# Competitive Cable & Video Services Act

Minority Owned Business
Participation Plan
Report
for 2010

### TENNESSEE REGULATORY AUTHORITY



460 James Robertson Parkway Nashville, Tennessee 37243-0505

June 29, 2011

The Honorable Bill Haslam
Governor
Joe McCord
Chief Clerk of the House
Russell Humphrey
Chief Clerk of the Senate

### Gentlemen:

Transmitted herewith is the annual report required by the General Assembly concerning Minority Owned Business Participation Plans set forth in the Competitive Cable and Video Services Act ("CCVSA") codified as Tenn. Code Ann. § 7-59-102 through § 7-59-318. The report is based on information provided by companies that received state-issued certificates of franchise authority under the CCVSA from 2008 through 2010. Please feel free to contact us if we can assist you.

Sincerely,

Mary Freeman

Chairman

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# Background

Public Chapter 932 of 2008, known as the Competitive Cable and Video Services Act, ("CCSVA"), became law on July 1, 2008 and is codified as Tenn. Code Ann. § 7-59-102 through § 7-59-318. The CCSVA opened markets for cable and video services to competition by allowing providers to receive state-issued certificates of franchise authority. Applicants must provide a plan to facilitate the participation of minority owned businesses when establishing, providing or expanding cable or video services and related support facilities pursuant to § 7-59-313(c). Tenn. Code Ann. § 7-59-313(a)(1) defines a minority owned business as:

- ...a business that is solely owned, or at least fifty-one percent (51%) of the assets or outstanding stock of which is owned, by an individual who personally manages and controls the daily operations of the business and who is impeded from normal entry into the economic mainstream because of:
- (A) Past practices of discrimination based on race, religion, ethnic background or sex, including, but not limited to, women;
- (B) A disability as defined in § 4-26-102, including, but not limited to, disabled veterans; or
- (C) Past practices of racial discrimination against African-Americans.

Tenn. Code Ann. § 7-59-313(a)(2) defines a minority owned business plan as:

- ...a business plan for actively soliciting bids from minority owned businesses and letting contracts to such businesses when establishing, providing or expanding cable or video services and related support facilities. The plan shall include the following information:
- (A) A proposal for purchasing goods and services from minority owned businesses;
- (B) Information on programs to provide technical assistance to such businesses; and
- (C) A statement of intent to follow its minority owned business participation plan.

The goals of a minority owned business participation plan are "...to maximize participation of minority owned businesses through both prime and second tier business contracting opportunities and shall strive to achieve a level of minority owned business participation representative of the population demographics of this state."<sup>2</sup>

<sup>&</sup>lt;sup>1</sup> Tenn. Code Ann. § 7-59-313(c) states, "Notwithstanding any provision of this part to the contrary, a state-issued certificate of franchise authority shall not be issued by the department to any applicant that fails to include a minority owned business participation plan in the applicant's application. The department shall review each application to confirm that the minority owned business participation plan includes all information required pursuant to this section."

<sup>&</sup>lt;sup>2</sup> Tenn. Code Ann. § 7-59-313(b).

## **Annual Review**

Tenn. Code Ann. § 7-59-313(d) requires the Tennessee Regulatory Authority to conduct an annual review of the plans:

Notwithstanding any provision of this part to the contrary, the department shall annually review each holder of a state-issued certificate of franchise authority to determine compliance with the holder's minority owned business participation plan. In conjunction with the review, by January 31 of each year, each holder of a state-issued certificate of franchise authority shall prepare and submit an annual report to the department concerning the holder's minority owned business participation plan and compliance with the plan. The department shall annually prepare a compliance report to be delivered to the governor and the clerks of the senate and the house of representatives. The compliance report shall also be posted on the web site of the department.<sup>3</sup>

By the end of 2010, the Tennessee Regulatory Authority had granted ten state-issued certificates of franchise authority. Each franchise holder has submitted its report certifying compliance with its small and minority owned business participation plan. Below are some highlights.

- 1. BellSouth Telecommunications, Inc. d/b/a AT&T Tennessee certifies that it continues to comply with the Minority Owned Business Plan that it submitted with its franchise application.
- 2. Charter Communications Operating, LLC submitted a copy of its Minority Vendor outreach program, which includes a qualifying form sent to 463 vendors, 116 of which met Minority status and a letter Charter plans to send to certified Minority vendors supplied by the Department of Economic & Community Development.
- 3. Knology spent \$500.00 during 2010 with five minority-owned Tennessee vendors.
- 4. Cable One spent a total of \$5,421.54 with three minority-owned vendors and also submitted a list of female and minority-owned vendors that are used company-wide by its Phoenix, AZ headquarters.
- 5. Highland Telephone Cooperative submitted a report on the progress of its efforts to identify qualified minority vendors.
- 6. Comcast submitted a report covering the two franchises that it has obtained under its corporate entities, Comcast of Southern Tennessee, LLC and Comcast Cable Mgt LLC. Comcast notes that it spent 14.2% of its total supplier expenditures with diverse vendors.
- 7. The Electric Power Board of Chattanooga reports that its Fiber Optics Division spent more than one Million dollars with five Tennessee businesses owned by women and minorities.
- 8. Twin Lakes Communications, Inc. submitted its 2011 Minority-Owned Telecommunications Business Participation Plan, which is unchanged from 2010.
- 9. North Central Telephone Cooperative submitted a report explaining that it has not yet initiated services but is committed to compliance with the plan that it filed with its franchise application.

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<sup>&</sup>lt;sup>3</sup> Tenn. Code Ann. § 7-59-313(d).

# Appendix 1 AT&T



Guy M. Hicks General Attorney - TN

RECF.N. 2011 JANIST PR. 3:58 T.R.A. DOGAET ROOM AT&T Tennessee 333 Commerce Street Suite 2101 Nashville, TN 37201-1800 T: 615.214.6301 F: 615-214-7406 gh1402@att.com

January 31, 2011

VIA HAND DELIVERY

Mr. Jerry Kettles Tennessee Regulatory Authority 460 James Robertson Parkway Nashville, TN 37238

Re: Minority Owned Business Plan Annual Report

Dear Mr. Kettles:

In accordance with the Competitive Cable and Video Services Act, AT&T provides this annual report concerning AT&T's Minority Owned Business Plan and the compliance with that plan. AT&T's Plan, which was provided as part of AT&T's franchise application is, attached.

