2009 Lobbyist Registration Audit of Alan West

FINDINGS

Alan West's audit report contains no findings.

EMPLOYER

• Citigroup Management Corp.

REGISTRATIONS

Mr. West registered as a lobbyist for one employer during 2009. Based on the audit documentation, Mr. West timely registered his lobbying relationship with his employer.

LOBBYING AGREEMENTS

Based on a review of the audit documentation provided by Mr. West, he is a direct employee of the employer. The employer has no employment contract with the lobbyist employee. However, per statements of the lobbyist and a review of a his job description, it appears the terms of employment are within the statutes for lobbying activities including having no terms for contingency payments based on lobbying success.

FAMILY OR BUSINESS ARRANGEMENTS WITH PUBLIC OFFICIALS

Mr. West reported he had no business or family relationships with persons who are officials in the legislative branch or officials in the executive branch.

TRAINING & FEES

Based on review of the Bureau of Ethics and Campaign Finance's lobbyist records, Mr. West completed his required lobbyist training for the 2009 registration year and paid all associated registration and training fees.

IN-STATE EVENTS

Mr. West did not participate in paying the costs of any in-state events.