONSIDERATIONS

Allocated by States and Territories

States, etc.	1 Active Status (a)	Direct Business Only								
		2 Accident & Health Premiums	3 Medicare Title XVIII	4 Medicaid Title XIX	5 Federal Employees Health Benefits Plan Premiums	6 Life & Annuity Premiums & Other Considerations	7 Property/Casualty Premiums	8 Total Columns 2 Through 7	9 Deposit-Type Contracts	
1. Alabama	AL	N	0	0	0	0	0	0	0	0
2. Alaska	AK	N	0	0	0	0	0	0	0	0
3. Arizona	AZ	N	0	0	0	0	0	0	0	0
4. Arkansas	AR	N	0	0	0	0	0	0	0	0
5. California	CA	N	0	0	0	0	0	0	0	0
6. Colorado	CO	N	0	0	0	0	0	0	0	0
7. Connecticut	CT	N	0	0	0	0	0	0	0	0
8. Delaware	DE	N	0	0	0	0	0	0	0	0
9. District of Columbia	DC	N	0	0	0	0	0	0	0	0
10. Florida	FL	N	0	0	0	0	0	0	0	0
11. Georgia	GA	N	0	0	0	0	0	0	0	0
12. Hawaii	HI	N	0	0	0	0	0	0	0	0
13. Idaho	ID	N	0	0	0	0	0	0	0	0
14. Illinois	IL	L	15,775,421	21,350	0	0	0	15,796,771	0	0
15. Indiana	IN	N	0	0	0	0	0	0	0	0
16. Iowa	IA	L	164,623,379	34,996	2,976,522,514	16,837,298	0	3,158,018,187	0	0
17. Kansas	KS	N	0	0	0	0	0	0	0	0
18. Kentucky	KY	N	0	0	0	0	0	0	0	0
19. Louisiana	LA	N	0	0	0	0	0	0	0	0
20. Maine	ME	N	0	0	0	0	0	0	0	0
21. Maryland	MD	N	0	0	0	0	0	0	0	0
22. Massachusetts	MA	N	0	0	0	0	0	0	0	0
23. Michigan	MI	N	0	0	0	0	0	0	0	0
24. Minnesota	MN	N	0	0	0	0	0	0	0	0
25. Mississippi	MS	N	0	0	0	0	0	0	0	0
26. Missouri	MO	N	0	0	0	0	0	0	0	0
27. Montana	MT	N	0	0	0	0	0	0	0	0
28. Nebraska	NE	N	0	0	0	0	0	0	0	0
29. Nevada	NV	N	0	0	0	0	0	0	0	0
30. New Hampshire	NH	N	0	0	0	0	0	0	0	0
31. New Jersey	NJ	N	0	0	0	0	0	0	0	0
32. New Mexico	NM	N	0	0	0	0	0	0	0	0
33. New York	NY	N	0	0	0	0	0	0	0	0
34. North Carolina	NC	N	0	0	0	0	0	0	0	0
35. North Dakota	ND	N	0	0	0	0	0	0	0	0
36. Ohio	OH	N	0	0	0	0	0	0	0	0
37. Oklahoma	OK	N	0	0	0	0	0	0	0	0
38. Oregon	OR	N	0	0	0	0	0	0	0	0
39. Pennsylvania	PA	N	0	0	0	0	0	0	0	0
40. Rhode Island	RI	N	0	0	0	0	0	0	0	0
41. South Carolina	SC	N	0	0	0	0	0	0	0	0
42. South Dakota	SD	N	0	0	0	0	0	0	0	0
43. Tennessee	TN	L	1,825,760	894,046,741	2,020,679,899	0	0	2,916,552,400	0	0
44. Texas	TX	N	0	0	0	0	0	0	0	0
45. Utah	UT	N	0	0	0	0	0	0	0	0
46. Vermont	VT	N	0	0	0	0	0	0	0	0
47. Virginia	VA	L	22,777,155	44,207	0	0	0	22,821,362	0	0
48. Washington	WA	N	0	0	0	0	0	0	0	0
49. West Virginia	WV	N	0	0	0	0	0	0	0	0
50. Wisconsin	WI	N	0	0	0	0	0	0	0	0
51. Wyoming	WY	N	0	0	0	0	0	0	0	0
52. American Samoa	AS	N	0	0	0	0	0	0	0	0
53. Guam	GU	N	0	0	0	0	0	0	0	0
54. Puerto Rico	PR	N	0	0	0	0	0	0	0	0
55. U.S. Virgin Islands	VI	N	0	0	0	0	0	0	0	0
56. Northern Mariana Islands	MP	N	0	0	0	0	0	0	0	0
57. Canada	CAN	N	0	0	0	0	0	0	0	0
58. Aggregate other alien	OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal	XXX	205,001,715	894,147,294	4,997,202,413	16,837,298	0	0	6,113,188,720	0	0
60. Reporting entity contributions for Employee Benefit Plans	XXX	0	0	0	0	0	0	0	0	0
61. Total (Direct Business)	XXX	205,001,715	894,147,294	4,997,202,413	16,837,298	0	0	6,113,188,720	0	0
DETAILS OF WRITE-INS										
58001.	XXX									
58002.	XXX									
58003.	XXX									
58998. Summary of remaining write-ins for Line 58 from overflow page	XXX	0	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	0	0	0	0	0	0	0	0	0

(a) Active Status Counts:

L - Licensed or Chartered - Licensed insurance carrier or domiciled RRG.....	4	R - Registered - Non-domiciled RRGs.....	0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....	0	Q - Qualified - Qualified or accredited reinsurer.....	0
N - None of the above - Not allowed to write business in the state.....	53		

(b) Explanation of basis of allocation by states, premiums by state, etc.

Premiums are allocated by state based upon geographic market.

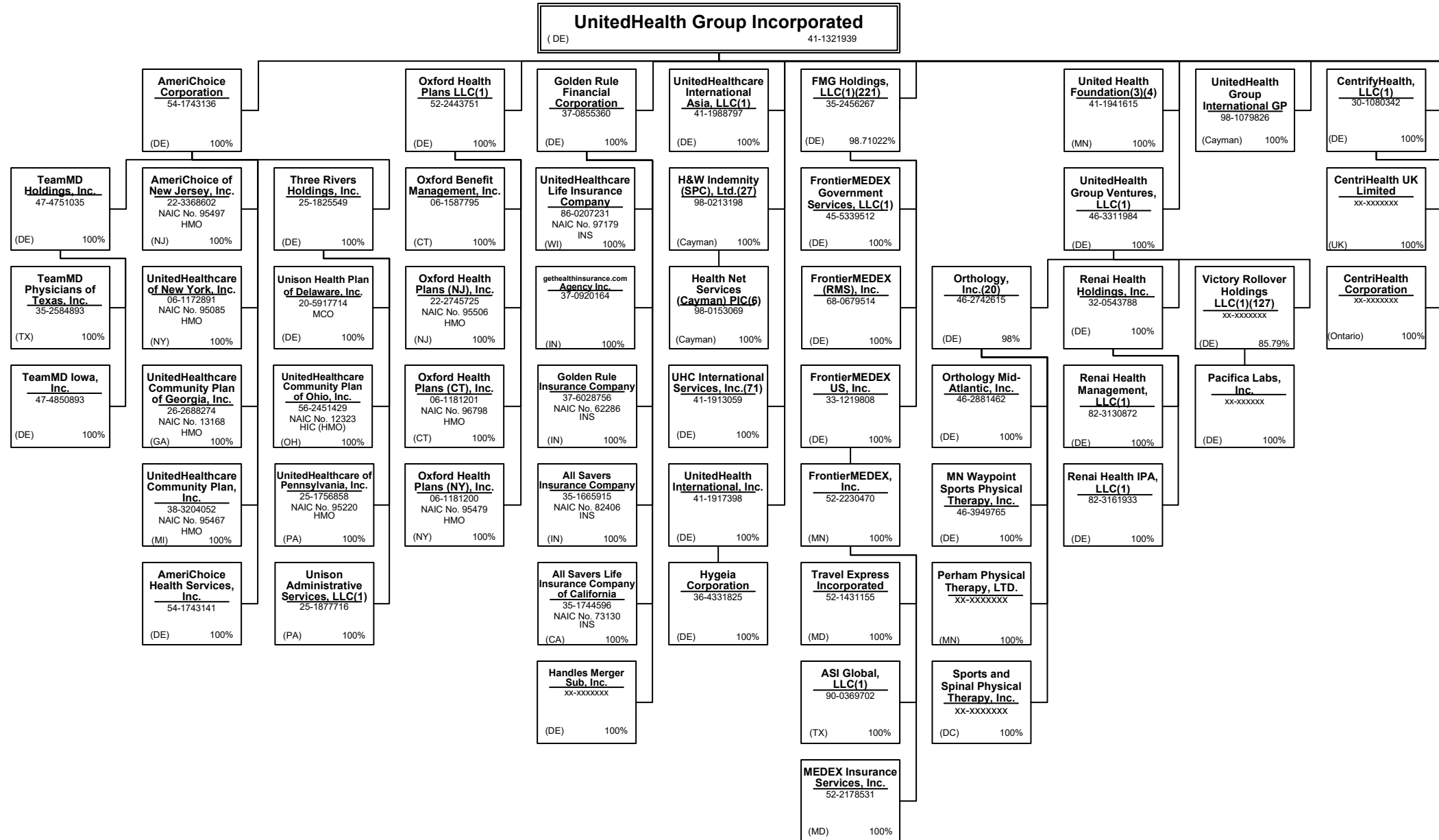
**SCHEDULE T - PART 2
INTERSTATE COMPACT - EXHIBIT OF PREMIUMS WRITTEN**

Allocated by States and Territories

States, Etc.	Direct Business Only					Totals
	1 Life (Group and Individual)	2 Annuities (Group and Individual)	3 Disability Income (Group and Individual)	4 Long-Term Care (Group and Individual)	5 Deposit-Type Contracts	
1. Alabama	AL					
2. Alaska	AK					
3. Arizona	AZ					
4. Arkansas	AR					
5. California	CA					
6. Colorado	CO					
7. Connecticut	CT					
8. Delaware	DE					
9. District of Columbia	DC					
10. Florida	FL					
11. Georgia	GA					
12. Hawaii	HI					
13. Idaho	ID					
14. Illinois	IL					
15. Indiana	IN					
16. Iowa	IA					
17. Kansas	KS					
18. Kentucky	KY					
19. Louisiana	LA					
20. Maine	ME					
21. Maryland	MD					
22. Massachusetts	MA					
23. Michigan	MI					
24. Minnesota	MN					
25. Mississippi	MS					
26. Missouri	MO					
27. Montana	MT					
28. Nebraska	NE					
29. Nevada	NV					
30. New Hampshire	NH					
31. New Jersey	NJ					
32. New Mexico	NM					
33. New York	NY					
34. North Carolina	NC					
35. North Dakota	ND					
36. Ohio	OH					
37. Oklahoma	OK					
38. Oregon	OR					
39. Pennsylvania	PA					
40. Rhode Island	RI					
41. South Carolina	SC					
42. South Dakota	SD					
43. Tennessee	TN					
44. Texas	TX					
45. Utah	UT					
46. Vermont	VT					
47. Virginia	VA					
48. Washington	WA					
49. West Virginia	WV					
50. Wisconsin	WI					
51. Wyoming	WY					
52. American Samoa	AS					
53. Guam	GU					
54. Puerto Rico	PR					
55. U.S. Virgin Islands	VI					
56. Northern Mariana Islands	MP					
57. Canada	CAN					
58. Aggregate Other Alien	OT					
59. Total						

NONE

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



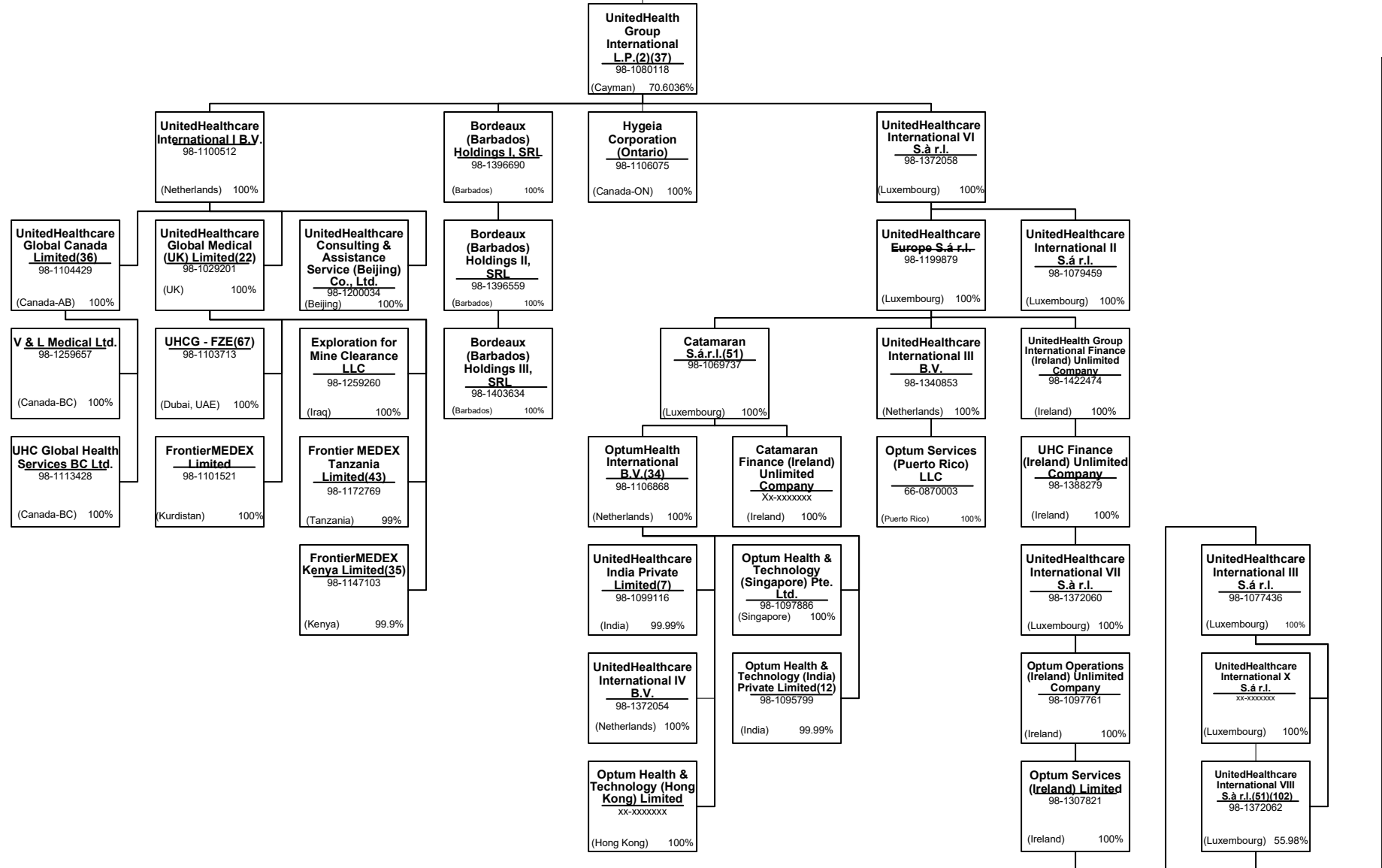
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SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

UnitedHealth Group Incorporated
 (DE) 41-1321939

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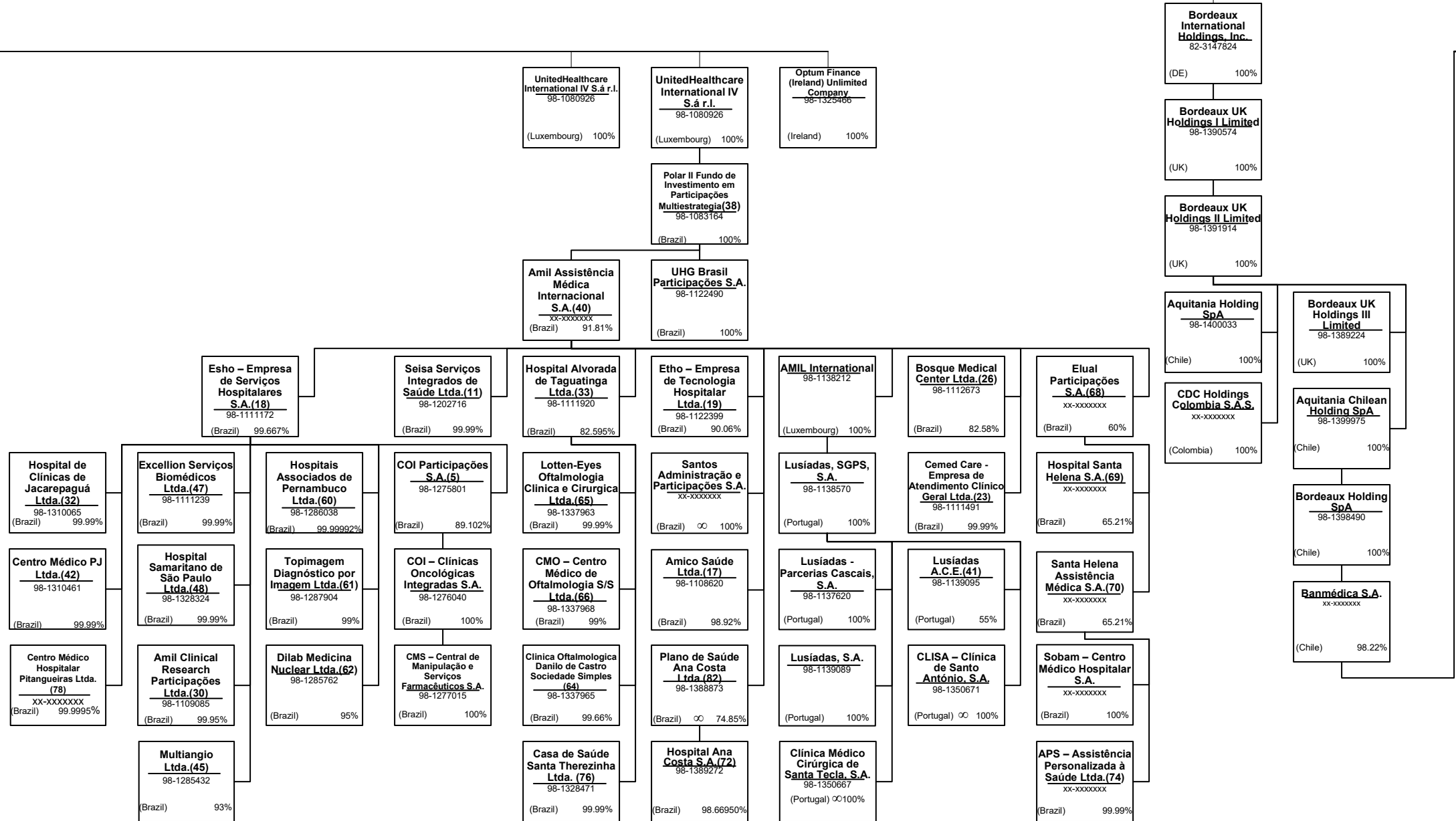
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SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

UnitedHealth Group Incorporated
 (DE) 41-1321939

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40.2

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

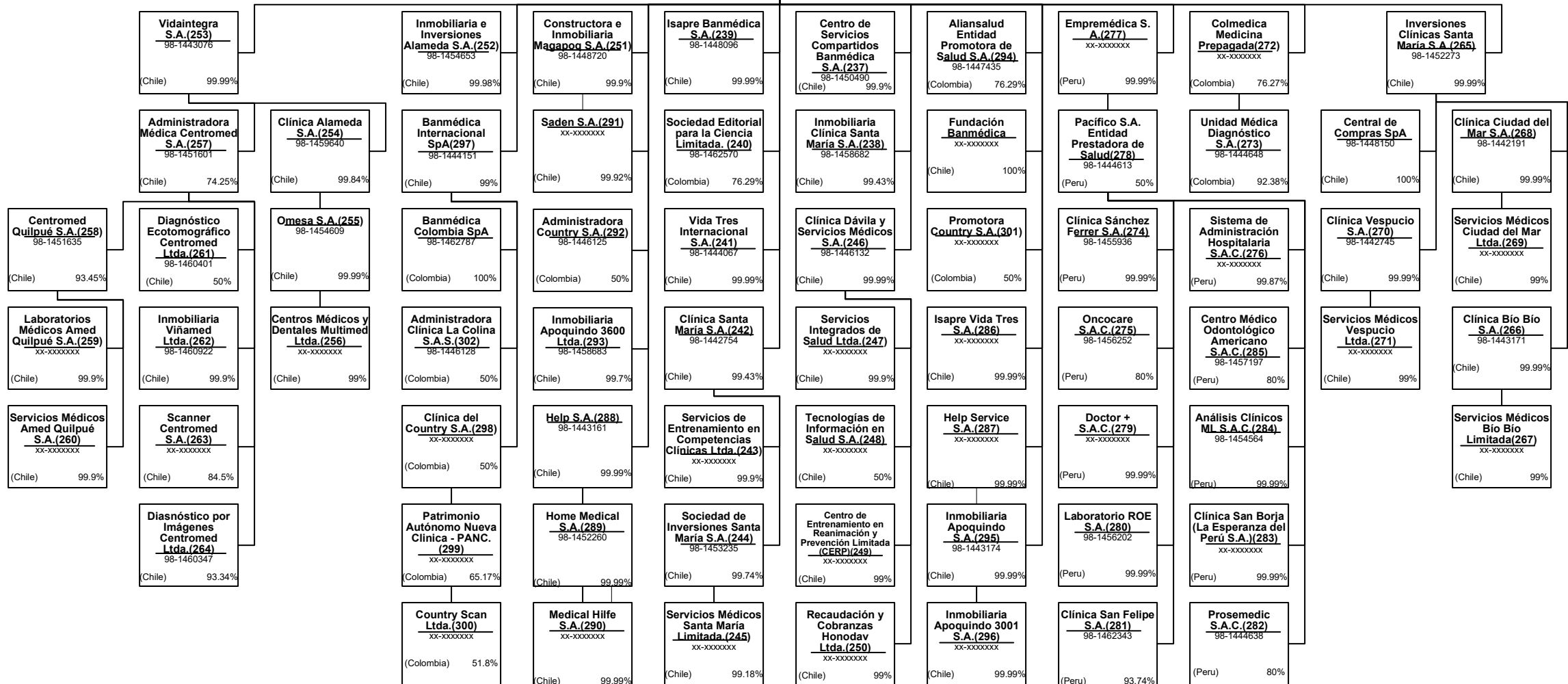
UnitedHealth Group Incorporated
 (DE) 41-1321939

Continued from Previous Page

United HealthCare
 41-1289245
 (MN) 100%

Continued on Next Page

Banmédica S.A.(236)
 98-1444127
 (Chile) 98.2285%



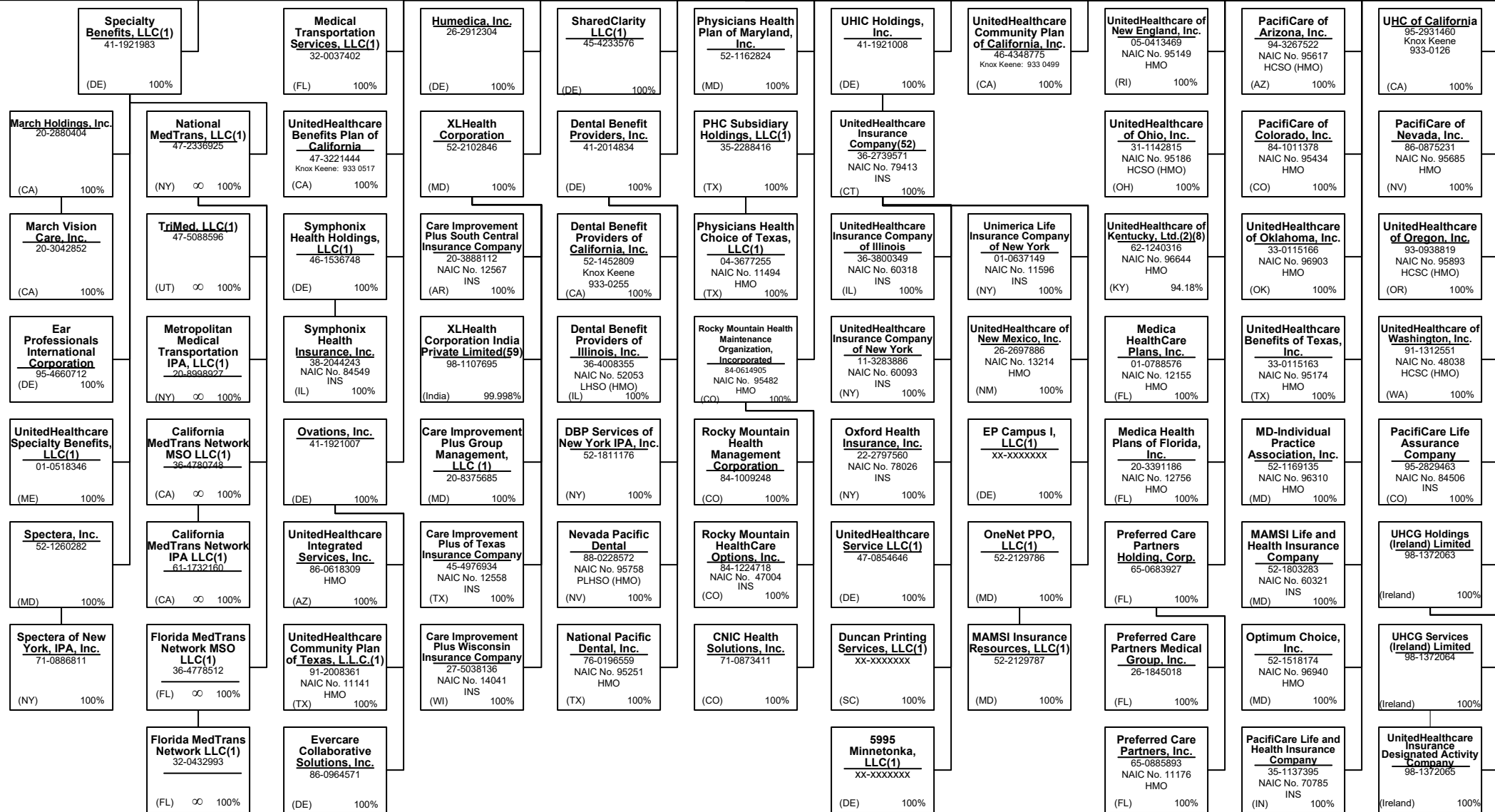
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SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

United HealthCare Services, Inc.
 (MN) 41-1289245 100%

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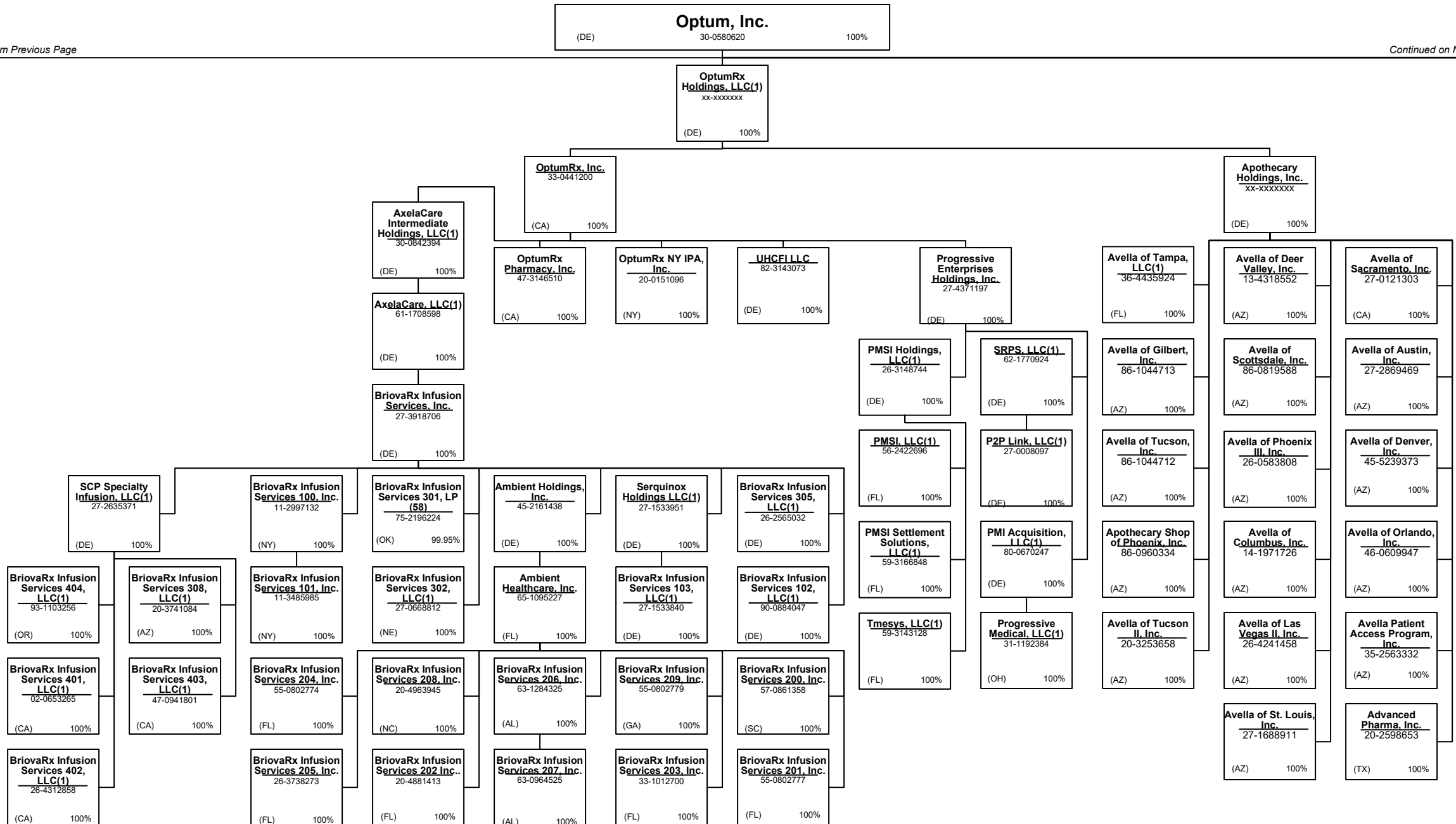
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SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

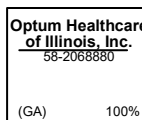
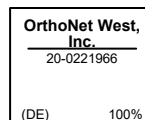
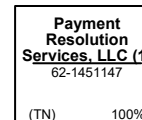
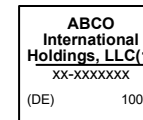
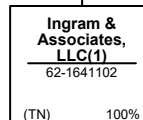
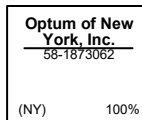
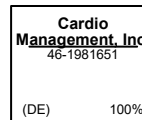
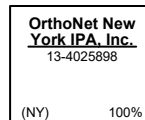
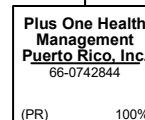
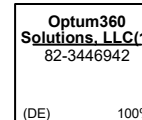
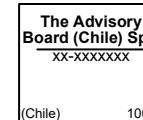
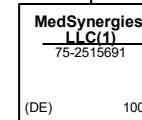
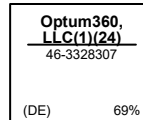
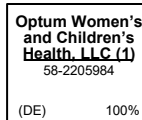
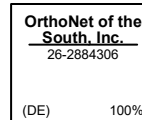
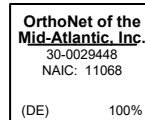
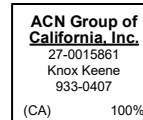
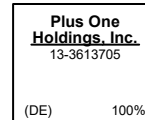
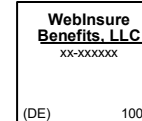
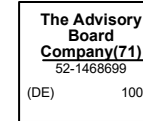
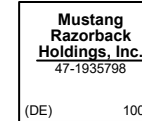
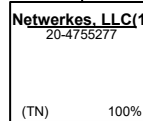
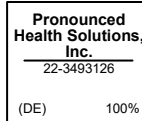
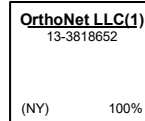
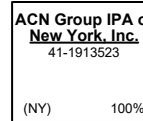
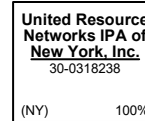
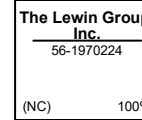
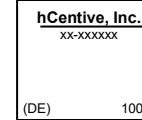
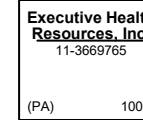
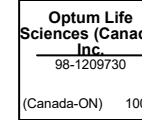
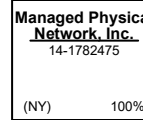
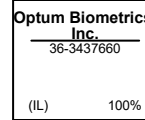
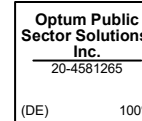
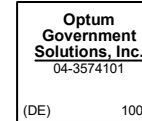
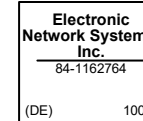
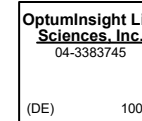
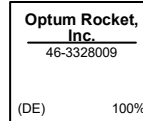
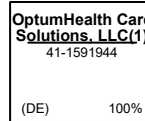
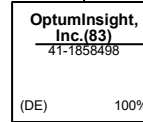
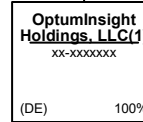
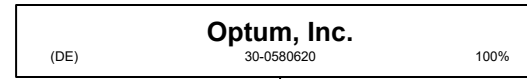
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40.7

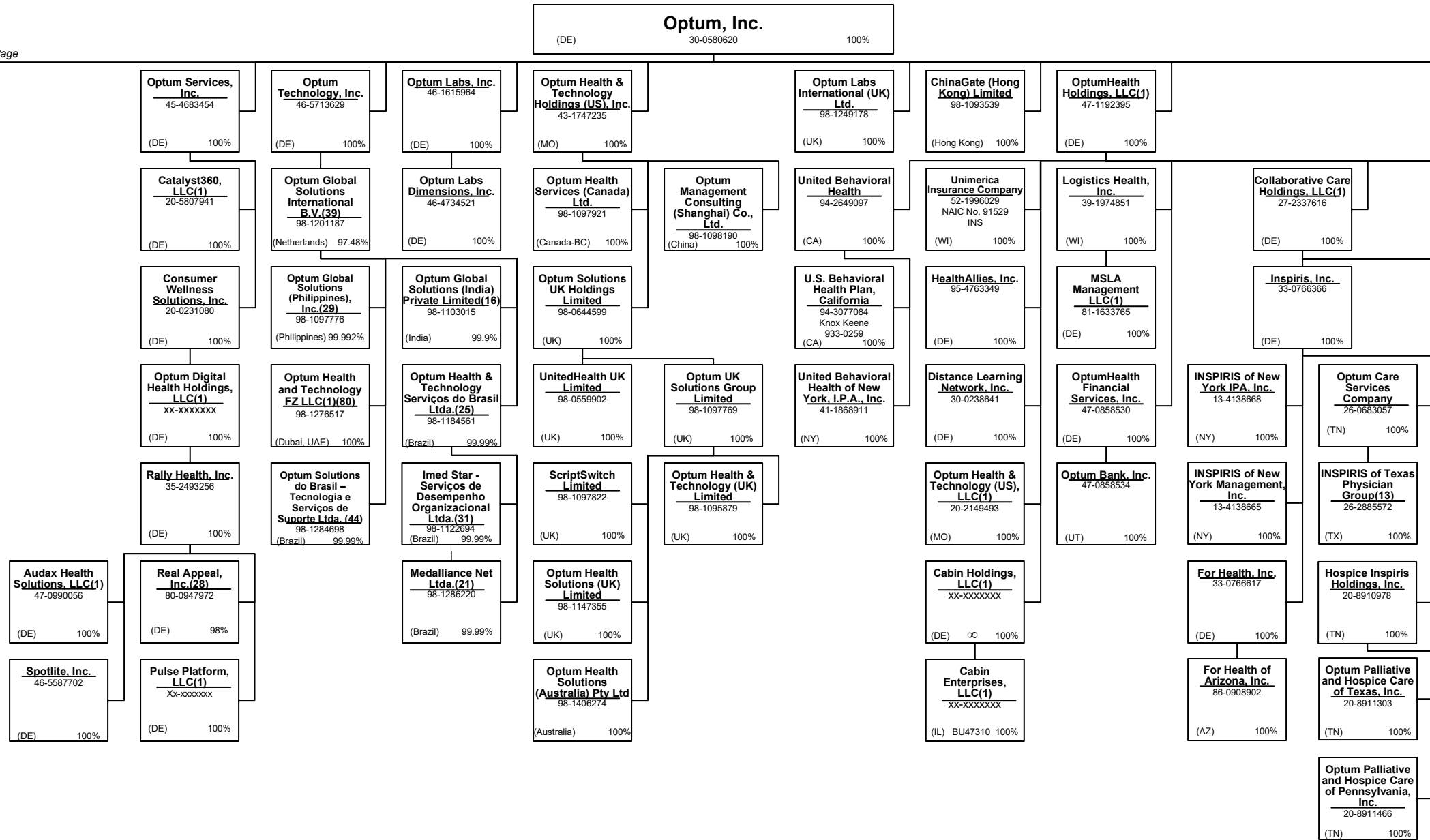
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



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SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

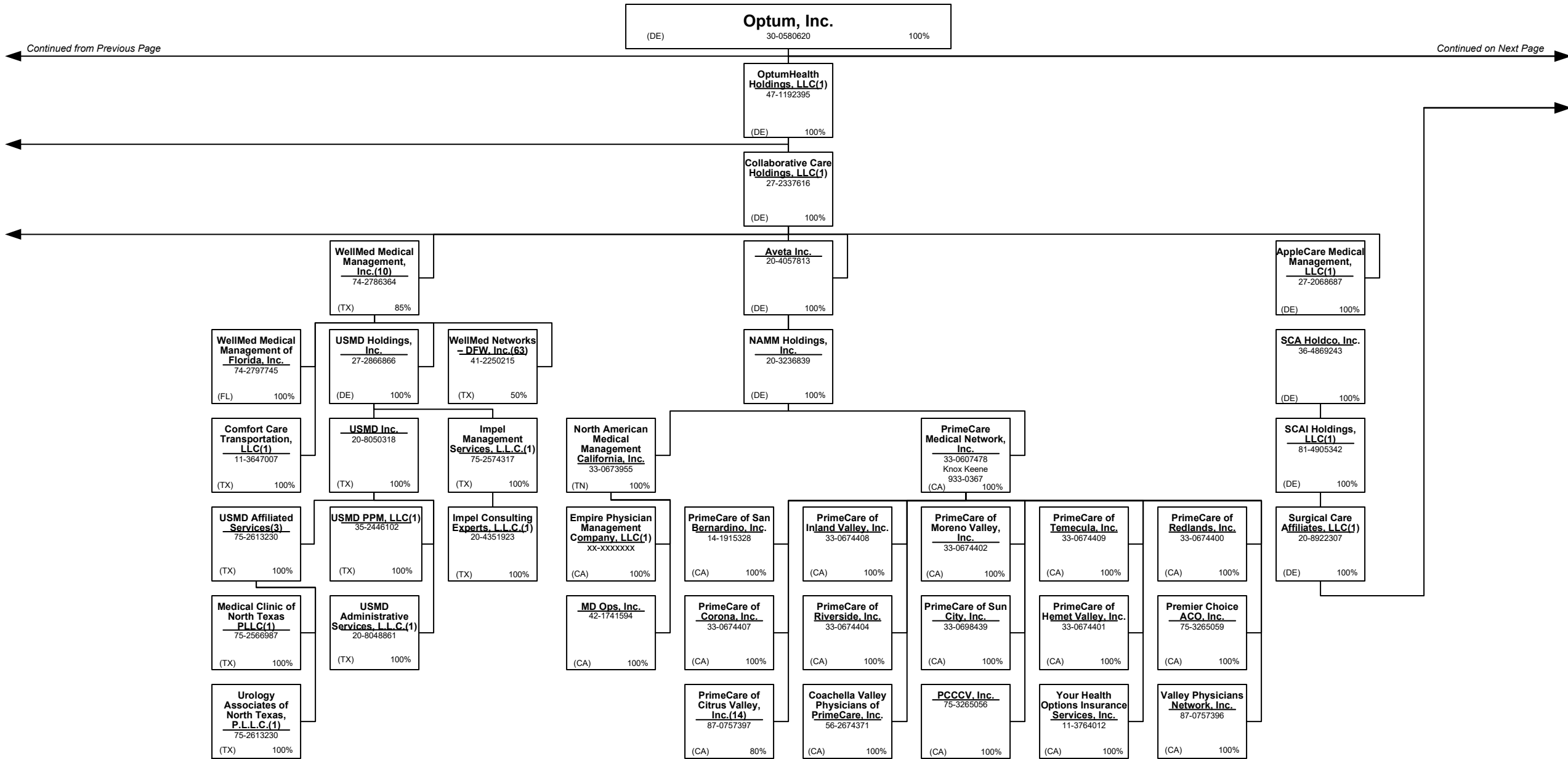


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40.9

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART



40.11

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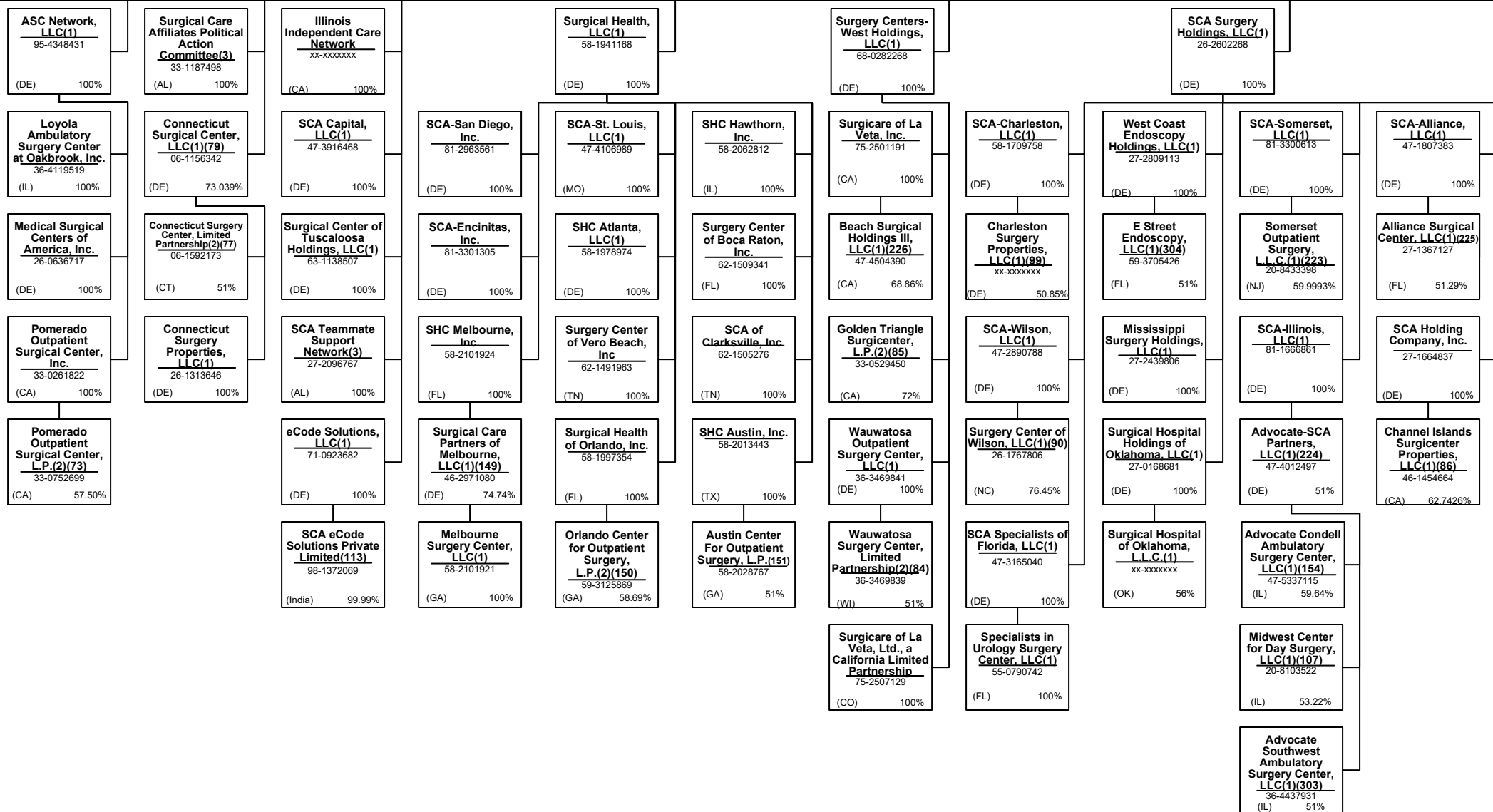
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SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Surgical Care Affiliates, LLC (1)
 (DE) 20-8922307 100%

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SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Surgical Care Affiliates, LLC (1)
 (DE) 20-8922307 100%

SC Affiliates, LLC(1)
 62-1149229
 (DE) 100%

Marin Surgery Holdings, Inc.
 28-2801943
 (DE) 100%

SCA Premier Surgery Center of Louisville, LLC(1)
 72-1386840
 (DE) 100%

SCA Austin Holdings, LLC(1)
 47-5014406
 (DE) 100%

SCA-Davenport, LLC(1)
 47-4028383
 (DE) 100%

SCA-Mecklenburg Development Corp.
 62-1184188
 (NC) 100%

Bakersfield-SC, LLC(1)
 62-1601445
 (DE) 100%

SCA Heartland Holdings, LLC(1)
 xx-xxxxxxx
 (DE) 100%

SCA-Santa Rosa, Inc.
 88-0185362
 (NV) 100%

SCA-Phoenix, LLC(1)
 47-2785908
 (DE) 100%

Surgery Center of Summerlin, LLC(1)
 63-1184216
 (DE) 100%

Charlotte-SC, LLC(1)
 62-1262567
 (DE) 100%

SCA-Main Street, LLC(1)
 81-4292506
 (DE) 100%

Premier Surgery Center of Louisville, L.P.(1)(306)
 72-1378216
 (TN) 51%

SCA Stonegate Holdings, LLC(1)
 47-5038680
 (DE) 100%

SCA-Naperville, LLC(1)
 81-2850365
 (DE) 100%

SCA-Sparta, LLC(1)
 47-2676325
 (DE) 100%

SCA-Fort Collins, Inc.(1)
 Xx-xxxxxxx
 (CO) 100%

SCA-Morris County, LLC(1)
 xx-xxxxxxx
 (DE) 100%

Surgery Center of Muskogee, LLC(1)
 63-1194204
 (DE) 100%

SCA-Honolulu, LLC(1)
 62-1506650
 (DE) 100%

Pueblo-SCA Surgery Center, LLC(1)
 93-1068319
 (DE) 100%

SCA-Colorado Springs, LLC(1)
 93-1047471
 (DE) 100%

The Surgical Center of Connecticut, LLC(1)(88)
 Xx-xxxxxxx
 (xx) 62.12%

SCA-River Valley, LLC(1)
 81-4304317
 (DE) 100%

Stonegate Surgery Center, L.P.(2)(148)
 20-1211544
 (TX) 56.83%

SCA-Hamden, LLC(1)
 81-4605501
 (DE) 100%

Specialty Surgical Center, LLC(1)(227)
 20-3412545
 (NJ) 51%

SCA-ND VBP, Inc.
 81-1347328
 (DE) 100%

SCA-Sand Lake, LLC(1)
 47-1685878
 (FL) 100%

Muskogee Surgical Investors, LLC(1)
 20-4209261
 (DE) 100%

Louisville-SC Properties, Inc.
 62-1179538
 (KY) 100%

SCA-Mt. Pleasant, LLC(1)
 62-1506655
 (DE) 100%

Maryland-SCA Centers, LLC(1)
 52-1401791
 (DE) 100%

SCA Development, LLC(1)
 62-1535981
 (DE) 100%

River Valley ASC, LLC(1)(114)
 46-0758315
 (CO) 57.54%

SCA Cedar Park Holdings, LLC(1)
 47-5030792
 (DE) 100%

SCA Athens, LLC(1)
 47-5537316
 (DE) 100%

SCA-Paoli, LLC(1)
 62-1538850
 (DE) 100%

SPINETRACK 20/20, Inc.
 81-1387232
 (CA) 100%

Sand Lake SurgiCenter, LLC(1)(116)
 27-3787593
 (CO) 52.45%

Three Rivers Surgical Care, L.P.(2)(305)
 63-1194203
 (TN) 72.53%

SCA-Shelby Development Corp.
 62-1179532
 (TN) 100%

Mt. Pleasant Surgery Center, L.P.(2)(117)
 62-1506649
 (TN) 98%

Maryland Ambulatory Centers(111)
 52-1456812
 (MD) 50%

Surgery Center of Easton, LLC(1)
 72-1349752
 (DE) 100%

Surgery Center of Louisville, LLC(1)
 62-1179537
 (DE) 100%

Cedar Park Surgery Center, LLC(1)(139)
 27-2533497
 (TX) 48.06%

Athens ASC Holdings, LLC(1)(109)
 45-4014891
 (GA) 58.42%

Paoli Ambulatory Surgery Center(228)
 62-1595402
 (PA) 51%

SCA-Franklin, LLC(1)
 47-3641516
 (DE) 100%

SCA-San Luis Obispo, LLC(1)
 94-3138088
 (DE) 100%

Redlands-SCA Surgery Centers, Inc.
 94-3115627
 (CA) 100%

Memphis-SC, LLC(1)
 62-1590322
 (TN) 100%

SCA-Mokena, LLC(1)
 81-1065674
 (DE) 100%

Montgomery Surgery Center Limited Partnership(119)
 52-1401868
 (CA) 77%

Louisville S.C., Ltd.(2)(108)
 62-1179566
 (KY) 65.40%

SCA Hays Holdings, LLC(1)
 47-5064834
 (DE) 100%

Surgery Center of Athens, LLC(1)
 45-0581955
 (GA) 100%

Paoli Surgery Center, L.P.(229)
 62-1547618
 (IL) 64.25%

Franklin Surgical Center, LLC(1)(144)
 20-3412606
 (NJ) 54.27%

SCA-Mobile, LLC(1)
 80-0070617
 (DE) 100%

Redlands Ambulatory Surgery Center(140)
 94-3115625
 (CA) 54%

Shelby Surgery Properties, Inc.
 62-1223273
 (TN) 100%

SCA-Mokena Properties, LLC(1)
 81-1320467
 (DE) 100%

Arise Physician Group
 46-4843100
 (xx) 100%

Memphis-SP, LLC(1)
 62-1590324
 (TN) 100%

Southwest Surgery Center, LLC(1)(230)
 36-4369359
 (IL) 44.66%

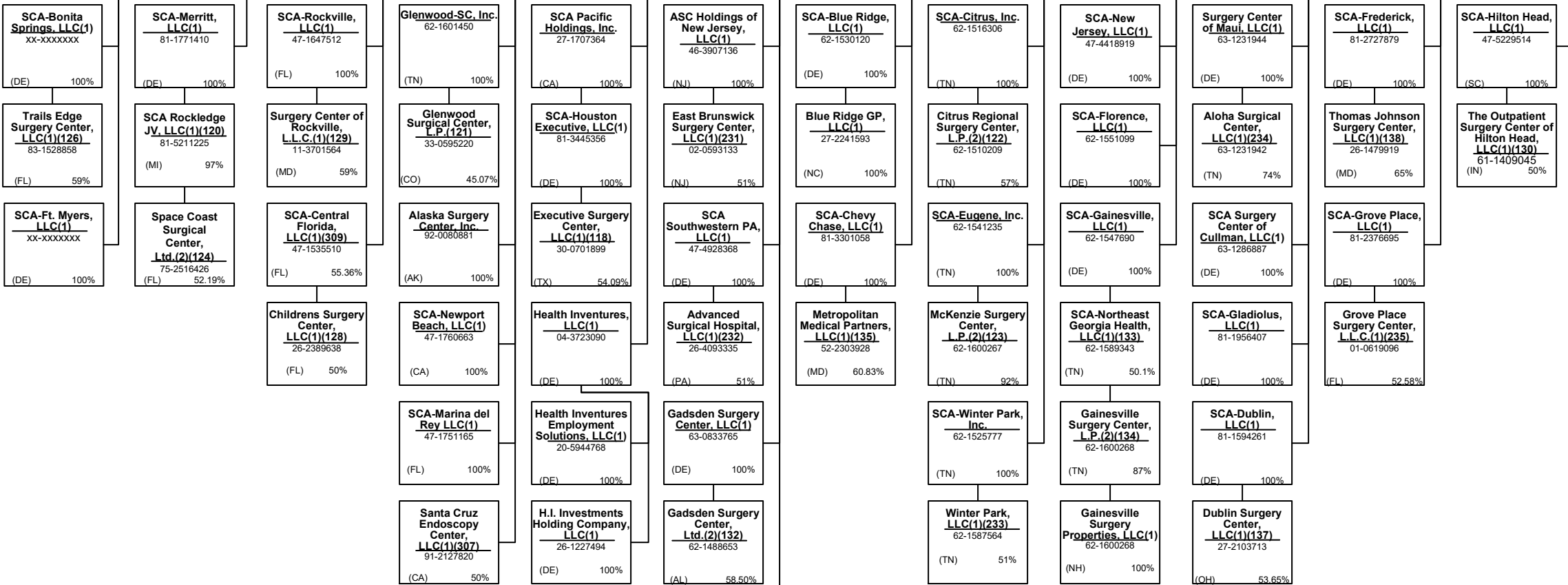
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SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Surgical Care Affiliates, LLC (1)
 (DE) 20-8922307 100%

SC Affiliates, LLC(1)
 62-1149229
 (DE) 100%



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40.15

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

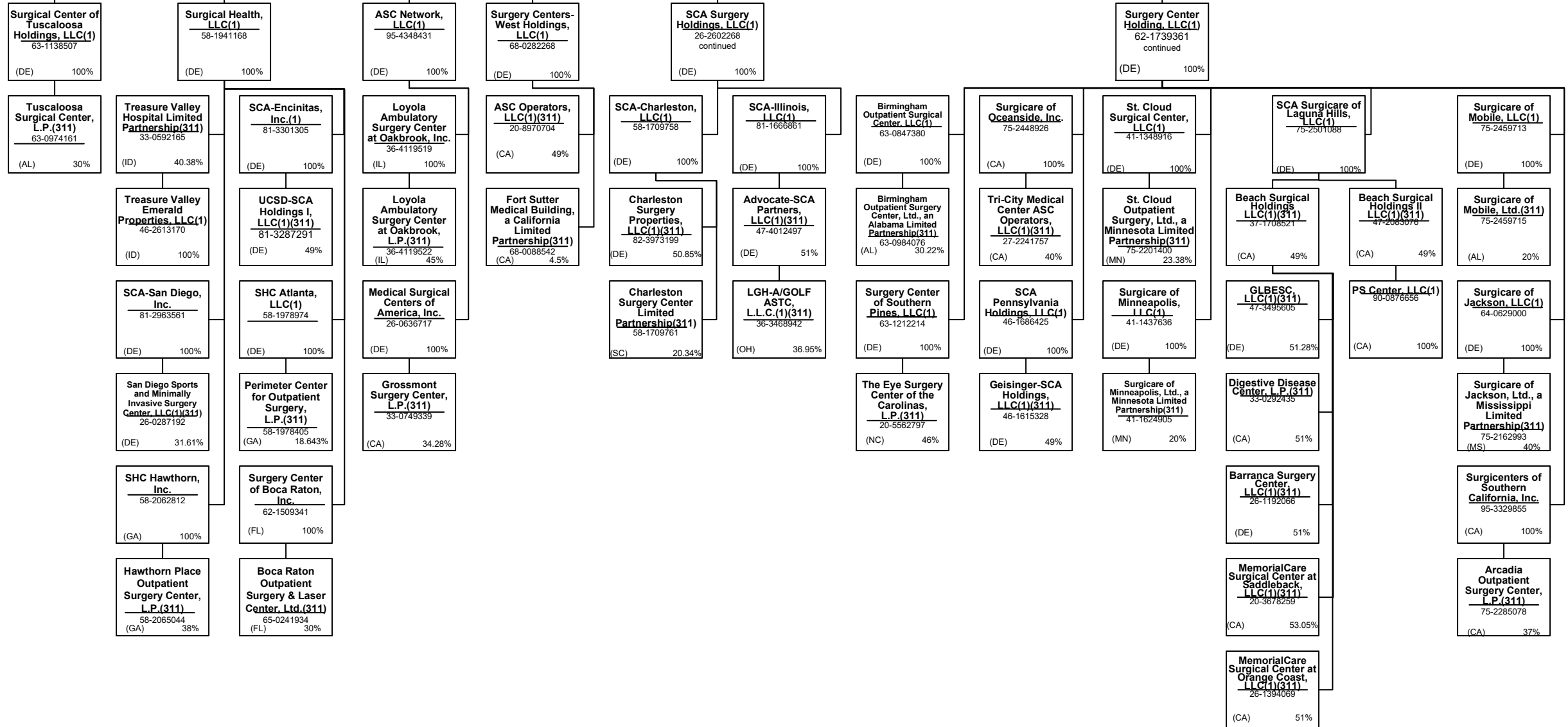
PART 1 – ORGANIZATIONAL CHART

NOTE: All Companies below are minority owned entities of Surgical Care Affiliates, LLC.



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SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

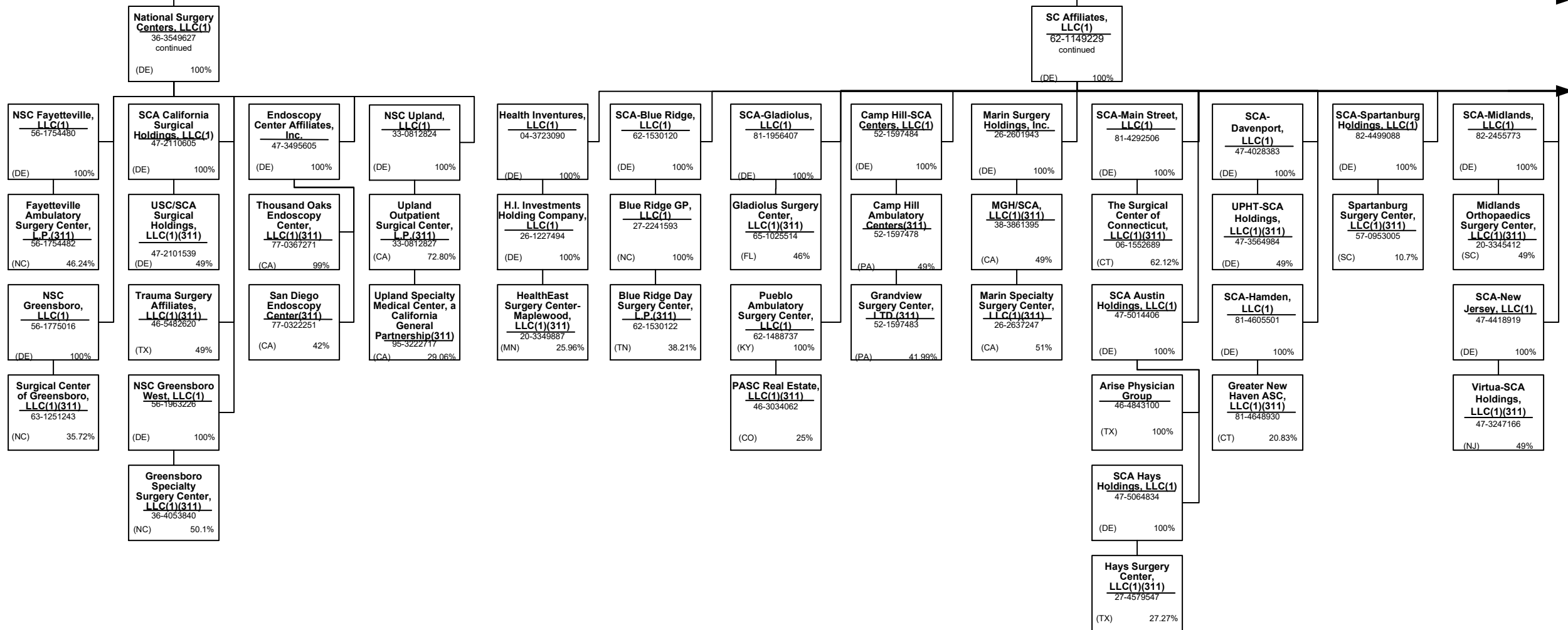
NOTE: All Companies below are minority owned entities of Surgical Care Affiliates, LLC.



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40.18



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

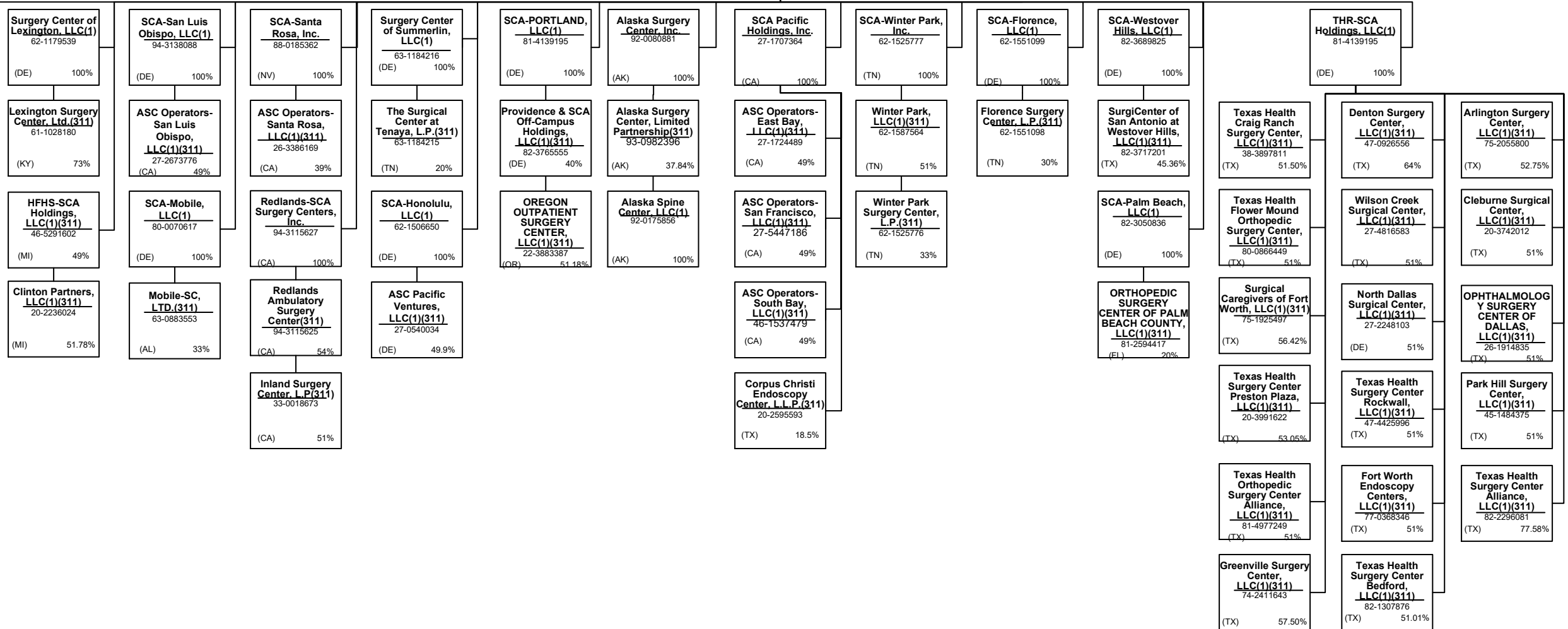
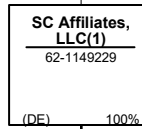
PART 1 – ORGANIZATIONAL CHART

NOTE: All Companies below are minority owned entities of Surgical Care Affiliates, LLC.



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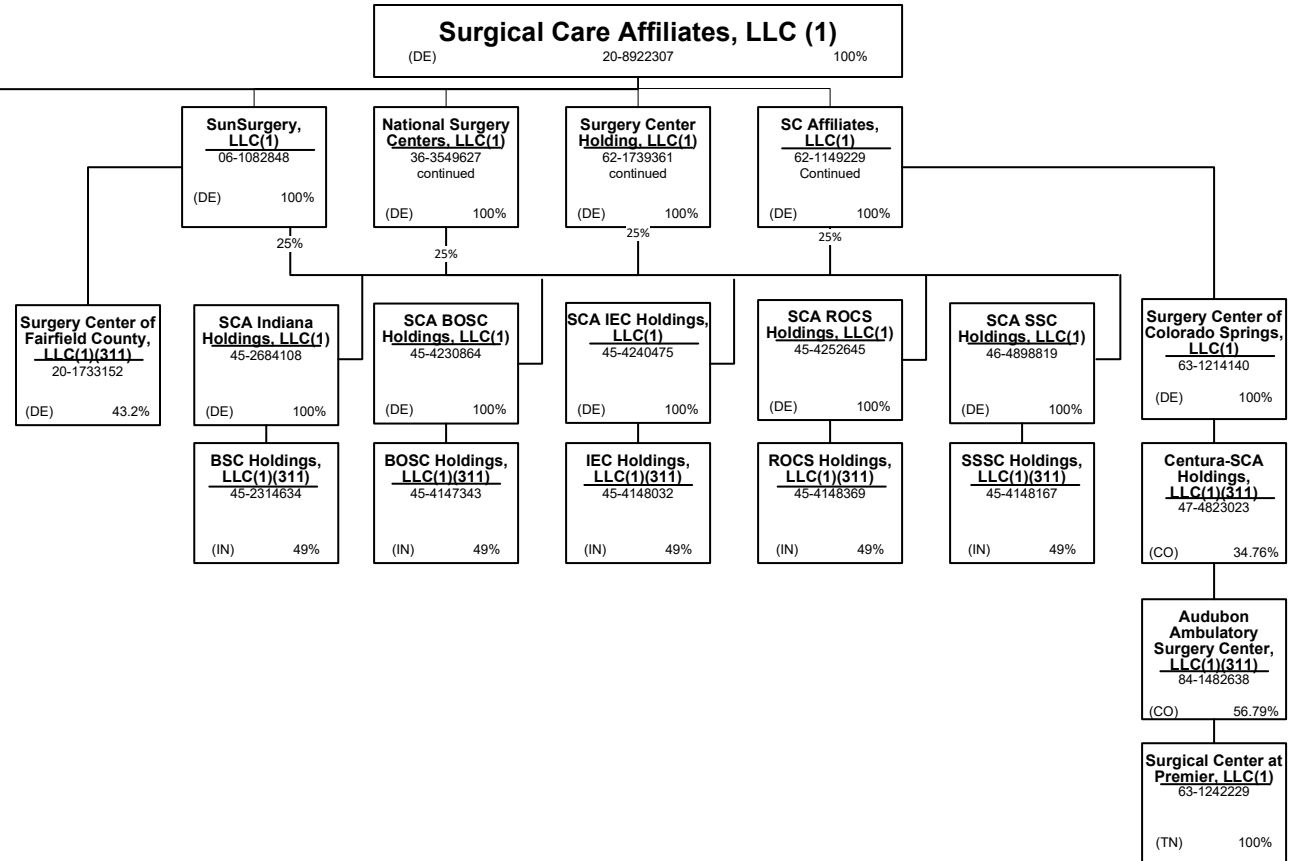


40.19

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

NOTE: All Companies below are minority owned entities of Surgical Care Affiliates, LLC.