AT&T continues to comply with the attached Minority Owned Business Plan.

Sincerely,

Guy M. Hicks

## AT&T Tennessee's Minority Owned Business Participation Plan

AT&T Tennessee hereby sets forth its business plan for actively soliciting bids from minority-owned businesses and letting contracts to such businesses when establishing, providing or expanding cable or video services and related support facilities.

### I. Definitions

- A. For the purposes of this plan, unless the context otherwise requires:
  - 1. "Minority owned business" means a business that is solely owned, or at least fifty-one percent (51%) of the assets or outstanding stock of which is owned, by an individual who personally manages and controls the daily operations of such business and who is impeded from normal entry into the economic mainstream because of:
    - a. Past practices of discrimination based on race, religion, ethnic background, or sex including, but not limited to, women;
    - b. A disability as defined in T.C.A. § 4-26-201 including, but not limited to, disabled veterans; or
    - Past practices of racial discrimination against African-Americans;
       and
  - 2. "Minority owned business participation plan" means a business plan for actively soliciting bids from minority owned businesses and letting contracts to such businesses when establishing, providing or expanding cable or video services and related support facilities. Such plan shall include the following information:
    - a. A proposal for purchasing goods and services from minority owned businesses;
    - b. Information on programs to provide technical assistance to such businesses: and
    - c. A statement of intent to follow its minority owned business participation plan.

### II. AT&T's Supplier Diversity Policy Statement

It is the policy of AT&T to promote, increase and improve the quality of the overall participation of minority, women and service-disabled veteran owned business enterprises in its purchases of materials and services.

Maximum practicable opportunity shall be given to minority, women and disabled veteran-owned business enterprises to participate as suppliers of materials and services to AT&T.

AT&T also encourages subcontracting opportunities for minority, women and disabled veteran-owned businesses by requiring Supplier Diversity Participation Plans from its prime suppliers.

### III. AT&T's Proposal For Purchasing Goods And Services From Minority Owned Businesses

AT&T promotes the purchasing of goods and services from minority owned businesses through its extensive AT&T Supplier Diversity Program. Supplier Diversity Program staff at AT&T assist current and potential diversity suppliers in identifying and developing business opportunities with the company.

AT&T Supplier Diversity Programs are designed to promote, increase and improve the quality of the overall participation of small, minority, women and disabled veteran business enterprises in AT&T's supply chain. AT&T looks for opportunities to work with diversity suppliers in all aspects of its business – from advertising to central office engineering, computers, outside plant construction and network provisioning. Promoting the participation of a diverse supplier base not only provides better business solutions, it also cultivates greater customer loyalty, bidding advantages, and public policy support in the communities AT&T serves.

AT&T's diversity program has three main components — a program to encourage minority suppliers; a program to encourage minority hiring by AT&T's prime suppliers; and participation in diversity organizations.

Specifically, AT&T has a specific program pursuant to which it seeks to hire diverse suppliers. As part of the program, AT&T provides potential suppliers with information and contacts to assist those firms seeking to do business with AT&T. AT&T's Supplier Diversity Managers and Strategic Sourcing Managers work closely with minority suppliers to find opportunities to do business with AT&T. AT&T provides coaching and mentoring to its strategic diversity firms to ensure they continue to meet AT&T's supplier requirements. AT&T encourages diversity suppliers to gain quality certifications such as ISO 9000 and TL9000 to remain on the competitive edge.

Another of the essential efforts within the AT&T Supplier Diversity Programs is AT&T's Prime Supplier Participation Program. AT&T's Prime Supplier Program helps its prime suppliers increase the utilization of minority, women and disabled-veteran owned businesses in its supply chain through subcontracting and value added reseller arrangements. The program specifically helps prime suppliers (a) establish or enhance their own supplier diversity programs; (b) develop an annual plan with AT&T outlining how the supplier can provide better business solutions by working with diverse companies; and (c) report quarterly results to AT&T showing progress towards the supplier's diversity goals.

AT&T also is an active corporate member in important organizations such as the National Minority Supplier Development Council, the Women's Business Enterprise National Council and the Association for Service Disabled Veterans. AT&T also holds memberships with numerous minority Chambers of Commerce that work to promote supplier diversity. AT&T also provides funding to support several executive management training programs for minority owned businesses. The programs funded are among the most highly-regarded, graduate-level executive training programs in the country, providing valuable coaching and tangible business benefits to suppliers.

### IV. <u>Information On Programs To Provide Technical Assistance To Such Businesses</u>

AT&T provides information for minority owned businesses on its website at <a href="https://www.att.com">www.att.com</a>. In addition, as set forth above, AT&T has an active mentoring process to encourage minority owned businesses to become suppliers of AT&T.

### V. Statement Of Intent To Follow Its Minority Owned Business Participation Plan

Pursuant to this plan, AT&T Tennessee shall strive to maximize participation of minority owned businesses through both prime and second tier business contracting opportunities and shall strive to achieve a level of minority owned business participation representative of the population demographics of the state of Tennessee.

By January 31 of each year, AT&T Tennessee will prepare and submit an annual report to the Tennessee Regulatory Authority concerning AT&T Tennessee's minority owned business participation plan and compliance with such plan.

# Appendix 2 Charter Communications



January 29, 2011

Mr. Arnold Reed TRA 460 James Robertson Parkway Nashville, TN 37243

Dear Mr. Reed,

Enclosed is Charter Communications Minority Vendor outreach program for 2010.

- Exhibit A represents the document we sent to existing vendors to identify Minority Vendors. To date, 463 vendors received the document, 116 met the Minority status.
- Exhibit B represents the letter we are sending to certified Minority Vendors supplied to us by the Tennessee Department of Economic and Community Development. Our hope is those contacted will respond and become part of our bid process.

Should you have any questions do not hesitate to contact me @ 865-273-2712.

