



NHSN Device-Associated Modules: VAE & PedVAE

Housekeeping

- **This call is being recorded, and the recording and slides will be posted to the State HAI website.**
- **Please use the chat-box for any questions.**
- **Questions will be answered at the end.**

Agenda

- **NHSN background**
- **2024 Updates**
- **Reporting requirements**
- **Surveillance Definitions**
- **Denominator data**
 - Definitions & data entry
- **Numerator data**
 - HAI Definitions
 - VAE Definitions
 - PedVAE Definitions
- **Resources**

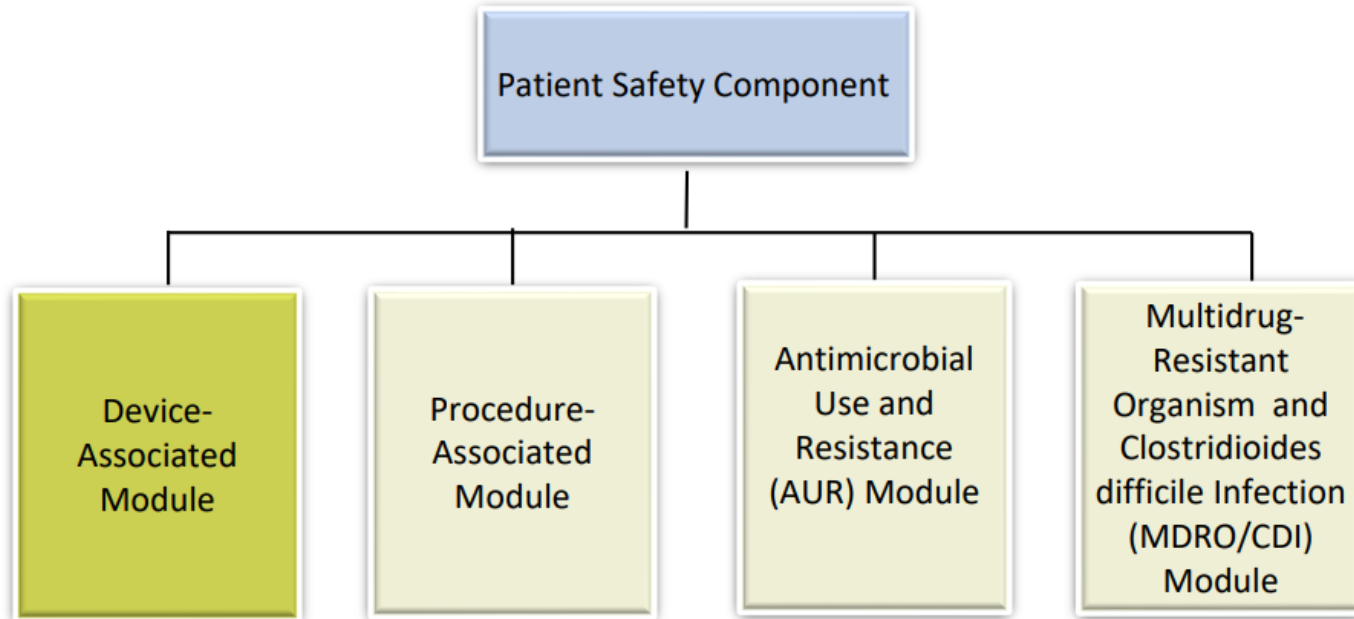


NHSN Background

NHSN Background



Patient Safety Component Background



VAE Section History

- **Prior to 2013, surveillance for ventilator-associated events was limited to VAP.**
- **One drawback is that radiographic findings of pneumonia were required in VAP event recording.**
 - **Evidence suggests that this is not an accurate way to identify VAP due to the subjectivity in technique, interpretation, and reporting.**
 - **Especially in inter-facility comparisons and public reporting situations.**
- **Another issue, was the lack of a sensitive or specific definition for VAP, with broad criteria and definitions that were unreliable.**
- **These limitations also stunt prevention efforts, as valid and reliable data is critical for prevention strategy assessment.**

VAE Section History

- **The VAE surveillance algorithm was implemented in 2013 to identify a broad range of conditions that occur in ventilated adults.**
 - **These criteria were made specifically to be objective and possibly automated to ensure both easy implementation and utilization of electronic health records to identify events.**
- **The PedVAE section has a similar history, with a group formed also in 2013 to define its criteria.**
 - **Unfortunately, there was insufficient data at the time, so the group was postponed until 2015.**
- **At that time, a study on pediatric events demonstrated that changes in the Fraction of Inspired Oxygen (FiO₂) and Mean Airway Pressure (MAP) were associated with events that prolonged patient stay and increased mortality.**
- **In 2019, PedVAE was introduced as a section following VAE in the Patient Safety Component.**



VAE/PedVAE 2024 Updates

2024 Updates: VAE

- **Additions:**

- Inclusion and Exclusion Criteria section created, and inclusion and exclusion criteria moved up from within the Definitions section
- Transfer rule updated to address location of attribution when there are multiple locations within the transfer rule timeframe
- Rezafungin and sulbactam/durlobactam added to Appendix. List of Antimicrobial Agents Eligible for IVAC, PVAP

- **Clarifications:**

- “Ventilator” definition moved to the beginning of the Definitions section. No changes made to the definition.

- **Deletions:**

- Gemifloxacin and quinupristin/dalfopristin removed from Appendix. List of Antimicrobial Agents Eligible for IVAC, PVAP

2024 Updates: PedVAE

- **Additions:**
 - Transfer rule updated to address location of attribution when there are multiple locations within the transfer rule timeframe
 - Rezafungin and sulbactam/durlobactam added to Appendix. List of Eligible Antimicrobial Agents
- **Clarifications:**
 - Inclusion criteria clarified
- **Deletions:**
 - Gemifloxacin and quinupristin/dalfopristin removed from Appendix. List of Eligible Antimicrobial Agents



TDH/CMS Reporting Requirements

Reporting Requirements for VAE

Required Reporting:

| Facility Type | Location(s) |
|---|--------------------------------|
| Long-term acute care facilities (LTACs) | Adult Inpatient Locations only |

Eligible for Surveillance (VAE):

| Facility Type | Location(s) |
|--|---------------------------|
| Acute Care Hospitals (ACHs) | Adult inpatient locations |
| LTACs | Adult inpatient locations |
| Inpatient Rehabilitation Facilities (IRFs) | Adult inpatient locations |

Eligible for Surveillance (PedVAE):

