



STATE OF TENNESSEE
DEPARTMENT OF HEALTH

**REQUEST FOR APPLICATION # 34305-21115
AMENDMENT # 1
FOR NURSING HOME CIVIL MONETARY PENALTY
QUALITY IMPROVEMENT (CMPQI) PROGRAM
IMPLEMENTATION FUNDING OPPORTUNITY**

DATE: June 6, 2014

RFA #34305-11215 IS AMENDED AS FOLLOWS:

1. This RFA Schedule of Events updates and confirms scheduled RFA dates.

EVENT	TIME	DATE	UPDATED / CONFIRMED
1 RFA Issued		5/19/14	Confirmed
2 Pre-Proposal Conference	2:00 p.m.	5/22/14	Confirmed
3 Written Questions and Comments Deadline	2:00 p.m.	5/29/14	Confirmed
4 State Responds to Written Questions and Comments		6/13/14	Updated
5 Application Deadline	2:00 p.m.	6/23/14	Confirmed
6 State Completion of Application Evaluations		6/11/14	Confirmed
7 Initial Evaluation Notice Released	2:00 p.m.	7/15/14	Confirmed
8 CMS Completion of Approved Proposal Review			>30-45 days following State Evaluation Completion
9 Final Evaluation Notice Released			>5 days following CMS Evaluation Completion
10 Contractor Contract Signature Deadline		9/22/2014	Confirmed
11 Effective Start Date of Contract		10/01/2014	Confirmed

2. State responses to questions and comments in the table below amend and clarify this RFA.

Any restatement of RFA text in the Question/Comment column shall NOT be construed as a change in the actual wording of the RFA document.

QUESTION / COMMENT	STATE RESPONSE
1 The RFA states that funds may not be used for staff salaries. Does this refer specifically to staff in the long-term care	D.6. which is contained in each of the State of TN Pro Forma contracts states: "D.6. <u>Conflicts of Interest</u> . The Grantee warrants that no part

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<p>facilities, or may state employee salaries be funded through this funding opportunity?</p>	<p>of the total Grant Amount shall be paid directly or indirectly to an employee or official of the State of Tennessee as wages, compensation, or gifts in exchange for acting as an officer, agent, employee, subcontractor, or consultant to the Grantee in connection with any work contemplated or performed relative to this Grant Contract."</p>
<p>2 Is another state agency or another division within the department of health eligible to apply for this funding? If not, is there another mechanism by which this funding may be made available?</p>	<p>Being that the department of health is issuing the RFA, serves at the main reviewer for the proposals being submitted to CMS for final approval and will subsequently issue any grants, it would be considered a conflict of interest for this department to issue a grant to a program area within this department. There is another federal funding mechanism to apply for federal CMS CMP funds, see CMS Survey & Certification Letter 14-30 which is attached.</p>
<p>3 Should the proposal be double spaced, excluding charts, graphs, and attachments?</p>	<p>No, the proposal should not be double spaced.</p>
<p>4 As head of an organization, I have been recently approached by other entities about partnering with them for grant application. While our organization is going to submit a grant in the over 50,000 category, I want to be clear that this is our only chance to submit one proposal during the 1-2 year period you all have designated--and that there are not multiple times over the year to submit applications.</p>	<p>This is only chance to submit a proposal during the 1-2 year period the Department has designated for this RFA. Any entity can submit a single proposal in each of the 2 designated categories: Award category A of \$50,000 and below; and Award category B of \$50,000 and above as stated on page 6 of the RFA. There will be no further opportunity to submit additional or revised proposals during this period.</p>
<p>5 I understand that only three will be submitted to CMS each quarter for their review and I am honored that outside organizations want to partner, but I do not want our sole proposal rejected if others mention using our organization as a bridge to their grant. Would it be possible for you to clarify? I believe as other entities thought about the possibility for a grant, their wanting to involve our organization surfaced and I am trying desperately to clarify so that our grant will</p>	<p>An application will not be denied based on another organization/agency listing you as a collaborator on their proposal. Only 1 application under each award category may be submitted by the same organization without regard to the size of the organization.</p>

QUESTION / COMMENT	STATE RESPONSE
be appropriately submitted and the others would have to stand on their own merit-- not in partnership with our organization.	

DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
7500 Security Boulevard, Mail Stop C2-21-16
Baltimore, Maryland 21244-1850



Center for Clinical Standards and Quality/Survey & Certification Group

Ref: S&C: 14-30- NH

DATE: May 16, 2014

TO: State Survey Agency Directors

FROM: Director
Survey and Certification Group

SUBJECT: Grant Solicitation for the Reinvestment of Federal Civil Money Penalty (CMP) Funds to Benefit Nursing Home Residents

Memorandum Summary

- **Grant Solicitation for the Reinvestment of Civil Money Penalty (CMP) Funds to Benefit Nursing Home Residents:** As part of the 2010 Affordable Care Act, the Centers for Medicare & Medicaid Services (CMS) is soliciting proposals for a grant opportunity in which Federal CMP Funds will be utilized to support and further expand the National Partnership to Improve Dementia Care in Nursing Homes.
- **Funds:** A total of \$500,000 for FY2014-2015 will be available for a three year period of performance. Funding will be awarded in 12 month budget periods.
- **Terms:** Further information about this new initiative may be accessed at <http://www.grants.gov>.

Background

The CMS is inviting proposals for consideration to use federal CMP funds for a federal grant opportunity to support and further expand the National Partnership to Improve Dementia Care in Nursing Homes. Federal law provides for the collection and use of CMP funds imposed by CMS when nursing homes do not meet the Medicare Requirements for Participation. The *Patient Protection and Affordable Care Act* established that 90 percent of the federal portion of CMP funds be used to support activities that benefit nursing home residents, including projects that assure quality care within nursing homes.

In March 2012, CMS launched the National Partnership to Improve Dementia Care in Nursing Homes to minimize the use of antipsychotic medications in individuals with dementia in the nursing home setting. This National Partnership is aimed at improving the quality of care provided to nursing home individuals by delivering services that are person-centered, comprehensive and interdisciplinary. Proposed projects should directly benefit nursing home residents, build on the existing work of the National Partnership, and provide innovative and

unique strategies for the safe reduction of antipsychotic medications for individuals with dementia.

Information about the National Partnership to Improve Dementia Care in Nursing Homes can be accessed at: http://www.nhqualitycampaign.org/star_index.aspx?controls=dementiaCare

Program Components

Projects considered for this funding opportunity must directly benefit Nursing Home residents. Implementation tasks will vary, but could include for example:

- Active resident, family and provider engagement, including training, education and outreach.
- Quality Assurance/Performance Improvement initiatives.
- Active Ombudsman, consumer and resident representative engagement.
- Testing and evaluating resident specific approaches involving person-centered dementia care, including, for example, consistent staff assignments.

Applicants must consider current CMP state activities and other Partnership activities being implemented and clearly articulate how this activity will enhance and build on, not supplant current activities and be implemented with a regional and/or national scope.

When CMP funds are requested for educational purposes, the organization involved must also include the following: anticipated number of attendees; target audience; timeline for implementation and plan and sustainability.

Funding

CMS anticipates total funding of each project to be at a minimum of \$60,000. The anticipated active period of performance for these grants will be no more than three years, and we anticipate there will be up to three awards given under this solicitation. Total funding available for this initiative is \$500,000 (additional awards may be made if funds are available.) Funding will be awarded in 12-month budget periods.

Additional Information

Applicants should submit an email of intent to Fed_CMPGrant@cms.hhs.gov for the proposal to be considered. The email of intent should include the following items and be received by the date specified on the grant solicitation:

- Name, location and brief description of the application organization; and
- One to two sentence description of the proposed project and scope.

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Contact: To apply for this federal grant opportunity, visit <http://www.grants.gov/>. For questions, contact the CMP Mailbox at Fed_CMPGrant@cms.hhs.gov.

Effective Date: Immediately.

/s/

Thomas E. Hamilton

cc: Survey and Certification Regional Office Management