Regards,

Nick Pavlis

Director of Government Relations Tennessee/Louisiana Operations

Enclosure: Exhibit A, B

### Exhibit A



10417 Wallace Alley St. Kingsport, TN 37663

## **VENDOR INFORMATION**

Vendor Name		
Address	Remit to Address if different:	
City, State, Zip	Remit to City, State, Zip:	
Phone #	Fax #	
Email Address		
Do you have an Intern	net Website? If so, please provide below:	
Parent or Subsidiary?	YesNo If Yes, please provide info below:	
Type of Business:	Corporation Government Limited Liability Company (LLC) Partnership Sole Proprietorship (Individual)	
Minority Status: *Please make sure this section is marked.	African American Female African American Male Asian Indian Female Asian Indian Male Asian Pacific Female Asian Pacific Male	

## Exhibit A

Hispanic Female
Hispanic Male
Native American Female
Native American Male
Non Minority
White Female

Signature

Printed Name

### Exhibit B

Dear [Potential Vendor]:

Charter Communications values doing business with vendors both large and small. We are also committed to doing business with as many vendors as possible located in Tennessee. With the assistance of the Department of Economic and Community Development we received your name as a vendor that Charter could potentially utilize for future services. If you have interest in becoming an approved vendor then please complete the following documents and submit to:

Nick Pavlis
Director of Government Relations
Charter Communications
1774 Henry G. Lane Street
Maryville, TN 37801

If your companies credentials match our qualifications we will contact you to participate in our bid process as your services are needed.

Thank you in advance for your interest and we look forward to your response and doing business with you in the future.

Regards,

Nick Pavlis

Director of Government Relations Tennessee/Louisiana Operations

# Appendix 3 Knology



January 27, 2011

Mr. Arnold Reed Tennessee Regulatory Authority 460 James Robertson Parkway Nashville, Tennessee 37243

Re: Annual Report on Compliance with Minority Business Participation Plan

Dear Mr. Reed:

Pursuant to §7-59-313 of the Tennessee Code, please find Knology's Minority Owned Business compliance status report. As always, thank you in advance for your courtesies in this matter. Should you have any questions about the enclosed material, please don't hesitate to call me at (706) 645-3966.

Respectfully submitted,

Bruce Schoonover, Jr.

Director - Regulatory Affairs

Knology, Inc.

**Enclosures** 

### KNOLOGY, INC.

### MINORITY OWNED BUSINESS PARTICIPATION PLAN

Pursuant to the Competitive Cable and Video Services Act, Public Chapter 932 (The "ACT" § 14, Knology, Inc. ("Knology") submits this minority owned business participation plan (the "Plan").

### I. PURPOSE

The purpose of the Competitive Cable and Video Services Act, Public Chapter 932 (the "Act") § 14 is to provide opportunities for minority-owned businesses to provide goods and services to video service providers. Knology is committed to the goals of § 14 of the Act and to taking steps to support the participation of minority-owned businesses in the video-cable industry. Knology will continue to work to provide opportunities for minority-owned businesses to compete for contracts and subcontracts for goods and services. Knology will make efforts in its procurement process to identify and inform minority-owned businesses that are qualified and capable of providing goods and services to Knology of such opportunities. Knology will also seek to increase awareness of such opportunities so that companies not otherwise identified will have sufficient information to participate in the procurement process.

### II. **DEFINITIONS**

Minority-Owned Business. Minority-owned business shall mean a business which is solely owned, or at least fifty-one percent (51%) of the assets or outstanding stock of which is owned, by an individual who personally manages and controls daily operations of such business, and who is impeded from normal entry into the economic mainstream because of race, religion, sex, or national origin and such business has annual gross receipts of less than \$4,000,000.

### III. ADMINISTRATION

The Knology Plan will be overseen by the individual named below, hereinafter referred to as the Administrator. The Administrator of the Plan will be: Mr. Jason Clabo.

The Administrator's responsibilities will include:

- 1) Maintaining an updated Plan in full compliance with § 14 of the Act and the rules and orders of the Tennessee Regulatory Authority.
- 2) Ensuring policies and procedures necessary for the successful implementation of the Plan are in place.

- 3) Preparing and submitting such forms as may be required by the Tennessee Regulatory Authority, including the filing of required annual updates.
- 4) Serving as the primary liaison to the Tennessee Regulatory Authority, other agencies of the State of Tennessee, and small and minority-owned businesses to locate and use qualified minority-owned businesses.
- 5) Monitor development opportunities to use minority-owned business and encourage such businesses to participate in and bid on contracts and subcontracts.
- 6) Providing records and reports in any authorized surveys as require by the TRA.
- 7) Reviewing a record-keeping system to track qualified minority-owned businesses and efforts to use such businesses.
- 8) Reviewing information and educational activities within Knology to seek, encourage, and promote the use of minority-owned businesses.

In performance of the duties, the Administrator will utilize a number of resources, including:

Chambers of Commerce

The Tennessee Department of Economics and Community Development Small Business Administration, Office of Minority Business The National Minority Supplier Development Counsel The National Association of Women Business Owners The National Association of Minority Contractors

Historically Black Colleges, Universities, and Minority Institutions

The efforts to promote and ensure equal opportunities for small and minority-owned businesses are primarily spelled out in the Administrator's duties above. Additional efforts to provide opportunities to small and minority-owned businesses will include offering, where appropriate and feasible, minority-owned businesses assistance with technical, insurance, bonding, licensing, production, and deadline requirements.

Knology will maintain records of qualified minority-owned businesses and efforts to use the goods and services of such businesses. In addition, Knology will maintain records of educational and training activities conducted or attended and of the internal procurement procedures adopted to support this Plan.

Knology will submit records and reports required by the Tennessee Regulatory Authority concerning the Plan. Moreover, Knology will cooperate fully with any surveys and studies required by the Tennessee Regulatory Authority.

# $\frac{2010 \text{ MINORITY OWNED BUSINESS PARTICIPATION PLAN}}{\text{ACTIVITY UPDATE}}$

Knology currently uses five minority-owned Tennessee businesses as vendors. Knology spent approximately five hundred dollars (\$500) with these vendors during 2010. Knology will continue to stay in touch with the agencies listed in paragraph 8 of our plan as opportunities for contracts and subcontracts with our company arise.

Knology, Inc.