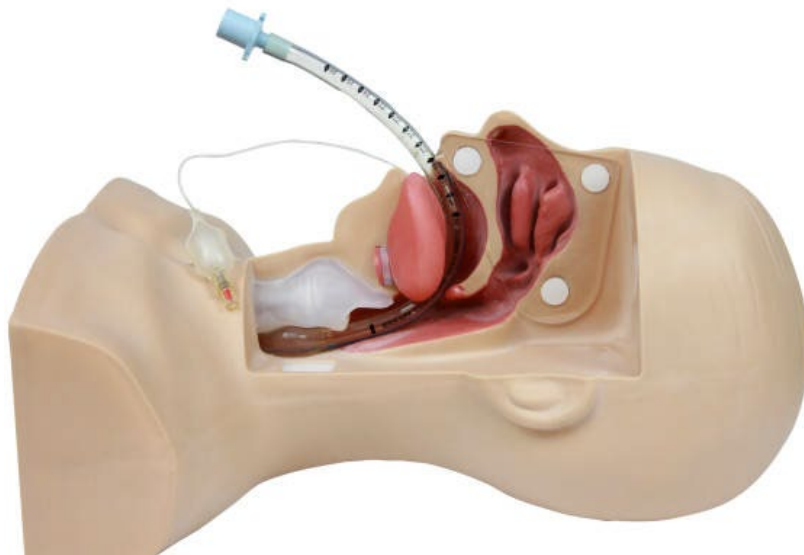
| Facility Type | Location(s) |
|---------------|---|
| ACHs | Pediatric inpatient locations Neonatal inpatient locations |
| LTACs | Pediatric inpatient locations Neonatal inpatient locations |
| IRFs | Pediatric inpatient locations Neonatal inpatient locations |



Definitions for Surveillance

Basic Definitions

- **Ventilator: A device used to support, assist, or control respiration (inclusive of the weaning period) through the application of positive pressure to the airway when delivered via an artificial airway, specifically oral/nasal endotracheal or tracheostomy tube.**
 - **NOTE: Ventilation and lung expansion devices that deliver positive pressure to the airway (for example, CPAP, BiPAP, Bi-level, IPPB, and PEEP) via non-invasive means (for example, nasal prongs, nasal mask, full face mask, total mask, etc.) are not considered ventilators unless positive pressure is delivered via an artificial airway (oral/nasal endotracheal or tracheostomy tube).**



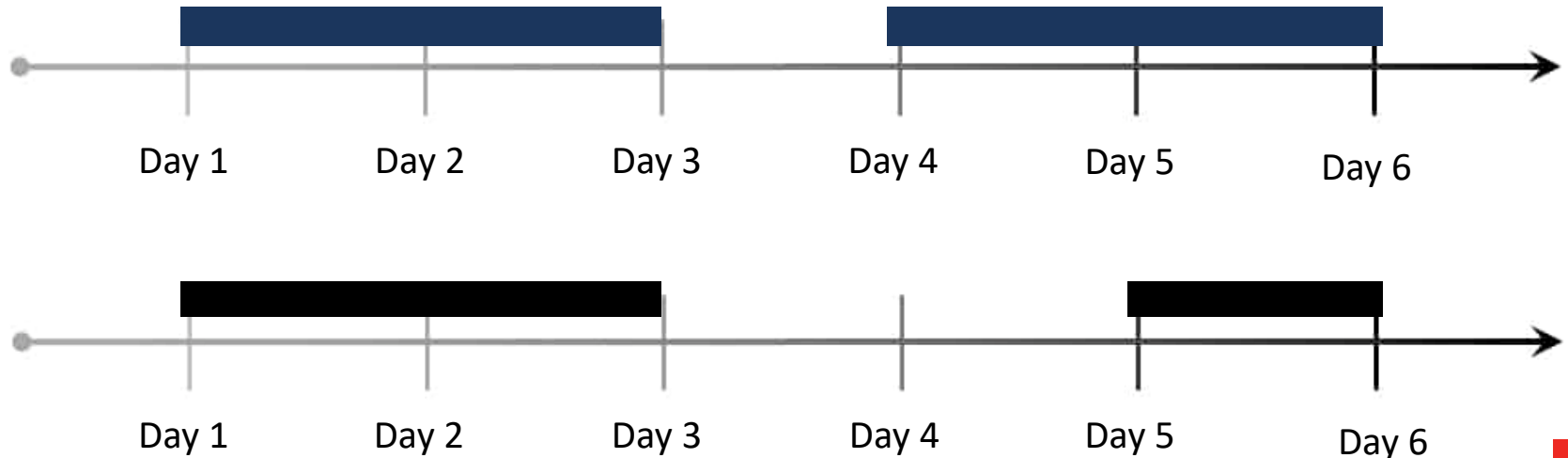
Not a ventilator



Basic Definitions

- **Episode of Mechanical Ventilation: Defined as a period of days during which the patient was mechanically ventilated for some portion of each consecutive day.**
 - **NOTE: A break in mechanical ventilation of at least one full calendar day, followed by reintubation and/or reinitiation of mechanical ventilation during the same hospitalization, defines a new episode of mechanical ventilation.**

Episodes of Mechanical Ventilation:



Basic Definitions

- **Fraction of Inspired Oxygen (FiO₂):** The fraction of oxygen in inspired gas.
 - For example, the FiO₂ of ambient air is 0.21; the oxygen concentration of ambient air is 21%.
- **In patients on mechanical ventilation, the FiO₂ is one of the key parameters that can be adjusted depending on the patient's oxygenation needs.**
 - It is typically in the range of 0.30 (oxygen concentration of 30%) to 1.0 (oxygen concentration of 100%).

Basic Definitions

- Daily Minimum FiO₂:** The lowest value of FiO₂ during a calendar day that is set on the ventilator and maintained for > 1 hour. In circumstances where there is no value that is documented to have been maintained for > 1 hour (for example, the lowest value of FiO₂ is set late in the calendar day, mechanical ventilation is discontinued early in the calendar day, FiO₂ settings are changed very frequently throughout the calendar day) the daily minimum FiO₂ should default to the lowest FiO₂ setting during the calendar day (regardless of how long that setting was maintained).

EXAMPLE: The patient is intubated at 6 pm. FiO₂ is set at the following values through the remainder of the calendar day:

| Time | 6 pm | 7 pm | 8 pm | 9 pm | 10 pm | 11 pm |
|------------------|------|------|------|------|-------|-------|
| FiO ₂ | 1.0 | 0.8 | 0.5 | 0.5 | 0.8 | 0.8 |

In this example, the daily minimum FiO₂ for the purposes of VAE surveillance is 0.5. FiO₂ settings are being monitored and recorded every hour. There are two consecutive hours where the FiO₂ setting is noted to be 0.5 (8 pm and 9 pm), and therefore required minimum duration of > 1 hour is met.

