

PAUL M. HENDRICKS
Mayor

PHILLIP A. NOBLETT
Town Attorney

DIANA CAMPBELL
Town Manager



HERSHEL DICK
Vice Mayor

ANNETTE ALLEN
Councilmember

BILL LUSK
Councilmember

SUSAN ROBERTSON
Councilmember

Town of Signal Mountain

1111 RIDGEWAY AVENUE
SIGNAL MOUNTAIN, TENNESSEE 37377
423-886-2177

July 20, 2007

Tennessee Ethics Commission
SunTrust Bank Building
201 Fourth Avenue, N., Suite 1820
Nashville, TN 37243

Re: Town of Signal Mountain - Ethics Ordinance

Dear Sir or Madam:

Please find enclosed a copy of the Ethics Code adopted by the Town of Signal Mountain by Ordinance No. 2007-4. It was approved on First Reading on January 8, 2007 and on Second Reading on January 22, 2007. This Ethics Code became effective on January 22, 2007. All ordinances and resolutions of the Town of Signal Mountain are posted online at <http://www.signalmtntown.org> as part of the minutes on the date of final passage. If you have any questions or concerns regarding the Ethics Code adopted by the Town of Signal Mountain, please contact me at your convenience.

Sincerely,

PHILLIP A. NOBLETT
Town Counsel

PAN/kac

Enclosure

cc: Diana Campbell, *Town Manager*
Paul Hendricks, *Mayor*

RECEIVED
2007 JUL 25 AM 8:38
TENNESSEE
ETHICS COMMISSION

ORDINANCE NO. 2007-4

AN ORDINANCE TO AMEND THE SIGNAL MOUNTAIN TOWN CODE, CHAPTER 2, SECTION 1-201, ADOPTING THE MUNICIPAL TECHNICAL ADVISORY SERVICE ("MTAS") PROPOSED CODE OF ETHICS FOR TOWN OF SIGNAL MOUNTAIN PERSONNEL.

SECTION 1. BE IT ORDAINED BY THE COUNCIL OF THE TOWN OF SIGNAL MOUNTAIN, TENNESSEE, That Chapter 2, Section 1-201 is hereby amended by deleting said section in its entirety and substituting in lieu thereof the following:

CHAPTER 2

CODE OF ETHICS

Sec. 1-201. Applicability.

This Chapter is the code of ethics for personnel of the Town of Signal Mountain. It applies to all full-time and part-time employees, whether compensated or not, including those of any separate board, commission, committee, authority, corporation, or other instrumentality appointed or created by the municipality. The words "municipal" and "municipality" include these separate entities.

Sec. 1-202. Definition of "personal interest."

- (1) For purposes of Sections 1-203 and 1-204, "personal interest" means:
 - (a) Any financial, ownership, or employment interest in the subject of a vote by a municipal board not otherwise regulated by state statutes on conflicts of interests; or
 - (b) Any financial, ownership, or employment interest in a matter to be regulated or supervised; or
 - (c) Any such financial, ownership, or employment interest of the official's or employee's spouse, parent(s), stepparent(s), grandparent(s), sibling(s), child(ren), or stepchild(ren).

- (2) The words "employment interest" include a situation in which an official or employee or a designated family member is negotiating possible employment with a person or organization that is the subject of the vote or that is to be regulated or supervised.
- (3) In any situation in which a personal interest is also a conflict of interest under state law, the provisions of the state law take precedence over the provisions of this chapter.

Sec. 1-203. Disclosure of personal interest by official with vote.

An official with the responsibility to vote on a measure shall disclose during the meeting at which the vote takes place, before the vote and so it appears in the minutes, any personal interest that affects or that would lead a reasonable person to infer that it affects the official's vote on the measure. In addition, the official may recuse himself/herself from voting on the measure.

Sec. 1-204. Disclosure of personal interest in nonvoting matters.

An official or employee who must exercise discretion relative to any matter, other than casting a vote, and who has a personal interest in the matter that affects or that would lead a reasonable person to infer that it affects the exercise of discretion shall disclose, before the exercise of the discretion when possible, the interest on a form provided by and filed with the recorder. In addition, the official or employee may, to the extent allowed by law, charter, ordinance, or policy, recuse himself from the exercise or discretion in the matter.