By:

Dated: ( | 27 | ( /

# Appendix 4 Cable One



1314 N. THIRD STREET THIRD FLOOR PHOENIX, ARIZONA 85004 PH:602 • 364 • 6000 FX:602 • 364 • 6010

January 18, 2011

Tennessee Regulatory Authority ATTN: Arnold Reed 460 James Robertson Parkway Nashville, TN 37243

Re: Annual Report on Compliance With Minority Business Participation Plan

Dear Mr. Reed:

Pursuant to its state-issued Certificate of Franchise Authority, Cable One, Inc hereby submits the following report on compliance with its Minority-Owned Business Participation Plan. During the 2010 calendar year, Cable One did business with the following minority- owned businesses in the State of Tennessee.

VendorExpenditure (\$)Daylight Donuts\$789.82

1442 Hwy 51

Dyersburg, TN 38024 Phone: 731-285-9740

Owner: Rita Plewa (female)

Livingston Janitorial Services \$4,360

P. O. Box 398

Dyersburg, TN 38024 Phone: 731-825-0877

Owner: Chris Livingston (male minority)

SEZ SEW, Inc. \$271.72

P. O. Box 707 325 S. Mill Ave. Dyersburg, TN 38025

Owner: Betty Dennis (female)

Phone 737-285-2120

In addition to local purchasing efforts, most Cable One purchasing is centrally managed from the company's Phoenix, AZ headquarters. A list of minority and female vendors used company-wide

is also attached. Please do not hesitate to contact me at (602) 364-6195 if you require additional information on this matter.

Sincerely,

Emerson Yearwood

Associate General Counsel--Regulatory Affairs

cc:

K. Wright

Hispanic Assoc. on Corp. Responsibility Attn: Human Resources – Jobs 1444 I Street, NW Suite 850 Washington, DC 20005

Southeast Missouri State University Dept. of Mass Communications One University Plaza, MS2750 Cape Girardeau, MO 63701

Tribal Employment Rights Organization Joy McKenzie or Andy Patricio PO Box 837 Sells, AZ 85634

Western Iowa Communications College PO Box 5199 4647 State Avenue Sioux City, IA 51102

American Women in Radio & TV, Inc. 1760 Old Meadow Rd., Suite 500 McLean, VA 22102

Connecticut Puerto Rican Forum 95 Park Street, 3<sup>rd</sup>. Floor Hartford, CT 06106-2520

Network of Hispanic Communicators Yolette Garcia PO Box 222313 Dallas, TX 75222

> KRGV-TV – Rick Diaz 900 E. Expressway Weslaco, TX 78596

Tucson YMCA PO Box 1111 Tucson, AZ 85702-1111

Nat'l Assoc. of Negro Business & Pro. Women's Club 1806 New Hampshire Ave. NW Washington, DC 20009 State Fair Community College Sedalia, MO 65301

Native American Coalition of Tulsa 1740 W. 41<sup>st</sup>. Street Tulsa, OK 74107

> Tucson Indian Center Alma Aquirre PO Box 2307 Tucson, AZ 85702-2307

Peter Froehlich & Co. PO Box 339 Weatherford, TX 76086

National Urban League, Inc. 120 Wall Street, FI. 7 New York, NY 10005

Nat'l Adademy of Television Arts and Sciences 111 W. 57<sup>th</sup>. St. #1020 New York, NY 10019

> National Puerto Rican Forum 95 Park Street Hartford, CT 06106-2520

> Temple University
> Career Center
> 220 Mitten Hall
> 1913 N. Broad Street
> Philadelphia, PA 19122-6092

San Antonio Assoc. of Hispanic Journalists
Javier Rodriquez
PO Box 2171
San Antonio, TX 78297-2171

NAACP 2160 N. 6<sup>th</sup>. Avenue Tucson, AZ 85705 Indian Resources Development New Mexico State University Box 30001, Dept. 3 IRD Las Cruces, NM 88003-8001

> San Carlos Apache Tribe Carilyn Bread PO Box 0 San Carlos, AZ 85550

Cal Chicano News Media Assoc. USC School of Journalism 300 S. Grand Ave. Los Angeles, CA 90071-8110

Minority Media & Telecommunications Counsel 3636 16<sup>th</sup>. Street, NW B-366 Washington, DC 20010

Latin Americans for Social Economic Development (LASED) 4138 W. Vernor Highway Detroit, MI 48209

Mississippi Minority Skills Bank 3825 Ridgewood Drive Jackson, MS 39211

Nebraska Mexican/American Commission State Capital PO Box 94965 Lincoln, NE 68509

Rio Grande Assoc. of Hispanic Journalists San Juan Center 1363 Main Street Hartford, CT 06103

> S A M A 95 Park Street Hartford, CT 06106

Nat'l Assoc. of Black Journalists (NABJ) 525 W. Broadway Louisville, KY 40202 Tucson Urban League Marilyn Sullivan 2305 S. Park Avenue Tucson, AZ 85713

Japanese American Citizens League 1765 Suter Street San Francisco, CA 94115

> NCNW-GAMS Attn: HR Department P.O. Box 55158 Atlanta, GA 30308

Cleveland Institute of Electronics 1776 E. 17<sup>th</sup>. Street Cleveland, OH 44114

Grambling State University Personnel Office Grambling, LA 71245

Kentucky State University Personnel Office Frankfort, KY 40601

> Medaill College Job Placement 18 Agassiz Circle Buffalo, NY 14214

Cassata Learning Center 1400 Hemphill Fort Worth, TX 76104

HCROA Attn: Human Resources 10 Chestnut Street Salem, MA 01970

Hispanic Assoc. on Corp. Responsibility Attn: Human Resources -- Jobs 1444 | Street, NW Suite 850 Washington, DC 20005 KSAT-TV Chad Craig 1408 St. Mary San Antonio, TX 78215

Virginia Union University Personnel Office 1500 N. Lombardy Street Richmond, VA 23220

Mississippi University of Women 1100 College Street, W – 1603 Columbus, MS 39701- 5800

> WICI Jobline 3031 Iroquois Detroit, MI 48214

Southwest Missouri State University 901 S. National Avenue Springfield, MO 65804

> Hampton University Career Planning Director Hampton, VA 23668

Lawson State Community College Personnel Office 3060 Wilson Rd. SW Birmingham, AL 35221

Morgan State University
Personnel Office
Cold Spring Lane & Hillen Road
Baltimore, MD 21239

