

2012 Lobbyist Registration Audit of Mike Carpenter

FINDING

Mr. Mike Carpenter failed to complete his required lobbyist training. (See Training & Fees section).

EMPLOYER

- StudentsFirst

REGISTRATIONS

Mr. Carpenter registered as a lobbyist for one employer during 2012. Based on the audit documentation, Mr. Carpenter timely registered his lobbying relationship with his employer.

LOBBYING AGREEMENTS

Based on a review of the audit documentation provided by Mr. Carpenter, he was a direct employee of the employer. The employer had no employment contract with the lobbyist employee. However, per statements of the lobbyist and review of his compensation description, it appears the terms of employment were within the statutes for lobbying activities including having no terms for contingency payments based on lobbying success.

FAMILY OR BUSINESS ARRANGEMENTS WITH PUBLIC OFFICIALS

Mr. Carpenter reported he had no business or familial relationships with persons who were officials in the legislative branch or officials in the executive branch.

TRAINING & FEES

Based on review of the Bureau of Ethics and Campaign Finance's lobbyist records, Mr. Carpenter did not complete his required lobbyist training for the 2012 registration year. T.C.A. § 3-6-114(b) requires each registered lobbyist to complete an annual training course. The lobbyist completes the training online and prints a certificate of completion when done. The lobbyist provides a copy of the certificate to the Bureau of Ethics and Campaign Finance to verify compliance. Mr. Carpenter did not have the certificate on file for 2012, and he was unable to provide documentation indicating the training was completed.

Based on review of the Bureau of Ethics and Campaign Finance's lobbyist records, Mr. Carpenter paid all registration fees.