



State of Tennessee  
**Tennessee Ethics Commission**

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**March 2009**

**Commission Meeting Agenda**

March 10, 2009, 8:30 A.M., State Library and Archives Building, Nashville, TN  
Financial Report (informational item)<sup>1</sup>  
Statements from respondents appearing for informal penalty assessment proceedings  
Staff reports: Executive Director, General Counsel (informational items)  
New Business and General Orders

1. Appointment of committee to approve minutes of meetings held in November and December 2008 before change in membership of Commission.
2. Proposed Operating Procedures
3. Deleted [Executive Director's proposed initiative re verifying compliance with gift prohibition]
4. Recommendations on results of random lobbyist audits
5. Informal Penalty Assessment proceedings
  - a. Grid for penalties from proposed rules (informational item)
  - b. TN Assn Air Carrier Airports—failure to pay registration fees
  - c. Wicky Shepard—failure to file disclosure statement
  - d. Jimmy Fox—class 2 failure to file disclosure of interest stmt
6. Penalty Proceedings rules, 04
7. Complaint Proceedings rules, 03
8. Formation of Audit Committee

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<sup>1</sup> This report and the reports from staff are informational only. The Chair suggests that there is no need for discussion unless a Commissioner has a question about the reports.

9. Contested case procedure: initial hearing before AJ sitting alone, with appeal on the record to the Commission, or hearing by quorum of Commission sitting with AJ.
10. Recommendation to General Assembly on coordinated filing requirements for Commission and Registry.
11. Compliance review: report and recommendation
12. Reconsideration requests
  - a. Brain Injury Association, Gynn Edwards
  - b. DAD of Tennessee, Tony Gottlieb—failure to pay registration fee
  - c. TN Judicial Conference Committee on Compensation and Retirement –
  - d. Adams, Tony—Class 2 failure to file.
  - e. Yarber, Brian Jeffrey—Class 1 failure to file disclosure of interest statement.
  - f. Dagley, Jonathan—Class 2
  - g. Katsiros, Johnathan—Class 1 penalty
13. Informal Class 2 penalty assessment proceedings: public officials
  - a. Yarber, Brian Jeffrey.
  - b. Katsiros, Johnathan
  - c. Wilcoxon, Fred
14. Informal penalty assessment proceedings: employers and lobbyists
  - a. Bad Wolf MMA—employer expenditure report
  - b. Belew Group LLC—employer expenditure report
  - c. Becky West—lobbyist campaign contribution
15. Deleted [subpoena request: MMA (Ultimate Fighters)]