University of Illinois at Springfield Television Office One University Plaza Springfield, IL 62703 Broward Community College North Campus-Provost National Council on Black American Affairs 1000 Coconut Creek Blvd Coconut Creek, FL 33066

> Asian American Studies Dept. of Ethnic Studies University of California Berkeley 3407 Dwinelle Hall Berkeley, CA 94720

Nat'l Assoc. of University Women 1001 East Street SE Washington, DC 20003-2847

Central Missouri State College Warrenburg, MO 64093

> Florida A & M University Personnel Office Tallahassee, FL 32307

Iowa State University
Director of Placement
Dept. of Journalism & Mass Comm.
Ames, IA 50011

Lincoln University
Personnel Office
1570 Baltimore Pike
Lincoln University, PA 19352

Paine College Personnel Office 1235 15<sup>th</sup>. Street Augusta, GA 30910

S.I. Newhouse School of Public Comm.
Alumni Relations & Career Develop.
215 University Place
Syracuse, NY 13244-2100

# Appendix 5 Highland Telephone Cooperative



7840 Morgan County Hwy. P.O. Box 119 Sunbright, TN 37872

January 27, 2011

EMAIL

HIGHLAND.MET

voice 423/628 2121

423/663 3939

606/376 5311

Fax 423/628 2409

Mr. Arnold Reed

Tennessee Regulatory Authority

460 James Robertson Parkway

Nashville, TN 37243

F. L. Terry

Ernest A. Petroff

ALTONNET

James E. Terry

JoAn Haynes

Clara Terry

Mickey Bingham

Jan Byrd

Loma Denney

David Freytag

Shelva Jo Jones

Sam Strunk

John Tate

Jerry Williams

RE: Minority Owned Business Participation Report of

Highland Telephone Cooperative, Inc.

Dear Mr. Reed:

Pursuant to Tennessee Code Annotated §7-59-313(d), Highland Telephone Cooperative, Inc. ("Highland") hereby submits its annual report to the Tennessee Regulatory Authority regarding its minority owned business participation plan. Highland has complied with its minority owned business participation by taking steps to implement the plan consistent with its statutory obligations.

Highland has contacted several applicable agencies and organizations to benefit from their resources and to work with them to achieve the goals of the company's minority owned business participation plan. First, Highland reached out to the Governor's Office of Diversity Business Enterprise ("DBE") within the Tennessee Department of General Services. The DBE provides MBE/WBE/SDVBE/SBE certification to companies to enhance their eligibility to bid on state contracts.

While Highland is not a state agency and cannot advertise requests for proposals through this department, DBE maintains a public database of all of the companies for which it has provided certification. Highland is in the process of reviewing this database to identify companies that may be qualified to bid on potential present and future contracts. When Highland completes this review of certified firms in conjunction with its own third-party vendor

needs, it will contact those firms to make them aware of Highland's potential needs and the process for providing relevant information to the company.

Second, Highland has recently become aware of the work being done by the Tennessee Minority Supplier Development Council ("TMSDC"), a privately funded not-for-profit organization that helps to build partnerships between minority-owned businesses and major corporations doing work in Tennessee. In order to avail itself of TMSDC's assistance, Highland must first become a corporate member of this organization. Highland is in the process of obtaining information from TMSDC to determine whether becoming a corporate member would improve in a meaningful way Highland's ability to reach minority-owned businesses doing work relevant to Highland's needs.

Third, Highland has contacted numerous other state agencies and private organizations to learn what, if any, programs currently exist to help connect communications companies to minority owned contractors and subcontractors. The organizations that were contacted by Highland include, but are not limited to, the following: Tennessee Department of Economic and Community Development, Tennessee Department of Labor and Workforce Development, Memphis Area Minority Contractors Association, and the Black Business Association of Memphis.

In addition to working with the organizations identified above, as part of Highland's minority-owned business participation plan, the company continues to monitor opportunities to utilize minority owned businesses and encourage qualified minority owned businesses to bid on available work. Highland also maintains a record keeping system to track communications with applicable agencies and organizations as well as known minority-owned businesses.

Highland is committed to the objectives stated in Section 313 of the Tennessee Competitive Cable and Video Services Act, T.C.A. §7-59-313, and strives to promote participation of minority owned businesses through the activities outlined above. Thank you for the opportunity to submit this information to the TRA. Should you need any additional information

regarding Highland and its minority owned business participation plan, please do not hesitate to contact us.

Sincerely,

F. L. Terry

General Manager

# Appendix 6 Comcast





UTILITIES DIVISION

Comcast Cable 600 Galleria Parkway Suite 1100 Atlanta, GA 30339

VIA FACSIMILE & OVERNIGHT MAIL

January 28, 2011

Mr. Arnold Reed Tennessee Regulatory Authority 460 James Robertson Parkway Nashville, TN 37243

Re: Minority Owned Business Plan Annual Report

Pursuant to Section 7-59-313 (d) of The Competitive Cable and Video Services Act, Comcast Cable Communications Management, LLC ("Comcast") submits this annual report on its Minority Owned Business Participation Plan ("Plan") performance.

Enclosed is a copy of the Plan which was filed as Exhibit E to Comcast's original applications for state-issued certificates of franchise authority dated February 13, 2009, and September 14, 2009, respectively.

Comcast continues to comply with the Plan. For 2010, 14.2% of the total supplier expenditures made by the Comcast operating entities holding state certificates were to diverse vendors.

Sincerely,

John C. Barrett

Regional Senior Vice President Mid-South & West Palm Regions

enc.

cc: Douglas Wells Tim Gage John Gauder Valerie Gillespie Russell Byrd MINORITY-OWNED BUSINESS PREPARATION PLAN

TWREGULATORY AUTHORITY Comcast hereby sets forth its business plan for actively soliciting bids from minorityowned businesses and awarding contracts to such businesses when establishing, providing or expanding cable services and related support facilities in Tennessee. This business plan includes information regarding promoting, increasing, and improving the quality of the overall participation of minority, women, and service-disabled veteranowned business enterprises in its purchases of materials and services.

