



TENNESSEE BOARD OF ARCHITECTURAL AND ENGINEERING EXAMINERS
500 JAMES ROBERTSON PARKWAY
NASHVILLE, TENNESSEE 37243
615-741-3600

**Board Meeting Minutes for June 27, 2019
First Floor Conference Room 1-A
Davy Crockett Tower**

Tennessee Board of Architectural and Engineering Examiners met on June 27, 2019, in the first floor conference room of Davy Crockett Tower in Nashville, Tennessee. Mr. Campbell called the meeting to order at 9:00 a.m. and the following business was transacted:

BOARD MEMBERS PRESENT:, Susan Ballard, Ricky Bursi, Robert Campbell, Jr., Grant Minchew, Blair Parker, Rick Thompson, Brian Tibbs, Frank Wagster, Kathy Ware, Alton Hethcoat, Stephen King

STAFF MEMBERS PRESENT: Roxana Gumucio, Stuart Huffman, Wanda Garner

ROLL CALL / AGENDA

Mr. Campbell provided the notice of meeting, and Ms. Garner called roll.

Guests were acknowledged.

Notice of Board member vacancies and vacancies due to terms ending have been submitted to the Governor's office.

Ms. Ware asked that an item be added to the agenda regarding a report on disciplinary action taken for violation of the QBS rule.

MINUTES (attached)

Mr. Tibbs moved to approve the April 4, 2019 minutes. Mr. Parker seconded, and the motion carried unanimously.

PROFESSIONAL SOCIETY REPORTS

Ashley Cates, American Institute of Architects Tennessee Chapter (AIA-TN),) provided a brief summary of the general activities of AIA-TN including preparations for the Annual Meeting in Knoxville on July 17-19, 2019.

Don Baltimore from Tennessee Interior Design through Education and Advocacy (TN IDEA) provided a brief summary of the general activities of TN-IDEA.

Nathan Ridley from the TN chapter of the American Society of Landscape Architects (ASLA-TN) announced that the National Convention will be in San Diego on November 15-18, 2019.

Mr. Parker added that new registrants who were recognized at the recent ASLA meeting in Memphis were very appreciative.

ELECTION OF OFFICERS

The Nominations Committee, through Mr. Thompson, moved to elect the following as Board officers to serve from July 1, 2019 – June 30, 2020.

Chair – Blair Parker

Vice Chair – Brian Tibbs

Secretary – Susan Ballard

The motion failed unanimously.

Ms. Ballard, having served as Chair of the Board for the 2016-17 term, asked to be removed from the slate of officers and recommended that Ricky Bursi be nominated as Secretary of the Board.

Motion was made by Mr. Thompson and seconded by Mr. Wagster, to approve the following slate of officers:

Chair – Blair Parker

Vice Chair – Brian Tibbs

Secretary – Ricky Bursi

The motion passed unanimously.

LEGAL CASE REPORT (presented by Shilina Brown)



STATE OF TENNESSEE
DEPARTMENT OF COMMERCE AND INSURANCE
OFFICE OF LEGAL COUNSEL
500 JAMES ROBERTSON PARKWAY
DAVY CROCKETT TOWER, 5TH FLOOR
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CONFIDENTIAL - ATTORNEY WORK PRODUCT

TO: Tennessee Board of Architectural and Engineering Examiners
FROM: Shilina Brown, Assistant General Counsel
DATE: June 27, 2019
SUBJECT: June 2019 Legal Report

1. 2019005561

First Licensed: 06/29/2009

Expiration: N/A

Type of License: Engineering Firm

History (5 yrs.): 2016 – One complaint closed with a Letter of Warning for being disciplined by an out-of-state Board of Registration for Professional Engineers.

The Respondent entered into a Consent Order with another state's board for failing to report a disciplinary action in another jurisdiction. The Respondent was initially disciplined after offering and practicing engineering on four (4) projects in the jurisdiction while it did not possess a certificate of authorization. The Respondent indicates the matter resulted from an incorrect certificate of authorization due to a misunderstanding of the certificate of authorization authority.

Mitigating Factors: Complaint opened against the Respondent for the discipline in the initial jurisdiction was closed with a Letter of Warning/Caution.

Aggravating Factors:

Recommendation: Close.