VAE Definitions

- **Positive End-Expiratory Pressure (PEEP):** A technique used in respiratory therapy in which airway pressure greater than atmospheric pressure is achieved at the end of exhalation by the introduction of a mechanical impedance to exhalation.
- In patients on mechanical ventilation, PEEP is one of the key parameters that can be adjusted depending on the patient's oxygenation needs and is typically in the range of 0 to 15 cmH₂O.

VAE Definitions

- Daily Minimum PEEP:** The lowest value of PEEP during a calendar day that is set on the ventilator and maintained for > 1 hour. In circumstances where there is no value that is documented to have been maintained for > 1 hour (for example, the lowest value of PEEP is set late in the calendar day, mechanical ventilation is discontinued early in the calendar day, PEEP settings are changed very frequently throughout the calendar day) the daily minimum PEEP should default to the lowest PEEP setting during the calendar day (regardless of how long that setting was maintained).

EXAMPLE: The patient is intubated at 6 pm. PEEP is set at the following values through the remainder of the calendar day:

| Time | 6 pm | 7 pm | 8 pm | 9 pm | 10 pm | 11 pm |
|---------------------------|------|------|------|------|-------|-------|
| PEEP (cmH ₂ O) | 10 | 8 | 5 | 5 | 8 | 8 |

In this example, the daily minimum PEEP for the purposes of VAE surveillance is 5 cmH₂O. PEEP settings are being monitored and recorded every hour. There are two consecutive hours where the PEEP setting is noted to be 5 cmH₂O (8 pm and 9 pm), and therefore required minimum duration of > 1 hour is met.

Basic Definitions

- **Mean Airway Pressure (MAP):** The average pressure exerted on the airway and lungs from the beginning of inspiration until the beginning of the next inspiration.
- **In patients on mechanical ventilation, MAP is the most powerful influence on oxygenation and is determined by:**
 - positive end-expiratory pressure (PEEP)
 - peak inspiratory pressure (PIP)
 - inspiratory time
 - frequency

PedVAE Definitions

- **Daily Minimum MAP:** The lowest value of MAP during a calendar day.

Basic Definitions

- **Date of Event:** The date of onset of worsening oxygenation. This is defined as the first calendar day in which the daily minimum PEEP or FiO₂ increases above the thresholds outlined in the VAE definition algorithm (specifically day 1 of the required ≥ 2 -day period of worsening oxygenation following a ≥ 2 -day period of stability or improvement on the ventilator).
 - **NOTE:** The “date of event” is NOT the date on which all VAE criteria have been met. It is the first day (of a ≥ 2 -day period) on which either of the worsening oxygenation thresholds (for PEEP or FiO₂) is met.

EXAMPLE: A patient is intubated in the Emergency Room for severe community-acquired pneumonia and admitted to the MICU (day 1). The patient stabilizes and improves on days 2-5, with a daily minimum FiO₂ of 0.35 (35%) on days 4 and 5. On day 6, the patient experiences respiratory deterioration, and requires a minimum FiO₂ of 0.60 (60%) on days 6 and 7, meeting the criteria for a VAC. The date of the VAC event is day 6.

Basic Definitions

- **VAE Window Period:** This is the period of days around the date of event (specifically the day of onset of worsening oxygenation) within which other VAE criteria must be met. It is usually a 5-day period and includes the 2 days before, the day of, and the 2 days after the VAE date of event (specifically the first day of worsening oxygenation, the day of VAE onset).
 - In cases where the VAE date of event corresponds to MV day 3 or day 4, the window period described above may only be a 3-day or a 4-day window, because it can NOT include any days before the 3rd day of MV.

Basic Definitions

- **14-day Event Period: VAEs are defined by a 14-day period, starting on the day of onset of worsening oxygenation (the date of event, day 1). A new VAE cannot be identified or reported until this 14-day period has elapsed.**

Basic Definitions

- **New Antimicrobial Agent: Defined as any agent listed that is initiated on or after the third calendar day of mechanical ventilation AND in the VAE Window Period. The agent is considered new for the purposes of this definition if it was NOT given to the patient on either of the 2 days preceding the current start date.**
 - **The antimicrobial agent(s) must have been given by one of the routes of administration outlined, and therapy with one or more new antimicrobial agents must be continued for at least 4 calendar days.**

Basic Definitions

Appendix. List of Antimicrobial Agents Eligible for IVAC, PVAP

| Antimicrobial Agent |
|-------------------------------|
| AMIKACIN |
| AMPHOTERICIN B |
| AMPHOTERICIN B LIPOSOMAL |
| AMPICILLIN |
| AMPICILLIN/SULBACTAM |
| ANIDULAFUNGIN |
| AZITHROMYCIN |
| AZTREONAM |
| BALOXAVIR MARBOXIL |
| CASPOFUNGIN |
| CEFAZOLIN |
| CEFEPIME |
| CEFIDEROCOL |
| CEFOTAXIME |
| CEFOTETAN |
| CEFOXITIN |
| CEFTAROLINE |
| CEFTAZIDIME |
| CEFTAZIDIME/AVIBACTAM |
| CEFTOLOZANE/TAZOBACTAM |
| CEFTRIAZONE |
| CEFUROXIME |
| CIPROFLOXACIN |
| CLARITHROMYCIN |
| CLINDAMYCIN |
| COLISTIMETHATE |
| DALBAVANCIN |
| DELAFLUXACIN |
| DOXYCYCLINE |
| ERAVACYCLINE |
| ERTAPENEM |
| FLUCONAZOLE |
| FOSFOMYCIN |
| GENTAMICIN |
| IMIPENEM/CILASTATIN |
| IMIPENEM/CILASTATIN/RELBACTAM |

| |
|--|
| ISAVUCONAZONIUM |
| ITRACONAZOLE |
| LEFAMULIN |
| LEVOFLOXACIN |
| LINEZOLID |
| MEROPENEM |
| MEROPENEM/VABORBACTAM |
| METRONIDAZOLE |
| MICAFUNGIN |
| MINOCYCLINE |
| MOLNUPIRAVIR |
| MOXIFLOXACIN |
| NAFCILLIN |
| NIRMATRELVIR (includes NIRMATRELVIR/RITONAVIR) |
| OMADACYCLINE |
| ORITAVANCIN |
| OSELTAMIVIR |
| OXACILLIN |
| PENICILLIN G |
| PERAMIVIR |
| PIPERACILLIN/TAZOBACTAM |
| PLAZOMICIN |
| POLYMYXIN B |
| POSACONAZOLE |
| REMDESIVIR |
| REZAFUNGIN *added for 2024 |
| RIFAMPIN |
| SULBACTAM/DURLOBACTAM *added for 2024 |
| SULFAMETHOXAZOLE/TRIMETHOPRIM |
| TEDIZOLID |
| TELAVANCIN |
| TETRACYCLINE |
| TIGECYCLINE |
| TOBRAMYCIN |
| VANCOMYCIN, intravenous only |
| VORICONAZOLE |
| ZANAMIVIR |

Basic Definitions

Table 1: Definitions of routes of administration

| Route of Administration ^a | Definition ^b |
|--------------------------------------|--|
| Intravenous | An intravascular route that begins with a vein. |
| Intramuscular | A route that begins within a muscle. |
| Digestive Tract | A route that begins anywhere in the digestive tract extending from the mouth through rectum. |
| Respiratory Tract | A route that begins within the respiratory tract, including the oropharynx and nasopharynx. |

^aOther routes of administration are excluded (for example, antibiotic locks, intraperitoneal, intraventricular, irrigation, topical).