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SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

PART 1 – ORGANIZATIONAL CHART

Physician Owned Entities

Domiciliary			Domiciliary			Domiciliary		
Name	Location	ID Number	Name	Location	ID Number	Name	Location	ID Number
AppleCare Hospitalists Medical Group, Inc.	CA	14-1890491	MedExpress Urgent Care – New Jersey, P.C.	NJ	45-5388778	Monarch HealthCare, A Medical Group, Inc.	CA	33-0587660
AppleCare Medical ACO, LLC	CA	45-2852872	MedExpress Urgent Care - Northern New Jersey PC	NJ	83-2089623	Monarch Hospice, LLC	CA	30-0606451
AppleCare Medical Group St. Francis, Inc.	CA	33-0845269	MedExpress Urgent Care Arizona, P.C.	AZ	81-4030280	NAMM Medical Group Holdings, Inc.	CA	56-2627070
AppleCare Medical Group, Inc.	CA	33-0898174	MedExpress Urgent Care Arkansas, P.A.	AR	46-4348120	NAMM MGH, Inc.	CA	61-1627269
Aspectus, Inc.	MA	04-3403101	MedExpress Urgent Care California, P.C.	CA	82-0930142	Optum Clinic, P.A.	TX	75-2778455
Bexar Imaging Center, LLC	TX	22-3858211	MedExpress Urgent Care Connecticut, P.C.	CT	81-1956812	Optum Medical Services of California, P.C.	CA	30-0826311
California Spring Holdings, PC	CA	81-0881243	MedExpress Urgent Care Idaho, P.C.	ID	82-1135336	Optum Medical Services, P.C.	NC	45-3866363
Centers for Family Medicine, GP	CA	33-0483510	MedExpress Urgent Care Illinois, P.C.	IL	47-4308614	Physician Partners Medical Group, Inc.	CA	30-0516435
Centers for Family Medicine, GP	CA	33-0483510	MedExpress Urgent Care Iowa, P.C.	IA	81-5353472	Primary Care Associated Medical Group, Inc.	CA	33-0527335
David Moen, M.D. P.C.	NY		MedExpress Urgent Care Kansas, P.A.	KS	47-1919283	Prime Community Care, Inc.	CA	30-0516440
David R. Ferrell, M.D., P.C.	NV	45-2380022	MedExpress Urgent Care Minnesota P.C.	MN	81-1125396	ProHEALTH Accountable Care Medical Group, PLLC	NY	45-4469117
Day-Op Center of Long Island Inc.	NY		MedExpress Urgent Care Missouri P.C.	MO	47-3132625	ProHEALTH Ambulatory Surgery Center, Inc.	NY	11-3447394
Durable Medical Equipment, Inc.	MA	04-3106404	MedExpress Urgent Care North Carolina, P.C.	NC	81-5138747	ProHEALTH Care Associates LLP	NY	11-3355604
Greater Phoenix Collaborative Care, P.C.	AZ	27-2337725	MedExpress Urgent Care Oregon, P.C.	OR	82-1919436	ProHealth Physicians, P.C.	CT	06-1469068
Greater Phoenix Collaborative Care, P.C.	AZ	27-2337725	MedExpress Urgent Care Rhode Island, P.C.	RI	81-5362765	ProHEALTH Urgent Care Medicine of New Jersey LLP	NJ	47-5661535
Homecare Dimensions of Florida, Inc.	TX		MedExpress Urgent Care South Carolina, P.C.	SC	81-5380706	ProHEALTH Urgent Care Medicine, PLLC	NY	46-1883579
Homecare Dimensions, Inc.	TX		MedExpress Urgent Care Texas, P.A.	TX	47-5147441	Redlands Family Practice Medical Group, Inc.	CA	56-2627067
IN Style OPTICAL, LLC	MA	27-3296953	MedExpress Urgent Care Washington, P.C.	WA	82-2443118	Reliant Medical Group, Inc.	MA	22-2912515
Inland Faculty Medical Group, Inc.	CA	33-0618077	MedExpress Urgent Care Wisconsin, S.C.	WI	81-4281678	Riverside Pediatric Group, P.C.	NJ	22-3624559
Inspiris Medical Services of New Jersey, P.C.	NJ	45-2563134	MedExpress Urgent Care, Inc. – West Virginia	WV	26-4546400	Robert B. McBeath, M.D. II, P.C.	NV	86-0857176
INSPIRIS of Michigan Medical Services, P.C.	MI	27-1561674	MedExpress Urgent Care, P.C. – Georgia	GA	47-1804667	Robert B. McBeath, M.D. III, P.C.	NV	
INSPIRIS of New York Medical Services, P.C.	NY	13-4168739	MedExpress Urgent Care, P.C. – Indiana	IN	90-0929572	Robert B. McBeath, M.D., Professional Corporation	NV	88-0310956
INSPIRIS of Pennsylvania Medical Services, P.C.	PA		MedExpress Urgent Care, P.C. – Maryland	MD	45-3461101	Surgical Eye Experts, LLC	MA	
March Vision Care Group, Incorporated	CA	95-4874334	MedExpress Urgent Care, P.C. – Massachusetts	MA	47-1857908	Surgicare of La Veta, Ltd.	CA	75-2507129
March Vision Care IPA, Inc.	NY	27-3115058	MedExpress Urgent Care, P.C. – Michigan	MI	46-4793937	TeamMD Physicians, P.C.	IA	30-0445773
Mat-Rx Development, L.L.C.	TX	43-1967820	MedExpress Urgent Care, P.C. – Oklahoma	OK	47-1824365	Urgent Care New York, P.C.	NY	82-2400620
ME Urgent Care Nebraska, Inc.	NE	81-0936574	MedExpress Urgent Care, P.C. – Pennsylvania	PA	26-3750502	USMD of Arlington GP, L.L.C.	TX	73-1662757
MedExpress Employed Services, Inc.	DE	81-1265129	MedExpress Urgent Care, P.C. – Tennessee	TN	45-4973138	Waypoint Minnesota PC	MN	46-2854394
MedExpress Primary Care Arizona P.C.	AZ	81-4550969	MedExpress Urgent Care, P.C. – Virginia	VA	45-3123110	WellMed Medical Group, P.A.	TX	74-2574229
MedExpress Primary Care Kansas, P.A.	KS	81-4605885	MedExpress Urgent Care, P.S.C. - Kentucky	KY	83-1565124	WellMed Network of Florida, Inc.	TX	35-2314192
MedExpress Primary Care Maryland, P.C.	MD	82-3384324	MedExpress, Inc. – Delaware	DE	45-5436856	WellMed Networks, Inc.	TX	74-2889447
MedExpress Primary Care Massachusetts, P.C.	MA	82-1096099	Medical Clinic of North Texas, PLLC	TX	75-2566987	WellMed of Las Cruces, Inc.	TX	92-0183013
MedExpress Primary Care Minnesota P.C.	MN	81-4396738	Medical Support Los Angeles, A Medical Corporation	CA	95-4708497	WND Medical, PLLC	TX	45-2158334
MedExpress Primary Care Oklahoma, P.C.	OK	83-1077265	Memorial Healthcare IPA, GP	CA	95-4688463	XLHome Michigan, P.C.	MI	46-3537245
MedExpress Primary Care South Carolina, P.C.	SC	83-0764858	Memorial Healthcare IPA, GP	CA	95-4688463	XLHome Northeast, P.C.	NJ	45-5530241
MedExpress Primary Care Virginia, P.C.	VA	82-3395792	MHCH, Inc.	CA	80-0507474	XLHome Oklahoma, Inc.	OK	46-2931689
MedExpress Primary Care West Virginia, Inc.	WV	82-4401181	Mobile Medical Services, P.C.	NY	30-0445773	XLHome, P.C.	MD	27-3543997
MedExpress Primary Care Wisconsin, S.C.	WI	81-4563448	Monarch Health Plan, Inc.	CA	22-3935634			

Note: Represents physician-owned entities where the ultimate owner is deemed to have influence over the entity.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Notes

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

- (1) Entity is a Limited Liability Company
- (2) Entity is a Partnership
- (3) Entity is a Non-Profit Corporation
- (4) Control of the Foundation is based on sole membership, not the ownership of voting securities
- (5) COI Participações S.A. is 89.10289% owned by Esho – Empresa de Serviços Hospitalares S.A. and 10.8971% owned by COIPAR Participações S.A.
- (6) Health Net Services (Cayman) PIC is a Cayman exempted company registered by way of Continuation from Bermuda to the Cayman Islands.
- (7) UnitedHealthcare India Private Limited is 99.999335% owned by OptumHealthInternational B.V. and 0.000665% owned by UnitedHealth International, Inc. OptumHealth International BV holds 100% of the issued preference shares.
- (8) General partnership interests are held by United HealthCare Services, Inc. (89.77%) and by UnitedHealthcare, Inc. (10.23%). United HealthCare Services, Inc. also holds 100% of the limited partnership interests. When combining general and limited partner interests, United HealthCare Services, Inc. owns 94.18% and UnitedHealthcare, Inc. owns 5.83%.
- (9) Newton Holdings, LLC, is 80.1% owned by Collaborative Care Holdings, LLC and the remaining 19.9% is owned by outside shareholders.
- (10) WellMed Medical Management, Inc. is 85% owned by Collaborative Care Holdings, LLC and 15% owned by WMG Healthcare Partners, L.P.
- (11) Seisa Serviços Integrados de Saúde Ltda is 99.99% owned by Amil Assistência Médica Internacional S.A. and 0.00001% owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
- (12) Optum Health & Technology (India) Private Limited is 99.9995% owned by OptumHealth International B.V. and 0.0005 % owned by United Behavioral Health.
- (13) INSPIRIS of Texas Physician Group is a Texas non-profit (taxable) whose sole member is Inspiris Services Company.
- (14) PrimeCare of Citrus Valley, Inc. is 80% owned by PrimeCare Medical Network, Inc. and 20% owned by Citrus Valley Medical Associates, Inc.
- (15) Optum Clinics Holdings, Inc. is 97.2% owned by Collaborative Care Holdings, LLC and 2.8% is owned by external shareholders.
- (16) Optum Global Solutions (India) Private Limited is 99.999466 % owned by Optum Global Solutions International BV and 0.000534% UnitedHealth International, Inc. as nominee of Optum Global Solutions International BV per India requirement to have two shareholders.
- (17) Amico Saúde Ltda. is 98.927933% owned by Amil Assistência Médica Internacional S.A. and 1.072067% owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
- (18) Esho – Empresa de Serviços Hospitalares S.A. is 99.667% owned by Amil Assistência Médica Internacional S.A. and the remaining 0.31798923769% is owned by external shareholders and 0.01462081847% is owned by Treasury Shares.
- (19) Etho – Empresa de Tecnologia Hospitalar Ltda. 82.642% owned by Amil Assistência Médica Internacional S.A. and 17.358% owned by an external shareholder.
- (20) Orthology, Inc. is 98% owned by UnitedHealth Group Ventures, LLC and 2% owned by external shareholders.
- (21) Medalliance Net Ltda. is owned 99.999985% by Optum Health & Technology Serviços do Brasil Ltda. and 0.000015% by UHG Brasil Participações S.A.
- (22) Branch offices in Iraq and Uganda.

- (23) Cemed Care Empresa de Atendimento Clínico Geral Ltda. Is 99.9999996% owned by Amil Assistência Médica Internacional S.A. and 0.000004% owned by Esho – Empresa de Serviços Hospitalares S.A.
- (24) Optum 360, LLC is 69% owned by Optum Rocket, Inc; the remaining 31% is owned by external holders.
- (25) Optum Health & Technology Serviços do Brasil Ltda. is 99.9964% owned by Optum Global Solutions International B.V. and .0036% owned by OptumInsight, Inc.
- (26) Bosque Medical Center Ltda. is 82.58% owned by Amil Assistência Médica Internacional S.A. and 17.41182% owned by Esho – Empresa de Serviços Hospitalares S.A.
- (27) H&W Indemnity (SPC), Ltd. is an exempted segregated portfolio company organized under the laws of the Cayman Islands and holds a Cayman insurance license.
- (28) Real Appeal, Inc. is 98% owned by Rally Health, and the remaining 2% is owned by external shareholders.
- (29) Optum Global Solutions (Philippines), Inc. is 99.992% owned by Optum Global Solutions International B.V., and the remaining 0.008% is held by individual shareholders who are directors of the company.
- (30) Amil Clinical Research Participações Ltda. is 99.95% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.05% owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
- (31) Imed Star Serviços de Desempenho Organizacional Ltda. is 99.99998% owned by Optum Health & Technology Serviços do Brasil Ltda and 0.00002% owned by UHG Brasil Participações S.A.
- (32) Hospital de Clínicas de Jacarepaguá Ltda. is 99.999999% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.000001% is owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.
- (33) Hospital Alvorada Taguatinga Ltda. is 82.595% owned by Amil Assistência Médica Internacional S.A., 9.90% by Bosque Medical Center Ltda., and 5.06% is owned by Hospital Samaritano de São Paulo Ltda.
- (34) Registered as foreign shareholder in Brazil. Optum Global Solutions International B.V. is held 97.48% by Optum Technology, Inc. and 2.52% by OptumHealth International B.V.
- (35) FrontierMEDEX Kenya Limited is 99.9% owned by UnitedHealthcare Global Medical (UK) Limited and 0.1% owned by UnitedHealthcare International I B.V.
- (36) UnitedHealthcare Global Canada Limited is registered in Nova Scotia and Newfoundland & Labrador.
- (37) The limited partners of UnitedHealth Group International, L.P. include FMG Holdings, LLC (29.39634%), and UnitedHealth Group Incorporated (70.60366%).
- (38) Polar II Fundo de Investimento em Participações is a Brazilian private equity investment fund incorporated in the form of a closed-end condominium.
- (39) Optum Global Solutions International B.V. is 97.48% owned by Optum Technology, Inc. and 2.52% is owned by OptumHealth International B.V.
- (40) Amil Assistência Médica Internacional S.A. is 91.81% owned by Polar II Fundo de Investimento em Participações and the remaining 8.19% is owned by the former controlling shareholders of Amil Assistência Médica Internacional S.A.
- (41) Lusiadas A.C.E. is 55% owned by Lusiadas, SGPS, S.A., 10% owned by Lusiadas – Parcerias Cascais, S.A., 20% owned by Lusiadas, S.A., 5% owned by Clínica Médico Cirúrgica de Santa Tecla, S.A. and 10% owned by CLISA – Clínica de Santo António, S.A.
- (42) Centro Médico PJ Ltda. is 99.99998% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.00002% is owned by Cemed Care – Empresa de Atendimento Clínico Geral Ltda.

- (43) Frontier Medex Tanzania Limited is 99% owned by UnitedHealthcare Global Medical (UK) Limited. The remaining 1% is owned by a former officer of Frontier MEDEX Limited and is being transferred to UnitedHealthcare International I BV.
- (44) Optum Solutions do Brasil – Tecnologia e Serviços de Suporte Ltda., is 99.999998% owned by Optum Global Solutions International B.V. and 0.00002% owned by OptumInsight, Inc.(45) Multiangio Ltda. is 93% owned by Esho – Empresa de Serviços Hospitalares S.A. and the remaining 7% is owned by external shareholders.
- (46) Polo Holdco, LLC is 80.1% owned by Collaborative Care Holdings, LLC and the remaining 19.9% being owned by an outside third party.
- (47) Excellion Serviços Biomédicos Ltda is 99.999997% owned by Esho – Empresa de Serviços Hospitalares S.A. and 0.000003% is owned by Cemed Care - Empresa de Atendimento Clínico Geral Ltda.
- (48) Hospital Samaritano de São Paulo Ltda. is 99.9999998% owned by Esho – Empresa de Serviços Hospitalares S.A. and the remaining 0.0000002% is owned by Hospital Alvorada Taguatinga Ltda.
- (49) Optum360 Services, Inc. is 69% owned by Optum Rocket, Inc. and the remaining 31% is owned by external investors.
- (50) ABCO India Private Limited is 99% owned by ABCO International Holdings, LLC and 1% owned by The Advisory Board Company.
- (51) Branch office located in the United States.
- (52) UnitedHealthcare Insurance Company has a representative office in Beijing, China.
- (53) ProHEALTH Medical Management, LLC is 80% owned by Collaborative Care Holdings, LLC and 20% owned by an external shareholder.
- (54) ProHEALTH Fitness of Lake Success, LLC is 82.62% owned by ProHEALTH Medical Management, LLC and 17.38% by an external shareholder.
- (55) Savvysherpa Asia, Inc. is 99% owned by Savvysherpa, LLC and the remaining 1% is owned by a number of individual shareholders.
- (56) Fortify Technologies Asia, LLC is 99% owned by Savvysherpa, LLC and the remaining 1% is owned by a number of individual shareholders.
- (57) Virtual Therapeutics Corporation is 67% owned by Savvysherpa, LLC and the remaining 33.4% is owned by an outside third party.
- (58) BriovaRx Infusion Services 301, LP is 99.95% owned by AxelaCare Holdings, Inc. with the remaining 0.05% interest as a limited partner being held by BriovaRx Infusion Services 305, LLC.
- (59) XLHealth Corporation holds 99.998%, UnitedHealth International, Inc. holds .002%.
- (60) Hospitais Associados de Pernambuco Ltda. is 99.99992% owned by Esho – Empresa de Serviços Hospitalares S.A. and the remaining 0.00008% is owned by Hospital Alvorada Taguatinga Ltda
- (61) Topimagem Diagnóstico por Imagem Ltda. is 99% owned by Esho – Empresa de Serviços Hospitalares S.A., and the remaining 1% interest is owned by external shareholders.
- (62) Dilab – Medicina Nuclear Ltda is 95% owned by Esho – Empresa de Serviços Hospitalares S.A. and the remaining 5% is owned by external shareholders.
- (63) WellMed Networks – DFW, Inc. is 50% owned by WellMed Networks, Inc. and 50% owned by USMD Inc. WellMed Medical Management, Inc. controls 100% of WellMed Networks - DFW.
- (64) Clínica Oftalmológica Danilo de Castro Sociedade Simples is 99.66% owned by Hospital Alvorada de Taguatinga Ltda. and the remaining 0.333333% is owned by Lotten-Eyes Oftalmologia Clínica e Cirúrgica Ltda.
- (65) Lotten-Eyes Oftalmologia Clínica e Cirúrgica Ltda. is 99.99% owned by Hospital Alvorada de Taguatinga Ltda. and the remaining 0.000012% is owned by Esho – Empresa de Serviços Hospitalares S.A.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Notes

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

- (66) CMO – Centro Médico de Oftalmologia S/S Ltda. is 99% owned by Hospital Alvorada de Taguatinga Ltda. and the remaining 1% is owned by Lotten-Eyes Oftalmologia Clinica e Cirurgica Ltda.
- (67) UHCG-FZE is registered in the Dubai Silicon Oasis free zone.
- (68) Elual Participações S.A. is 60% owned by Amil Assistência Médica Internacional S.A. and 40% by Esho – Empresa de Serviços Hospitalares S.A.
- (69) Hospital Santa Helena S.A. is 65.21% owned by Elual Participações S.A. and 33.60% owned by Esho – Empresa de Serviços Hospitalares S.A. and 1.19% is owned by external shareholder
- (70) Santa Helena Assistência Médica S.A. is 65.21% owned by Elual Participações S.A. and the remaining 33.60% is owned by Amil Assistência Médica Internacional S.A. and 1.19% is owned by external shareholder.
- (71) Registered branches in Australia and the UK.(72) Hospital Ana Costa S.A. is 98.66950% owned by Plano de Saúde Ana Costa Ltda.,1.04247% by Hospital Alvorada Taguatinga Ltda. and the remaining 0.28802% is owned by external shareholders.
- (73) The remaining 42.50% is owned by multiple sources.
- (74) The remaining 0.0001% is owned by Amil Assistência Médica Internacional S.A.
- (75) The remaining 32.06% is owned by multiple sources.
- (76) Hospital Alvorada de Taguatinga Ltda. decreased its ownership in Casa de Saúde Santa Therezinha S.A. from 100% to 99.99%. The remaining 0.000001% is owned by Esho – Empresa de Serviços Hospitalares S.A.
- (77) The remaining 49% is owned by multiple sources.
- (78) The remaining 0.0005% is owned by Hospital Alvorada Taguatinga Ltda.
- (79) The remaining 26.96% is owned by multiple sources.
- (80) Registered in the Dubai Healthcare City free zone.
- (81) UnitedHealthcare International X S.á.r.l. (holds 100% of the common shares, 403,948,524 common shares). UnitedHealthcare International V S.á.r.l. (holds 100% of the preferred shares, 513,899,520)
- (82) Plano de Saúde Ana Costa Ltda. is 74.85961% owned by Amil Assistência Médica Internacional S.A. and the remaining 25.14039% is owned by Santos Administração e Participações S.A.
- (83) OptumInsight, Inc. is registered as foreign shareholders in Brazil.
- (84) The remaining 49% is owned by multiple sources.
- (85) The remaining 28% is owned by multiple sources.
- (86) The remaining 37.26% is owned by multiple sources.
- (87) The remaining 49.59% is owned by multiple sources.
- (88) The remaining 37.88% is owned by multiple sources.
- (89) The remaining 49.28% is owned by multiple sources..
- (90) The remaining 23.55% is owned by multiple sources.
- (91) The remaining 39% is owned by multiple sources.
- (92) The remaining 1% is owned by multiple sources.
- (93) The remaining 45.97% is owned by multiple sources.
- (94) The remaining 38.80% is owned by multiple sources.
- (95) The remaining 49% is owned by multiple sources.
- (96) The remaining 16.51% is owned by multiple sources.
- (97) The remaining 45% is owned by multiple sources.
- (98) The remaining 27.20% is owned by multiple sources.
- (99) The remaining 49.15% is owned by multiple sources.
- (100) The remaining 45% is owned by multiple sources.
- (101) The remaining 33% is owned by multiple sources.
- (102) UnitedHealthcare International X S.á.r.l. (holds 100% of the common shares, 403,948,524 common shares). UnitedHealthcare International V S.á.r.l. (holds 100% of the preferred shares, 513,899,520)
- (103) The remaining 0.01% is owned by an individual shareholder.
- (104) The remaining 1% is owned by multiple sources.
- (105) The remaining 49.82% is owned by multiple sources.
- (106) The remaining 32.05% is owned by multiple sources.
- (107) The remaining 46.77% is owned by multiple sources.
- (108) The remaining 34.6% is owned by multiple sources.
- (109) The remaining 41.58% is owned by multiple sources.
- (110) The remaining 21.13% is owned by multiple sources.
- (111) The remaining 50% is owned by multiple sources.
- (112) The remaining 19.9% is owned by Nineteen.Nine Holdings, LLC.
- (113) The remaining 0.01% is owned by an individual shareholder.
- (114) The remaining 42.46% is owned by multiple sources.
- (115) The remaining 49% is owned by multiple sources.
- (116) The remaining 47.55% is owned by multiple sources.
- (117) The remaining 2% is owned by multiple sources.
- (118) The remaining 45.91% is owned by multiple sources.
- (119) The remaining 23% is owned by multiple sources.
- (120) The remaining 3% is owned by multiple sources.
- (121) The remaining 54.93% is owned by multiple sources.
- (122) The remaining 43% is owned by multiple sources.
- (123) The remaining 8% is owned by multiple sources.
- (124) The remaining 47.81% is owned by multiple sources.
- (125) The remaining 45% is owned by multiple sources.
- (126)The remaining 41% is owned by multiple sources.
- (127) The co-founders of Pacifica Labs, Inc. own the remaining 14.21%.
- (128) The remaining 50% is owned by multiple sources.
- (129) The remaining 41% is owned by multiple sources.
- (130) The remaining 50% is owned by multiple sources.
- (131) The remaining 40.68% is owned by multiple sources.
- (132) The remaining 51.50% is owned by multiple sources.
- (133) The remaining 49.9% is owned by multiple sources.
- (134) The remaining 13% is owned by multiple sources.
- (135) The remaining 39.17% is owned by multiple sources.
- (136) The remaining 49% is owned by multiple sources.
- (137) The remaining 46.34% is owned by multiple sources.
- (138) The remaining 35% is owned by multiple sources.
- (139) The remaining 48.06% is owned by multiple sources.
- (140) The remaining 46% is owned by multiple sources.
- (141) The remaining 45% is owned by multiple sources.
- (142) The remaining 43.31% is owned by multiple sources.
- (143) The remaining 49.02% is owned by multiple sources.
- (144) The remaining 45.73% is owned by multiple sources.
- (145) The remaining 45% is owned by multiple sources.
- (146) The remaining 27.47% is owned by multiple sources.
- (147) The remaining 45.46% is owned by multiple sources.
- (148) The remaining 43.16% is owned by multiple sources.
- (149) The remaining 23.26% is owned by multiple sources.
- (150) The remaining 41.30% is owned by multiple sources.
- (151) The remaining 49% is owned by multiple sources.
- (152)The remaining 36.7% is owned by multiple sources.
- (153) The remaining 26.96% is owned by multiple sources.
- (154) The remaining 40.35% is owned by multiple sources (155-165) TBD
- (166) The remaining 29% is owned by multiple sources.
- (167) The remaining 49% is owned by multiple sources.
- (168) The remaining 49% is owned by multiple sources.
- (169) The remaining 50% is owned by multiple sources.
- (170) The remaining 40.41% is owned by multiple sources.
- (171) The remaining 44.49% is owned by multiple sources.
- (172) The remaining 49% is owned by multiple sources. (173-218) TBD
- (219) The remaining 49% is owned by multiple sources.
- (220) The remaining 50% is owned by multiple sources.
- (221) Hygeia Corporation, a Delaware corporation, acquired a 1.28978% ownership interest in FMG Holdings LLC, a Delaware limited liability company.
- (223) The remaining 40% is owned by multiple sources.
- (224) The remaining 49% is owned by multiple sources.
- (225) The remaining 48.7% is owned by multiple sources.
- (226) The remaining 31.14% is owned by multiple sources.
- (227) The remaining 49% is owned by multiple sources.
- (228) The remaining 49% is owned by multiple sources.
- (229) The remaining 35.75% is owned by multiple sources.
- (230) The remaining 55.33% is owned by multiple sources.
- (231) The remaining 49% is owned by multiple sources.
- (232) The remaining 49% is owned by multiple sources.
- (233) The remaining 49% is owned by multiple sources.
- (234) The remaining 26% is owned by multiple sources.
- (235) The remaining 47.42% is owned by multiple sources
- (236) The remaining 1.77% is owned by external shareholders.
- (237) The remaining 0.1% is owned by Inversiones Clínicas Santa María S.A.
- (238) Minority ownership is held by thid party shareholders. Corporate name is Inmobiliaria Santa María S.A.
- (239) The remaining 0.0001% is owned by Clínica Dávila y Servicios Médicos S.A.
- (240) Constructora Inmobiliaria Megapoq S.A owns 1 share.
- (241) The remaining 0.0001% is owned by Saden S.A.
- (242) Minority ownership is held by several third party shareholders.
- (243) In accordance to a capital increase executed on 2018, Clínica Santa María S.A. owns 99,9% of the shares. Minority ownership is held by Sociedad de Inversiones Santa María S.A.
- (244) The remaining 0.2569% is owned by Clínica Dávila y Servicios Médicos S.A.
- (245) The remaining 0.8238% is owned by Clínica Santa María S.A.
- (246) The remaining 0.009% is owned by Clínica Santa María S.A.
- (247) The remaining 0.10% is owned by Clínica Santa María S.A.
- (248) The remaining 50% is owned by Clínica Santa María S.A.
- (249) The remaining 1% is owned by Servicios Integrados de Salud Ltda.
- (250) The remaining 1% is owned by Servicios Integrados de Salud Ltda.
- (251) The remaining 0.1% is owned by Inmobiliaria Apoquindo 3600 Ltda.
- (252) The remaining 0.02% is owned by Saden S.A.
- (253) The remaining 0.0001% is owned by Saden S.A.
- (254) The remaining 0.159% is owned by Saden S.A.
- (255) The remaining 0.01% is owned by Saden S.A.
- (256) The remaining 0.01% is owned by Clínica Dávila y Servicios Médicos S.A.
- (257) The remaining 25.75% is owned by several third party shareholders.
- (258) The remaining 6.55% is owned by third party shareholders.
- (259) The remaining 0.1% is owned by Servicios Amed Quilpué S.A.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP
PART 1 – ORGANIZATIONAL CHART

Notes

All legal entities on the Organization Chart are Corporations unless otherwise indicated.

- (260) The remaining 0.1% is owned by Laboratorios Médicos Amed Quilpué S.A.
- (261) The remaining 50% is owned by Gorki Osorio Vargas (25%) and Danilo Valderrama Torrealba (25%).
- (262) The remaining 0.1% is owned by Simón Sacks Link.
- (263) The remaining 15.5% is owned by third party shareholders.
- (264) The remaining 6,66% is owned by Centromed Quilpué S.A.
- (265) The remaining 0.000065% is owned by Clínica Dávila y Servicios Médicos S.A.
- (266) The remaining 0.0001% is owned by Banmédica S.A.
- (267) The remaining 1% is owned by Saden S.A.
- (268) The remaining 0.0001% is owned by Banmédica S.A.
- (269) The remaining 1% is owned by Saden S.A.
- (270) The remaining 0.0001% is owned by Banmédica S.A.
- (271) The remaining 1% is owned by Saden S.A.
- (272) Inmobiliaria Megapoq S.A owns 1 share (0,0000%)
- (273) The remaining 7.62% is owned by Banmédica Colombia S.A. (7.619%), Juan Guillermo Ruiz (0.000142%) and Servicios Legales Corporativos Ltda. (0.000142%).
- (274) No information of the minority shareholder(s) has been provided.
- (275) No information of the minority shareholder(s) has been provided.
- (276) No information of the minority shareholder(s) has been provided.
- (277) No information of the minority shareholder(s) has been provided.
- (278) The other shareholder, with the other 50% is El Pacífico-Peruano Suiza Compañía de Seguros y Reaseguros S.A., part of the Credicorp Group
- (279) No information of the minority shareholder(s) has been provided.
- (280) No information of the minority shareholder(s) has been provided.
- (281) No information of the minority shareholder(s) has been provided.
- (282) No information of the minority shareholder(s) has been provided.
- (283) No information of the minority shareholder(s) has been provided.
- (284) No information of the minority shareholder(s) has been provided.
- (285) No information of the minority shareholder(s) has been provided.
- (286) The remaining 0.0001% is owned by Clínica Dávila y Servicios Médicos S.A.
- (287) The remaining 0.00004% is owned by Saden S.A.
- (288) The remaining 0.00004% is owned by Saden S.A.
- (289) The remaining 0.0017% is owned by Saden S.A.
- (290) The remaining 0.0001% is owned by Saden S.A.
- (291) The remaining 0.08% is owned by Clínica Dávila y Servicios Médicos S.A.
- (292) TBD
- (293) No information of the other shareholder(s) has been provided.
- (294) The remaining 0.3% is owned by Inmobiliaria Apoquindo 3001 S.A.

- (294) Inmobiliaria Megapoq S.A owns 1 share (0,0000%)
- (295) The remaining 0.0001% is owned by Saden S.A.
- (296) The remaining 0.0008% is owned by Vida Tres Internacional S.A.
- (297) The remaining 0.01% is owned by Saden S.A.
- (298) Currently undergoing a liquidation procedure
- (299) No information of the other shareholder(s) has been provided
- (300) No information of the other shareholder(s) has been provided
- (301) Banmédica S.A. owns 9.67% and Promotora del Country S.A. (Colombia) owns 0.23%. This Patrimony has two business units (Conuntry and Hill).
- (302) The remaining 49.2% is owned by third party shareholders.
- (303) The remaining 49% is owned by multiple sources.
- (304) The remaining 49% is owned by multiple sources
- (305) The remaining 27.47% is owned by multiple sources
- (306) The remaining 49% is owned by multiple sources
- (307) The remaining 50% is owned by multiple sources.
- (308) The remaining 33% is owned by multiple sources.
- (309) The remaining 44.64% is owned by multiple sources.
- (310) The remaining 19.9% is owned by an external third party.
- (311) This entity is a minority-owned entity for which the ultimate owner, UnitedHealth Group, Inc., is deemed to have influence over the entity. The remaining ownership is by a non-affiliated entity or entities.

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
		.00000	98-1308130				1070715 B.C. Unlimited Liability Company	..CAN	..NIA	OptumRx Group Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-2624551				310 Canyon Medical, LLC	..CA	..NIA	Monarch Management Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	35-2607738				5995 Minnetonka, LLC	..DE	..NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1401978				ABCO India Private Limited	..IND	..NIA	ABCO International Holdings, LLC	Ownership	99.065	UnitedHealth Group Incorporated		
		.00000	98-1401978				ABCO India Private Limited	..IND	..NIA	The Advisory Board Company	Ownership	0.935	UnitedHealth Group Incorporated		
		.00000	98-1446125				ABCO International Holdings, LLC	..DE	..NIA	The Advisory Board Company	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	41-1913523				ACN Group IPA of New York, Inc.	..NY	..NIA	OptumHealth Care Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-0015861				ACN Group of California, Inc.	..CA	..IA	OptumHealth Care Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1446128				Administradora Clínica La Colina S.A.S.	..COL	..NIA	Banmédica Internacional SpA	Ownership	50.000	UnitedHealth Group Incorporated		1
		.00000	98-1446125				Administradora Country S.A.	..COL	..NIA	Banmédica S.A.	Ownership	50.000	UnitedHealth Group Incorporated		1
		.00000	98-1451601				Administradora Médica Centromed S.A.	..CHL	..NIA	Vidaintegra S.A.	Ownership	74.250	UnitedHealth Group Incorporated		2
		.00000	20-2598653				Advanced Pharma, Inc.	..TX	..NIA	Apothecary Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-4093335				Advanced Surgical Hospital, LLC	..PA	..NIA	SCA Southwestern PA, LLC	Ownership	51.000	UnitedHealth Group Incorporated		2
		.00000	47-5337115				Advocate Condell Ambulatory Surgery Center, LLC	..IL	..NIA	Advocate-SCA Partners, LLC	Ownership	59.645	UnitedHealth Group Incorporated		2
		.00000					Advocate Sherman Ambulatory Surgery Center, LLC	..IL	..NIA	Advocate-SCA Partners, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	36-4437931				Advocate Southwest Ambulatory Surgery Center, LLC	..IL	..NIA	Advocate-SCA Partners, LLC	Ownership	51.000	UnitedHealth Group Incorporated		2
		.00000	47-4012497				Advocate-SCA Partners, LLC	..DE	..NIA	SCA-Illinois, LLC	Ownership	51.000	UnitedHealth Group Incorporated		2
		.00000					Aeromil Taxi Aéreo Ltda.	..BRA	..NIA	Amil Assistência Médica Internacional S.A.	Influence	20.000	UnitedHealth Group Incorporated		5
		.00000	45-4171713				AHN Accountable Care Organization, LLC	..IN	..NIA	American Health Network of Indiana, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	35-2109566				AHN Central Services, LLC	..IN	..NIA	AHN Target Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	35-2582899				AHN Target Holdings, LLC	..DE	..NIA	Collaborative Care Holdings, LLC	Ownership	80.100	UnitedHealth Group Incorporated		2
		.00000	92-0175856				Alaska Spine Center, LLC	..AK	..NIA	Alaska Surgery Center, Limited Partnership	Influence	100.000	UnitedHealth Group Incorporated		5
		.00000	92-0080881				Alaska Surgery Center, Inc.	..AK	..NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	93-0982396				Alaska Surgery Center, Limited Partnership	..AK	..NIA	Alaska Surgery Center, Inc.	Influence	37.845	UnitedHealth Group Incorporated		5
		.00000	98-1447435				Aliansalud Entidad Promotora de Salud S.A.	..COL	..IA	Banmédica S.A.	Ownership	76.290	UnitedHealth Group Incorporated		2
.0707	UnitedHealth Group Incorporated	.82406	35-1665915				All Savers Insurance Company	..IN	..IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.73130	35-1744596				All Savers Life Insurance Company of California	..CA	..IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-1367127				Alliance Surgical Center, LLC	..FL	..NIA	SCA-Alliance, LLC	Ownership	51.285	UnitedHealth Group Incorporated		2
		.00000	63-1231942				Aloha Surgical Center, LLC	..TN	..NIA	Surgery Center of Maui, LLC	Ownership	74.000	UnitedHealth Group Incorporated		2
		.00000	65-1095227				Ambient Healthcare, Inc.	..FL	..NIA	Ambient Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-2161438				Ambient Holdings, Inc.	..DE	..NIA	BriovaRx Infusion Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-4770582				American Health Network of Indiana Care Organization, LLC	..IN	..NIA	AHN Target Holdings, LLC	Ownership	80.100	UnitedHealth Group Incorporated		2
		.00000	35-1930805				American Health Network of Indiana II, LLC	..IN	..NIA	AHN Target Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.52623	35-2108729				American Health Network of Indiana, LLC	..IN	..IA	AHN Target Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-4132160				American Health Network of Ohio Care Organization, LLC	..OH	..NIA	AHN Target Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	31-1424956				American Health Network of Ohio II, LLC	..OH	..NIA	AHN Target Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	31-1424957				American Health Network of Ohio, LLC	..OH	..NIA	AHN Target Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	54-1743136				AmeriChoice Corporation	..DE	..NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	54-1743141				AmeriChoice Health Services, Inc.	..DE	..NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.95497	22-3368602				AmeriChoice of New Jersey, Inc.	..NJ	..IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1108620				Amico Saúde Ltda.	..BRA	..NIA	Amil Assistência Médica Internacional S.A.	Ownership	92.920	UnitedHealth Group Incorporated		2
		.00000	98-1108620				Amico Saúde Ltda.	..BRA	..NIA	Cemed Care - Empresa de Atendimento Clínico Geral Ltda.	Ownership	1.072	UnitedHealth Group Incorporated		2
		.00000					Amil Assistência Médica Internacional S.A.	..BRA	..IA	Polar II Fundo de Investimento em Participações Multiestratégia	Ownership	91.810	UnitedHealth Group Incorporated		2

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
		.00000	98-1109085				Amil Clinical Research Participações Ltda.BRA	.NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	99.950	UnitedHealth Group Incorporated		
		.00000	98-1109085				Amil Clinical Research Participações Ltda.BRA	.NIA	Cemed Care – Empresa de Atendimento Clínico Geral Ltda.	Ownership	0.050	UnitedHealth Group Incorporated		
		.00000	98-1138212				AMIL International	.LUX	.NIA	Amil Assistência Médica Internacional S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1454564				Análisis Clínicos ML S.A.C.	.PER	.NIA	Pacifico S.A. Entidad Prestadora de Salud	Ownership	99.990	UnitedHealth Group Incorporated		1
		.00000	36-4210293				Antelope Valley Surgery Center, L.P.	.CA	.NIA	NSC Lancaster, LLC	Ownership	83.490	UnitedHealth Group Incorporated		2
		.00000	26-3913051				Apothecary Holdings, Inc.	.DE	.NIA	OptumRx Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	86-0960334				Apothecary Shop of Phoenix, Inc.	.AZ	.NIA	Apothecary Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-2068687				AppleCare Medical Management, LLC	.DE	.NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					APS – Assistência Personalizada à Saúde Ltda.	.BRA	.IA	Santa Helena Assistência Médica S.A.	Ownership	99.990	UnitedHealth Group Incorporated		
		.00000					APS – Assistência Personalizada à Saúde Ltda.	.BRA	.IA	Amil Assistência Médica Internacional S.A.	Ownership	0.010	UnitedHealth Group Incorporated		
		.00000	98-1399975				Aquitania Chilean Holding SpA	.CHL	.NIA	Bordeaux UK Holdings II Limited	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	75-2285078				Arcadia Outpatient Surgery Center, L.P.	.CA	.NIA	Surgicenters of Southern California, Inc.	Influence	37.000	UnitedHealth Group Incorporated		5
		.00000	46-4843100				Arise Physician Group	.TX	.NIA	SCA Austin Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	86-0813232				Arizona Physicians IPA, Inc.	.AZ	.IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	75-2055800				Arlington Surgery Center, LLC	.TX	.NIA	THR-SCA Holdings, LLC	Influence	52.754	UnitedHealth Group Incorporated		5
		.00000	46-3907136				ASC Holdings of New Jersey, LLC	.NJ	.NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	95-4348431				ASC Network, LLC	.DE	.NIA	Surgical Care Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-8970704				ASC Operators, LLC	.CA	.NIA	Surgery Centers–West Holdings, LLC	Influence	49.000	UnitedHealth Group Incorporated		5
		.00000	27-1724489				ASC Operators–East Bay, LLC	.CA	.NIA	SCA Pacific Holdings, Inc.	Influence	49.000	UnitedHealth Group Incorporated		5
		.00000	27-5447186				ASC Operators–San Francisco, LLC	.CA	.NIA	SCA Pacific Holdings, Inc.	Influence	49.000	UnitedHealth Group Incorporated		5
		.00000	27-2673776				ASC Operators–San Luis Obispo, LLC	.CA	.NIA	SCA–San Luis Obispo, LLC	Influence	49.000	UnitedHealth Group Incorporated		5
		.00000	26-3386169				ASC Operators–Santa Rosa, LLC	.CA	.NIA	SCA–Santa Rosa, Inc.	Influence	39.000	UnitedHealth Group Incorporated		5
		.00000	46-1537479				ASC Operators–South Bay, LLC	.CA	.NIA	SCA Pacific Holdings, Inc.	Influence	49.000	UnitedHealth Group Incorporated		5
		.00000	27-0540034				ASC Pacific Ventures, LLC	.DE	.NIA	SCA–Honolulu, LLC	Influence	49.900	UnitedHealth Group Incorporated		5
		.00000	90-0369702				ASI Global, LLC	.TX	.NIA	FrontierMEDX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-3878957				AssuranceRx, LLC	.AL	.NIA	BriovaRx, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-4014891				Athens ASC Holdings, LLC	.GA	.NIA	SCA Athens, LLC	Ownership	58.420	UnitedHealth Group Incorporated		2
		.00000	47-0990056				Audax Health Solutions, LLC	.DE	.NIA	Rally Health, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	58-2028767				Austin Center for Outpatient Surgery, L.P.	.GA	.NIA	SHC Austin, Inc.	Ownership	51.000	UnitedHealth Group Incorporated		2
		.00000	27-2869469				Avella of Austin, Inc.	.AZ	.NIA	Apothecary Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	14-1971726				Avella of Columbus, Inc.	.AZ	.NIA	Apothecary Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	13-4318552				Avella of Deer Valley, Inc.	.AZ	.NIA	Apothecary Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-5239373				Avella of Denver, Inc.	.AZ	.NIA	Apothecary Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	86-1044713				Avella of Gilbert, Inc.	.AZ	.NIA	Apothecary Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-4241458				Avella of Las Vegas II, Inc.	.AZ	.NIA	Apothecary Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-0609947				Avella of Orlando, Inc.	.AZ	.NIA	Apothecary Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-0583808				Avella of Phoenix III, Inc.	.AZ	.NIA	Apothecary Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-0121303				Avella of Sacramento, Inc.	.CA	.NIA	Apothecary Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	86-0819588				Avella of Scottsdale, Inc.	.AZ	.NIA	Apothecary Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-1688911				Avella of St. Louis, Inc.	.AZ	.NIA	Apothecary Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	36-4435924				Avella of Tampa, LLC	.FL	.NIA	Apothecary Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-3253658				Avella of Tucson II, Inc.	.AZ	.NIA	Apothecary Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	86-1044712				Avella of Tucson, Inc.	.AZ	.NIA	Apothecary Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	35-2563332				Avella Patient Access Program, Inc.	.AZ	.NIA	Apothecary Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-4057813				Aveta Inc.	.DE	.NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	30-0842394				AxelaCare Intermediate Holdings, LLC	.DE	.NIA	OptumRx, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	61-1708598				AxelaCare, LLC	.DE	.NIA	AxelaCare Intermediate Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	72-1097827				B.R.A.S.S. Partnership in Commendam	.LA	.NIA	Surgery Center Holding, LLC	Ownership	67.940	UnitedHealth Group Incorporated		2
		.00000	62-1601445				Bakersfield-SC, LLC	.DE	.NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		

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SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		.0000	98-1462787				Banmedica Colombia SpA	.COL	NIA	Banmedica Internacional SpA	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1444151				Banmedica Internacional SpA	.CHL	NIA	Banmedica S.A.	Ownership	99.000	UnitedHealth Group Incorporated		
		.0000	98-1444151				Banmedica Internacional SpA	.CHL	NIA	Saden S.A.	Ownership	1.000	UnitedHealth Group Incorporated		
		.0000	98-1444127				Banmedica S.A.	.CHL	NIA	Bordeaux Holding SpA	Ownership	98.229	UnitedHealth Group Incorporated		2
		.0000	26-1192066				Barranca Surgery Center, LLC	.DE	NIA	Beach Surgical Holdings LLC	Influence	51.000	UnitedHealth Group Incorporated		5
		.0000	47-2083076				Beach Surgical Holdings II LLC	.CA	NIA	SCA Surgicare of Laguna Hills, LLC	Influence	49.000	UnitedHealth Group Incorporated		5
		.0000	47-4504390				Beach Surgical Holdings III, LLC	.CA	NIA	Surgicare of La Veta, Inc.	Ownership	68.860	UnitedHealth Group Incorporated		2
		.0000	37-1708521				Beach Surgical Holdings LLC	.CA	NIA	SCA Surgicare of Laguna Hills, LLC	Influence	49.000	UnitedHealth Group Incorporated		5
		.0000	88-0267857				Behavioral Healthcare Options, Inc.	.NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	75-2196889				Belleville Surgical Center, Ltd., an Illinois Limited Partnership	.IL	NIA	Surgicare of Belleville, LLC	Ownership	61.200	UnitedHealth Group Incorporated		2
		.0000	63-0984076				Birmingham Outpatient Surgery Center, Ltd., an Alabama Limited Partnership	.AL	NIA	Birmingham Outpatient Surgical Center, LLC	Influence	30.220	UnitedHealth Group Incorporated		5
		.0000	63-0847380				Birmingham Outpatient Surgical Center, LLC	.DE	NIA	Surgery Center Holding, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	05-0359457				Blackstone Valley Surgicare GP, LLC	.DE	NIA	Surgery Center Holding, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	62-1530122				Blue Ridge Day Surgery Center, L.P.	.TN	NIA	Blue Ridge GP, LLC	Influence	38.208	UnitedHealth Group Incorporated		5
		.0000	27-2241593				Blue Ridge GP, LLC	.NC	NIA	SCA-Blue Ridge, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	65-0241934				Boca Raton Outpatient Surgery & Laser Center, Ltd.	.FL	NIA	Surgery Center of Boca Raton, Inc.	Influence	20.000	UnitedHealth Group Incorporated		5
		.0000	65-0241934				Boca Raton Outpatient Surgery & Laser Center, Ltd.	.FL	NIA	Surgery Center of Boca Raton, Inc.	Influence	10.000	UnitedHealth Group Incorporated		5
		.0000	98-1396690				Bordeaux (Barbados) Holdings I, SRL	.BRB	NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1396559				Bordeaux (Barbados) Holdings II, SRL	.BRB	NIA	Bordeaux (Barbados) Holdings I, SRL	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1403634				Bordeaux (Barbados) Holdings III, SRL	.BRB	NIA	Bordeaux (Barbados) Holdings II, SRL	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1398490				Bordeaux Holding SpA	.CHL	NIA	Aquitania Chilean Holding SpA	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	82-3147824				Bordeaux International Holdings, Inc.	.DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1390574				Bordeaux UK Holdings I Limited	.GBR	NIA	Bordeaux International Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1391914				Bordeaux UK Holdings II Limited	.GBR	NIA	Bordeaux UK Holdings I Limited	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1389224				Bordeaux UK Holdings III Limited	.GBR	NIA	Bordeaux UK Holdings II Limited	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	45-4147343				BOSC Holdings, LLC		NIA	SCA BOSC Holdings, LLC	Influence	49.000	UnitedHealth Group Incorporated		5
		.0000	98-1112673				Bosque Medical Center Ltda.	.BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	82.581	UnitedHealth Group Incorporated		
		.0000	98-1112673				Bosque Medical Center Ltda.	.BRA	NIA	Esho - Empresa de Serviços Hospitalares S.A.	Ownership	17.419	UnitedHealth Group Incorporated		
		.0000	20-2387834				Brandon Ambulatory Surgery Center, LLC	.FL	NIA	SCA-Brandon, LLC	Ownership	54.540	UnitedHealth Group Incorporated		2
		.0000	11-2997132				BriovaRx Infusion Services 100, Inc.	.NY	NIA	BriovaRx Infusion Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	11-3485985				BriovaRx Infusion Services 101, Inc.	.NY	NIA	BriovaRx Infusion Services 100, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	90-0884047				BriovaRx Infusion Services 102, LLC	.DE	NIA	BriovaRx Infusion Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	27-1533840				BriovaRx Infusion Services 103, LLC	.DE	NIA	Serquinio Holdings LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	57-0861358				BriovaRx Infusion Services 200, Inc.	.SC	NIA	Ambient Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	55-0802777				BriovaRx Infusion Services 201, Inc.	.FL	NIA	Ambient Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	20-4881413				BriovaRx Infusion Services 202, Inc.	.FL	NIA	Ambient Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	33-1012700				BriovaRx Infusion Services 203, Inc.	.FL	NIA	Ambient Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	55-0802774				BriovaRx Infusion Services 204, Inc.	.FL	NIA	Ambient Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	26-3738273				BriovaRx Infusion Services 205, Inc.	.FL	NIA	Ambient Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	63-1284325				BriovaRx Infusion Services 206, Inc.	.AL	NIA	Ambient Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	63-0964525				BriovaRx Infusion Services 207, Inc.	.AL	NIA	BriovaRx Infusion Services 206, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	20-4963945				BriovaRx Infusion Services 208, Inc.	.NC	NIA	Ambient Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	55-0802779				BriovaRx Infusion Services 209, Inc.	.GA	NIA	Ambient Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	75-2196224				BriovaRx Infusion Services 301, LP	.OK	NIA	BriovaRx Infusion Services, Inc.	Ownership	99.950	UnitedHealth Group Incorporated		
		.0000	75-2196224				BriovaRx Infusion Services 301, LP	.OK	NIA	BriovaRx Infusion Services 305, LLC	Ownership	0.050	UnitedHealth Group Incorporated		
		.0000	27-0668812				BriovaRx Infusion Services 302, LLC	.NE	NIA	BriovaRx Infusion Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	26-2565032				BriovaRx Infusion Services 305, LLC	.DE	NIA	BriovaRx Infusion Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	20-3741084				BriovaRx Infusion Services 308, LLC	.AZ	NIA	SCP Specialty Infusion, LLC	Ownership	100.000	UnitedHealth Group Incorporated		

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
		.00000	02-0653265				BriovaRx Infusion Services 401, LLC	CA	NIA	SCP Specialty Infusion, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-4312858				BriovaRx Infusion Services 402, LLC	CA	NIA	SCP Specialty Infusion, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-0941801				BriovaRx Infusion Services 403, LLC	CA	NIA	SCP Specialty Infusion, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	93-1103256				BriovaRx Infusion Services 404, LLC	OR	NIA	SCP Specialty Infusion, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-3918706				BriovaRx Infusion Services, Inc.	DE	NIA	AxelaCare, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-4515146				BriovaRx of California, Inc.	CA	NIA	Salveo Specialty Pharmacy, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	11-3647935				BriovaRx of Florida, Inc.	DE	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-1930321				BriovaRx of Georgia, LLC	AL	NIA	BriovaRx, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-2731176				BriovaRx of Indiana, LLC	IN	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-2790537				BriovaRx of Louisiana, L.L.C.	LA	NIA	BriovaRx, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	01-0516051				BriovaRx of Maine, Inc.	ME	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-3331130				BriovaRx of Massachusetts, LLC	MA	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-2532834				BriovaRx of Nevada, LLC	NV	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	74-3103518				BriovaRx of New York, Inc.	NY	NIA	Salveo Specialty Pharmacy, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-2719823				BriovaRx of Texas, Inc.	TX	NIA	BriovaRx of Florida, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	82-4030553				BriovaRx Specialty, LLC	DE	NIA	OptumRx Administrative Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	55-0824381				BriovaRx, LLC	AL	NIA	BriovaRx of Maine, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-2314634				BSC Holdings, LLC	IN	NIA	SCA Indiana Holdings, LLC	Influence	49.000	UnitedHealth Group Incorporated		5
		.00000	47-4278378				Cabin Enterprises, LLC	DE	NIA	Cabin Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-4264867				Cabin Holdings, LLC	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	61-1732160				California MedTrans Network IPA LLC	CA	NIA	California MedTrans Network MSO LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	36-4780748				California MedTrans Network MSO LLC	CA	NIA	National MedTrans, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	52-1597478				Camp Hill Ambulatory Centers		NIA	Camp Hill-SCA Centers, LLC	Influence	49.000	UnitedHealth Group Incorporated		5
		.00000	52-1597484				Camp Hill-SCA Centers, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-3955254				Capital City Medical Group, L.L.C.	LA	NIA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-1981651				Cardio Management, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-8375685				Care Improvement Plus Group Management, LLC	MD	NIA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.12558	45-4976934				Care Improvement Plus of Texas Insurance Company	TX	IA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.12567	20-3888112				Care Improvement Plus South Central Insurance Company	AR	IA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.14041	27-5038136				Care Improvement Plus Wisconsin Insurance Company	WI	IA	XLHealth Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1328471				Casa de Saúde Santa Therezinha S.A.	BRA	NIA	Hospital Alvorada de Taguatinga Ltda.	Ownership	99.990	UnitedHealth Group Incorporated		2
		.00000	98-1328471				Casa de Saúde Santa Therezinha S.A.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	0.000	UnitedHealth Group Incorporated		2
		.00000	82-5207935				Castle Rock SurgiCenter, LLC	CO	NIA	SCA-Castle Rock, LLC	Ownership	55.000	UnitedHealth Group Incorporated		2
		.00000	20-5807941				Catalyst360, LLC	DE	NIA	Optum Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Catamaran Finance (Ireland) Unlimited Company		NIA	Catamaran S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1069737				Catamaran S.á.r.l.	LUX	NIA	UnitedHealthcare Europe S.á.r.l.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					CDC Holdings Colombia S.A.S.	COL	NIA	Bordeaux UK Holdings II Limited	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-2533497				Cedar Park Surgery Center, LLC	TX	NIA	SCA Cedar Park Holdings, LLC	Ownership	51.931	UnitedHealth Group Incorporated		2
		.00000	98-1111491				Cemed Care – Empresa de Atendimento Clínico Geral Ltda.	BRA	NIA	Anil Assistência Médica Internacional S.A.	Ownership	99.990	UnitedHealth Group Incorporated		2
		.00000	98-1111491				Cemed Care – Empresa de Atendimento Clínico Geral Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	0.000	UnitedHealth Group Incorporated		2
		.00000	98-1448150				Central de Compras SpA	CHL	NIA	Inversiones Clínicas Santa María S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-4131797				Central Indiana Care Organization, LLC	IN	NIA	AHN Target Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-5600514				Central Ohio Care Organization, LLC	OH	NIA	AHN Target Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	30-1080342				CentriHealth, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					CentriHealth UK Limited	GBR	NIA	CentriHealth, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Centro de Entrenamiento en Reanimación y Prevención Limitada (CERP)	CHL	NIA	Clínica Dávila y Servicios Médicos S.A.	Ownership	99.000	UnitedHealth Group Incorporated		

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ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
		.00000					Centro de Entrenamiento en Reanimación y Prevención Limitada (CERP)	.CHL	NIA	Servicios Integrados de Salud Ltda.	Ownership	1.000	UnitedHealth Group Incorporated		
		.00000	98-1450490				Centro de Servicios Compartidos Banmédica S.A.	.CHL	NIA	Banmédica S.A.	Ownership	99.900	UnitedHealth Group Incorporated		.1
		.00000					Centro Médico Hospitalar Pitangueiras Ltda.	.BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Centro Médico Hospitalar Pitangueiras Ltda.	.BRA	NIA	Hospital Alvorada de Taguatinga Ltda.	Ownership	0.001	UnitedHealth Group Incorporated		
		.00000	98-1457197				Centro Médico Odontológico Americano S.A.C.	.PER	NIA	Pacifico S.A. Entidad Prestadora de Salud	Ownership	80.000	UnitedHealth Group Incorporated		.1
		.00000					Centro Médico PJ Ltda.	.BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1310461				Centro Médico PJ Ltda.	.BRA	NIA	Hospital Alvorada de Taguatinga Ltda.	Ownership	0.001	UnitedHealth Group Incorporated		
		.00000	98-1451635				Centromed Quilpué S.A.	.CHL	NIA	Administradora Médica Centromed S.A.	Ownership	93.450	UnitedHealth Group Incorporated		.2
		.00000					Centros Médicos y Dentales Multimed Ltda.	.CHL	NIA	Onesa S.A.	Ownership	99.990	UnitedHealth Group Incorporated		
		.00000					Centros Médicos y Dentales Multimed Ltda.	.CHL	NIA	Clínica Dávila y Servicios Médicos S.A.	Ownership	0.010	UnitedHealth Group Incorporated		
		.00000	47-4823023				Centura-SCA Holdings, LLC	.CO	NIA	Surgery Center of Colorado Springs, LLC	Influence	34.760	UnitedHealth Group Incorporated		.5
		.00000	46-1454664				Channel Islands Surgicenter Properties, LLC	.DE	NIA	SCA Holding Company, Inc.	Ownership	62.743	UnitedHealth Group Incorporated		.2
		.00000	58-1709761				Charleston Surgery Center Limited Partnership	.SC	NIA	SCA-Charleston, LLC	Influence	20.343	UnitedHealth Group Incorporated		.5
		.00000	82-3973199				Charleston Surgery Properties, LLC	.DE	NIA	SCA-Charleston, LLC	Ownership	50.850	UnitedHealth Group Incorporated		.2
		.00000	62-1262567				Charlotte-SC, LLC	.DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-2389638				Childrens Surgery Center, LLC	.FL	NIA	SCA-Central Florida, LLC	Ownership	52.202	UnitedHealth Group Incorporated		.2
		.00000	98-1093539				ChinaGate (Hong Kong) Limited	.CHN	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	62-1510209				Citrus Regional Surgery Center, L.P.	.TN	NIA	SCA-Citrus, Inc.	Ownership	57.000	UnitedHealth Group Incorporated		.2
		.00000	20-3742012				Cleburne Surgical Center, LLC	.TX	NIA	THR-SCA Holdings, LLC	Influence	51.000	UnitedHealth Group Incorporated		.5
		.00000	98-1459640				Clínica Alameda S.A.	.CHL	NIA	Vidaintegra S.A.	Ownership	99.841	UnitedHealth Group Incorporated		
		.00000	98-1459640				Clínica Alameda S.A.	.CHL	NIA	Saden S.A.	Ownership	0.159	UnitedHealth Group Incorporated		.2
		.00000	98-1443171				Clínica Bío Bío S.A.	.CHL	NIA	Inversiones Clínicas Santa María S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1442191				Clínica Ciudad del Mar S.A.	.CHL	NIA	Inversiones Clínicas Santa María S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1446132				Clínica Dávila y Servicios Médicos S.A.	.CHL	NIA	Banmédica S.A.	Ownership	99.991	UnitedHealth Group Incorporated		
		.00000	98-1446132				Clínica Dávila y Servicios Médicos S.A.	.CHL	NIA	Clínica Santa María S.A.	Ownership	0.009	UnitedHealth Group Incorporated		
		.00000					Clínica del Country S.A.	.COL	NIA	Banmédica Internacional SpA	Ownership	50.000	UnitedHealth Group Incorporated		.1
		.00000	98-1350667				Clínica Médico Cirúrgica de Santa Tecla, S.A.	.PRT	NIA	Lusíadas, SGPS, S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1337965				Clínica Oftalmologica Danilo de Castro	.BRA	NIA	Hospital Alvorada de Taguatinga Ltda.	Ownership	99.660	UnitedHealth Group Incorporated		.2
		.00000	98-1337965				Sociedade Simples	.BRA	NIA	Lotten-Eyes Oftalmologia Clinica e Cirurgica Ltda.	Ownership	0.333	UnitedHealth Group Incorporated		.2
		.00000					Clínica San Borja (La Esperanza del Perú S.A.)	.PER	NIA	Pacifico S.A. Entidad Prestadora de Salud	Ownership	99.990	UnitedHealth Group Incorporated		.1
		.00000	98-1462343				Clínica San Felipe S.A.	.PER	NIA	Pacifico S.A. Entidad Prestadora de Salud	Ownership	93.740	UnitedHealth Group Incorporated		.1
		.00000	98-1455936				Clínica Sánchez Ferrer S.A.	.PER	NIA	Pacifico S.A. Entidad Prestadora de Salud	Ownership	99.990	UnitedHealth Group Incorporated		.1
		.00000	98-1442754				Clínica Santa María S.A.	.CHL	NIA	Banmédica S.A.	Ownership	99.433	UnitedHealth Group Incorporated		.2
		.00000	98-1442745				Clínica Vespuccio S.A.	.CHL	NIA	Inversiones Clínicas Santa María S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-2236024				Clinton Partners, LLC	.MI	NIA	HFHS-SCA Holdings, LLC	Influence	51.780	UnitedHealth Group Incorporated		.5
		.00000	98-1350671				CLISA – Clínica de Santo António, S.A.	.PRT	NIA	Lusíadas, SGPS, S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1337968				CMO – Centro Médico de Oftalmologia S/S Ltda.	.BRA	NIA	Hospital Alvorada de Taguatinga Ltda.	Ownership	99.000	UnitedHealth Group Incorporated		
		.00000	98-1337968				CMO – Centro Médico de Oftalmologia S/S Ltda.	.BRA	NIA	Lotten-Eyes Oftalmologia Clinica e Cirurgica Ltda.	Ownership	1.000	UnitedHealth Group Incorporated		
		.00000	98-1277015				CMS – Central de Manipulação e Serviços Farmacêuticos S.A.	.BRA	NIA	COI – Clínicas Oncológicas Integradas S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	71-0873411				CNIC Health Solutions, Inc.	.CO	NIA	Rocky Mountain Health Maintenance Organization, Incorporated	Ownership	100.000	UnitedHealth Group Incorporated		

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ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
		.00000	56-2674371				Coachella Valley Physicians of PrimeCare, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-1193028				Coalition for Advanced Pharmacy Services, Inc.	DE	NIA	OptumRx Health Solutions, LLC	Ownership	93.266	UnitedHealth Group Incorporated		
		.00000	27-1193028				Coalition for Advanced Pharmacy Services, Inc.	DE	NIA	OptumRx PBM of Maryland, LLC	Ownership	5.341	UnitedHealth Group Incorporated		
		.00000	27-1193028				Coalition for Advanced Pharmacy Services, Inc.	DE	NIA	Optum Hospice Pharmacy Services, LLC	Ownership	1.277	UnitedHealth Group Incorporated		
		.00000	27-1193028				Coalition for Advanced Pharmacy Services, Inc.	DE	NIA	OptumRx Home Delivery of Ohio, LLC	Ownership	0.116	UnitedHealth Group Incorporated		
		.00000	98-1276040				COI - Clínicas Oncológicas Integradas S.A.	BRA	NIA	COI Participações S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1275801				COI Participações S.A.	BRA	NIA	Esho - Empresa de Serviços Hospitalares S.A.	Ownership	89.102	UnitedHealth Group Incorporated		2
		.00000	27-2337616				Collaborative Care Holdings, LLC	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-2337487				Collaborative Care Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-2614005				Collaborative Realty, LLC	NY	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	84-1160450				Colmedica Medicina Prepagada	COL	NIA	Banmedica S.A.	Ownership	76.270	UnitedHealth Group Incorporated		2
		.00000	11-3647007				Colorado Springs Surgery Center, Ltd.	CO	NIA	SCA-Colorado Springs, LLC	Ownership	95.000	UnitedHealth Group Incorporated		2
		.00000	06-1592173				Comfort Care Transportation, LLC	TX	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	06-1592173				Connecticut Surgery Center, Limited Partnership	CT	NIA	Connecticut Surgical Center, LLC	Ownership	51.000	UnitedHealth Group Incorporated		2
		.00000	26-1313646				Connecticut Surgery Properties, LLC	DE	NIA	Connecticut Surgical Center, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	06-1156342				Connecticut Surgical Center, LLC	DE	NIA	Surgical Care Affiliates, LLC	Ownership	73.039	UnitedHealth Group Incorporated		2
		.00000	98-1448720				Constructora e Inmobiliaria Magapoq S.A.	CHL	NIA	Banmedica S.A.	Ownership	99.900	UnitedHealth Group Incorporated		
		.00000	98-1448720				Constructora e Inmobiliaria Magapoq S.A.	CHL	NIA	Inmobiliaria Apoquindo 3600 Ltda.	Ownership	0.100	UnitedHealth Group Incorporated		
		.00000	20-0231080				Consumer Wellness Solutions, Inc.	DE	NIA	Optum Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-2595593				Corpus Christi Endoscopy Center, L.L.P.	TX	NIA	SCA Pacific Holdings, Inc.	Influence	18.500	UnitedHealth Group Incorporated		5
		.00000	26-0080565				Country Scan Ltda.	COL	NIA	Patrimonio Autónomo Nueva Clínica - PANC	Ownership	51.800	UnitedHealth Group Incorporated		2
		.00000	63-1263704				Cypress Care, Inc.	DE	NIA	Healthcare Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	52-1811176				Danbury Surgical Center, L.P.	GA	NIA	SCA Danbury Surgical Center, LLC	Ownership	50.419	UnitedHealth Group Incorporated		2
		.00000	52-1811176				Day-Op Surgery Consulting Company, LLC	DE	NIA	ProHEALTH Medical Management, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	52-1811176				DBP Services of New York IPA, Inc.	NY	NIA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	52-1452809				Dental Benefit Providers of California, Inc.	CA	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.52053	36-4008355				Dental Benefit Providers of Illinois, Inc.	IL	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	41-2014834				Dental Benefit Providers, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-0926556				Denton Surgery Center, LLC	TX	NIA	THR-SCA Holdings, LLC	Influence	63.995	UnitedHealth Group Incorporated		5
		.00000	82-1436601				Derry Surgical Center, LLC	NH	NIA	SCA-Derry, LLC	Ownership	71.000	UnitedHealth Group Incorporated		2
		.00000	98-1460401				Diagnóstico Ecotomográfico Centromed Ltda.	CHL	NIA	Administradora Médica Centromed S.A.	Ownership	50.000	UnitedHealth Group Incorporated		2
		.00000	98-1460347				Dianóstico por Imágenes Centromed Ltda.	CHL	NIA	Administradora Médica Centromed S.A.	Ownership	93.340	UnitedHealth Group Incorporated		
		.00000	98-1460347				Dianóstico por Imágenes Centromed Ltda.	CHL	NIA	Centromed Quilpué S.A.	Ownership	6.660	UnitedHealth Group Incorporated		
		.00000	33-0292435				Digestive Disease Center, L.P.	CA	NIA	Beach Surgical Holdings LLC	Influence	51.600	UnitedHealth Group Incorporated		5
		.00000	98-1285762				Dilab Medicina Nuclear Ltda.	BRA	NIA	Esho - Empresa de Serviços Hospitalares S.A.	Ownership	95.000	UnitedHealth Group Incorporated		2
		.00000	30-0238641				Distance Learning Network, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	82-5264853				Doctor + S.A.C.	PER	NIA	Pacifico S.A. Entidad Prestadora de Salud	Ownership	99.900	UnitedHealth Group Incorporated		1
		.00000	82-5181311				Dry Creek Surgery Center, LLC	CO	NIA	SCA-DRY CREEK, LLC	Ownership	55.000	UnitedHealth Group Incorporated		2
		.00000	27-2103713				DTC Surgery Center, LLC	CO	NIA	SCA-DTC Holdings, LLC	Ownership	55.000	UnitedHealth Group Incorporated		2
		.00000	59-3625966				Dublin Surgery Center, LLC	OH	NIA	SCA-Dublin, LLC	Ownership	53.657	UnitedHealth Group Incorporated		2
		.00000	59-3625966				Duncan Printing Services, LLC	SC	NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	59-3705426				DWIC of Tampa Bay, Inc.	FL	NIA	MedExpress Development, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	95-4660712				E Street Endoscopy, LLC	FL	NIA	West Coast Endoscopy Holdings, LLC	Ownership	51.000	UnitedHealth Group Incorporated		2
		.00000	02-0593133				Ear Professionals International Corporation	DE	NIA	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	02-0593133				East Brunswick Surgery Center, LLC	NJ	NIA	ASC Holdings of New Jersey, LLC	Ownership	51.000	UnitedHealth Group Incorporated		2

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ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
		.0000	71-0923682				eCode Solutions, LLC	DE	NIA	Surgical Care Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	84-1162764				Electronic Network Systems, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1339173				Elual Participações S.A.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	60.000	UnitedHealth Group Incorporated		
		.0000	98-1339173				Elual Participações S.A.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	40.000	UnitedHealth Group Incorporated		
		.0000					Empire Physician Management Company, LLC	CA	NIA	North American Medical Management	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	33-0181426				Empire Physicians' Medical Group, Inc.	CA	NIA	California, Inc.	Influence	100.000	UnitedHealth Group Incorporated		5
		.0000					Empremédica S. A.	PER	NIA	NAMM Medical Group Holdings, Inc.	Ownership	99.990	UnitedHealth Group Incorporated		1
		.0000	47-3495605				Endoscopy Center Affiliates, Inc.	DE	NIA	Banmedica S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000					EP Campus I, LLC	DE	NIA	National Surgery Centers, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000					Esho – Empresa de Serviços Hospitalares S.A.	BRA	NIA	UnitedHealthcare Insurance Company	Ownership	99.667	UnitedHealth Group Incorporated		2
		.0000	98-1122399				Etho – Empresa de Tecnologia Hospitalar Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	90.060	UnitedHealth Group Incorporated		2
		.0000	86-0964571				Evercare Collaborative Solutions, Inc.	DE	NIA	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1111239				Excellion Serviços Biomédicos Ltda.	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	99.990	UnitedHealth Group Incorporated		2
		.0000	98-1111239				Excellion Serviços Biomédicos Ltda.	BRA	NIA	Cemed Care – Empresa de Atendimento Clínico Geral Ltda.	Ownership	0.000	UnitedHealth Group Incorporated		2
		.0000	11-3669765				Executive Health Resources, Inc.	PA	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	30-0701899				Executive Surgery Center, LLC	TX	NIA	SCA-Houston Executive, LLC	Ownership	54.090	UnitedHealth Group Incorporated		2
		.0000	98-1259260				Exploration for Mine Clearance LLC	JRO	NIA	UnitedHealthcare Global Medical (UK) Limited	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	88-0223385				Family Health Care Services	NV	NIA	Limited	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	88-0257036				Family Home Hospice, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	56-1754482				Fayetteville Ambulatory Surgery Center, L.P.	NC	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	62-1551098				Florence Surgery Center, L.P.	TN	NIA	NSC Fayetteville, LLC	Influence	46.244	UnitedHealth Group Incorporated		5
		.0000	32-0432993				Florida MedTrans Network LLC	FL	NIA	SCA-Florence, LLC	Influence	30.000	UnitedHealth Group Incorporated		5
		.0000	36-4778512				Florida MedTrans Network MSO LLC	FL	NIA	Florida MedTrans Network MSO LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	35-2456267				FMG Holdings, LLC	DE	NIA	National MedTrans, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	35-2456267				FMG Holdings, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	98.710	UnitedHealth Group Incorporated		
		.0000	86-0908902				For Health of Arizona, Inc.	AZ	NIA	Hygeia Corporation	Ownership	1.290	UnitedHealth Group Incorporated		
		.0000	33-0766617				For Health, Inc.	DE	NIA	For Health, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	68-0088542				Fort Sutter Medical Building, a California Limited Partnership	CA	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	77-0368346				Fort Worth Endoscopy Centers, LLC	TX	NIA	Surgery Centers-West Holdings, LLC	Influence	4.500	UnitedHealth Group Incorporated		5
		.0000	27-0695411				Fortify Technologies, LLC	MN	NIA	THR-SCA Holdings, LLC	Influence	51.000	UnitedHealth Group Incorporated		5
		.0000	20-3412606				Franklin Surgical Center, LLC	NJ	NIA	Savvysherpa, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	82-3453993				Freeway Surgicenter of Houston, LLC	TX	NIA	SCA-Franklin, LLC	Ownership	54.290	UnitedHealth Group Incorporated		2
		.0000	98-1172769				Frontier Medex Tanzania Limited	TZA	NIA	SCA-Freeway Holdings, LLC	Ownership	59.320	UnitedHealth Group Incorporated		2
		.0000	68-0679514				FrontierMEDEX (RMS), Inc.	DE	NIA	UnitedHealthcare Global Medical (UK) Limited	Ownership	99.000	UnitedHealth Group Incorporated		2
		.0000	45-5339512				FrontierMEDEX Government Services, LLC	DE	NIA	UnitedHealthcare Global Medical (UK) Limited	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1147103				FrontierMEDEX Kenya Limited	KEN	NIA	UnitedHealthcare Global Medical (UK) Limited	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1147103				FrontierMEDEX Kenya Limited	KEN	NIA	UnitedHealthcare International I B.V.	Ownership	99.900	UnitedHealth Group Incorporated		
		.0000	98-1101521				FrontierMEDEX Limited	JRO	NIA	UnitedHealthcare Global Medical (UK) Limited	Ownership	0.100	UnitedHealth Group Incorporated		
		.0000	33-1219808				FrontierMEDEX US, Inc.	DE	NIA	UnitedHealthcare Global Medical (UK) Limited	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	52-2230470				FrontierMEDEX, Inc.	MN	NIA	FMG Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000					Fundación Banmedica	CHL	NIA	FrontierMEDEX US, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000								Banmedica S.A.	Ownership	100.000	UnitedHealth Group Incorporated		

