



STATE OF TENNESSEE
DEPARTMENT OF GENERAL SERVICES

REQUEST FOR QUALIFICATIONS # 160/001-01-2015
AMENDMENT # Three
FOR ROCK CASTLE STATE HISTORIC SITE

DATE: February 26, 2016

RFP SBC NUMBER 160/001-01-2015 IS AMENDED AS FOLLOWS:

1. This RFP Schedule of Events updates and confirms scheduled RFP dates.

EVENT	TIME (Central Time)	DATE	UPDATED / CONFIRMED
1 RFQ Issued		February 10, 2016	Confirmed
2 Disability Accommodation Request Deadline		February 12, 2016	Confirmed
3 Notice of Intent to Respond Deadline		February 16, 2016	Confirmed
4 Written "Questions & Comments" Deadline	2:00 p.m.	February 19, 2016	Confirmed
5 State Response to Written "Questions & Comments"		February 23, 2016	Confirmed
6 Response Deadline	2:00 p.m.	March 10, 2016	Updated
7 State Completion of Technical Submittal Evaluations		March 17, 2016	Confirmed
8 State Issues Evaluation Notice		March 17, 2016	Confirmed
9 End of 7-Day Wait Period for Consideration of Protest.		March 24, 2016	Confirmed
10 Anticipated Bid Date		April 28, 2016	Confirmed
11 Anticipated Contract Start		June 15, 2016	Confirmed

2. **RFQ Attachment 6.2. – Section A. should be deleted and replaced with the following:**

QUALIFICATIONS & EVALUATION GUIDE

SECTION A: GENERAL BUSINESS REQUIREMENTS. The Respondent shall address all items detailed below and provide, in sequence, the information and documentation as required (referenced with the associated item references). The Respondent shall also detail the proposal page number for each item in the appropriate space below.

The RFQ Coordinator will review the proposal to determine if the General Business Requirement Items are addressed as required and mark each with Yes (Y) or No (N). For each item that is not addressed as required, the Proposal Evaluation Team shall review the proposal and attach a written determination. In addition to the General Business Requirement Items, the RFQ Coordinator will review each proposal for compliance with all RFQ requirements.

RESPONDENT LEGAL ENTITY NAME:			
Proposal Page # (Respondent completes)	Item Ref.	Section A— General Business Requirements	Owner Use Only
			Responsive Y/N
		The Technical Response must be delivered to the State no later than the Technical Response Deadline specified in the RFQ § 2, Schedule of Events.	
		The Technical Response must not contain cost or pricing information of any type.	
		The Technical Response must not contain any restrictions of the rights of the State or other qualification of the response.	
		A Respondent must not submit alternate responses.	
		A Respondent must not submit multiple responses in different forms (e.g. as a prime and a subcontractor).	
		Responsive to document layout details. Section and subsections partitioned with tabbed separation sheets. Tabs are labeled accordingly.	
	A.1.	Qualifications Submittal delivered as: One original and Six printed copies and One digital document in PDF format properly recorded on its own otherwise blank, standard CD-R recordable disc.	
	A.2.	Statement of Certifications and Assurances: Provide	

RESPONDENT LEGAL ENTITY NAME:			
Proposal Page # (Respondent completes)	Item Ref.	Section A— General Business Requirements	Owner Use Only
			Responsive Y/N
		the Proposal Statement of Certifications and Assurances (RFQ Attachment 6.1.), completed and signed by an individual empowered to bind the Respondent to the provisions of this RFQ and any resulting contract. The document must be signed without exception or qualification.	
	A.3.	<p>Response Package Cover Sheet: The outermost container displays Contractor Licensing information, with the Respondent's State of Tennessee Contractor's License Number, Classification, Expiration Date, and License Limit (Refer to RFQ Attachment 6.3.a.).</p> <p>The dollar limit on the license is sufficient to support the preliminary estimated construction cost for this Project.</p>	
	A.4.	<p>Conflict of Interest: Provide a statement, based upon reasonable inquiry, of whether the Respondent or any individual who will perform work under the contract has a possible conflict of interest (e.g., employment by the State of Tennessee), and, if so, the nature of that conflict.</p> <p>NOTE: Any questions of conflict of interest shall be solely within the discretion of the Owner, and the Owner reserves the right to cancel any award.</p>	
	A.5.	Detail the name, e-mail address, mailing address, telephone number, and facsimile number of the person the State should contact regarding the response.	
	A.6.	Describe the Respondent's form of business (i.e., individual, sole proprietor, corporation, non-profit corporation, partnership, limited liability company), and business location (physical location or domicile).	
	A.7.	Detail the number of years the Respondent has been in business.	
	A.8.	Provide a statement of whether there have been any mergers, acquisitions, or sales of the Company within the	