^bDefinitions per SNOMED Reference Terminology

Basic Definitions

- **Qualifying Antimicrobial Day (QAD):** A day on which the patient was administered an antimicrobial agent that was determined to be “new” within the VAE Window Period. Days on which a new antimicrobial agent is administered count as QADs. Days between administrations of a new antimicrobial agent also count as QADs if there is a gap of no more than 1 calendar day between administrations. By contrast, days between administrations of different antimicrobial agents do NOT count as QADs.

EXAMPLE: A patient is intubated and mechanically ventilated on hospital day 1 in the MSICU. Ceftriaxone and azithromycin are started on day 1 and administered daily. After 3 days of improving respiratory status, the patient’s oxygenation deteriorates on days 4 and 5, with a daily minimum PEEP that is 4 cmH₂O higher than it was on days 2 and 3. Criteria for the VAC definition are met; the date of the event is hospital day 4. Ceftriaxone is discontinued and meropenem is begun on day 5. Azithromycin is continued. In this case, meropenem is a new antimicrobial agent: 1) it was begun on day 5 of mechanical ventilation, and 2) within the VAE Window Period (on the day after VAE onset), and 3) it was not given to the patient on either of the 2 days preceding the current start date. By contrast, ceftriaxone and azithromycin would not be considered new antimicrobial agents, since they were begun on day 1 of mechanical ventilation and continued daily into the VAE Window Period.



Denominator Data

Data Entry

- **Denominator Data Collected:**
 - Patient Days
 - Device Days
- **Optional Denominator Data:**
 - **Episodes of Mechanical Ventilation**
 - The EMV denominator is determined by counting all patients in the location who are on mechanical ventilation on the first day of the month regardless of eligibility for inclusion in VAE surveillance. Then, on each subsequent day of the month, count each additional patient that is started on mechanical ventilation.
 - This would include those that are admitted to the location already on mechanical ventilation, those that are newly ventilated, and any previously ventilated patients who have new episodes of mechanical ventilation occurring during the same month. The sum of the count for the first day and each subsequent day of the month is entered in NHSN.

Denominator Data

- **Denominator data collection options**
 - **Daily**
 - **Manual**
 - **Electronic (Post-validation: 3 months of \pm 5% of manual counts)**
- **For VAE:**
 - **NOTE: All ventilator days are counted, including ventilator days for patients on mechanical ventilation for < 3 days, and patients on high frequency ventilation and other therapies excluded from VAE surveillance. Patients with tracheostomies who are undergoing weaning from mechanical ventilation using tracheostomy collar trials are included in ventilator day counts if they spend some portion of the day on mechanical ventilation at a time that overlaps with the daily time during which ventilator day counts are performed.**
 - **NOTE: In addition to the total number of patients on ventilators on each day of surveillance, the number of patients on ventilators who are on the APRV mode of mechanical ventilation or related modes (which is a subset of all patients on ventilators) can optionally be indicated on the appropriate form (CDC 57.117 and 57.118).**
- **For PedVAE:**
 - **NOTE: All ventilator days are counted, including ventilator days for patients on mechanical ventilation for < 3 days, and ventilator days for patients on extracorporeal life support or paracorporeal membrane oxygenation who are excluded from PedVAE surveillance. Patients with tracheostomies who are undergoing weaning from mechanical ventilation using tracheostomy collar trials are included in ventilator day counts if they spend some portion of the day on mechanical ventilation at a time that overlaps with the daily time during which ventilator day counts are performed.**



Numerator Data

VAE Surveillance:

VAC → IVAC → PVAP

Exclusions

- **Patients on high frequency ventilation, extracorporeal life support, or paracorporeal membrane oxygenation are EXCLUDED from VAE surveillance during periods of time when the support is in place the entire calendar day.**
- **If the date of event is on or after the date of documentation of evidence of consent AND the patient is being supported for organ donation purposes, the event should not be reported as a VAE.**

Inclusions

- **Patients must be mechanically ventilated for at least 4 calendar days to fulfill VAE criteria (where the day of intubation and initiation of mechanical ventilation is day 1). The earliest date of event for VAE (the date of onset of worsening oxygenation) is day 3 of mechanical ventilation.**
- **Patients on Airway Pressure Release Ventilation (APRV) or related modes are INCLUDED, but when this mode is in use the VAE period of stability or improvement on the ventilator and the period of worsening oxygenation should be determined by changes in FiO₂ only, since changes in PEEP as indicated in this surveillance algorithm may not be applicable to APRV.**
- **Patients who are receiving a conventional mode of mechanical ventilation while in the prone position and patients who are receiving a conventional mode of mechanical ventilation while receiving nitric oxide therapy, helium-oxygen mixtures, or epoprostenol therapy are INCLUDED in VAE surveillance.**

HAI Definitions: (VAE) VAC

Patient has a baseline period of stability or improvement on the ventilator, defined by ≥ 2 calendar days of stable or decreasing daily minimum* FiO_2 or PEEP values. The baseline period is defined as the 2 calendar days immediately preceding the first day of increased daily minimum PEEP or FiO_2 .

*Daily minimum defined by lowest value of FiO_2 or PEEP during a calendar day that is maintained for > 1 hour.



After a period of stability or improvement on the ventilator, the patient has at least one of the following indicators of worsening oxygenation:

- 1) Increase in daily minimum* FiO_2 of ≥ 0.20 (20 points) over the daily minimum FiO_2 of the first day in the baseline period, sustained for ≥ 2 calendar days.
- 2) Increase in daily minimum* PEEP values of ≥ 3 cmH_2O over the daily minimum PEEP of the first day in the baseline period[†], sustained for ≥ 2 calendar days.

*Daily minimum defined by lowest value of FiO_2 or PEEP during a calendar day that is maintained for > 1 hour.

[†]Daily minimum PEEP values of 0-5 cmH_2O are considered equivalent for the purposes of VAE surveillance.