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
		.00000	63-0833765				Gadsden Surgery Center, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	62-1488653				Gadsden Surgery Center, Ltd.	AL	NIA	Gadsden Surgery Center, LLC	Ownership	58.500	UnitedHealth Group Incorporated		2
		.00000	62-1600268				Gainesville Surgery Center, L.P.	TN	NIA	SCA-Northeast Georgia Health, LLC	Ownership	87.000	UnitedHealth Group Incorporated		2
		.00000					Gainesville Surgery Properties, LLC	DE	NIA	Gainesville Surgery Center, L.P.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-1615328				Geisinger-SCA Holdings, LLC	DE	NIA	SCA Pennsylvania Holdings, LLC	Influence	49.000	UnitedHealth Group Incorporated		5
		.00000	27-0556097				Genoa Healthcare LLC	PA	NIA	Specialized Pharmaceuticals, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-3851949				Genoa Healthcare, Inc.	DE	NIA	OptumRx Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-5009764				Genoa of Arkansas, LLC	AR	NIA	Genoa Healthcare LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Genoa Technology (Canada) Inc.	CAN	NIA	Genoa Technology, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	38-4034308				Genoa Technology, Inc.	DE	NIA	Genoa Healthcare LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-3010132				Genoa Telepsychiatry, Inc.	DE	NIA	Genoa Healthcare LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	81-4703295				Genoa, QoL Wholesale, LLC	DE	NIA	Genoa Healthcare LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	37-0920164				getHealthinsurance.com Agency Inc.	IN	NIA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	65-1025514				Gladiolus Surgery Center, LLC	FL	NIA	SCA-Gladiolus, LLC	Influence	45.981	UnitedHealth Group Incorporated		5
		.00000	47-3495605				GLBESC, LLC	DE	NIA	Beach Surgical Holdings LLC	Influence	51.280	UnitedHealth Group Incorporated		5
		.00000	33-0595220				Glenwood Surgical Center, L.P.	CA	NIA	Glenwood-SC, Inc.	Ownership	45.070	UnitedHealth Group Incorporated		2
		.00000	62-1601450				Glenwood-SC, Inc.	TN	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1260839				GLGC Medical Ltd.	CAN	NIA	UnitedHealthcare Global Canada Limited	Influence	49.000	UnitedHealth Group Incorporated		5
		.00000	20-3420886				Golden Outlook, Inc.	CA	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	37-0855360				Golden Rule Financial Corporation	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.62286	37-6028756	3057283			Golden Rule Insurance Company	IN	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	33-0529450				Golden Triangle Surgicenter, L.P.	CA	NIA	Surgery Centers-West Holdings, LLC	Ownership	72.000	UnitedHealth Group Incorporated		2
		.00000	52-1597483				Grandview Surgery Center, LTD.	PA	NIA	Camp Hill Ambulatory Centers	Influence	41.993	UnitedHealth Group Incorporated		5
		.00000	93-1237063				GRANTS PASS SURGERY CENTER, LLC	OR	NIA	SCA-GRANTS PASS, LLC	Ownership	51.000	UnitedHealth Group Incorporated		2
		.00000	81-4648930				Greater New Haven ASC, LLC	CT	NIA	SCA-Handen, LLC	Influence	20.830	UnitedHealth Group Incorporated		5
		.00000	36-4053840				Greensboro Specialty Surgery Center, LLC	NC	NIA	NSC Greensboro West, LLC	Influence	50.100	UnitedHealth Group Incorporated		5
		.00000	74-2411643				Greenville Surgery Center, LLC	TX	NIA	THR-SCA Holdings, LLC	Influence	57.500	UnitedHealth Group Incorporated		5
		.00000	33-0749339				Grossmont Surgery Center, L.P.	CA	NIA	Medical Surgical Centers of America, Inc.	Influence	33.280	UnitedHealth Group Incorporated		5
		.00000	33-0749339				Grossmont Surgery Center, L.P.	CA	NIA	SunSurgery, LLC	Influence	1.000	UnitedHealth Group Incorporated		5
		.00000	01-0619096				Grove Place Surgery Center, L.L.C.	FL	NIA	SCA-Grove Place, LLC	Ownership	52.580	UnitedHealth Group Incorporated		2
		.00000	98-0213198				H&W Indemnity (SPC), Ltd.	CYM	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-1227494				H.I. Investments Holding Company, LLC	DE	NIA	Health Inventures, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.79480	35-1279304				Harken Health Insurance Company	WI	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	58-2065044				Hawthorn Place Outpatient Surgery Center, L.P.	GA	NIA	SHC Hawthorn, Inc.	Influence	38.000	UnitedHealth Group Incorporated		5
		.00000	27-4579547				Hays Surgery Center, LLC	TX	NIA	SCA Hays Holdings, LLC	Influence	27.270	UnitedHealth Group Incorporated		5
		.00000					hCentive, Inc.	DE	NIA	Optum Government Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-5944768				Health Inventures Employment Solutions, LLC	DE	NIA	Health Inventures, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	04-3723090				Health Inventures, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-0153069				Health Net Services (Cayman) PIC	CYM	NIA	H&W Indemnity (SPC), Ltd.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.96342	88-0201035				Health Plan of Nevada, Inc.	NV	IA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	95-4763349				HealthAllies, Inc.	DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	77-0693060				Healthcare Solutions, Inc.	DE	NIA	OptumRx Administrative Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-3349887				HealthEast Surgery Center-Maplewood, LLC	MN	NIA	H.I. Investments Holding Company, LLC	Influence	25.962	UnitedHealth Group Incorporated		5
		.00000					HealthFirst IPA, Inc.	CO	NIA	New West Physicians, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1443161				Help S.A.	CHL	NIA	Banmédica S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Help Service S.A.	CHL	NIA	Banmédica S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Hemonefro – Hemodiálise e Nefrologia Ltda	BRA	NIA	Esho – Empresa de Serviços Hospitalares S.A.	Influence	28.500	UnitedHealth Group Incorporated		5
		.00000	46-5291602				HFHS-SCA Holdings, LLC	MI	NIA	SC Affiliates, LLC	Influence	49.000	UnitedHealth Group Incorporated		5
		.00000	84-1472832				Highlands Ranch Healthcare, LLC	CO	NIA	Urgent Care MSO, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1452260				Home Medical S.A.	CHL	NIA	Help S.A.	Ownership	99.998	UnitedHealth Group Incorporated		
		.00000	98-1452260				Home Medical S.A.	CHL	NIA	Saden S.A.	Ownership	0.002	UnitedHealth Group Incorporated		
		.00000	20-8910978				Hospice Inspiris Holdings, Inc.	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		

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ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		.0000	98-1286038				Hospitais Associados de Pernambuco Ltda.	.BRA	.NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000					Hospital Alvorada de Taguatinga Ltda.	.BRA	.NIA	Amil Assistência Médica Internacional S.A.	Ownership	82.595	UnitedHealth Group Incorporated		2
		.0000					Hospital Alvorada de Taguatinga Ltda.	.BRA	.NIA	Bosque Medical Center Ltda.	Ownership	9.310	UnitedHealth Group Incorporated		2
		.0000					Hospital Alvorada de Taguatinga Ltda.	.BRA	.NIA	Hospital Samaritano de São Paulo Ltda.	Ownership	8.094	UnitedHealth Group Incorporated		2
		.0000	98-1389272				Hospital Ana Costa S.A.	.BRA	.NIA	Plano de Saúde Ana Costa Ltda.	Ownership	98.670	UnitedHealth Group Incorporated		2
		.0000	98-1389272				Hospital Ana Costa S.A.	.BRA	.NIA	Hospital Alvorada de Taguatinga Ltda.	Ownership	1.042	UnitedHealth Group Incorporated		2
		.0000	98-1310065				Hospital de Clínicas de Jacarepaguá Ltda.	.BRA	.NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1310065				Hospital de Clínicas de Jacarepaguá Ltda.	.BRA	.NIA	Cemed Care – Empresa de Atendimento Clínico Geral Ltda.	Ownership	0.000	UnitedHealth Group Incorporated		
		.0000	98-1328324				Hospital Samaritano de São Paulo Ltda.	.BRA	.NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	99.990	UnitedHealth Group Incorporated		2
		.0000	98-1328324				Hospital Samaritano de São Paulo Ltda.	.BRA	.NIA	Amico Saúde Ltda.	Ownership	0.000	UnitedHealth Group Incorporated		2
		.0000	98-1332673				Hospital Santa Helena S.A.	.BRA	.NIA	Elual Participações S.A.	Ownership	65.210	UnitedHealth Group Incorporated		2
		.0000	98-1332673				Hospital Santa Helena S.A.	.BRA	.NIA	Esho – Empresa de Serviços Hospitalares S.A.	Ownership	33.600	UnitedHealth Group Incorporated		2
		.0000	26-2912304				Humedica, Inc.	.DE	.NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	36-4331825				Hygeia Corporation	.DE	.NIA	UnitedHealth International, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1106075				Hygeia Corporation (Ontario)	.CAN	.NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	45-4148032				IEC Holdings, LLC	.IN	.NIA	SCA IEC Holdings, LLC	Influence	49.000	UnitedHealth Group Incorporated		5
		.0000	82-1341098				Illinois Independent Care Network	.DE	.NIA	Surgical Care Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1122694				Imed Star – Serviços de Desempenho Organizacional Ltda.	.BRA	.NIA	Optum Health & Technology Serviços do Brasil Ltda.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1122694				Imed Star – Serviços de Desempenho Organizacional Ltda.	.BRA	.NIA	UHG Brasil Participações S.A.	Ownership	0.000	UnitedHealth Group Incorporated		
		.0000	20-4351923				Impel Consulting Experts, L.L.C.	.TX	.NIA	Impel Management Services, L.L.C.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	75-2574317				Impel Management Services, L.L.C.	.TX	.NIA	USMD Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	26-0711388				Indian River Surgery Properties, LLC	.FL	.NIA	Surgery Center of Vero Beach, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	45-4132005				Indiana Care Organization, LLC	.IN	.NIA	AHN Target Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	62-1641102				Ingram & Associates, LLC	.TN	.NIA	Optum360, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	33-0018673				Inland Surgery Center, L.P.	.CA	.NIA	Redlands Ambulatory Surgery Center	Influence	51.000	UnitedHealth Group Incorporated		5
		.0000					Imobiliária Apoquindo 3001 S.A.	.CHL	.NIA	Imobiliária Apoquindo S.A.	Ownership	99.999	UnitedHealth Group Incorporated		
		.0000					Imobiliária Apoquindo 3001 S.A.	.CHL	.NIA	Vida Tres Internacional S.A.	Ownership	0.001	UnitedHealth Group Incorporated		
		.0000	98-1458683				Imobiliária Apoquindo 3600 Ltda.	.CHL	.NIA	Banmedica S.A.	Ownership	99.700	UnitedHealth Group Incorporated		
		.0000	98-1458683				Imobiliária Apoquindo 3600 Ltda.	.CHL	.NIA	Imobiliária Apoquindo 3001 S.A.	Ownership	0.300	UnitedHealth Group Incorporated		
		.0000	98-1443174				Imobiliária Apoquindo S.A.	.CHL	.NIA	Banmedica S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1458682				Imobiliária Clínica Santa María S.A.	.CHL	.NIA	Banmedica S.A.	Ownership	99.433	UnitedHealth Group Incorporated		2
		.0000	98-1454653				Imobiliária e Inversiones Alameda S.A.	.CHL	.NIA	Banmedica S.A.	Ownership	99.980	UnitedHealth Group Incorporated		
		.0000	98-1454653				Imobiliária e Inversiones Alameda S.A.	.CHL	.NIA	Saden S.A.	Ownership	0.020	UnitedHealth Group Incorporated		
		.0000	98-1460922				Imobiliária Viñamed Ltda.	.CHL	.NIA	Administradora Médica Centromed S.A.	Ownership	99.000	UnitedHealth Group Incorporated		2
		.0000	47-5640889				INOVB Surgical at Memorial City, LLC	.TX	.NIA	Memorial City Partners, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	88-0482274				inPharmative, Inc.	.NV	.NIA	OptumRx Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	13-4138668				INSPIRIS of New York IPA, Inc.	.NY	.NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	13-4138665				INSPIRIS of New York Management, Inc.	.NY	.NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	26-2885572				INSPIRIS of Texas Physician Group	.TX	.NIA	Optum Care Services Company	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	33-0766366				Inspiris, Inc.	.DE	.NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1452273				Inversiones Clínicas Santa María S.A.	.CHL	.NIA	Banmedica S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1448096				Isapre Banmedica S.A.	.CHL	.IA	Banmedica S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000					Isapre Vida Tres S.A.	.CHL	.IA	Banmedica S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	20-5337793				Johnston Surgicare, L.P.	.RI	.NIA	Blackstone Valley Surgicare GP, LLC	Ownership	99.000	UnitedHealth Group Incorporated		
		.0000	20-5337793				Johnston Surgicare, L.P.	.RI	.NIA	Surgery Center Holding, LLC	Ownership	1.000	UnitedHealth Group Incorporated		
		.0000	74-2544709				Joliet Surgery Center Limited Partnership	.IL	.NIA	Surgicare of Joliet, Inc.	Ownership	54.030	UnitedHealth Group Incorporated		2

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
		.0000	98-1456202				Laboratorio ROE S.A.	.PER	NIA	Pacifico S.A. Entidad Prestadora de Salud	Ownership	99.990	UnitedHealth Group Incorporated		.1
		.0000					Laboratorios Médicos Amed Quilpué S.A.	.CHL	NIA	Centromed Quilpué S.A.	Ownership	99.900	UnitedHealth Group Incorporated		.2
		.0000	61-1028180				Lexington Surgery Center, Ltd.	.KY	NIA	Surgery Center of Lexington, LLC	Influence	73.000	UnitedHealth Group Incorporated		.5
		.0000	36-3468942				LGH-A/GOLF ASTC, L.L.C.	.OH	NIA	Advocate-SCA Partners, LLC	Influence	36.950	UnitedHealth Group Incorporated		.5
		.0000	81-4465348				Liberty Anesthesia Services, LLC	.IL	NIA	Winchester Endoscopy, LLC	Ownership	51.000	UnitedHealth Group Incorporated		.2
							Lifeprint Accountable Care Organization, LLC								
		.0000	32-0409538					.DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	45-3143218				Lifeprint East, Inc.	.DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	27-2309024				LifePrint Health, Inc.	.DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	39-1974851				Logistics Health, Inc.	.WI	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
							Lotten-Eyes Oftalmologia Clinica e Cirurgica Ltda.								
		.0000	98-1337963				Lotten-Eyes Oftalmologia Clinica e Cirurgica Ltda.	.BRA	NIA	Hospital Alvorada de Taguatinga Ltda.	Ownership	99.990	UnitedHealth Group Incorporated		.2
							Lotten-Eyes Oftalmologia Clinica e Cirurgica Ltda.								
		.0000	98-1337963				Lotten-Eyes Oftalmologia Clinica e Cirurgica Ltda.	.BRA	NIA	S.A. - Empresa de Serviços Hospitalares	Ownership	0.000	UnitedHealth Group Incorporated		.2
		.0000	62-1179566				Louisville S.C., Ltd.	.KY	NIA	Surgery Center of Louisville, LLC	Ownership	65.400	UnitedHealth Group Incorporated		.2
		.0000	62-1179538				Louisville-SC Properties, Inc.	.KY	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
							Loyola Ambulatory Surgery Center at Oakbrook, Inc.								
		.0000	36-4119519				Loyola Ambulatory Surgery Center at Oakbrook, Inc.	.IL	NIA	ASC Network, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
							Loyola Ambulatory Surgery Center at Oakbrook, L.P.								
		.0000	36-4119522				Loyola Ambulatory Surgery Center at Oakbrook, L.P.	.IL	NIA	Loyola Ambulatory Surgery Center at Oakbrook, Inc.	Influence	45.000	UnitedHealth Group Incorporated		.5
		.0000	98-1137620				Lusiadas - Parcerias Cascais, S.A.	.PRT	NIA	Lusiadas, SGPS, S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1139095				Lusiadas A.C.E.	.PRT	NIA	Lusiadas, SGPS, S.A.	Ownership	55.000	UnitedHealth Group Incorporated		
		.0000	98-1139095				Lusiadas A.C.E.	.PRT	NIA	Lusiadas, S.A.	Ownership	20.000	UnitedHealth Group Incorporated		
		.0000	98-1139095				Lusiadas A.C.E.	.PRT	NIA	CLISA - Clínica de Santo António, S.A.	Ownership	10.000	UnitedHealth Group Incorporated		
		.0000	98-1139095				Lusiadas A.C.E.	.PRT	NIA	Lusiadas - Parcerias Cascais, S.A.	Ownership	10.000	UnitedHealth Group Incorporated		
							Lusiadas A.C.E.								
		.0000	98-1139095				Lusiadas A.C.E.	.PRT	NIA	S.A. - Clínica Médica Cirúrgica de Santa Tecla, S.A.	Ownership	5.000	UnitedHealth Group Incorporated		
		.0000	98-1139089				Lusiadas, S.A.	.PRT	NIA	Lusiadas, SGPS, S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1138570				Lusiadas, SGPS, S.A.	.PRT	NIA	AMIL International	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	52-2129787				MANSI Insurance Resources, LLC	.MD	NIA	OneNet PPO, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.60321	52-1803283				MANSI Life and Health Insurance Company	.MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	14-1782475				Managed Physical Network, Inc.	.NY	NIA	OptumHealth Care Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	20-2880404				March Holdings, Inc.	.CA	NIA	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	20-3042852				March Vision Care, Inc.	.CA	NIA	March Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	26-2637247				Marin Specialty Surgery Center, LLC	.CA	NIA	MGH/SCA, LLC	Influence	51.000	UnitedHealth Group Incorporated		.5
		.0000	26-2601943				Marin Surgery Holdings, Inc.	.DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	52-1456812				Maryland Ambulatory Centers	.MD	NIA	Maryland-SCA Centers, LLC	Ownership	50.000	UnitedHealth Group Incorporated		.2
		.0000	52-1401791				Maryland-SCA Centers, LLC	.DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000					Massachusetts Assurace Co., Ltd.	.CVM	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	20-0815305				Massachusetts Avenue Surgery Center, LLC	.MD	NIA	SCA-Bethesda, LLC	Ownership	56.690	UnitedHealth Group Incorporated		.2
		.0000	62-1600267				McKenzie Surgery Center, L.P.	.TN	NIA	SCA-Eugene, Inc.	Ownership	92.000	UnitedHealth Group Incorporated		.2
							MD Ops, Inc.	.CA	NIA	North American Medical Management California, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.96310	52-1169135				MD-Individual Practice Association, Inc.	.MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	83-1183650				ME AHS UC LLC	.DE	NIA	Urgent Care MSO, LLC	Ownership	70.000	UnitedHealth Group Incorporated		.2
							Medalliance Net Ltda.								
		.0000	98-1286220				Medalliance Net Ltda.	.BRA	NIA	Optum Health & Technology Serviços do Brasil Ltda.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1286220				Medalliance Net Ltda.	.BRA	NIA	UHG Brasil Participações S.A.	Ownership	0.000	UnitedHealth Group Incorporated		
		.0000	52-2178531				MEDEX Insurance Services, Inc.	.MD	NIA	FrontierMEDEX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	20-3824377				MedExpress Development, LLC	.FL	NIA	Urgent Care MSO, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	32-0533926				MedExpress Urgent Care Alabama, LLC	.AL	NIA	Urgent Care Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	82-1719888				MedExpress Urgent Care Maine, Inc.	.ME	NIA	Urgent Care Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	82-0631738				MedExpress Urgent Care New Hampshire, Inc.	.NH	NIA	Urgent Care Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
		.0000	20-2545363				MedExpress Urgent Care of Boynton Beach, LLC	FL	NIA	MedExpress Development, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000					MedExpress Urgent Care, Inc. - Ohio	OH	NIA	Urgent Care Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.12756	20-3391186				Medica Health Plans of Florida, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.12155	01-0788576				Medica HealthCare Plans, Inc.	FL	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Medical Hilfe S.A.	CHL	NIA	Home Medical S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-0636717				Medical Surgical Centers of America, Inc.	DE	NIA	ASC Network, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	32-0037402				Medical Transportation Services, LLC	FL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-4635837				Medication Management Systems, Inc.	MIN	NIA	Genoa Healthcare LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	75-2515691				MedSynergies, LLC	DE	NIA	Mustang Razorback Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	58-2101921				Melbourne Surgery Center, LLC	GA	NIA	Surgical Care Partners of Melbourne, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	82-4964787				Memorial City Holdings, LLC	DE	NIA	SCA-Memorial City, LLC	Ownership	51.000	UnitedHealth Group Incorporated		2
		.00000	82-3689933				Memorial City Partners, LLC	DE	NIA	Memorial City Holdings, LLC	Ownership	51.000	UnitedHealth Group Incorporated		2
		.00000	26-1394069				MemorialCare Surgical Center at Orange Coast, LLC	CA	NIA	Beach Surgical Holdings, LLC	Influence	51.000	UnitedHealth Group Incorporated		5
		.00000	20-3678259				MemorialCare Surgical Center at Saddleback, LLC	CA	NIA	Beach Surgical Holdings, LLC	Influence	53.050	UnitedHealth Group Incorporated		5
		.00000	62-1590322				Memphis-SC, LLC	TN	NIA	SCA-Shelby Development Corp.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	62-1590324				Memphis-SP, LLC	TN	NIA	Shelby Surgery Properties, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	52-2303928				Metropolitan Medical Partners, LLC	MD	NIA	SCA-Chevy Chase, LLC	Ownership	60.830	UnitedHealth Group Incorporated		2
		.00000	20-8998927				Metropolitan Medical Transportation IPA, LLC	NY	NIA	National MedTrans, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	38-3861395				MGH/SCA, LLC	CA	NIA	Marin Surgery Holdings, Inc.	Influence	49.000	UnitedHealth Group Incorporated		5
		.00000	27-2252446				MHC Real Estate Holdings, LLC	CA	NIA	Monarch Management Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	36-4600281				MIAMI SURGERY CENTER, LLC	DE	NIA	SCA-Doral, LLC	Ownership	50.180	UnitedHealth Group Incorporated		2
		.00000	20-3345412				Midlands Orthopaedics Surgery Center, LLC	SC	NIA	SCA-Midlands, LLC	Influence	49.000	UnitedHealth Group Incorporated		5
		.00000	20-8103522				Midwest Center for Day Surgery, LLC	IL	NIA	Advocate-SCA Partners, LLC	Ownership	53.224	UnitedHealth Group Incorporated		2
		.00000	83-0543458				Mile High SurgiCenter, LLC	CO	NIA	SCA-Mile High Holdings, LLC	Ownership	55.000	UnitedHealth Group Incorporated		2
		.00000	27-2439806				Mississippi Surgery Holdings, LLC	DE	NIA	SCA Surgery Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-3949765				MN Waypoint Sports Physical Therapy, Inc.	DE	NIA	Orthology, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	63-0883553				Mobile-SC, LTD.	AL	NIA	SCA-Mobile, LLC	Influence	33.000	UnitedHealth Group Incorporated		5
		.00000	31-1191553				Modern Medical, Inc.	OH	NIA	Healthcare Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-3142852				Monarch Management Services, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	52-1401868				Montgomery Surgery Center Limited Partnership	MD	NIA	Maryland Ambulatory Centers	Ownership	77.000	UnitedHealth Group Incorporated		2
		.00000	81-1633765				MSLA Management LLC	DE	NIA	Logistics Health, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	62-1506649				Mt. Pleasant Surgery Center, L.P.	TN	NIA	SCA-Mt. Pleasant, LLC	Ownership	98.000	UnitedHealth Group Incorporated		2
		.00000	98-1285432				Multiangio Ltda.	BRA	NIA	Esho - Empresa de Serviços Hospitalares S.A.	Ownership	93.000	UnitedHealth Group Incorporated		2
		.00000	20-4209261				Muskogee Surgical Investors, LLC	OK	NIA	Surgery Center of Muskogee, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-1935798				Mustang Razorback Holdings, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-2564744				My Wellness Solutions, LLC	DE	NIA	OptumHealth Care Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-3236839				NAMM Holdings, Inc.	DE	NIA	Aveta Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	62-1468431				Nashville-SCA Surgery Centers, Inc.	TN	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-2336925				National MedTrans, LLC	NY	NIA	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.95251	76-0196559				National Pacific Dental, Inc.	TX	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	36-3549627				National Surgery Centers, LLC	DE	NIA	Surgical Care Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.95123	65-0996107				Neighborhood Health Partnership, Inc.	FL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-4755277				Netwerkes, LLC	TN	NIA	Optum360 Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.95758	88-0228572				Nevada Pacific Dental	NV	IA	Dental Benefit Providers, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	72-1267232				New Orleans Regional Physician Hospital Organization, L.L.C.	LA	NIA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	84-1250135				New West Physicians, Inc.	CO	NIA	Newton Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Newton Holdings, LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	80.100	UnitedHealth Group Incorporated		2

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
		.00000	33-0673955				North American Medical Management California, Inc.	TN	NIA	NAMM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-2248103				North Dallas Surgical Center, LLC	DE	NIA	THR-SCA Holdings, LLC	Influence	51.000	UnitedHealth Group Incorporated		5
		.00000	88-0245121				Northern Nevada Health Network, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	63-1240726				Northern Rockies Surgery Center, L.P.	TN	NIA	Northern Rockies Surgicenter, Inc.	Ownership	51.000	UnitedHealth Group Incorporated		2
		.00000	81-0399251				Northern Rockies Surgicenter, Inc.	MT	NIA	National Surgery Centers, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	37-1007387				Northwest Surgicare, LLC	DE	NIA	Surgery Center Holding, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	75-2494046				Northwest Surgicare, Ltd., an Illinois Limited Partnership	IL	NIA	Northwest Surgicare, LLC	Ownership	61.000	UnitedHealth Group Incorporated		2
		.00000	56-1754480				NSC Fayetteville, LLC	DE	NIA	National Surgery Centers, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	56-1775016				NSC Greensboro, LLC	DE	NIA	National Surgery Centers, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	36-4210296				NSC Lancaster, LLC	DE	NIA	National Surgery Centers, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	91-1553479				NSC Seattle, Inc.	WA	NIA	National Surgery Centers, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	33-0812824				NSC Upland, LLC	DE	NIA	National Surgery Centers, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1454609				Omesa S.A.	CHL	NIA	Vidaintegra S.A.	Ownership	99.990	UnitedHealth Group Incorporated		
		.00000	98-1454609				Omesa S.A.	CHL	NIA	Saden S.A.	Ownership	0.010	UnitedHealth Group Incorporated		
		.00000	98-1456252				Oncocare S.A.C.	PER	NIA	Pacifico S.A. Entidad Prestadora de Salud	Ownership	80.000	UnitedHealth Group Incorporated		2
		.00000	52-2129786				OneNet PPO, LLC	MD	NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-1914835				OPHTHALMOLOGY SURGERY CENTER OF DALLAS, LLC	TX	NIA	THR-SCA Holdings, LLC	Influence	51.000	UnitedHealth Group Incorporated		5
.0707	UnitedHealth Group Incorporated	96940	52-1518174	3202702			Optimum Choice, Inc.	MD	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-0858534				Optum Bank, Inc.	UT	NIA	OptumHealth Financial Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	36-3437660				Optum Biometrics, Inc.	IL	NIA	OptumHealth Care Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-0683057				Optum Care Services Company	TN	NIA	Inspiris, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	83-1959511				Optum Care, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	37-1782217				Optum Clinics Holdings, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	38-3969193				Optum Clinics Intermediate Holdings, Inc.	DE	NIA	Optum Clinics Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Optum Digital Health Holdings, LLC	DE	NIA	Consumer Wellness Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1325466				Optum Finance (Ireland) Unlimited Company	IRL	NIA	UnitedHealthcare International VIII S.a r.l.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1103015				Optum Global Solutions (India) Private Limited	IND	NIA	Optum Global Solutions International B.V.	Ownership	99.999	UnitedHealth Group Incorporated		
		.00000	98-1103015				Optum Global Solutions (India) Private Limited	IND	NIA	UnitedHealth International, Inc.	Ownership	0.001	UnitedHealth Group Incorporated		
		.00000	98-1097776				Optum Global Solutions (Philippines), Inc.	PHL	NIA	Optum Global Solutions International B.V.	Ownership	99.992	UnitedHealth Group Incorporated		2
		.00000	98-1201187				Optum Global Solutions International B.V.	NLD	NIA	Optum Technology, Inc.	Ownership	97.480	UnitedHealth Group Incorporated		
		.00000	98-1201187				Optum Global Solutions International B.V.	NLD	NIA	OptumHealth International B.V.	Ownership	2.520	UnitedHealth Group Incorporated		
		.00000	04-3574101				Optum Government Solutions, Inc.	DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Optum Health & Technology (Hong Kong) Limited	CHN	NIA	OptumHealth International B.V.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1095799				Optum Health & Technology (India) Private Limited	IND	NIA	OptumHealth International B.V.	Ownership	99.999	UnitedHealth Group Incorporated		
		.00000	98-1095799				Optum Health & Technology (India) Private Limited	IND	NIA	United Behavioral Health	Ownership	0.001	UnitedHealth Group Incorporated		
		.00000	98-1097886				Optum Health & Technology (Singapore) Pte. Ltd.	SGP	NIA	OptumHealth International B.V.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1095879				Optum Health & Technology (UK) Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-2149493				Optum Health & Technology (US), LLC	MO	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	43-1747235				Optum Health & Technology Holdings (US), Inc.	MO	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1184561				Optum Health & Technology Serviços do Brasil Ltda.	BRA	NIA	Optum Global Solutions International B.V.	Ownership	99.996	UnitedHealth Group Incorporated		
		.00000	98-1184561				Optum Health & Technology Serviços do Brasil Ltda.	BRA	NIA	OptumInsight, Inc.	Ownership	0.004	UnitedHealth Group Incorporated		
		.00000	98-1276517				Optum Health and Technology FZ-LLC	ARE	NIA	Optum Global Solutions International B.V.	Ownership	100.000	UnitedHealth Group Incorporated		

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
		.00000	98-1097921				Optum Health Services (Canada) Ltd.	.CAN	NIA	Optum Health & Technology Holdings (US), Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1406274				Optum Health Solutions (Australia) Pty Ltd	.AUS	NIA	Optum UK Solutions Group Limited	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1147355				Optum Health Solutions (UK) Limited	.GBR	NIA	Optum UK Solutions Group Limited	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	58-2068880				Optum Healthcare of Illinois, Inc.	.GA	NIA	Optum Women's and Children's Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-0212381				Optum Hospice Pharmacy Services, LLC	.DE	NIA	OptumRx Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.69647	31-0628424				Optum Insurance of Ohio, Inc.	.OH	.IA	OptumRx PBM of Maryland, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-4734521				Optum Labs Dimensions, Inc.	.DE	NIA	Optum Labs, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1249178				Optum Labs International (UK) Ltd.	.GBR	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-1615964				Optum Labs, Inc.	.DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1209730				Optum Life Sciences (Canada) Inc.	.CAN	NIA	OptumInsight Life Sciences, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1098190				Optum Management Consulting (Shanghai) Co., Ltd.	.CHN	NIA	Optum Health & Technology Holdings (US), Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	90-1001805				Optum Nevada Accountable Care Organization LLC	.DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	58-1873062				Optum of New York, Inc.	.NY	NIA	Optum Women's and Children's Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1097761				Optum Operations (Ireland) Unlimited Company	.IRL	NIA	UnitedHealthcare International VII S.à r.l.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-8911466				Optum Palliative and Hospice Care of Pennsylvania, Inc.	.TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-8911303				Optum Palliative and Hospice Care of Texas, Inc.	.TN	NIA	Hospice Inspiris Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	30-0226127				Optum Palliative and Hospice Care, Inc.	.DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-4581265				Optum Public Sector Solutions, Inc.	.DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-3328009				Optum Rocket, Inc.	.DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-0543382				Optum Senior Services, LLC	.AL	NIA	Optum Hospice Pharmacy Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1307821				Optum Services (Ireland) Limited	.IRL	NIA	Optum Operations (Ireland) Unlimited Company	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	66-0870003				Optum Services (Puerto Rico) LLC	.PR	NIA	UnitedHealthcare International III B.V.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-4683454				Optum Services, Inc.	.DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1284698				Optum Solutions do Brasil – Tecnologia e Serviços de Suporte Ltda.	.BRA	NIA	Optum Global Solutions International B.V.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1284698				Optum Solutions do Brasil – Tecnologia e Serviços de Suporte Ltda.	.BRA	NIA	OptumInsight, Inc.	Ownership	0.000	UnitedHealth Group Incorporated		
		.00000	98-0644599				Optum Solutions UK Holdings Limited	.GBR	NIA	Optum Health & Technology Holdings (US), Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-5713629				Optum Technology, Inc.	.DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1097769				Optum UK Solutions Group Limited	.GBR	NIA	Optum Solutions UK Holdings Limited	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	58-2205984				Optum Women's and Children's Health, LLC	.DE	NIA	My Wellness Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	30-0580620	3119994			Optum, Inc.	.DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-3983926				Optum360 Services, Inc.	.DE	NIA	Optum Rocket, Inc.	Ownership	69.000	UnitedHealth Group Incorporated		2
		.00000	82-3446942				Optum360 Solutions, LLC	.DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-3328307				Optum360, LLC	.DE	NIA	Optum Rocket, Inc.	Ownership	69.000	UnitedHealth Group Incorporated		2
		.00000	41-1591944				OptumHealth Care Solutions, LLC	.DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-0858530				OptumHealth Financial Services, Inc.	.DE	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-1192395				OptumHealth Holdings, LLC	.DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1106868				OptumHealth International B.V.	.NLD	NIA	Catamaran S.à r.l.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	04-3383745				OptumInsight Holdings, LLC	.DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	41-1858498				OptumInsight Life Sciences, Inc.	.DE	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	41-1858498				OptumInsight, Inc.	.DE	NIA	OptumInsight Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	75-2578509				OptumRx Administrative Services, LLC	.TX	NIA	OptumRx Holdings I, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	31-1728846				OptumRx Discount Card Services, LLC	.DE	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-4734235				OptumRx Group Holdings, Inc.	.DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-0668840				OptumRx Health Solutions, LLC	.DE	NIA	OptumRx Administrative Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated		

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
		.00000	80-0870454				OptumRx Holdings I, LLC	DE	NIA	OptumRx Group Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					OptumRx Holdings, LLC	DE	NIA	Optum, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	34-1472211				OptumRx Home Delivery of Ohio, LLC	OH	NIA	OptumRx Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-0218027				OptumRx IPA III, Inc.	NY	NIA	OptumRx PBM of Maryland, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-0151096				OptumRx NY IPA, Inc.	NY	NIA	OptumRx, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-3419292				OptumRx of Pennsylvania, LLC	DE	NIA	OptumRx Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	11-2581812				OptumRx PBM of Illinois, Inc.	DE	NIA	OptumRx Administrative Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	88-0361447				OptumRx PBM of Maryland, LLC	NV	NIA	OptumRx Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	03-0592263				OptumRx PBM of Pennsylvania, LLC	PA	NIA	OptumRx of Pennsylvania, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-1424534				OptumRx PBM of Puerto Rico, LLC	NV	NIA	OptumRx PBM of Maryland, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	38-3693753				OptumRx PBM of Wisconsin, LLC	WI	NIA	OptumRx PBM of Illinois, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	16-1767416				OptumRx PD of Pennsylvania, LLC	PA	NIA	OptumRx of Pennsylvania, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	88-0373347				OptumRx Pharmacy of Nevada, Inc.	NV	NIA	OptumRx Health Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-3146510				OptumRx Pharmacy, Inc.	DE	NIA	OptumRx, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	33-0441200				OptumRx, Inc.	CA	NIA	OptumRx Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	22-3883387				OREGON OUTPATIENT SURGERY CENTER, LLC	OR	NIA	Providence & SCA Off-Campus Holdings, LLC	Influence	51.180	UnitedHealth Group Incorporated		5
		.00000	59-3125869				Orlando Center for Outpatient Surgery, L.P.	GA	NIA	Surgical Health of Orlando, Inc.	Ownership	58.699	UnitedHealth Group Incorporated		2
		.00000	46-2881462				Orthology Mid-Atlantic, Inc.	DE	NIA	Orthology, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-2742615				Orthology, Inc.	DE	NIA	UnitedHealth Group Ventures, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	13-3960641				OrthoNet Holdings, Inc.	DE	NIA	OptumHealth Care Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	13-3818652				OrthoNet LLC	NY	NIA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	13-4025898				OrthoNet New York IPA, Inc.	NY	NIA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.11068	30-0029448				OrthoNet of the Mid-Atlantic, Inc.	DE	IA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-2884306				OrthoNet of the South, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-1581769				OrthoNet Services, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-0221966				OrthoNet West, Inc.	DE	NIA	OrthoNet Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	81-2594417				ORTHOPEDIC SURGERY CENTER OF PALM BEACH COUNTY, LLC	FL	NIA	SCA-Palm Beach, LLC	Influence	20.000	UnitedHealth Group Incorporated		5
		.00000	41-1921007				Ovations, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	06-1587795				Oxford Benefit Management, Inc.	CT	NIA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.78026	22-2797560				Oxford Health Insurance, Inc.	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.96798	06-1181201				Oxford Health Plans (CT), Inc.	CT	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.95506	22-2745725				Oxford Health Plans (NJ), Inc.	NJ	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.95479	06-1181200				Oxford Health Plans (NY), Inc.	NY	IA	Oxford Health Plans LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	52-2443751				Oxford Health Plans LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-0008097				P2P Link, LLC	DE	NIA	SRRS, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Pacifica Labs, Inc.	DE	NIA	Victory Rollover Holdings LLC	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.70785	35-1137395				PacifiCare Life and Health Insurance Company	IN	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.84506	95-2829463				PacifiCare Life Assurance Company	CO	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.95617	94-3267522				PacifiCare of Arizona, Inc.	AZ	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.95434	84-1011378				PacifiCare of Colorado, Inc.	CO	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.95685	86-0875231				PacifiCare of Nevada, Inc.	NV	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1444613				Pacífico S.A. Entidad Prestadora de Salud	PER	NIA	Empremédica S. A.	Ownership	50.000	UnitedHealth Group Incorporated		2
		.00000	62-1595402				Paoli Ambulatory Surgery Center	PA	NIA	SCA-Paoli, LLC	Ownership	51.000	UnitedHealth Group Incorporated		2
		.00000	62-1547618				Paoli Surgery Center, L.P.	TN	NIA	Paoli Ambulatory Surgery Center	Ownership	64.250	UnitedHealth Group Incorporated		2
		.00000	45-1484375				Park Hill Surgery Center, LLC	TX	NIA	THR-SCA Holdings, LLC	Influence	51.000	UnitedHealth Group Incorporated		5
		.00000	20-4127100				Parkway Surgery Center, LLC	DE	NIA	SCA-Hagerstown, LLC	Ownership	63.000	UnitedHealth Group Incorporated		2
		.00000	46-3034062				PASC Real Estate, LLC	CO	NIA	Pueblo Ambulatory Surgery Center, LLC	Influence	25.300	UnitedHealth Group Incorporated		5
		.00000	63-1271644				Pasteur Plaza Surgery Center GP, Inc.	DE	NIA	ASC Network, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Patrimonio Autónomo Nueva Clínica - PANC	COL	NIA	Clínica del Country S.A.	Ownership	65.170	UnitedHealth Group Incorporated		2
		.00000					Patrimonio Autónomo Nueva Clínica - PANC	COL	NIA	Banmedica Internacional SpA	Ownership	9.590	UnitedHealth Group Incorporated		2
		.00000	62-1451147				Payment Resolution Services, LLC	TN	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	75-3265056				PCCCV, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
		.00000	20-5662149				Peoples Health, Inc.	LA	IA	New Orleans Regional Physician Hospital Organization, L.L.C.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Perham Physical Therapy, LTD.	MN	NIA	Orthology, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	58-1978405				Perimeter Center for Outpatient Surgery, L.P.	GA	NIA	SHC Atlanta, LLC	Influence	18.643	UnitedHealth Group Incorporated		5
		.00000	23-2171049				Pharmacy Software Holdco, Inc.	PA	NIA	OptumRx Administrative Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	35-2288416				PHC Subsidiary Holdings, LLC	TX	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	81-2902954				Physician Alliance of the Rockies, LLC	CO	NIA	New West Physicians, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	59-3438026				PHYSICIANS DAY SURGERY CENTER, LLC	FL	NIA	SCA-Naples, LLC	Ownership	51.000	UnitedHealth Group Incorporated		2
.0707	UnitedHealth Group Incorporated	.11494	04-3677255				Physicians Health Choice of Texas, LLC	TX	IA	PHC Subsidiary Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	52-1162824				Physicians Health Plan of Maryland, Inc.	MD	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1388873				Plano de Saúde Ana Costa Ltda.	BRA	IA	Anil Assistência Médica Internacional S.A.	Ownership	74.860	UnitedHealth Group Incorporated		
		.00000	98-1388873				Plano de Saúde Ana Costa Ltda.	BRA	IA	Santos Administração e Participações S.A.	Ownership	25.140	UnitedHealth Group Incorporated		
		.00000	66-0742844				Plus One Health Management Puerto Rico, Inc.	PR	NIA	Plus One Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	13-3613705				Plus One Holdings, Inc.	DE	NIA	OptumHealth Care Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	80-0670247				PMI Acquisition, LLC	DE	NIA	Progressive Enterprises Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-3148744				PMSI Holdings, LLC	DE	NIA	Progressive Enterprises Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	59-3166848				PMSI Settlement Solutions, LLC	FL	NIA	PMSI Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	56-2422696				PMSI, LLC	FL	NIA	PMSI Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1083164				Polar II Fundo de Investimento em Participações Multiestrategia	BRA	NIA	UnitedHealthcare International IV S.á r.l.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-5563848				Polo Holdco, LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	80.100	UnitedHealth Group Incorporated		2
		.00000	46-5415205				POMCO Network, Inc.	NY	NIA	UMR, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	65-0392785				POMCO of Florida Ltd., Inc.	FL	NIA	UMR, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-2975338				POMCO West, Inc.	DE	NIA	POMCO, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	15-0581348				POMCO, Inc.	NY	NIA	UMR, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-0482796				Pomcoplus, LLC	NY	NIA	UMR, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	33-0261822				Pomerado Outpatient Surgical Center, Inc.	CA	NIA	ASC Network, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	33-0752699				Pomerado Outpatient Surgical Center, L.P.	CA	NIA	Pomerado Outpatient Surgical Center, Inc.	Ownership	57.500	UnitedHealth Group Incorporated		2
		.00000	65-0683927				Preferred Care Partners Holding, Corp.	FL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-1845018				Preferred Care Partners Medical Group, Inc.	FL	NIA	Preferred Care Partners Holding, Corp.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.11176	65-0885893				Preferred Care Partners, Inc.	FL	IA	Preferred Care Partners Holding, Corp.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	75-3265059				Premier Choice ACO, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	72-1378216				Premier Surgery Center of Louisville, L.P.	TN	NIA	SCA Premier Surgery Center of Louisville, LLC	Ownership	51.000	UnitedHealth Group Incorporated		2
		.00000	88-0253112				Prime Health, Inc.	NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	33-0607478				PrimeCare Medical Network, Inc.	CA	IA	NAIM Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	87-0757397				PrimeCare of Citrus Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	80.000	UnitedHealth Group Incorporated		2
		.00000	33-0674407				PrimeCare of Corona, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	33-0674401				PrimeCare of Hemet Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	33-0674408				PrimeCare of Inland Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	33-0674402				PrimeCare of Moreno Valley, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	33-0674400				PrimeCare of Redlands, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	33-0674404				PrimeCare of Riverside, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	14-1915328				PrimeCare of San Bernardino, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	33-0698439				PrimeCare of Sun City, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	33-0674409				PrimeCare of Temecula, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	14-1873402				Procura Management, Inc.	DE	NIA	Healthcare Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-4371197				Progressive Enterprises Holdings, Inc.	DE	NIA	OptumRx, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	31-1192384				Progressive Medical, LLC	OH	NIA	PMI Acquisition, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	32-0229091				ProHEALTH Fitness of Lake Success, LLC	NY	NIA	ProHEALTH Medical Management, LLC	Ownership	82.620	UnitedHealth Group Incorporated		2
		.00000	47-1049961				ProHEALTH Medical Management, LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	80.000	UnitedHealth Group Incorporated		2

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
		.00000	45-5470737				ProHealth Physicians ACO, LLC	CT	NIA	ProHealth Physicians, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	06-1446075				ProHealth Physicians, Inc.	CT	NIA	Polo Holdco, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	32-0455430				ProHealth Proton Center Management, LLC	DE	NIA	ProHEALTH Medical Management, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Promotora Country S.A.	COL	NIA	Banmedica S.A.	Ownership	50.000	UnitedHealth Group Incorporated		3
		.00000	22-3493126				Pronounced Health Solutions, Inc.	DE	NIA	My Wellness Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1444638				Prosemedic S.A.C.	PER	NIA	Pacifico S.A. Entidad Prestadora de Salud	Ownership	80.000	UnitedHealth Group Incorporated		1
		.00000	90-0876656				PS Center, LLC	CA	NIA	Beach Surgical Holdings II LLC	Influence	100.000	UnitedHealth Group Incorporated		5
		.00000	62-1488737				Pueblo Ambulatory Surgery Center, LLC	CO	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	93-1068319				Pueblo-SCA Surgery Center, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Pulse Platform, LLC	DE	NIA	Rally Health, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-3901920				QoL Acquisition Holdings Corp.	DE	NIA	Genoa Healthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	52-2016292				Quality Software Services, Inc.	MD	NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	35-2493256				Rally Health, Inc.	DE	NIA	Optum Digital Health Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	80-0947972				Real Appeal, Inc.	DE	NIA	Rally Health, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Recaudación y Cobranzas Honodav Ltda.	CHL	NIA	Clínica Dávila y Servicios Médicos S.A.	Ownership	99.000	UnitedHealth Group Incorporated		
		.00000					Recaudación y Cobranzas Honodav Ltda.	CHL	NIA	Servicios Integrados de Salud Ltda.	Ownership	1.000	UnitedHealth Group Incorporated		
		.00000	94-3115625				Redlands Ambulatory Surgery Center	CA	NIA	Redlands-SCA Surgery Centers, Inc.	Ownership	54.000	UnitedHealth Group Incorporated		2
		.00000	94-3115627				Redlands-SCA Surgery Centers, Inc.	CA	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	82-3745100				Reliant MSO, LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	32-0543788				Renai Health Holdings, Inc.	DE	NIA	UnitedHealth Group Ventures, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	82-3161933				Renai Health IPA, LLC	DE	NIA	Renai Health Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	82-3130872				Renai Health Management, LLC	DE	NIA	Renai Health Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-0758315				River Valley ASC, LLC	CT	NIA	SCA-River Valley, LLC	Ownership	57.540	UnitedHealth Group Incorporated		2
		.00000	32-0500242				Riverside Medical Management, LLC	DE	NIA	ProHEALTH Medical Management, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95482	84-0614905				Rocky Mountain Health Maintenance Organization, Incorporated	CO	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	84-1009248				Rocky Mountain Health Management Corporation	CO	NIA	Rocky Mountain Health Maintenance Organization, Incorporated	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	47004	84-1224718				Rocky Mountain HealthCare Options, Inc.	CO	IA	Rocky Mountain Health Maintenance Organization, Incorporated	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-4148369				ROCS Holdings, LLC	IN	NIA	SCA ROCS Holdings, LLC	Influence	49.000	UnitedHealth Group Incorporated		5
		.00000					Saden S.A.	CHL	NIA	Banmedica S.A.	Ownership	99.920	UnitedHealth Group Incorporated		
		.00000					Saden S.A.	CHL	NIA	Clínica Dávila y Servicios Médicos S.A.	Ownership	0.080	UnitedHealth Group Incorporated		
		.00000	74-2462470				Salem Surgery Center, LLC	OR	NIA	Surgicare of Salem, LLC	Ownership	67.000	UnitedHealth Group Incorporated		2
		.00000	45-2219585				Salveo Specialty Pharmacy, Inc.	DE	NIA	OptumRx Administrative Services, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	77-0322251				San Diego Endoscopy Center	CA	NIA	Endoscopy Center Affiliates, Inc.	Influence	42.000	UnitedHealth Group Incorporated		5
		.00000					San Diego Sports and Minimally Invasive Surgery Center, LLC	DE	NIA	SCA-San Diego, Inc.	Influence	31.608	UnitedHealth Group Incorporated		5
		.00000	27-3787593				Sand Lake SurgiCenter, LLC	FL	NIA	SCA-Sand Lake, LLC	Ownership	52.450	UnitedHealth Group Incorporated		2
		.00000	91-2127820				Santa Cruz Endoscopy Center, LLC	CA	NIA	SCA Pacific Holdings, Inc.	Ownership	50.000	UnitedHealth Group Incorporated		2
		.00000					Santa Helena Assistência Médica S.A.	BRA	NIA	EIual Participações S.A.	Ownership	65.210	UnitedHealth Group Incorporated		2
		.00000					Santa Helena Assistência Médica S.A.	BRA	NIA	Anil Assistência Médica Internacional S.A.	Ownership	33.600	UnitedHealth Group Incorporated		2
		.00000					Santa Helena Assistência Médica S.A.	BRA	NIA	Anil Assistência Médica Internacional S.A.	Ownership	33.600	UnitedHealth Group Incorporated		2
		.00000					Santos Administração e Participações S.A.	BRA	NIA	Savvysherpa, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-4028885				Savvysherpa Administrative Services, LLC	IN	NIA	Savvysherpa, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Savvysherpa Asia, Inc.	PHL	NIA	Savvysherpa, LLC	Ownership	99.990	UnitedHealth Group Incorporated		2
		.00000	41-1934238				Savvysherpa, LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	62-1149229				SC Affiliates, LLC	DE	NIA	Surgical Care Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-5537316				SCA Athens, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-5014406				SCA Austin Holdings, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-4230864				SCA BOSC Holdings, LLC	DE	NIA	National Surgery Centers, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	45-4230864				SCA BOSC Holdings, LLC	DE	NIA	SC Affiliates, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	45-4230864				SCA BOSC Holdings, LLC	DE	NIA	SunSurgery, LLC	Ownership	25.000	UnitedHealth Group Incorporated		

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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		.00000	45-4230864				SCA BOSC Holdings, LLC	DE	NIA	Surgery Center Holding, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	47-2110605				SCA California Surgical Holdings, LLC	DE	NIA	National Surgery Centers, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-3916468				SCA Capital, LLC	DE	NIA	Surgical Care Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-5030792				SCA Cedar Park Holdings, LLC	DE	NIA	SCA Austin Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	04-3170801				SCA Danbury Surgical Center, LLC	DE	NIA	SunSurgery, LLC	Ownership	67.000	UnitedHealth Group Incorporated		2
		.00000	62-1535981				SCA Development, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1372069				SCA eCode Solutions Private Limited	IND	NIA	eCode Solutions, LLC	Ownership	99.990	UnitedHealth Group Incorporated		2
		.00000	45-4230987				SCA EHSC Holdings, LLC	DE	NIA	National Surgery Centers, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	45-4230987				SCA EHSC Holdings, LLC	DE	NIA	SC Affiliates, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	45-4230987				SCA EHSC Holdings, LLC	DE	NIA	SunSurgery, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	45-4230987				SCA EHSC Holdings, LLC	DE	NIA	Surgery Center Holding, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	47-5512838				SCA EWASC Holdings, LLC	DE	NIA	National Surgery Centers, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	47-5512838				SCA EWASC Holdings, LLC	DE	NIA	SC Affiliates, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	47-5512838				SCA EWASC Holdings, LLC	DE	NIA	SunSurgery, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	47-5512838				SCA EWASC Holdings, LLC	DE	NIA	Surgery Center Holding, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	47-5064834				SCA Hays Holdings, LLC	DE	NIA	SCA Austin Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					SCA Heartland Holdings, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	36-4869243				SCA HoldCo, Inc.	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-1664837				SCA Holding Company, Inc.	DE	NIA	SCA Surgery Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-1256943				SCA Holdings, Inc.	CA	NIA	National Surgery Centers, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-4240475				SCA IEC Holdings, LLC	DE	NIA	National Surgery Centers, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	45-4240475				SCA IEC Holdings, LLC	DE	NIA	SC Affiliates, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	45-4240475				SCA IEC Holdings, LLC	DE	NIA	SunSurgery, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	45-4240475				SCA IEC Holdings, LLC	DE	NIA	Surgery Center Holding, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	45-2684108				SCA Indiana Holdings, LLC	DE	NIA	National Surgery Centers, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	45-2684108				SCA Indiana Holdings, LLC	DE	NIA	SC Affiliates, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	45-2684108				SCA Indiana Holdings, LLC	DE	NIA	SunSurgery, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	45-2684108				SCA Indiana Holdings, LLC	DE	NIA	Surgery Center Holding, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	20-8116987				SCA Nashville ASC, LLC	TN	NIA	Nashville-SCA Surgery Centers, Inc.	Ownership	99.900	UnitedHealth Group Incorporated		
		.00000	20-8116987				SCA Nashville ASC, LLC	TN	NIA	SC Affiliates, LLC	Ownership	1.000	UnitedHealth Group Incorporated		
		.00000	62-1505276				SCA of Clarksville, Inc.	TN	NIA	Surgical Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-1707364				SCA Pacific Holdings, Inc.	CA	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-1686425				SCA Pennsylvania Holdings, LLC	DE	NIA	Surgery Center Holding, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
							SCA Premier Surgery Center of Louisville, LLC	DE	NIA						
		.00000	72-1386840					DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	81-5211225				SCA Rockledge JV, LLC	DE	NIA	SCA-Merritt, LLC	Ownership	97.000	UnitedHealth Group Incorporated		2
		.00000	45-4252645				SCA ROCS Holdings, LLC	DE	NIA	National Surgery Centers, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	45-4252645				SCA ROCS Holdings, LLC	DE	NIA	SC Affiliates, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	45-4252645				SCA ROCS Holdings, LLC	DE	NIA	SunSurgery, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	45-4252645				SCA ROCS Holdings, LLC	DE	NIA	Surgery Center Holding, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	47-4928368				SCA Southwestern PA, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-3165040				SCA Specialists of Florida, LLC	DE	NIA	SCA Surgery Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-4898819				SCA SSC Holdings, LLC	DE	NIA	National Surgery Centers, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	46-4898819				SCA SSC Holdings, LLC	DE	NIA	SC Affiliates, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	46-4898819				SCA SSC Holdings, LLC	DE	NIA	SunSurgery, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	46-4898819				SCA SSC Holdings, LLC	DE	NIA	Surgery Center Holding, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	45-4266502				SCA SSSC Holdings, LLC	DE	NIA	National Surgery Centers, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	45-4266502				SCA SSSC Holdings, LLC	DE	NIA	SC Affiliates, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	45-4266502				SCA SSSC Holdings, LLC	DE	NIA	SunSurgery, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	45-4266502				SCA SSSC Holdings, LLC	DE	NIA	Surgery Center Holding, LLC	Ownership	25.000	UnitedHealth Group Incorporated		
		.00000	47-5038680				SCA Stonegate Holdings, LLC	DE	NIA	SCA Austin Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	63-1286887				SCA Surgery Center of Cullman, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-2602268				SCA Surgery Holdings, LLC	DE	NIA	Surgical Care Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-4774546				SCA Surgery Partners, LLC	DE	NIA	National Surgery Centers, LLC	Ownership	100.000	UnitedHealth Group Incorporated		

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
		.0000	75-2501088				SCA Surgicare of Laguna Hills, LLC	DE	NIA	Surgery Center Holding, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	27-2096767				SCA Teammate Support Network	AL	NIA	Surgical Care Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	74-2555097				SCA-Albuquerque Surgery Properties, Inc.	NM	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	47-1807383				SCA-Alliance, LLC	DE	NIA	SCA Surgery Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	82-4763869				SCA-Anne Arundel, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	82-3857984				SCA-Bethesda, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	62-1530120				SCA-Blue Ridge, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	82-1980137				SCA-Bonita Springs, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	81-3336088				SCA-Brandon, LLC	DE	NIA	SC Affiliates, LLC	Ownership	50.980	UnitedHealth Group Incorporated		2
		.0000	83-1094012				SCA-Brazil, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	47-1535510				SCA-Castle Rock, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	58-1709758				SCA-Central Florida, LLC	FL	NIA	SC Affiliates, LLC	Ownership	55.360	UnitedHealth Group Incorporated		2
		.0000	82-4511713				SCA-Charleston, LLC	DE	NIA	SCA Surgery Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	83-1093626				SCA-Chatham, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	81-3301058				SCA-Cherry Creek, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	62-1516306				SCA-Chevy Chase, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	93-1047471				SCA-Citrus, Inc.	TN	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	83-1585952				SCA-Colorado Springs, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	47-4028383				SCA-Connecticut Partners, LLC	DE	NIA	SunSurgery, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	82-1470227				SCA-Davenport, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	81-3734814				SCA-Derry, LLC	DE	NIA	SC Affiliates, LLC	Ownership	78.873	UnitedHealth Group Incorporated		2
		.0000	83-1093886				SCA-Doral, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	83-1102015				SCA-DRY CREEK, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	81-1594261				SCA-DTC Holdings, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	81-3301305				SCA-Dublin, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	62-1541235				SCA-Encinitas, Inc.	DE	NIA	Surgical Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	62-1551099				SCA-Eugene, Inc.	TN	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	83-1067967				SCA-First Coast, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	62-1502719				SCA-Florence, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	47-3641516				SCA-Fort Collins, Inc.	CO	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	81-2727879				SCA-Fort Walton, Inc.	TN	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	82-3535257				SCA-Franklin, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	81-4605501				SCA-Frederick, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	81-1956407				SCA-Freeway Holdings, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	82-1438326				SCA-Ft. Myers, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	81-2376695				SCA-Gainesville, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	47-3466283				SCA-Gadiolus, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	81-4605501				SCA-GRANTS PASS, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	81-3445356				SCA-Grove Place, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	81-4905342				SCA-Hagerstown, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	47-5229514				SCA-Handen, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	62-1506650				SCA-Hilton Head, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	81-3445356				SCA-Honolulu, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	81-1666861				SCA-Houston Executive, LLC	DE	NIA	SCA Pacific Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	47-3930052				SCA-I Holdings, LLC	DE	NIA	SCA HoldCo, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	83-1102109				SCA-Illinois, LLC	DE	NIA	SCA Surgery Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	81-4292506				SCA-IT Holdings, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	81-1367813				SCA-Kissing Camels Holdings, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	62-1184188				SCA-King Street, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	81-4616104				SCA-Marina del Rey, LLC	CA	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	81-1771410				SCA-MC VBP, Inc.	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000					SCA-Mecklenburg Development Corp.	NC	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000					SCA-Memorial City, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000					SCA-Merritt, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
		.00000	82-2455773				SCA-Midlands, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	81-2976543				SCA-Midway Management, LLC	IL	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	83-1093787				SCA-Mile High Holdings, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	80-0070617				SCA-Mobile, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	81-1320467				SCA-Mokena Properties, LLC	DE	NIA	SCA-Mokena, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	81-1065674				SCA-Mokena, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	62-1506655				SCA-Mt. Pleasant, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	81-2850365				SCA-Naperville, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	82-3002171				SCA-Naples, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	81-1347328				SCA-ND VBP, Inc.	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-4418919				SCA-New Jersey, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-1760663				SCA-Newport Beach, LLC	CA	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Scanner Centromed S.A.	CHL	NIA	Administradora Médica Centromed S.A.	Ownership	84.500	UnitedHealth Group Incorporated		2
		.00000	62-1589343				SCA-Northeast Georgia Health, LLC	TN	NIA	SCA-Gainesville, LLC	Ownership	50.100	UnitedHealth Group Incorporated		2
		.00000	81-3445510				SCA-Palm Beach MSO Holdings, LLC	DE	NIA	SunSurgery, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	82-3050836				SCA-Palm Beach, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	62-1538850				SCA-Paoli, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-2785908				SCA-Phoenix, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-1620189				SCA-Pocono, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	81-4139195				SCA-PORTLAND, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	81-4304317				SCA-River Valley, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-1647512				SCA-Rockville, LLC	FL	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	81-2963561				SCA-San Diego, Inc.	DE	NIA	Surgical Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	94-3138088				SCA-San Luis Obispo, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-1685878				SCA-Sand Lake, LLC	FL	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	88-0185362				SCA-Santa Rosa, Inc.	NV	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	62-1179532				SCA-Shelby Development Corp.	TN	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	81-3300613				SCA-Somerset, LLC	DE	NIA	SCA Surgery Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	22-3117714				SCA-South Jersey, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-2676325				SCA-Sparta, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	82-4499088				SCA-Spartanburg Holdings, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-4106989				SCA-St. Louis, LLC	DE	NIA	Surgical Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	82-3270591				SCA-St. Lucie, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	81-4371453				SCA-Surgicare, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	81-2455241				SCA-Swiftpath, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	82-1987225				SCA-VERTA, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	82-2294882				SCA-Wake Forest, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	82-1449412				SCA-Western Connecticut, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	82-3689825				SCA-Westover Hills, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					SCA-Wilmington, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-2890788				SCA-Wilson, LLC	DE	NIA	SCA Surgery Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	81-1159878				SCA-Winchester, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	62-1525777				SCA-Winter Park, Inc.	TN	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	82-3528300				SCA-Woodlands Holdings, LLC	DE	NIA	SCA Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-2635371				SOP Specialty Infusion, LLC	DE	NIA	BriovaRx Infusion Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-3629080				Script Relief LLC	DE	NIA	OptumRxHealth Solutions, LLC	Influence	42.000	UnitedHealth Group Incorporated		5
		.00000	98-1097822				ScriptSwitch Limited	GBR	NIA	Optum UK Solutions Group Limited	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1202716				Seisa Serviços Integrados de Saúde Ltda.	BRA	NIA	Amil Assistência Médica Internacional S.A.	Ownership	99.990	UnitedHealth Group Incorporated		2
		.00000	98-1202716				Seisa Serviços Integrados de Saúde Ltda.	BRA	NIA	Cemed Care – Empresa de Atendimento Clínico Geral Ltda.	Ownership	0.000	UnitedHealth Group Incorporated		2
		.00000	42-1709357				Senate Street Surgery Center, LLC	IN	NIA	SCA SSSC Holdings, LLC	Ownership	50.720	UnitedHealth Group Incorporated		2
		.00000	27-1533951				Serquinox Holdings LLC	DE	NIA	BriovaRx Infusion Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
		.0000					Servicios de Entrenamiento en Competencias Clínicas Ltda.	.CHL	NIA	Clínica Santa María S.A.	Ownership	99.900	UnitedHealth Group Incorporated		
		.0000					Servicios de Entrenamiento en Competencias Clínicas Ltda.	.CHL	NIA	Sociedad de Inversiones Santa María S.A.	Ownership	0.100	UnitedHealth Group Incorporated		
		.0000					Servicios Integrados de Salud Ltda.	.CHL	NIA	Clínica Dávila y Servicios Médicos S.A.	Ownership	99.900	UnitedHealth Group Incorporated		
		.0000					Servicios Médicos Amed Quilpué S.A.	.CHL	NIA	Clínica Santa María S.A.	Ownership	0.100	UnitedHealth Group Incorporated		
		.0000					Servicios Médicos Amed Quilpué S.A.	.CHL	NIA	Centromed Quilpué S.A.	Ownership	99.900	UnitedHealth Group Incorporated		
		.0000					Servicios Médicos Bío Bío Limitada	.CHL	NIA	Laboratorios Médicos Amed Quilpué S.A.	Ownership	0.100	UnitedHealth Group Incorporated		
		.0000					Servicios Médicos Bío Bío Limitada	.CHL	NIA	Clínica Bío Bío S.A.	Ownership	99.000	UnitedHealth Group Incorporated		
		.0000					Servicios Médicos Ciudad del Mar Ltda.	.CHL	NIA	Saden S.A.	Ownership	1.000	UnitedHealth Group Incorporated		
		.0000					Servicios Médicos Ciudad del Mar Ltda.	.CHL	NIA	Clínica Ciudad del Mar S.A.	Ownership	99.000	UnitedHealth Group Incorporated		
		.0000					Servicios Médicos Santa María Limitada	.CHL	NIA	Saden S.A.	Ownership	1.000	UnitedHealth Group Incorporated		
		.0000					Servicios Médicos Santa María Limitada	.CHL	NIA	Sociedad de Inversiones Santa María S.A.	Ownership	99.176	UnitedHealth Group Incorporated		
		.0000					Servicios Médicos Vespucio Ltda.	.CHL	NIA	Clínica Santa María S.A.	Ownership	0.824	UnitedHealth Group Incorporated		
		.0000					Servicios Médicos Vespucio Ltda.	.CHL	NIA	Clínica Vespucio S.A.	Ownership	99.000	UnitedHealth Group Incorporated		
		.0000	45-4233576				SharedClarity LLC	.DE	NIA	Saden S.A.	Ownership	1.000	UnitedHealth Group Incorporated		
		.0000	58-1978974				SHC Atlanta, LLC	.DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	58-2013443				SHC Austin, Inc.	.GA	NIA	Surgical Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	58-2062812				SHC Hawthorn, Inc.	.GA	NIA	Surgical Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	58-2101924				SHC Melbourne, Inc.	.GA	NIA	Surgical Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	62-1223273				Shelby Surgery Properties, Inc.	.TN	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.71420	94-0734860				Sierra Health and Life Insurance Company, Inc.	.NV	IA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	88-0200415				Sierra Health Services, Inc.	.NV	NIA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	88-0254322				Sierra Health-Care Options, Inc.	.NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	88-0385705				Sierra Home Medical Products, Inc.	.NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	88-0264562				Sierra Nevada Administrators, Inc.	.NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Sistema de Administración Hospitalaria S.A.C.	.PER	NIA	Pacífico S.A. Entidad Prestadora de Salud	Ownership	99.870	UnitedHealth Group Incorporated		1
		.00000					Sobam - Centro Médico Hospitalar S.A.	.BRA	IA	Santa Helena Assistência Médica S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1453235				Sociedad de Inversiones Santa María S.A.	.CHL	NIA	Clínica Santa María S.A.	Ownership	99.743	UnitedHealth Group Incorporated		
		.00000	98-1453235				Sociedad de Inversiones Santa María S.A.	.CHL	NIA	Clínica Dávila y Servicios Médicos S.A.	Ownership	0.257	UnitedHealth Group Incorporated		
		.00000	98-1462570				Sociedad Editorial para la Ciencia Limitada.	.COL	NIA	Banmédica S.A.	Ownership	76.290	UnitedHealth Group Incorporated		2
		.00000	20-8433398				Somerset Outpatient Surgery, L.L.C.	.NJ	NIA	SCA-Somerset, LLC	Ownership	59.999	UnitedHealth Group Incorporated		2
		.00000	88-0201420				Southwest Medical Associates, Inc.	.NV	NIA	Sierra Health Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	38-2609888				Southwest Michigan Health Network Inc.	.MI	NIA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	36-4369359				Southwest Surgery Center, LLC	.IL	NIA	SCA-Mokena, LLC	Ownership	44.670	UnitedHealth Group Incorporated		2
		.00000	75-2516426				Space Coast Surgical Center, Ltd.	.FL	NIA	SCA Rockledge JV, LLC	Ownership	52.190	UnitedHealth Group Incorporated		2
		.00000	57-0953005				Spartanburg Surgery Center, LLC	.SC	NIA	SCA-Spartanburg Holdings, LLC	Influence	10.700	UnitedHealth Group Incorporated		5
		.00000	55-0790742				Specialists in Urology Surgery Center, LLC	.FL	NIA	SCA Specialists of Florida, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	25-1868213				Specialized Pharmaceuticals, Inc.	.PA	NIA	QoL Acquisition Holdings Corp.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	41-1921983				Specialty Benefits, LLC	.DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-3412545				Specialty Surgical Center, LLC	.NJ	NIA	SCA-Sparta, LLC	Ownership	51.000	UnitedHealth Group Incorporated		2
		.00000	71-0866811				Spectera of New York, IPA, Inc.	.NY	NIA	Spectera, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	52-1260282				Spectera, Inc.	.MD	NIA	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	81-1387232				SPINETRACK 20/20, Inc.	.CA	NIA	SCA-ND VBP, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Sports and Spinal Physical Therapy, Inc.	.DC	NIA	Orthology, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-5587702				Spotlite, Inc.	.DE	NIA	Rally Health, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	62-1770924				SRPS, LLC	.DE	NIA	Progressive Enterprises Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-4148167				SSSC Holdings, LLC	.IN	NIA	SCA SSSC Holdings, LLC	Influence	49.000	UnitedHealth Group Incorporated		5
		.00000	75-2201400				St. Cloud Outpatient Surgery, Ltd., a Minnesota Limited Partnership	.MN	NIA	St. Cloud Surgical Center, LLC	Influence	23.383	UnitedHealth Group Incorporated		5