### 1. TERMS AND TERMINOLOGY

For purposes of this plan, unless the context otherwise requires:

- A. "Comcast" refers to the Comcast entities listed in Exhibit A to the Application. Except where otherwise indicated, the information in this Plan is provided with respect to Comcast as a whole.
- B. "Minority-Owned Business(es)" means a business that is solely owned, or at least fifty-one percent (51%) of the assets or outstanding stock of which is owned, by an individual(s) who personally manages and controls the daily operations of such business and who is impeded from normal entry into the economic mainstream because of:
  - past practices of discrimination based on race, religion, ethnic 1) background, or sex including, but not limited to, women;
  - 2) a disability as defined in T.C.A. Section 4-26-201 including, but not limited to, disabled veterans; or
  - 3) past practices of racial discrimination against African-Americans.
- C. "Minority-Owned Business Participation Plan" means a business plan for actively soliciting bids from Minority-Owned Businesses and awarding contracts to such businesses when establishing, providing or expanding cable services and related support facilities. Such Plan includes the following information:
  - A proposal for purchasing goods and services from Minority-1) Owned Businesses:
  - 2) Information on programs to provide technical assistance to such businesses; and
  - 3) A statement of intent to follow its Minority-Owned Business Plan.

### 2. SUPPLIER DIVERSITY POLICY STATEMENT

Qualified, dependable, diverse partners provide Comcast with the goods and services needed to continue growing and serving our customers. Small and diverse suppliers provide us with new perspectives, insights, and understandings that enable us to innovate, compete, and tailor our business to existing and emerging markets. Comcast believes that small and diverse suppliers, who instill competitiveness into the bidding process, make all of our vendors work harder to give us their best possible product. Ultimately, our diverse supplier partnerships empower both parties to create jobs, strengthen communities, and build value for our shareholders. As such, it is the policy of Comcast:

- A. to promote, increase, and improve the quality of the overall participation of minority, women, veteran, and service-disabled veteran-owned business enterprises in its purchases of materials and services;
- B. to provide maximum practical opportunity to minority, women, veteran, and disabled veteran-owned business enterprises to participate as suppliers of materials and services to Comcast; and
- C. to encourage subcontracting opportunities for minority, women, veteran, and disabled veteran-owned business enterprises by requiring Supplier Diversity Participation Plans from its prime suppliers.

## 3. <u>PROPOSAL FOR PURCHASING GOODS AND SERVICES FROM</u> MINORITY-OWNED BUSINESSES

Comcast promotes the purchasing of goods and services from Minority-Owned Businesses through its comprehensive Small and Minority Owned Business Preparation Plan which incorporates the following:

- A. Comcast employs a full-time Senior Manager of Supplier Diversity who reports to Comcast's Executive Director of Procurement. This individual is responsible for coordinating and managing Comcast's supplier diversity program and providing leadership, relationship management, and tactical direction to vendors looking to conduct business with Comcast.
- B. Comcast employs Directors of Purchasing along with procurement support staff in each of Comcast's four operating divisions who actively participate in advancing Comcast's supplier diversity initiatives and who are responsible for encouraging the inclusion of minority-owned vendors in the competitive bid process accomplished through the use of the following tactics:
  - 1) Training programs for procurement personnel that emphasize the importance of diversity initiatives and techniques to find qualified minority-owned suppliers to compete on bid opportunities.
  - Establishment of supplier diversity goals.
  - 3) Development and use of a "score card" to measure actual versus goal for supplier diversity efforts.
  - 4) Partner with minority-owned and women business organizations. (See Section 4, below)
  - 5) Regularly update external communications regarding supplier diversity.
- C. To assist potential vendors in determining their eligibility to participate in Comcast's Supplier Vendor Diversity Program, Comcast publishes the Program's criteria and qualifications on its website, <u>www.comcast.com</u>. <sup>1</sup>

2

Comeast's "Criteria and Qualifications document can be accessed on the web\_using the following link: http://www.comeast.com/corporate/about/diversity/suppliers/criteria.html

### 4. BUSINESS PARTNERSHIP INITIATIVES

Comcast is partnering with the following organizations, in an effort to identify qualified Minority-Owned Businesses.

- A. National Minority Supplier Diversity Council ("NMSDC"): Comcast is a national member of this organization. Additionally, we partner with eight of the local councils in order to find qualified minority-owned businesses with which to partner.
- B. Women's Business Enterprise National Council ("WBENC"): Comcast actively participates with WBENC nationally, Additionally, we partner with six local councils in order to find qualified women-owned business with which to partner.
- C. Women in Cable and Telecommunications ("WICT"): This is a cablespecific organization that assists Comcast in identifying and developing female leaders within the cable & telecommunications industries.
- D. National Association for Minorities in Cable ("NAMIC"): This is a cablespecific organization that assists Comcast in identifying and developing minority leaders within the cable industry.
- E. National Veteran Owned Business Association ("NaVOBA")
- F. Walter Kaitz Foundation: advocates for diversity in the cable and telecommunications industries. Comcast is an annual sponsor of the Walter Kaitz Fundraising Dinner and the Supplier Diversity Connection seminars at the National Cable Television Association ("NCTA") and Society of Cable Television Engineers ("SCTE") conferences.
- G. National Association of Women Business Owners ("NAWBO").
- H. Comcast also partners with numerous Chambers of Commerce that work to support supplier diversity.
- I. Member of Mid-South Minority Business Council which provides access to their database of certified minority-owned businesses.
- J. Member of Memphis Chapter of Black Business Association ("BBA")
- K. Member of Memphis Chapter of Hispanic Business Alliance ("HBA").

## 5. <u>STATEMENT OF INTENT TO FOLLOW THE MINORITY-OWNED</u> BUSINESS PARTICIPATION PLAN

Pursuant to this plan, Comcast shall strive to maximize participation of Minority-Owned Businesses through both prime and second tier contracting opportunities and shall strive to achieve a level of minority business participation representative of the population demographics of the state of Tennessee. On or before January 31 of each year, Comcast will prepare and submit an annual report to the Tennessee Regulatory Authority concerning Comcast's Minority-Owned Business Participation Plan and compliance with such plan.

Inquiries concerning this Plan may be directed to the Plan Administrator:

Ajamu Johnson Comcast Cable One Comcast Center Philadelphia, PA 19103 (215) 286-4052

# Appendix 7 Electric Power Board of Chattanooga



January 12, 2011

Tennessee Regulatory Authority ATTN: Arnold Reed 460 James Robertson Parkway Nashville, TN 37243

RE: Annual report on compliance with minority business participation plan

Dear Mr. Reed:

Pursuant to the requirements of T.C.A. §7-59-313, please find enclosed Electric Power Board's report regarding compliance with the minority owned business plan. For your convenience, I am also enclosing a copy of EPB's Minority Business Participation Plan.