Ventilator-Associated Condition (VAC)

Practice Case 1

- Sutton is admitted on HD 1 for acute exacerbation of COPD and is intubated the same day. She continues to improve on the ventilator daily with PEEP values between 0 - 5 cm H₂O for HD 2 and 3 and FiO₂ values at .35 both days. On HD 4, her daily minimum PEEP increases from 5 cmH₂0 to 8 cmH₂0 while her daily FiO₂ remains at 0.35. The next day her daily minimum PEEP remains at 8 cm H₂O.**

| Hospital Day | Sign/Sx | DOE | Window Period | Event Period | Qualifying Abx Day |
|--------------|------------------|-----|---------------|--------------|--------------------|
| 1 | Intubated (VD 1) | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | PEEP increased | | | | |
| 5 | PEEP increased | | | | |
| 6 | | | | | |
| 7 | | | | | |
| 8 | | | | | |
| 9 | | | | | |
| 10 | | | | | |
| 11 | | | | | |
| 12 | | | | | |
| 13 | | | | | |
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Practice Case 1

- Sutton is admitted on HD 1 for acute exacerbation of COPD and is intubated the same day. She continues to improve on the ventilator daily with PEEP values between 0 - 5 cm H₂O for HD 2 and 3 and FiO₂ values at .35 both days. On HD 4, her daily minimum PEEP increases from 5 cmH₂O to 8 cmH₂O while her daily FiO₂ remains at 0.35. The next day her daily minimum PEEP remains at 8 cm H₂O.**

| Hospital Day | Sign/Sx | DOE | Window Period | Event Period | Qualifying Abx Day |
|--------------|------------------|-----|---------------|--------------|--------------------|
| 1 | Intubated (VD 1) | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | PEEP increased | | | | |
| 5 | PEEP increased | | | | |
| 6 | | | | | |
| 7 | | | | | |
| 8 | | | | | |
| 9 | | | | | |
| 10 | | | | | |
| 11 | | | | | |
| 12 | | | | | |
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| 15 | | | | | |
| 16 | | | | | |
| 17 | | | | | |

VAC – DOE: HD 4

HAI Definitions: (VAE) IVAC

On or after calendar day 3 of mechanical ventilation and within 2 calendar days before or after the onset of worsening oxygenation, the patient meets both of the following criteria:

1) Temperature $> 38^{\circ}\text{C}$ or $< 36^{\circ}\text{C}$, **OR** white blood cell count $\geq 12,000$ cells/mm³ or $\leq 4,000$ cells/mm³.

AND

2) A new antimicrobial agent(s) (see Appendix for eligible antimicrobial agents) is started and is continued for ≥ 4 qualifying antimicrobial days (QAD).

Infection-related Ventilator-Associated Complication (IVAC)

Practice Case 2

- Derek presents to the hospital for admission and is intubated on HD 1. He remains stable on the ventilator with PEEP of 3.0 cmH₂O and FiO₂ of 40%. On HD 4 he is noted to have a temperature of 38.6°C and is started on imipenem/cilastatin IV. On HD 5 his FiO₂ is increased to 60% and is increased again to 70% on HD 6.**

| Hospital Day | Sign/Sx | DOE | Window Period | Event Period | Qualifying Abx Day |
|--------------|------------------------|-----|---------------|--------------|--------------------|
| 1 | Intubated (VD 1) | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | Fever | | | | |
| 5 | Worse FiO ₂ | | | | |
| 6 | Worse FiO ₂ | | | | |
| 7 | | | | | |
| 8 | | | | | |
| 9 | | | | | |
| 10 | | | | | |
| 11 | | | | | |
| 12 | | | | | |
| 13 | | | | | |
| 14 | | | | | |
| 15 | | | | | |
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| 17 | | | | | |
| 18 | | | | | |

Practice Case 2

- Derek presents to the hospital for admission and is intubated on HD 1. He remains stable on the ventilator with PEEP of 2.0 cmH₂O and FiO₂ of 40%. On HD 4 he is noted to have a temperature of 38.6°C and is started on imipenem/cilastatin IV. On HD 5 his FiO₂ is increased to 60% and is increased again to 70% on HD 6.**

| Hospital Day | Sign/Sx | DOE | Window Period | Event Period | Qualifying Abx Day | |
|--------------|------------------------|-------------|-------------------|--------------|--------------------|-------------------|
| 1 | Intubated (VD 1) | | | | | |
| 2 | | | | | | |
| 3 | | | [Dark Blue Block] | | | |
| 4 | Fever | | | | | |
| 5 | Worse FiO ₂ | [Red Block] | | | [Dark Blue Block] | [Dark Blue Block] |
| 6 | Worse FiO ₂ | | | | | |
| 7 | | | | | | |
| 8 | | | | | | |
| 9 | | | | | | |
| 10 | | | | | | |
| 11 | | | | | | |
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| 17 | | | | | | |
| 18 | | | | | | |

IVAC – DOE: HD 5

HAI Definitions: (VAE) PVAP

On or after calendar day 3 of mechanical ventilation and within 2 calendar days before or after the onset of worsening oxygenation, ONE of the following criteria is met (**taking into account organism exclusions specified in the protocol**):

- 1) Criterion 1: Positive culture of one of the following specimens, meeting quantitative or semi-quantitative thresholds[†] as outlined in protocol, without requirement for purulent respiratory secretions:
 - Endotracheal aspirate, $\geq 10^5$ CFU/ml or corresponding semi-quantitative result
 - Bronchoalveolar lavage, $\geq 10^4$ CFU/ml or corresponding semi-quantitative result
 - Lung tissue, $\geq 10^4$ CFU/g or corresponding semi-quantitative result
 - Protected specimen brush, $\geq 10^3$ CFU/ml or corresponding semi-quantitative result
- 2) Criterion 2: Purulent respiratory secretions (defined as secretions from the lungs, bronchi, or trachea that contain ≥ 25 neutrophils and ≤ 10 squamous epithelial cells per low power field [lpf, x100])[†] **PLUS** organism identified from one of the following specimens (to include qualitative culture, or quantitative/semi-quantitative culture without sufficient growth to meet Criterion #1):
 - Sputum
 - Endotracheal aspirate
 - Bronchoalveolar lavage
 - Lung tissue
 - Protected specimen brush
- 3) Criterion 3: One of the following positive tests:
 - Organism identified from pleural fluid (where specimen was obtained during thoracentesis or within 24 hours of chest tube placement; pleural fluid specimens collected after a chest tube is repositioned or from a chest tube in place > 24 hours are not eligible for PVAP)
 - Lung histopathology, defined as: 1) abscess formation or foci of consolidation with intense neutrophil accumulation in bronchioles and alveoli; 2) evidence of lung parenchyma invasion by fungi (hyphae, pseudohyphae, or yeast forms); 3) evidence of infection with the viral pathogens listed below based on results of immunohistochemical assays, cytology, or microscopy performed on lung tissue
 - Diagnostic test for *Legionella* species
 - Diagnostic test on respiratory secretions for influenza virus, respiratory syncytial virus, adenovirus, parainfluenza virus, rhinovirus, human metapneumovirus, coronavirus

[†] If the laboratory reports semi-quantitative results, those results must correspond to the quantitative thresholds. Refer to Table 2 and 3.