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
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		.00000	41-1348916				St. Cloud Surgical Center, LLC	DE	NIA	Surgery Center Holding, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-1211544				Stonegate Surgery Center, L.P.	TX	NIA	SCA Stonegate Holdings, LLC	Ownership	56.834	UnitedHealth Group Incorporated		2
		.00000	27-2944223				Streamlines Health, LLC	MN	NIA	Savvysherpa, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	06-1082848				SunSurgery, LLC	DE	NIA	Surgical Care Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	82-5207693				Surgery Center at Kissing Camels, LLC	CO	NIA	SCA-Kissing Camels Holdings, LLC	Ownership	55.000	UnitedHealth Group Incorporated		2
		.00000	62-1739361				Surgery Center Holding, LLC	DE	NIA	Surgical Care Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	45-0581955				Surgery Center of Athens, LLC	GA	NIA	Athens ASC Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	62-1509341				Surgery Center of Boca Raton, Inc.	FL	NIA	Surgical Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	62-1506370				Surgery Center of Clarksville, L.P.	TN	NIA	Surgical Health, LLC	Ownership	99.900	UnitedHealth Group Incorporated		2
		.00000	63-1214140				Surgery Center of Colorado Springs, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	42-1166764				Surgery Center of Des Moines, LLC	DE	NIA	Surgery Center Holding, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	72-1349752				Surgery Center of Easton, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	63-1212213				Surgery Center of Ellicott City, Inc.	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-1733152				Surgery Center of Fairfield County, LLC	DE	NIA	SunSurgery, LLC	Influence	43.204	UnitedHealth Group Incorporated		5
		.00000	62-1179539				Surgery Center of Lexington, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	62-1179537				Surgery Center of Louisville, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	63-1231944				Surgery Center of Maui, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	63-1194204				Surgery Center of Muskogee, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	11-3701564				Surgery Center of Rockville, L.L.C.	MD	NIA	SCA-Rockville, LLC	Ownership	59.000	UnitedHealth Group Incorporated		2
		.00000	63-1212214				Surgery Center of Southern Pines, LLC	DE	NIA	Surgery Center Holding, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	63-1178497				Surgery Center of Spokane, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	63-1184216				Surgery Center of Summerlin, LLC	DE	NIA	SC Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	62-3448050				Surgery Center of The Woodlands, LLC	TX	NIA	SCA-Woodlands Holdings, LLC	Ownership	55.510	UnitedHealth Group Incorporated		2
		.00000	82-1491963				Surgery Center of Vero Beach, Inc.	TN	NIA	Surgical Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Surgery Center of Wilmington Properties, LLC	NC	NIA	Surgery Center of Wilmington, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Surgery Center of Wilmington, LLC	NC	NIA	SCA-Wilmington, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-1767806				Surgery Center of Wilson, LLC	NC	NIA	SCA-Wilson, LLC	Ownership	76.450	UnitedHealth Group Incorporated		2
		.00000	36-3562598				Surgery Centers of Des Moines, Ltd., an Iowa Limited Partnership	IA	NIA	Surgery Center Holding, LLC	Ownership	67.950	UnitedHealth Group Incorporated		2
		.00000	68-0282268				Surgery Centers-West Holdings, LLC	DE	NIA	Surgical Care Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	33-1187498				Surgical Care Affiliates Political Action Committee	AL	NIA	Surgical Care Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-8922307				Surgical Care Affiliates, LLC	DE	NIA	SCA1 Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-2971080				Surgical Care Partners of Melbourne, LLC	DE	NIA	SHC Melbourne, Inc.	Ownership	74.740	UnitedHealth Group Incorporated		2
		.00000	75-1925497				Surgical Caregivers of Fort Worth, LLC	TX	NIA	THR-SCA Holdings, LLC	Influence	56.423	UnitedHealth Group Incorporated		5
		.00000	63-1242229				Surgical Center at Premier, LLC	TN	NIA	Audubon Ambulatory Surgery Center, LLC	Influence	100.000	UnitedHealth Group Incorporated		5
		.00000	63-1251243				Surgical Center of Greensboro, LLC	NC	NIA	NSC Greensboro, LLC	Influence	35.720	UnitedHealth Group Incorporated		5
		.00000	22-2709324				Surgical Center of South Jersey, Limited Partnership	NJ	NIA	SCA-South Jersey, LLC	Ownership	61.853	UnitedHealth Group Incorporated		2
		.00000	63-1138507				Surgical Center of Tuscaloosa Holdings, LLC	AL	NIA	Surgical Care Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	58-1997354				Surgical Health of Orlando, Inc.	FL	NIA	Surgical Health, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	58-1941168				Surgical Health, LLC	DE	NIA	Surgical Care Affiliates, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-0168681				Surgical Hospital Holdings of Oklahoma, LLC	DE	NIA	SCA Surgery Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	73-1521890				Surgical Hospital of Oklahoma, L.L.C.	OK	NIA	Surgical Hospital Holdings of Oklahoma, LLC	Ownership	56.000	UnitedHealth Group Incorporated		2
		.00000	75-2157730				Surgicare of Belleville, LLC	DE	NIA	Surgery Center Holding, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	64-0629000				Surgicare of Jackson, LLC	DE	NIA	Surgery Center Holding, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	75-2162993				Surgicare of Jackson, Ltd., a Mississippi Limited Partnership	MS	NIA	Surgicare of Jackson, LLC	Influence	40.000	UnitedHealth Group Incorporated		5
		.00000	75-2287141				Surgicare of Joliet, Inc.	IL	NIA	Surgery Center Holding, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	75-2501191				Surgicare of La Veta, Inc.	CA	NIA	Surgery Centers-West Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	41-1437636				Surgicare of Minneapolis, LLC	DE	NIA	Surgery Center Holding, LLC	Ownership	100.000	UnitedHealth Group Incorporated		

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
		.00000	41-1624905				Surgicare of Minneapolis, Ltd., a Minnesota Limited Partnership	..MN	..NIA	Surgicare of Minneapolis, LLC	Influence	20.000	UnitedHealth Group Incorporated		5
		.00000	75-2459713				Surgicare of Mobile, LLC	..DE	..NIA	Surgery Center Holding, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	75-2459715				Surgicare of Mobile, Ltd.	..AL	..NIA	Surgicare of Mobile, LLC	Influence	20.000	UnitedHealth Group Incorporated		5
		.00000	75-2448926				Surgicare of Oceanside, Inc.	..CA	..NIA	Surgery Center Holding, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	75-2184730				Surgicare of Owensboro, LLC	..DE	..NIA	Surgery Center Holding, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	75-2200171				Surgicare of Salem, LLC	..DE	..NIA	Surgery Center Holding, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	35-1975122				Surgicare, LLC	..IN	..NIA	SCA-SurgiCare, LLC	Ownership	53.680	UnitedHealth Group Incorporated		2
		.00000	82-3717201				SurgiCenter of San Antonio at Westover Hills, LLC	..TX	..NIA	SCA-Westover Hills, LLC	Influence	45.360	UnitedHealth Group Incorporated		5
		.00000	95-3329855				Surgicenters of Southern California, Inc.	..CA	..NIA	Surgery Center Holding, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	46-1536748				Symphonix Health Holdings, LLC	..DE	..NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.84549	38-2044243				Symphonix Health Insurance, Inc.	..IL	..IA	Symphonix Health Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-4751035				TeamMD Holdings, Inc.	..DE	..NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-4850893				TeamMD Iowa, Inc.	..DE	..NIA	TeamMD Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Tecnologías de Información en Salud S.A.	..CHL	..NIA	Clínica Santa María S.A.	Ownership	50.000	UnitedHealth Group Incorporated		
		.00000					Tecnologías de Información en Salud S.A.	..CHL	..NIA	Clínica Dávila y Servicios Médicos S.A.	Ownership	50.000	UnitedHealth Group Incorporated		
		.00000	38-3897811				Texas Health Craig Ranch Surgery Center, LLC	..TX	..NIA	THR-SCA Holdings, LLC	Influence	51.500	UnitedHealth Group Incorporated		5
		.00000	80-0866449				Texas Health Flower Mound Orthopedic Surgery Center, LLC	..TX	..NIA	THR-SCA Holdings, LLC	Influence	51.000	UnitedHealth Group Incorporated		5
		.00000	81-4977249				Texas Health Orthopedic Surgery Center Alliance, LLC	..TX	..NIA	THR-SCA Holdings, LLC	Influence	51.000	UnitedHealth Group Incorporated		5
		.00000	82-2296081				Texas Health Surgery Center Alliance, LLC	..TX	..NIA	THR-SCA Holdings, LLC	Influence	77.583	UnitedHealth Group Incorporated		5
		.00000	82-1307876				Texas Health Surgery Center Bedford, LLC	..TX	..NIA	THR-SCA Holdings, LLC	Influence	51.010	UnitedHealth Group Incorporated		5
		.00000	20-3991622				Texas Health Surgery Center Preston Plaza, LLC	..TX	..NIA	THR-SCA Holdings, LLC	Influence	53.048	UnitedHealth Group Incorporated		5
		.00000	47-4425996				Texas Health Surgery Center Rockwall, LLC	..TX	..NIA	THR-SCA Holdings, LLC	Influence	51.000	UnitedHealth Group Incorporated		5
		.00000					The Advisory Board (Chile) SpA	..CHL	..NIA	The Advisory Board Company	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	52-1488699				The Advisory Board Company	..DE	..NIA	OptumInsight, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-5562797				The Eye Surgery Center of the Carolinas, L.P.	..NC	..NIA	Surgery Center of Southern Pines, LLC	Influence	46.000	UnitedHealth Group Incorporated		5
		.00000	56-1970224				The Lewin Group, Inc.	..NC	..NIA	Optum Public Sector Solutions, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	61-1409045				The Outpatient Surgery Center of Hilton Head, LLC	..SC	..NIA	SCA-Hilton Head, LLC	Ownership	50.000	UnitedHealth Group Incorporated		2
		.00000	72-1349755				The Surgery Center of Easton, L.P.	..TN	..NIA	Surgery Center of Easton, LLC	Ownership	57.000	UnitedHealth Group Incorporated		2
		.00000	63-1184215				The Surgical Center at Tenaya, L.P.	..TN	..NIA	Surgery Center of Summerlin, LLC	Influence	20.000	UnitedHealth Group Incorporated		5
		.00000	06-1552689				The Surgical Center of Connecticut, LLC	..CT	..NIA	SCA-Main Street, LLC	Influence	62.120	UnitedHealth Group Incorporated		5
		.00000	37-1446353				THE SURGICAL CENTER OF THE TREASURE COAST, L.L.C.	..FL	..NIA	SCA-St. Lucie, LLC	Ownership	51.000	UnitedHealth Group Incorporated		2
		.00000	26-1479919				Thomas Johnson Surgery Center, LLC	..MD	..NIA	SCA-Frederick, LLC	Ownership	65.000	UnitedHealth Group Incorporated		2
		.00000	77-0367271				Thousand Oaks Endoscopy Center, LLC	..CA	..NIA	Endoscopy Center Affiliates, Inc.	Ownership	99.000	UnitedHealth Group Incorporated		2
		.00000	25-1825549				Three Rivers Holdings, Inc.	..DE	..NIA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	63-1194203				Three Rivers Surgical Care, L.P.	..TN	..NIA	Muskogee Surgical Investors, LLC	Ownership	72.538	UnitedHealth Group Incorporated		2
		.00000	59-3143128				Tmesys, LLC	..FL	..NIA	PMSI Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1287904				Topimagem Diagnóstico por Imagem Ltda.	..BRA	..NIA	Esho - Empresa de Serviços Hospitalares S.A.	Ownership	89.000	UnitedHealth Group Incorporated		2
		.00000	46-5482620				Trails Edge Surgery Center, LLC	..FL	..NIA	SCA-Bonita Springs, LLC	Ownership	59.000	UnitedHealth Group Incorporated		2
		.00000	52-1431155				Trauma Surgery Affiliates, LLC	..TX	..NIA	National Surgical Centers, LLC	Influence	49.000	UnitedHealth Group Incorporated		5
		.00000	46-2613170				Travel Express Incorporated	..MD	..NIA	FrontierMEDX, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	33-0592165				Treasure Valley Emerald Properties, LLC	..ID	..NIA	Treasure Valley Hospital Limited Partnership	Influence	100.000	UnitedHealth Group Incorporated		5
		.00000					Treasure Valley Hospital Limited Partnership	..ID	..NIA	Surgical Health, LLC	Influence	40.375	UnitedHealth Group Incorporated		5

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
		.0000	27-2241757				Tri-City Medical Center ASC Operators, LLC	CA	NIA	Surgicare of Oceanside, Inc.	Influence	40.000	UnitedHealth Group Incorporated		5
		.0000	47-5088596				TriMed, LLC	UT	NIA	National MedTrans, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	46-5530255				Trio Motion, LLC	DE	NIA	Savvysherpa, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	63-0974161				Tuscaloosa Surgical Center, L.P.	AL	NIA	Surgical Center of Tuscaloosa Holdings, LLC	Influence	30.000	UnitedHealth Group Incorporated		5
		.0000	94-3077084				U.S. Behavioral Health Plan, California	CA	IA	United Behavioral Health	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	81-3287291				UCSD-SCA Holdings I, LLC	DE	NIA	SCA-Encinitas, Inc.	Influence	49.000	UnitedHealth Group Incorporated		5
		.0000	81-3144140				UCSD-SCA Holdings II, LLC	DE	NIA	SCA-San Diego, Inc.	Influence	47.961	UnitedHealth Group Incorporated		5
		.0000	98-1388279				UHC Finance (Ireland) Unlimited Company	IRL	NIA	UnitedHealth Group International Finance (Ireland) Unlimited Company	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1113428				UHC Global Health Services BC Ltd.	CAN	NIA	UnitedHealthcare Global Canada Limited	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	41-1913059				UHC International Services, Inc.	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	95-2931460				UHC of California	CA	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	82-3143073				UHCFI LLC	DE	NIA	OptumRx, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1103713				UHCG - FZE	ARE	NIA	UnitedHealthcare Global Medical (UK) Limited	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1372063				UHCG Holdings (Ireland) Limited	IRL	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1372064				UHCG Services (Ireland) Limited	IRL	NIA	UHCG Holdings (Ireland) Limited	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1122490				UHG Brasil Participações S.A.	BRA	NIA	Polar II Fundo de Investimento em Participações Multiestrategia	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	41-1921008				UHC Holdings, Inc.	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	39-1995276				UMR, Inc.	COE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1444648				Unidad Médica Diagnóstico S.A.	COL	NIA	Colmedica Medicina Prepagada	Ownership	92.380	UnitedHealth Group Incorporated		
		.0000	98-1444648				Unidad Médica Diagnóstico S.A.	COL	NIA	Banmédica Colombia S.A.	Ownership	7.620	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.91529	52-1996029				Unimerica Insurance Company	WI	IA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
							Unimerica Life Insurance Company of New York								
.0707	UnitedHealth Group Incorporated	.11596	01-0637149				Unison Administrative Services, LLC	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	25-1877716				Unison Health Plan of Delaware, Inc.	PA	NIA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	20-5917714				United Behavioral Health	DE	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	94-2649097				United Behavioral Health of New York, I.P.A., Inc.	CA	NIA	OptumHealth Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	41-1868911				United Health Foundation	NY	NIA	United Behavioral Health	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	41-1941615				United HealthCare Services, Inc.	NY	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	41-1289245	3410132			United Resource Networks IPA of New York, Inc.	NY	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	30-0318238				UnitedHealth Advisors, LLC	NY	NIA	OptumHealth Care Solutions, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	01-0538317				UnitedHealth Group Incorporated	ME	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	41-1321939	4665014	0000731766	New York Stock Exchange	UnitedHealth Group International Finance (Ireland) Unlimited Company	DE	UIP	Self	Ownership	0.000	UnitedHealth Group Incorporated		2
		.0000	98-1422474				UnitedHealth Group International GP	IRL	NIA	UnitedHealthcare Europe S á r.l.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1079826				UnitedHealth Group International L.P.	CYM	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	UnitedHealth Group Incorporated	Ownership	70.604	UnitedHealth Group Incorporated		
		.0000	98-1080118				UnitedHealth Group International L.P.	CYM	NIA	FMG Holdings, LLC	Ownership	29.396	UnitedHealth Group Incorporated		
		.0000	46-3311984				UnitedHealth Group Ventures, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	41-1917398				UnitedHealth International, Inc.	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	26-2574977				UnitedHealth Military & Veterans Services, LLC	DE	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	98-0559902				UnitedHealth UK Limited	GBR	NIA	Optum Solutions UK Holdings Limited	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	.95174	33-0115163				UnitedHealthcare Benefits of Texas, Inc.	TX	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	47-3221444				UnitedHealthcare Benefits Plan of California	CA	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.0000	46-4348775				UnitedHealthcare Community Plan of California, Inc.	CA	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		

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ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0707	UnitedHealth Group Incorporated	13168	26-2688274				UnitedHealthcare Community Plan of Georgia, Inc.	GA	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	12323	56-2451429				UnitedHealthcare Community Plan of Ohio, Inc.	OH	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	11141	91-2008361				UnitedHealthcare Community Plan of Texas, L.L.C.	TX	IA	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95467	38-3204052				UnitedHealthcare Community Plan, Inc.	MI	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1200034				UnitedHealthcare Consulting & Assistance Service (Beijing) Co., Ltd.	CHN	NIA	UnitedHealthcare International I B.V.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1199879				UnitedHealthcare Europe S.à r.l.	LUX	NIA	UnitedHealthcare International VI S.à r.l.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1029201				UnitedHealthcare Global Medical (UK) Limited	GBR	NIA	UnitedHealthcare International I B.V.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1099116				UnitedHealthcare India Private Limited	IND	NIA	OptumHealth International B.V.	Ownership	99.999	UnitedHealth Group Incorporated		
		00000	98-1099116				UnitedHealthcare India Private Limited	IND	NIA	UnitedHealth International, Inc.	Ownership	0.001	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	79413	36-2739571				UnitedHealthcare Insurance Company	CT	IA	UHC Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	60318	36-3800349				UnitedHealthcare Insurance Company of Illinois	IL	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	60093	11-3283886				UnitedHealthcare Insurance Company of New York	NY	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	12231	20-1902768				UnitedHealthcare Insurance Company of the River Valley	IL	IA	UnitedHealthcare Services Company of the River Valley, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1372065				UnitedHealthcare Insurance Designated Activity Company	IRL	IA	UHC Holdings (Ireland) Limited	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	00000	86-0618309				UnitedHealthcare Integrated Services, Inc.	AZ	IA	Ovations, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	41-1988797				UnitedHealthcare International Asia, LLC	DE	NIA	UnitedHealth Group Incorporated	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1100512				UnitedHealthcare International I B.V.	NLD	NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1079459				UnitedHealthcare International II S.à r.l.	LUX	NIA	UnitedHealthcare International VI S.à r.l.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1340853				UnitedHealthcare International III B.V.	NLD	NIA	UnitedHealthcare Europe S.à r.l.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1077436				UnitedHealthcare International III S.à r.l.	LUX	NIA	Optum Services (Ireland) Limited	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1372054				UnitedHealthcare International IV B.V.	NLD	NIA	OptumHealth International B.V.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1080926				UnitedHealthcare International IV S.à r.l.	LUX	NIA	UnitedHealthcare International VIII S.à r.l.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1372058				UnitedHealthcare International VI S.à r.l.	LUX	NIA	UnitedHealth Group International L.P.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1372060				UnitedHealthcare International VII S.à r.l.	LUX	NIA	UHC Finance (Ireland) Unlimited Company	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1372062				UnitedHealthcare International VIII S.à r.l.	LUX	NIA	UnitedHealthcare International X S.à r.l.	Ownership	100.000	UnitedHealth Group Incorporated		
		00000	98-1372062				UnitedHealthcare International III S.à r.l.	LUX	NIA	UnitedHealthcare International III S.à r.l.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	97179	86-0207231				UnitedHealthcare International X S.à r.l.	LUX	NIA	UnitedHealthcare International III S.à r.l.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95784	63-0899562				UnitedHealthcare Life Insurance Company	WI	IA	Golden Rule Financial Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	96016	86-0507074				UnitedHealthcare of Alabama, Inc.	AL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95446	63-1036819				UnitedHealthcare of Arizona, Inc.	AZ	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95090	84-1004639				UnitedHealthcare of Arkansas, Inc.	AR	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95264	59-1293865				UnitedHealthcare of Colorado, Inc.	CO	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95850	58-1653544				UnitedHealthcare of Florida, Inc.	FL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95776	36-3280214				UnitedHealthcare of Georgia, Inc.	GA	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		96644	62-1240316				UnitedHealthcare of Illinois, Inc.	IL	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		96644	62-1240316				UnitedHealthcare of Kentucky, Ltd.	KY	IA	United HealthCare Services, Inc.	Ownership	94.883	UnitedHealth Group Incorporated		
		95833	72-1074008				UnitedHealthcare of Kentucky, Ltd.	KY	IA	UnitedHealthcare, Inc.	Ownership	5.117	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95716	63-1036817				UnitedHealthcare of Louisiana, Inc.	LA	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95149	05-0413469				UnitedHealthcare of Mississippi, Inc.	MS	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	13214	26-2697886				UnitedHealthcare of New England, Inc.	RI	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
							UnitedHealthcare of New Mexico, Inc.	NM	IA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated		

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

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.0707	UnitedHealth Group Incorporated	95085	06-1172891				UnitedHealthcare of New York, Inc.	NY	IA	AmeriChoice Corporation	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95103	56-1461010				UnitedHealthcare of North Carolina, Inc.	NC	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95186	31-1142815				UnitedHealthcare of Ohio, Inc.	OH	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	96903	33-0115166				UnitedHealthcare of Oklahoma, Inc.	OK	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95893	93-0938819				UnitedHealthcare of Oregon, Inc.	OR	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95220	25-1756858				UnitedHealthcare of Pennsylvania, Inc.	PA	IA	Three Rivers Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95765	95-3939697				UnitedHealthcare of Texas, Inc.	TX	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95025	52-1130183				UnitedHealthcare of the Mid-Atlantic, Inc.	MD	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95591	47-0676824				UnitedHealthcare of the Midlands, Inc.	NE	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	96385	43-1361841				UnitedHealthcare of the Midwest, Inc.	MO	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95501	41-1488563				UnitedHealthcare of Utah, Inc.	UT	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	48038	91-1312551				UnitedHealthcare of Washington, Inc.	WA	IA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95710	39-1555888				UnitedHealthcare of Wisconsin, Inc.	WI	IA	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
.0707	UnitedHealth Group Incorporated	95378	36-3379945				UnitedHealthcare Plan of the River Valley, Inc.	IL	RE	UnitedHealthcare Services Company of the River Valley, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-0854646				UnitedHealthcare Service LLC	DE	NIA	UnitedHealthcare Insurance Company	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	36-3355110				UnitedHealthcare Services Company of the River Valley, Inc.	DE	UDP	UnitedHealthcare, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	01-0518346				UnitedHealthcare Specialty Benefits, LLC	ME	NIA	Specialty Benefits, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	41-1922511				UnitedHealthcare, Inc.	DE	UIP	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-4219191				UpFront Insurance Agency, LLC	MN	NIA	Savvysherpa, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-3564984				UPHT-SCA Holdings, LLC	DE	NIA	SCA-Davenport, LLC	Influence	49.000	UnitedHealth Group Incorporated		5
		.00000	33-0812827				Upland Outpatient Surgical Center, L.P.	CA	NIA	NSC Upland, LLC	Ownership	72.800	UnitedHealth Group Incorporated		2
		.00000	95-3222717				Upland Specialty Medical Center, a California General Partnership	CA	NIA	Upland Outpatient Surgical Center, L.P.	Influence	29.060	UnitedHealth Group Incorporated		5
		.00000	26-0382877				Urgent Care Holdings, Inc.	DE	NIA	Optum Clinics Intermediate Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	26-3667220				Urgent Care MSO, LLC	DE	NIA	Urgent Care Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	75-2613230				Urology Associates of North Texas, P.L.L.C.	TX	NIA	USMD Affiliated Services	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	47-2101539				USC/SCA Surgical Holdings, LLC	DE	NIA	SCA California Surgical Holdings, LLC	Influence	49.000	UnitedHealth Group Incorporated		5
		.00000	20-8048861				USMD Administrative Services, L.L.C.	TX	NIA	USMD Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-2956222				USMD Affiliated Services	TX	NIA	USMD Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-2866866				USMD Holdings, Inc.	DE	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	20-8050318				USMD Inc.	TX	NIA	USMD Holdings, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	35-2446102				USMD PPM, LLC	TX	NIA	USMD Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	63-1182191				Valley Hospital, L.L.C.	WA	NIA	Surgery Center of Spokane, LLC	Ownership	50.000	UnitedHealth Group Incorporated		2
		.00000	87-0757396				Valley Physicians Network, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	81-4629242				VERTA MANAGEMENT SERVICES, LLC	DE	NIA	SCA-VERTA, LLC	Ownership	50.000	UnitedHealth Group Incorporated		2
		.00000					Victory Rollover Holdings LLC	DE	NIA	UnitedHealth Group Ventures, LLC	Ownership	85.790	UnitedHealth Group Incorporated		
		.00000					Victory Rollover Holdings LLC	DE	NIA	Pacifica Labs, Inc.	Ownership	14.210	UnitedHealth Group Incorporated		
		.00000	98-1444067				Vida Tres Internacional S.A.	CHL	NIA	Banmedica S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1443076				Vidaintegra S.A.	CHL	NIA	Banmedica S.A.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Virtual Therapeutics Corporation	DE	NIA	United HealthCare Services, Inc.	Ownership	33.000	UnitedHealth Group Incorporated		2
		.00000	47-3247166				Virtua-SCA Holdings, LLC	NJ	NIA	SCA-New Jersey, LLC	Influence	49.000	UnitedHealth Group Incorporated		5
		.00000	36-3469841				Wauwatosa Outpatient Surgery Center, LLC	DE	NIA	Surgery Centers-West Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	36-3469839				Wauwatosa Surgery Center, Limited Partnership	WI	NIA	Wauwatosa Outpatient Surgery Center, LLC	Ownership	51.000	UnitedHealth Group Incorporated		2
		.00000	20-5429310				Wayland Square Surgicare Acquisition, L.P.	RI	NIA	Wayland Square Surgicare GP, Inc.	Ownership	99.000	UnitedHealth Group Incorporated		2
		.00000	75-2500274				Wayland Square Surgicare GP, Inc.	RI	NIA	Surgery Center Holding, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					WebInsure Benefits, LLC	DE	NIA	hCentive, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	74-2797745				WellMed Medical Management of Florida, Inc.	FL	NIA	WellMed Medical Management, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	74-2786364				WellMed Medical Management, Inc.	TX	NIA	Collaborative Care Holdings, LLC	Ownership	80.000	UnitedHealth Group Incorporated		2
		.00000	27-2809113				West Coast Endoscopy Holdings, LLC	DE	NIA	SCA Surgery Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	06-1521999				Western Connecticut Orthopedic Surgical Center, LLC	CT	NIA	SCA-Western Connecticut, LLC	Ownership	59.586	UnitedHealth Group Incorporated		2

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
		.00000	45-0636596				WESTMED Practice Partners LLC	DE	NIA	Collaborative Care Holdings, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					WillowB Labs LLC	DE	NIA	OptumRx, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000					Wilmington ASC, LLC	NC	NIA	SCA-Wilmington, LLC	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	27-4816583				Wilson Creek Surgical Center, LLC	TX	NIA	THR-SCA Holdings, LLC	Influence	51.000	UnitedHealth Group Incorporated		5
		.00000	46-5548304				Winchester Endoscopy, LLC	IL	NIA	SCA-Winchester, LLC	Ownership	51.000	UnitedHealth Group Incorporated		2
		.00000	62-1525776				Winter Park Surgery Center, L.P.	TN	NIA	Winter Park, LLC	Influence	32.000	UnitedHealth Group Incorporated		5
		.00000	62-1525776				Winter Park Surgery Center, L.P.	TN	NIA	SCA-Winter Park, Inc.	Influence	1.000	UnitedHealth Group Incorporated		5
		.00000	62-1587564				Winter Park, LLC	TN	NIA	SCA-Winter Park, Inc.	Ownership	51.000	UnitedHealth Group Incorporated		2
		.00000	52-2102846				XLHealth Corporation	MD	NIA	United HealthCare Services, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		
		.00000	98-1107695				XLHealth Corporation India Private Limited	IND	NIA	XLHealth Corporation	Ownership	99.998	UnitedHealth Group Incorporated		
		.00000	98-1107695				XLHealth Corporation India Private Limited	IND	NIA	UnitedHealth International, Inc.	Ownership	0.002	UnitedHealth Group Incorporated		
		.00000	11-3764012				Your Health Options Insurance Services, Inc.	CA	NIA	PrimeCare Medical Network, Inc.	Ownership	100.000	UnitedHealth Group Incorporated		

Asterisk	Explanation
1	TBD - Majority and/or Minority or additional shareholder information will be disclosed in a future reporting period.
2	The remaining percentage is owned by either: 1) non-affiliated entity(ies), 2) external shareholders, 3) outside investors or 4) multiple sources.
3	Currently undergoing a liquidation procedure
4	Represents a physician or physicians' owned entity, where the entity is deemed to be influenced by the ultimate owner.
5	Represents a minority-owned entity (with respect to the ultimate owner), where the ultimate owner is deemed to have influence over the entity.

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
	41-1913523	ACN Group IPA of New York, Inc.	0	0	0	0	154,107	0		0	154,107	0
	27-0015861	ACN Group of California, Inc.	(5,000,000)	0	0	0	10,972,266	0		0	5,972,266	0
82406	35-1665915	All Savers Insurance Company	(475,000,000)	0	0	0	(47,493,306)	44,675		0	(522,448,631)	1,049
73130	35-1744596	All Savers Life Insurance Company of California	0	0	0	0	(6,924)	0		0	(6,924)	0
	54-1743136	AmeriChoice Corporation	173,000,000	(75,000,000)	0	0	0	0		0	98,000,000	0
95497	22-3368602	AmeriChoice of New Jersey, Inc.	0	0	0	0	(352,511,855)	0		0	(352,511,855)	0
	86-0813232	Arizona Physicians IPA	(25,000,000)	0	0	0	(691,365,070)	0		0	(716,365,070)	0
	30-0842394	AxelaCare Intermediate Holdings, LLC	0	0	0	0	178,362,650	0		0	178,362,650	0
	88-0267857	Behavioral Healthcare Options, Inc.	0	0	0	0	6,795,157	0		0	6,795,157	0
	81-0881243	California Springs Holdings PC	6,910,000	0	0	0	0	0		0	6,910,000	0
12558	45-4976934	Care Improvement Plus of Texas Insurance Company	(15,000,000)	0	0	0	(253,162,349)	0		0	(268,162,349)	0
12567	20-3888112	Care Improvement Plus South Central Insurance Company	0	0	0	0	(353,078,427)	(5,420)		0	(353,083,847)	54
14041	27-5038136	Care Improvement Plus Wisconsin Insurance Company	0	4,000,000	0	0	(45,442,495)	0		0	(41,442,495)	0
	52-1811176	DBP Services of New York IPA, Inc.	0	0	0	0	1,959,368	0		0	1,959,368	0
	52-1452809	Dental Benefit Providers of California, Inc.	(5,000,000)	0	0	0	(7,412,983)	0		0	(12,412,983)	0
52053	36-4008355	Dental Benefit Providers of Illinois, Inc.	0	0	0	0	(40,582)	0		0	(40,582)	0
	41-2014834	Dental Benefit Providers, Inc.	0	0	0	0	182,241,717	0		0	182,241,717	0
	37-0855360	Golden Rule Financial Corporation	557,000,000	0	0	0	0	0		0	557,000,000	0
62286	37-6028756	Golden Rule Insurance Company	(82,000,000)	0	0	0	(216,614,411)	0		0	(298,614,411)	0
	27-2337725	Greater Phoenix Collaborative Care, P.C.	0	0	0	0	122	0		0	122	0
	98-0213198	H&W Indemnity (SPC), Ltd.	0	0	0	0	0	0		0	0	31,080,188
79480	35-1279304	Harken Health Insurance Company	(20,000,000)	0	0	0	777,132	0		0	(19,222,868)	0
96342	88-0201035	Health Plan of Nevada, Inc.	(145,000,000)	0	0	0	(980,321,468)	(3,284,486)		0	(1,128,605,954)	40,405
	13-4138668	INSPIRIS of New York IPA, Inc.	0	0	0	0	282,834,924	0		0	282,834,924	0
	45-3143218	Lifepoint East, Inc.	0	0	0	0	103,215,260	0		0	103,215,260	0
	27-2309024	LifePrint Health, Inc.	0	0	0	0	3,056,067,613	0		0	3,056,067,613	0
60321	52-1803283	MAMSI Life and Health Insurance Company	0	0	0	0	(16,674,456)	(118,629)		0	(16,793,085)	3,785
	95-4874334	March Vision Care Group, Inc.	(6,910,000)	0	0	0	26,933,254	0		0	20,023,254	0
96310	52-1169135	MD-Individual Practice Association, Inc.	(30,000,000)	0	0	0	(25,473,965)	(303,694)		0	(55,777,659)	9,872
12756	20-3391186	Medica Health Plans of Florida, Inc.	0	0	0	0	8,624	0		0	8,624	0
12155	01-0788576	Medica HealthCare Plans, Inc.	(5,000,000)	0	0	0	(72,096,210)	0		0	(77,096,210)	0
	22-3935634	Monarch Health Plan, Inc.	0	0	0	0	0	(3,942,481)		0	(3,942,481)	0
	47-2336925	National MedTrans, LLC	0	0	0	0	4,875,023	0		0	4,875,023	0
95251	76-0196559	National Pacific Dental, Inc.	(1,800,000)	0	0	0	(1,112,512)	0		0	(2,912,512)	0
95123	65-0996107	Neighborhood Health Partnership, Inc.	(44,000,000)	0	0	0	(81,624,477)	0		0	(125,624,477)	0
95758	88-0228572	Nevada Pacific Dental	0	0	0	0	(295,588)	0		0	(295,588)	0
	72-1267232	New Orleans Regional Physician Hospital Organization, L.L.C.	0	0	0	0	(826,667,940)	0		0	(826,667,940)	0

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
96940	52-1518174	Optimum Choice, Inc.	(10,000,000)	.0	.0	.0	(39,494,040)	(228,418)		.0	(49,722,458)	1,251
69647	31-0628424	Optum Insurance of Ohio, Inc.	(14,000,000)	.0	.0	.0	(10,956,547)	.0		.0	(24,956,547)	.0
	41-1591944	OptumHealth Care Solutions, Inc.	.0	.0	.0	.0	275,713,891	.0		.0	275,713,891	.0
	41-1858498	OptumInsight, Inc.	.0	.0	.0	.0	372,344,816	.0		.0	372,344,816	.0
	01-0516051	OptumRx, Inc.	.0	.0	.0	.0	7,200,345,063	.0		.0	7,200,345,063	.0
	13-3818652	OrthoNet LLC	.0	.0	.0	.0	1,285,451	.0		.0	1,285,451	.0
	30-0029448	OrthoNet of the Mid-Atlantic, Inc.	.0	.0	.0	.0	(24,347)	.0		.0	(24,347)	.0
78026	22-2797560	Oxford Health Insurance, Inc.	(390,000,000)	.0	.0	.0	(919,112,682)	(60,252)		.0	(1,309,172,934)	2,281,691
96798	06-1181201	Oxford Health Plans (CT), Inc.	(50,000,000)	.0	.0	.0	(285,729,226)	(1,672,256)		.0	(337,401,482)	10,279
95506	22-2745725	Oxford Health Plans (NJ), Inc.	(38,000,000)	.0	.0	.0	(110,816,497)	1,619,813		.0	(147,196,684)	951,150
95479	06-1181200	Oxford Health Plans (NY), Inc.	(25,000,000)	.0	.0	.0	(138,963,104)	.0		.0	(163,963,104)	.0
	52-2443751	Oxford Health Plans LLC	113,000,000	.0	.0	.0	.0	.0		.0	113,000,000	.0
70785	35-1137395	PacifiCare Life and Health Insurance Company	(3,400,000)	.0	.0	.0	(1,333,774)	161		.0	(4,733,613)	12,290
84506	95-2829463	PacifiCare Life Assurance Company	.0	.0	.0	.0	104,913	.0		.0	104,913	.0
95617	94-3267522	PacifiCare of Arizona, Inc.	(6,000,000)	.0	.0	.0	(1,576,364)	(2,319)		.0	(7,578,683)	.0
95434	84-1011378	PacifiCare of Colorado, Inc.	(27,000,000)	.0	.0	.0	(1,148,067,771)	(4,222,907)		.0	(1,179,290,678)	.0
95685	86-0875231	PacifiCare of Nevada, Inc.	.0	.0	.0	.0	(241,026)	(587)		.0	(241,613)	.0
13607	20-5662149	People's Health, Inc.	.0	.0	.0	.0	826,667,940	.0		.0	826,667,940	.0
11494	04-3677255	Physicians Health Choice of Texas, LLC	.0	10,000,000	.0	.0	(599,774,551)	(311,072)		.0	(590,085,623)	330
11176	65-0885893	Preferred Care Partners, Inc.	.0	.0	.0	.0	(729,114,102)	.0		.0	(729,114,102)	.0
	33-0607478	PrimeCare Medical Network, Inc.	(65,000,000)	.0	.0	.0	265,329,148	.0		.0	200,329,148	.0
95482	84-0614905	Rocky Mountain Health Maintenance Organization, Inc.	.0	.0	.0	.0	(74,687,769)	.0		.0	(74,687,769)	.0
47004	84-1224718	Rocky Mountain HealthCare Options, Inc.	.0	.0	.0	.0	(923,015)	.0		.0	(923,015)	.0
71420	94-0734860	Sierra Health and Life Insurance Company	(150,000,000)	.0	.0	.0	(2,116,487,285)	(59,583)		.0	(2,266,546,868)	(40,405)
	88-0201420	Southwest Medical Associates, Inc.	.0	.0	.0	.0	207,501,109	.0		.0	207,501,109	.0
	71-0886811	Spectera of New York, IPA, Inc.	.0	.0	.0	.0	34,298	.0		.0	34,298	.0
	52-1260282	Spectera, Inc.	.0	.0	.0	.0	23,860,408	.0		.0	23,860,408	.0
84549	38-2044243	Symphonix Health Insurance	.0	55,000,000	.0	.0	(302,460,305)	.0		.0	(247,460,305)	.0
	94-3077084	U.S. Behavioral Health Plan, California	.0	.0	.0	.0	48,666,233	.0		.0	48,666,233	.0
	95-2931460	UHC of California	(50,000,000)	.0	.0	.0	(1,089,514,870)	.0		.0	(1,139,514,870)	.0
91529	52-1996029	Unimerica Insurance Company	(18,000,000)	.0	.0	.0	(35,170,926)	11,359,492		.0	(41,811,434)	(4,741,135)
11596	01-0637149	Unimerica Life Insurance Company of New York	.0	.0	.0	.0	(1,423,838)	.0		.0	(1,423,838)	.0
	20-5917714	Unison Health Plan of Delaware, Inc.	(35,000,000)	.0	.0	.0	(1,231,130)	(3,937,573)		.0	(40,168,703)	.0
	94-2649097	United Behavioral Health	.0	.0	.0	.0	1,505,191,000	.0		.0	1,505,191,000	.0
	41-1868911	United Behavioral Health of New York, I.P.A., Inc.	.0	.0	.0	.0	1,798,006	.0		.0	1,798,006	.0
	41-1289245	United HealthCare Services, Inc.	2,822,000,000	(409,000,000)	.0	.0	12,252,969,100	.0		.0	14,665,969,100	.0
	41-1321939	UnitedHealth Group Incorporated	.0	.0	.0	.0	2,248,956,966	.0		.0	2,248,956,966	.0
95174	33-0115163	UnitedHealthcare Benefits of Texas, Inc.	(20,000,000)	.0	.0	.0	(2,499,211,749)	(4,757,933)		.0	(2,523,969,682)	38,352
	47-3221444	UnitedHealthcare Benefits Plan of California	.0	.0	.0	.0	(118,344)	.0		.0	(118,344)	.0

42.1

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
	46-4348775	UnitedHealthcare Community Plan of California, Inc.	(40,000,000)	75,000,000	0	0	(8,351,571)	0		0	26,648,429	0
13168	26-2688274	UnitedHealthcare Community Plan of Georgia, Inc.	0	0	0	0	2,280	0		0	2,280	0
12323	56-2451429	UnitedHealthcare Community Plan of Ohio, Inc.	(25,000,000)	0	0	0	(484,360,601)	9,898,523		0	(499,462,078)	18,462,343
11141	91-2008361	UnitedHealthcare Community Plan of Texas, L.L.C.	0	30,000,000	0	0	(281,430,826)	(2,584,381)		0	(254,015,207)	148,243
95467	38-3204052	UnitedHealthcare Community Plan, Inc.	(18,000,000)	0	0	0	(165,439,881)	4,201,499		0	(179,238,382)	1,207,667
79413	36-2739571	UnitedHealthcare Insurance Company	(1,295,000,000)	250,000,000	0	0	(9,805,486,137)	142,702,777		0	(10,707,783,360)	(467,852,784)
60318	36-3800349	UnitedHealthcare Insurance Company of Illinois	(65,000,000)	0	0	0	(164,732,524)	540,405		0	(229,192,119)	87,196
60093	11-3283886	UnitedHealthcare Insurance Company of New York	0	0	0	0	(552,996,181)	(70,689,059)		0	(623,685,240)	283,685,417
12231	20-1902768	UnitedHealthcare Insurance Company of the River Valley	0	0	0	0	(179,835,631)	525,580		0	(179,310,051)	130,438
97179	86-0207231	UnitedHealthcare Life insurance Company	0	0	0	0	(45,202,639)	(15,023)		0	(45,217,662)	(12,290)
95784	63-0899562	UnitedHealthcare of Alabama, Inc.	(5,000,000)	0	0	0	(16,043,987)	(94,750)		0	(21,138,737)	0
96016	86-0507074	UnitedHealthcare of Arizona, Inc.	(8,000,000)	0	0	0	(14,221,080)	(135,265)		0	(22,356,345)	0
95446	63-1036819	UnitedHealthcare of Arkansas, Inc.	(3,300,000)	0	0	0	(12,337,845)	(64,841)		0	(15,702,686)	0
95090	84-1004639	UnitedHealthcare of Colorado, Inc.	0	0	0	0	(16,324,773)	(104,874)		0	(16,429,647)	0
95264	59-1293865	UnitedHealthcare of Florida, Inc.	(65,000,000)	0	0	0	(392,136,019)	(2,500,082)		0	(459,636,101)	0
95850	58-1653544	UnitedHealthcare of Georgia, Inc.	0	0	0	0	(49,310,328)	(361,566)		0	(49,671,894)	0
95776	36-3280214	UnitedHealthcare of Illinois, Inc.	(5,500,000)	0	0	0	(23,239,317)	(189,296)		0	(28,928,613)	56
96644	62-1240316	UnitedHealthcare of Kentucky, Ltd.	0	0	0	0	(19,219,677)	(160,358)		0	(19,380,035)	0
95833	72-1074008	UnitedHealthcare of Louisiana, Inc.	0	0	0	0	(276,890,193)	(9,124,265)		0	(286,014,458)	453,507
95716	63-1036817	UnitedHealthcare of Mississippi, Inc.	0	0	0	0	(115,997,080)	1,030,943		0	(114,966,137)	297,735
95149	05-0413469	UnitedHealthcare of New England, Inc.	0	0	0	0	(281,504,581)	(1,544,549)		0	(283,049,130)	2,622,094
13214	26-2697886	UnitedHealthcare of New Mexico	(10,000,000)	0	0	0	(99,520,740)	1,611,257		0	(107,909,483)	585,145
95085	06-1172891	UnitedHealthcare of New York, Inc.	0	0	0	0	(1,068,301,751)	0		0	(1,068,301,751)	0
95103	56-1461010	UnitedHealthcare of North Carolina, Inc.	(30,000,000)	0	0	0	(54,691,016)	(563,269)		0	(85,254,285)	0
95186	31-1142815	UnitedHealthcare of Ohio, Inc.	(30,000,000)	0	0	0	(8,477,273)	(58,492)		0	(38,535,765)	0
96903	33-0115166	UnitedHealthcare of Oklahoma, Inc.	(22,500,000)	0	0	0	(73,500,809)	0		0	(96,000,809)	0
95893	93-0938819	UnitedHealthcare of Oregon, Inc.	0	0	0	0	(232,091,905)	0		0	(232,091,905)	0
95220	25-1756858	UnitedHealthcare of Pennsylvania, Inc.	(55,000,000)	0	0	0	(230,597,295)	(1,239,032)		0	(286,836,327)	0
95765	95-3939697	UnitedHealthcare of Texas, Inc.	(8,000,000)	0	0	0	(15,558,290)	(177,422)		0	(23,735,712)	197
95025	52-1130183	UnitedHealthcare of the Mid-Atlantic, Inc.	0	0	0	0	(157,058,648)	(1,002,542)		0	(158,061,190)	74
95591	47-0676824	UnitedHealthcare of the Midlands, Inc.	(15,000,000)	0	0	0	(610,122,416)	(12,433,781)		0	(637,556,197)	0
96385	43-1361841	UnitedHealthcare of the Midwest, Inc.	(25,000,000)	0	0	0	(272,320,017)	(32,191,490)		0	(329,511,507)	130,535,806
95501	41-1488563	UnitedHealthcare of Utah, Inc.	0	0	0	0	(696,697,980)	(811,366)		0	(697,509,346)	0
48038	91-1312551	UnitedHealthcare of Washington, Inc.	(10,500,000)	0	0	0	(182,557,822)	0		0	(193,057,822)	0
95710	39-1555888	UnitedHealthcare of Wisconsin, Inc.	(175,000,000)	0	0	0	(1,287,073,071)	(8,472,962)		0	(1,470,546,033)	0

SCHEDULE Y

PART 2 - SUMMARY OF INSURER'S TRANSACTIONS WITH ANY AFFILIATES

1	2	3	4	5	6	7	8	9	10	11	12	13
NAIC Company Code	ID Number	Names of Insurers and Parent, Subsidiaries or Affiliates	Shareholder Dividends	Capital Contributions	Purchases, Sales or Exchanges of Loans, Securities, Real Estate, Mortgage Loans or Other Investments	Income/ (Disbursements) Incurred in Connection with Guarantees or Undertakings for the Benefit of any Affiliate(s)	Management Agreements and Service Contracts	Income/ (Disbursements) Incurred Under Reinsurance Agreements	*	Any Other Material Activity Not in the Ordinary Course of the Insurer's Business	Totals	Reinsurance Recoverable/ (Payable) on Losses and/or Reserve Credit Taken/(Liability)
95378	36-3379945	UnitedHealthcare Plan of the River Valley, Inc.	0	60,000,000	0	0	(617,273,132)	(6,108,850)		0	(563,381,982)	0
	47-0854646	UnitedHealthcare Service LLC	0	0	0	0	904,374	0		0	904,374	0
	01-0518346	UnitedHealthcare Specialty Benefits, LLC	0	0	0	0	22,230	0		0	22,230	0
	35-2314192	WellMed Network of Florida, Inc.	0	0	0	0	882,670,634	0		0	882,670,634	0
	74-2889447	WellMed Networks, Inc.	0	0	0	0	2,619,166,239	0		0	2,619,166,239	0
9999999	Control Totals		0	0	0	0	0	0	XXX	0	0	0

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing unless specifically waived by the domiciliary state. However, in the event that your domiciliary state waives the filing requirement, your response of WAIVED to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	Responses
MARCH FILING	
1. Will the Supplemental Compensation Exhibit be filed with the state of domicile by March 1?	YES
2. Will an actuarial opinion be filed by March 1?	YES
3. Will the confidential Risk-based Capital Report be filed with the NAIC by March 1?.....	YES
4. Will the confidential Risk-based Capital Report be filed with the state of domicile, if required, by March 1?.....	YES
APRIL FILING	
5. Will Management's Discussion and Analysis be filed by April 1?	YES
6. Will the Supplemental Investment Risks Interrogatories be filed by April 1?	YES
7. Will the Accident and Health Policy Experience Exhibit be filed by April 1?	YES
JUNE FILING	
8. Will an audited financial report be filed by June 1?	YES
9. Will Accountant's Letter of Qualifications be filed with the state of domicile and electronically with the NAIC by June 1?	YES









AUGUST FILING	
10. Will the regulator-only (non-public) Communication of Internal Control Related Matters Noted in Audit be filed with the state of domicile and electronically with the NAIC (as a regulator-only non-public document) by August 1?	YES

The following supplemental reports are required to be filed as part of your annual statement filing **if your company is engaged in the type of business covered by the supplement. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below.** If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

MARCH FILING	
11. Will the Medicare Supplement Insurance Experience Exhibit be filed with the state of domicile and the NAIC by March 1?	NO
12. Will the Supplemental Life data due March 1 be filed with the state of domicile and the NAIC?	NO
13. Will Schedule SIS (Stockholder Information Supplement) be filed with the state of domicile by March 1?.....	NO
14. Will the actuarial opinion on participating and non-participating policies as required in Interrogatories 1 and 2 on Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1?.....	NO
15. Will the actuarial opinion on non-guaranteed elements as required in Interrogatory 3 to Exhibit 5 to Life Supplement be filed with the state of domicile and electronically with the NAIC by March 1?.....	NO
16. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC by March 1?.....	NO
17. Will an approval from the reporting entity's state of domicile for relief related to the five-year rotation requirement for lead audit partner be filed electronically with the NAIC by March 1?	NO
18. Will an approval from the reporting entity's state of domicile for relief related to the one-year cooling off period for independent CPA be filed electronically with the NAIC by March 1?	NO
19. Will an approval from the reporting entity's state of domicile for relief related to the Requirements for Audit Committees be filed electronically with the NAIC by March 1?.....	NO
APRIL FILING	
20. Will the Long-Term Care Experience Reporting Forms be filed with the state of domicile and the NAIC by April 1?	NO
21. Will the Supplemental Life data due April 1 be filed with the state of domicile and the NAIC?	NO
22. Will the Supplemental Health Care Exhibit (Parts 1, 2 and 3) be filed with the state of domicile and the NAIC by April 1?	YES
23. Will the regulator only (non-public) Supplemental Health Care Exhibit's Expense Allocation Report be filed with the state of domicile and the NAIC by April 1?	YES
24. Will the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit be filed with the state of domicile and the NAIC by April 1?	YES
25. Will the Adjustments to the Life, Health & Annuity Guaranty Association Model Act Assessment Base Reconciliation Exhibit (if required) be filed with the state of domicile and the NAIC by April 1?	YES
AUGUST FILING	
26. Will Management's Report of Internal Control Over Financial Reporting be filed with the state of domicile by August 1?	YES

- Explanations:
- 11.
 - 12.
 - 13.
 - 14.
 - 15.
 - 16.
 - 17.
 - 18.
 - 19.
 - 20.
 - 21.

Bar Codes:

11. Medicare Supplement Insurance Experience Exhibit [Document Identifier 360]	
12. Life Supplement [Document Identifier 205]	
13. SIS Stockholder Information Supplement [Document Identifier 420]	
14. Participating Opinion for Exhibit 5 [Document Identifier 371]	
15. Non-Guaranteed Opinion for Exhibit 5 [Document Identifier 370]	
16. Medicare Part D Coverage Supplement [Document Identifier 365]	
17. Relief from the five-year rotation requirement for lead audit partner [Document Identifier 224]	
18. Relief from the one-year cooling off period for independent CPA [Document Identifier 225]	

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

19. Relief from the Requirements for Audit Committees [Document Identifier 226]



20. Long-Term Care Experience Reporting Forms [Document Identifier 306]



21. Life Supplement [Document Identifier 211]



OVERFLOW PAGE FOR WRITE-INS

Additional Write-ins for Assets Line 25

	Current Year			Prior Year
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	4 Net Admitted Assets
2504. Taxes Receivable-State	11,062	0	11,062	0
2505. Miscellaneous Receivables	2,547	2,547	0	0
2597. Summary of remaining write-ins for Line 25 from overflow page	13,609	2,547	11,062	0

Additional Write-ins for Underwriting and Investment Exhibit Part 3 Line 25

	Claim Adjustment Expenses		3 General Administrative Expenses	4 Investment Expenses	5 Total
	1 Cost Containment Expenses	2 Other Claim Adjustment Expenses			
2504. Miscellaneous Losses	7,496	4,404	10,767	0	22,667
2505. Professional Fees\Consulting	2,128,612	1,250,568	3,057,275	0	6,436,455
2506. Sundry General Expenses	13,312,058	6,204,421	15,564,446	0	35,080,925
2597. Summary of remaining write-ins for Line 25 from overflow page	15,448,166	7,459,393	18,632,488	0	41,540,047

Additional Write-ins for Exhibit of Nonadmitted Assets Line 25

	1 Current Year Total Nonadmitted Assets	2 Prior Year Total Nonadmitted Assets	3 Change in Total Nonadmitted Assets (Col. 2 - Col. 1)
2504. Liquidated Damages Receivable	0	13,000	13,000
2597. Summary of remaining write-ins for Line 25 from overflow page	0	13,000	13,000

SUMMARY INVESTMENT SCHEDULE

Investment Categories	Gross Investment Holdings		Admitted Assets as Reported in the Annual Statement			
	1 Amount	2 Percentage	3 Amount	4 Securities Lending Reinvested Collateral Amount	5 Total (Col. 3 + 4) Amount	6 Percentage
1. Bonds:						
1.1 U.S. treasury securities	117,746,628	11.996	117,746,628	0	117,746,628	11.996
1.2 U.S. government agency obligations (excluding mortgage-backed securities):						
1.21 Issued by U.S. government agencies	0	0.000	0	0	0	0.000
1.22 Issued by U.S. government sponsored agencies	10,711,450	1.091	10,711,450	0	10,711,450	1.091
1.3 Non-U.S. government (including Canada, excluding mortgaged-backed securities)	0	0.000	0	0	0	0.000
1.4 Securities issued by states, territories, and possessions and political subdivisions in the U.S. :						
1.41 States, territories and possessions general obligations	16,163,116	1.647	16,163,116	0	16,163,116	1.647
1.42 Political subdivisions of states, territories and possessions and political subdivisions general obligations	27,854,571	2.838	27,854,571	0	27,854,571	2.838
1.43 Revenue and assessment obligations	128,245,265	13.066	128,245,265	0	128,245,265	13.066
1.44 Industrial development and similar obligations	0	0.000	0	0	0	0.000
1.5 Mortgage-backed securities (includes residential and commercial MBS):						
1.51 Pass-through securities:						
1.511 Issued or guaranteed by GNMA	120	0.000	120	0	120	0.000
1.512 Issued or guaranteed by FNMA and FHLMC	48,988,552	4.991	48,988,552	0	48,988,552	4.991
1.513 All other	0	0.000	0	0	0	0.000
1.52 CMOs and REMICs:						
1.521 Issued or guaranteed by GNMA, FNMA, FHLMC or VA	1,747,770	0.178	1,747,770	0	1,747,770	0.178
1.522 Issued by non-U.S. Government issuers and collateralized by mortgage-backed securities issued or guaranteed by agencies shown in Line 1.521	5,186,028	0.528	5,186,028	0	5,186,028	0.528
1.523 All other	0	0.000	0	0	0	0.000
2. Other debt and other fixed income securities (excluding short-term):						
2.1 Unaffiliated domestic securities (includes credit tenant loans and hybrid securities)	206,242,967	21.013	206,242,967	0	206,242,967	21.013
2.2 Unaffiliated non-U.S. securities (including Canada)	17,528,276	1.786	17,528,276	0	17,528,276	1.786
2.3 Affiliated securities	0	0.000	0	0	0	0.000
3. Equity interests:						
3.1 Investments in mutual funds	0	0.000	0	0	0	0.000
3.2 Preferred stocks:						
3.21 Affiliated	0	0.000	0	0	0	0.000
3.22 Unaffiliated	0	0.000	0	0	0	0.000
3.3 Publicly traded equity securities (excluding preferred stocks):						
3.31 Affiliated	0	0.000	0	0	0	0.000
3.32 Unaffiliated	0	0.000	0	0	0	0.000
3.4 Other equity securities:						
3.41 Affiliated	0	0.000	0	0	0	0.000
3.42 Unaffiliated	0	0.000	0	0	0	0.000
3.5 Other equity interests including tangible personal property under lease:						
3.51 Affiliated	0	0.000	0	0	0	0.000
3.52 Unaffiliated	0	0.000	0	0	0	0.000
4. Mortgage loans:						
4.1 Construction and land development	0	0.000	0	0	0	0.000
4.2 Agricultural	0	0.000	0	0	0	0.000
4.3 Single family residential properties	0	0.000	0	0	0	0.000
4.4 Multifamily residential properties	0	0.000	0	0	0	0.000
4.5 Commercial loans	0	0.000	0	0	0	0.000
4.6 Mezzanine real estate loans	0	0.000	0	0	0	0.000
5. Real estate investments:						
5.1 Property occupied by company	0	0.000	0	0	0	0.000
5.2 Property held for production of income (including \$ 0 of property acquired in satisfaction of debt)	0	0.000	0	0	0	0.000
5.3 Property held for sale (including \$ 0 property acquired in satisfaction of debt)	0	0.000	0	0	0	0.000
6. Contract loans	0	0.000	0	0	0	0.000
7. Derivatives	0	0.000	0	0	0	0.000
8. Receivables for securities	0	0.000	0	0	0	0.000
9. Securities Lending (Line 10, Asset Page reinvested collateral)	0	0.000	0	XXX	XXX	XXX
10. Cash, cash equivalents and short-term investments	389,306,662	39.664	389,306,662	0	389,306,662	39.664
11. Other invested assets	11,787,076	1.201	11,787,076	0	11,787,076	1.201
12. Total invested assets	981,508,481	100.000	981,508,481	0	981,508,481	100.000

Schedule A - Verification - Real Estate

N O N E

Schedule B - Verification - Mortgage Loans

N O N E

SCHEDULE BA - VERIFICATION BETWEEN YEARS

Other Long-Term Invested Assets

1.	Book/adjusted carrying value, December 31 of prior year	13,660,076
2.	Cost of acquired:	
2.1	Actual cost at time of acquisition (Part 2, Column 8)	0
2.2	Additional investment made after acquisition (Part 2, Column 9)	0
3.	Capitalized deferred interest and other:	
3.1	Totals, Part 1, Column 16	0
3.2	Totals, Part 3, Column 12	0
4.	Accrual of discount	0
5.	Unrealized valuation increase (decrease):	
5.1	Totals, Part 1, Column 13	0
5.2	Totals, Part 3, Column 9	0
6.	Total gain (loss) on disposals, Part 3, Column 19	0
7.	Deduct amounts received on disposals, Part 3, Column 16	0
8.	Deduct amortization of premium and depreciation	1,873,000
9.	Total foreign exchange change in book/adjusted carrying value:	
9.1	Totals, Part 1, Column 17	0
9.2	Totals, Part 3, Column 14	0
10.	Deduct current year's other than temporary impairment recognized:	
10.1	Totals, Part 1, Column 15	0
10.2	Totals, Part 3, Column 11	0
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)	11,787,076
12.	Deduct total nonadmitted amounts	0
13.	Statement value at end of current period (Line 11 minus Line 12)	11,787,076

SCHEDULE D - VERIFICATION BETWEEN YEARS

Bonds and Stocks

1.	Book/adjusted carrying value, December 31 of prior year	577,108,274
2.	Cost of bonds and stocks acquired, Part 3, Column 7	207,905,225
3.	Accrual of discount	484,609
4.	Unrealized valuation increase (decrease):	
4.1	Part 1, Column 12	0
4.2	Part 2, Section 1, Column 15	0
4.3	Part 2, Section 2, Column 13	0
4.4	Part 4, Column 11	0
5.	Total gain (loss) on disposals, Part 4, Column 19	8,543
6.	Deduction consideration for bonds and stocks disposed of, Part 4, Column 7	199,127,894
7.	Deduct amortization of premium	5,914,176
8.	Total foreign exchange change in book/adjusted carrying value:	
8.1	Part 1, Column 15	0
8.2	Part 2, Section 1, Column 19	0
8.3	Part 2, Section 2, Column 16	0
8.4	Part 4, Column 15	0
9.	Deduct current year's other than temporary impairment recognized:	
9.1	Part 1, Column 14	0
9.2	Part 2, Section 1, Column 17	0
9.3	Part 2, Section 2, Column 14	0
9.4	Part 4, Column 13	49,839
10.	Total investment income recognized as a result of prepayment penalties and/or acceleration fees, Notes 5R, Line 5R(2)	0
11.	Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	580,414,742
12.	Deduct total nonadmitted amounts	0
13.	Statement value at end of current period (Line 11 minus Line 12)	580,414,742

SCHEDULE D - SUMMARY BY COUNTRY

Long-Term Bonds and Stocks OWNED December 31 of Current Year

Description		1 Book/Adjusted Carrying Value	2 Fair Value	3 Actual Cost	4 Par Value of Bonds
BONDS					
Governments (Including all obligations guaranteed by governments)	1. United States	117,746,744	116,502,160	117,645,642	118,320,120
	2. Canada	0	0	0	0
	3. Other Countries	0	0	0	0
	4. Totals	117,746,744	116,502,160	117,645,642	118,320,120
U.S. States, Territories and Possessions (Direct and guaranteed)	5. Totals	16,163,115	16,004,393	17,068,463	13,750,000
U.S. Political Subdivisions of States, Territories and Possessions (Direct and guaranteed)	6. Totals	27,854,571	27,645,341	29,512,661	24,735,000
U.S. Special Revenue and Special Assessment Obligations and all Non- Guaranteed Obligations of Agencies and Authorities of Governments and their Political Subdivisions	7. Totals	189,693,035	187,848,956	197,525,433	173,881,960
Industrial and Miscellaneous, SVO Identified Funds, Bank Loans and Hybrid Securities (unaffiliated)	8. United States	211,428,996	208,504,239	212,038,394	211,299,118
	9. Canada	9,686,956	9,597,670	9,734,292	9,745,034
	10. Other Countries	7,841,323	7,739,126	7,840,191	7,830,000
	11. Totals	228,957,275	225,841,035	229,612,877	228,874,152
Parent, Subsidiaries and Affiliates	12. Totals	0	0	0	0
	13. Total Bonds	580,414,740	573,841,885	591,365,076	559,561,232
PREFERRED STOCKS					
Industrial and Miscellaneous (unaffiliated)	14. United States	0	0	0	0
	15. Canada	0	0	0	0
	16. Other Countries	0	0	0	0
	17. Totals	0	0	0	0
Parent, Subsidiaries and Affiliates	18. Totals	0	0	0	0
	19. Total Preferred Stocks	0	0	0	0
COMMON STOCKS					
Industrial and Miscellaneous (unaffiliated)	20. United States	0	0	0	0
	21. Canada	0	0	0	0
	22. Other Countries	0	0	0	0
	23. Totals	0	0	0	0
Parent, Subsidiaries and Affiliates	24. Totals	0	0	0	0
	25. Total Common Stocks	0	0	0	0
	26. Total Stocks	0	0	0	0
	27. Total Bonds and Stocks	580,414,740	573,841,885	591,365,076	

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 1

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
1. U.S. Governments												
1.1 NAIC 1	27,044,923	81,783,454	21,387,363	0	0	XXX	130,215,740	21.2	125,016,399	20.6	130,215,740	0
1.2 NAIC 2	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.3 NAIC 3	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.4 NAIC 4	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.5 NAIC 5	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.6 NAIC 6	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.7 Totals	27,044,923	81,783,454	21,387,363	0	0	XXX	130,215,740	21.2	125,016,399	20.6	130,215,740	0
2. All Other Governments												
2.1 NAIC 1	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.2 NAIC 2	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.3 NAIC 3	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.4 NAIC 4	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.5 NAIC 5	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.6 NAIC 6	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.7 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3. U.S. States, Territories and Possessions etc., Guaranteed												
3.1 NAIC 1	0	1,361,007	14,802,109	0	0	XXX	16,163,116	2.6	24,982,237	4.1	16,163,116	0
3.2 NAIC 2	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.3 NAIC 3	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.4 NAIC 4	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.5 NAIC 5	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.6 NAIC 6	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.7 Totals	0	1,361,007	14,802,109	0	0	XXX	16,163,116	2.6	24,982,237	4.1	16,163,116	0
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed												
4.1 NAIC 1	0	23,459,217	4,395,354	0	0	XXX	27,854,571	4.5	35,493,249	5.8	27,854,571	0
4.2 NAIC 2	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.3 NAIC 3	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.4 NAIC 4	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.5 NAIC 5	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.6 NAIC 6	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.7 Totals	0	23,459,217	4,395,354	0	0	XXX	27,854,571	4.5	35,493,249	5.8	27,854,571	0
5. U.S. Special Revenue & Special Assessment Obligations, etc., Non-Guaranteed												
5.1 NAIC 1	7,838,462	91,503,597	69,503,055	15,646,699	2,774,816	XXX	187,266,629	30.5	206,586,148	34.0	187,266,629	0
5.2 NAIC 2	0	0	2,426,408	0	0	XXX	2,426,408	0.4	3,093,725	0.5	2,426,408	0
5.3 NAIC 3	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.4 NAIC 4	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.5 NAIC 5	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.6 NAIC 6	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.7 Totals	7,838,462	91,503,597	71,929,463	15,646,699	2,774,816	XXX	189,693,037	30.8	209,679,873	34.5	189,693,037	0

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ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
6. Industrial & Miscellaneous (Unaffiliated)												
6.1 NAIC 1	78,386,517	90,839,391	22,155,752	0	0	XXX	191,381,660	31.1	150,977,169	24.8	146,715,717	44,665,943
6.2 NAIC 2	4,794,109	26,285,318	27,343,218	1,215,000	0	XXX	59,637,645	9.7	61,779,970	10.2	58,195,597	1,442,048
6.3 NAIC 3	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
6.4 NAIC 4	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
6.5 NAIC 5	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
6.6 NAIC 6	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
6.7 Totals	83,180,626	117,124,709	49,498,970	1,215,000	0	XXX	251,019,305	40.8	212,757,139	35.0	204,911,314	46,107,991
7. Hybrid Securities												
7.1 NAIC 1	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.2 NAIC 2	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.3 NAIC 3	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.4 NAIC 4	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.5 NAIC 5	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.6 NAIC 6	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.7 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8. Parent, Subsidiaries and Affiliates												
8.1 NAIC 1	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.2 NAIC 2	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.3 NAIC 3	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.4 NAIC 4	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.5 NAIC 5	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.6 NAIC 6	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.7 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
9. SVO Identified Funds												
9.1 NAIC 1	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.2 NAIC 2	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.3 NAIC 3	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.4 NAIC 4	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.5 NAIC 5	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.6 NAIC 6	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.7 Totals	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
10. Bank Loans												
10.1 NAIC 1	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
10.2 NAIC 2	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
10.3 NAIC 3	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
10.4 NAIC 4	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
10.5 NAIC 5	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
10.6 NAIC 6	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
10.7 Totals	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 1 (Continued)

Quality and Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Types of Issues and NAIC Designations