If you have any questions or concerns, please feel free to contact me at (423) 648-1391 or via e-mail at webbad@epb.net.

Sincerely,

Aaron D. Webb

Vice President-Legal Services

Electric Power Board of Chattanooga

enclosure



# 2011 Annual Report Minority Business Participation Plan Compliance Competitive Cable and Video Services Act

On March 23, 2010, the Electric Power Board of Chattanooga filed an application for a state-issued certificate of franchise authority with the Tennessee Regulatory Authority. As required by the Competitive Cable and Video Services Act, EPB submitted a Minority Owned Business Participation Plan with the application. On March 30, 2010, TRA approved EPB's application.

EPB is proud to support minority and women owned companies within the Tennessee area for many years. EPB's Minority and Women Owned Business Development Program has five (5) key objectives:

- (1) Identify goods and services for which minority and women owned businesses have the capability of becoming a source of supply;
- (2) Seeking out minority and women owned businesses capable of supplying goods and services for EPB's operations;
- (3) Using minority and women owned business whenever possibly in order to increase the volume of expenditures into the minority business community;
- (4) Nurturing minority and women owned businesses and help them to become competitive, viable and self-sustaining enterprises; and
- (5) Foster relationships within the minority and women owned business community.

EPB has designated a Manager to oversee its Minority and Women Owned Business Development Program, and that Manager works with the Purchasing Department and members of leadership to ensure the continual success of the program. In 2010, EPB's Fiber Optics Division did business with five (5) minority and women owned companies throughout Tennessee and spent in excess of One Million Dollars (\$1,000,000.00) in these business endeavors.

As always, EPB Fiber Optics will continue to seek out qualified minority and women owned businesses to support and utilize, and find ways to increase opportunities for minority and women owned businesses in the future.

### Appendix 8 Twin Lakes Communications, Inc.





RECEIVED

MAR 03 2011

TN REGULATORY AUTHORITY
UTILITIES DIVISION

March 2, 2011

Tennessee Regulatory Authority ATTN: Arnold Reed 460 James Robertson Parkway Nashville, TN 37243

Dear Mr. Reed:

In re: TCA 65-5-212 Small and Minority-Owned Telecommunications Business Participation Plan

Our existing Small and Minority-Owned Telecommunications Business Participation Plan for 2011 is unchanged from 2010. We are enclosing an original and one copy for your convenience.

Very truly yours,

TWIN LAKES COMMUNICATIONS INC

Jonathan West,

General Manager and CEO

JW/ef

Enclosures (2)



MAR 0 3 2011

TN REGULATORY AUTHORITY UTILITIES DIVISION

### TWIN LAKES TELECOMMUNICATIONS INC.

### SMALL AND MINORITY-OWNED TELECOMMUNICATIONS BUSINESS PARTICIPATION PLAN

April 2011

Pursuant to T.C.A. §65-5-212, as amended, Twin Lakes Communications, Inc. ("TLCI) submits this small and minority-owned Telecommunications business participation plan (the "Plan").

### I. PURPOSE

The purpose of §65-5-212 is to provide opportunities for small and minority-owned businesses to provide goods and services to Telecommunications service providers. TLCI is committed to the goals of §65-5-212 and to taking steps to support the participation of small and minority-owned Telecommunications businesses in the Telecommunications industry. TLCI will endeavor to provide opportunities for small and minority-owned Telecommunications businesses to compete for contracts and subcontracts for goods and services. As part of its procurement process, TLCI will make efforts to identify and inform minority-owned and small businesses that are qualified and capable of providing goods and services to TLCI of such opportunities. TLCI representatives have already contacted the Department of Economic and Community Development, the administrator of the small and minority-owned Telecommunications assistance program, to obtain a list of qualified vendors. Moreover, TLCI will seek to increase awareness of such opportunities so that companies not otherwise identified will have sufficient information to participate in the procurement process.

#### II. **DEFINITIONS**

As defined in §65-5-212.

Minority-Owned Business. Minority-owned business shall mean a business which is solely owned, or at least fifty-one percent (51%) of the assets or outstanding stock of which is owned, by an individual who personally manages and controls daily operations of such business, and who is impeded from normal entry into the economic mainstream because of race, religion, sex or national origin and such business has annual gross receipts of less than four million dollars (\$4,000,000).

Small Business. Small Business shall mean a business with annual gross receipts of less than four million dollars (\$4,000,000).

### III. ADMINISTRATION

TLCI's Plan will be overseen and administered by the individual named below, hereinafter referred to as the Administrator, who will be responsible for carrying out and promoting TLCI's full efforts to provide equal opportunities for small and minority-owned businesses. The Administrator of the Plan will be:

Jonathan West
Twin Lakes Communications, Inc.
P.O. Box 696
Gainesboro, TN 38562
(931) 268-2151

The Administrator's responsibilities will include:

- (1) Maintaining an updated Plan in full compliance with §65-5-212 and the rules and orders of the Tennessee Regulatory Authority.
- (2) Establishing and developing policies and procedures necessary for the successful implementation of the Plan.

- (3) Preparing and submitting such forms as may be required by the Tennessee Regulatory Authority, including the filing of required annual updates.
- (4) Serving as the primary liaison to and cooperate with the Tennessee Regulatory Authority, other agencies of the State of Tennessee, and small and minority-owned businesses to locate and use qualified small and minority-owned businesses as defined in §65-5-212.
- (5) Searching for and developing opportunities to use small and minority-owned businesses and encouraging such businesses to participate in and bid on contracts and subcontracts.
- (6) Providing records and reports and cooperate in any authorized surveys as required by the Tennessee Regulatory Authority.
- (7) Establishing a record-keeping system to track qualified small and minority-owned businesses and efforts to use such businesses.
- (8) Providing information and educational activities to persons within TLCI and training such persons to seek out, encourage, and promote the use of small and minority-owned businesses.