Possible Ventilator-Associated Pneumonia (PVAP)

Practice Case 3

- **On HD 7, Derek had a positive diagnostic test on respiratory secretion for adenovirus.**

| Hospital Day | Sign/Sx | DOE | Window Period | Event Period | Qualifying Abx Day |
|--------------|--------------------------|-----|---------------|--------------|--------------------|
| 1 | Intubated (VD 1) | | | | |
| 2 | | | | | |
| 3 | | | | | |
| 4 | Fever | | | | |
| 5 | Worse FiO ₂ | | | | |
| 6 | Worse FiO ₂ | | | | |
| 7 | Adenovirus Positive test | | | | |
| 8 | | | | | |
| 9 | | | | | |
| 10 | | | | | |
| 11 | | | | | |
| 12 | | | | | |
| 13 | | | | | |
| 14 | | | | | |
| 15 | | | | | |
| 16 | | | | | |
| 17 | | | | | |
| 18 | | | | | |

Practice Case 3

- On HD 7, Derek had a positive diagnostic test on respiratory secretion for adenovirus.

| Hospital Day | Sign/Sx | DOE | Window Period | Event Period | Qualifying Abx Day | |
|--------------|--------------------------|-------|---------------|--------------|--------------------|--|
| 1 | Intubated (VD 1) | | | | | |
| 2 | | | | | | |
| 3 | | | [Redacted] | | | |
| 4 | Fever | | | | | |
| 5 | Worse FiO ₂ | [Red] | | | [Redacted] | |
| 6 | Worse FiO ₂ | | | | | |
| 7 | Adenovirus Positive test | | | | | |
| 8 | | | | | | |
| 9 | | | | | | |
| 10 | | | | | | |
| 11 | | | | | | |
| 12 | | | | | | |
| 13 | | | | | | |
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| 17 | | | | | | |
| 18 | | | | | | |

PVAP – DOE: HD 5
Pathogen: Adenovirus

HAI Definitions: PedVAE

Patient has a baseline period of stability or improvement on the ventilator, defined by ≥ 2 calendar days of stable or decreasing daily minimum* FiO_2 or MAP values. The baseline period is defined as the 2 calendar days immediately preceding the first day of increased daily minimum MAP or FiO_2 .

*Daily minimum FiO_2 is defined as the lowest value of FiO_2 documented during a calendar day that is maintained for > 1 hour.

Daily minimum MAP is the lowest value documented during the calendar day.

For patients < 30 days old, daily minimum MAP values 0-8 cm H_2O are considered equal to 8 cm H_2O for the purposes of surveillance.

For patients ≥ 30 days old, daily minimum MAP values 0-10 cm H_2O are considered equal to 10 cm H_2O for the purposes of surveillance.



After a period of stability or improvement on the ventilator, the patient has at least one of the following indicators of worsening oxygenation:

- 1) Increase in daily minimum FiO_2 of ≥ 0.25 (25 points) over the daily minimum FiO_2 of the first day in the baseline period, sustained for ≥ 2 calendar days.
- 2) Increase in daily minimum MAP values of ≥ 4 cm H_2O over the daily minimum MAP of the first day in the baseline period, sustained for ≥ 2 calendar days.



Pediatric Ventilator-Associated Event (PedVAE)

Practice Case 4

- Drew, a 4 y/o boy is admitted and on HD 2 is intubated. From HD 2 to HD 5 his MAP and FiO_2 values steadily improve to 7 cm H_2O and 35% respectively. On HD 6, his MAP increases from 7 cm to 12 cm and then on HD 7 to 13 cm.**

| Hospital Day | Sign/Sx | DOE | Window Period | Event Period | Qualifying Abx Day |
|--------------|-----------|-----|---------------|--------------|--------------------|
| 1 | | | | | |
| 2 | Intubated | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | Worse MAP | | | | |
| 7 | Worse MAP | | | | |
| 8 | | | | | |
| 9 | | | | | |
| 10 | | | | | |
| 11 | | | | | |
| 12 | | | | | |
| 13 | | | | | |
| 14 | | | | | |
| 15 | | | | | |
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| 17 | | | | | |
| 18 | | | | | |
| 19 | | | | | |

Practice Case 4

- Drew, a 4 y/o boy is admitted and on HD 2 is intubated. From HD 2 to HD 5, his MAP and FiO₂ values steadily improve to 7 cm H₂O and 35% respectively. On HD 6, his MAP increases from 7 cm to 12 cm and then on HD 7 to 13 cm.**

| Hospital Day | Sign/Sx | DOE | Window Period | Event Period | Qualifying Abx Day |
|--------------|-----------|-----|---------------|--------------|--------------------|
| 1 | | | | | |
| 2 | Intubated | | | | |
| 3 | | | | | |
| 4 | | | | | |
| 5 | | | | | |
| 6 | Worse MAP | | | | |
| 7 | Worse MAP | | | | |
| 8 | | | | | |
| 9 | | | | | |
| 10 | | | | | |
| 11 | | | | | |
| 12 | | | | | |
| 13 | | | | | |
| 14 | | | | | |
| 15 | | | | | |
| 16 | | | | | |
| 17 | | | | | |
| 18 | | | | | |
| 19 | | | | | |

PedVAE – DOE: HD 6



VAE Rate

VAE Rate

- **Rate of VAE per 1000 ventilator days**

- VAE Rate per 1000 ventilator days = $\frac{\text{No. of VAEs}}{\text{No. of Ventilator Days}} * 1000$

- **Rate of VAE per 100 episodes of mechanical ventilation (EMV)**

- VAE Rate per 100 EMV = $\frac{\text{No. of VAEs} * 100}{\text{No. of EMV}}$



Reporting VAE/PedVAE in NHSN

Reporting Events in NHSN



View Event

[Print Form](#)

Mandatory fields marked with *

Fields required for record completion marked with **

Fields required when in Plan marked with >

Patient Information

Facility ID *: TDH Central (15813)

Patient ID *: 1234565

Secondary ID: [redacted]

Last Name: Duck

Middle Name: D.