NAIC Designation	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed (a)
11. Total Bonds Current Year												
11.1 NAIC 1	(d) 113,269,902	288,946,666	132,243,633	15,646,699	2,774,816	0	552,881,716	89.9	XXX	XXX	508,215,773	44,665,943
11.2 NAIC 2	(d) 4,794,109	26,285,318	29,769,626	1,215,000	0	0	62,064,053	10.1	XXX	XXX	60,622,005	1,442,048
11.3 NAIC 3	(d) 0	0	0	0	0	0	0	0.0	XXX	XXX	0	0
11.4 NAIC 4	(d) 0	0	0	0	0	0	0	0.0	XXX	XXX	0	0
11.5 NAIC 5	(d) 0	0	0	0	0	0	0	0.0	XXX	XXX	0	0
11.6 NAIC 6	(d) 0	0	0	0	0	0	0	0.0	XXX	XXX	0	0
11.7 Totals	118,064,011	315,231,984	162,013,259	16,861,699	2,774,816	0	(b) 614,945,769	100.0	XXX	XXX	568,837,778	46,107,991
11.8 Line 11.7 as a % of Col. 7	19.2	51.3	26.3	2.7	0.5	0.0	100.0	XXX	XXX	XXX	92.5	7.5
12. Total Bonds Prior Year												
12.1 NAIC 1	164,097,103	232,960,114	134,523,608	10,021,769	1,452,608	0	XXX	XXX	543,055,202	89.3	504,163,042	38,892,160
12.2 NAIC 2	18,918,764	22,922,687	22,588,913	443,331	0	0	XXX	XXX	64,873,695	10.7	61,455,598	3,418,097
12.3 NAIC 3	0	0	0	0	0	0	XXX	XXX	0	0.0	0	0
12.4 NAIC 4	0	0	0	0	0	0	XXX	XXX	0	0.0	0	0
12.5 NAIC 5	0	0	0	0	0	0	XXX	XXX	0	0.0	0	0
12.6 NAIC 6	0	0	0	0	0	0	XXX	XXX	0	0.0	0	0
12.7 Totals	183,015,867	255,882,801	157,112,521	10,465,100	1,452,608	0	XXX	XXX	(b) 607,928,897	100.0	565,618,640	42,310,257
12.8 Line 12.7 as a % of Col. 9	30.1	42.1	25.8	1.7	0.2	0.0	XXX	XXX	100.0	XXX	93.0	7.0
13. Total Publicly Traded Bonds												
13.1 NAIC 1	99,022,934	260,610,652	130,160,671	15,646,699	2,774,816	0	508,215,772	82.6	504,163,042	82.9	508,215,772	XXX
13.2 NAIC 2	4,094,264	26,285,318	29,027,423	1,215,000	0	0	60,622,005	9.9	61,455,598	10.1	60,622,005	XXX
13.3 NAIC 3	0	0	0	0	0	0	0	0.0	0	0.0	0	XXX
13.4 NAIC 4	0	0	0	0	0	0	0	0.0	0	0.0	0	XXX
13.5 NAIC 5	0	0	0	0	0	0	0	0.0	0	0.0	0	XXX
13.6 NAIC 6	0	0	0	0	0	0	0	0.0	0	0.0	0	XXX
13.7 Totals	103,117,198	286,895,970	159,188,094	16,861,699	2,774,816	0	568,837,777	92.5	565,618,640	93.0	568,837,777	XXX
13.8 Line 13.7 as a % of Col. 7	18.1	50.4	28.0	3.0	0.5	0.0	100.0	XXX	XXX	XXX	100.0	XXX
13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11	16.8	46.7	25.9	2.7	0.5	0.0	92.5	XXX	XXX	XXX	92.5	XXX
14. Total Privately Placed Bonds												
14.1 NAIC 1	14,246,968	28,336,014	2,082,962	0	0	0	44,665,944	7.3	38,892,160	6.4	XXX	44,665,944
14.2 NAIC 2	699,845	0	742,203	0	0	0	1,442,048	0.2	3,418,097	0.6	XXX	1,442,048
14.3 NAIC 3	0	0	0	0	0	0	0	0.0	0	0.0	XXX	0
14.4 NAIC 4	0	0	0	0	0	0	0	0.0	0	0.0	XXX	0
14.5 NAIC 5	0	0	0	0	0	0	0	0.0	0	0.0	XXX	0
14.6 NAIC 6	0	0	0	0	0	0	0	0.0	0	0.0	XXX	0
14.7 Totals	14,946,813	28,336,014	2,825,165	0	0	0	46,107,992	7.5	42,310,257	7.0	XXX	46,107,992
14.8 Line 14.7 as a % of Col. 7	32.4	61.5	6.1	0.0	0.0	0.0	100.0	XXX	XXX	XXX	XXX	100.0
14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11	2.4	4.6	0.5	0.0	0.0	0.0	7.5	XXX	XXX	XXX	XXX	7.5

- (a) Includes \$ 0 freely tradable under SEC Rule 144 or qualified for resale under SEC Rule 144A.
- (b) Includes \$ 938,703 current year of bonds with Z designations, \$ 949,861 prior year of bonds with Z designations and \$ 0 prior year of bonds with Z* designations. The letter "Z" means the NAIC designation was not assigned by the Securities Valuation Office (SVO) at the date of the statement. "Z*" means the SVO could not evaluate the obligation because valuation procedures for the security class are under regulatory review.
- (c) Includes \$ 0 current year of bonds with 5GI designations, \$ 0 prior year of bonds with 5* or 5GI designations and \$ 0 current year, \$ 0 prior year of bonds with 6* designations. "5GI" means the NAIC designation was assigned by the (SVO) in reliance on the insurer's certification that the issuer is current in all principal and interest payments. "6*" means the NAIC designation was assigned by the SVO due to inadequate certification of principal and interest payments.
- (d) Includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ 33,036,545 ; NAIC 2 \$ 1,494,481 ; NAIC 3 \$ 0 ; NAIC 4 \$ 0 ; NAIC 5 \$ 0 ; NAIC 6 \$ 0

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ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 2

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
1. U.S. Governments												
1.1 Issuer Obligations	27,044,802	81,783,454	21,387,363	0	0	XXX	130,215,619	21.2	125,016,064	20.6	130,215,619	0
1.2 Residential Mortgage-Backed Securities	120	0	0	0	0	XXX	120	0.0	335	0.0	120	0
1.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
1.5 Totals	27,044,922	81,783,454	21,387,363	0	0	XXX	130,215,739	21.2	125,016,399	20.6	130,215,739	0
2. All Other Governments												
2.1 Issuer Obligations	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.2 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
2.5 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3. U.S. States, Territories and Possessions, Guaranteed												
3.1 Issuer Obligations	0	1,361,007	14,802,109	0	0	XXX	16,163,116	2.6	24,982,237	4.1	16,163,116	0
3.2 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
3.5 Totals	0	1,361,007	14,802,109	0	0	XXX	16,163,116	2.6	24,982,237	4.1	16,163,116	0
4. U.S. Political Subdivisions of States, Territories and Possessions, Guaranteed												
4.1 Issuer Obligations	0	23,459,217	4,395,354	0	0	XXX	27,854,571	4.5	35,493,249	5.8	27,854,571	0
4.2 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
4.5 Totals	0	23,459,217	4,395,354	0	0	XXX	27,854,571	4.5	35,493,249	5.8	27,854,571	0
5. U.S. Special Revenue & Special Assessment Obligations etc., Non-Guaranteed												
5.1 Issuer Obligations	2,314,992	74,446,406	58,604,963	3,590,354	0	XXX	138,956,715	22.6	155,510,592	25.6	138,956,715	0
5.2 Residential Mortgage-Backed Securities	5,340,779	16,482,134	12,606,705	11,784,118	2,774,816	XXX	48,988,552	8.0	52,868,727	8.7	48,988,552	0
5.3 Commercial Mortgage-Backed Securities	182,691	575,057	717,795	272,227	0	XXX	1,747,770	0.3	1,300,555	0.2	1,747,770	0
5.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
5.5 Totals	7,838,462	91,503,597	71,929,463	15,646,699	2,774,816	XXX	189,693,037	30.8	209,679,874	34.5	189,693,037	0
6. Industrial and Miscellaneous												
6.1 Issuer Obligations	50,862,083	63,703,924	49,116,003	1,215,000	0	XXX	164,897,010	26.8	154,767,878	25.5	146,650,096	18,246,914
6.2 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
6.3 Commercial Mortgage-Backed Securities	3,659,297	1,526,731	0	0	0	XXX	5,186,028	0.8	10,611,857	1.7	4,048,375	1,137,653
6.4 Other Loan-Backed and Structured Securities	28,659,246	51,894,054	382,967	0	0	XXX	80,936,267	13.2	47,377,405	7.8	54,212,843	26,723,424
6.5 Totals	83,180,626	117,124,709	49,498,970	1,215,000	0	XXX	251,019,305	40.8	212,757,140	35.0	204,911,314	46,107,991
7. Hybrid Securities												
7.1 Issuer Obligations	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.2 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
7.5 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8. Parent, Subsidiaries and Affiliates												
8.1 Issuer Obligations	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.2 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.3 Commercial Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.4 Other Loan-Backed and Structured Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0
8.5 Totals	0	0	0	0	0	XXX	0	0.0	0	0.0	0	0

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1A - SECTION 2 (Continued)

Maturity Distribution of All Bonds Owned December 31, at Book/Adjusted Carrying Values by Major Type and Subtype of Issues

Distribution by Type	1 1 Year or Less	2 Over 1 Year Through 5 Years	3 Over 5 Years Through 10 Years	4 Over 10 Years Through 20 Years	5 Over 20 Years	6 No Maturity Date	7 Total Current Year	8 Col. 7 as a % of Line 11.7	9 Total from Col. 7 Prior Year	10 % From Col. 8 Prior Year	11 Total Publicly Traded	12 Total Privately Placed
9. SVO Identified Funds												
9.1 Exchange Traded Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.2 Bond Mutual Funds Identified by the SVO	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
9.3 Totals	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	0
10. Bank Loans												
10.1 Bank Loans - Issued	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
10.2 Bank Loans - Acquired	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
10.3 Totals	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
11. Total Bonds Current Year												
11.1 Issuer Obligations	80,221,877	244,754,008	148,305,792	4,805,354	0	XXX	478,087,031	77.7	XXX	XXX	459,840,117	18,246,914
11.2 Residential Mortgage-Backed Securities	5,340,899	16,482,134	12,606,705	11,784,118	2,774,816	XXX	48,988,672	8.0	XXX	XXX	48,988,672	0
11.3 Commercial Mortgage-Backed Securities	3,841,988	2,101,788	717,795	272,227	0	XXX	6,933,798	1.1	XXX	XXX	5,796,145	1,137,653
11.4 Other Loan-Backed and Structured Securities	28,659,246	51,894,054	382,967	0	0	XXX	80,936,267	13.2	XXX	XXX	54,212,843	26,723,424
11.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	0	0	0.0	XXX	XXX	0	0
11.6 Bank Loans	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	0
11.7 Totals	118,064,010	315,231,984	162,013,259	16,861,699	2,774,816	0	614,945,768	100.0	XXX	XXX	568,837,777	46,107,991
11.8 Line 11.7 as a % of Col. 7	19.2	51.3	26.3	2.7	0.5	0.0	100.0	XXX	XXX	XXX	92.5	7.5
12. Total Bonds Prior Year												
12.1 Issuer Obligations	153,477,693	197,872,126	143,261,870	1,158,331	0	XXX	XXX	XXX	495,770,020	81.6	472,826,842	22,943,178
12.2 Residential Mortgage-Backed Securities	7,989,817	21,135,229	13,298,932	8,992,476	1,452,608	XXX	XXX	XXX	52,869,062	8.7	52,869,062	0
12.3 Commercial Mortgage-Backed Securities	2,706,806	8,339,594	551,719	314,293	0	XXX	XXX	XXX	11,912,412	2.0	10,767,956	1,144,456
12.4 Other Loan-Backed and Structured Securities	18,841,553	28,535,852	0	0	0	XXX	XXX	XXX	47,377,405	7.8	29,154,780	18,222,625
12.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	0	XXX	XXX	0	0.0	0	0
12.6 Bank Loans	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
12.7 Totals	183,015,869	255,882,801	157,112,521	10,465,100	1,452,608	0	XXX	XXX	607,928,899	100.0	565,618,640	42,310,259
12.8 Line 12.7 as a % of Col. 9	30.1	42.1	25.8	1.7	0.2	0.0	XXX	XXX	100.0	XXX	93.0	7.0
13. Total Publicly Traded Bonds												
13.1 Issuer Obligations	70,458,336	238,712,833	145,863,594	4,805,354	0	XXX	459,840,117	74.8	472,826,842	77.8	459,840,117	XXX
13.2 Residential Mortgage-Backed Securities	5,340,899	16,482,134	12,606,705	11,784,118	2,774,816	XXX	48,988,672	8.0	52,869,062	8.7	48,988,672	XXX
13.3 Commercial Mortgage-Backed Securities	3,841,988	964,134	717,795	272,227	0	XXX	5,796,144	0.9	10,767,956	1.8	5,796,144	XXX
13.4 Other Loan-Backed and Structured Securities	23,475,975	30,736,868	0	0	0	XXX	54,212,843	8.8	29,154,780	4.8	54,212,843	XXX
13.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	0	XXX
13.6 Bank Loans	0	0	0	0	0	XXX	0	0.0	XXX	XXX	0	XXX
13.7 Totals	103,117,198	286,895,969	159,188,094	16,861,699	2,774,816	0	568,837,776	92.5	565,618,640	93.0	568,837,776	XXX
13.8 Line 13.7 as a % of Col. 7	18.1	50.4	28.0	3.0	0.5	0.0	100.0	XXX	XXX	XXX	100.0	XXX
13.9 Line 13.7 as a % of Line 11.7, Col. 7, Section 11	16.8	46.7	25.9	2.7	0.5	0.0	92.5	XXX	XXX	XXX	92.5	XXX
14. Total Privately Placed Bonds												
14.1 Issuer Obligations	9,763,541	6,041,175	2,442,198	0	0	XXX	18,246,914	3.0	22,943,178	3.8	XXX	18,246,914
14.2 Residential Mortgage-Backed Securities	0	0	0	0	0	XXX	0	0.0	0	0.0	XXX	0
14.3 Commercial Mortgage-Backed Securities	0	1,137,654	0	0	0	XXX	1,137,654	0.2	1,144,456	0.2	XXX	1,137,654
14.4 Other Loan-Backed and Structured Securities	5,183,271	21,157,186	382,967	0	0	XXX	26,723,424	4.3	18,222,625	3.0	XXX	26,723,424
14.5 SVO Identified Funds	XXX	XXX	XXX	XXX	XXX	0	0	0.0	0	0.0	XXX	0
14.6 Bank Loans	0	0	0	0	0	XXX	0	0.0	XXX	XXX	XXX	0
14.7 Totals	14,946,812	28,336,015	2,825,165	0	0	0	46,107,992	7.5	42,310,259	7.0	XXX	46,107,992
14.8 Line 14.7 as a % of Col. 7	32.4	61.5	6.1	0.0	0.0	0.0	100.0	XXX	XXX	XXX	XXX	100.0
14.9 Line 14.7 as a % of Line 11.7, Col. 7, Section 11	2.4	4.6	0.5	0.0	0.0	0.0	7.5	XXX	XXX	XXX	XXX	7.5

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SCHEDULE DA - VERIFICATION BETWEEN YEARS

Short-Term Investments

	1	2	3	4	5
	Total	Bonds	Mortgage Loans	Other Short-term Investment Assets (a)	Investments in Parent, Subsidiaries and Affiliates
1. Book/adjusted carrying value, December 31 of prior year	25,322,173	25,322,173	0	0	0
2. Cost of short-term investments acquired	6,483,128,566	6,483,128,566	0	0	0
3. Accrual of discount	145,321	145,321	0	0	0
4. Unrealized valuation increase (decrease)	0	0	0	0	0
5. Total gain (loss) on disposals	(343)	(343)	0	0	0
6. Deduct consideration received on disposals	6,479,404,565	6,479,404,565	0	0	0
7. Deduct amortization of premium	154,483	154,483	0	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0	0	0	0
9. Deduct current year's other than temporary impairment recognized	0	0	0	0	0
10. Book adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	29,036,669	29,036,669	0	0	0
11. Deduct total nonadmitted amounts	0	0	0	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	29,036,669	29,036,669	0	0	0

(a) Indicate the category of such assets, for example, joint ventures, transportation equipment:

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION BETWEEN YEARS

(Cash Equivalents)

	1	2	3	4
	Total	Bonds	Money Market Mutual funds	Other (a)
1. Book/adjusted carrying value, December 31 of prior year	136,578,552	5,498,450	131,080,102	0
2. Cost of cash equivalents acquired	5,304,963,724	6,263,771	5,298,699,953	0
3. Accrual of discount	4,299	4,299	0	0
4. Unrealized valuation increase (decrease)	(1,571)	0	(1,571)	0
5. Total gain (loss) on disposals	0	0	0	0
6. Deduct consideration received on disposals	5,081,731,686	6,271,000	5,075,460,686	0
7. Deduct amortization of premium	1,162	1,162	0	0
8. Total foreign exchange change in book/adjusted carrying value	0	0	0	0
9. Deduct current year's other than temporary impairment recognized	0	0	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	359,812,156	5,494,358	354,317,798	0
11. Deduct total nonadmitted amounts	0	0	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	359,812,156	5,494,358	354,317,798	0

(a) Indicate the category of such investments, for example, joint ventures, transportation equipment:

Schedule A - Part 1 - Real Estate Owned

NONE

Schedule A - Part 2 - Real Estate Acquired and Additions Made

NONE

Schedule A - Part 3 - Real Estate Disposed

NONE

Schedule B - Part 1 - Mortgage Loans Owned

NONE

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

NONE

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

NONE

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE BA - PART 1

Showing Other Long-Term Invested Assets OWNED December 31 of Current Year

1	2	3	4 Location		6	7	8	9	10	11	12	Change in Book/Adjusted Carrying Value					18	19	20
			4	5								13	14	15	16	17			
CUSIP Identification	Name or Description	Code	City	State	Name of Vendor or General Partner	NAIC Designation and Administrative Symbol/Market Indicator	Date Originally Acquired	Type and Strategy	Actual Cost	Fair Value	Book/Adjusted Carrying Value Less Encumbrances	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization)/Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Investment Income	Commitment for Additional Investment	Percentage of Ownership
									0	0	0	0	0	0	0	0	0	0	0.000
0199999	Oil and Gas Production - Unaffiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
0299999	Oil and Gas Production - Affiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
0399999	Transportation Equipment - Unaffiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
0499999	Transportation Equipment - Affiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
0599999	Mineral Rights - Unaffiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
0699999	Mineral Rights - Affiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
0799999	Fixed or Variable Rate - Bonds - Unaffiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
0899999	Fixed or Variable Rate - Bonds - Affiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
0999999	Fixed or Variable Rate - Mortgage Loans - Unaffiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
1099999	Fixed or Variable Rate - Mortgage Loans - Affiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
1199999	Fixed or Variable Rate - Other Fixed Income - Unaffiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
1299999	Fixed or Variable Rate - Other Fixed Income - Affiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
1399999	Joint Venture Interests - Fixed Income - Unaffiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
1499999	Joint Venture Interests - Fixed Income - Affiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
1599999	Joint Venture Interests - Common Stock - Unaffiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
1699999	Joint Venture Interests - Common Stock - Affiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
1799999	Joint Venture Interests - Real Estate - Unaffiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
1899999	Joint Venture Interests - Real Estate - Affiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
1999999	Joint Venture Interests - Mortgage Loans - Unaffiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
2099999	Joint Venture Interests - Mortgage Loans - Affiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
2199999	Joint Venture Interests - Other - Unaffiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
2299999	Joint Venture Interests - Other - Affiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
2399999	Surplus Debentures, etc - Unaffiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000
2499999	Surplus Debentures, etc - Affiliated								0	0	0	0	0	0	0	0	0	0	XXX
									0	0	0	0	0	0	0	0	0	0	0.000

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ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE BA - PART 1

Showing Other Long-Term Invested Assets OWNED December 31 of Current Year

1 CUSIP Identification	2 Name or Description	3 Code	4 Location		6 Name of Vendor or General Partner	7 NAIC Designation and Admini- strative Symbol/ Market Indicator	8 Date Originally Acquired	9 Type and Strategy	10 Actual Cost	11 Fair Value	12 Book/ Adjusted Carrying Value Less Encum- brances	Change in Book/Adjusted Carrying Value					18 Invest- ment Income	19 Commit- ment for Additional Invest- ment	20 Percent- age of Owner- ship											
			City	State								13 Unrealized Valuation Increase (Decrease)	14 Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion	15 Current Year's Other- Than- Tempo- rary Impair- ment Recogn- ized	16 Capital- ized Deferred Interest and Other	17 Total Foreign Exchange Change in Book/ Adjusted Carrying Value														
2599999	Collateral Loans - Unaffiliated							0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX		
2699999	Collateral Loans - Affiliated							0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	
2799999	Non-collateral Loans - Unaffiliated							0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	
2899999	Non-collateral Loans - Affiliated							0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	
2999999	Capital Notes - Unaffiliated							0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	
3099999	Capital Notes - Affiliated							0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX	
	US Bancorp Guar Fed LIHTC Fd 2012-5 Prv P			MO	US Bank	.12	07/02/2012	4,501,358	4,501,358	4,501,358	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.000	
								0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.000	
								0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.000	
								0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.000	
								0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.000	
								0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.000	
								0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.000	
								0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.000	
3199999	Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated							4,501,358	4,501,358	4,501,358	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
3299999	Guaranteed Federal Low Income Housing Tax Credit - Affiliated							0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.000
	Enterprise N Guar Fed LIHTC PrvPtc CS			US	Enterprise	.12	08/27/2013	7,285,718	7,285,718	7,285,718	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.000
								0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.000	
								0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.000	
								0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.000	
								0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.000	
3399999	Non-Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated							7,285,718	7,285,718	7,285,718	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
3499999	Non-Guaranteed Federal Low Income Housing Tax Credit - Affiliated							0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.000
3599999	Guaranteed State Low Income Housing Tax Credit - Unaffiliated							0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
3699999	Guaranteed State Low Income Housing Tax Credit - Affiliated							0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.000
3799999	Non-Guaranteed State Low Income Housing Tax Credit - Unaffiliated							0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
3899999	Non-Guaranteed State Low Income Housing Tax Credit - Affiliated							0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.000
4499999	Total - Unaffiliated							11,787,076	11,787,076	11,787,076	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
4599999	Total - Affiliated							0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
4699999	Totals							11,787,076	11,787,076	11,787,076	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX

E07.1

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE December 31 of Current Year

1 CUSIP Identification	2 Name or Description	3 Location		5 Name of Vendor or General Partner	6 Date Originally Acquired	7 Type and Strategy	8 Actual Cost at Time of Acquisition	9 Additional Investment Made After Acquisition	10 Amount of Encumbrances	11 Percentage of Ownership
		City	State							
4499999. Total - Unaffiliated							0	0	0	XXX
4599999. Total - Affiliated							0	0	0	XXX
4699999 - Totals							0	0	0	XXX

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Year

1 CUSIP Identification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/ Adjusted Carrying Value Less Encum- brances, Prior Year	Change in Book/Adjusted Carrying Value						15 Book/ Adjusted Carrying Value Less Encum- brances on Disposal	16 Consid- eration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Invest- ment Income
		3 City	4 State					9 Unrealized Valuation Increase (De- crease)	10 Current Year's (Depre- ciation) or (Amorti- zation)/ Accretion	11 Current Year's Other- Than- Tempo- rary Impair- ment Recogn- ized	12 Capital- ized Deferred Interest and Other	13 Total Change in Book/ Adjusted Carrying Value (9+10- 11+12)	14 Total Foreign Exchange Change in Book/ Adjusted Carrying Value						
	US Bancorp Guar Fed LIHTC Fd 2012-5 Prv P		MO	Paydown	07/02/2012		666,472	0	(666,472)	0	0	0	0	666,472	0	0	0	0	0
3199999	Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated						666,472	0	(666,472)	0	0	0	0	666,472	0	0	0	0	0
	Enterprise N Guar Fed LIHTC PrvPlc CS		US	Paydown	08/27/2013		1,206,528	0	(1,206,528)	0	0	0	0	1,206,528	0	0	0	0	0
3399999	Non-Guaranteed Federal Low Income Housing Tax Credit - Unaffiliated						1,206,528	0	(1,206,528)	0	0	0	0	1,206,528	0	0	0	0	0
4499999	Total - Unaffiliated						1,873,000	0	(1,873,000)	0	0	0	0	1,873,000	0	0	0	0	0
4599999	Total - Affiliated						0	0	0	0	0	0	0	0	0	0	0	0	0
4699999	Totals						1,873,000	0	(1,873,000)	0	0	0	0	1,873,000	0	0	0	0	0

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest					Dates	
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Designation and Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
912828-2B-5	US Treasury Note				1	785,969	.98 8770	791,016	800,000	791,559	.0	5,590	.0	.0	0.750	2.471	FA	2,266	3,000	07/31/2018	08/15/2019
912828-2G-4	US Treasury Note				1	1,179,250	.98 7690	1,185,228	1,200,000	1,200,000	.0	7,367	.0	.0	0.875	2.490	MS	3,133	5,250	08/31/2018	09/15/2019
912828-2R-0	US Treasury Bill				1	2,451,997	.96 8780	2,349,292	2,425,000	2,448,801	.0	(2,520)	.0	.0	2.250	2.123	FA	20,609	54,563	08/31/2017	08/15/2027
912828-3F-5	US Treasury Note				1	2,689,381	.96 7000	2,678,590	2,770,000	2,696,180	.0	6,798	.0	.0	2.250	2.587	MN	8,092	62,325	02/06/2018	11/15/2027
912828-3N-8	US Treasury Note				1	792,125	.99 2830	794,264	800,000	792,999	.0	874	.0	.0	1.875	2.771	JD	41	7,500	11/15/2018	12/31/2019
912828-3M-8	US Treasury Note				1	9,543,713	100 6150	9,789,840	9,730,000	9,555,177	.0	11,465	.0	.0	2.750	2.976	FA	101,068	133,788	04/30/2018	02/15/2028
912828-4N-7	US Treasury Note				1	2,392,113	101 6290	2,449,259	2,410,000	2,392,837	.0	724	.0	.0	2.875	2.963	MN	8,996	34,644	07/31/2018	05/15/2028
912828-4V-9	US Treasury Bill				1	2,408,752	101 6370	2,490,107	2,450,000	2,409,929	.0	1,177	.0	.0	2.875	3.071	FA	26,605	.0	09/20/2018	08/15/2028
912828-D2-3	US Treasury Note				1	1,195,141	.99 7220	1,196,664	1,200,000	1,198,734	.0	3,594	.0	.0	1.625	1.949	AO	3,340	19,500	01/31/2019	04/30/2019
912828-D8-0	US Treasury Bill				1	792,688	.99 3460	794,768	800,000	795,339	.0	2,652	.0	.0	1.625	2.490	FA	4,417	6,500	08/08/2018	08/31/2019
912828-H5-2	US Treasury Note				1	492,363	.98 5640	492,820	500,000	492,512	.0	149	.0	.0	1.250	2.663	JJ	2,615	.0	12/21/2018	01/31/2020
912828-N6-3	US Treasury Note				1	1,591,063	.99 9590	1,599,344	1,600,000	1,599,706	.0	7,686	.0	.0	1.125	1.611	JJ	8,315	18,000	11/15/2017	01/15/2019
912828-N8-9	US Treasury Note				1	15,942,447	.97 7080	15,364,583	15,725,000	15,817,553	.0	(43,730)	.0	.0	1.375	1.088	JJ	90,483	216,219	02/11/2016	01/31/2021
912828-PX-2	US Treasury Note		SD		1	332,475	102 3250	317,208	310,000	322,892	.0	(5,924)	.0	.0	3.625	1.623	FA	4,245	11,238	05/16/2017	02/15/2021
912828-QN-3	US Treasury Note		SD		1	976,641	101 4980	913,482	900,000	936,096	.0	(14,878)	.0	.0	3.125	1.398	MN	3,652	28,125	03/28/2016	05/15/2021
912828-P8-5	US Treasury Note				1	492,070	.99 2740	496,370	500,000	496,763	.0	4,693	.0	.0	0.875	2.320	JD	204	4,375	05/02/2018	06/15/2019
912828-S2-7	US Treasury Note				1	10,781,554	.96 7960	10,386,211	10,730,000	10,756,078	.0	(10,257)	.0	.0	1.125	1.026	JD	333	120,713	06/30/2016	06/30/2021
912828-S7-6	US Treasury Note				1	5,319,668	.96 6350	5,121,655	5,300,000	5,310,311	.0	(3,920)	.0	.0	1.125	1.048	JJ	24,952	59,625	08/04/2016	07/31/2021
912828-T5-9	US Treasury Note				1	1,180,016	.98 7450	1,184,940	1,200,000	1,185,699	.0	5,684	.0	.0	1.000	2.543	AO	2,571	6,000	09/07/2018	10/15/2019
912828-U3-2	US Treasury Note				1	1,384,224	.98 6020	1,390,288	1,410,000	1,389,848	.0	5,624	.0	.0	1.000	2.674	MN	1,831	7,050	11/05/2018	11/15/2019
912828-U0-1	US Treasury Note				1	1,229,785	.98 4700	1,230,875	1,250,000	1,230,067	.0	282	.0	.0	1.250	2.654	FA	5,309	.0	12/24/2018	02/29/2020
912828-V9-8	US Treasury Note				1	1,881,594	.97 2050	1,846,895	1,900,000	1,884,438	.0	1,719	.0	.0	2.250	2.361	FA	16,147	42,750	05/08/2017	02/15/2027
912828-W3-0	US Treasury Note				1	2,332,008	.99 8000	2,345,300	2,350,000	2,347,583	.0	14,997	.0	.0	1.125	1.773	FA	8,983	26,438	12/15/2017	02/28/2019
912828-W9-7	US Treasury Note				1	1,189,922	.99 7160	1,196,592	1,200,000	1,197,862	.0	7,941	.0	.0	1.250	1.986	MS	3,832	15,000	01/31/2018	03/31/2019
912828-WE-6	US Treasury Note		SD		1	632,113	101 1210	616,838	610,000	628,067	.0	(3,487)	.0	.0	2.750	2.107	MN	2,178	16,775	11/02/2017	11/15/2023
912828-YR-6	US Treasury Note				1	9,661,309	.97 6490	9,423,129	9,650,000	9,657,897	.0	(2,313)	.0	.0	1.750	1.724	MN	14,846	168,875	06/07/2017	05/31/2022
912828-XS-4	US Treasury Note				1	1,581,938	.99 4920	1,591,872	1,600,000	1,593,101	.0	11,164	.0	.0	1.250	2.315	MN	1,758	20,000	05/01/2018	05/31/2019
912828-XU-9	US Treasury Note		SD		1	36,413,203	.98 5530	36,464,610	37,000,000	36,631,979	.0	218,776	.0	.0	1.500	2.199	JD	25,920	555,000	02/09/2018	06/15/2020
0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations						117,645,522	XXX	116,502,040	118,320,000	117,746,624	0	231,927	0	0	XXX	XXX	XXX	396,741	1,643,253	XXX	XXX
362220N-VU-8	GNMA Pool 283327 MBS				1	120	100 1750	120	120	120	.0	0	.0	.0	9.000	9.074	MON	.1	.11	11/16/1989	12/15/2019
0299999. Subtotal - Bonds - U.S. Governments - Residential Mortgage-Backed Securities						120	XXX	120	120	120	0	0	0	0	XXX	XXX	XXX	1	11	XXX	XXX
0599999. Total - U.S. Government Bonds						117,645,642	XXX	116,502,160	118,320,120	117,746,744	0	231,927	0	0	XXX	XXX	XXX	396,742	1,643,264	XXX	XXX
1099999. Total - All Other Government Bonds						0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
13063C-4L-1	CALIFORNIA ST GO Non Call				1FE	5,095,453	116 1020	4,934,335	4,250,000	4,876,708	.0	(104,440)	.0	.0	5.000	2.181	FA	88,542	212,500	11/15/2016	08/01/2024
13063C-YA-2	CALIFORNIA ST GO Non Call				1FE	1,486,000	108 4940	1,356,175	1,250,000	1,361,007	.0	(40,504)	.0	.0	5.000	1.581	MS	20,833	62,500	11/09/2015	09/01/2021
56052A-YZ-3	MAINE ST GO Non Call				1FE	3,895,620	118 8990	3,566,970	3,000,000	3,682,180	.0	(85,552)	.0	.0	5.000	1.720	JD	12,500	150,000	06/08/2016	06/01/2026
574193-PC-6	MARYLAND ST GO Non Call				1FE	1,191,230	115 9300	1,159,300	1,000,000	1,161,761	.0	(27,159)	.0	.0	5.000	1.930	FA	20,833	45,972	11/28/2017	08/01/2024
677522-KU-7	OHIO ST GO Non Call				1FE	2,884,140	117 4210	2,641,973	2,250,000	2,704,357	.0	(167,592)	.0	.0	5.000	1.630	MN	18,750	112,500	04/13/2016	05/01/2025
93974D-UF-3	WASHINGTON ST GO Cont Call		2		1FE	2,516,020	117 2820	2,345,640	2,000,000	2,377,102	.0	(49,529)	.0	.0	5.000	2.091	JJ	50,000	100,000	02/17/2016	07/01/2027
1199999. Subtotal - Bonds - U.S. States, Territories and Possessions - Issuer Obligations						17,068,463	XXX	16,004,393	13,750,000	16,163,115	0	(374,776)	0	0	XXX	XXX	XXX	211,458	683,472	XXX	XXX
1799999. Total - U.S. States, Territories and Possessions Bonds						17,068,463	XXX	16,004,393	13,750,000	16,163,115	0	(374,776)	0	0	XXX	XXX	XXX	211,458	683,472	XXX	XXX
346604-HS-3	FORSYTH CNTY GA GO Non Call				1FE	1,585,004	114 8320	1,464,108	1,275,000	1,452,358	.0	(32,723)	.0	.0	5.000	2.101	FA	26,563	63,750	10/10/2014	02/01/2024
35880C-XA-7	FRISSCO TX INDEP GO Non Call				1FE	1,518,166	112 9290	1,468,077	1,300,000	1,481,859	.0	(36,307)	.0	.0	5.000	1.830	FA	24,556	81,250	01/09/2018	08/15/2023
366155-H3-0	GARLAND TX INDEP GO Non Call				1FE	2,703,825	106 4640	2,480,611	2,330,000	2,517,474	.0	(86,777)	.0	.0	5.000	1.151	FA	44,011	116,500	10/06/2016	02/15/2021
486063-VH-0	KATY TX INDEP SC GO Non Call				1FE	3,052,250	117 7730	2,944,325	2,500,000	2,942,996	.0	(56,650)	.0	.0	5.000	2.289	FA	47,222	125,000	01/12/2017	02/15/2026
613340-5V-6	MONTGOMERY CNTY GO Non Call				1FE	4,124,785	114 3170	4,001,095	3,500,000	3,950,593	.0	(85,851)	.0	.0	5.000	2.220	JD	14,583	175,000	12/02/2016	12/01/2023
64966M-AQ-2	NEW YORK NY GO Non Call				1FE	6,048,750	110 5620	5,528,100	5,000,000	5,621,358	.0	(167,781)	.0	.0	5.000	1.431	FA	104,167	250,000	06/03/2016	08/01/2022
64966M-AR-0	NEW YORK NY GO Non Call				1FE	6,166,500	113 1060	5,655,300	5,000,000	5,762,732	.0	(159,381)	.0	.0	5.000	1.540	FA	104,167	250,000	06/03/2016	08/01/2023
64966M-EK-1	NEW YORK NY GO Non Call				1FE	2,152,838	107 8140	2,021,513	1,875,000	2,030,764	.0	(58,476)	.0	.0	5.000	1.700	FA	39,063	93,750	11/17/2016	08/01/2021

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Designation and Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization) Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
01757L-FM-3	ALLEN CNTY OH HO Rev Bond Non Call				1FE	3,383,190	109.0410	3,271,230	3,000,000	3,300,911	.0	(79,954)	.0	.0	5.000	2.081	FA	62,500	91,667	12/13/2017	08/01/2022
047870-HR-8	ATLANTA GA WTR & Rev Bond Non Call				1FE	3,652,050	113.6390	3,409,170	3,000,000	3,300,078	.0	(73,739)	.0	.0	5.000	2.220	MN	25,000	150,000	02/26/2015	11/01/2023
047870-0W-3	ATLANTA GA WTR & Rev Bond Non Call				1FE	3,706,972	117.7970	3,781,284	3,210,000	3,695,799	.0	(11,173)	.0	.0	5.000	2.570	MN	27,196	.0	10/24/2018	11/01/2025
052476-A4-0	AUSTIN TX WTR & Rev Bond Cont Call			2	1FE	4,971,880	111.0680	4,442,720	4,000,000	4,392,928	.0	(95,942)	.0	.0	5.000	2.331	MN	25,556	200,000	07/12/2012	11/15/2023
167562-MZ-7	CHICAGO IL MIDWA Rev Bond Non Call				1FE	3,350,361	105.7970	3,136,881	2,965,000	3,082,215	.0	(56,083)	.0	.0	5.000	2.950	JJ	74,125	148,250	11/22/2013	01/01/2021
207758-TW-3	CONNECTICUT ST S Rev Bond Non Call				1FE	2,033,025	112.7170	1,862,374	1,670,000	1,908,696	.0	(39,673)	.0	.0	5.000	2.261	FA	34,792	83,500	10/02/2015	06/01/2024
29270C-R2-3	ENERGY N W WA EL Rev Bond Non Call				1FE	8,608,600	119.2480	8,347,360	7,000,000	8,305,741	.0	(157,920)	.0	.0	5.000	2.280	JJ	175,000	350,000	01/18/2017	07/01/2026
3130A8-OS-5	FHLB Note Non Call				1	5,090,738	96.5760	4,925,376	5,100,000	5,095,186	.0	1,860	.0	.0	1.125	1.163	JJ	26,616	57,375	08/04/2016	07/14/2021
3130AC-M9-2	FHLB Note Non Call				1	334,407	99.0690	331,881	335,000	334,763	.0	292	.0	.0	1.500	1.589	AO	977	5,137	10/12/2017	10/21/2019
3135G0-Q2-2	FNMA Note Non Call				1	5,235,335	93.0450	5,150,041	5,535,000	5,281,501	.0	29,239	.0	.0	1.875	2.530	MS	27,963	103,781	08/04/2017	09/24/2026
341507-P3-9	FLORIDA ST BRD O Rev Bond Non Call				1FE	4,458,267	113.4320	4,338,774	3,825,000	4,351,802	.0	(106,465)	.0	.0	5.000	1.800	JJ	95,625	95,625	01/12/2018	07/01/2023
39081J-AC-2	GREAT LAKES MI W Rev Bond Non Call				1FE	2,695,509	112.2390	2,542,213	2,265,000	2,561,152	.0	(62,371)	.0	.0	5.000	1.951	JJ	56,625	113,250	10/14/2016	07/01/2023
407288-ZC-6	HAMILTON CNTY OH Rev Bond Non Call				1FE	1,348,655	108.6780	1,195,458	1,100,000	1,206,415	.0	(35,394)	.0	.0	5.000	1.590	JD	4,583	55,000	10/16/2014	12/01/2021
414009-JR-2	HARRIS CNTY TX C Rev Bond Non Call				1FE	3,937,193	116.6390	3,744,112	3,210,000	3,720,477	.0	(68,833)	.0	.0	5.000	2.430	AO	40,125	160,500	10/05/2015	10/01/2025
41422E-HI-6	HARRIS CNTY TX M Rev Bond Non Call				1FE	2,905,575	117.9360	2,948,400	2,500,000	2,903,763	.0	(1,812)	.0	.0	5.000	2.421	MN	3,819	.0	11/29/2018	11/01/2025
426357-FV-6	HENRY CNTY GA HO Rev Bond Non Call				1FE	1,178,242	109.5800	1,101,279	1,005,000	1,088,707	.0	(22,610)	.0	.0	5.000	2.500	JJ	25,125	50,250	10/24/2014	07/01/2022
45203H-A0-2	ILLINOIS ST FIN Rev Bond Non Call				1FE	1,745,668	110.2830	1,681,816	1,525,000	1,650,081	.0	(30,231)	.0	.0	5.000	2.751	MN	9,743	76,250	09/17/2015	11/15/2022
452252-HO-5	ILLINOIS ST TOLL Rev Bond Non Call				1FE	3,535,440	105.7310	3,171,930	3,000,000	3,159,463	.0	(80,733)	.0	.0	5.000	2.151	JD	12,500	150,000	01/29/2014	12/01/2020
452252-KA-6	ILLINOIS ST TOLL Rev Bond Non Call				1FE	1,772,430	106.0190	1,590,285	1,500,000	1,593,573	.0	(45,537)	.0	.0	5.000	1.810	JJ	37,500	75,000	11/26/2014	01/01/2021
45470R-CS-3	INDIANA ST FIN A Rev Bond Non Call				1FE	3,191,925	116.2820	2,907,050	2,500,000	3,004,512	.0	(81,177)	.0	.0	5.000	1.430	JD	10,417	125,000	08/19/2016	12/01/2024
544445-GK-9	LOS ANGELES CA D Rev Bond Non Call				1FE	1,353,104	118.2690	1,401,488	1,185,000	1,350,791	.0	(2,313)	.0	.0	5.000	3.090	MN	7,735	.0	11/01/2018	05/15/2027
544445-GL-7	LOS ANGELES CA D Rev Bond Non Call				1FE	2,338,000	119.5600	2,391,200	2,000,000	2,335,246	.0	(2,754)	.0	.0	5.000	2.940	MN	13,056	.0	11/28/2018	05/15/2028
544445-GM-5	LOS ANGELES CA D Rev Bond Non Call				1FE	3,593,340	120.3720	3,611,160	3,000,000	3,590,354	.0	(2,986)	.0	.0	5.000	2.800	MN	19,583	.0	12/06/2018	05/15/2029
575577-MH-8	MASSACHUSETTS BA Rev Bond Non Call				1FE	3,661,709	107.8480	3,159,946	2,930,000	3,162,681	.0	(90,287)	.0	.0	5.000	1.740	JJ	73,250	146,500	03/26/2013	07/01/2021
58259Y-F5-3	MET TRANSPRTN AU Rev Bond Non Call				1FE	1,275,428	105.6190	1,161,809	1,100,000	1,147,852	.0	(24,662)	.0	.0	5.000	2.601	MN	7,028	55,000	07/03/2013	11/15/2020
58261A-DE-4	MET TRANSPRTN AU Rev Bond Non Call				1FE	2,090,342	107.9670	1,867,829	1,730,000	1,913,842	.0	(62,566)	.0	.0	5.000	1.221	MN	11,053	86,500	02/19/2016	11/15/2021
58261A-JH-1	MET TRANSPRTN AU Rev Bond Non Call				1FE	4,631,702	107.9670	4,297,087	3,980,000	4,358,390	.0	(127,877)	.0	.0	5.000	1.597	MN	25,428	199,000	06/07/2017	11/15/2021
59447P-TV-7	MICHIGAN ST FIN Rev Bond Non Call				1FE	4,620,613	112.9150	4,341,582	3,845,000	4,299,968	.0	(86,146)	.0	.0	5.000	2.431	JD	16,021	192,250	02/12/2015	12/01/2023
59447P-NG-2	MICHIGAN ST FIN Rev Bond Cont Call			2	1FE	1,188,760	100.0000	1,000,000	1,000,000	1,000,000	.0	(16,613)	.0	.0	5.000	1.650	JJ	25,000	50,000	07/18/2012	07/01/2021
594695-Y4-5	MICHIGAN ST TRUN Rev Bond Non Call				1FE	1,213,360	108.7820	1,087,820	1,000,000	1,088,244	.0	(29,682)	.0	.0	5.000	1.830	MN	6,389	50,000	08/14/2014	11/15/2021
63968A-YS-8	NEBRASKA ST PUBL Rev Bond Non Call				1FE	2,975,150	107.5940	2,689,850	2,500,000	2,687,682	.0	(72,610)	.0	.0	5.000	1.910	JJ	62,500	125,000	11/05/2014	07/01/2021
64577B-SN-0	NEW JERSEY ST EC Rev Bond Non Call				2FE	2,427,495	112.3210	2,448,598	2,180,000	2,426,408	.0	(1,087)	.0	.0	5.000	3.580	JD	9,992	.0	12/12/2018	06/15/2028
645790-JL-1	NEW JERSEY ST HL Rev Bond Non Call				1FE	5,628,893	116.2670	5,522,683	4,750,000	5,461,576	.0	(99,668)	.0	.0	5.000	2.490	JJ	118,750	284,340	04/06/2017	07/01/2025
64990A-CN-3	NEW YORK ST DORM Rev Bond Non Call				1FE	2,056,240	106.9590	1,807,607	1,690,000	1,819,877	.0	(57,660)	.0	.0	5.000	1.441	MS	24,881	84,500	10/16/2014	03/15/2021
64990E-H8-3	NEW YORK ST DORM Rev Bond Non Call				1FE	5,936,900	111.9230	5,596,150	5,000,000	5,527,863	.0	(120,739)	.0	.0	5.000	2.301	FA	94,444	250,000	07/01/2015	02/15/2023
64990E-Y4-3	NEW YORK ST DORM Rev Bond Non Call				1FE	2,424,680	116.5200	2,330,400	2,000,000	2,288,031	.0	(42,465)	.0	.0	5.000	2.480	MS	29,444	100,000	09/02/2015	03/15/2025
658203-4K-7	NORTH CAROLINA S Rev Bond Call			2	1FE	4,810,598	117.5870	4,515,341	3,840,000	4,567,772	.0	(96,840)	.0	.0	5.000	2.241	JJ	96,000	192,000	02/19/2016	01/01/2027
71883P-JA-6	PHOENIX AZ CIVIC Rev Bond Non Call				1FE	3,394,800	107.6700	3,230,100	3,000,000	3,219,914	.0	(84,977)	.0	.0	5.000	1.980	JJ	75,000	150,000	11/29/2016	07/01/2021
795576-FK-8	SALT LAKE CITY U Rev Bond Non Call				1FE	1,769,895	115.8780	1,738,170	1,500,000	1,721,754	.0	(26,224)	.0	.0	5.000	2.801	JJ	37,500	75,000	02/09/2017	07/01/2026
796311-CS-5	San Antonio TX Util Rev Bond Cont Call			2	1FE	2,025,563	111.3990	1,810,234	1,625,000	1,803,753	.0	(41,421)	.0	.0	5.000	2.171	FA	33,854	81,250	04/25/2013	02/01/2024
83703F-KX-9	SOUTH CAROLINA S Rev Bond Non Call				1FE	1,673,955	113.7290	1,705,935	1,500,000	1,671,744	.0	(1,851)	.0	.0	5.000	3.001	MN	5,417	.0	11/15/2018	05/01/2025
837151-NK-1	SOUTH CAROLINA S Rev Bond Non Call				1FE	2,200,029	102.8410	1,969,405	1,915,000	1,960,229	.0	(70,478)	.0	.0	5.000	1.243	JD	7,979	95,750	10/30/2015	12/01/2019
838810-DM-8	S MIAMI FL HLTH Rev Bond Non Call				1FE	1,883,586	113.8650	1,850,306	1,625,000	1,846,889	.0	(36,309)	.0	.0	5.000	2.390	FA	30,694	51,233	12/15/2017	08/15/2024
849103-AF-3	SPOKANE WA WATR Rev Bond Non Call				1FE	11,912	106.0030	10,600	10,000	10,631	.0	(322)	.0	.0	5.000	1.640	JD	42	500	11/18/2014	12/01/2020
88213A-KS-3	TEXAS ST A & M U Rev Bond Non Call				1FE	2,821,176	114.8620	2,756,688	2,400,000	2,756,862	.0	(62,264)	.0	.0	5.000	2.061	MN	15,333	108,333	11/30/2017	05/15/2024
913366-JJ-0	UNIV OF CALIFORN Rev Bond Call			2	1Z	949,982	113.4680	1,004,192	885,000	938,703	.0	(11,158)	.0	.0	5.000	3.491	MN	5,654	44,250	12/28/2017	05/15/2024
913366-JS-0	UNIV OF CALIFORN Rev Bond Cont Call			2	1FE	123,444	113.4230	130,436	115,000	121,978	.0	(1,450)	.0	.0	5.000	3.491	MN	735	5,750	12/28/2017	05/15/2024
914692-U5-5	UNIV OF NEW MEXI Rev Bond Non Call				1FE	1,490,000	107.2950	1,341,188	1,250,000	1,342,558	.0	(37,145)	.0	.0	5.000	1.850	JD	5,208	62,500	11/14/2014	06/01/2021
927793-D2-2	VIRGINIA ST CMWL Rev Bond Non Call				1FE	3,442,589	115.1920	3,334,808	2,895,000	3,357,400	.0	(81,178)	.0	.0	5.000	1.861	MN	18,496	133,090	12/05/2017	05/15/2024
928172-Q2-4	VIRGINIA ST PUBL Rev Bond Non Call				1FE	1,591,016	108.1030	1,459,391	1,350,000	1,480,838	.0	(49,575)	.0	.0	5.000	1.181	FA	28,125	67,500	09/21/2016	08/01/2021
93979H-GB-5	WASHINGTON ST HL Rev Bond Non Call				1FE	3,849,414	107.9420	3,648,440	3,380,000	3,659,119	.0	(98,075)	.0	.0	5.000	1.900	AO	42,250	169,000	01/11/2017	10/01/2021
2599999	Subtotal - Bonds - U.S. Special Revenues - Issuer																				

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates			
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22	
CUSIP Identification	Description	Code	Rating	Bond Char	NAIC Designation and Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date	
3128PU-WF-5	FHLMC Pool J15146 MBS		A			67,273		101,8460		64,456					4.500	3.061	MON		237	2,848	05/16/2011	04/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS		A			131,359		102,9140		127,122					4.500	3.039	MON		463	5,558	05/17/2011	05/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS		A			185,343		103,5400		180,510					4.500	3.058	MON		654	7,845	05/17/2011	06/01/2026
312926-2P-2	FHLMC Pool A80782 MBS		A			71,730		107,5860		75,774					6.000	5.602	MON		352	4,226	09/24/2008	08/01/2038
31292L-YA-7	FHLMC Pool C04305 MBS		A			443,650		98,3200		418,289					3.000	2.437	MON		1,064	12,763	12/18/2012	11/01/2042
312972-LE-0	FHLMC Pool B19325 MBS		A			9,959		100,4820		10,213					5.000	5.688	MON		42	508	09/05/2006	05/01/2020
3132GF-JS-7	FHLMC Pool Q02073 MBS		A			2,090,306		104,5050		2,018,289					4.500	3.043	MON		7,242	86,908	04/27/2017	07/01/2041
3132GV-JF-0	FHLMC Pool Q09862 MBS		A			240,513		100,7420		226,677					3.500	2.565	MON		656	7,875	09/04/2012	08/01/2042
3132HQ-DB-5	FHLMC Pool Q13698 MBS		A			1,087,449		98,2570		1,020,345					3.000	2.369	MON		2,596	31,153	12/17/2012	12/01/2042
3132HQ-IM-1	FHLMC Pool Q13979 MBS		A			803,831		98,2450		754,365					3.000	2.384	MON		1,920	23,035	12/17/2012	12/01/2042
3132JA-PII-9	FHLMC Pool Q19036 MBS		A			492,657		100,7420		478,805					3.500	2.966	MON		1,386	16,635	12/09/2015	06/01/2043
3132MA-VC-2	FHLMC Pool Q29911 MBS		A			976,247		100,6230		933,606					3.500	2.755	MON		2,706	32,474	01/06/2015	11/01/2044
3132QL-BR-3	FHLMC Pool Q30047 MBS		A			547,505		100,6510		532,276					3.500	2.979	MON		1,542	18,509	12/09/2015	11/01/2044
3132QM-6F-3	FHLMC Pool Q31769 MBS		A			544,463		102,5270		525,154					4.000	3.027	MON		1,707	20,488	11/12/2015	03/01/2045
3132QN-XX-2	FHLMC Pool Q32493 MBS		A			739,484		102,1990		711,499					4.000	3.024	MON		2,321	27,848	07/29/2015	04/01/2045
3138A2-BO-1	FNMA Pool AH0946 MBS		A			170,988		102,8250		167,272					4.000	3.177	MON		542	6,507	06/11/2013	12/01/2040
3138AP-OP-0	FNMA Pool A19109 MBS		A			2,224,392		102,4440		2,111,492					4.000	2.814	MON		6,870	82,444	05/15/2012	05/01/2042
3138AT-CX-6	FNMA Pool AJ1885 MBS		A			8,367		98,2800		7,766					3.000	2.223	MON		20	237	12/10/2012	03/01/2042
3138AW-EN-9	FNMA Pool AJ4640 MBS		A			234,444		102,8260		228,399					4.000	3.132	MON		740	8,885	06/10/2013	10/01/2041
3138E9-YH-7	FNMA Pool AK5211 MBS		A			1,210,392		100,3130		1,154,472					3.000	1.914	MON		2,877	34,526	05/15/2012	04/01/2027
3138EE-TJ-2	FNMA Pool AK9896 MBS		A			728,047		100,7800		687,636					3.500	2.579	MON		1,990	23,881	09/04/2012	07/01/2042
3138EN-LE-7	FNMA Pool AL5724 MBS		A			958,112		104,1250		917,097					4.500	2.986	MON		3,303	39,635	01/14/2016	10/01/2044
3138EN-OA-0	FNMA Pool FN A15848 MBS		A			1,400,399		102,6800		1,364,267					4.000	3.539	MON		4,548	13,643	09/06/2018	09/01/2044
3138EQ-KB-4	FNMA Pool AL7518 MBS		A			804,063		100,4710		757,212					3.500	2.566	MON		2,198	26,378	07/21/2016	10/01/2045
3138ER-FX-3	FNMA Pool AL9181 MBS		A			2,295,098		97,7450		2,165,199					3.000	2.529	MON		5,538	66,454	11/04/2016	10/01/2046
3138M4-E3-2	FNMA Pool AP1053 MBS		A			800,444		100,7810		755,905					3.500	2.574	MON		2,188	26,252	09/04/2012	07/01/2042
3138M4-TC-6	FNMA Pool AP1446 MBS		A			497,747		100,7810		468,883					3.500	2.535	MON		1,357	16,284	09/05/2012	08/01/2042
3138M7-6J-9	FNMA Pool AP4472 MBS		A			114,341		100,7810		108,010					3.500	2.566	MON		313	3,751	09/06/2012	08/01/2042
3138M9-3C-3	FNMA Pool AP6194 MBS		A			287,141		100,7810		269,820					3.500	2.509	MON		781	9,371	09/05/2012	08/01/2042
3138M9-VP-3	FNMA Pool AP6021 MBS		A			2,074,320		98,3270		1,990,473					3.000	2.654	MON		5,061	60,730	01/07/2015	04/01/2043
3138MK-VC-7	FNMA Pool AQ4210 MBS		A			1,855,085		98,3380		1,731,721					3.000	2.290	MON		4,402	52,829	12/10/2012	11/01/2042
3138MS-AA-1	FNMA Pool AQ8926 MBS		A			573,838		98,2590		536,122					3.000	2.306	MON		1,364	16,369	12/17/2012	12/01/2042
3138NI-YS-3	FNMA Pool AR0688 MBS		A			161,241		98,2800		150,943					3.000	2.345	MON		384	4,608	12/17/2012	12/01/2042
3138NC-F3-2	FNMA Pool AS2885 MBS		A			968,806		100,4710		949,626					3.500	3.133	MON		2,757	33,081	07/08/2014	07/01/2044
3138WG-YP-3	FNMA Pool AS7017 MBS		A			1,394,500		100,3060		1,321,409					3.500	2.749	MON		3,854	46,249	05/02/2016	04/01/2046
3138WK-3E-3	FNMA Pool FN AS9796 MBS		A			1,410,826		100,2080		1,419,749					3.500	3.552	MON		4,132	12,397	09/06/2018	06/01/2047
3138X9-WS-4	FNMA Pool AU9656 MBS		A			489,367		104,1280		469,781					4.500	2.966	MON		1,692	20,302	11/13/2015	09/01/2043
3138XE-TZ-1	FNMA Pool AV3267 MBS		A			1,283,555		104,9340		1,202,078					5.000	2.826	MON		4,773	57,278	05/19/2015	12/01/2043
3138Y7-D9-0	FNMA Pool AX5527 MBS		A			769,903		100,2700		725,182					3.500	2.634	MON		2,109	25,313	06/17/2016	06/01/2046
3138YA-FD-2	FNMA Pool AX8263 MBS		A			750,155		102,1370		719,425					4.000	2.962	MON		2,348	28,175	07/28/2015	12/01/2044
3138YB-DG-5	FNMA Pool AX9102 MBS		A			1,484,353		104,0440		1,418,489					4.500	3.009	MON		5,113	61,351	09/01/2015	11/01/2044
3138YN-MS-3	FNMA Pool AY8468 MBS		A			1,777,258		102,4410		1,706,524					4.000	2.975	MON		5,553	66,634	11/05/2015	08/01/2045
31407N-FK-4	FNMA Pool B35470 MBS		A			14,184		101,9550		14,761					5.000	5.636	MON		60	724	09/14/2006	09/01/2020
31407S-GA-4	FNMA Pool B39093 MBS		A			14,165		101,7430		14,699					5.000	5.611	MON		60	722	09/05/2006	10/01/2020
3140E0-60-3	FNMA Pool AZ8078 MBS		A			207,148		100,3420		199,982					3.500	2.937	MON		581	6,976	10/30/2015	08/01/2045
3140EII-AM-7	FNMA Pool BC1811 MBS		A			1,102,102		97,7450		1,038,159					3.000	2.501	MON		2,655	31,863	06/16/2016	04/01/2046
3140FO-US-1	FNMA Pool BC5092 MBS		A			1,139,222		100,6460		1,076,881					3.500	2.575	MON		3,121	37,449	06/17/2016	11/01/2043
3140F1-YH-9	FNMA Pool BC6111 MBS		A			1,763,738		100,6010		1,652,230					3.500	2.516	MON		4,790	57,482	08/15/2016	06/01/2046
3140F5-DT-7	FNMA Pool BC9113 MBS		A			462,243		100,3970		433,464					3.500	2.545	MON		1,259	15,111	08/12/2016	05/01/2046
3140F9-RX-5	FNMA Pool BD2301 MBS		A			1,437,634		97,5090		1,344,474					3.000	2.442	MON		3,447	41,365	08/05/2016	06/01/2046
3140GP-4K-1	FNMA Pool BH1725 MBS		A			1,102,978		101,9650		1,064,757					4.000	3.144	MON		3,481	41,770	07/18/2017	06/01/2047
31410X-VR-4	FNMA Pool 900724 MBS		A			5,192		101,5900		5,275					5.500	5.437	MON		24	285	09/21/2006	08/01/2021
31412V-AG-3	FNMA Pool 935707 MBS		A			182,527		102,0130		174,812					5.000	3.448	MON		714	8,568	11/30/2009	11/01/2024
31414F-K9-1	FNMA Pool 964820 MBS		A			78,520		102,2480		79,539					5.000	4.689	MON		324	3,890	09/09/2008	08/01/2023
31416B-RB-6	FNMA Pool 995182 MBS		A			3,332		100,1770		3,053					5.500	2.677	MON		14	169	10/20/2010	06/01/2020
31416T-ZS-7	FNMA Pool AA9784 MBS		A			319,096		102,1890		314,010					5.000	4.004	MON		1,280	15,364	06/30/2009	07/01/2024
31416V-V6-5	FNMA Pool AB0732 MBS		A			596,103		102,2530		573,508					4.000	2.866	MON		1,870	22,435	07/18/2017	06/01/2039

E10.2

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Designation and Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
31417A-QE-2	FNMA Pool AB4052 MBS			4	1	1,048,602	102.8260	1,011,387	983,592	1,044,730	0	(1,590)	0	0	4.000	2.945	MON	3,279	39,344	12/09/2015	12/01/2041
31417G-TY-2	FNMA Pool AB9566 MBS			4	1	1,968,987	98.3150	1,889,174	1,921,549	1,965,247	0	(1,446)	0	0	3.000	2.656	MON	4,804	57,646	01/07/2015	06/01/2043
31418C-VZ-7	FNMA Pool MA3332 MBS			4	1	2,304,503	100.0080	2,304,962	2,304,773	2,304,405	0	(94)	0	0	3.500	3.495	MON	6,722	53,778	04/05/2018	04/01/2048
2699999. Subtotal - Bonds - U.S. Special Revenues - Residential Mortgage-Backed Securities						49,174,162	XXX	47,086,592	46,737,338	48,988,553	0	(67,310)	0	0	XXX	XXX	XXX	141,405	1,591,894	XXX	XXX
312906-FZ-8	FHLMC FHR 1105 CIs EZ RMBS			4	1	36,536	0.0000	0	36,343	36,233	0	0	0	0	7.950	8.037	MON	241	0	08/31/1999	07/01/2021
3136AN-GF-8	FNMA FMR 2015-22 HT RMBS			4	1	448,445	100.1580	431,424	430,744	441,329	0	(678)	0	0	3.000	2.481	MON	1,077	12,922	04/09/2015	04/25/2045
3137BP-VI-8	FHLMC FHIS K152 A1 CMBS			4	1	1,277,513	96.6730	1,210,863	1,252,535	1,270,208	0	(2,595)	0	0	2.830	2.559	MON	2,954	35,447	06/01/2016	05/25/2030
2799999. Subtotal - Bonds - U.S. Special Revenues - Commercial Mortgage-Backed Securities						1,762,494	XXX	1,642,287	1,719,622	1,747,770	0	(3,273)	0	0	XXX	XXX	XXX	4,272	48,369	XXX	XXX
3199999. Total - U.S. Special Revenues Bonds						197,525,433	XXX	187,848,956	173,881,960	189,693,035	0	(2,628,221)	0	0	XXX	XXX	XXX	1,868,305	6,590,094	XXX	XXX
00138C-AE-8	ATG GLOBAL FUNDI Corp Note Non Call Prv Plc				1FE	128,230	99.9610	127,950	128,208	128,208	0	(22)	0	0	2.878	2.769	JAUO	931	0	10/29/2018	07/02/2020
00138C-AF-5	ATG GLOBAL FUNDI Corp Note Non Call Prv Plc				1FE	437,197	99.2590	439,717	443,000	439,491	0	2,294	0	0	1.950	2.968	AO	1,752	4,319	10/04/2018	10/18/2019
00138C-AH-1	ATG GLOBAL FUNDI Corp Note Non Call Prv Plc				1FE	196,000	99.2750	194,579	196,000	196,000	0	0	0	0	3.282	2.795	MJSD	89	2,805	06/20/2018	06/25/2021
00287Y-AT-6	ABBVIE INC Corp Note Call MW			2	2FE	1,203,161	99.0690	1,178,921	1,190,000	1,196,081	0	(4,618)	0	0	2.500	2.095	MN	3,884	29,750	06/13/2017	05/14/2020
00912X-AL-8	AIR LEASE CORP Corp Note Call MW			2	2FE	104,035	99.9910	102,991	103,000	103,000	0	(1,035)	0	0	3.375	2.265	JJ	1,603	3,476	01/08/2018	01/15/2019
015271-AF-6	ALEXANDRIA REAL Corp Note Call MW			2	2FE	997,930	99.2320	992,320	1,000,000	999,584	0	390	0	0	2.750	2.791	JJ	12,681	27,500	07/09/2014	01/15/2020
015271-AM-1	ALEXANDRIA REAL Corp Note Call MW			2	2FE	999,330	101.2550	1,012,550	1,000,000	999,412	0	82	0	0	4.000	4.013	JJ	21,111	0	06/12/2018	01/15/2024
0258MO-DK-2	AMERICAN EXPRESS Corp Note Non Call			2	1FE	698,295	99.8390	698,873	700,000	699,654	0	1,371	0	0	2.125	2.363	MS	4,256	14,875	02/22/2018	03/18/2019
0258MO-EJ-4	AMERICAN EXPRESS Corp Note Call			2	1FE	100,000	100.0000	100,000	100,000	100,000	0	0	0	0	2.912	1.502	FMAN	461	2,345	04/27/2017	05/03/2019
02665W-BE-0	AMERICAN HONDA F Corp Note MW			2	1FE	643,564	99.2660	650,192	655,000	649,703	0	6,139	0	0	1.200	2.748	JJ	3,690	3,930	05/15/2018	07/12/2019
02665W-CG-4	AMERICAN HONDA F Corp Note Non Call			2	1FE	199,000	99.6750	198,353	199,000	199,000	0	0	0	0	3.048	2.433	MJSD	253	2,152	07/11/2018	06/16/2020
026874-CU-9	AMER INTL GROUP Corp Note MW 50BP			2	2FE	973,650	103.8280	918,878	885,000	950,699	0	(18,174)	0	0	4.875	2.589	JD	3,595	43,144	09/21/2017	06/01/2022
031162-CL-2	AMGEN INC Corp Note Non Call			2	2FE	158,000	99.9800	157,968	158,000	158,000	0	0	0	0	2.935	1.505	FMAN	670	3,683	05/08/2017	05/10/2019
035242-AP-1	ABIBB-CALL04/18 Corp Note Call MW			2	2FE	2,465,725	94.5260	2,363,150	2,500,000	2,467,629	0	1,904	0	0	3.650	3.861	FA	38,021	45,625	07/09/2018	02/01/2026
037833-BZ-2	APPLE INC Corp Note Call MW			2	1FE	1,037,161	92.5670	962,697	1,040,000	1,037,782	0	262	0	0	2.450	2.481	FA	10,404	25,480	07/28/2016	08/04/2026
037833-CF-5	APPLE INC Corp Note Non Call			2	1FE	300,315	99.9960	299,988	300,000	300,026	0	(247)	0	0	2.671	1.310	FMAN	1,202	6,251	10/26/2017	02/08/2019
037833-CZ-1	APPLE INC Corp Note MW			2	1FE	208,820	99.1330	207,188	209,000	208,937	0	90	0	0	1.500	1.544	MS	949	3,135	09/05/2017	09/12/2019
040555-CL-6	ARIZONA PUB SERV Corp Note MW			2	1FE	153,037	100.8990	145,295	144,000	145,424	0	(7,613)	0	0	8.750	2.602	MS	4,200	12,600	02/14/2018	03/01/2019
049560-AJ-4	ATMOS ENERGY Corp Note MW 50BP			2	1FE	613,430	101.0970	505,485	500,000	503,018	0	(14,609)	0	0	8.500	5.426	MS	12,514	42,500	08/19/2009	03/15/2019
05349E-AR-0	AVALONBAY COMMUN Corp Note Call			2	1FE	848,331	97.8300	836,447	855,000	852,015	0	658	0	0	2.850	2.939	MS	7,175	24,368	12/05/2012	03/15/2023
05565E-AD-7	BMW US CAP LLC Corp Note MW Prv Plc			2	1FE	124,041	99.6190	124,524	125,000	124,785	0	743	0	0	1.500	2.134	AO	417	1,875	01/16/2018	04/11/2019
05565E-AV-7	BMW US CAP LLC Corp Note Non Call Prv Plc			2	1FE	376,000	99.0590	372,462	376,000	376,000	0	0	0	0	2.835	2.749	JAUO	2,399	5,253	04/05/2018	04/12/2021
05565E-BD-6	BMW US CAP LLC Corp Note Non Call Prv Plc			2	1FE	428,000	99.0860	424,088	428,000	428,000	0	0	0	0	3.115	2.838	FMAN	1,814	3,050	08/07/2018	08/13/2021
06050T-IH-2	BANK OF AMERICA Corp Note Call			2	1FE	597,000	99.6630	594,988	597,000	597,000	0	0	0	0	2.957	2.561	FMAN	1,667	3,908	08/22/2018	08/28/2020
06051G-FT-1	BANK OF AMER CRP Corp Note Non Call			2	1FE	1,338,566	98.9580	1,335,933	1,350,000	1,345,393	0	2,467	0	0	2.625	2.821	AO	7,088	35,438	03/01/2016	10/19/2020
06051G-FX-2	BANK OF AMER CRP Corp Note MW			2	1FE	1,281,592	96.4040	1,253,252	1,300,000	1,284,818	0	1,783	0	0	3.500	3.684	AO	9,100	45,500	03/06/2017	04/19/2026
06051G-HB-8	BANK OF AMER CRP Corp Note Call			2	1FE	1,200,000	94.6260	1,135,512	1,200,000	1,200,000	0	0	0	0	3.352	2.557	FMAN	6,368	26,676	01/31/2018	02/05/2026
06051G-IH-5	BANK OF AMER CRP Corp Note Call			2	1FE	1,465,000	100.0450	1,465,659	1,465,000	1,465,000	0	0	0	0	3.499	3.499	MN	5,265	25,630	05/14/2018	05/17/2022
06405L-AA-9	BK OF NY MELLON Corp Note Call			2	1FE	321,000	99.8320	320,461	321,000	321,000	0	0	0	0	3.038	3.038	MJSD	759	0	11/29/2018	12/04/2020
06406H-CR-8	BANK OF NY MELLLO Corp Note Call			2	1FE	260,056	99.8840	257,701	258,000	258,133	0	(1,415)	0	0	2.200	1.642	MS	1,845	5,676	08/17/2017	03/04/2019
07330M-AA-5	BRANCH BKG & TR Corp Note Call			2	1FE	1,074,351	99.2610	1,027,351	1,035,000	1,067,055	0	(3,570)	0	0	3.800	3.343	AO	6,664	39,330	11/28/2016	10/30/2020
07330M-AS-4	BRANCH BKG & TR Corp Note Call			2	1FE	250,000	99.7010	249,253	250,000	250,000	0	0	0	0	2.958	1.701	MJSD	596	5,643	12/05/2017	06/01/2020
084664-CP-4	BERKSHIRE HATH Corp Note Non Call			2	1FE	601,803	100.0010	600,006	600,000	600,041	0	(1,471)	0	0	2.670	1.362	JAUO	3,650	13,280	10/18/2017	01/11/2019
084670-BJ-6	BERKSHIRE HATHWY Corp Note MW			2	1FE	514,325	99.1380	495,690	500,000	507,768	0	(1,788)	0	0	3.000	2.599	FA	5,833	15,000	02/23/2015	02/11/2023
125896-BQ-2	CHS ENERGY Corp Note Call MW			2	2FE	677,430	94.2310	640,771	680,000	678,040	0	234	0	0	3.000	3.044	MN	2,607	20,400	05/02/2016	05/15/2026
126650-CX-6	CVS HEALTH CORP Corp Note Call MW			2	2FE	1,395,105	98.1030	1,388,157	1,415,000	1,396,443	0	1,338	0	0	4.300	4.475	MS	16,225	33,127	03/06/2018	03/25/2028
126650-DA-5	CVS HEALTH CORP Corp Note MW			2	2FE	249,296	99.8180	249,545	250,000	249,377	0	81	0	0	3.125	3.340	MS	2,431	0	11/05/2018	03/09/2020
126650-DB-3	CVS HEALTH CORP Corp Note Non Call			2	2FE	245,000	99.8350	244,596	245,000	245,000	0	0	0	0	3.397	2.687	MJSD	509	5,382	03/06/2018	03/09/2020
14042R-FH-9	CAPITAL ONE NA Corp Note Call			2	2FE	790,360	98.7460	789,968	800,000	791,557	0	1,197	0	0	2.350	3.351	JJ	7,886	0	11/01/2018	01/31/2020
149130-2D-0	CATERPILLAR FINL Corp Note Non Call			2	1FE																