RESPONDENT LEGAL ENTITY NAME:			
Proposal Page # (Respondent completes)	Item Ref.	Section A— General Business Requirements	Owner Use Only
			Responsive Y/N
		last five years, and if so, an explanation providing relevant details.	
	A.9.	Provide a statement of whether the Respondent or, to the Respondent's knowledge, any of the Respondent's employees, agents, independent contractors, or subcontractors, proposed to provide work on a contract pursuant to this RFQ, have been convicted of, pled guilty to, or pled <i>nolo contendere</i> to any felony. If so, include an explanation providing relevant details.	
	A.10.	Provide a statement of whether, in the last ten years, the Contractor has filed (or had filed against it), any bankruptcy or insolvency proceeding, whether voluntary or involuntary, or undergone the appointment of a receiver, trustee, or assignee for the benefit of creditors, and if so, an explanation providing relevant details.	
	A.11.	Provide a statement of whether there is any material, pending litigation against the Respondent that the Respondent should reasonably believe could adversely affect its ability to meet contract requirements pursuant to this RFQ or is likely to have a material adverse effect on the Respondent's financial condition. If such exists, list each separately, explain the relevant details, and attach the opinion of counsel addressing whether and to what extent it would impair the Respondent's performance in a contract pursuant to this RFQ. NOTE: All persons, agencies, firms, or other entities that provide legal opinions regarding the Respondent must be properly licensed to render such opinions. The Owner may require the Respondent to submit proof of such licensure detailing the state of licensure and licensure number for each person or entity that renders such opinions.	
	A.12.	Securities Exchange Commission: Provide a statement of whether there are any pending or in progress Securities Exchange Commission investigations involving the Respondent. If such exists, list each separately, explain	

RESPONDENT LEGAL ENTITY NAME:			
Proposal Page # (Respondent completes)	Item Ref.	Section A— General Business Requirements	Owner Use Only
			Responsive Y/N
		<p>the relevant details, and attach the opinion of counsel addressing whether and to what extent it will impair the Respondent’s performance in a contract pursuant to this RFQ.</p> <p>NOTE: All persons, agencies, firms, or other entities that provide legal opinions regarding the Respondent must be properly licensed to render such opinions. The Owner may require the Respondent to submit proof of such licensure detailing the state of licensure and licensure number for each person or entity that renders such opinions.</p>	
	A.13.	<p>Provide a statement and any relevant details addressing whether the Respondent is any of the following:</p> <ul style="list-style-type: none"> (a) is presently debarred, suspended, proposed for debarment, or voluntarily excluded from covered transactions by any federal or state department or agency; (b) has within the past three years, been convicted of, or had a civil judgment rendered against the contracting party from commission of fraud, or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or grant under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property; (c) is presently indicted or otherwise criminally or civilly charged by a government entity (federal, state, or local) with commission of any of the offenses detailed above; and; (d) has within a three year period preceding the contract had one or more public transactions (federal, state, or local), terminated for cause or default. 	

RESPONDENT LEGAL ENTITY NAME:			
Proposal Page # (Respondent completes)	Item Ref.	Section A— General Business Requirements	Owner Use Only
			Responsive Y/N
<i>State Use – RFQ Coordinator Signature, Printed Name & Date:</i>			

End of Section A