Gender *: M - Male

Ethnicity: [redacted]

Race: American Indian/Alaska Native

Black or African American

White

Asian

Native Hawaiian/Other Pacific Islander

Event #: 13258951

Social Security #: [redacted]

Medicare #: [redacted]

First Name: Donald

Date of Birth *: 03/17/1977

Event Information

Event Type *: VAE - Ventilator-Associated Event

Date of Event *: 01/30/2014

Post-procedure: [redacted]

MDRO Infection Surveillance *: No, this infection's pathogen/location are not in-plan for Infection Surveillance in the MDRO/CDI Module

Location *: TRA - TRAUMA ICU

Date Admitted to Facility >: [redacted]

Risk Factors

Location of Mechanical Ventilation *: TRA - TRAUMA ICU

Date Mechanical Ventilation Initiated *: 01/20/2014 APRV *: Y - Yes

Event Details

Specific Event >: [redacted]

Secondary Bloodstream

Infection >: [redacted]

Died **: N - No

Discharge Date: [redacted]

Pathogens Identified >: [redacted] If Yes, specify below ->

Custom Fields

NOTES: [redacted]

Reporting Events in NHSN



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Form Approved
Exp. Date: 01/31/24
www.cdc.gov/nhsn

Ventilator-Associated Event (VAE)

Ventilator-Associated Event (VAE)

Page 1 of 4 *required for saving **required for completion

| | | |
|---|---|---------|
| Facility ID: | Event #: | |
| *Patient ID: | Social Security #: | |
| Secondary ID: | Medicare #: | |
| Patient Name, Last: | First: | Middle: |
| *Gender: F M Other | *Date of Birth: | |
| Ethnicity (Specify): | Race (Specify): | |
| *Event Type: VAE | *Date of Event: | |
| Post-procedure VAE: Yes No | Date of Procedure: | |
| NHSN Procedure Code: | ICD-10-PCS or CPT Procedure Code: | |
| *MDRO Infection Surveillance: | | |
| <input type="checkbox"/> Yes, this infection's pathogen & location are in-plan for Infection Surveillance in the MDRO/CDI Module <input type="checkbox"/> No, this infection's pathogen & location are not in-plan for Infection Surveillance in the MDRO/CDI Module | | |
| *Date Admitted to Facility: | *Location: | |
| * Location of Mechanical Ventilation Initiation: _____ *Date Initiated: ___/___/___ APRV: Yes No | | |
| Event Details | | |
| *Specific Event: <input type="checkbox"/> VAC <input type="checkbox"/> IVAC <input type="checkbox"/> PVAP | | |
| *Specify Criteria Used: | | |
| STEP 1: VAC (≥1 REQUIRED) | | |
| <input type="checkbox"/> Daily min FiO ₂ increase ≥ 0.20 (20 points) for ≥ 2 days [†] OR <input type="checkbox"/> Daily min PEEP increase ≥ 3 cm H ₂ O for ≥ 2 days [†] [†] after 2+ days of stable or decreasing daily minimum values. | | |
| STEP 2: IVAC | | |
| <input type="checkbox"/> Temperature > 38°C or < 36° OR <input type="checkbox"/> White blood cell count ≥ 12,000 or ≤ 4,000 cells/mm ³ AND <input type="checkbox"/> A new antimicrobial agent(s) is started, and is continued for ≥ 4 days | | |
| STEP 3: PVAP | | |
| <input type="checkbox"/> Criterion #1: Positive culture of one of the following specimens, meeting quantitative or semi-quantitative thresholds as outlined in protocol, [‡] <u>without</u> requirement for purulent respiratory secretions: <input type="checkbox"/> Endotracheal aspirate <input type="checkbox"/> Lung tissue <input type="checkbox"/> Bronchoalveolar lavage <input type="checkbox"/> Protected specimen brush OR <input type="checkbox"/> Criterion #2: Purulent respiratory secretions [‡] (defined in the protocol) <u>plus</u> organism(s) identified from one of the following specimens: [‡] <input type="checkbox"/> Sputum <input type="checkbox"/> Lung tissue <input type="checkbox"/> Endotracheal aspirate <input type="checkbox"/> Protected specimen brush <input type="checkbox"/> Bronchoalveolar lavage OR <input type="checkbox"/> Criterion #3: One of the following positive tests (as outlined in the protocol): [‡] <input type="checkbox"/> Organism(s) identified from pleural fluid <input type="checkbox"/> Diagnostic test for <i>Legionella</i> species <input type="checkbox"/> Lung histopathology <input type="checkbox"/> Diagnostic test for selected viral pathogens | | |
| [‡] collected after 2 days of mechanical ventilation and within +/- 2 days of onset of increase in FiO ₂ or PEEP. | | |
| *Secondary Bloodstream Infection: Yes No | COVID-19: Yes No If Yes: <input type="checkbox"/> Confirmed <input type="checkbox"/> Suspected | |
| **Died: Yes No | VAE Contributed to Death: Yes No | |
| Discharge Date: | *Pathogens Identified: Yes No ^{††} If Yes, specify on pages 2-3 | |

†† and will not otherwise be disclosed or released without the consent of the individual, or the institution in accordance with Sections 304, 305 and 305(c) of the Public Health Service Act (16 USC 2634, 2635, and 2635(c)).
 ‡ Public reporting burden for this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and reviewing the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to CDC, Reports Clearance Office, 1600 Clifton Rd, NE, Atlanta, GA 30333, ATTN: PRA (800-368-0100).
 CDC Form 1123 (09/2019), Rev. 8/18