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Designation and Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
172967-LW-9	CITIGROUP INC Corp Note Call			1	2FE	1,215,000	97.7050	1,187,116	1,215,000	1,215,000	0	0	0	0	4.075	4.075	AO	9,352	24,756	04/16/2018	04/23/2029
17325F-AA-6	CITIBANK NA Corp Note Call			2	1FE	596,836	99.7530	598,518	600,000	599,362	0	2,526	0	0	2.000	2.499	MS	3,367	12,000	02/21/2018	03/20/2019
17325F-AR-9	CITIBANK NA Corp Note Call			2	1FE	250,000	99.1210	247,803	250,000	250,000	0	0	0	0	3.047	2.917	JAJO	1,481	1,864	07/16/2018	07/23/2021
174010-AG-6	CITIZENS BANK NA Corp Note Call			2	2FE	275,853	98.9490	277,057	280,000	276,022	0	169	0	0	2.250	3.502	MS	2,083	0	12/12/2018	03/02/2020
174010-AK-7	CITIZENS BANK NA Corp Note Non Call				2FE	502,776	99.7750	498,875	500,000	501,906	0	(870)	0	0	3.259	2.241	FIAM	1,630	10,465	05/02/2018	05/26/2020
174610-AN-5	CITIZENS FIN GRP Corp Note Call			2	2FE	1,054,451	97.4770	1,028,382	1,055,000	1,054,710	0	108	0	0	2.375	2.386	JJ	10,649	25,056	07/25/2016	07/28/2021
19416Q-EF-3	COLGATE-PALM CO Corp Note MW			2	1FE	400,816	99.7700	399,080	400,000	400,113	0	(555)	0	0	1.750	1.609	MS	2,061	7,000	09/21/2017	03/15/2019
20030N-BR-1	COMCAST CORP Corp Note Call MW			2	1FE	1,334,510	97.3780	1,275,652	1,310,000	1,325,134	0	(3,480)	0	0	2.750	2.451	MS	12,008	36,025	03/22/2016	03/01/2023
20030N-CH-2	COMCAST CORP Corp Note Call MW			2	1FE	250,114	96.6970	242,709	251,000	250,171	0	57	0	0	3.550	3.592	MN	1,485	6,510	02/01/2018	05/01/2028
20030N-CS-8	COMCAST CORP Corp Note Call MW			2	1FE	644,207	101.3170	653,495	645,000	644,232	0	25	0	0	3.950	3.970	AO	6,086	0	10/02/2018	10/15/2025
20030N-CV-1	COMCAST CORP Corp Note Non Call				1FE	259,000	99.5630	257,868	259,000	259,000	0	0	0	0	2.726	2.726	JAJO	1,734	0	10/02/2018	10/01/2020
209111-FR-9	CONSOLIDATED EDI Corp Note Non Call				1FE	426,000	99.0490	421,949	426,000	426,000	0	0	0	0	3.222	2.735	MJSD	191	5,968	06/21/2018	06/25/2021
224044-CG-0	COXENT-CALLO9/17 Corp Note Call MW Prv Plc			2	2FE	737,029	92.4620	707,334	765,000	742,203	0	2,488	0	0	3.350	3.798	MS	7,546	25,628	11/17/2016	09/15/2026
233331-AT-4	DTE ENERGY CO Corp Note Call MW			2	2FE	30,699	98.9970	31,000	31,000	30,730	0	31	0	0	2.400	3.375	JD	82	372	11/20/2018	12/01/2019
233851-CF-9	DAIMLER FINANCE Corp Note MW Prv Plc			2	1FE	275,710	99.0230	277,264	280,000	278,110	0	2,400	0	0	1.500	2.840	JJ	2,053	2,100	05/02/2018	07/05/2019
233851-CL-6	DAIMLER FINANCE Corp Note Non Call Prv Plc				1FE	589,375	100.1160	586,680	586,000	587,697	0	(1,679)	0	0	3.140	2.039	JAJO	3,220	12,340	02/26/2018	10/30/2019
233851-DH-4	DAIMLER FINANCE Corp Note Non Call Prv Plc				1FE	150,000	99.4620	149,193	150,000	150,000	0	0	0	0	2.972	2.753	FIAM	706	2,114	04/30/2018	05/04/2020
24422E-TD-1	JOHN DEERE CAP Corp Note Non Call				1FE	550,000	100.0060	550,033	550,000	550,000	0	0	0	0	2.978	1.190	JAJO	3,822	13,920	01/05/2016	01/08/2019
24422E-TK-5	JOHN DEERE CAP Corp Note Non Call				1FE	368,196	99.9510	366,820	367,000	367,648	0	(548)	0	0	2.695	2.387	JAJO	2,308	4,897	05/01/2018	10/09/2019
25466A-AK-7	DISCOVER BANK Corp Note Call			2	2FE	1,144,897	97.4220	1,115,482	1,145,000	1,144,914	0	17	0	0	3.350	3.352	FA	15,450	19,179	01/31/2018	02/06/2023
25468P-DA-1	WALT DISNEY CO Corp Note MW			2	1FE	796,728	99.6130	796,904	800,000	799,000	0	2,272	0	0	1.850	1.274	MN	1,274	14,800	01/18/2018	05/30/2019
25468P-DM-5	WALT DISNEY CO Corp Note MW			2	1FE	851,704	89.0770	774,970	870,000	855,860	0	1,705	0	0	1.850	2.083	JJ	6,751	16,995	07/07/2016	07/30/2026
25746U-CJ-6	DOMINION RES Corp Note Call MW			2	2FE	788,870	96.1430	759,530	790,000	790,000	0	222	0	0	2.000	2.030	FA	5,969	15,800	08/04/2016	08/15/2021
26078J-AG-5	DOWDUPONT Corp Note Non Call				2FE	153,000	99.9730	152,959	153,000	153,000	0	0	0	0	3.326	3.326	FIAM	494	0	11/14/2018	11/15/2020
26441Y-AZ-0	DUKE REALTY Corp Note CALL			2	2FE	1,214,867	95.3140	1,216,207	1,276,000	1,219,421	0	4,554	0	0	3.250	3.939	JD	115	41,470	04/17/2018	06/30/2026
26442U-AD-6	DUKE ENERGY PROG Corp Note Non Call				1FE	375,000	99.6310	373,616	375,000	375,000	0	0	0	0	2.947	1.497	MJSD	675	8,504	09/05/2017	09/08/2020
26875P-AL-5	EOG RESOURCES Corp Note Call MW			2	1FE	1,732,293	99.0470	1,718,465	1,735,000	1,734,409	0	462	0	0	2.450	2.478	AO	10,627	42,508	03/18/2014	04/01/2020
26884A-BC-6	ERP OPERATING LP Corp Note Call MW				1FE	204,758	99.6990	204,383	205,000	204,912	0	154	0	0	2.375	2.462	JJ	2,434	5,438	02/13/2018	07/01/2019
26884A-BG-7	ERP OPERATING LP Corp Note Call MW				1FE	628,879	95.9370	604,403	630,000	629,015	0	98	0	0	3.250	3.271	FA	8,531	20,361	07/31/2017	08/01/2027
26884A-BJ-1	ERP OPERATING LP Corp Note Call MW				1FE	720,665	97.3960	706,121	725,000	721,009	0	345	0	0	3.500	3.571	MS	8,458	14,379	02/01/2018	03/01/2028
26884A-AE-9	EQT CORP Corp Note Call MW			2	2FE	1,286,620	94.9000	1,224,210	1,290,000	1,287,414	0	641	0	0	3.000	3.057	AO	9,675	38,378	09/27/2017	10/01/2022
291011-AY-0	EMERSON ELECTRIC Corp Note MW			2	1FE	180,715	103.4010	178,466	176,000	178,761	0	(1,954)	0	0	4.875	2.840	AO	1,811	4,290	06/06/2018	10/15/2019
29379V-AF-0	ENTERPRISE PRODU Corp Note MW			2	2FE	95,895	101.8860	95,773	94,000	95,725	0	(171)	0	0	5.250	3.503	JJ	2,070	0	11/19/2018	01/31/2020
29379V-AZ-6	ENTERPRISE PRODU Corp Note Call MW			2	2FE	1,206,055	98.7240	1,204,433	1,220,000	1,207,411	0	1,356	0	0	3.350	3.617	MS	12,034	20,435	07/09/2018	03/15/2023
29379V-BP-7	ENTERPRISE PRODU Corp Note MW			2	2FE	1,259,320	98.9490	1,246,757	1,260,000	1,259,513	0	193	0	0	2.800	2.819	FA	13,328	17,640	02/01/2018	02/15/2021
29717P-AP-2	ESSEX PORTFOLIO Corp Note Call MW			2	2FE	1,695,699	97.3680	1,655,256	1,700,000	1,697,164	0	399	0	0	3.500	3.530	AO	14,875	59,500	03/10/2015	04/01/2025
30040W-AC-2	EVERSOURCE ENERG Corp Note Call MW			2	2FE	723,985	97.9040	709,804	725,000	724,337	0	195	0	0	2.750	2.780	MS	5,870	19,938	03/02/2017	03/15/2022
30161M-AH-6	EXELON GENERATIO Corp Note MW 25BP			2	2FE	1,104,264	100.4390	1,054,610	1,050,000	1,062,204	0	(7,811)	0	0	4.000	3.201	AO	10,500	42,000	03/07/2013	10/01/2020
31428X-BM-7	FEDEX CORP Corp Note Call MW			2	2FE	796,784	95.3070	762,456	800,000	797,377	0	274	0	0	3.300	3.346	MS	7,773	26,400	01/03/2017	03/15/2027
316770-BB-4	FIFTH THIRD BANK Corp Note Call			2	1FE	597,990	99.7980	598,788	600,000	599,442	0	1,452	0	0	2.375	2.676	AO	2,613	14,250	03/05/2018	04/25/2019
341099-CM-9	FLORIDA PIWR CORP Corp Note MW 15BP			2	1FE	528,845	101.9080	528,903	519,000	527,956	0	(890)	0	0	4.550	3.127	AO	5,904	0	11/14/2018	04/01/2020
34528F-AD-0	Ford Credit Auto FORD0 2018-A A3 ABS			4	1FE	2,372,685	100.1730	2,374,100	2,370,000	2,372,119	0	(586)	0	0	3.030	2.990	MON	3,192	29,921	07/19/2018	11/15/2022
345397-YS-6	FORD MOTOR CRED Corp Note Non Call				2FE	1,250,000	96.2320	1,202,900	1,250,000	1,250,000	0	0	0	0	2.343	2.343	MN	4,800	29,288	10/30/2017	11/02/2020
369550-BB-3	GENERAL DYNAMICS Corp Note Non Call				1FE	702,618	99.8870	700,208	701,000	702,458	0	(160)	0	0	1.908	2.358	FIAM	2,775	5,862	11/02/2018	05/11/2020
36962G-AD-3	GEN ELEC CAP CRP Corp Note Non Call				2FE	303,593	101.0930	288,115	285,000	291,590	0	(10,794)	0	0	6.000	2.102	FA	6,840	17,100	11/16/2017	08/07/2019
37045X-AN-6	GEN MOTORS FIN Corp Note MW			2	2FE	146,888	99.9970	145,996	146,000	146,429	0	(459)	0	0	3.500	2.931	JJ	2,427	2,555	06/01/2018	07/10/2019
37045X-BT-2	GEN MOTORS FIN Corp Note MW			2	2FE	1,048,303	92.2440	950,113	1,030,000	1,045,505	0	(1,596)	0	0	4.350	4.128	JJ	20,411	44,805	03/06/2017	01/17/2027
375558-BP-7	GILEAD SCIENCES Corp Note Non Call				1FE	469,000	99.9810	468,911	469,000	469,000	0	0	0	0	3.012	1.545	MJSD	471	11,148	09/14/2017	03/20/2019
38141G-GQ-1	GOLDMAN SACHS GP Corp Note Non Call				1FE	1,364,760	103.8420	1,246,104	1,200,000	1,269,298	0	(25,605)	0	0	5.250	2.902	JJ	26,950	63,000	02/10/2015	07/27/2021
38141G-IB-6	GOLDMAN SACHS GP Corp Note Call MW			2	1FE	797,081	94.2110	739,556	785,000	795,097	0	(1,232)	0	0	3.850	3.642	JJ	13,012	30,223	05/02/2017	01/26/2027
38141G-IQ-3	GOLDMAN SACHS GP Corp Note Call MW			2	1FE	975,000	93.8020	914,570	975,000	975,000	0	0	0	0	3.272	3.272	MS	8,153	31,902	09/26/2017	09/29/2025
38141G-IU-4	GOLDMAN SACHS GP Corp Note Non Call				1FE	1,198,632	96.7010	1,160,412	1,200,000	1,198,797	0	165	0	0	3.427	3.106	FIAM	4,455	18,830	06/04/2018	02/23/2023
38143U-BH-7	GOLDMAN SACHS GP Corp Note Call			2	1FE	528,145	94.7560	473,780	500,000	521,640	0	(2,775)	0	0	3.750	3.300	FA	6,563	18,750	08/04/2016	02/25/2026
40428H-PN-6	HSBC USA INC Corp Note Non Call				1FE</																

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Designation and Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
438516-B0-8	HONEYWELL INTL Corp Note MW	2	1	FE	1FE	354,723	99.0330	351,567	355,000	354,884	0	138	0	0	1.800	1.840	AO	1,083	6,390	10/23/2017	10/30/2019
446438-RU-9	HUNTINGTON NATL Corp Note Call	2	1	FE	1FE	350,287	99.0510	350,641	354,000	351,133	0	846	0	0	2.375	3.075	MS	2,592	4,204	08/22/2018	03/10/2020
452308-AU-3	ILLINOIS TOOL WK Corp Note MW	1	1	FE	1FE	307,758	99.8450	308,521	309,000	308,805	0	1,047	0	0	1.950	2.342	MS	2,009	6,026	02/14/2018	03/01/2019
454889-AP-1	IND MICH POWER Corp Note Call MW 20BP	2	2	FE	2FE	1,392,754	99.0700	1,382,027	1,395,000	1,393,967	0	226	0	0	3.200	3.219	MS	13,144	44,640	03/13/2013	03/15/2023
458140-BA-7	INTEL CORP Corp Note Non Call	2	1	FE	1FE	300,000	99.6820	299,046	300,000	300,000	0	0	0	0	2.698	1.262	FIAN	1,102	6,280	05/08/2017	05/11/2020
459200-HE-4	IBM CORP Corp Note Non Call	2	1	FE	1FE	799,704	99.6510	797,208	800,000	799,926	0	199	0	0	1.875	1.900	MN	1,917	15,000	11/17/2017	05/15/2019
459745-GF-6	INTL LEASE FIN Corp Note Non Call	2	2	FE	2FE	546,188	107.6050	484,223	450,000	494,475	0	(21,791)	0	0	8.250	3.002	JD	37,125	9,125	07/27/2016	12/15/2020
459745-GL-3	INTL LEASE FIN Corp Note Non Call	2	2	FE	2FE	184,221	100.4140	170,704	170,000	171,408	0	(5,627)	0	0	5.875	2.483	AO	2,497	9,988	09/06/2016	04/01/2019
46625H-JY-7	JPMORGAN CHASE Corp Note Non Call	2	1	FE	1FE	458,451	98.6580	443,961	450,000	455,387	0	(838)	0	0	3.875	3.640	MS	5,377	17,438	02/23/2015	09/10/2024
46625H-KC-3	JPMORGAN CHASE Corp Note Call	2	1	FE	1FE	1,056,653	95.3890	1,058,818	1,110,000	1,073,817	0	5,230	0	0	3.125	3.731	JJ	15,224	34,688	07/22/2015	01/23/2025
46625H-QJ-2	JPMORGAN CHASE Corp Note Call	2	1	FE	1FE	1,608,793	98.6160	1,587,718	1,610,000	1,609,459	0	240	0	0	2.550	2.566	MS	13,685	41,055	02/25/2016	03/01/2021
46849L-SH-5	JACKSON NAT LIFE Corp Note Non Call Prv Plc	2	1	FE	1FE	897,399	99.7510	897,759	900,000	899,344	0	1,945	0	0	2.300	2.556	AO	4,313	20,700	02/21/2018	04/16/2019
478160-BH-3	JOHNSON&JOHNSON Corp Note Non Call	2	1	FE	1FE	187,000	100.0160	187,030	187,000	187,000	0	0	0	0	3.008	0.905	MJSD	453	4,359	02/25/2016	03/01/2019
49326E-EF-6	KEYCORP Corp Note Non Call	2	2	FE	2FE	530,710	99.3800	526,714	530,000	530,279	0	(158)	0	0	2.900	2.868	MS	4,526	15,370	03/01/2016	09/15/2020
49327M-ZN-3	KEY BANK NA Corp Note Non Call	2	1	FE	1FE	295,105	99.8730	293,627	294,000	294,157	0	(852)	0	0	2.350	2.054	MS	2,169	6,909	11/16/2017	03/08/2019
49327M-ZP-8	KEY BANK NA Corp Note Non Call Prv Plc	2	1	FE	1FE	492,520	99.1200	495,997	500,000	495,997	0	3,477	0	0	1.600	2.867	FA	2,867	4,000	06/06/2018	08/22/2019
49456B-AF-8	KINDER MORGAN Corp Note Call MW	2	2	FE	2FE	340,291	99.6410	323,833	325,000	337,604	0	(1,803)	0	0	4.300	3.591	JD	1,165	13,975	06/26/2017	06/01/2025
49456B-AP-6	KINDER MORGAN Corp Note Call MW	2	2	FE	2FE	941,428	98.3580	929,483	945,000	941,672	0	244	0	0	4.300	4.347	MS	13,545	20,318	02/22/2018	03/01/2028
50077L-AS-5	KRAFT HEINZ FOOD Corp Note Call MW	2	2	FE	2FE	1,172,685	99.8320	1,173,026	1,175,000	1,172,917	0	231	0	0	4.000	4.044	JD	2,089	23,500	06/04/2018	06/15/2023
50116W-AC-9	Kubota Credit Ov KCOT 2016-1A 3A ABS PRV PLC	2	1	FE	1FE	820,838	99.3760	815,916	821,039	821,000	0	62	0	0	1.500	1.513	MON	547	12,316	07/26/2016	07/15/2020
534187-BE-8	LNC-CALL03/18 Corp Note MW	2	2	FE	2FE	673,920	97.3640	657,207	675,000	674,290	0	102	0	0	3.350	3.369	MS	7,035	22,613	03/04/2015	03/09/2025
55279H-AG-5	MANUF & TRADERS Corp Note Call	2	1	FE	1FE	248,663	99.6560	249,140	250,000	249,464	0	801	0	0	2.250	2.635	JJ	2,438	2,813	02/21/2018	07/25/2019
55336V-AQ-3	MPLX LP Corp Note Call MW	2	2	FE	2FE	1,184,182	97.1270	1,150,955	1,185,000	1,184,351	0	169	0	0	3.375	3.389	MS	11,776	24,107	02/05/2018	03/15/2023
56585A-AG-7	MARATHON PETRO Corp Note Call MW	2	2	FE	2FE	1,045,127	97.4200	1,037,523	1,065,000	1,051,815	0	2,030	0	0	3.625	3.869	MS	11,367	38,606	07/23/2015	09/15/2024
581557-BE-4	MCKESSON CORP Corp Note Call MW	2	2	FE	2FE	527,805	99.0470	525,044	520,000	524,909	0	(886)	0	0	3.796	3.587	MS	5,812	19,739	07/24/2015	03/15/2024
58942H-AC-5	MERCY HEALTH Corp Note MW	2	1	FE	1FE	575,000	102.1820	587,547	575,000	575,000	0	0	0	0	4.302	4.302	JJ	962	0	12/10/2018	07/01/2028
59217G-CQ-0	MET LIFE GLOB Corp Note Non Call PRV PLC	2	1	FE	1FE	800,000	99.3470	794,776	800,000	800,000	0	0	0	0	3.030	2.510	MJSD	1,613	5,494	08/30/2018	09/07/2020
59523U-AP-2	MID-AMER APT LP Corp Note Call MW	2	2	FE	2FE	954,269	100.6680	966,413	960,000	954,601	0	332	0	0	4.200	4.273	JD	1,792	23,632	05/07/2018	06/15/2028
61744Y-AG-3	MORGAN STANLEY Corp Note Call	2	1	FE	1FE	1,148,556	98.5800	1,113,954	1,130,000	1,146,884	0	(1,672)	0	0	3.811	3.219	FIAN	6,460	20,636	07/10/2018	05/08/2024
61744Y-AK-4	MORGAN STANLEY Corp Note Call MW	1	1	FE	1FE	715,000	94.6170	676,512	715,000	715,000	0	0	0	0	3.591	3.591	JJ	25,533	0	07/19/2017	07/22/2028
61746B-DX-1	MORGAN STANLEY Corp Note Non Call	2	1	FE	1FE	806,392	99.9530	799,624	800,000	800,369	0	(4,355)	0	0	2.450	1.897	FA	8,167	19,600	08/08/2017	02/01/2019
61761J-3R-8	MORGAN STANLEY Corp Note MW	2	1	FE	1FE	1,044,742	92.3900	988,573	1,070,000	1,049,594	0	2,311	0	0	3.125	3.412	JJ	14,304	33,438	11/28/2016	07/27/2026
61761J-B3-2	MORGAN STANLEY Corp Note Non Call	2	1	FE	1FE	527,368	99.3700	521,693	525,000	525,838	0	(557)	0	0	2.800	2.687	JD	613	14,700	02/26/2016	06/16/2020
63743H-EP-3	NATL RURAL UTIL Corp Note Non Call	2	1	FE	1FE	797,000	99.9740	796,793	797,000	797,000	0	0	0	0	2.608	1.350	JAJO	5,081	17,166	04/06/2017	04/05/2019
64952W-BY-8	NEW YORK LIFE GL Corp Note Non Call Prv Plc	2	1	FE	1FE	508,398	98.8710	508,197	514,000	508,214	0	1,816	0	0	1.950	2.988	FA	3,898	0	08/21/2018	02/11/2020
64952W-CQ-4	NEW YORK LIFE GL Corp Note Non Call Prv Plc	2	1	FE	1FE	124,000	99.7800	123,727	124,000	124,000	0	0	0	0	2.678	1.425	JAJO	775	2,762	04/06/2017	04/09/2020
64952W-CT-8	NEW YORK LIFE GL Corp Note Non Call Prv Plc	2	1	FE	1FE	400,000	99.9650	399,860	400,000	400,000	0	0	0	0	2.545	1.424	JAJO	2,291	8,337	07/05/2017	04/12/2019
64952W-CZ-4	NEW YORK LIFE GL Corp Note Non Call PRV PLC	2	1	FE	1FE	192,000	99.8850	191,804	192,000	192,000	0	0	0	0	2.912	2.661	FA	870	1,305	08/01/2018	08/06/2021
65364U-AK-2	NIAGARA MOHAWK Corp Note Call MW Prv Plc	2	1	FE	1FE	1,699,983	100.5800	1,709,945	1,700,000	1,699,995	0	1	0	0	3.508	3.508	AO	14,909	59,636	09/22/2014	10/01/2024
654740-AQ-2	NISSAN MOTOR ACC Corp Note Non Call Prv Plc	2	1	FE	1FE	364,000	99.7660	363,148	364,000	364,000	0	0	0	0	3.299	1.372	MJSD	634	9,602	09/07/2016	09/13/2019
654740-AR-0	NISSAN MOTOR ACC Corp Note MW Prv Plc	2	1	FE	1FE	313,454	98.5230	314,288	319,000	315,890	0	2,436	0	0	1.550	2.977	MS	1,483	2,472	06/12/2018	09/13/2019
655944-BS-6	NORFOLK SOUTHERN Corp Note Call MW	2	2	FE	2FE	991,637	94.2400	991,688	995,000	992,416	0	306	0	0	2.900	2.939	JD	1,282	28,855	05/31/2016	06/15/2026
68389X-AG-0	ORACLE CORP Corp Note	2	1	FE	1FE	768,098	101.0740	758,055	750,000	758,966	0	(9,132)	0	0	5.000	2.665	JJ	18,021	18,750	06/14/2018	07/08/2019
68389X-BA-2	ORACLE CORP Corp Note MW	2	1	FE	1FE	1,314,752	99.5470	1,289,270	1,265,000	1,289,424	0	(9,380)	0	0	2.800	2.010	JJ	17,021	35,420	03/23/2016	07/08/2021
68389X-BR-5	ORACLE CORP Corp Note Call MW	2	1	FE	1FE	949,373	97.6290	927,476	950,000	949,554	0	178	0	0	2.625	2.637	FA	9,421	19,119	11/07/2017	02/15/2023
693475-AP-0	PNC FINANCIAL Corp Note Call	2	1	FE	1FE	1,554,165	99.2560	1,488,840	1,500,000	1,533,296	0	(5,701)	0	0	3.900	3.434	AO	10,075	58,500	02/23/2015	04/29/2024
69353R-EV-6	PNC BANK NA Corp Note Call	2	1	FE	1FE	250,928	99.8280	249,570	250,000	250,053	0	(642)	0	0	1.950	1.681	MS	1,584	4,875	08/22/2017	03/04/2019</

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Designation and Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/Adjusted Carrying Value	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
74274T-AA-8	PRIVATE EXPT FDG Corp Note Non Call Prv Plc				1FE	249,965	99.2380	248,095	250,000	249,983	0	17	0	0	2.100	2.107	JD	175	5,250	12/14/2017	12/19/2019
74368C-AD-6	PROTECTIVE LIFE Corp Note Non Call Prv Plc				1FE	684,780	98.8390	687,919	696,000	689,702	0	4,921	0	0	1.555	2.879	MS	3,217	5,411	06/12/2018	09/13/2019
74368C-AL-8	PROTECTIVE LIFE Corp Note Non Call Prv Plc				1FE	350,000	99.2460	347,361	350,000	350,000	0	0	0	0	3.333	2.856	MJSD	130	5,125	06/21/2018	06/28/2021
756109-AS-3	REALTY INCOME Corp Note Call MW			2	1FE	498,289	93.1890	470,604	505,000	499,553	0	587	0	0	3.000	3.153	JJ	6,986	15,150	10/04/2016	01/15/2027
75884R-AX-1	REGENCY CENTERS Corp Note Call MW			2	2FE	514,161	98.9800	509,747	515,000	514,220	0	60	0	0	4.125	4.145	MS	6,255	10,976	02/28/2018	03/15/2028
774341-AB-7	ROCKWELL COLLINS Corp Note MW			2	2FE	259,465	101.0870	252,718	250,000	253,594	0	(5,871)	0	0	5.250	2.542	JJ	6,052	6,563	02/07/2018	07/15/2019
78355H-JX-8	RYDER SYSTEM INC Corp Note Call MW			2	2FE	120,270	99.5010	120,396	121,000	120,550	0	279	0	0	2.450	3.017	MS	988	1,482	07/31/2018	09/03/2019
808513-AV-7	CHARLES SCHWAB Corp Note Call			2	1FE	876,849	99.6160	872,636	876,000	876,753	0	(97)	0	0	2.966	2.610	FMAN	2,959	10,312	08/31/2018	05/21/2021
816851-BE-8	SEMPRA ENERGY Corp Note MW			2	2FE	599,184	98.6690	592,014	600,000	599,567	0	383	0	0	2.400	2.468	FA	6,000	7,960	01/09/2018	02/01/2020
857477-AW-3	STATE STREET CRP Corp Note Non Call			2	1FE	998,600	93.7760	937,760	1,000,000	998,932	0	129	0	0	2.650	2.666	MN	3,092	26,500	05/16/2016	05/19/2026
86765B-AN-9	SUNOCO LOGISTICS Corp Note Call MW			2	2FE	959,728	97.1220	942,083	970,000	960,646	0	918	0	0	4.250	4.458	AO	10,306	20,613	06/12/2018	04/01/2024
86765B-AR-0	SUNOCO LOGISTICS Corp Note Call MW			2	2FE	527,355	101.1580	505,790	500,000	514,263	0	(6,418)	0	0	4.400	3.002	AO	5,500	22,000	11/30/2016	04/01/2021
86765B-AT-6	SUNOCO LOGISTICS Corp Note Call MW			2	2FE	319,027	92.5900	296,288	320,000	319,234	0	86	0	0	3.900	3.937	JJ	5,755	12,480	07/07/2016	07/15/2026
887317-BA-2	TIME WARNER INC Corp Note Call MW			2	2FE	713,342	89.5970	697,179	700,000	710,409	0	(1,272)	0	0	2.950	2.724	JJ	9,522	20,650	08/05/2016	07/15/2026
90131H-AN-5	21ST CENTURY FOX Corp Note MW			2	2FE	319,825	100.5770	276,587	275,000	276,952	0	(11,856)	0	0	6.900	2.490	MS	6,325	18,975	04/06/2015	03/01/2019
902494-BA-0	TYSON FOODS INC Corp Note Non Call			2	2FE	100,000	99.8800	99,880	100,000	100,000	0	0	0	0	3.157	1.650	FMAN	281	2,511	05/23/2017	05/30/2019
903270-CZ-0	USAA CAP CORP Corp Note Non Call Prv Plc			2	1FE	519,220	100.0000	519,000	519,000	519,016	0	(188)	0	0	2.771	1.405	FMAN	2,437	11,547	11/29/2017	02/01/2019
903270-D2-2	USAA CAP CORP Corp Note MW Prv Plc			2	2FE	351,542	99.9060	351,669	352,000	351,656	0	114	0	0	3.000	3.067	JJ	5,368	0	06/26/2018	07/01/2020
90331H-NE-9	US BANK NA OHIO Corp Note Call			2	1FE	760,109	99.9370	759,016	759,494	759,627	0	(329)	0	0	2.839	1.299	FMAN	2,156	16,736	07/06/2017	05/24/2019
90331H-NJ-8	US BANK NA OHIO Corp Note Call			2	1FE	499,775	99.3690	496,845	500,000	499,879	0	104	0	0	2.350	2.373	JJ	5,157	5,875	01/18/2018	01/23/2020
90331H-NW-9	US BANK NA OHIO Corp Note Call			2	1FE	300,000	99.7830	299,349	300,000	300,000	0	0	0	0	2.737	2.592	JAJO	1,574	1,987	07/19/2018	07/24/2020
90520E-AF-8	UNION BANK NA Corp Note Call			2	1FE	994,640	99.6850	996,850	1,000,000	998,250	0	3,610	0	0	2.250	2.764	MN	3,438	22,500	04/11/2018	05/06/2019
91159H-HN-3	US BANCORP Corp Note Call			2	1FE	1,310,338	91.3410	1,205,701	1,320,000	1,312,485	0	895	0	0	2.375	2.458	JJ	13,846	31,350	07/19/2016	07/22/2026
913017-CR-8	UNITED TECH CORP Corp Note Call MW			1	2FE	1,380,000	92.5820	1,277,632	1,380,000	1,380,000	0	0	0	0	3.125	3.125	MN	6,828	43,125	05/01/2017	05/04/2027
922776-AG-2	VENTAS REALTY LP Corp Note Call MW			2	2FE	545,546	99.3660	501,798	505,000	536,009	0	(4,039)	0	0	4.125	3.115	JJ	9,606	20,831	07/28/2016	01/15/2026
922776-AM-9	VENTAS REALTY LP Corp Note Call MW			2	2FE	719,439	97.1570	704,388	725,000	719,839	0	400	0	0	4.000	4.094	MS	9,667	15,144	02/13/2018	03/01/2028
92343V-ER-1	VERIZON COMM INC Corp Note Call			2	2FE	508,000	100.6700	511,404	508,000	508,000	0	0	0	0	4.329	4.329	MS	11,607	0	10/22/2018	09/21/2028
92890H-AB-8	WEA FINANCE LLC/ Corp Note Call MW Prv Plc			2	1FE	199,348	99.5690	199,138	200,000	199,589	0	241	0	0	2.700	2.996	MS	1,560	2,700	08/01/2018	09/17/2019
931142-EH-2	WALMART INC Corp Note Non Call			2	1FE	492,000	99.7380	490,711	492,000	492,000	0	0	0	0	3.054	2.576	MJSD	329	6,352	06/20/2018	06/23/2021
94106L-AW-9	WASTE MANAGEMENT Corp Note MW 25BP			2	2FE	334,446	102.3520	307,056	300,000	339,140	0	(5,918)	0	0	4.750	2.657	JJ	40	14,250	07/08/2014	06/30/2020
949746-RS-2	WELLS FARGO CO Corp Note Non Call			2	1FE	764,824	98.4220	752,928	765,000	764,921	0	35	0	0	2.500	2.505	MS	6,216	19,125	02/26/2016	03/04/2021
950400-AD-6	WELLTOWER INC Corp Note Call MW			2	2FE	789,676	99.2380	783,980	790,000	789,700	0	24	0	0	4.250	4.255	AO	7,088	17,254	04/03/2018	04/15/2028
958802-AT-6	WESTERN UNION CO Corp Note MW			2	2FE	287,468	99.9630	285,894	286,000	286,473	0	(995)	0	0	3.350	2.919	MN	1,038	9,581	03/09/2018	05/22/2019
976656-CC-0	WISC ELEC POWER Corp Note MW			2	1FE	345,829	101.1880	345,051	341,000	344,859	0	(969)	0	0	4.250	3.036	JD	644	7,246	10/01/2018	12/15/2019
06367T-PX-2	BANK OF MONTREAL Corp Note Non Call			A	1FE	1,210,627	99.0660	1,193,745	1,205,000	1,207,176	0	(2,261)	0	0	2.100	1.906	JD	1,336	25,305	06/14/2017	12/12/2019
15135U-AD-1	CENOVUS ENERGY Corp Note MW 35BP			A	2FE	468,365	101.8100	411,156	403,846	413,057	0	(11,453)	0	0	5.700	2.743	AO	4,860	23,019	11/20/2013	10/15/2019
448055-AK-9	HUSKY ENERGY INC Corp Note Call MW			A	2FE	2,380,015	99.0730	2,362,891	2,385,000	2,382,186	0	469	0	0	4.000	4.025	AO	20,140	95,400	03/12/2014	04/15/2024
67077M-AD-0	NUTRIEN LTD Corp Note Call MW			D	2FE	1,011,596	92.7100	987,362	1,005,000	1,016,547	0	4,950	0	0	3.000	3.826	AO	7,988	15,975	04/10/2018	04/01/2025
055650-CP-1	BP CAPITAL PLC Corp Note MW			D	2FE	1,214,976	101.0390	1,212,468	1,200,000	1,213,844	0	(1,132)	0	0	3.814	3.565	FA	17,926	22,884	02/10/2018	02/10/2024
05964H-AG-0	BANCO SANTANDER Corp Note Non Call			D	1FE	1,000,000	97.2550	972,550	1,000,000	1,000,000	0	0	0	0	3.848	3.848	AO	8,444	19,240	04/09/2018	04/12/2023
111013-AM-0	BRIT SKY BROADCA Corp Note MW Prv Plc			D	2FE	698,957	99.2630	694,841	700,000	699,845	0	216	0	0	2.625	2.657	MS	5,359	18,375	09/09/2014	09/16/2019
404280-BJ-7	HSBC HOLDINGS Corp Note Call			D	1FE	1,110,000	97.9050	1,086,746	1,110,000	1,110,000	0	0	0	0	3.262	3.262	MS	10,862	36,208	03/06/2017	03/13/2023
539439-AP-4	LLOYDS BK GR PLC Corp Note Call			D	1FE	485,000	94.6400	459,004	485,000	485,000	0	0	0	0	2.907	2.907	MN	2,115	14,009	10/31/2017	11/07/2023
53944Y-AC-7	LLOYDS BK GR PLC Corp Note Non Call			D	1FE	1,061,368	96.8020	1,030,941	1,065,000	1,062,736	0	703	0	0	2.900	3.074	JJ	15,088	31,950	01/04/2017	01/11/2022
3299999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations					143,370,407	XXX	139,979,792	142,689,379	142,834,977	0	(157,250)	0	0	XXX	XXX	XXX	1,114,335	3,478,861	XXX	XXX
12594J-AA-3	COMM MORTGAGE TR COMM 2016-GCT A CMBS Prv Plc			4	1FML	1,153,566	98.6660	1,105,059	1,120,000	1,137,654	0	(6,801)	0	0	2.681	2.042	MON	2,502	30,027	07/25/2016	08/10/2029
17322V-AR-7	CITIGROUP COMMERCIAL MORTGAGE CGOMT 2014-GC23 A2			4	1FML	606,966	99.8130	588,193	589,295	589,984	0	(4,702)	0	0	2.851	2.169	MON	1,400	16,801	07/17/2014	07/10/2047
17326F-AA-5	CITIGROUP COMMERCIAL MORTGAGE CGOMT 2017-C4 A1 CMBS			4	1FML	523,570	98.3720	515,053	523,576	523,457	0	(119)	0	0	2.						

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 1

Showing All Long-Term BONDS Owned December 31 of Current Year

1	2	Codes			6	7	Fair Value		10	11	Change in Book/Adjusted Carrying Value				Interest				Dates		
		3	4	5			8	9			12	13	14	15	16	17	18	19	20	21	22
CUSIP Identification	Description	C o d e	F o r e i g n	B o n d C h a r	NAIC Designation and Administrative Symbol	Actual Cost	Rate Used to Obtain Fair Value	Fair Value	Par Value	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization) Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Rate of	Effective Rate of	When Paid	Admitted Amount Due and Accrued	Amount Received During Year	Acquired	Stated Contractual Maturity Date
03065V-AE-7	AmeriCredit Auto AMCAR 2016-1 B ABS			4	1FE	2,406,245	99.8150	2,385,579	2,390,000	2,392,481	0	(8,372)	0	0	2.300	1.917	MON	3,512	54,970	06/08/2017	03/08/2021
03066F-AE-1	AmeriCredit Auto AMCAR 2017-4 A3 ABS			4	1FE	2,064,945	98.8340	2,040,922	2,065,000	2,064,943	0	(1)	0	0	2.040	2.049	MON	1,521	42,126	11/07/2017	07/18/2022
03066L-AB-4	AmeriCredit Auto AMCAR 2018-2 A2A ABS			4	1FE	3,724,702	99.8180	3,718,221	3,725,000	3,724,757	0	55	0	0	2.860	2.881	MON	3,847	36,399	08/08/2018	11/18/2021
14314J-AC-4	CarMax Auto Owne CARIX 2017-1 A3 ABS			4	1FE	391,823	99.2160	387,148	390,207	390,740	0	(614)	0	0	1.980	1.793	MON	3,343	7,726	06/20/2018	11/15/2021
14314R-AC-6	CarMax Auto Owne CARIX 2017-4 A3 ABS			4	1FE	2,465,064	99.0010	2,477,995	2,503,000	2,473,588	0	8,524	0	0	2.110	2.944	MON	2,347	22,006	07/19/2018	10/17/2022
165183-BN-3	Chesapeake Fundi CF11 2016-2A 1A ABS Prv Plc			4	1FE	3,679,575	100.4850	3,697,848	3,680,000	3,679,628	0	53	0	0	3.230	3.256	MON	5,283	39,291	08/07/2018	08/15/2030
29372E-BW-7	Enterprise Fleet EFF 2017-1 A3 ABS Prv Plc			4	1FE	2,479,382	99.3800	2,464,624	2,480,000	2,479,691	0	163	0	0	2.600	2.621	MON	1,970	64,480	01/24/2017	07/20/2022
29373F-AB-0	Enterprise Fleet EFF 2018-2 A2 ABS Prv Plc			4	1FE	3,619,453	99.9550	3,618,371	3,620,000	3,619,540	0	87	0	0	3.140	3.167	MON	3,473	44,204	07/25/2018	02/20/2024
34528Q-FY-5	FORD CREDIT FLOO FORD 2018-1 A1 ABS			4	1FE	2,317,440	99.7720	2,324,688	2,330,000	2,319,199	0	1,759	0	0	2.950	3.166	MON	3,055	28,640	07/26/2018	05/15/2023
34530N-AA-5	FORD CREDIT AUTO FORD 2014-2 A ABS Prv Plc			4	1FE	2,042,252	99.3180	2,006,224	2,020,000	2,032,853	0	(4,270)	0	0	2.310	2.000	MON	2,074	46,662	06/09/2017	04/15/2026
34530U-AA-9	Ford Credit Auto FORD 2016-1 A ABS Prv Plc			4	1FE	1,711,240	98.6760	1,726,830	1,750,000	1,714,695	0	3,454	0	0	2.310	2.865	MON	1,797	16,844	07/31/2018	08/15/2027
34531D-AD-0	FORD 2017-B A4 FORD 2017-B A3 ABS			4	1FE	1,659,928	99.2640	1,647,782	1,680,000	1,659,942	0	11	0	0	2.030	2.039	MON	1,498	33,698	10/24/2017	12/15/2020
34531H-AD-1	Ford Credit Auto FORD 2017-B A3 ABS			4	1FE	1,579,930	98.7870	1,560,835	1,580,000	1,579,950	0	14	0	0	1.690	1.697	MON	1,187	26,702	06/20/2017	11/15/2021
34532E-AE-5	Ford Credit Auto FORD 2016-B A4 ABS			4	1FE	1,079,702	98.7480	1,071,416	1,085,000	1,083,045	0	2,180	0	0	1.520	1.731	MON	1,697	16,492	06/09/2017	08/15/2021
361886-AL-8	GM FLOORPLAN OW GFORT 2016-1 A1 ABS			4	1FE	2,689,466	99.5490	2,677,868	2,690,000	2,689,931	0	183	0	0	1.960	1.975	MON	2,343	52,724	05/24/2016	05/17/2021
361886-BK-9	GM FLOORPLAN OW GFORT 2018-2 A1 ABS Prv Plc			4	1FE	2,389,968	100.0530	2,391,267	2,390,000	2,389,973	0	5	0	0	3.130	3.151	MON	3,325	53,404	03/21/2018	03/15/2023
36251L-AE-3	GM Financial Aut GMA 2016-2 A4 ABS			4	1FE	817,473	99.8980	817,023	817,857	817,804	0	200	0	0	1.760	1.796	MON	440	14,394	06/08/2017	03/20/2020
36254A-AC-8	GM Financial Sec GIMCAR 2017-1A A2B ABS Prv Plc			4	1FE	38,335	100.0000	38,335	38,335	38,335	0	0	0	0	2.575	2.589	MON	41	798	02/03/2017	03/16/2020
36255K-AD-3	GM Financial Aut GMA 2018-2 A3 ABS			4	1FE	1,324,924	99.8800	1,323,410	1,325,000	1,324,938	0	14	0	0	3.060	3.082	MON	1,239	19,484	06/19/2018	06/21/2021
362566-AD-1	GM Financial Aut GMA 2018-3 A3 ABS			4	1FE	2,219,825	100.1760	2,223,907	2,220,000	2,219,840	0	15	0	0	3.180	3.204	MON	2,157	16,865	09/18/2018	06/21/2021
38013M-AE-6	GM Financial Aut GMA 2017-2 A4 ABS			4	1FE	2,714,449	98.9710	2,687,063	2,715,000	2,714,772	0	214	0	0	2.180	2.198	MON	1,808	59,187	06/07/2017	06/21/2021
39154T-AQ-1	GREAT AMERICA LE GALT 2018-1 A3 ABS Prv Plc			4	1FE	1,579,799	99.4950	1,572,021	1,580,000	1,579,853	0	53	0	0	2.600	2.618	MON	1,826	34,347	02/05/2018	06/15/2021
43811B-AC-8	Honda Auto Recei HAROT 2017-2 A3 ABS			4	1FE	2,394,793	98.9830	2,370,643	2,395,000	2,394,885	0	59	0	0	1.680	1.689	MON	1,788	40,236	06/20/2017	08/16/2021
43813F-AC-7	Honda Auto Recei HAROT 2017-4 A3 ABS			4	1FE	604,915	99.0330	599,150	605,000	604,946	0	29	0	0	2.050	2.064	MON	345	12,402	11/22/2017	11/22/2021
43814U-AG-4	Honda Auto Recei HAROT 2018-2 A3 ABS			4	1FE	2,484,946	100.1360	2,488,380	2,485,000	2,484,936	0	(10)	0	0	3.010	3.028	MON	2,701	41,139	05/22/2018	05/18/2022
43815H-AC-1	Honda Auto Recei HAROT 2018-3 A3 ABS			4	1FE	2,399,671	100.1020	2,402,448	2,400,000	2,399,704	0	33	0	0	2.950	2.973	MON	1,967	22,223	08/21/2018	08/22/2022
50117P-AC-3	Kubota Credit Ow KCO 2018-1A A3 ABS Prv Plc			4	1FE	2,251,143	100.5290	2,261,903	2,250,000	2,250,971	0	(171)	0	0	3.100	3.097	MON	3,100	23,250	08/16/2018	08/15/2022
80284T-AH-8	Santander Drive SDART 2017-1 B ABS			4	1FE	2,098,876	99.7620	2,094,042	2,099,037	2,099,025	0	103	0	0	2.100	2.112	MON	1,959	44,080	02/23/2017	06/15/2021
80285M-AE-9	Santander Drive SDART 2018-4 A3 ABS			4	1FE	865,000	99.9950	864,957	865,000	864,990	0	(10)	0	0	3.010	3.026	MON	1,157	8,173	08/13/2018	03/15/2022
89231A-AB-7	Toyota Auto Rece TAOT 2018-C A2A ABS			4	1FE	2,534,762	99.7740	2,529,271	2,535,000	2,534,802	0	40	0	0	2.770	2.791	MON	3,121	22,041	08/14/2018	08/16/2021
98161T-AC-9	World Omni Auto WOART 2017-B A2B ABS			4	1FE	426,871	99.9880	426,820	426,871	426,871	0	0	0	0	2.555	2.569	MON	454	8,845	07/25/2017	02/16/2021
98161V-AB-6	World Omni Auto WOART 2018-A A2 ABS			4	1FE	1,262,015	99.6510	1,257,721	1,262,126	1,262,079	0	64	0	0	2.190	2.205	MON	1,228	23,648	01/30/2018	05/17/2021
98163E-AB-2	World Omni Auto WOART 2018-C A2 ABS			4	1FE	3,444,969	99.8230	3,438,902	3,445,000	3,444,947	0	(22)	0	0	2.800	2.814	MON	4,287	35,905	07/24/2018	01/18/2022
136452-AE-9	Canadian Pacer A CPART 2017-1A A4 ABS Prv Plc			A	4	1,577,500	98.7810	1,580,496	1,600,000	1,581,803	0	4,303	0	0	2.286	3.091	MON	1,219	12,192	08/16/2018	01/19/2022
81376N-AD-7	Securitized Term SSTR 2017-2A A3 ABS Prv Plc			A	4	1,560,000	98.9380	1,543,433	1,560,000	1,560,000	0	0	0	0	2.040	2.047	MON	530	31,824	09/27/2017	04/26/2021
81379J-AD-3	Securitized Term SSTR 2017-1A A3 ABS Prv Plc			A	4	1,526,188	99.5020	1,518,588	1,526,188	1,526,188	0	0	0	0	1.890	1.897	MON	481	28,845	02/08/2017	08/25/2020
68784X-AD-8	OSCAR US Funding OSCAR 2018-2A A3 ABS Prv Plc			D	4	2,269,890	100.5540	2,282,564	2,270,000	2,269,899	0	10	0	0	3.390	3.414	MON	4,489	28,430	07/18/2018	09/12/2022
3599999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Other Loan-Backed and Structured Securities					80,937,211	XXX	80,725,465	81,018,621	80,936,273	0	8,089	0	0	XXX	XXX	XXX	85,663	1,245,650	XXX	XXX
3899999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds					229,612,880	XXX	225,841,035	228,874,152	228,957,278	0	(179,881)	0	0	XXX	XXX	XXX	1,211,789	4,866,033	XXX	XXX
4899999	Total - Hybrid Securities					0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
5599999	Total - Parent, Subsidiaries and Affiliates Bonds					0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
6099999	Subtotal - SVO Identified Funds					0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
6599999	Subtotal - Bank Loans					0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
7799999	Total - Issuer Obligations					454,185,830	XXX	439,251,643	424,919,379	443,555,999	0	(3,605,653)	0	0	XXX	XXX	XXX	3,886,422	11,978,297	XXX	XXX
7899999	Total - Residential Mortgage-Backed Securities					49,174,282	XXX	47,086,712	46,737,458	48,988,673	0	(67,310)	0	0	XXX	XXX	XXX	141,406	1,591,905	XXX	XXX
7999999	Total - Commercial Mortgage-Backed Securities					7,067,756	XXX	6,778,065	6,885,774	6,933,798	0	(33,993)	0	0	XXX	XXX	XXX	16,063	189,871	XXX	XXX
8099999	Total - Other Loan-Backed and Structured Securities					80,937,211	XXX	80,725,465	81,018,621	80,936,273	0	8,089	0	0	XXX	XXX	XXX	85,663	1,245,650	XXX	XXX
8199999	Total - SVO Identified Funds					0	XXX	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0	XXX	XXX
8299999	Total - Bank Loans																				

Schedule D - Part 2 - Section 1 - Preferred Stocks Owned

N O N E

Schedule D - Part 2 - Section 2 - Common Stocks Owned

N O N E

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
912828-2B-5	US Treasury Note 0.750% 08/15/19		07/31/2018	Harris Nesbitt Corp		785,969	800,000	2,768
912828-23-4	US Treasury Note 0.875% 09/15/19		08/31/2018	Nomura Securities		1,179,250	1,200,000	4,289
912828-3F-5	US Treasury Note 2.250% 11/15/27		02/06/2018	Various		2,689,381	2,770,000	11,264
912828-3N-8	US Treasury Note 1.875% 12/31/19		11/15/2018	HSBC Securities Inc		792,125	800,000	5,666
912828-3M-8	US Treasury Note 2.750% 02/15/28		04/30/2018	Various		9,543,713	9,730,000	53,576
912828-4N-7	US Treasury Note 2.875% 05/15/28		07/31/2018	Nomura Securities		2,392,113	2,410,000	14,686
912828-4V-9	US Treasury Bill 2.875% 08/15/28		09/20/2018	Merrill Lynch		2,408,752	2,450,000	7,082
912828-D2-3	US Treasury Note 1.625% 04/30/19		01/31/2018	Harris Nesbitt Corp		1,195,141	1,200,000	4,471
912828-D8-0	US Treasury Bill 1.625% 08/31/19		08/08/2018	Toronto Dominion Sec		792,688	800,000	5,723
912828-H5-2	US Treasury Note 1.250% 01/31/20		12/21/2018	Toronto Dominion Sec		492,363	500,000	2,480
912828-R8-5	US Treasury Note 0.875% 06/15/19		05/02/2018	Merrill Lynch		492,070	500,000	1,671
912828-T5-9	US Treasury Note 1.000% 10/15/19		09/07/2018	Nomura Securities		1,180,016	1,200,000	4,787
912828-U3-2	US Treasury Note 1.000% 11/15/19		11/05/2018	Various		1,384,224	1,410,000	5,405
912828-U0-1	US Treasury Note 1.250% 02/29/20		12/24/2018	CitiGroup		1,229,785	1,250,000	5,050
912828-W9-7	US Treasury Note 1.250% 03/31/19		01/31/2018	Nomura Securities		1,189,922	1,200,000	5,110
912828-XS-4	US Treasury Note 1.250% 05/31/19		05/01/2018	Deutsche Bank		1,581,938	1,600,000	8,407
912828-XU-9	US Treasury Note 1.500% 06/15/20		02/09/2018	Bank of New York		36,413,203	37,000,000	89,959
0599999. Subtotal - Bonds - U.S. Governments						65,742,653	66,820,000	232,394
35880C-XA-7	FRISCO TX INDEP GO Non Call 5.000% 08/15/23		01/09/2018	Morgan Stanley		1,518,166	1,300,000	42,611
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						1,518,166	1,300,000	42,611
047870-QI-3	ATLANTA GA WTR & Rev Bond Non Call 5.000% 11/01/25		10/24/2018	Loop Capital Markets		3,706,972	3,210,000	.0
3138EN-QA-0	FNMA Pool FN A15848 MBS 4.000% 09/01/44		09/06/2018	Goldman Sachs		1,400,399	1,364,267	1,819
3138WK-3E-3	FNMA Pool FN AS9796 MBS 3.500% 06/01/47		09/06/2018	Goldman Sachs		1,410,826	1,416,803	1,653
31418C-V2-7	FNMA Pool MA3332 MBS 3.500% 04/01/48		04/05/2018	Goldman Sachs		2,304,503	2,304,773	1,120
341507-P3-9	FLORIDA ST BRD O Rev Bond Non Call 5.000% 07/01/23		01/12/2018	JP Morgan Chase		4,458,267	3,825,000	8,500
41422E-HI-6	HARRIS CNTY TX M Rev Bond Non Call 5.000% 11/01/25		11/29/2018	JP Morgan Chase		2,905,575	2,500,000	.0
544445-GK-9	LOS ANGELES CA D Rev Bond Non Call 5.000% 05/15/27		11/01/2018	JP Morgan Chase		1,353,104	1,185,000	.0
544445-GL-7	LOS ANGELES CA D Rev Bond Non Call 5.000% 05/15/28		11/28/2018	Jefferies and Company		2,338,000	2,000,000	4,444
544445-GM-5	LOS ANGELES CA D Rev Bond Non Call 5.000% 05/15/29		12/06/2018	Jefferies and Company		3,593,340	3,000,000	10,833
64577B-5N-0	NEW JERSEY ST EC Rev Bond Non Call 5.000% 06/15/28		12/12/2018	Merrill Lynch		2,427,495	2,180,000	4,844
83703F-KX-9	SOUTH CAROLINA S Rev Bond Non Call 5.000% 05/01/25		11/15/2018	Wachovia Bank		1,673,595	1,500,000	.0
3199999. Subtotal - Bonds - U.S. Special Revenues						27,572,076	24,485,843	33,213
00138C-AE-8	AIG GLOBAL FUNDI Corp Note Non Call Prv Plc 2.878% 07/02/20		10/29/2018	Market Axess		128,230	128,000	297
00138C-AF-5	AIG GLOBAL FUNDI Corp Note Non Call Prv Plc 1.950% 10/18/19		10/04/2018	Various		437,197	443,000	1,599
00138C-AH-1	AIG GLOBAL FUNDI Corp Note Non Call Prv Plc 3.282% 06/25/21		06/20/2018	Deutsche Bank		196,000	196,000	.0
00912X-AL-8	AIR LEASE CORP Corp Note Call MW 3.375% 01/15/19		01/08/2018	Merrill Lynch		104,035	103,000	1,690
015271-AM-1	ALEXANDRIA REAL Corp Note Call MW 4.000% 01/15/24		06/12/2018	JP Morgan Chase		999,330	1,000,000	.0
02005A-GU-6	ALLY MASTER OWNE AMOT 2018-2 A ABS 3.290% 05/15/23		05/22/2018	CitiGroup		2,469,977	2,470,000	.0
02005A-GZ-5	ALLY MASTER OWNE AMOT 2018-3 A ABS 2.775% 07/15/22		08/07/2018	Barclays Group Inc		3,100,000	3,100,000	.0
0258MO-DK-2	AMERICAN EXPRESS Corp Note Non Call 2.125% 03/18/19		02/22/2018	Goldman Sachs		448,092	450,000	4,197
02665W-BE-0	AMERICAN HONDA F Corp Note MW 1.200% 07/12/19		05/15/2018	Market Axess		643,564	655,000	2,729
02665W-CG-4	AMERICAN HONDA F Corp Note Non Call 3.048% 06/16/20		07/11/2018	SG Cowen and Company		199,000	199,000	.0
03066L-AB-4	AmeriCredit Auto AMCAR 2018-2 A2A ABS 2.860% 11/18/21		08/08/2018	Deutsche Bank		3,724,702	3,725,000	.0
035242-AP-1	ABIBB-CALL04/18 Corp Note Call MW 3.650% 02/01/26		07/09/2018	Morgan Stanley		2,465,725	2,500,000	40,556
040555-CL-6	ARIZONA PUB SERV Corp Note MW 8.750% 03/01/19		02/14/2018	Market Axess		153,037	144,000	5,775
05565E-AD-7	BMW US CAP LLC Corp Note MW Prv Plc 1.500% 04/11/19		01/16/2018	Merrill Lynch		124,041	125,000	505
05565E-AV-7	BMW US CAP LLC Corp Note Non Call Prv Plc 2.835% 04/12/21		04/05/2018	CitiGroup		376,000	376,000	.0
05565E-BD-6	BMW US CAP LLC Corp Note Non Call Prv Plc 3.115% 08/13/21		08/07/2018	Goldman Sachs		428,000	428,000	.0
06050T-HH-2	BANK OF AMERICA Corp Note Call 2.957% 08/28/20		08/22/2018	Merrill Lynch		597,000	597,000	.0
06051G-HB-8	BANK OF AMER CRP Corp Note Call 3.352% 02/05/26		01/31/2018	Merrill Lynch		1,200,000	1,200,000	.0
06051G-HH-5	BANK OF AMER CRP Corp Note Call 3.499% 05/17/22		05/14/2018	Merrill Lynch		1,465,000	1,465,000	.0
06405L-AA-9	BK OF NY MELLON Corp Note Call 3.038% 12/04/20		11/29/2018	CitiGroup		321,000	321,000	.0
126650-CX-6	CVS HEALTH CORP Corp Note Call MW 4.300% 03/25/28		03/06/2018	Merrill Lynch		1,395,105	1,415,000	.0
126650-DA-5	CVS HEALTH CORP Corp Note MW 3.125% 03/09/20		11/05/2018	Various		249,296	250,000	1,259
126650-DB-3	CVS HEALTH CORP Corp Note Non Call 3.397% 03/09/20		03/06/2018	Merrill Lynch		245,000	245,000	.0
14042R-FH-9	CAPITAL ONE NA Corp Note Call 2.350% 01/31/20		11/01/2018	Wells Fargo		790,360	800,000	4,961
14314R-AC-6	CarMax Auto Owne CARMX 2017-4 A3 ABS 2.110% 10/17/22		07/19/2018	Merrill Lynch		2,465,064	2,503,000	1,174
14913Q-2P-3	CATERPILLAR FINL Corp Note Non Call 3.019% 09/07/21		09/04/2018	Barclays Group Inc		95,000	95,000	.0
165183-BN-3	Chesapeake Fundi CFII 2018-2A A1 ABS Prv Plc 3.230% 08/15/30		08/07/2018	Mitsubishi Bank LTD		3,679,575	3,680,000	.0
172967-KX-8	CITIGROUP INC Corp Note Call 4.168% 09/01/23		07/10/2018	Mizuho Investor Sec Co		1,201,943	1,175,000	4,992
172967-LW-9	CITIGROUP INC Corp Note Call 4.075% 04/23/29		04/16/2018	CitiGroup		1,215,000	1,215,000	.0
17325F-AA-6	CITIBANK NA Corp Note Call 2.000% 03/20/19		02/21/2018	Various		596,836	600,000	5,100
17325F-AR-9	CITIBANK NA Corp Note Call 3.047% 07/23/21		07/16/2018	CitiGroup		250,000	250,000	.0
17401Q-AG-6	CITIZENS BANK NA Corp Note Call 2.250% 03/02/20		12/12/2018	Toronto Dominion Sec		275,853	280,000	1,785

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ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1 CUSIP Identification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends
174010-AK-7	CITIZENS BANK NA Corp Note Non Call 3.259% 05/26/20		05/02/2018	CitiGroup		502,776	500,000	2,339
20030N-CH-2	COMCAST CORP Corp Note Call MW 3.550% 05/01/28		02/01/2018	Barclays Group Inc.		250,114	251,000	.0
20030N-CS-8	COMCAST CORP Corp Note Call MW 3.950% 10/15/25		10/02/2018	Wachovia Bank		844,207	845,000	.0
20030N-CV-1	COMCAST CORP Corp Note Non Call 2.726% 10/01/20		10/02/2018	Wells Fargo		259,000	259,000	.0
209111-FR-9	CONSOLIDATED EDI Corp Note Non Call 3.222% 06/25/21		06/21/2018	CitiGroup		426,000	426,000	.0
233331-AT-4	DTE ENERGY CO Corp Note Call MW 2.400% 12/01/19		11/20/2018	Barclays Group Inc.		30,699	31,000	.355
233851-OF-9	DAIMLER FINANCE Corp Note MW Prv Plc 1.500% 07/05/19		05/02/2018	Barclays Group Inc.		275,710	280,000	1,388
233851-CL-6	DAIMLER FINANCE Corp Note Non Call Prv Plc 3.140% 10/30/19		02/26/2018	Goldman Sachs		589,375	586,000	1,127
233851-DH-4	DAIMLER FINANCE Corp Note Non Call Prv Plc 2.972% 05/04/20		04/30/2018	Goldman Sachs		150,000	150,000	.0
24422E-TK-5	JOHN DEERE CAP Corp Note Non Call 2.695% 10/09/19		05/01/2018	RBS Securities		368,196	367,000	640
25466A-AK-7	DISCOVER BANK Corp Note Call 3.350% 02/06/23		01/31/2018	CitiGroup		1,144,897	1,145,000	.0
25468P-DA-1	WALT DISNEY CO Corp Note MW 1.850% 05/30/19		01/18/2018	Wells Fargo		796,728	800,000	2,138
26078J-AG-5	DOWDUPONT Corp Note Non Call 3.326% 11/15/20		11/14/2018	Credit Suisse		153,000	153,000	.0
26441Y-AZ-0	DUKE REALTY Corp Note CALL 3.250% 06/30/26		04/17/2018	Deutsche Bank		1,214,867	1,276,000	12,556
26884A-BC-6	ERP OPERATING LP Corp Note Call MW 2.375% 07/01/19		02/13/2018	Cantor Fitzgerald		204,758	205,000	595
26884A-BJ-1	ERP OPERATING LP Corp Note Call MW 3.500% 03/01/28		02/01/2018	UBS Financial Services		720,665	725,000	.0
291011-AY-0	EMERSON ELECTRIC Corp Note MW 4.875% 10/15/19		06/06/2018	Morgan Stanley		180,715	176,000	1,263
29373F-AB-0	Enterprise Fleet EFF 2018-2 A2 ABS Prv Plc 3.140% 02/20/24		07/25/2018	JP Morgan Chase		3,619,453	3,620,000	.0
29379V-AF-0	ENTERPRISE PRODU Corp Note MW 5.250% 01/31/20		11/19/2018	Various		95,895	94,000	1,522
29379V-AZ-6	ENTERPRISE PRODU Corp Note Call MW 3.350% 03/15/23		07/09/2018	Barclays Group Inc.		1,206,555	1,220,000	13,169
29379V-BP-7	ENTERPRISE PRODU Corp Note MW 2.800% 02/15/21		02/01/2018	JP Morgan Chase		1,259,320	1,260,000	.0
316770-BB-4	FIFTH THIRD BANK Corp Note Call 2.375% 04/25/19		03/05/2018	Market Axess		597,990	600,000	5,225
341099-CM-9	FLORIDA PWR CORP Corp Note MW 15BP 4.550% 04/01/20		11/14/2018	Keybank Capital Markets		528,845	519,000	2,952
34528F-AD-0	Ford Credit Auto FORDO 2018-A A3 ABS 3.030% 11/15/22		07/19/2018	HSBC Securities Inc		2,372,685	2,370,000	1,795
34528Q-FY-5	FORD CREDIT FLOO FORDF 2018-1 A1 ABS 2.950% 05/15/23		07/26/2018	CitiGroup		2,317,440	2,330,000	2,864
34530U-AA-9	Ford Credit Auto FORDR 2016-1 A ABS Prv Plc 2.310% 08/15/27		07/31/2018	Wachovia Bank		1,711,240	1,750,000	1,909
361886-BK-9	GM FLOORPLAN OW GFORT 2018-2 A1 ABS Prv Plc 3.130% 03/15/23		03/21/2018	JP Morgan Chase		2,389,968	2,390,000	.0
36255K-AD-3	GM Financial Aut GMLT 2018-2 A3 ABS 3.060% 06/21/21		06/19/2018	Barclays Group Inc.		1,324,924	1,325,000	.0
36255G-AD-1	GM Financial Aut GMLT 2018-3 A3 ABS 3.180% 06/21/21		09/18/2018	RBC Capital Markets		2,219,825	2,220,000	.0
369550-BB-3	GENERAL DYNAMICS Corp Note Non Call 2.908% 05/11/20		11/02/2018	Various		702,618	701,000	3,313
37045X-AN-6	GEN MOTORS FIN Corp Note MW 3.500% 07/10/19		06/01/2018	RBC Capital Markets		146,888	146,000	2,058
38141G-IU-4	GOLDMAN SACHS GP Corp Note Non Call 3.427% 02/23/23		06/04/2018	Goldman Sachs		1,198,632	1,200,000	1,437
39154T-AQ-1	GREAT AMERICA LE GALC 2018-1 A3 ABS Prv Plc 2.600% 06/15/21		02/05/2018	Wachovia Bank		1,579,799	1,580,000	.0
40428H-PN-6	HSBC USA INC Corp Note Non Call 2.375% 11/13/19		08/30/2018	HSBC Securities Inc		157,022	158,000	1,147
42217K-AY-2	HEALTH CARE REIT Corp Note Call 4.125% 04/01/19		02/13/2018	Wachovia Bank		251,375	248,000	3,808
43814U-AG-4	Honda Auto Recei HAROT 2018-2 A3 ABS 3.010% 05/18/22		05/22/2018	Barclays Group Inc.		2,484,946	2,485,000	.0
43815H-AC-1	Honda Auto Recei HAROT 2018-3 A3 ABS 2.950% 08/22/22		08/21/2018	Merrill Lynch		2,399,671	2,400,000	.0
446438-RU-9	HUNTINGTON NATL Corp Note Call 2.375% 03/10/20		08/22/2018	Pershing		350,287	354,000	3,830
45230B-AU-3	ILLINOIS TOOL WK Corp Note MW 1.950% 03/01/19		02/14/2018	Market Axess		307,758	309,000	2,762
46849L-SH-5	JACKSON NAT LIFE Corp Note Non Call Prv Plc 2.300% 04/16/19		02/21/2018	Market Axess		897,399	900,000	7,303
49327M-2P-8	KEY BANK NA Corp Note Non Call Prv Plc 1.600% 08/22/19		06/06/2018	Keybank Capital Markets		492,520	500,000	2,356
49456B-AP-6	KINDER MORGAN Corp Note Call MW 4.300% 03/01/28		02/22/2018	Mizuho Investor Sec Co		941,428	945,000	.0
50077L-AS-5	KRAFT HEINZ FOOD Corp Note Call MW 4.000% 06/15/23		06/04/2018	Barclays Group Inc.		1,172,685	1,175,000	.0
50117P-AC-3	Kubota Credit Ov KCOT 2018-1A A3 ABS Prv Plc 3.100% 08/15/22		08/16/2018	RBC Capital Markets		2,251,143	2,250,000	1,163
55279H-AG-5	MANUF & TRADERS Corp Note Call 2.250% 07/25/19		02/21/2018	Market Axess		248,663	250,000	438
55336V-AQ-3	MPLX LP Corp Note Call MW 3.375% 03/15/23		02/05/2018	Barclays Group Inc.		1,184,182	1,185,000	.0
58942H-AC-5	MERCY HEALTH Corp Note MW 4.302% 07/01/28		12/10/2018	JP Morgan Chase		575,000	575,000	.0
59217G-CQ-0	MET LIFE GLOB Corp Note Non Call PRV PLC 3.030% 09/07/20		08/30/2018	Merrill Lynch		800,000	800,000	.0
59523U-AP-2	MID-AMER APT LP Corp Note Call MW 4.200% 06/15/28		05/07/2018	Wachovia Bank		954,269	960,000	.0
61744Y-AG-3	MORGAN STANLEY Corp Note Call 3.811% 05/08/24		07/10/2018	Merrill Lynch		1,148,556	1,130,000	7,311
64952H-BY-8	NEW YORK LIFE GL Corp Note Non Call Prv Plc 1.950% 02/11/20		08/21/2018	Market Axess		506,398	514,000	334
64952H-CZ-4	NEW YORK LIFE GL Corp Note Non Call PRV PLC 2.912% 08/06/21		08/01/2018	Goldman Sachs		192,000	192,000	.0
654740-AR-0	NISSAN MOTOR ACC Corp Note MW Prv Plc 1.550% 09/13/19		06/12/2018	CitiGroup		313,454	319,000	1,241
68389X-AG-0	ORACLE CORP Corp Note 5.000% 07/08/19		06/14/2018	Market Axess		768,098	750,000	16,667
69371R-P6-7	PACCAR FINL CORP Corp Note Non Call 2.818% 11/13/20		11/07/2018	Mitsubishi Bank LTD		709,000	709,000	.0
718549-AF-5	PHILLIPS 66 PTNR Corp Note Call 3.750% 03/01/28		01/24/2018	Goldman Sachs		520,112	525,000	5,633
74368C-AD-6	PROTECTIVE LIFE Corp Note Non Call Prv Plc 1.555% 09/13/19		06/12/2018	RBS Securities		684,780	696,000	2,706
74368C-AL-8	PROTECTIVE LIFE Corp Note Non Call Prv Plc 3.333% 06/28/21		06/21/2018	Barclays Group Inc.		350,000	350,000	.0
75884R-AX-1	REGENCY CENTERS Corp Note Call MW 4.125% 03/15/28		02/28/2018	JP Morgan Chase		514,161	515,000	.0
774341-AB-7	ROCKWELL COLLINS Corp Note MW 5.250% 07/15/19		02/07/2018	Merrill Lynch		259,465	250,000	875
78355H-JX-8	RYDER SYSTEM INC Corp Note Call MW 2.450% 09/03/19		07/31/2018	Goldman Sachs		120,270	121,000	1,243
80285M-AE-9	Santander Drive SDART 2018-4 A3 ABS 3.010% 03/15/22		08/13/2018	RBC Capital Markets		865,000	865,000	.0
808513-AV-7	CHARLES SCHWAB Corp Note Call 2.966% 05/21/21		08/31/2018	Various		876,849	876,000	237
816851-BE-8	SEMPRA ENERGY Corp Note MW 2.400% 02/01/20		01/09/2018	RBC Capital Markets		599,184	600,000	.0
86765B-AN-9	SUNOCO LOGISTICS Corp Note Call MW 4.250% 04/01/24		06/12/2018	Goldman Sachs		959,728	970,000	8,360