In performance of these duties, the Administrator will utilize a number of resources, including:

Chambers of Commerce

The Tennessee Department of Economic and Community Development The United States Department of Commerce

Small Business Administration Office of Minority Business

The National Minority Supplier Development Counsel

The National Association of Women Business Owners

The National Association of Minority Contractors

Historically Black Colleges, Universities, and Minority Institutions

The efforts to promote and ensure equal opportunities for small and minority-owned businesses are primarily spelled out in the Administrator's duties above.

Additional efforts to provide opportunities to small and minority-owned businesses will include offering, where appropriate and feasible, small and minority-owned businesses assistance with technical, insurance, bonding, licensing, production, and deadline requirements.

IV. RECORDS AND COMPLIANCE REPORTS

TLCI will maintain records of qualified small and minority-owned business and efforts

to use the goods and services of such businesses. In addition, TLCI will maintain records of

education and training activities conducted or attended and of the internal procurement

procedures adopted to supports this plan.

TLCI will submit records and reports required by the Tennessee Regulatory Authority

concerning the Plan. Moreover, TLCI will cooperate fully with any surveys and studies required

by the Tennessee Regulatory Authority.

Twin Lakes Communications Inc.

Jonathan West,

General Manager and CEO

Date: 3-2-20//

## Appendix 9 North Central Telephone Cooperative



Tennessee Regulatory Authority ATTN: Arnold Reed 460 James Robertson Parkway Nashville, Tennessee 37243

Via US Mail

Re: Annual report on compliance with minority and business participation plan

December 16, 2010

Dear Mr. Reed:

This letter is in response to the December 9, 2010 letter from Jerry Kettles seeking a report of North Central Telephone Cooperative's ("NCTC") compliance with T.C.A. §7-59-313 as a holder of a state-issued certificate of Franchise Authority.

NCTC applied for its franchise on August 2, 2010 and was awarded its authority on September 23, 2010. A copy of the company's minority and business participation plan was filed as Exhibit C of that application and is included here.

NCTC has yet to initiate its offering of services and accordingly has had little opportunity to implement the goals of its plan. We remain confident that our plan meets the expectations of T.C.A. §7-59-313 and ongoing compliance with the plan has been included in the performance expectations of our Human Resources department.

Sincerely,

Nancy J. White President and CEO

**Enclosures** 

# Exhibit C of Franchise Application filed August 2, 2010 North Central Telephone Cooperative Minority-owned Business Participation Plan

Pursuant to T.C.A. §7-59-313, as amended, North Central Telephone Cooperative ("NCTC") submits this minority-owned business participation plan (the "Plan") along with its Application of North Central Telephone Cooperative for a State-Issued Certificate of Franchise Authority in Tennessee.

### I. Purpose

The purpose of §7-59-313 is to provide opportunities for minority-owned businesses to provide goods and services to video and cable service providers. NCTC is committed to the goals of §7-59-313 and to taking steps to support the participation of minority-owned businesses in the video and cable industry. NCTC will endeavor to provide opportunities for minority-owned businesses to compete for contracts and subcontracts for goods and services. As part of its procurement process, NCTC will make efforts to identify and inform minority-owned businesses that are qualified and capable of providing goods and services to NCTC of such opportunities to do so. NCTC will seek to increase awareness of such opportunities so that companies not otherwise identified will have sufficient information to participate in the procurement process.

#### II. Definitions

### As defined in §7-59-313.

Minority-Owned Business: Minority-owned business shall mean a business which is solely owned, or at least fifty-one percent (51%) of the assets or outstanding stock of which is owned, by an individual who personally manages and controls daily operations of such business, and who is impeded from normal entry into the economic mainstream because of race, religion, sex, national origin, or disability.

### III. Administration

NCTC's Plan will be overseen and administered by the individual named below, hereinafter referred to as the Administrator, who will be responsible for carrying out and promoting NCTC's full efforts to provide equal opportunities for small and minority-owned businesses. The Administrator of the Plan will be:

Human Resources Manager North Central Telephone Cooperative 872 Highway 52 By Pass East, PO Box 70 Lafayette, TN 37083 Telephone: 615-666-2151; fax: 615-666-6244

The Administrator's responsibilities will include:

1. Maintaining an updated Plan in full compliance with \$7-59-313 and the rules and orders of the Tennessee Regulatory Authority.

### III. Administration (continued)

- 2. Establishing and developing policies and procedures necessary for the successful implementation of the Plan.
- 3. Preparing and submitting such forms as may be required by the Tennessee Regulatory Authority, including the filing of updates as may be required.
- 4. Serving as the primary liaison to and cooperate with the Tennessee Regulatory Authority, other agencies of the State of Tennessee, and small and minority-owned businesses to locate and use qualified small and minority-owned businesses as defined in §7-59-313.
- Searching for and developing opportunities to use minority-owned businesses and encouraging such businesses to participate in and bid on contracts and subcontracts.
- 6. Providing records and reports and cooperating in any authorized surveys as required by the Tennessee Regulatory Authority.
- 7. Establishing a record-keeping system to track qualified minority-owned businesses and efforts to use such businesses.
- 8. Providing information and educational activities to persons within NCTC and training such persons to seek out, encourage, and promote the use of small and minority-owned businesses.

In performance of these duties, the Administrator will utilize a number of resources, including:

- Chambers of Commerce
- The Tennessee Department of Economic and Community Development
- The United States Department of Commerce
- Small Business Administration
- Office of Minority Business
- The National Minority Supplier Development Counsel
- The National Association of Women Business Owners
- The National Association of Minority Contractors
- · Historically Black Colleges, Universities, and Minority Institutions

The efforts to promote and ensure equal opportunities for small and minority-owned businesses are primarily spelled out in the Administrator's duties above. Additional efforts to provide opportunities to small and minority-owned businesses will include offering, where appropriate and feasible, small and minority-owned businesses assistance with technical, insurance, bonding, licensing, production, and deadline requirements.

### IV. Records and Compliance Reports

NCTC will maintain records of qualified minority-owned business and efforts to use the goods and services of such businesses. In addition, NCTC will maintain records of educational and training activities conducted or attended and of the internal procurement procedures adopted to support this plan.

NCTC will submit records and reports required by the Tennessee Regulatory Authority concerning the Plan when requested. Moreover, NCTC will cooperate fully with surveys and studies required by the Tennessee Regulatory Authority.