

DISCLOSURE OF CONSULTING SERVICES TENNESSEE ETHICS COMMISSION

This form must be used to disclose to the Tennessee Ethics Commission all compensation for consulting services. Please read the instructions <u>before</u> completing this form. Failure to properly and timely submit the form may constitute a Class C misdemeanor pursuant to T.C.A. \S 2-10-125 and 126, subsections (d)(1) and (d)(2).

| CHECK THE APPLICABLE BOXES | |
|---|--|
| Form completed by individual RECEIVING fee New Disclosure form | Form completed by individual/entity PAYING fee 1 st Qtr 2 nd Qtr 3 rd Qtr 4 th Qtr |
| DISCLOSURE OF INDIVIDUAL RECEIVING FEE | |
| A. Name of individual receiving fee: | B. Position or title of individual: |
| C. Mailing address: | |
| D. Telephone: | E. E-mail address: |
| | |
| DISCLOSURE OF PAYOR A Name of individual or antity paying feet B. If different from (A) name of individual submitting form | |
| A. Name of individual or entity paying fee: | B. If different from (A), name of individual submitting form on behalf of entity: |
| C. Mailing address: | |
| D. Telephone: | E. E-mail address: |
| DISCLOSURE OF CONTRACT AND COMPENSATION | |
| A. Date of contract: | B. Amount of fee: |
| | |
| C. Date(s) services rendered: | |
| D. General description of services rendered: | |
| By my signature below, I attest to the following: | |
| I understand that, pursuant to T.C.A. §§ 2-10-125 and 126, submitting a Disclosure of Consulting Services form which contains false information may subject me to the penalties of perjury; The information contained in this Disclosure of Consulting Services form is true and correct to the best of my | |
| knowledge, information and belief. | |
| SIGNATURE | DATE |

INSTRUCTIONS TO DISCLOSURE OF CONSULTING SERVICES FORM

Purpose of form: The Disclosure of Consulting Services form is used to report to the Tennessee Ethics Commission those disclosures that are required by T.C.A. §§ 2-10-125 & 126; specifically, contracts by or between any person or entity (other than the state, a county, or a municipality) and:

- Any staff or employee of the general assembly
- Any member of a commission established by and responsible to the general assembly or either house of the general assembly;
- Any member or employee of a state regulatory commission (including, but not limited to, directors of the Tennessee regulatory authority); or
- Any member or employee of any executive department or agency or other state body in the executive branch

to pay a fee, commission, or any other form of compensation for consulting services. The term "consulting services" is defined by T.C.A. § 2-10-122, subsections (1) & (2).

Who must file the Disclosure form: Under T.C.A. § 20-10-125, any individual or business/other entity that contracts to PAY a fee must file a Disclosure. In addition, under T.C.A. § 2-10-126, the individual who has contracted to RECEIVE the fee must also file a Disclosure.

Deadline for filing the Disclosure form: The Disclosure of Consulting Services form must be submitted to the Tennessee Ethics Commission within **five (5) days** of entering into any contract for consulting services. After the initial filing, quarterly reports must be submitted within **fifteen (15) days** after the end of the quarter.

Pursuant to T.C.A. §§ 2-10-125(d)(1) & (2) and 2-10-126(d)(1) & (2), it is a Class C misdemeanor for any person or entity to knowingly failure to file a Disclosure or to file a Disclosure more than **thirty** (30) **days** past its due date.

Disclosure forms which are not completed in full will not be accepted by the Tennessee Ethics Commission.