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ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 3

Showing All Long-Term Bonds and Stocks ACQUIRED During Current Year

1	2	3	4	5	6	7	8	9
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends
89231A-AB-7	Toyota Auto Rece TAOT 2018-C A2A ABS 2.770% 08/16/21		08/14/2018	CitiGroup		2,534,762	2,535,000	0
903270-D2-2	USAA CAP CORP Corp Note MW Prv Plc 3.000% 07/01/20		06/26/2018	Deutsche Bank		351,542	352,000	0
90331H-NJ-8	US BANK NA OHIO Corp Note Call 2.350% 01/23/20		01/18/2018	US Bank		499,775	500,000	0
90331H-NW-9	US BANK NA OHIO Corp Note Call 2.737% 07/24/20		07/19/2018	US Bank		300,000	300,000	0
90520E-AF-8	UNION BANK NA Corp Note Call 2.250% 05/06/19		04/11/2018	Stifel Nicolaus & Co.		994,640	1,000,000	9,813
92277G-AM-9	VENTAS REALTY LP Corp Note Call MW 4.000% 03/01/28		02/13/2018	Jefferies and Company		719,439	725,000	0
92343V-ER-1	VERIZON COMM INC Corp Note MW 4.329% 09/21/28		10/22/2018	Tax Free Exchange		508,000	508,000	7,392
92890H-AB-8	WEA FINANCE LLC/ Corp Note Call MW Prv Plc 2.700% 09/17/19		08/01/2018	Goldman Sachs		199,348	200,000	2,040
931142-EH-2	WALMART INC Corp Note Non Call 3.054% 06/23/21		06/20/2018	CitiGroup		492,000	492,000	0
95040Q-AD-6	WELLS FARGO INC Corp Note Call MW 4.250% 04/15/28		04/03/2018	Merrill Lynch		789,676	790,000	0
959802-AT-6	WESTERN UNION CO Corp Note MW 3.350% 05/22/19		03/09/2018	CitiGroup		287,468	286,000	2,622
976656-CC-0	WISC ELEC POWER Corp Note MW 4.250% 12/15/19		10/01/2018	Merrill Lynch		345,829	341,000	4,348
98161V-AB-6	World Omni Auto WQART 2018-A A2 ABS 2.190% 05/17/21		01/30/2018	Wachovia Bank		1,262,015	1,262,126	0
98163E-AB-2	World Omni Auto WQART 2018-C A2 ABS 2.800% 01/18/22		07/24/2018	Mitsubishi Bank LTD		3,444,969	3,445,000	0
136452-AE-9	Canadian Pacer A CPART 2017-1A A4 ABS Prv Plc 2.286% 01/19/22	A	08/16/2018	BNP Paribas Securities Corp		1,577,500	1,600,000	203
67077M-AD-0	NUTRIEN LTD Corp Note Call MW 3.000% 04/01/25	A	04/10/2018	Tax Free Exchange		1,011,596	1,065,000	799
05665Q-CP-1	BP CAPITAL PLC Corp Note MW 3.814% 02/10/24	D	07/09/2018	SG Cowen and Company		1,214,976	1,200,000	19,191
05964H-AG-0	BANCO SANTANDER Corp Note Non Call 3.848% 04/12/23	D	04/09/2018	CitiGroup		1,000,000	1,000,000	0
68784X-AD-8	OSCAR US Funding OSCAR 2018-2A A3 ABS Prv Plc 3.390% 09/12/22	D	07/18/2018	Mizuho Investor Sec Co		2,269,890	2,270,000	0
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					107,881,074	108,180,126	249,086
8399997	Total - Bonds - Part 3					202,713,969	200,785,969	557,304
8399998	Total - Bonds - Part 5					5,191,256	5,142,457	20,977
8399999	Total - Bonds					207,905,225	205,928,426	578,281
8999997	Total - Preferred Stocks - Part 3					0	XXX	0
8999998	Total - Preferred Stocks - Part 5					0	XXX	0
8999999	Total - Preferred Stocks					0	XXX	0
9799997	Total - Common Stocks - Part 3					0	XXX	0
9799998	Total - Common Stocks - Part 5					0	XXX	0
9799999	Total - Common Stocks					0	XXX	0
9899999	Total - Preferred and Common Stocks					0	XXX	0
9999999	Totals					207,905,225	XXX	578,281

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Con-sideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amor-tization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
36220N-VU-8	GNMA Pool 283327 MBS 9.000% 12/15/19		12/01/2018	Paydown		216	216	216	215	0	-1	0	1	0	216	0	0	0	.11	12/15/2019
912828-2C-3	US Treasury Note 0.750% 08/31/18		08/31/2018	Maturity		800,000	800,000	795,875	797,353	0	2,647	0	2,647	0	800,000	0	0	0	6,000	08/31/2018
912828-HR-4	US Treasury Note 3.500% 02/15/18		02/15/2018	Maturity		42,400,000	42,400,000	47,291,156	42,531,139	0	(131,139)	0	(131,139)	0	42,400,000	0	0	0	742,000	02/15/2018
912828-MG-4	US Treasury Note 1.250% 11/15/18		11/15/2018	Maturity		2,900,000	2,900,000	2,895,582	2,896,562	0	3,438	0	3,438	0	2,900,000	0	0	0	36,250	11/15/2018
912828-04-5	US Treasury Note 0.875% 03/31/18		03/31/2018	Maturity		2,350,000	2,350,000	2,349,725	2,349,924	0	-76	0	-76	0	2,350,000	0	0	0	10,281	03/31/2018
912828-09-4	US Treasury Note 0.750% 04/30/18		04/30/2018	Maturity		2,000,000	2,000,000	1,995,156	1,999,178	0	822	0	822	0	2,000,000	0	0	0	7,500	04/30/2018
912828-S6-8	US Treasury Note 0.750% 07/31/18		07/31/2018	Maturity		800,000	800,000	797,906	797,906	0	2,094	0	2,094	0	800,000	0	0	0	6,000	07/31/2018
912828-T8-3	US Treasury Note 0.750% 10/31/18		10/31/2018	Maturity		1,500,000	1,500,000	1,491,972	1,496,071	0	3,929	0	3,929	0	1,500,000	0	0	0	11,250	10/31/2018
912828-UJ-7	US Treasury Note 0.875% 01/31/18		01/31/2018	Maturity		3,000,000	3,000,000	3,002,344	3,000,127	0	(127)	0	(127)	0	3,000,000	0	0	0	13,125	01/31/2018
912828-UR-9	US Treasury Note 0.750% 02/28/18		02/28/2018	Maturity		2,350,000	2,350,000	2,348,537	2,349,761	0	239	0	239	0	2,350,000	0	0	0	8,813	02/28/2018
0599999	Subtotal - Bonds - U.S. Governments					58,100,216	58,100,216	62,966,376	58,218,236	0	(118,020)	0	(118,020)	0	58,100,216	0	0	0	841,230	XXX
13063B-5F-5	CALIFORNIA ST GO Cont Call 5.000% 10/01/25		04/16/2018	Call	100.0000	1,000,000	1,000,000	1,142,420	1,007,442	0	(7,442)	0	(7,442)	0	1,000,000	0	0	0	27,083	10/01/2025
13063B-KK-7	CALIFORNIA ST GO Non Call 5.000% 11/01/19		07/20/2018	Goldman Sachs		1,725,240	1,650,000	1,961,009	1,765,092	0	(34,759)	0	(34,759)	0	1,730,333	0	(5,093)	(5,093)	60,042	11/01/2019
882722-YF-2	TEXAS ST GO Non Call 5.000% 08/01/18		08/01/2018	Maturity		2,355,000	2,355,000	2,811,894	2,394,969	0	(39,969)	0	(39,969)	0	2,355,000	0	0	0	117,750	08/01/2018
97705M-BF-1	WISCONSIN ST GO Non Call 5.000% 11/01/20		08/17/2018	Merrill Lynch		3,211,140	3,000,000	3,374,880	3,276,842	0	(61,064)	0	(61,064)	0	3,215,778	0	(4,638)	(4,638)	120,833	11/01/2020
1799999	Subtotal - Bonds - U.S. States, Territories and Possessions					8,291,380	8,005,000	9,290,203	8,444,345	0	(143,234)	0	(143,234)	0	8,301,111	0	(9,731)	(9,731)	325,708	XXX
346604-HN-4	FORSYTH CNTY GA GO Non Call 5.000% 02/01/20		07/25/2018	Barclays Group Inc.		1,051,170	1,000,000	1,186,240	1,075,169	0	(20,362)	0	(20,362)	0	1,054,807	0	(3,637)	(3,637)	49,444	02/01/2020
486063-VB-3	KATY TX INDEP SC GO Non Call 5.000% 02/15/20		08/16/2018	Mesirow Financial		2,333,113	2,225,000	2,449,636	2,376,927	0	(44,930)	0	(44,930)	0	2,331,997	0	1,116	1,116	112,795	02/15/2020
581664-CP-2	MCKINNEY TX INDE GO Non Call 5.000% 02/15/20		08/13/2018	Loop Capital Markets		2,702,797	2,575,000	3,046,148	2,769,784	0	(56,509)	0	(56,509)	0	2,713,275	0	(10,478)	(10,478)	128,750	02/15/2020
751091-JN-0	RALEIGH NC GO Non Call 5.000% 02/01/18		02/01/2018	Maturity		2,180,000	2,180,000	2,556,246	2,187,048	0	(7,048)	0	(7,048)	0	2,180,000	0	0	0	54,500	02/01/2018
2499999	Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions					8,267,080	7,980,000	9,238,270	8,408,928	0	(128,849)	0	(128,849)	0	8,280,079	0	(12,999)	(12,999)	345,489	XXX
13034A-BA-7	CALIFORNIA ST INFRA Rev Bond Non Call 5.000% 10/01/18		07/20/2018	Barclays Group Inc.		2,089,795	2,075,000	2,613,525	2,137,556	0	(46,520)	0	(46,520)	0	2,091,036	0	(1,241)	(1,241)	84,153	10/01/2018
29270C-ZR-9	ENERGY N W IA EL Rev Bond Non Call 5.000% 07/01/18		07/01/2018	Maturity		3,650,000	3,650,000	4,207,246	3,718,923	0	(68,923)	0	(68,923)	0	3,650,000	0	0	0	182,500	07/01/2018
3128PC-2X-9	FHLMC Pool J01690 MBS 5.500% 04/01/21		12/01/2018	Paydown		8,154	8,154	8,152	8,144	0	11	0	11	0	8,154	0	0	0	253	04/01/2021
3128PE-4K-1	FHLMC Pool J03526 MBS 6.000% 10/01/21		12/01/2018	Paydown		19,072	19,072	19,388	19,168	0	(96)	0	(96)	0	19,072	0	0	0	580	10/01/2021
3128PE-4P-0	FHLMC Pool J03530 MBS 6.000% 10/01/21		12/01/2018	Paydown		6,619	6,619	6,712	6,646	0	(27)	0	(27)	0	6,619	0	0	0	228	10/01/2021
3128PL-PR-7	FHLMC Pool J08532 MBS 5.500% 08/01/23		12/01/2018	Paydown		73,048	73,048	73,870	73,473	0	(424)	0	(424)	0	73,048	0	0	0	1,906	08/01/2023
3128PU-HF-5	FHLMC Pool J15146 MBS 4.500% 04/01/26		12/01/2018	Paydown		7,096	7,096	7,543	7,414	0	(318)	0	(318)	0	7,096	0	0	0	174	04/01/2026
3128PV-AA-2	FHLMC Pool J15427 MBS 4.500% 05/01/26		12/01/2018	Paydown		14,583	14,583	15,508	15,253	0	(671)	0	(671)	0	14,583	0	0	0	355	05/01/2026
3128PV-F3-9	FHLMC Pool J15586 MBS 4.500% 06/01/26		12/01/2018	Paydown		23,883	23,883	25,391	24,979	0	(1,096)	0	(1,096)	0	23,883	0	0	0	593	06/01/2026
312906-FZ-8	FHLMC FHR 1105 CIs EZ RMBS 7.950% 07/01/21		08/01/2018	Paydown		1	1	1	1	0	0	0	0	0	1	0	0	0	0	07/01/2021
312926-2P-2	FHLMC Pool A80782 MBS 6.000% 08/01/38		12/01/2018	Paydown		2,241	2,241	2,282	2,279	0	(38)	0	(38)	0	2,241	0	0	0	78	08/01/2038
31292L-YA-7	FHLMC Pool C04305 MBS 3.000% 11/01/42		12/01/2018	Paydown		45,859	45,859	47,822	47,684	0	(1,825)	0	(1,825)	0	45,859	0	0	0	787	11/01/2042
312972-LE-0	FHLMC Pool B19325 MBS 5.000% 05/01/20		12/01/2018	Paydown		13,254	13,254	12,987	13,143	0	110	0	110	0	13,254	0	0	0	384	05/01/2020
31329F-JS-7	FHLMC Pool Q02073 MBS 4.500% 07/01/41		12/01/2018	Paydown		403,771	403,771	437,019	436,676	0	(32,905)	0	(32,905)	0	403,771	0	0	0	9,090	07/01/2041
31329V-JF-0	FHLMC Pool Q09862 MBS 3.500% 08/01/42		12/01/2018	Paydown		85,232	85,232	91,105	90,684	0	(5,451)	0	(5,451)	0	85,232	0	0	0	1,623	08/01/2042
3132HQ-DB-5	FHLMC Pool Q13698 MBS 3.000% 12/01/42		12/01/2018	Paydown		72,972	72,972	76,416	76,188	0	(3,216)	0	(3,216)	0	72,972	0	0	0	1,326	12/01/2042
3132HQ-IM-1	FHLMC Pool Q13979 MBS 3.000% 12/01/42		12/01/2018	Paydown		21,296	21,296	22,294	22,224	0	(928)	0	(928)	0	21,296	0	0	0	342	12/01/2042
3132JA-PII-9	FHLMC Pool Q19036 MBS 3.500% 06/01/43		12/01/2018	Paydown		69,263	69,263	71,796	71,709	0	(2,446)	0	(2,446)	0	69,263	0	0	0	1,466	06/01/2043
3132MA-VG-2	FHLMC Pool Q29911 MBS 3.500% 11/01/44		12/01/2018	Paydown		143,813	143,813	151,319	151,031	0	(7,218)	0	(7,218)	0	143,813	0	0	0	2,714	11/01/2044
3132QL-BR-3	FHLMC Pool Q30047 MBS 3.500% 11/01/44		12/01/2018	Paydown		51,887	51,887	53,719	53,662	0	(1,775)	0	(1,775)	0	51,887	0	0	0	1,136	11/01/2044
3132QM-6F-3	FHLMC Pool Q31789 MBS 4.000% 03/01/45		12/01/2018	Paydown		137,590	137,590	146,254	146,002	0	(8,413)	0	(8,413)	0	137,590	0	0	0	1,602	03/01/2045
3132QN-XX-2	FHLMC Pool Q32493 MBS 4.000% 04/01/45		12/01/2018	Paydown		155,497	155,497	165,167	164,947	0	(9,450)	0	(9,450)	0	155,497	0	0	0	4,626	04/01/2045
3136AN-6F-8	FNMA FNR 2015-22 HT RMBS 3.000% 04/25/45		12/01/2018	Paydown		93,189	93,189	97,019	95,626	0	(2,437)	0	(2,437)	0	93,189	0	0	0	1,413	04/25/2045
3137BP-VM-8	FHLMC FHMS K152 A1 CMBS 2.830% 05/25/30		12/01/2018	Paydown		27,310	27,310	27,855	27,752	0	(442)	0	(442)	0	27,310	0	0	0	429	05/25/2030
31385X-EI-3	FNMA Pool 555549 MBS 5.000% 06/01/18		06/01/2018	Paydown		20,063	20,063	20,790	20,077	0	(14)	0	(14)	0	20,063	0	0	0	197	06/01/2018
3138A2-B0-1	FNMA Pool AH0946 MBS 4.000% 12/01/40		12/01/2018	Paydown		24,669	24,669	25,930	25,849	0	(1,180)	0	(1,180)	0	24,669	0	0	0	517	12/01/2040
3138AP-DP-0	FNMA Pool A19109 MBS 4.000% 05/01/42		12/01/2018	Paydown		1,400,471	1,400,471	1,511,415	1,503,736	0	(103,265)	0	(103,265)	0	1,400,471					

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED of During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
3138AT-CX-6	FNMA Pool AJ1885 MBS	3.00%	03/01/42			2,826	2,826	2,992	2,979	0	(153)	0	(153)	0	2,826	0	0	0	52	03/01/2042
3138AW-EN-9	FNMA Pool AJ4640 MBS	4.00%	10/01/41			13,800	13,800	14,565	14,519	0	(719)	0	(719)	0	13,800	0	0	0	379	10/01/2041
3138E9-YH-7	FNMA Pool AK5211 MBS	3.00%	04/01/27			245,896	245,896	258,614	255,163	0	(9,266)	0	(9,266)	0	245,896	0	0	0	4,017	04/01/2027
3138EE-7J-2	FNMA Pool AK9896 MBS	3.50%	07/01/42			47,753	47,753	50,954	50,722	0	(2,969)	0	(2,969)	0	47,753	0	0	0	723	07/01/2042
3138EN-LE-7	FNMA Pool AL5724 MBS	4.50%	10/01/44			136,762	136,762	148,772	148,473	0	(11,711)	0	(11,711)	0	136,762	0	0	0	3,345	10/01/2044
3138EO-K8-4	FNMA Pool AL7518 MBS	3.50%	10/01/45			102,384	102,384	109,231	109,109	0	(6,724)	0	(6,724)	0	102,384	0	0	0	1,863	10/01/2045
3138ER-FX-3	FNMA Pool AL9181 MBS	3.00%	10/01/46			240,283	240,283	248,956	248,759	0	(8,476)	0	(8,476)	0	240,283	0	0	0	4,074	10/01/2046
3138M4-E3-2	FNMA Pool AP1053 MBS	3.50%	07/01/42			56,062	56,062	59,829	59,556	0	(3,494)	0	(3,494)	0	56,062	0	0	0	1,262	07/01/2042
3138M4-TC-6	FNMA Pool AP1446 MBS	3.50%	08/01/42			71,867	71,867	76,886	76,530	0	(4,663)	0	(4,663)	0	71,867	0	0	0	874	08/01/2042
3138M7-6J-9	FNMA Pool AP4472 MBS	3.50%	08/01/42			6,768	6,768	7,221	7,189	0	(421)	0	(421)	0	6,768	0	0	0	91	08/01/2042
3138M9-3C-3	FNMA Pool AP6194 MBS	3.50%	08/01/42			8,114	8,114	8,702	8,660	0	(546)	0	(546)	0	8,114	0	0	0	155	08/01/2042
3138M9-VP-3	FNMA Pool AP6021 MBS	3.00%	04/01/43			221,272	221,272	226,734	226,466	0	(5,195)	0	(5,195)	0	221,272	0	0	0	3,559	04/01/2043
3138M-VC-7	FNMA Pool AQ4210 MBS	3.00%	11/01/42			128,793	128,793	135,675	135,171	0	(6,378)	0	(6,378)	0	128,793	0	0	0	2,775	11/01/2042
3138MS-AA-1	FNMA Pool AQ9926 MBS	3.00%	12/01/42			56,837	56,837	59,777	59,575	0	(2,738)	0	(2,738)	0	56,837	0	0	0	871	12/01/2042
3138NI-XS-3	FNMA Pool AR0688 MBS	3.00%	12/01/42			41,092	41,092	43,140	42,992	0	(1,900)	0	(1,900)	0	41,092	0	0	0	322	12/01/2042
3138NC-F3-2	FNMA Pool AS2885 MBS	3.50%	07/01/44			157,014	157,014	160,939	160,759	0	(3,745)	0	(3,745)	0	157,014	0	0	0	2,774	07/01/2044
3138WG-YP-3	FNMA Pool AS7017 MBS	3.50%	04/01/46			60,743	60,743	64,103	64,008	0	(3,266)	0	(3,266)	0	60,743	0	0	0	1,234	04/01/2046
3138Y9-WIS-4	FNMA Pool AU9656 MBS	4.50%	09/01/43			70,118	70,118	76,056	75,906	0	(5,788)	0	(5,788)	0	70,118	0	0	0	1,777	09/01/2043
3138YE-TZ-1	FNMA Pool AV3267 MBS	5.00%	12/01/43			694,732	694,732	778,425	776,535	0	(81,804)	0	(81,804)	0	694,732	0	0	0	6,553	12/01/2043
3138Y7-D9-0	FNMA Pool AX5527 MBS	3.50%	06/01/46			106,978	106,978	113,882	113,741	0	(6,762)	0	(6,762)	0	106,978	0	0	0	1,911	06/01/2046
3138YA-FD-2	FNMA Pool AX8263 MBS	4.00%	12/01/44			84,928	84,928	90,449	90,314	0	(5,386)	0	(5,386)	0	84,928	0	0	0	1,712	12/01/2044
3138YB-DG-5	FNMA Pool AX9102 MBS	4.50%	11/01/44			142,141	142,141	154,756	154,326	0	(12,185)	0	(12,185)	0	142,141	0	0	0	3,301	11/01/2044
3138YN-MIS-3	FNMA Pool AY8468 MBS	4.00%	08/01/45			295,736	295,736	315,513	314,885	0	(19,149)	0	(19,149)	0	295,736	0	0	0	6,340	08/01/2045
313990-TE-7	FHLMC FHR 3747 HG RMBS	2.40%	07/15/37			307,875	307,875	312,782	308,233	0	(358)	0	(358)	0	307,875	0	0	0	3,176	07/15/2037
314020-R6-0	FNMA Pool 735009 MBS	5.00%	05/01/19			3,120	3,120	3,065	3,099	0	21	0	21	0	3,120	0	0	0	36	05/01/2019
31407N-FX-4	FNMA Pool 835470 MBS	5.00%	09/01/20			13,478	13,478	13,204	13,344	0	134	0	134	0	13,478	0	0	0	334	09/01/2020
31407S-GA-4	FNMA Pool 839093 MBS	5.00%	10/01/20			12,551	12,551	12,306	12,429	0	122	0	122	0	12,551	0	0	0	312	10/01/2020
3140E0-60-3	FNMA Pool AZ8078 MBS	3.50%	08/01/45			31,254	31,254	32,485	32,443	0	(1,189)	0	(1,189)	0	31,254	0	0	0	629	08/01/2045
3140EI-AM-7	FNMA Pool BC1811 MBS	3.00%	04/01/46			55,210	55,210	57,289	57,242	0	(2,032)	0	(2,032)	0	55,210	0	0	0	1,148	04/01/2046
3140FO-US-1	FNMA Pool BC5092 MBS	3.50%	11/01/43			136,197	136,197	145,013	144,806	0	(8,609)	0	(8,609)	0	136,197	0	0	0	1,889	11/01/2043
3140F1-YH-9	FNMA Pool BC6111 MBS	3.50%	06/01/46			194,499	194,499	208,873	208,581	0	(14,082)	0	(14,082)	0	194,499	0	0	0	4,053	06/01/2046
3140F5-DT-7	FNMA Pool BC9113 MBS	3.50%	05/01/46			91,445	91,445	97,904	97,765	0	(6,319)	0	(6,319)	0	91,445	0	0	0	471	05/01/2046
3140F9-RX-5	FNMA Pool BD2301 MBS	3.00%	06/01/46			410,865	410,865	428,391	427,949	0	(17,084)	0	(17,084)	0	410,865	0	0	0	9,172	06/01/2046
3140GP-4K-1	FNMA Pool BH1725 MBS	4.00%	06/01/47			67,465	67,465	71,259	71,224	0	(3,760)	0	(3,760)	0	67,465	0	0	0	1,312	06/01/2047
31410X-VR-4	FNMA Pool 900724 MBS	5.50%	08/01/21			4,449	4,449	4,449	4,440	0	9	0	9	0	4,449	0	0	0	100	08/01/2021
31412V-AG-3	FNMA Pool 935707 MBS	5.00%	11/01/24			53,364	53,364	56,841	55,546	0	(2,182)	0	(2,182)	0	53,364	0	0	0	1,754	11/01/2024
31414F-K9-1	FNMA Pool 964820 MBS	5.00%	08/01/23			47,365	47,365	47,809	47,559	0	(194)	0	(194)	0	47,365	0	0	0	952	08/01/2023
31416B-RB-6	FNMA Pool 995182 MBS	5.50%	06/01/20			27,389	27,389	29,948	28,317	0	(928)	0	(928)	0	27,389	0	0	0	620	06/01/2020
31416T-2S-7	FNMA Pool AA9784 MBS	5.00%	07/01/24			51,206	51,206	53,174	52,392	0	(1,186)	0	(1,186)	0	51,206	0	0	0	1,410	07/01/2024
31416V-Y6-5	FNMA Pool AB0732 MBS	4.00%	06/01/39			359,800	359,800	382,098	382,098	0	(22,297)	0	(22,297)	0	359,800	0	0	0	6,690	06/01/2039
31417A-QE-2	FNMA Pool AB4052 MBS	4.00%	12/01/41			116,025	116,025	123,693	123,424	0	(7,399)	0	(7,399)	0	116,025	0	0	0	2,176	12/01/2041
31417G-TY-2	FNMA Pool AB9566 MBS	3.00%	06/01/43			104,081	104,081	106,650	106,526	0	(2,445)	0	(2,445)	0	104,081	0	0	0	1,963	06/01/2043
484890-KK-3	KANSAS CITY MO A Rev Bond Non Call	5.00%	09/01/18			1,200,000	1,200,000	1,353,336	1,221,000	0	(21,000)	0	(21,000)	0	1,200,000	0	0	0	60,000	09/01/2018
485429-CT-9	MASSACHUSETTS ST Rev Bond Non Call	5.00%	03/01/19			2,217,152	2,175,000	2,578,049	2,266,270	0	(48,012)	0	(48,012)	0	2,218,258	0	(1,107)	(1,107)	103,615	03/01/2019
576002-BC-2	MASSACHUSETTS ST Rev Bond Non Call	5.00%	01/01/20			2,351,273	2,250,000	2,467,710	2,395,963	0	(45,906)	0	(45,906)	0	2,350,057	0	1,215	1,215	127,813	01/01/2020
57604T-AM-8	MASSACHUSETTS ST Rev Bond Non Call	5.00%	06/01/20			2,124,240	2,000,000	2,396,300	2,165,880	0	(37,741)	0	(37,741)	0	2,128,138	0	(3,898)	(3,898)	64,444	06/01/2020
59447P-NG-2	MICHIGAN ST FIN Rev Bond Cont Call	5.00%	07/01/21			1,250,000	1,250,000	1,485,950	1,270,766	0	(20,766)	0	(20,766)	0	1,250,000	0	0	0	62,500	07/01/2021
64577B-KT-0	NEW JERSEY ST EC Rev Bond Cont Call	5.00%	06/15/26			3,287,070	3,000,000	3,117,480	3,093,725	0	(10,292)	0	(10,292)	0	3,083,433	0	203,637	203,637	149,583	06/15/2026
649710-6N-8	NEW YORK CITY NY Rev Bond Non Call	5.00%	05/01/18			2,500,000	2,500,000	2,935,850	2,530,543	0	(30,543)	0	(30,543)	0	2,500,000	0	0	0	62,500	05/01/2018
64972F-A7-1	NEW YORK CITY NY Rev Bond Non Call	5.00%	06/15/18			1,000,000	1,000,000	1,148,120	1,008,251	0	(8,251)	0	(8,251)	0	1,000,000	0	0	0	25,000	06/15/2018

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21
										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
649905-5B-8	NEW YORK ST DORM Rev Bond Non Call 5.000% 07/01/18		07/01/2018	Maturity		2,325,000	2,325,000	2,642,990	2,359,763	0	(34,763)	0	(34,763)	0	2,325,000	0	0	0	116,250	07/01/2018
64990A-CM-5	NEW YORK ST DORM Rev Bond Non Call 5.000% 03/15/20		08/17/2018	CitiGroup		1,578,765	1,500,000	1,797,720	1,623,789	0	(35,296)	0	(35,296)	0	1,588,493	0	(9,728)	(9,728)	70,000	03/15/2020
650035-WN-4	NEW YORK ST URBA Rev Bond Non Call 5.000% 03/15/19		07/25/2018	Barclays Group Inc.		4,093,120	4,000,000	4,671,000	4,152,879	0	(71,598)	0	(71,598)	0	4,081,281	0	11,839	11,839	173,333	03/15/2019
67756A-3Q-3	OHIO ST HGR EDUC Rev Bond Non Call 5.000% 01/01/19		08/13/2018	Raymond James & Assoc		1,154,911	1,140,000	1,328,385	1,178,298	0	(23,775)	0	(23,775)	0	1,154,524	0	388	388	63,967	01/01/2019
759911-WB-2	REGL TRANSPRTN A Rev Bond Non Call 5.500% 06/01/18		06/01/2018	Maturity		1,545,000	1,545,000	1,760,419	1,555,882	0	(10,882)	0	(10,882)	0	1,545,000	0	0	0	42,488	06/01/2018
849103-AF-3	SPOKANE WA WATR Rev Bond Non Call 5.000% 12/01/20		07/25/2018	Goldman Sachs		3,707,578	3,445,000	4,103,546	3,773,101	0	(62,721)	0	(62,721)	0	3,710,380	0	(2,802)	(2,802)	112,919	12/01/2020
319999. Subtotal - Bonds - U.S. Special Revenues						44,075,274	43,056,370	49,119,086	44,930,690	0	(1,053,719)	0	(1,053,719)	0	43,876,970	0	198,303	198,303	1,647,669	XXX
00206R-CA-8	AT&T INC Corp Note MW 2.375% 11/27/18		11/27/2018	Maturity		1,300,000	1,300,000	1,299,506	1,299,907	0	93	0	93	0	1,300,000	0	0	0	30,875	11/27/2018
00206R-CM-0	AT&T INC Corp Note MW 1.750% 01/15/18		01/15/2018	Maturity		1,320,000	1,320,000	1,313,664	1,319,867	0	133	0	133	0	1,320,000	0	0	0	11,550	01/15/2018
02665W-BL-4	AMERICAN HONDA F Corp Note Non Call 2.920% 11/19/18		11/19/2018	Maturity		223,000	223,000	223,000	223,000	0	0	0	0	0	223,000	0	0	0	5,124	11/19/2018
03064V-AE-8	AmeriCredit Auto AMCAR 2014-2 C ABS 2.180% 06/08/20		11/08/2018	Paydown		2,450,000	2,450,000	2,449,578	2,449,907	0	93	0	93	0	2,450,000	0	0	0	26,573	06/08/2020
03065C-AF-6	AmeriCredit Auto AMCAR 2013-4 D ABS 3.310% 10/08/19		03/08/2018	Paydown		2,550,000	2,550,000	2,597,813	2,561,128	0	(11,128)	0	(11,128)	0	2,550,000	0	0	0	19,692	10/08/2019
035242-AG-1	ABIBB-CALLO4/18 Corp Note MW 1.900% 02/01/19		04/23/2018	Call 100.0000		1,080,000	1,080,000	1,088,478	1,083,201	0	(3,201)	0	(3,201)	0	1,080,000	0	0	0	14,934	02/01/2019
037833-AG-5	APPLE INC Corp Note Non Call 2.604% 05/03/18		05/03/2018	Maturity		450,000	450,000	448,378	449,749	0	251	0	251	0	450,000	0	0	0	4,146	05/03/2018
04685A-2A-8	ATHENE GLOBAL FU Corp Note Non Call Prv Plc 2.875% 10/23/18		10/23/2018	Maturity		460,000	460,000	464,683	463,209	0	(3,209)	0	(3,209)	0	460,000	0	0	0	13,225	10/23/2018
06050T-ME-9	BANK OF AMERICA Corp Note Non Call 2.050% 12/07/18		12/07/2018	Maturity		250,000	250,000	251,508	251,051	0	(1,051)	0	(1,051)	0	250,000	0	0	0	5,125	12/07/2018
06051G-ET-2	BANK OF AMER CRP Corp Note Non Call 2.000% 01/11/18		01/11/2018	Maturity		750,000	750,000	755,468	750,105	0	(105)	0	(105)	0	750,000	0	0	0	7,500	01/11/2018
06051G-FZ-7	BANK OF AMER CRP Corp Note Call MW 2.503% 10/21/22		01/31/2018	Merrill Lynch		1,201,710	1,230,000	1,216,064	1,217,398	0	216	0	216	0	1,217,614	0	(15,904)	(15,904)	8,637	10/21/2022
06406H-DB-2	BANK OF NY MELLO Corp Note Non Call 1.600% 05/22/18		05/22/2018	Maturity		110,000	110,000	110,065	110,025	0	(25)	0	(25)	0	110,000	0	0	0	880	05/22/2018
067383-AD-1	CR BARD INC Corp Note MW 1.375% 01/15/18		01/15/2018	Maturity		250,000	250,000	250,038	250,001	0	(1)	0	(1)	0	250,000	0	0	0	1,719	01/15/2018
07330N-AD-7	BRANCH BKG & TR Corp Note Call 2.300% 10/15/18		09/15/2018	Call 100.0000		750,000	750,000	755,633	752,690	0	(2,690)	0	(2,690)	0	750,000	0	0	0	15,813	10/15/2018
084670-BX-5	BERKSHIRE HATHIYV Corp Note MW 1.150% 08/15/18		08/15/2018	Maturity		156,000	156,000	155,981	155,994	0	6	0	6	0	156,000	0	0	0	1,794	08/15/2018
12591V-AA-9	COMM MORTGAGE TR COMM 2014 CR16 A1 CMBS 1.445% 02/10/19		12/01/2018	Paydown		884,174	884,174	884,153	883,890	0	284	0	284	0	884,174	0	0	0	6,720	02/10/2019
12594D-AB-4	CNH Equipment Tr CNH 2016-B A2A ABS 1.310% 10/15/19		04/15/2018	Paydown		611,920	611,920	611,917	611,911	0	9	0	9	0	611,920	0	0	0	1,492	10/15/2019
12631D-AW-3	COMM MORTGAGE TR COMM 2014-CR17 A1 CMBS 1.275% 05/10/47		04/01/2018	Paydown		401,831	401,831	401,826	401,710	0	120	0	120	0	401,831	0	0	0	1,500	05/10/2047
14040H-BE-4	CAPITAL ONE FINL Corp Note Call 2.450% 04/24/19		11/13/2018	Toronto Dominion Sec		1,356,600	1,360,000	1,358,980	1,359,721	0	185	0	185	0	1,359,905	0	(3,305)	(3,305)	35,264	04/24/2019
140420-NE-6	CAPITAL ONE BANK Corp Note Call 2.150% 11/21/18		10/22/2018	Call 100.0000		800,000	800,000	802,232	801,652	0	(1,652)	0	(1,652)	0	800,000	0	0	0	15,814	11/21/2018
14042E-4L-1	COF-CALLO7/18 Corp Note Call 1.650% 02/05/18		01/05/2018	Call 100.0000		1,700,000	1,700,000	1,699,660	1,699,989	0	11	0	11	0	1,700,000	0	0	0	11,688	02/05/2018
14314J-AC-4	CarMax Auto Ome CARMX 2017-1 A3 ABS 1.980% 11/15/21		12/15/2018	Paydown		34,793	34,793	34,937	34,895	0	(102)	0	(102)	0	34,793	0	0	0	670	11/15/2021
17275R-AZ-5	CISCO SYSTEMS Corp Note Non Call 2.492% 02/21/18		02/21/2018	Maturity		1,500,000	1,500,000	1,500,000	1,500,000	0	0	0	0	0	1,500,000	0	0	0	7,823	02/21/2018

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Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

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										11	12	13	14	15						
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date
172967-ET-4	CITIGROUP INC Corp Note Non Call 4.043% 05/15/18		05/15/2018	Maturity		1,540,000	1,540,000	1,607,852	1,545,848	0	(5,848)	0	(5,848)	0	1,540,000	0	0	0	25,735	05/15/2018
172967-JH-5	CITIGROUP INC Corp Note Non Call 1.800% 02/05/18		02/05/2018	Maturity		600,000	600,000	602,814	600,181	0	(181)	0	(181)	0	600,000	0	0	0	5,400	02/05/2018
172967-JN-2	CITIGROUP INC Corp Note Non Call 1.700% 04/27/18		04/27/2018	Maturity		400,000	400,000	399,291	399,838	0	162	0	162	0	400,000	0	0	0	3,400	04/27/2018
17322M-AS-5	CITIGROUP COMMERCIAL MORTGAGE CGO 2014-GC21 A1 CMBS 1.242% 05/10/47		06/01/2018	Paydown		854,309	854,309	854,287	854,068	0	241	0	241	0	854,309	0	0	0	4,465	05/10/2047
17322V-AR-7	CITIGROUP COMMERCIAL MORTGAGE CGO 2014-GC23 A2 CMBS 2.851% 07/10/47		12/01/2018	Paydown		2,798,118	2,798,118	2,882,023	2,823,718	0	(25,600)	0	(25,600)	0	2,798,118	0	0	0	66,945	07/10/2047
17326F-AA-5	CITIGROUP COMMERCIAL MORTGAGE CGO 2017-C4 A1 CMBS 2.121% 10/12/50		12/01/2018	Paydown		92,257	92,257	92,255	92,257	0	0	0	0	0	92,257	0	0	0	1,096	10/12/2050
198280-AF-6	COLUMBIA PIPELINE Corp Note Call MW 4.500% 06/01/25		05/22/2018	Barclays Group Inc.		735,345	735,000	790,898	783,418	0	(2,362)	0	(2,362)	0	781,057	0	(45,711)	(45,711)	15,894	06/01/2025
20030N-AR-2	COMCAST CORP Corp Note MW 5.875% 02/15/18		02/15/2018	Maturity		249,000	249,000	260,984	250,348	0	(1,348)	0	(1,348)	0	249,000	0	0	0	7,314	02/15/2018
20030N-AW-1	COMCAST CORP Corp Note Cont Call 5.700% 05/15/18		05/15/2018	Maturity		481,000	481,000	501,130	488,379	0	(7,379)	0	(7,379)	0	481,000	0	0	0	13,709	05/15/2018
233851-BA-1	DAIMLER FINANCE Corp Note MW Prv Plc 2.375% 08/01/18		08/01/2018	Maturity		625,000	625,000	633,750	626,144	0	(1,144)	0	(1,144)	0	625,000	0	0	0	14,844	08/01/2018
24422E-SB-6	JOHN DEERE CAP Corp Note Non Call 1.300% 03/12/18		03/12/2018	Maturity		500,000	500,000	501,835	500,197	0	(197)	0	(197)	0	500,000	0	0	0	3,250	03/12/2018
25746U-CU-1	DOMINION RES Corp Note Non Call Prv Plc 3.288% 06/01/19		03/06/2018	Wachovia Bank		401,398	400,000	400,000	400,000	0	0	0	0	0	400,000	0	1,398	1,398	2,229	06/01/2019
26208B-AJ-9	DRIVE Auto Receiv DRIVE 2016-CA B ABS Prv Plc 2.370% 11/16/20		10/15/2018	Paydown		1,980,000	1,980,000	1,979,758	1,979,894	0	106	0	106	0	1,980,000	0	0	0	22,540	11/16/2020
26884T-AK-8	ERAC USA FINANCE Corp Note MW Prv Plc 2.800% 11/01/18		11/01/2018	Maturity		1,175,000	1,175,000	1,201,520	1,179,687	0	(4,687)	0	(4,687)	0	1,175,000	0	0	0	32,900	11/01/2018
291011-AX-2	EMERSON ELECTRIC Corp Note MW 5.250% 10/15/18		10/15/2018	Maturity		405,000	405,000	424,468	416,450	0	(11,450)	0	(11,450)	0	405,000	0	0	0	21,263	10/15/2018
29273R-AH-2	ENERGY TRAN PTNR Corp Note MW 50BP 6.700% 07/01/18		07/01/2018	Maturity		910,000	910,000	1,099,963	928,699	0	(18,699)	0	(18,699)	0	910,000	0	0	0	60,970	07/01/2018
30231G-AM-4	EXXON MOBIL CORP Corp Note Non Call 2.056% 03/01/18		03/01/2018	Maturity		1,000,000	1,000,000	997,180	999,823	0	177	0	177	0	1,000,000	0	0	0	3,827	03/01/2018
31677Q-AV-1	FIFTH THIRD BANK Corp Note Call 1.450% 02/28/18		02/28/2018	Maturity		700,000	700,000	700,336	700,012	0	(12)	0	(12)	0	700,000	0	0	0	5,075	02/28/2018
341081-FN-2	FLORIDA PWR & LT Corp Note Call 2.872% 11/06/20		08/28/2018	Call	100.0000	400,000	400,000	400,000	400,000	0	0	0	0	0	400,000	0	0	0	7,097	11/06/2020
341099-CK-3	FLORIDA PWR CORP Corp Note Cont Call 5.650% 06/15/18		06/15/2018	Maturity		350,000	350,000	364,588	356,513	0	(6,513)	0	(6,513)	0	350,000	0	0	0	9,888	06/15/2018
36251L-AE-3	GM Financial Aut GMAIL 2016-2 A4 ABS 1.760% 03/20/20		12/20/2018	Paydown		792,143	792,143	791,772	791,898	0	245	0	245	0	792,143	0	0	0	13,891	03/20/2020
36254A-AC-8	GM Financial Sec GICAR 2017-1A A2B ABS Prv Plc 2.575% 03/16/20		12/17/2018	Paydown		1,003,151	1,003,151	1,003,151	1,003,151	0	0	0	0	0	1,003,151	0	0	0	10,085	03/16/2020
36962G-X6-6	GEN ELEC CAP CRP Corp Note Non Call 2.613% 08/07/18		08/07/2018	Maturity		417,000	417,000	417,869	417,268	0	(268)	0	(268)	0	417,000	0	0	0	6,698	08/07/2018
37045X-AV-8	GEN MOTORS FIN Corp Note MW 2.400% 04/10/18		04/10/2018	Maturity		400,000	400,000	402,940	400,719	0	(719)	0	(719)	0	400,000	0	0	0	4,800	04/10/2018
38141G-FM-1	GOLDMAN SACHS GP Corp Note Non Call 6.150% 04/01/18		04/01/2018	Maturity		433,000	433,000	451,439	437,448	0	(4,448)	0	(4,448)	0	433,000	0	0	0	13,315	04/01/2018
40428H-PJ-5	HSBC USA INC Corp Note Non Call 2.625% 09/24/18		09/24/2018	Maturity		245,000	245,000	247,261	246,636	0	(1,636)	0	(1,636)	0	245,000	0	0	0	6,431	09/24/2018
44643B-RL-9	HUNTINGTON NATL Corp Note Call 1.700% 02/26/18		02/26/2018	Maturity		265,000	265,000	266,261	265,059	0	(59)	0	(59)	0	265,000	0	0	0	2,253	02/26/2018
44891B-AD-7	Hyundai Auto Lea HALST 2016-B A3 ABS Prv Plc 1.520% 10/15/19		11/15/2018	Paydown		965,000	965,000	964,736	964,846	0	154	0	154	0	965,000	0	0	0	8,322	10/15/2019
45687A-AA-0	IR-CALLO3/18 Corp Note MW 50BP 6.875% 08/15/18		03/15/2018	Call	101.8618	891,291	875,000	1,038,242	901,110	0	(9,820)	0	(9,820)	0	891,291	0	0	0	35,091	08/15/2018

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459745-GH-2	INTL LEASE FIN Corp Note Non Call 6.250% 05/15/19		11/13/2018	Morgan Stanley		279,010	275,000	301,081	288,541	0	(8,543)	0	(8,543)	0	279,999	0	(989)	(989)	17,188	05/15/2019
49326E-EE-9	KEYCORP Corp Note Call 2.300% 12/13/18		04/23/2018	Key Bank		1,023,657	1,025,000	1,024,354	1,024,876	0	41	0	41	0	1,024,917	0	(1,260)	(1,260)	8,644	12/13/2018
494550-AZ-9	KINDER MORGAN EN Corp Note MW 9.000% 02/01/19		11/13/2018	Barclays Group Inc.		1,112,793	1,100,000	1,402,324	1,175,699	0	(60,786)	0	(60,786)	0	1,114,913	0	(2,120)	(2,120)	127,600	02/01/2019
50116W-AC-9	Kubota Credit Ov KCOT 2016-1A A3 ABS PRV PLC 1.500% 07/15/20		12/15/2018	Paydown		633,961	633,961	633,806	633,883	0	78	0	78	0	633,961	0	0	0	7,198	07/15/2020
57629W-BS-8	MASSMUTUAL GLBL Corp Note Non Call Prv Plc 2.100% 08/02/18		08/02/2018	Maturity		366,000	366,000	368,529	367,184	0	(1,184)	0	(1,184)	0	366,000	0	0	0	7,686	08/02/2018
58768U-AE-3	MERCEDES-BENZ MA MBMOT 2016-AA A ABS Prv Plc 3.035% 05/15/20		05/15/2018	Paydown		2,775,000	2,775,000	2,775,000	2,775,000	0	0	0	0	0	2,775,000	0	0	0	26,049	05/15/2020
59217G-BS-7	MET LIFE GLOB Corp Note Non Call Prv Plc 1.350% 09/14/18		09/14/2018	Maturity		300,000	300,000	299,859	299,950	0	50	0	50	0	300,000	0	0	0	4,050	09/14/2018
59217G-CB-3	MET LIFE GLOB Corp Note Non Call Prv Plc 3.222% 12/19/18		12/19/2018	Maturity		232,000	232,000	232,000	232,000	0	0	0	0	0	232,000	0	0	0	5,987	12/19/2018
594918-BF-0	MICROSOFT CORP Corp Note MW 1.300% 11/03/18		11/03/2018	Maturity		663,000	663,000	662,138	662,406	0	594	0	594	0	663,000	0	0	0	8,619	11/03/2018
61763K-AW-4	MORGAN STANLEY B MSBAM 2014-C15 A1 CMBS 1.313% 04/15/47		03/01/2018	Paydown		146,463	146,463	146,460	146,391	0	72	0	72	0	146,463	0	0	0	333	04/15/2047
61763M-AB-6	MORGAN STANLEY B MSBAM 2014-C16 A2 CMBS 2.849% 06/15/47		12/01/2018	Paydown		191,719	191,719	197,463	193,075	0	(1,356)	0	(1,356)	0	191,719	0	0	0	5,077	06/15/2047
64952W-CM-3	NEW YORK LIFE GL Corp Note Prv Plc 2.517% 07/06/18		07/06/2018	Maturity		800,000	800,000	800,000	800,000	0	0	0	0	0	800,000	0	0	0	11,938	07/06/2018
65473Q-AT-0	NI-CALLO7/18 Corp Note MW 6.800% 01/15/19		06/12/2018	Redemption 102.3820		74,739	73,000	87,025	76,086	0	(1,319)	0	(1,319)	0	74,767	0	(28)	(28)	4,509	01/15/2019
65474Q-AP-4	NISSAN MOTOR ACC Corp Note Non Call Prv Plc 3.125% 04/06/18		04/06/2018	Maturity		427,000	427,000	427,000	427,000	0	0	0	0	0	427,000	0	0	0	5,007	04/06/2018
69330A-AL-1	PECO ENERGY CO Corp Note MW 5.350% 03/01/18		03/01/2018	Maturity		600,000	600,000	624,180	603,880	0	(3,880)	0	(3,880)	0	600,000	0	0	0	16,050	03/01/2018
69353R-FA-1	PNC BANK NA Corp Note Non Call 3.166% 12/07/18		12/07/2018	Maturity		250,000	250,000	250,000	250,000	0	0	0	0	0	250,000	0	0	0	6,207	12/07/2018
69371R-L7-9	PACCAR FINL CORP Corp Note Non Call 3.351% 12/06/18		11/13/2018	Wachovia Bank		1,285,207	1,285,000	1,285,000	1,285,000	0	0	0	0	0	1,285,000	0	207	207	32,266	12/06/2018
694308-GN-1	PACIFIC GAS&ELEC Corp Note MW 8.250% 10/15/18		02/18/2018	Call 103.7870		112,090	108,000	116,046	113,423	0	(1,334)	0	(1,334)	0	112,090	0	0	0	3,044	10/15/2018
713448-DW-5	PEPSICO INC Corp Note Non Call 2.436% 10/15/18		10/15/2018	Maturity		513,000	513,000	513,000	513,000	0	0	0	0	0	513,000	0	0	0	10,171	10/15/2018
71713U-AQ-5	PHARMACIA LLC Corporate Note - Cont Callable 6.500% 12/01/18		12/01/2018	Maturity		550,000	550,000	574,508	572,431	0	(2,431)	0	(2,431)	0	550,000	0	0	0	35,750	12/01/2018
74153W-CJ-6	PRICOA GLOB FUND Corp Note Non Call Prv Plc 2.837% 06/27/18		06/27/2018	Maturity		838,000	838,000	838,000	838,000	0	0	0	0	0	838,000	0	0	0	10,534	06/27/2018
74256L-AG-4	PRINCIPAL LFE II Corp Note Non Call Prv Plc 2.250% 10/15/18		10/15/2018	Maturity		1,100,000	1,100,000	1,104,994	1,100,835	0	(835)	0	(835)	0	1,100,000	0	0	0	24,750	10/15/2018
74368C-AC-8	PROTECTIVE LIFE Corp Note Non Call Prv Plc 2.871% 06/08/18		06/08/2018	Maturity		1,200,000	1,200,000	1,200,000	1,200,000	0	0	0	0	0	1,200,000	0	0	0	14,183	06/08/2018
74456Q-BE-5	PUBLIC SERVICE E Corp Note Call MW 2.300% 09/15/18		09/15/2018	Maturity		509,000	509,000	512,960	511,450	0	(2,450)	0	(2,450)	0	509,000	0	0	0	11,707	09/15/2018
747525-AN-3	QUALCOMM INC Corp Note Non Call 3.005% 05/20/19		07/06/2018	Call 101.0000		265,630	263,000	263,000	263,000	0	2,630	0	2,630	0	265,630	0	0	0	3,587	05/20/2019
756109-AM-6	REALTY INCOME Corp Note Call MW 25BP 2.000% 01/31/18		01/31/2018	Maturity		665,000	665,000	656,235	664,819	0	181	0	181	0	665,000	0	0	0	6,650	01/31/2018
760759-AL-4	REPUBLIC SVCS Corp Note MW 20BP 3.800% 05/15/18		03/08/2018	CitiGroup		952,043	950,000	1,014,857	956,541	0	(3,270)	0	(3,270)	0	953,270	0	(1,228)	(1,228)	11,432	05/15/2018
80284M-AE-0	Santander Drive SDART 2015-4 B ABS 2.260% 06/15/20		05/15/2018	Paydown		995,580	995,580	995,526	995,564	0	16	0	16	0	995,580	0	0	0	5,490	06/15/2020
80284T-AH-8	Santander Drive SDART 2017-1 B ABS 2.100% 06/15/21		12/15/2018	Paydown		240,963	240,963	240,944	240,949	0	13	0	13	0	240,963	0	0	0	5,060	06/15/2021

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 4

Showing All Long-Term Bonds and Stocks SOLD, REDEEMED or Otherwise DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ Decrease	Current Year's (Amortization)/ Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/ Adjusted Carrying Value (11+12-13)	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	
84756N-AC-3	SPECTRA PARTNERS Corp Note Call MW 2.950% 09/25/18		09/25/2018	Maturity		975,000	975,000	1,010,866	980,789	0	(5,789)	0	(5,789)	0	975,000	0	0	0	28,763	09/25/2018	
887317-AF-2	TIME WARNER INC Corp Note MW 20BP 4.875% 03/15/20		09/27/2018	Call 102.8316		488,450	475,000	518,705	497,793	0	(9,343)	0	(9,343)	0	488,450	0	0	0	23,928	03/15/2020	
89236T-DT-9	TOYOTA MTR CRED Corp Note Non Call 2.544% 08/15/18		08/15/2018	Maturity		600,000	600,000	600,000	600,000	0	0	0	0	0	600,000	0	0	0	9,537	08/15/2018	
89236T-DY-8	TOYOTA MTR CRED Corp Note Non Call 2.974% 12/24/18		12/24/2018	Maturity		549,000	549,000	549,000	549,000	0	0	0	0	0	549,000	0	0	0	12,797	12/24/2018	
90131H-CB-9	21ST CENTURY FOX Corp Note Call MW 3.375% 11/15/26		10/25/2018	Morgan Stanley		545,587	565,000	563,946	564,024	0	79	0	79	0	564,103	0	(18,517)	(18,517)	18,221	11/15/2026	
92343V-BM-5	VERIZON COMM INC Corp Note Non Call 4.082% 09/14/18		09/14/2018	Maturity		650,000	650,000	684,724	655,598	0	(5,598)	0	(5,598)	0	650,000	0	0	0	18,594	09/14/2018	
92343V-DQ-4	VERIZON COMM INC Corp Note MW 2.946% 03/15/22		06/21/2018	Taxable Exchange		508,114	515,000	515,000	515,000	0	0	0	0	0	515,000	0	(6,886)	(6,886)	11,632	03/15/2022	
92976W-BH-8	WACHOVIA CORP Corp Note Non Call 5.750% 02/01/18		02/01/2018	Maturity		900,000	900,000	958,869	903,383	0	(3,383)	0	(3,383)	0	900,000	0	0	0	25,875	02/01/2018	
978656-CF-3	WISC ELEC POWER Corp Note Call MW 1.700% 06/15/18		06/15/2018	Maturity		792,000	792,000	793,624	792,584	0	(584)	0	(584)	0	792,000	0	0	0	6,732	06/15/2018	
98161T-AC-9	World Omni Auto WQART 2017-B A2B ABS 2.555% 02/16/21		12/17/2018	Paydown		563,129	563,129	563,129	563,129	0	0	0	0	0	563,129	0	0	0	6,979	02/16/2021	
98956P-AF-9	ZIMMER HLDGS Corp Note Call MW 3.550% 04/01/25		04/27/2018	CitiGroup		1,635,298	1,700,000	1,696,005	1,696,990	0	120	0	120	0	1,697,111	0	(61,813)	(61,813)	35,204	04/01/2025	
15135U-AD-1	CENOVUS ENERGY Corp Note MW 35BP 5.700% 10/15/19	A	10/29/2018	Call 102.5120		662,385	646,154	749,383	679,216	0	(16,830)	0	(16,830)	0	662,385	0	0	0	38,263	10/15/2019	
73755L-AM-9	POTASH CORP Corp Note Call MW 3.000% 04/01/25	A	04/10/2018	Tax Free Exchange		1,011,596	1,065,000	1,011,431	1,061,152	0	283	49,839	(49,556)	0	1,011,596	0	0	0	17,839	04/01/2025	
81379J-AD-3	Securitized Term SSTRT 2017-1A A3 ABS Prv Plc 1.890% 08/25/20	A	12/25/2018	Paydown		1,168,812	1,168,812	1,168,812	1,168,812	0	0	0	0	0	1,168,812	0	0	0	17,717	08/25/2020	
89114Q-BN-7	TORONTO DOM BANK Corp Note Non Call 1.450% 09/06/18	A	04/23/2018	Key Bank		1,335,712	1,340,000	1,339,759	1,339,918	0	38	0	38	0	1,339,956	0	(4,244)	(4,244)	12,360	09/06/2018	
111013-AG-3	BRIT SKY BROADCA Corp Note MW 40BP Prv Plc 6.100% 02/15/18	D	02/15/2018	Maturity		400,000	400,000	349,516	399,066	0	934	0	934	0	400,000	0	0	0	12,200	02/15/2018	
2027A0-HV-4	COM BK AUSTRALIA Corp Note Non Call Prv Plc 1.375% 09/06/18	D	04/23/2018	CitiGroup		1,140,535	1,145,000	1,143,202	1,144,386	0	283	0	283	0	1,144,669	0	(4,133)	(4,133)	10,015	09/06/2018	
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					75,225,513	75,336,477	76,714,425	75,706,432	0	(266,551)	49,839	(316,390)	0	75,390,046	0	(164,533)	(164,533)	1,420,707	XXX	
8399997	Total - Bonds - Part 4					193,959,463	192,478,063	207,328,360	195,708,631	0	(1,710,373)	49,839	(1,760,212)	0	193,948,422	0	11,040	11,040	4,580,803	XXX	
8399998	Total - Bonds - Part 5					5,168,431	5,142,457	5,191,256	0	0	(20,327)	0	(20,327)	0	5,170,928	0	(2,497)	(2,497)	91,415	XXX	
8399999	Total - Bonds					199,127,894	197,620,520	212,519,616	195,708,631	0	(1,730,700)	49,839	(1,780,539)	0	199,119,350	0	8,543	8,543	4,672,218	XXX	
8999997	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
8999998	Total - Preferred Stocks - Part 5					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
8999999	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9799997	Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9799998	Total - Common Stocks - Part 5					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9799999	Total - Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9899999	Total - Preferred and Common Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	0	XXX
9999999	Totals					199,127,894	XXX	212,519,616	195,708,631	0	(1,730,700)	49,839	(1,780,539)	0	199,119,350	0	8,543	8,543	4,672,218	XXX	

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ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE D - PART 5

Showing All Long-Term Bonds and Stocks ACQUIRED During Year and Fully DISPOSED OF During Current Year

1	2	3	4	5	6	7	8	9	10	11	Change in Book/Adjusted Carrying Value					17	18	19	20	21			
											12	13	14	15	16								
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Disposal Date	Name of Purchaser	Par Value (Bonds) or Number of Shares (Stock)	Actual Cost	Consideration	Book/Adjusted Carrying Value at Disposal	Unrealized Valuation Increase/(Decrease)	Current Year's (Amortization)/Accretion	Current Year's Other-Than-Temporary Impairment Recognized	Total Change in Book/Adjusted Carrying Value (12 + 13 - 14)	Total Foreign Exchange Change in Book/Adjusted Carrying Value	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Interest and Dividends Received During Year	Paid for Accrued Interest and Dividends			
3138EN-0A-0	FNMA Pool FN A15848 MBS 4.000% 09/01/44		09/06/2018	Goldman Sachs	12/01/2018	Paydown	45,912	47,128	45,912	45,912	0	(1,216)	0	(1,216)	0	0	0	0	291	61			
3138WK-3E-3	FNMA Pool FN AS9796 MBS 3.500% 06/01/47		09/06/2018	Goldman Sachs	12/01/2018	Paydown	50,177	49,966	50,177	50,177	0	212	0	212	0	0	0	0	328	59			
31418C-V2-7	FNMA Pool MA3332 MBS 3.500% 04/01/48		04/05/2018	Goldman Sachs	12/01/2018	Paydown	88,380	88,369	88,380	88,380	0	10	0	10	0	0	0	0	1,146	43			
3199999. Subtotal - Bonds - U.S. Special Revenues							184,469	185,463	184,469	184,469	0	(994)	0	(994)	0	0	0	0	1,765	163			
210518-CR-5	CONSUMERS ENERGY Corp Note MW 6.125% 03/15/19		02/13/2018	Cantor Fitzgerald	11/29/2018	Call 100.9344	147,000	152,751	148,374	148,374	0	(4,377)	0	(4,377)	0	0	0	0	10,855	3,752			
210518-CS-3	CONSUMERS ENERGY Corp Note MW 6.700% 09/15/19		06/12/2018	Wachovia Bank	11/29/2018	Call 102.7815	800,000	837,016	822,252	822,252	0	(14,764)	0	(14,764)	0	0	0	0	37,818	13,251			
233851-DC-5	DAIMLER FINANCE Corp Note Non Call Prv Plc 3.127% 02/22/21		02/15/2018	CitiGroup	03/06/2018	Wachovia Bank	725,000	725,000	726,102	725,000	0	0	0	0	0	0	1,102	1,102	664	0			
29278N-AC-7	ENERGY TRANSFER Corp Note Call MW 4.200% 09/15/23		06/05/2018	Mizuho Investor Sec Co	06/12/2018	Goldman Sachs Redemption 101.8300	485,000	484,641	484,612	484,641	0	0	0	0	0	0	(29)	(29)	340	0			
92276M-AY-1	VTR-CALL04/18 Corp Note Cont Call 4.000% 04/30/19		02/06/2018	Various	02/23/2018		350,000	355,435	356,405	355,204	0	(230)	0	(230)	0	0	1,201	1,201	4,394	3,811			
92343V-EQ-3	VERIZON COMM INC Corp Note MW Prv Plc 4.329% 09/21/28		06/21/2018	Taxable Exchange	06/21/2018	Northern Trust	114	114	114	114	0	0	0	0	0	0	0	0	0	0			
92343V-EQ-3	VERIZON COMM INC Corp Note MW Prv Plc 4.329% 09/21/28		06/21/2018	Taxable Exchange	10/22/2018	Tax Free Exchange	508,000	508,000	508,000	508,000	0	0	0	0	0	0	0	0	7,392	0			
98161V-AB-6	World Omni Auto WOART 2018-A A2 ABS 2.190% 05/17/21		01/30/2018	Wachovia Bank	12/15/2018	Paydown	437,874	437,836	437,874	437,874	0	38	0	38	0	0	0	0	7,123	0			
80283L-AU-7	SANTANDER UK PLC Corp Note Non Call 3.358% 06/01/21	D	05/23/2018	CitiGroup	11/16/2018	Toronto Dominion Sec	1,505,000	1,505,000	1,500,229	1,505,000	0	0	0	0	0	0	(4,771)	(4,771)	21,064	0			
3899999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)							4,957,988	5,005,793	4,983,962	4,986,459	0	(19,333)	0	(19,333)	0	0	(2,497)	(2,497)	89,650	20,814			
8399998. Total - Bonds							5,142,457	5,191,256	5,168,431	5,170,928	0	(20,327)	0	(20,327)	0	0	(2,497)	(2,497)	91,415	20,977			
8999998. Total - Preferred Stocks							0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
9799998. Total - Common Stocks							0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
9899999. Total - Preferred and Common Stocks							0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
9999999 - Totals							5,191,256	5,168,431	5,170,928	5,170,928	0	(20,327)	0	(20,327)	0	0	(2,497)	(2,497)	91,415	20,977			

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Schedule D-Part 6-Section 1-Valuation of Shares of Subsidiary, Controlled or Affiliated Companies

N O N E

Schedule D - Part 6 - Section 2

N O N E

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE DA - PART 1

Showing All SHORT-TERM INVESTMENTS Owned December 31 of Current Year

1	Codes		4	5	6	7	Change in Book/Adjusted Carrying Value				12	13	Interest					20	
	2	3					8	9	10	11			14	15	16	17	18		19
Description	Code	For- eign	Date Acquired	Name of Vendor	Maturity Date	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Par Value	Actual Cost	Amount Due and Accrued Dec. 31 of Current Year on Bond Not in Default	Non- Admitted Due and Accrued	Rate of	Effective Rate of	When Paid	Amount Received During Year	Paid for Accrued Interest
US Treasury Note			10/01/2018	Nomura Securities	08/15/2019	801,201	0	3,969	0	0	810,000	797,232	2,295	0	0.750	2.521	FA	0	564
US Treasury Note			09/18/2018	Wells Fargo	09/15/2019	988,163	0	4,803	0	0	1,000,000	983,359	2,611	0	0.875	2.590	MS	0	97
US Treasury Bill			10/01/2018	Various	08/31/2019	1,202,398	0	2,956	0	0	1,210,000	1,199,441	6,681	0	1.625	2.559	FA	0	1,235
US Treasury Note			07/02/2018	Deutsche Bank	06/15/2019	804,618	0	5,850	0	0	810,000	798,768	331	0	0.875	2.358	JD	3,544	349
US Treasury Note			08/31/2018	Nomura Securities	07/15/2019	1,189,291	0	7,588	0	0	1,200,000	1,181,703	4,158	0	0.750	2.430	JD	0	693
US Treasury Note			07/31/2018	Various	06/30/2019	1,988,965	0	10,168	0	0	2,000,000	1,978,797	69	0	1.250	2.373	JD	12,500	978
0199999. Subtotal - Bonds - U.S. Governments - Issuer Obligations						6,974,636	0	35,334	0	0	7,030,000	6,939,300	16,145	0	XXX	XXX	XXX	16,044	3,916
0599999. Total - U.S. Government Bonds						6,974,636	0	35,334	0	0	7,030,000	6,939,300	16,145	0	XXX	XXX	XXX	16,044	3,916
1099999. Total - All Other Government Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
1799999. Total - U.S. States, Territories and Possessions Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
2499999. Total - U.S. Political Subdivisions Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
3199999. Total - U.S. Special Revenues Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
AT&T Disc Comm Paper			06/05/2018	Various	05/30/2019	394,983	0	6,883	0	0	400,000	388,100	0	0	3.000	3.111	MAT	0	0
AIR LEASE CORP Corp Note Call MW			02/13/2018	Various	01/15/2019	186,000	0	(1,511)	0	0	186,000	187,511	2,895	0	3.375	2.424	JJ	3,139	255
APPLE INC Corp Note MW			11/14/2018	CitiGroup	11/13/2019	404,948	0	590	0	0	409,000	404,358	982	0	1.800	2.970	MN	0	61
ARIZONA PUB SERV Corp Note MW			03/27/2018	Various	03/01/2019	354,353	0	(15,536)	0	0	351,000	369,890	10,238	0	8.750	2.806	MS	15,356	2,327
ATMOS ENERGY Corp Note MW 50BP			03/27/2018	Morgan Stanley	03/15/2019	404,581	0	(17,107)	0	0	400,000	421,688	10,011	0	8.500	2.743	MS	17,000	1,322
BB&T CORPORATION Corp Note Non Call			10/15/2018	Various	04/30/2019	483,241	0	(3,980)	0	0	477,000	487,221	5,537	0	6.850	2.792	AO	16,337	15,157
BOEING CAP CORP Corp Note MW 25 BP			10/29/2018	Various	10/27/2019	798,863	0	(2,239)	0	0	788,000	801,101	6,584	0	4.700	2.981	AO	0	412
BURLINGTON NORTH Corp Note MW			10/12/2018	Mizuho Investor Sec Co	10/01/2019	253,184	0	(891)	0	0	250,000	254,075	2,938	0	4.700	2.961	AO	0	490
CHEVRON CORP Corp Note MW Call			08/28/2018	Cantor Fitzgerald	02/28/2019	993,791	0	2,481	0	0	995,000	991,310	5,732	0	1.686	2.462	FA	0	280
Coca Cola Disc Comm Paper			04/12/2018	Castleak Securities	03/20/2019	1,193,525	0	21,487	0	0	1,200,000	1,172,038	0	0	2.460	2.539	MAT	0	0
EOG RESOURCES Corp Note MW 37.5BP			10/12/2018	Various	06/01/2019	544,945	0	(5,056)	0	0	539,000	550,001	2,527	0	5.625	2.928	JD	15,159	6,945
ERP OPERATING LP Corp Note Call MW			07/31/2018	Barclays Group Inc.	07/01/2019	128,724	0	228	0	0	129,000	128,497	1,532	0	2.375	2.809	JJ	0	259
ECOLAB INC Corp Note MW			02/14/2018	Various	01/14/2019	162,975	0	615	0	0	163,000	162,360	1,512	0	2.000	2.438	JJ	1,630	290
EMERSON ELECTRIC Corp Note MW			10/29/2018	Various	10/15/2019	714,939	0	(2,131)	0	0	705,000	717,070	7,256	0	4.875	3.042	AO	0	1,528
EMERA US FINANCE Corp Note MW			08/22/2018	Morgan Stanley	06/15/2019	116,568	0	337	0	0	117,000	116,231	112	0	2.150	2.976	JD	1,258	482
EXELON GENERATIO Corp Note MW 175 BP			10/31/2018	Market Axess	10/01/2019	199,904	0	(645)	0	0	197,000	200,550	2,561	0	5.200	3.187	AO	0	854
GOLDMAN SACHS GP Corp Note Non Call			11/15/2018	Morgan Stanley	10/23/2019	795,334	0	680	0	0	800,000	794,654	3,853	0	2.550	3.286	AO	0	1,473
HUNTINGTON NATL Note Call			12/20/2018	Stifel Nicolaus & Co.	04/01/2019	326,402	0	53	0	0	327,000	326,349	1,799	0	2.200	2.930	AO	0	1,659
NBCUNIVERSAL ENT Corp Note MW 120BP Prv Plc			09/26/2018	Various	04/15/2019	365,153	0	776	0	0	366,000	364,377	1,525	0	1.974	2.795	AO	3,612	3,271
PACCAR FINL CORP Corp Note Non Call			11/16/2018	CitiGroup	09/15/2019	497,216	0	461	0	0	500,000	496,755	3,239	0	2.200	3.005	MS	0	1,986
PACIFICORP Corp Note MW 50BP			01/31/2018	Various	01/15/2019	600,750	0	(17,923)	0	0	600,000	618,672	15,217	0	5.500	2.181	JJ	16,500	1,558
PRUDENTIAL FIN Corp Note Non Call			10/15/2018	Toronto Dominion Sec	06/15/2019	305,923	0	(2,678)	0	0	300,000	308,601	983	0	7.375	2.955	JD	11,063	7,498
ROCHE HLDGS INC Corp Note Call MW Prv Plc			11/14/2018	Market Axess	09/30/2019	993,995	0	1,025	0	0	1,000,000	992,970	5,688	0	2.250	3.071	MS	0	2,875
SCHLUMBERGER HOLDINGS Disc Comm Paper			05/29/2018	CitiGroup	03/05/2019	597,025	0	9,951	0	0	600,000	587,073	0	0	2.800	2.887	MAT	0	0
Simon Property Group LP Corp Note Call MW			02/26/2018	Merrill Lynch	02/01/2019	799,851	0	1,483	0	0	800,000	798,368	7,333	0	2.200	2.423	FA	8,800	1,320
TRAVELERS COS Corp Note MW			11/27/2018	Market Axess	06/02/2019	740,644	0	(1,875)	0	0	732,000	742,519	3,479	0	5.900	3.029	JD	21,594	21,234
UNILEVER CAPITAL Corp Note MW			02/28/2018	Market Axess	02/15/2019	172,474	0	(3,199)	0	0	172,000	175,674	3,119	0	4.800	2.516	FA	4,128	390
UNITED PARCEL Corp Note MW			04/30/2018	Various	04/01/2019	606,678	0	(9,876)	0	0	603,000	616,555	7,726	0	5.125	2.625	AO	15,452	2,564
WEA FINANCE LLC/ Corp Note Call MW Prv Plc			11/07/2018	Market Axess	09/17/2019	219,443	0	118	0	0	220,000	219,325	1,716	0	2.700	3.063	MS	0	842
UHC Liquidity Pool Pro Rata Share Portion			12/31/2018	Various	12/31/2019	7,705,621	0	0	0	0	0	7,705,621	0	0	0.000	0.000		12,410	0
3299999. Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations						22,062,033	0	(37,479)	0	0	14,326,000	22,099,514	116,094	0	XXX	XXX	XXX	163,438	77,332
3899999. Total - Industrial and Miscellaneous (Unaffiliated) Bonds						22,062,033	0	(37,479)	0	0	14,326,000	22,099,514	116,094	0	XXX	XXX	XXX	163,438	77,332
4899999. Total - Hybrid Securities						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
5599999. Total - Parent, Subsidiaries and Affiliates Bonds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
6099999. Subtotal - SVO Identified Funds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
6599999. Subtotal - Bank Loans						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
7799999. Total - Issuer Obligations						29,036,669	0	(2,145)	0	0	21,356,000	29,038,814	132,239	0	XXX	XXX	XXX	179,482	81,248
7899999. Total - Residential Mortgage-Backed Securities						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
7999999. Total - Commercial Mortgage-Backed Securities						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
8099999. Total - Other Loan-Backed and Structured Securities						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
8199999. Total - SVO Identified Funds						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0

