

Memo

To: Captive Managers with Tennessee Captive Insurance Companies

From: Jonathan P. Habart – Director of Captive Insurance

Date: January 20, 2022

Subject: Welcome to the Comprehensive Online Regulatory & Enforcement System

Dear Captive Managers,

The Department of Commerce and Insurance is expanding its use of an enhanced online service to the Captive Insurance Section. This service is known as the Comprehensive Online Regulatory & Enforcement System (“CORE”). CORE is an online platform that provides Tennessee service providers and other industry stakeholders access submit applications, regular filings, and requests to the Department.

What CORE Offers

With CORE you will be able to easily process the following filings and requests for our captive companies:

- Captive Application
- Annual Statement Filing
- Audited Financial Statement
- Audit Extension
- Change of Business Plan
- Dividend/Distribution
- Affiliate Transactions, such as Loans or Investments
- Change in Capitalization Agreement
- Certificate of Compliance
- Dormancy

We are also working on an enhancement that will allow for cell applications and requests. Until this enhancement is rolled out, please continue to submit cell filings and requests as you have in the past. We will be sending additional information related to this enhancement in the near future.

How to get Set up for Success in CORE

Some industry stakeholders, such as CPAs, potentially already have CORE accounts that have been used for your professional license. For others this will be your first time using CORE. CORE can be accessed by clicking, or navigating to, the link provided below:

CORE.TN.Gov

Once you have created your account, please send your User ID via the information provided below and we will update your account with the appropriate company information.

Should you need any assistance please contact our office at captive.insurance@tn.gov (615) 741-3805.

Thank you,

Jonathan Habart