| Pathogen # | Gram-positive Organisms | | | | | | | | | | | | | | |
|---|--|-------------------|--------------------------|-------------------------|-------------------------|---------------------|---------------------|---------------------|-------------------------|-----------------|---------------------|--------------|--------------|--------------|--------------|
| Staphylococcus coagulase-negative <small>(specify species if available):</small> | CEFOX/OX SRN | VANC SRN | | | | | | | | | | | | | |
| | _____Enterococcus faecium _____Enterococcus faecalis _____Enterococcus spp. (Only those not identified to the species level) | | | | | | | | | | | | | | |
| Staphylococcus aureus | DAPTO SS-DD NSR | GENTHLI SRN | LNZ SRN | VANC SRN | | | | | | | | | | | |
| | CIPRO/LEVO/MOXI SRN | CEFOX/METH/OX SRN | CEFTAR SS-DDI SRN | CLIND SRN | DAPTO SRN | DOXY/MINO SRN | GENT SRN | LNZ SRN | RIF SRN | TETRA SRN | TMZ SRN | VANC SRN | | | |
| Pathogen # | Gram-negative Organisms | | | | | | | | | | | | | | |
| Acinetobacter (specify species) | AMK SRN | AMPSUL SRN | CEFTAZ/CEFOTI/CEFTRX SRN | CEFEP SRN | CIPRO/LEVO SRN | COL/PB SRN | DORI/MERO SRN | DOXY/MINO SRN | GENT SRN | IMI SRN | PIPTAZ SRN | TMZ SRN | TOBRA SRN | | |
| | _____ | | | | | | | | | | | | | | |
| Escherichia coli | AMK SRN | AMP SRN | AMPSUL/AMXCLV SRN | AZT SRN | CEFAZ SRN | CEFTAZ SRN | CEFOTI/CEFTRX SRN | CEFEP SRN | CEFTAVI SRN | CEFTOTAZ SRN | CEFTAZ SRN | CEFTOTAZ SRN | CEFTAVI SRN | CEFTOTAZ SRN | CEFTAVI SRN |
| | CEFEP SRN | CEFTAVI SRN | CEFTOTAZ SRN | CIPRO/LEVO/MOXI SRN | COL/PB [†] SRN | DORI/MIMERO SRN | DOXY/MINO/TETRA SRN | ERTA SRN | GENT SRN | IMIREL SRN | MERVAB SRN | PIPTAZ SRN | TIG SRN | TMZ SRN | TOBRA SRN |
| Enterobacter (specify species) | AMK SRN | AZT SRN | CEFTAZ SRN | CEFOTI/CEFTRX SRN | CEFEP SRN | CEFTAVI SRN | CEFTOTAZ SRN | CIPRO/LEVO/MOXI SRN | COL/PB [†] SRN | DORI/MIMERO SRN | DOXY/MINO/TETRA SRN | ERTA SRN | GENT SRN | IMIREL SRN | MERVAB SRN |
| | _____ | | | | | | | | | | | | | | |
| Klebsiella pneumoniae | AMK SRN | AMPSUL/AMXCLV SRN | AZT SRN | CEFAZ SRN | CEFTAZ SRN | CEFOTI/CEFTRX SRN | CEFEP SRN | CEFTAVI SRN | CEFTOTAZ SRN | CEFTAZ SRN | CEFTOTAZ SRN | CEFTAVI SRN | CEFTOTAZ SRN | CEFTAVI SRN | CEFTOTAZ SRN |
| | CEFTAVI SRN | CEFTOTAZ SRN | CIPRO/LEVO/MOXI SRN | COL/PB [†] SRN | DORI/MIMERO SRN | DOXY/MINO/TETRA SRN | ERTA SRN | GENT SRN | IMIREL SRN | MERVAB SRN | PIPTAZ SRN | TIG SRN | TMZ SRN | TOBRA SRN | TOBRA SRN |
| Klebsiella oxytoca | AMK SRN | AMPSUL/AMXCLV SRN | AZT SRN | CEFAZ SRN | CEFTAZ SRN | CEFOTI/CEFTRX SRN | CEFEP SRN | CEFTAVI SRN | CEFTOTAZ SRN | CEFTAZ SRN | CEFTOTAZ SRN | CEFTAVI SRN | CEFTOTAZ SRN | CEFTAVI SRN | CEFTOTAZ SRN |
| | _____ | | | | | | | | | | | | | | |
| Klebsiella aerogenes | AMK SRN | AMPSUL/AMXCLV SRN | AZT SRN | CEFAZ SRN | CEFTAZ SRN | CEFOTI/CEFTRX SRN | CEFEP SRN | CEFTAVI SRN | CEFTOTAZ SRN | CEFTAZ SRN | CEFTOTAZ SRN | CEFTAVI SRN | CEFTOTAZ SRN | CEFTAVI SRN | CEFTOTAZ SRN |
| | _____ | | | | | | | | | | | | | | |
| Pseudomonas aeruginosa | AMK SRN | AZT SRN | CEFTAZ SRN | CEFEP SRN | CEFTAVI SRN | CEFTOTAZ SRN | CIPRO/LEVO SRN | COL/PB SRN | DORI/MIMERO SRN | GENT SRN | PIPTAZ SRN | TOBRA SRN | | | |
| | _____ | | | | | | | | | | | | | | |

Reporting Summary Data in NHSN



Denominators for Intensive Care Unit (ICU)/Other locations (not NICU or SCA)

Mandatory fields marked with *

Facility ID *: TDH Central (ID 15813)

Location Code *: MEDSURG - MEDICAL SURGICAL ICU

Month *: July

Year *: 2020

| Denominator Data | | |
|--------------------------------------|----------------------|--|
| | | Report No Events |
| Total Patient Days : | <input type="text"/> | |
| Central Line Days : | <input type="text"/> | CLABSI : <input type="checkbox"/> |
| Urinary Catheter Days : | <input type="text"/> | CAUTI : <input type="checkbox"/> |
| Ventilator Days : | <input type="text"/> | VAE : <input type="checkbox"/> PedVAE : <input type="checkbox"/> PedVAP : <input type="checkbox"/> |
| APRV Days : | <input type="text"/> | |
| Episodes of Mechanical Ventilation : | <input type="text"/> | |

| Sample Values For Estimating Denominator Data | | |
|---|----------------------|--------------------------------|
| | | Check Box(es) if Sampling Used |
| Sample Patient Days : | <input type="text"/> | |
| Sample Central Line Days : | <input type="text"/> | <input type="checkbox"/> |
| Sample Urinary Catheter Days : | <input type="text"/> | <input type="checkbox"/> |

Custom Fields

Back

Data Entry

Denominators for Intensive Care Unit (ICU)/Other Locations (not NICU or SCA)

Page 1 of 1

| *required for saving | | | | | | |
|----------------------|---------------------|---|--|--|----------------------------|--|
| Facility ID: | | *Location Code: | *Month: | *Year: | | |
| Date | *Number of Patients | **Number of patients with 1 or more central lines | **Number of patients with a urinary catheter | **Number of total patients on a ventilator | Number of patients on APRV | Number of Episodes of Mechanical Ventilation |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |

Upcoming Trainings

- **Webinars –**
 - **NHSN Analysis**
 - **Monday, February 26th, 10 a.m. CT**



Resources

NHSN Resources

- VAE: [VAE | PSC | NHSN | CDC](#)
- PedVAE: [PedVAE | PSC | NHSN | CDC](#)

- **Patient Safety Component Manual**
 - VAE: [Ventilator-associated Event \(VAE\)](#)
 - PedVAE: [Pediatric Ventilator-associated Event \(PedVAE\)](#)

Contact

- **TDH HAI Program:**
 - HAI.Health@tn.gov

- **NHSN:**
 - NHSN@cdc.gov
 - NHSN Website: [NHSN | CDC](#)