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ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE DA - PART 1

Showing All SHORT-TERM INVESTMENTS Owned December 31 of Current Year

1	Codes		4	5	6	7	Change in Book/Adjusted Carrying Value				12	13	Interest					20	
	2	3					8	9	10	11			14	15	16	17	18		19
Description	Code	For- eign	Date Acquired	Name of Vendor	Maturity Date	Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other- Than- Temporary Impairment Recognized	Total Foreign Exchange Change in Book/ Adjusted Carrying Value	Par Value	Actual Cost	Amount Due and Accrued Dec. 31 of Current Year on Bond Not in Default	Non- Admitted Due and Accrued	Rate of	Effective Rate of	When Paid	Amount Received During Year	Paid for Accrued Interest
8299999. Total - Bank Loans						0	0	0	0	0	0	0	0	0	XXX	XXX	XXX	0	0
8399999. Total Bonds						29,036,669	0	(2,145)	0	0	21,356,000	29,038,814	132,239	0	XXX	XXX	XXX	179,482	81,248
8699999. Total - Parent, Subsidiaries and Affiliates						0	0	0	0	0	XXX	0	0	0	XXX	XXX	XXX	0	0
9199999 - Totals						29,036,669	0	(2,145)	0	0	XXX	29,038,814	132,239	0	XXX	XXX	XXX	179,482	81,248

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

NONE

Schedule DB - Part A - Section 2 - Options, Caps, Floors, Collars, Swaps and Forwards Terminated

NONE

Schedule DB - Part B - Section 1 - Futures Contracts Open

NONE

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

NONE

Schedule DB - Part B - Section 2 - Futures Contracts Terminated

NONE

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

NONE

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

NONE

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

NONE

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

NONE

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

NONE

ANNUAL STATEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned December 31 of Current Year

1 CUSIP	2 Description	3 Code	4 Date Acquired	5 Rate of Interest	6 Maturity Date	7 Book/Adjusted Carrying Value	8 Amount of Interest Due and Accrued	9 Amount Received During Year
	US Treasury Bill		12/24/2018	2.307	01/17/2019	5,494,362	.0	2,113
0199999	Subtotal - Bonds - U.S. Governments - Issuer Obligations					5,494,362	0	2,113
0599999	Total - U.S. Government Bonds					5,494,362	0	2,113
1099999	Total - All Other Government Bonds					0	0	0
1799999	Total - U.S. States, Territories and Possessions Bonds					0	0	0
2499999	Total - U.S. Political Subdivisions Bonds					0	0	0
3199999	Total - U.S. Special Revenues Bonds					0	0	0
3899999	Total - Industrial and Miscellaneous (Unaffiliated) Bonds					0	0	0
4899999	Total - Hybrid Securities					0	0	0
5599999	Total - Parent, Subsidiaries and Affiliates Bonds					0	0	0
6099999	Subtotal - SVO Identified Funds					0	0	0
6599999	Subtotal - Bank Loans					0	0	0
7799999	Total - Issuer Obligations					5,494,362	0	2,113
7899999	Total - Residential Mortgage-Backed Securities					0	0	0
7999999	Total - Commercial Mortgage-Backed Securities					0	0	0
8099999	Total - Other Loan-Backed and Structured Securities					0	0	0
8199999	Total - SVO Identified Funds					0	0	0
8299999	Total - Bank Loans					0	0	0
8399999	Total Bonds					5,494,362	0	2,113
09248U-71-8	BlackRock Liquidity Funds T-Fund Port		12/13/2018	0.000		2,073,782	.0	60,107
38141W-32-3	Goldman Sachs Treasury Obligations Fund		12/10/2018	0.000		15,433,766	.0	41,533
38142B-88-0	Goldman Sachs Financial Square Federal Fund		12/13/2018	0.000		5,265,230	.0	11,257
61747C-58-2	Morgan Stanley Institutional Liquidity Fund Treasury Port		12/07/2018	0.000		26,599,590	.0	63,665
825252-40-6	Invesco AIM STIT TREASURY MMF		12/07/2018	0.000		5,266,455	.0	49,619
94975H-29-6	Wells Fargo Treasury Plus MMKT Fund - Inst		12/13/2018	0.000		5,498,916	.0	32,004
8599999	Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO					60,137,739	0	258,185
147539-67-0	DEUTSCHE INV MGT CASH ACCT GOV/AGENCY DWS-IST		12/07/2018	0.000		35,314,300	.0	70,324
25160K-20-7	DEUTSCHE GOV MMKT Gov Series Ins Shares		12/07/2018	0.000		64,352,195	.0	180,009
26200T-10-9	Dreyfus Inst Preferred Money Market		12/03/2018	0.000		15,219,144	.0	251,532
26200X-20-9	Dreyfus Inst Pred Govt MMF-Hamilton		12/07/2018	0.000		1,599,612	.0	21,299
38141W-27-3	Goldman Sachs Government Fund		12/07/2018	0.000		26,190,010	.0	59,202
38141W-36-4	Goldman Sachs Prime Oblg Fund - Inst		12/03/2018	0.000		14,725,548	.0	256,204
40428X-10-7	HSBC HSBC US GOVT MMKT-I		12/07/2018	0.000		50,733,713	.0	135,394
4812A0-36-7	JP Morgan Prime MMF		12/03/2018	0.000		10,226,028	.0	198,249
4812C0-67-0	JP Morgan US Govt MMKT CP		12/28/2018	0.000		14,008,904	.0	24,048
61747C-70-7	Morgan Stanley Institutional Liquidity Fund Government Port		12/13/2018	0.000		10,057,092	.0	27,804
61747C-71-5	Morgan Stanley Institutional Liquidity Funds Prime Port		12/03/2018	0.000		10,092,828	.0	200,415
665278-70-1	Northern Inst Govt Select Port		12/31/2018	0.000		4,836,928	.0	29,755
69351J-15-7	PNC GOVT MONEY MARKET FUND		12/07/2018	0.000		15,022,526	.0	32,299
74926P-69-6	TUGXX US Equity US Government Money Market		12/13/2018	0.000		11,119,047	.0	21,601
825252-88-5	Invesco AIM STIT GOVT & AGENCY-INST MMF		12/07/2018	0.000		10,312,475	.0	27,391
94975P-40-5	Wells Fargo Government MMKT Fund-Inst Cls		12/03/2018	0.000		369,705	.0	5,192
8699999	Subtotal - All Other Money Market Mutual Funds					294,180,055	0	1,540,718
8899999	Total Cash Equivalents					359,812,156	0	1,801,016

SCHEDULE E - PART 3 - SPECIAL DEPOSITS

States, Etc.	1 Type of Deposit	2 Purpose of Deposit	Deposits For the Benefit of All Policyholders		All Other Special Deposits	
			3 Book/Adjusted Carrying Value	4 Fair Value	5 Book/Adjusted Carrying Value	6 Fair Value
1. Alabama	AL		0	0	0	0
2. Alaska	AK		0	0	0	0
3. Arizona	AZ		0	0	0	0
4. Arkansas	AR		0	0	0	0
5. California	CA		0	0	0	0
6. Colorado	CO		0	0	0	0
7. Connecticut	CT		0	0	0	0
8. Delaware	DE		0	0	0	0
9. District of Columbia	DC		0	0	0	0
10. Florida	FL		0	0	0	0
11. Georgia	GA		0	0	0	0
12. Hawaii	HI		0	0	0	0
13. Idaho	ID		0	0	0	0
14. Illinois	IL	B Multiple	1,564,163	1,530,320	0	0
15. Indiana	IN		0	0	0	0
16. Iowa	IA		0	0	0	0
17. Kansas	KS		0	0	0	0
18. Kentucky	KY		0	0	0	0
19. Louisiana	LA		0	0	0	0
20. Maine	ME		0	0	0	0
21. Maryland	MD		0	0	0	0
22. Massachusetts	MA		0	0	0	0
23. Michigan	MI		0	0	0	0
24. Minnesota	MN		0	0	0	0
25. Mississippi	MS		0	0	0	0
26. Missouri	MO		0	0	0	0
27. Montana	MT		0	0	0	0
28. Nebraska	NE		0	0	0	0
29. Nevada	NV		0	0	0	0
30. New Hampshire	NH		0	0	0	0
31. New Jersey	NJ		0	0	0	0
32. New Mexico	NM		0	0	0	0
33. New York	NY		0	0	0	0
34. North Carolina	NC		0	0	0	0
35. North Dakota	ND		0	0	0	0
36. Ohio	OH		0	0	0	0
37. Oklahoma	OK		0	0	0	0
38. Oregon	OR		0	0	0	0
39. Pennsylvania	PA		0	0	0	0
40. Rhode Island	RI		0	0	0	0
41. South Carolina	SC		0	0	0	0
42. South Dakota	SD		0	0	0	0
43. Tennessee	TN	B TennCare Medicaid Program Contract	0	0	36,631,979	36,464,610
44. Texas	TX		0	0	0	0
45. Utah	UT		0	0	0	0
46. Vermont	VT		0	0	0	0
47. Virginia	VA	B VA Ins Code Stat 38.2-4310.1	0	0	322,892	317,208
48. Washington	WA		0	0	0	0
49. West Virginia	WV		0	0	0	0
50. Wisconsin	WI		0	0	0	0
51. Wyoming	WY		0	0	0	0
52. American Samoa	AS		0	0	0	0
53. Guam	GU		0	0	0	0
54. Puerto Rico	PR		0	0	0	0
55. U.S. Virgin Islands	VI		0	0	0	0
56. Northern Mariana Islands	MP		0	0	0	0
57. Canada	CAN		0	0	0	0
58. Aggregate Alien and Other	OT	XXX XXX	0	0	0	0
59. Subtotal	XXX	XXX	1,564,163	1,530,320	36,954,871	36,781,818
DETAILS OF WRITE-INS						
5801.						
5802.						
5803.						
5898. Summary of remaining write-ins for Line 58 from overflow page	XXX	XXX	0	0	0	0
5899. Totals (Lines 5801 thru 5803 plus 5898)(Line 58 above)	XXX	XXX	0	0	0	0

**ANNUAL STATEMENT FOR THE YEAR 2018
 UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.
 STATEMENT OF ACTUARIAL OPINION**

Table of Key Indicators.

This Opinion is: Unqualified Qualified Adverse Inconclusive

IDENTIFICATION SECTION:

Prescribed Wording Only Prescribed Wording with Additional Wording Revised Wording

SCOPE SECTION:

Prescribed Wording Only Prescribed Wording with Additional Wording Revised Wording

RELIANCE SECTION:

Prescribed Wording Only Prescribed Wording with Additional Wording Revised Wording

OPINION SECTION:

Prescribed Wording Only Prescribed Wording with Additional Wording Revised Wording

RELEVANT COMMENTS:

Revised Wording

The Actuarial Memorandum includes "Deviation from Standard" wording regarding conformity with an Actuarial Standard of Practice.

Identification.

I, Gary Iannone, am Vice President, Corporate Actuarial Services, of United HealthCare Services, Inc., of which UnitedHealthcare Plan of the River Valley, Inc. is an affiliate. I am a member of the American Academy of Actuaries. I was appointed on December 30, 2016 in accordance with the requirements of the annual statement instructions. I meet the Academy qualification standards for rendering the opinion.

Scope.

I have examined the assumptions and methods used in determining loss reserves, actuarial liabilities, and related items listed below, as shown in the annual statement of the organization as prepared for filing with state regulatory officials, as of December 31, 2018.

A. Claims unpaid (Page 3, Line 1)	\$576,251,867
B. Accrued medical incentive pool and bonus payments (Page 3, Line 2)	\$11,458,850
C. Unpaid claims adjustment expenses (Page 3, Line 3)	\$6,288,715
D. Aggregate health policy reserves (Page 3, Line 4) including unearned premium reserves, premium deficiency reserves, and additional policy reserves from the Underwriting and Investment Exhibit – Part 2D	\$81,193,096
E. Aggregate life policy reserves (Page 3, Line 5)	\$0

ANNUAL STATEMENT FOR THE YEAR 2018
UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.
STATEMENT OF ACTUARIAL OPINION

F. Property/casualty unearned premium reserves (Page 3, Line 6)	\$0
G. Aggregate health claim reserves (Page 3, Line 7)	\$3,576,284
H. Any other loss reserves, actuarial liabilities, or related items presented as liabilities in the annual statement:	
1. Reserve for claim interest (Page 3, Line 9, in part)	\$895,312
I. Specified actuarial items presented as assets in the annual statement:	
1. Medicare risk adjustment receivable (Page 2, Line 15.3, Col. 1, in part)	\$27,605,461
2. Accrued retrospective premiums (Page 2, Line 15.3, Col. 1, in part)	\$1,969,233
3. Affordable Care Act permanent risk adjustment program – premium receivable (Page 2, Line 15.3, Col. 1, in part)	\$817,093
4. Other Contracts Subject to Redetermination (Page 2, Line 15.3, Col. 1, in part)	\$93,889,925
5. Health care and other amounts receivable (Page 2, Line 24, Col. 1)	\$74,710,079

Reliance.

In forming my opinion on the items identified above, I relied upon data prepared by Nyle Brent Cottingham, Vice President and Regulatory Controller for United HealthCare Services, Inc.; and on Eric R. Lewis, Controller for UnitedHealthcare Community & State; and on Thomas W. Spence, UnitedHealthcare Employer & Individual Controller; and on Brian H. St. Martin, VP, Controller for UnitedHealthcare Medicare & Retirement; and on Julie Steinweg, Controller for OptumHealth Consumer Solutions Group; and on Paul E. Stordahl, Sr. Vice President Actuarial Pricing for Unitedhealthcare, as certified in the attached statements. I evaluated that data for reasonableness and consistency. I also reconciled that data to the Underwriting and Investment Exhibit – Part 2B of the company’s current annual statement. In other respects, my examination included review of the actuarial assumptions and actuarial methods used and tests of the calculations I considered necessary.

Opinion.

In my opinion, the amounts carried in the balance sheet on account of the items identified above:

- A. Are in accordance with accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- B. Are based on actuarial assumptions relevant to contract provisions and appropriate to the purpose for which the statement was prepared;
- C. Meet the requirements of the Insurance Laws and regulations of the state of Illinois, and are at least as great as the minimum aggregate amounts required by any state;
- D. Make a good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization under the terms of its contracts and agreements;
- E. Are computed on the basis of assumptions and methods consistent with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- F. Include appropriate provision for all actuarial items that ought to be established.

ANNUAL STATEMENT FOR THE YEAR 2018
UNITEDHEALTHCARE PLAN OF THE RIVER VALLEY, INC.
STATEMENT OF ACTUARIAL OPINION

The Underwriting and Investment Exhibit – Part 2B was reviewed for reasonableness and consistency with the applicable Actuarial Standards of Practice.

Actuarial methods, considerations, and analyses used in forming my opinion conform to the relevant Standards of Practice as promulgated from time to time by the Actuarial Standards Board, which standards form the basis of this statement of opinion.



Gary Iannone, A.S.A., M.A.A.A.

Mail Route CT039-004A
UnitedHealth Group
185 Asylum Street
Hartford, CT 06103

Telephone: (860) 702-5767


February 25, 2019



Nyle Brent Cottington
Vice President & Regulatory Controller
MN006-W500
9800 Health Care Lane
Minnetonka, MN 55343
952-979-6133 phone
952-979-7825 fax

February 8, 2019

I, Nyle Brent Cottington, am employed by United HealthCare Services, Inc. as Vice President and Regulatory Controller. Listings and summaries reconciling the annual statement as of December 31, 2018, for UnitedHealthcare Plan of the River Valley, Inc., to the company's general ledger were prepared under my direction and submitted to Gary Iannone in support of his statement of actuarial opinion for that company as of December 31, 2018. I hereby affirm that those listings and summaries, to the best of my knowledge and belief, are substantially accurate and complete and are the same as, or derived from, the records and other data that form the basis for the company's annual statement for the year ended December 31, 2018.



Nyle Brent Cottington
United HealthCare Services, Inc.



February 8, 2019

I, Eric R. Lewis, am employed by United HealthCare Services, Inc. as UnitedHealthcare Community & State Controller. Listings, summaries, and analyses of claim and exposure data and of balances in the general ledger of UnitedHealthcare Plan of the River Valley, Inc., all relating specifically to the UnitedHealthcare Community & State business of that organization, were prepared under my direction and submitted to Gary Iannone in support of his actuarial opinion for that organization as of December 31, 2018. I hereby affirm that those listings, summaries, and analyses are, to the best of my knowledge and belief, substantially accurate and complete and the same as, or derived from, the records and other data which form the basis of the annual statement of the organization for the year ended December 31, 2018.


A handwritten signature in blue ink that reads 'Eric R. Lewis'.

Eric R. Lewis
9800 Health Care Lane
Minnetonka, MN 55343
(952) 931-5953



February 8, 2019

I, Thomas W. Spence, am employed by UnitedHealthcare as UnitedHealthcare Employer & Individual Controller. Listings, summaries, and analyses of claim and exposure data and of balances in the general ledger of UnitedHealthcare Plan of the River Valley, Inc., all relating specifically to the UnitedHealthcare Employer & Individual business of that organization, were prepared under my direction and submitted to Gary Iannone in support of his actuarial opinion for that organization as of December 31, 2018. I hereby affirm that those listings, summaries, and analyses are, to the best of my knowledge and belief, substantially accurate and complete and the same as, or derived from, the records and other data which form the basis of the annual statement of the organization for the year ended December 31, 2018.



Thomas W. Spence
9700 Health Care Lane
MN017-E500
Minnetonka, MN 55343
952-979-6220

February 8, 2019

I, Brian H. St. Martin, am employed by UnitedHealthcare Medicare & Retirement as VP, Controller. Listings, summaries, and analyses of claim and exposure data and of balances in the general ledger of UnitedHealthcare Plan of the River Valley, Inc., all relating specifically to the UnitedHealthcare Medicare & Retirement business of that organization, were prepared under my direction and submitted to Gary Iannone in support of his actuarial opinion for that organization as of December 31, 2018. I hereby affirm that those listings, summaries, and analyses are, to the best of my knowledge and belief, substantially accurate and complete and the same as, or derived from, the records and other data which form the basis of the annual statement of the organization for the year ended December 31, 2018.



Brian H. St. Martin
Tel. #952-931-4279



February 8, 2019

I, Julie Steinweg, am employed by OptumHealth Consumer Solutions Group as Controller. Listings, summaries, and analyses of claim and exposure data and of balances in the general ledger of UnitedHealthcare Plan of the River Valley, Inc., all relating specifically to the OptumHealth Consumer Solutions Group business of that organization, were prepared under my direction and submitted to Gary Iannone in support of his actuarial opinion for that organization as of December 31, 2018. I hereby affirm that those listings, summaries, and analyses are, to the best of my knowledge and belief, substantially accurate and complete and the same as, or derived from, the records and other data which form the basis of the annual statement of the organization for the year ended December 31, 2018.

A handwritten signature in blue ink that reads "Julie L. Steinweg". The signature is written in a cursive style and is positioned above a horizontal line.

Julie L. Steinweg
11000 Optum Circle, Eden Prairie, MN 55344
952-205-6633

February 8, 2019

I, Paul E. Stordahl, am employed by Unitedhealthcare as Sr. Vice President Actuarial Pricing. Listings, summaries, and analyses relating to the permanent risk adjustment program of the Affordable Care Act with regard to UnitedHealthcare Plan of the River Valley, Inc., were prepared under my direction and submitted to Gary Iannone in support of his statement of actuarial opinion for that company as of December 31, 2018. I hereby affirm that those listings and summaries, to the best of my knowledge and belief, are substantially accurate and complete.



Paul E. Stordahl
Sr. Vice President Actuarial Pricing
952.979.5644

Americhoice - Tennessee
Report 2A - TennCare Income Statement
CRA 2.30.14.3.3 and 2.30.14.3.4

	Member Months	East	Middle	West	Total
		1,793,356	1,948,362	1,462,439	5,204,157
Revenues:					
TennCare Capitation		702,083,821	740,421,641	539,231,310	1,981,736,772
Investment		-	-	-	-
Other Revenues		14,562,659	18,185,879	11,390,990	44,139,527
Total Revenues		716,646,480	758,607,520	550,622,299	2,025,876,299
Estimated Expenses:					
Hospital and Medical (w/o Mental Health)					
Capitated Physician Services		-	-	-	-
Fee-for Service Physician Services		110,665,309	113,380,090	69,642,554	293,687,953
Inpatient Hospital Services		117,703,034	134,570,138	86,864,433	339,137,605
Outpatient Hospital Services		71,844,495	80,418,067	56,247,820	208,510,382
Emergency Room Services		45,906,622	37,319,263	27,601,687	110,827,573
Dental Services		-	-	-	-
Vision Services		2,571,426	3,202,177	3,010,432	8,784,036
Pharmacy Services		-	-	-	-
Home Health Services		7,640,921	3,664,726	3,322,868	14,628,514
Chiropractic Services		-	-	-	-
Radiology Services		7,521,119	8,916,072	4,273,419	20,710,610
Laboratory Services		8,361,391	9,438,311	6,035,880	23,835,582
Durable Medical Equipment Services		8,933,552	8,583,045	6,231,021	23,747,618
Transportation Services		3,074,049	3,161,773	3,190,412	9,426,234
Outside Referrals		-	-	-	-
Occupancy, Depreciation and Amortization		-	-	-	-
Other Medical and Hospital Services - Write-Ins		3,820,450	5,713,771	1,793,724	11,327,945
Subtotal Medical and Hospital		388,042,368	408,367,434	268,214,250	1,064,624,052
Mental Health and Substance Abuse Services					
Inpatient Psychiatric Facility Services		7,958,988	11,264,652	12,929,043	32,152,683
Inpatient Substance Abuse Treatment and Detox		721,260	1,316,940	629,331	2,667,531
Outpatient Mental Health Services		5,892,448	10,027,392	2,903,964	18,823,803
Outpatient Substance Abuse Treatment and Detox		1,260,369	1,479,567	605,488	3,345,423
Housing/Residential Treatment		4,193,862	5,768,925	3,408,165	13,370,952
Specialized Crisis Services		132,140	257,502	101,354	490,996
Psychiatric Rehab and Support Services		6,056,736	10,816,041	7,978,340	24,851,117
Case Management		524,151	3,018,187	2,685,167	6,227,505
Forensics		-	-	-	-
Other Judicial		-	-	-	-
Pharmacy		-	-	-	-
Lab Services		-	-	-	-
Transportation		209,478	151,646	114,044	475,168
Occupancy, Depreciation and Amortization		-	-	-	-
Other Mental Health and Substance Abuse Services		3,564,764	851,882	379,033	4,795,679
PCP and Specialist Services		-	-	-	-
Tennessee Health Link		10,939,304	14,584,169	5,411,453	30,934,926
Other Mental Health Services - Write-Ins		-	-	-	-
Subtotal MH&SAS		41,453,498	59,536,902	37,145,381	138,135,782
CHOICES					
Nursing Facility Care		137,619,509	121,131,681	101,515,967	360,267,157
HCBS Services		32,971,078	34,738,021	35,564,089	103,273,187
Subtotal CHOICES		170,590,587	155,869,702	137,080,056	463,540,345
Value Based Payouts		343,387	403,708	239,785	986,880
Value Based Recoveries		57,411	353,407	(17,179)	393,640
Other Medical Incentive Pool and Withhold Adjustments		-	-	-	-
Subtotal Hospital, Medical, MH&SAS, CHOICES		600,372,430	623,824,339	442,696,651	1,666,893,419
LESS:					
Net Reinsurance Recoveries Incurred		-	-	-	-
Copayments		-	-	-	-
Subrogation and Coordination of Benefits		-	-	-	-
Subtotal Reinsurance, Copay, Subrogation		-	-	-	-
Total Hospital, Medical, MH&SAS		600,372,430	623,824,339	442,696,651	1,666,893,419
Administration:					
Compensation		-	-	-	-
Direct and Allocated Admin expenses		-	-	-	-
Marketing		-	-	-	-
Interest Expense		-	-	-	-
Premium Tax Expense		54,890,400	57,300,933	40,472,716	152,664,049
Occupancy, Depreciation, and Amortization		-	-	-	-
Other Administration - Write-Ins		78,093,627	85,656,088	60,301,195	224,050,910
Total Administration Expenses		132,984,027	142,957,021	100,773,911	376,714,959
Total Expenses		733,356,456	766,781,360	543,470,562	2,043,608,378
Extraordinary Item		-	-	-	-
Provision for Income Tax		(1,401,065)	556,099	3,102,714	2,257,748
Net Income (Loss)		(15,308,912)	(8,729,938)	4,049,023	(19,989,827)
Write-Ins for Other Revenues					
Other Revenue - TennCare Bonuses		587,000	-	-	587,000
Other Revenue - ECF Administration Revenue		1,939,633	1,669,916	1,586,850	5,196,400
Other Revenue - Estimated TennCare Health Insurer Reimbursement Fee		12,036,025	16,515,962	9,804,139	38,356,127
Total Other Revenues		14,562,659	18,185,879	11,390,990	44,139,527
Write-Ins for Other Medical and Hospital					
Other Miscellaneous		222,950	1,761,171	68,179	2,052,300
Other Capitated Services		3,597,500	3,952,600	1,725,545	9,275,646
Total Other Medical and Hospital		3,820,450	5,713,771	1,793,724	11,327,945
Write-Ins for Other MH & SS					
Other Miscellaneous		-	-	-	-
Total Other MH & SS		-	-	-	-
Write-Ins for Other Administration					
Administrative Services Fee		54,049,169	56,827,297	41,422,801	152,299,267
Behaviorial Healthcare Services		5,136,797	5,996,253	4,196,555	15,329,606
Spectera Administration Fees		-	-	-	-
Other Miscellaneous		18,907,661	22,832,538	14,681,838	56,422,037
Total Other Administration		78,093,627	85,656,088	60,301,195	224,050,910

1.The statutory quarterly filing Statement of Revenue and Expenses and the 2A includes TennCare incentives which are presented as Other Revenue per the direction of the TDCI. As these incentives are not considered written premiums or earned premiums per the NAIC guidelines these amounts have been excluded from the statutory quarterly filing Exhibit of Premiums, Enrollment, and Utilization.

2. The provision for federal income taxes incurred is different from that which would be obtained by applying the statutory federal income tax rate of 21% to net income before federal income taxes, plus capital gains tax primarily as a result of the new annual health insurer fee under section 9010 of the ACA that requires the Company to expense 100% of the estimated annual fee on January 1, 2018 which is nondeductible for tax purposes. The Company estimates its health insurer fee on the Medicaid product line to be \$28,483,260 in 2018 YTD, which was paid in September 2018 and is included in Total Administrative Expenses in the Report 2A.

UnitedHealthcare Community Plan - East Tennessee
Report 2A - TennCare Income Statement
CRA 2.30.14.3.3 and 2.30.14.3.4

Member Months	Current Qtr YTD		Prior Year as of 12/31/2017
	Total as of 12/31/2018	YTD Total as of 12/31/2018	
	436,008	1,793,356	1,875,305
Revenues:			
TennCare Capitation	186,271,501	702,083,821	689,711,514
Investment	-	-	-
Other Revenues	2,371,746	14,562,659	2,229,464
Total Revenues	188,643,247	716,646,480	691,940,978
Estimated Expenses:			
Hospital and Medical (w/o Mental Health)			
Capitated Physician Services	-	-	-
Fee-for Service Physician Services	27,692,455	110,665,309	105,705,608
Inpatient Hospital Services	34,543,823	117,703,034	87,095,335
Outpatient Hospital Services	16,868,235	71,844,495	57,049,474
Emergency Room Services	11,471,670	45,906,622	45,504,345
Dental Services	-	-	-
Vision Services	676,400	2,571,426	2,672,815
Pharmacy Services	-	-	-
Home Health Services	2,338,631	7,640,921	6,167,275
Chiropractic Services	-	-	-
Radiology Services	1,805,351	7,521,119	7,305,547
Laboratory Services	1,840,110	8,361,391	7,947,218
Durable Medical Equipment Services	2,305,313	8,933,552	8,444,920
Transportation Services	767,587	3,074,049	3,611,013
Outside Referrals	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Other Medical and Hospital Services - Write-Ins	587,366	3,820,450	28,908,389
Subtotal Medical and Hospital	100,896,941	388,042,368	360,411,937
Mental Health and Substance Abuse Services			
Inpatient Psychiatric Facility Services	2,673,358	7,958,988	6,799,888
Inpatient Substance Abuse Treatment and Detox	(55,764)	721,260	846,898
Outpatient Mental Health Services	(6,004,175)	5,892,448	12,208,609
Outpatient Substance Abuse Treatment and Detox	304,971	1,260,369	945,067
Housing/Residential Treatment	1,317,888	4,193,862	3,124,338
Specialized Crisis Services	29,380	132,140	43,748
Psychiatric Rehab and Support Services	1,641,233	6,056,736	5,216,788
Case Management	214,299	524,151	(147,208)
Forensics	-	-	-
Other Judicial	-	-	-
Pharmacy	-	-	-
Lab Services	-	-	-
Transportation	43,423	209,478	135,605
Occupancy, Depreciation and Amortization	-	-	-
Other Mental Health and Substance Abuse Services	1,071,855	3,564,764	3,624,498
PCP and Specialist Services	-	-	-
Tennessee Health Link	10,939,304	10,939,304	-
Other Mental Health Services - Write-Ins	-	-	6,643,824
Subtotal MH&SAS	12,175,773	41,453,498	39,442,055
CHOICES			
Nursing Facility Care	37,275,451	137,619,509	116,075,126
HCBS Services	8,182,220	32,971,078	33,700,593
Subtotal CHOICES	45,457,671	170,590,587	149,775,719
Value Based Payouts	343,387	343,387	-
Value Based Recoveries	57,411	57,411	-
Other Medical Incentive Pool and Withhold Adjustments	-	-	-
Subtotal Hospital, Medical, MH&SAS, CHOICES	158,816,362	600,372,430	549,629,712
LESS:			
Net Reinsurance Recoveries Incurred	-	-	-
Copayments	-	-	-
Subrogation and Coordination of Benefits	-	-	-
Subtotal Reinsurance, Copay, Subrogation	-	-	-
Total Hospital, Medical, MH&SAS	158,816,362	600,372,430	549,629,712
Administration:			
Compensation	-	-	-
Direct and Allocated Admin expenses	-	-	-
Marketing	-	-	-
Interest Expense	-	-	-
Premium Tax Expense	13,224,036	54,890,400	47,925,076
Occupancy, Depreciation, and Amortization	-	-	-
Other Administration - Write-Ins	23,448,114	78,093,627	75,707,720
Total Administration Expenses	36,672,150	132,984,027	123,632,796
Total Expenses	195,488,512	733,356,456	673,262,507
Extraordinary Item	-	-	-
Provision for Income Tax	2,938,458	(1,401,065)	6,537,465
Net Income (Loss)	(9,783,723)	(15,308,912)	12,141,006
Write-Ins for Other Revenues			
Other Revenue - TennCare Bonuses	-	587,000	-
Other Revenue - ECF Administration Revenue and TennCare Bonuses	(113,162)	1,939,633	1,126,520
Other Revenue - Estimated TennCare Health Insurer Reimbursement Fee	1,897,907	12,036,025	1,102,944
Total Other Revenues	1,784,746	14,562,659	2,229,464
Write-Ins for Other Medical and Hospital			
Other Miscellaneous	(5,151)	222,950	28,811,751
Other Capitated Services	-	3,597,500	96,638
Total Other Medical and Hospital	(5,151)	3,820,450	28,908,389
Write-Ins for Other MH & SS			
Other Miscellaneous	-	-	6,643,824
Total Other MH & SS	-	-	6,643,824
Write-Ins for Other Administration			
Administrative Services Fee	9,750,292	54,049,169	59,283,514
Behavioral Healthcare Services	1,349,356	5,136,797	5,341,851
Spectera Administration Fees	-	-	-
Other Miscellaneous	12,348,466	18,907,661	10,945,546
Total Other Administration	23,448,114	78,093,627	75,570,911

1. The statutory quarterly filing Statement of Revenue and Expenses and the 2A includes TennCare incentives which are presented as Other Revenue per the direction of the TDCI. As these incentives are not considered written premiums or earned premiums per the NAIC guidelines these amounts have been excluded from the statutory quarterly filing Exhibit of Premiums, Enrollment, and Utilization.

2. The provision for federal income taxes incurred is different from that which would be obtained by applying the statutory federal income tax rate of 21% to net income before federal income taxes, plus capital gains tax primarily as a result of the new annual health insurer fee under section 9010 of the ACA that requires the Company to expense 100% of the estimated annual fee on January 1, 2018 which is nondeductible for tax purposes. The Company estimates its health insurer fee on the Medicaid product line to be \$10,038,238 in 2018 YTD, which was paid in September 2018 and is included in Total Administrative Expenses in the Report 2A.

UnitedHealthcare Community Plan - Middle Tennessee
Report 2A - TennCare Income Statement
CRA 2.30.14.3.3 and 2.30.14.3.4

Member Months	Current Qtr YTD		Prior Year as of 12/31/2017
	Total as of 12/31/2018	YTD Total as of 12/31/2018	
	475,487	1,948,362	2,010,830
Revenues:			
TennCare Capitation	195,705,801	740,421,641	702,811,201
Investment	-	-	-
Other Revenues	6,019,773	18,185,879	811,415
Total Revenues	201,725,574	758,607,520	703,622,615
Estimated Expenses:			
Hospital and Medical (w/o Mental Health)			
Capitated Physician Services	-	-	-
Fee-for Service Physician Services	29,381,046	113,380,090	115,415,366
Inpatient Hospital Services	34,168,220	134,570,138	123,075,182
Outpatient Hospital Services	20,367,681	80,418,067	64,066,457
Emergency Room Services	9,617,969	37,319,263	37,201,148
Dental Services	-	-	-
Vision Services	858,497	3,202,177	2,432,086
Pharmacy Services	-	-	-
Home Health Services	923,909	3,664,726	3,159,021
Chiropractic Services	-	-	-
Radiology Services	2,332,158	8,916,072	8,988,471
Laboratory Services	2,171,247	9,438,311	9,046,346
Durable Medical Equipment Services	2,364,644	8,583,045	8,912,525
Transportation Services	853,389	3,161,773	4,240,418
Outside Referrals	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Other Medical and Hospital Services - Write-Ins	1,478,542	5,713,771	27,152,451
Subtotal Medical and Hospital	104,517,304	408,367,434	403,689,471
Mental Health and Substance Abuse Services			
Inpatient Psychiatric Facility Services	3,231,997	11,264,652	9,512,858
Inpatient Substance Abuse Treatment and Detox	251,587	1,316,940	1,483,522
Outpatient Mental Health Services	(8,748,676)	10,027,392	18,276,475
Outpatient Substance Abuse Treatment and Detox	48,387	1,479,567	1,160,723
Housing/Residential Treatment	1,414,956	5,768,925	4,221,759
Specialized Crisis Services	49,967	257,502	68,354
Psychiatric Rehab and Support Services	1,976,746	10,816,041	9,155,608
Case Management	1,039,871	3,018,187	2,716,301
Forensics	-	-	-
Other Judicial	-	-	-
Pharmacy	-	-	-
Lab Services	-	-	-
Transportation	9,931	151,646	99,891
Occupancy, Depreciation and Amortization	-	-	-
Other Mental Health and Substance Abuse Services	186,565	851,882	688,642
PCP and Specialist Services	-	-	-
Tennessee Health Link	14,584,169	14,584,169	-
Other Mental Health Services - Write-Ins	-	-	4,000,990
Subtotal MH&SAS	14,045,501	59,536,902	51,385,123
CHOICES			
Nursing Facility Care	35,062,637	121,131,681	97,007,868
HCBS Services	9,274,886	34,738,021	32,922,953
Subtotal CHOICES	44,337,522	155,869,702	129,930,821
Value Based Payouts	403,708	403,708	-
Value Based Recoveries	353,407	353,407	-
Other Medical Incentive Pool and Withhold Adjustments	-	-	-
Subtotal Hospital, Medical, MH&SAS, CHOICES	162,950,627	623,824,339	585,005,415
LESS:			
Net Reinsurance Recoveries Incurred	-	-	-
Copayments	-	-	-
Subrogation and Coordination of Benefits	-	-	-
Subtotal Reinsurance, Copay, Subrogation	-	-	-
Total Hospital, Medical, MH&SAS	162,950,627	623,824,339	585,005,415
Administration:			
Compensation	-	-	-
Direct and Allocated Admin expenses	-	-	-
Marketing	-	-	-
Interest Expense	-	-	-
Premium Tax Expense	13,486,114	57,300,933	50,000,960
Occupancy, Depreciation, and Amortization	-	-	-
Other Administration - Write-Ins	25,514,897	85,656,088	77,713,892
Total Administration Expenses	39,001,011	142,957,021	127,714,852
Total Expenses	201,951,638	766,781,360	712,720,267
Extraordinary Item	-	-	-
Provision for Income Tax	4,540,460	556,099	(3,184,178)
Net Income (Loss)	(4,766,524)	(8,729,938)	(5,913,474)
Write-Ins for Other Revenues			
Other Revenue - TennCare Bonuses	-	-	-
Other Revenue - ECF Administration Revenue and TennCare Bonuses	433,418	1,669,916	-
Other Revenue - Estimated TennCare Health Insurer Reimbursement Fee	5,586,355	16,515,962	811,415
Total Other Revenues	6,019,773	18,185,879	811,415
Write-Ins for Other Medical and Hospital			
Other Miscellaneous	-	1,761,171	27,047,292
Other Capitated Services	-	3,952,600	105,158
Total Other Medical and Hospital	-	5,713,771	27,152,451
Write-Ins for Other MH & SS			
Other Miscellaneous	-	-	4,000,990
Total Other MH & SS	-	-	4,000,990
Write-Ins for Other Administration			
Administrative Services Fee	9,940,578	56,827,297	59,939,151
Behavioral Healthcare Services	1,560,595	5,996,253	6,146,275
Spectera Administration Fees	-	-	-
Other Miscellaneous	14,013,724	22,832,538	11,469,900
Total Other Administration	25,514,897	85,656,088	77,555,325

1. The statutory quarterly filing Statement of Revenue and Expenses and the 2A includes TennCare incentives which are presented as Other Revenue per the direction of the TDCI. As these incentives are not considered written premiums or earned premiums per the NAIC guidelines these amounts have been excluded from the statutory quarterly filing Exhibit of Premiums, Enrollment, and Utilization.

2. The provision for federal income taxes incurred is different from that which would be obtained by applying the statutory federal income tax rate of 21% to net income before federal income taxes, plus capital gains tax primarily as a result of the new annual health insurer fee under section 9010 of the ACA that requires the Company to expense 100% of the estimated annual fee on January 1, 2018 which is nondeductible for tax purposes. The Company estimates its health insurer fee on the Medicaid product line to be \$10,821,929 in 2018 YTD, which was paid in September 2018 and is included in Total Administrative Expenses in the Report 2A.

UnitedHealthcare Community Plan - West Tennessee
Report 2A - TennCare Income Statement
CRA 2.30.14.3.3 and 2.30.14.3.4

Member Months	Current Qtr YTD		Prior Year as of 12/31/2017
	Total as of 12/31/2018	YTD Total as of 12/31/2018	
	359,534	1,462,439	1,555,953
Revenues:			
TennCare Capitation	140,578,557	539,231,310	540,222,752
Investment	-	-	-
Other Revenues	2,521,540	11,390,990	666,877
Total Revenues	143,100,097	550,622,299	540,889,628
Estimated Expenses:			
Hospital and Medical (w/o Mental Health)			
Capitated Physician Services	-	-	-
Fee-for Service Physician Services	18,208,672	69,642,554	70,750,689
Inpatient Hospital Services	17,489,187	86,864,433	79,861,712
Outpatient Hospital Services	14,285,517	56,247,820	46,841,658
Emergency Room Services	6,889,213	27,601,687	27,806,216
Dental Services	-	-	-
Vision Services	867,481	3,010,432	2,506,179
Pharmacy Services	-	-	-
Home Health Services	891,310	3,322,868	2,140,787
Chiropractic Services	-	-	-
Radiology Services	1,145,084	4,273,419	4,642,747
Laboratory Services	1,523,478	6,035,880	6,041,899
Durable Medical Equipment Services	1,676,591	6,231,021	6,859,280
Transportation Services	801,759	3,190,412	4,624,573
Outside Referrals	-	-	-
Occupancy, Depreciation and Amortization	-	-	-
Other Medical and Hospital Services - Write-Ins	254,291	1,793,724	20,122,547
Subtotal Medical and Hospital	64,032,583	268,214,250	272,198,289
Mental Health and Substance Abuse Services			
Inpatient Psychiatric Facility Services	3,781,100	12,929,043	10,819,379
Inpatient Substance Abuse Treatment and Detox	212,856	629,331	528,723
Outpatient Mental Health Services	(3,097,261)	2,903,964	5,561,357
Outpatient Substance Abuse Treatment and Detox	124,436	605,488	465,630
Housing/Residential Treatment	890,407	3,408,165	3,777,379
Specialized Crisis Services	34,089	101,354	36,888
Psychiatric Rehab and Support Services	2,190,221	7,978,340	7,326,211
Case Management	744,099	2,685,167	2,819,852
Forensics	-	-	-
Other Judicial	-	-	-
Pharmacy	-	-	-
Lab Services	-	-	-
Transportation	26,735	114,044	108,274
Occupancy, Depreciation and Amortization	-	-	-
Other Mental Health and Substance Abuse Services	126,882	379,033	298,392
PCP and Specialist Services	-	-	-
Tennessee Health Link	5,411,453	5,411,453	-
Other Mental Health Services - Write-Ins	-	-	2,426,998
Subtotal MH&SAS	10,445,017	37,145,381	34,169,083
CHOICES			
Nursing Facility Care	28,532,343	101,515,967	87,824,910
HCBS Services	9,249,737	35,564,089	34,569,173
Subtotal CHOICES	37,782,080	137,080,056	122,394,083
Value Based Payouts	239,785	239,785	-
Value Based Recoveries	(17,179)	(17,179)	-
Other Medical Incentive Pool and Withhold Adjustments	-	-	-
Subtotal Hospital, Medical, MH&SAS, CHOICES	112,516,644	442,696,651	428,761,455
LESS:			
Net Reinsurance Recoveries Incurred	-	-	-
Copayments	-	-	-
Subrogation and Coordination of Benefits	-	-	-
Subtotal Reinsurance, Copay, Subrogation	-	-	-
Total Hospital, Medical, MH&SAS	112,516,644	442,696,651	428,761,455
Administration:			
Compensation	-	-	-
Direct and Allocated Admin expenses	-	-	-
Marketing	-	-	-
Interest Expense	-	-	-
Premium Tax Expense	9,917,389	40,472,716	36,860,062
Occupancy, Depreciation, and Amortization	-	-	-
Other Administration - Write-Ins	10,150,981	60,301,195	59,208,506
Total Administration Expenses	20,068,370	100,773,911	96,068,568
Total Expenses	132,585,014	543,470,562	524,830,023
Extraordinary Item	-	-	-
Provision for Income Tax	3,824,945	3,102,714	5,620,862
Net Income (Loss)	6,690,137	4,049,023	10,438,744
Write-Ins for Other Revenues			
Other Revenue - TennCare Bonuses	-	-	-
Other Revenue - ECF Administration Revenue and TennCare Bonuses	416,344	1,586,850	-
Other Revenue - Estimated TennCare Health Insurer Reimbursement Fee	2,105,196	9,804,139	666,877
Total Other Revenues	2,521,540	11,390,990	666,877
Write-Ins for Other Medical and Hospital			
Other Miscellaneous	-	68,179	20,044,499
Other Capitated Services	-	1,725,545	78,048
Total Other Medical and Hospital	-	1,793,724	20,122,547
Write-Ins for Other MH & SS			
Other Miscellaneous	-	-	-
Total Other MH & SS	-	-	2,426,998
Write-Ins for Other Administration			
Administrative Services Fee	7,234,707	41,422,801	47,009,083
Behavioral Healthcare Services	1,114,830	4,196,555	4,428,553
Spectera Administration Fees	-	-	-
Other Miscellaneous	9,440,304	14,681,838	8,032,726
Total Other Administration	17,789,841	60,301,195	59,470,362

1. The statutory quarterly filing Statement of Revenue and Expenses and the 2A includes TennCare incentives which are presented as Other Revenue per the direction of the TDCI. As these incentives are not considered written premiums or earned premiums per the NAIC guidelines these amounts have been excluded from the statutory quarterly filing Exhibit of Premiums, Enrollment, and Utilization.

2. The provision for federal income taxes incurred is different from that which would be obtained by applying the statutory federal income tax rate of 21% to net income before federal income taxes, plus capital gains tax primarily as a result of the new annual health insurer fee under section 9010 of the ACA that requires the Company to expense 100% of the estimated annual fee on January 1, 2018 which is nondeductible for tax purposes. The Company estimates its health insurer fee on the Medicaid product line to be \$7,623,093 in 2018 YTD, which was paid in September 2018 and is included in Total Administrative Expenses in the Report 2A.



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 9, 2019
Valuation Date: December 2018
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2018 – Risk Business – Eastern Tennessee – Long Term Care

I, Jonathan Rasmussen, am Director of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$14,688,901 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2018, this block of business had approximately 4,000 members.

A handwritten signature in blue ink, appearing to read 'Jonathan Rasmussen', written over a horizontal line.

Jonathan Rasmussen, FSA, MAAA

Director of Actuarial Services

United HealthCare Community and State

9800 Health Care Lane,

Minnetonka, MN 55343

(952) 931-6408

E-mail: jonathan_rasmussen@uhc.com



Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 9, 2019
Valuation Date: December 2018
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2018 – Risk Business – Eastern Tennessee – Excluding Long Term Care

I, Jonathan Rasmussen, am Director of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$45,517,070 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2018, this block of business had approximately 140,300 members.

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Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 9, 2019
Valuation Date: December 2018
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2018 – Risk Business – Middle Tennessee – Long Term Care

I, Jonathan Rasmussen, am Director of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$13,918,838 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2018, this block of business had approximately 3,600 members.

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Valuation Date: December 2018
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2018 – Risk Business – Middle Tennessee – Excluding Long Term Care

I, Jonathan Rasmussen, am Director of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$59,661,624 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2018, this block of business had approximately 154,000 members.

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Actuarial Certification

Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 9, 2019
Valuation Date: December 2018
Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2018 – Risk Business – Western Tennessee – Long Term Care

I, Jonathan Rasmussen, am Director of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$12,414,391 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2018, this block of business had approximately 3,200 members.

A handwritten signature in blue ink, appearing to read 'Jonathan Rasmussen', written over a horizontal line.

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Carrier: UnitedHealthcare Plan of the River Valley, Inc.
Date: January 9, 2019
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Subject: TennCare Claim Reserve Estimate (IBNR) as of December 2018 – Risk Business –
Western Tennessee – Excluding Long Term Care

I, Jonathan Rasmussen, am Director of Actuarial Services for United HealthCare Community and State. I am a member of the American Academy of Actuaries and am qualified to render the actuarial opinion contained herein.

In my opinion, the claim reserve estimate (IBNR) of \$36,890,405 provides good and sufficient provision for all unpaid claim liabilities and was computed in accordance with currently accepted actuarial standards.

Note that, as of December 2018, this block of business had approximately 116,600 members.

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January 9, 2019

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Eastern Tennessee, Long Term Care

As of and for the Period Ended December 31, 2018

I, Jonathan Rasmussen, am a Member of the American Academy of Actuaries (Academy) and Director of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid Long Term Care risk business in Eastern Tennessee, which had approximately 4,000 members in December 2018. This business became effective August 1, 2010.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2018.

I have determined that the appropriate level for claims liability for this block of business is \$14,688,901.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
- b) Are based on actuarial assumptions which are in accordance with or stronger than those called for in related contract provisions and are appropriate for the purpose for which the statement was prepared;
- c) Meet the requirements of the laws of the State of Tennessee;

- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;
- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

January 9, 2019



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January 9, 2019

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Eastern Tennessee, Excluding Long Term Care

As of and for the Period Ended December 31, 2018

I, Jonathan Rasmussen, am a Member of the American Academy of Actuaries (Academy) and Director of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid risk business in Eastern Tennessee, which had approximately 140,300 members in December 2018. This business became effective July 1, 2009.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2018.

I have determined that the appropriate level for claims liability for this block of business is \$45,517,070.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

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January 9, 2019

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Medicaid Risk Business in Middle Tennessee, Long Term Care

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I, Jonathan Rasmussen, am a Member of the American Academy of Actuaries (Academy) and Director of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid Long Term Care risk business in Middle Tennessee, which had 3,600 members in December 2018. This business became effective December 1, 2010.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2018.

I have determined that the appropriate level for claims liability for this block of business is \$13,918,838.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

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January 9, 2019



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January 9, 2019

STATEMENT OF ACTUARIAL OPINION

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Medicaid Risk Business in Middle Tennessee, Excluding Long Term Care

As of and for the Period Ended December 31, 2018

I, Jonathan Rasmussen, am a Member of the American Academy of Actuaries (Academy) and Director of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid risk business in Middle Tennessee, which had approximately 154,000 members in December 2018. This business became effective April 1, 2007.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2018.

I have determined that the appropriate level for claims liability for this block of business is \$59,661,624.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
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January 9, 2019



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January 9, 2019

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Western Tennessee, Long Term Care

As of and for the Period Ended December 31, 2018

I, Jonathan Rasmussen, am a Member of the American Academy of Actuaries (Academy) and Director of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid Long Term Care risk business in Western Tennessee, which had approximately 3,200 members in December 2018. This business became effective August 1, 2010.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2018.

I have determined that the appropriate level for claims liability for this block of business is \$12,414,391.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

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The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

January 9, 2019



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January 9, 2019

STATEMENT OF ACTUARIAL OPINION

Statutory Quarterly Statement of United Healthcare Plan of the River Valley, Inc.

Medicaid Risk Business in Western Tennessee, Excluding Long Term Care

As of and for the Period Ended December 31, 2018

I, Jonathan Rasmussen, am a Member of the American Academy of Actuaries (Academy) and Director of Actuarial Services for United Healthcare Plan of the River Valley, Inc.'s Medicaid plans. I meet the Academy qualification standards for rendering this statement of actuarial opinion.

This statement is for United Healthcare Plan of the River Valley, Inc.'s Medicaid risk business in Western Tennessee, which had approximately 116,600 members in December 2018. This business became effective December 1, 2008.

I have examined the actuarial assumptions and methods used in determining the loss reserves listed below, as prepared for filing with regulatory officials as of December 31, 2018.

I have determined that the appropriate level for claims liability for this block of business is \$36,890,405.

Note that in the annual statement, both Medicaid and non-Medicaid businesses are combined.

My examination included such review of the actuarial assumptions and actuarial methods and of the underlying basic liability records and such tests of the actuarial calculations as I considered necessary.

In my opinion the amounts carried in the balance sheet on account of the actuarial items identified above:

- a) Are computed in accordance with presently accepted actuarial standards consistently applied and are fairly stated in accordance with sound actuarial principles;
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- d) Make good and sufficient provision for all unpaid claims and other actuarial liabilities of the organization guaranteed under the terms of its contracts and agreements;

- e) Are computed on the basis of actuarial assumptions and methods consistent in all material respects with those used in computing the corresponding items in the annual statement of the preceding year-end; and
- f) Include provision, in the aggregate, for all actuarial reserves and related statement items which ought to be established.

The actuarial methods, considerations and analyses used in forming my opinion conform to the appropriate Standards of Practice as promulgated from time to time by the Actuarial Standards Board, whose standards form the basis of this statement of opinion.

This statement has been prepared for inclusion with United Healthcare Plan of the River Valley, Inc.'s statutory quarterly statement for filing with regulatory authorities of the State of Tennessee and is intended for no other purpose.

January 9, 2019



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**UHC Plan of the River Valley
Reconciliation of Cash to Accrual Basis Accounting
As of December 31, 2018**

	<u>Middle</u>	<u>East</u>	<u>West</u>	<u>Consolidated</u>	<u>Key</u>
Cash received per premium tax reconciliation	828,456,872	782,524,752	594,717,981	2,205,699,605	
Change in accruals	(3,163,534)	(3,578,791)	(4,728,101)	(11,470,426)	a
Change in withhold	38,978	(14,440)	(18,786)	5,752	b
FIN360 Timing Difference	(841,366)	(844,921)	(226,577)	(1,912,864)	c
Pass Thru Payments EMS, HDP and PCMH	(73,346,122)	(61,204,316)	(40,424,838)	(174,975,276)	d
Less ECF Pass thru for Premium Tax and Claims	(838,529)	(1,299,929)	(1,066,489)	(3,204,947)	e
2018 Stat Entry for Health Link Accrual (AON Letter)	4,388,334	4,035,924	3,296,598	11,720,856	f
Timing Difference on ECF ASO Fee billing lag	27,016	(8,467)	(4,949)	13,600	
Accrual basis	<u>754,721,649</u>	<u>719,609,812</u>	<u>551,544,839</u>	<u>2,025,876,299</u>	
2A Reported premiums	754,721,649	719,609,811	551,544,839	2,025,876,299	
Difference	(0)	0	0	(0)	

Key

(a) Consistent with NAIC guidelines, UPRV records premiums on an accrual basis of accounting. The \$41.0M decrease in accruals is driven by \$48.6M Base Rate Change decrease, \$2.8M MWOS accrual increase, \$4.5M Retro Premium accrual increase.

(b) This item is created due to changes in the amount withheld from Dec 2017 to Dec 2018.

(c) A change was made on how revenue is recorded that results in a timing difference. Prior to Sept 2017, revenue was recorded based on remittances. Effective September 2017, revenue is recorded based on the eligibility file. The variance between the files results in a timing difference between the funding received from TennCare versus the revenue recorded by UHC.

(d) Pass thru payments received from TennCare for Emergency Medical Services(EMS), Health Directed Payment (HDP) and Patient Centered Medical Home (PCMH)

(e) ECF Pass thru items for Premium Tax and Claims are not booked as revenue

(f) Dec 2018 STAT entry due to Health Links accrual as provided by TennCare (AON Letter)

Accrual change is calculated as follows:

	<u>Middle</u>	<u>East</u>	<u>West</u>	<u>Consolidated</u>	
Reversal of Dec 2017 Accrued MWOS	3,998,606	4,594,928	4,152,670	12,746,205	
Reversal of Dec 2017 Accrued RAR	(4,392,772)	6,806,431	6,380,596	8,794,254	
Reversal of Dec 2017 Accrued Retro	(3,210,664)	(3,165,922)	(2,472,943)	(8,849,530)	
Reversal of Dec 2017 Rate Change	(16,954,058)	(18,875,635)	(12,790,066)	(48,619,760)	
December 2018 Accrued MWOS	(3,323,068)	(3,860,704)	(3,457,719)	(10,641,490)	
December 2018 Accrued RAR	6,739,894	(862,189)	(5,497,528)	380,178	
December 2018 Accrued Retro	4,526,724	4,012,180	2,809,967	11,348,871	
December 2018 Accrued Rate Change	8,779,478	7,491,287	4,948,207	21,218,972	
December 2018 IMD Behavioral Health Accrual	672,326	280,832	1,198,715	2,151,873	
Total change in accrual	<u>(3,163,534)</u>	<u>(3,578,791)</u>	<u>(4,728,101)</u>	<u>(11,470,426)</u>	Decrease

MWOS	2,104,714	Increase
RAR	9,174,432	Increase
Retro	2,499,341	Increase
Base Rate Change	(27,400,787)	Decrease
IMD Behavioral Health Accrual	2,151,873	Increase
	<u>(11,470,426)</u>	Decrease

Retro Accrual in AR Paid YTD December 2018

12/31/2017 AR balance by component

	12/31/2017	Medicaid	LTC	Total
STAT reclass from A11027 to A11070 for rate change accrual	55600-03000-11070	46,261,774.52	2,357,985.02	48,619,760
GL Accrual for future retroactive premium	55600-03000-11070	6,917,952	1,931,578	8,849,530
GL Accrual for withholding	55600-03000-11027	2,801,837	1,225,852	4,027,688
GL Accrual for LTC members not receiving LTC services	55600-03000-21297	742,504	(13,488,709)	(12,746,205)
GL balance 12/31/2017		<u>56,724,067</u>	<u>(7,973,295)</u>	<u>48,750,773</u>

2018 Cash receipts related to 2017 service dates	Prior Medicaid withhold paid	Prior LTC withhold paid	Medicaid Withhold on CY Cash Received for PY IDB Dates	LTC Withhold on CY Cash Received for PY IDB Dates	Medicaid Recoupments	LTC Recoupments
Jan-18	-	-	147,727	18,221		
Feb-18	(147,727)	(18,221)	1,185,312	(112,653)		
Mar-18	(1,185,312)	112,653	63,313	23,118		
Apr-18	(63,313)	(23,118)	60,641	14,974		
May-18	(60,641)	(14,974)	28,543	4,986	(362,611)	6,876,256
Jun-18	(28,543)	(4,986)	14,990	1,394	(93,031)	1,817,894
Jul-18	(14,990)	(1,394)	(59,051)	362	(159,095)	357,263
Aug-18	59,051	(362)	(76,869)	713	(143,405)	2,379,066
Sep-18	76,869	(713)	(2,400)	326	159,094	-
Oct-18	2,400	(326)	(3,348)	-	(141,290)	2,317,998
Nov-18	3,348	-	(1,271)	-		
Dec-18	1,271	-	-	-		
Total 2018 cash deposits for 2017 retro cash	<u>(1,357,588)</u>	<u>48,558</u>	<u>1,357,588</u>	<u>(48,558)</u>	<u>(740,338)</u>	<u>13,748,478</u>

United Healthcare C & S - Tennessee
Retroactive Revenue Accrual Summary
December-18

Total												
IDB	Mid TN - TANF		Mid TN - LTC		West TN - TANF		West TN - LTC		East TN - TANF		East TN - LTC	
	Mbrs	Rev	Mbrs	Rev	Mbrs	Rev	Mbrs	Rev	Mbrs	Rev	Mbrs	Rev
Jul-18	181	111,786.16	2	11,746.26	149	79,029.81	0	3,629.22	184	102,317.56	3	17,455.36
Aug-18	455	248,432.42	7	40,884.78	368	178,868.31	3	16,335.85	436	226,735.63	8	45,092.30
Sep-18	881	426,801.75	18	111,517.70	702	309,282.17	8	50,261.90	812	384,572.01	18	102,649.66
Oct-18	1,588	684,684.81	40	247,177.14	1,203	475,961.95	20	128,161.88	1,391	599,223.11	41	236,954.65
Nov-18	2,851	1,116,233.46	56	370,220.45	2,042	722,856.30	20	162,874.40	2,403	955,146.36	52	340,550.43
Dec-18	2,330	885,779.26	43	271,459.70	1,649	563,454.29	16	119,250.76	1,926	753,494.12	39	247,989.03
Total	8,287	3,473,717.86	166	1,053,006.03	6,113	2,329,452.83	67	480,514.01	7,151	3,021,488.79	160	990,691.43
Prior Month	8,335	3,650,492.13	169	1,079,262.01	6,117	2,397,343.02	69	506,764.48	7,269	3,206,109.43	175	1,069,555.84
Change from Prior Month	(48)	(176,774.27)	(3)	(26,255.98)	(4)	(67,890.19)	(2)	(26,250.47)	(117)	(184,620.64)	(15)	(78,864.41)

	Prior Month		Current Month		Inc/(Deer) in Accrual	
	Mbrs	Revenue	Mbrs	Revenue	Mbrs	Revenue
TANF	21,721	9,253,944.58	21,551	8,824,659.48	(170)	(429,285.10)
LTC	414	2,655,582.33	393	2,524,211.47	(21)	(131,370.86)
TOTALS	22,134	11,909,526.91	21,944	11,348,870.95	(191)	(560,655.96)

**UnitedHealthcare Community Plan - East Tennessee
Reconciliation Between 2A and MLR Report
As of December 31, 2018**

<u>Capitation Revenue</u>	<u>Revenue</u>
Revenue reported per MLR report	710,551,732
STAT Adjustment	9,604,478
	720,156,210
TennCare Capitation per 2A	702,083,821
Other Revenues	14,562,659
Less: Restated revenue for prior months	3,509,730
	720,156,210
Difference	0
<u>Claims & Reserve</u>	
	<u>Claims</u>
Total 2A Paid Claims and Change in Reserves	600,372,429
	600,372,429
Incurred Claims per the MLR for the reporting period	616,208,217
STAT Adjustment	2,734,133
Pass thru's	(29,613,396)
Restated prior year incurred claims	11,043,474
Adjusted MLR	600,372,429
Difference (rounding)	0

UnitedHealthcare Community Plan - Middle Tennessee
Reconciliation Between 2A and MLR Report
As of December 31, 2018

<u>Capitation Revenue</u>	<u>Revenue</u>
Revenue reported per MLR report	750,867,069
STAT Adjustment/Other Revenue	5,483,419
	756,350,488
TennCare Capitation per 2A	740,421,641
Other Revenues	18,185,879
Less: Restated revenue for prior months	(2,257,033)
	756,350,488
Difference	0
Claims & Reserve	
	Claims
Total 2A Paid Claims and Change in Reserves	623,824,339
	623,824,339
Incurred Claims per the MLR for the reporting period	657,284,040
STAT Adjustment	1,995,568
Pass thru's	(36,103,290)
Restated prior year incurred claims	648,020
Adjusted MLR	623,824,339
Difference (rounding)	0

**UnitedHealthcare Community Plan - West Tennessee
Reconciliation Between 2A and MLR Report
As of December 31, 2018**

<u>Capitation Revenue</u>	<u>Revenue</u>
Revenue reported per MLR report	546,470,530
STAT Adjustment/Other Revenue	7,382,171
	553,852,702
TennCare Capitation per 2A	539,231,310
Other Revenues	11,390,990
Less: Restated revenue for prior months	3,230,402
	553,852,702
Difference	0
Claims & Reserve	
	Claims
Total 2A Paid Claims and Change in Reserves	442,696,651
	442,696,651
Incurred Claims per the MLR for the reporting period	465,990,739
STAT Adjustment	3,177,142
Pass thru's	(22,008,145)
Restated prior year incurred claims	(4,463,084)
Adjusted MLR	442,696,651
Difference (rounding)	0



SUPPLEMENT FOR THE YEAR 2018 OF THE UnitedHealthcare Plan of the River Valley, Inc.

SUPPLEMENTAL COMPENSATION EXHIBIT

For the Year Ended December 31, 2018

(To be filed by March 1)

PART 1 - INTERROGATORIES

1. Is the reporting insurer a member of a group of insurers or other holding company system? Yes [X] No []
If yes, do the amounts below represent 1) total gross compensation earned for each individual by or on behalf of all companies which are part of the group: Yes []; or 2) allocation to each insurer: Yes [X].
2. Did any person while an officer, director, or trustee of the reporting entity receive directly or indirectly, during the period covered by this statement any commission on the business transactions of the reporting entity? Yes [] No [X]
3. Except for retirement plans generally applicable to its staff employees, has the reporting entity any agreement with any person, other than contracts with its agents for the payment of commissions whereby it agrees that for any service rendered or to be rendered, that he/she shall receive directly or indirectly, any salary, compensation or emolument that will extend beyond the period of 12 months from the date of the agreement? Yes [] No [X]

PART 2 - OFFICERS AND EMPLOYEES COMPENSATION

1 Name and Principal Position	2 Year	3 Salary	4 Bonus	5 Stock Awards	6 Option Awards	7 Sign-on Payments	8 Severance Payments	9 All Other Compensation	10 Totals
Current:									
1. Robert Andersen Broomfield (KS) President, Commercial and Director	2018	52,000	23,400	18,788	35,676	0	0	539	130,403
	2017	48,947	14,910	17,141	73,854	0	0	483	155,335
	2016	0	0	0	0	0	0	0	0
Current:									
2. James Wesley Kelly (TN) Chief Financial Officer and Director	2018	173,996	39,000	8,442	0	0	0	427	221,865
	2017	167,792	37,150	1,795	0	0	0	485	207,222
	2016	162,704	30,600	0	0	0	0	343	193,647
3. Keith Christopher Payet (TN) President, Medicaid Division and Director	2018	271,539	82,500	34,152	80,540	0	0	614	469,345
	2017	0	0	0	0	0	0	0	0
	2016	0	0	0	0	0	0	0	0
4. Mark Andrew Murr (TN) Secretary	2018	79,747	21,459	8,847	182,729	0	0	1,394	294,176
	2017	77,795	19,075	3,182	0	0	0	1,023	101,075
	2016	76,269	19,375	0	0	0	0	2,858	98,502
5.	2018	0	0	0	0	0	0	0	0
	2017	0	0	0	0	0	0	0	0
	2016	0	0	0	0	0	0	0	0
6.	2018	0	0	0	0	0	0	0	0
	2017	0	0	0	0	0	0	0	0
	2016	0	0	0	0	0	0	0	0
7.	2018	0	0	0	0	0	0	0	0
	2017	0	0	0	0	0	0	0	0
	2016	0	0	0	0	0	0	0	0
8.	2018	0	0	0	0	0	0	0	0
	2017	0	0	0	0	0	0	0	0
	2016	0	0	0	0	0	0	0	0
9.	2018	0	0	0	0	0	0	0	0
	2017	0	0	0	0	0	0	0	0
	2016	0	0	0	0	0	0	0	0
10.	2018	0	0	0	0	0	0	0	0
	2017	0	0	0	0	0	0	0	0
	2016	0	0	0	0	0	0	0	0

PART 3 - DIRECTOR COMPENSATION

1 Name and Principal Position or Occupation and Company (if Outside Director)	Paid or Deferred for Services as Director				6 All Other Compensation Paid or Deferred	7 Totals
	2 Direct Compensation	3 Stock Awards	4 Option Awards	5 Other		
Cathie Sue Whiteside - Consumer Director (IL)	3,000	0	0	0	0	3,000
James Edward Hecker - Consumer Director (IL)	3,000	0	0	0	0	3,000
William Kenneth Appelgate Ph.D. - Consumer Director (IL)	3,000	0	0	0	0	3,000
Scott Edward Williams - Director (IL)	62,579	9,012	6,702	0	57,818	136,111
Yasmine Herminia Winkler - Director (IL)	15,385	52,611	348,905	0	10,174	427,075

PART 4 - NARRATIVE DESCRIPTION OF MATERIAL FACTORS

Provide a narrative description of any material factors necessary to gain an understanding of the information disclosed in the tables.

N/A