Sec. 1-205. Acceptance of gratuities, etc.

An official or employee may not accept, directly or indirectly, any money, gift, gratuity, or other consideration or favor of any kind from anyone other than the municipality:

- (1) For the performance of an act, or refraining from performance of any act, that he would be expected to perform, or refrain from performing, in the regular course of his duties; or

- (2) That might reasonably be interpreted as an attempt to influence his action, or reward him for past action, in executing municipal business.

Any annual gift provided to Town employees by the S.M. Robertson Police and Fire Christmas Fund or the Town Employee's Christmas Fund shall not constitute money, gift, gratuity, or other consideration or favor prohibited by this section.

Sec. 1-206. Use of Information.

- (1) An official or employee may not disclose any information obtained in his official capacity or position of employment that is made confidential under state or federal law except as authorized by law.
- (2) An official or employee may not use or disclose information obtained in his official capacity or position of employment with the intent to result in financial gain for himself or any other person or entity.

Sec. 1-207. Use of municipal time, facilities, etc.

- (1) An official or employee may not use or authorize the use of municipal time, facilities, equipment, or supplies for private gain or advantage to himself.
- (2) An official or employee may not use or authorize the use of municipal time, facilities, equipment, or supplies for private gain or advantage to any private person or entity, except as authorized by legitimate contract or lease that is determined by the governing body to be in the best interests of the municipality.

Sec. 1-208. Use of position or authority.

- (1) An official or employee may not make or attempt to make private purchases, for cash or otherwise, in the name of the municipality.
- (2) An official or employee may not use or attempt to use his position to secure any privilege or exemption for himself or others that is not authorized by the charter, general law, or ordinance or policy of the municipality.

Sec. 1-209. Outside employment.

An official or employee may not accept or continue any outside employment if the work unreasonably inhibits the performance of any affirmative duty of the municipal position or conflicts with any provision of the municipality's charter or any ordinance or policy.

Sec. 1-210. Ethics complaints.

- (1) The town attorney is designated as the ethics officer of the municipality. Upon the written request of an official or employee potentially affected by a provision of this chapter, the town attorney may render an oral or written advisory ethics opinion based upon this chapter and other applicable law.
- (2)
 - (a) Except as otherwise provided in this subsection, the town attorney shall investigate any credible complaint against an appointed official or employee charging any violation of this chapter, or may undertake an investigation on his own initiative when he acquires information indicating a possible violation and make recommendations for action to end or seek retribution for any activity that, in the attorney's judgment, constitutes a violation of this code of ethics.
 - (b) The town attorney may request that the governing body hire another attorney, individual, or entity to act as ethics officer when he has or will have a conflict of interests in a particular matter.
 - (c) When a complaint of a violation of any provision of this chapter is lodged against a member of the municipality's governing body, the governing body shall either determine that the complaint has merit, determine that the complaint does not have merit, or determine that the complaint has sufficient merit to warrant further investigation. If the governing body determines that a complaint warrants further investigation, it shall authorize an investigation by the town attorney or another individual or entity chosen by the governing body.

- (3) The interpretation that a reasonable person in the circumstances would apply shall be used in interpreting and enforcing this code of ethics.
- (4) When a violation of this code of ethics also constitutes a violation of a personnel policy, rule, or regulation or a civil service policy, rule, or regulation, the violation shall be dealt with as a violation of the personnel or civil service provisions rather than as a violation of this code of ethics.


Sec. 1-211. Violations.

An elected official or appointed member of a separate municipal board, commission, committee, authority, corporation, or other instrumentality who violates any provision of this chapter is subject to punishment as provided by the municipality's charter or other applicable law and in addition, is subject to censure by the governing body. An appointed official or an employee who violates any provision of this chapter is subject to disciplinary action.

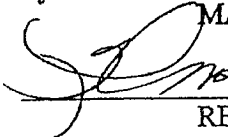
SECTION 2. That this Ordinance shall become effective immediately from and after its passage as provided by law.

Passed First Reading Jan 08, 2007.

Passed Second Reading Jan 22, 2007.



MAYOR



RECORDER

PAN/kac