Board Decision: CONCUR

2. 2019021751

First Licensed: 09/09/1978

Expiration: 04/30/2021

Type of License: Professional Engineer

History (5 yrs.): None.

The Respondent self-reported on a re-apply application that he practiced engineering on an expired license. The Respondent explained that he sealed four (4) SPCC plans in Tennessee during the period of expiration.

Mitigating Factors: Self-reported.

Aggravating Factors:

Recommendation: Authorize a civil penalty in the amount of \$500 for practicing on an expired license. Respondent is also to take and pass the laws and rules examination. Authorize formal hearing if civil penalty and exam requirements are not met.

Board Decision: CONCUR

3. 2019021691

First Licensed: 06/21/2004

Expiration: 03/31/2021

Type of License: Professional Engineer

History (5 yrs.): None.

The Respondent self-reported on a re-apply application that he practiced engineering on an expired license. The Respondent also submitted a list of twenty one (21) projects that he worked on while his license was expired.

Mitigating Factors: Self-reported.

Aggravating Factors: 21 projects.

Recommendation: Authorize a civil penalty in the amount of \$500 per incident for practicing on an expired license for a total civil penalty amount of \$10,500. Respondent is also to take and pass the laws and rules examination. Authorize formal hearing if civil penalty and exam requirements are not met.

Board Decision: CONCUR

4. 2019038131

First Licensed: 01/01/1993
Expiration: 06/30/2021
Type of License: Professional Architect
History (5 yrs.): None.

The Respondent self-reported that he practiced architecture on an expired license. The Respondent explained that he was not aware his license expired until he attempted to access the State Fire Marshal portal and post a project.

Mitigating Factors: Self-reported.

Aggravating Factors:

Recommendation: Authorize a civil penalty in the amount of \$500 for practicing on an expired license. Respondent is also to take and pass the laws and rules examination. Authorize formal hearing if civil penalty and exam requirements are not met.

Board Decision: OBTAIN LIST OF PROJECTS DURING UNLICENSED PERIOD AND DEFER FOR BOARD CONSIDERATION AT AUGUST 2019 MEETING

5. 2019038161

First Licensed: 01/22/2004
Expiration: 04/30/2021
Type of License: Professional Landscape Architect
History (5 yrs.): None.

The Respondent self-reported that he practiced landscape architecture on an expired license. The Respondent explained that he sealed two (2) drawings on landscape plans while his license was expired. The Respondent also explained that he failed to renew his license as the result of several personal issues, including caring for a parent in failing health.

Mitigating Factors: Self-reported.

Aggravating Factors:

Recommendation: Authorize a civil penalty in the amount of \$500 for practicing on an expired license. Respondent is also to take and pass the laws and rules examination. Authorize formal hearing if civil penalty and exam requirements are not met.

Board Decision: CONCUR

6. 2019038151

First Licensed: 06/04/2014
Expiration: 06/30/2018 (Reapply in Progress)

Type of License: Professional Architect
History (5 yrs.): None.

A complaint was opened after it was discovered that the Respondent was practicing on an expired license. In response to the complaint, the Respondent indicated he was embarrassed by this situation and did not realize his license had expired. He has submitted a request for reinstatement.

Mitigating Factors:

Aggravating Factors: Did not self-report.

Recommendation: Authorize a civil penalty in the amount of \$500 for practicing on an expired license. Respondent is also to take and pass the laws and rules examination. Authorize formal hearing if civil penalty and exam requirements are not met.

Board Decision: OBTAIN LIST OF PROJECTS DURING UNLICENSED PERIOD AND DEFER FOR BOARD CONSIDERATION AT AUGUST 2019 MEETING

LEGISLATIVE UPDATE

Tony Glandorf, Chief Counsel, introduced Stuart Huffman who will serve as the Board's attorney.

Mr. Huffman reported that the Legislature has established certain qualifications that, if met, military persons and spouses would be exempt from licensure with the Tennessee Department of Commerce and Insurance Regulatory Boards Division.

Nathan Ridley announced that architect, engineers and landscape architects are among several professions that have been removed from the list of professions that must pay the \$400.00 Professional Privilege Tax beginning in 2020.

RULES

Mr. Glandorf reported that Proposed Rules previously approved by the Board regarding definitions of "offering to practice" are moving through the process.

DIRECTOR'S REPORT

Grant Letters

The Board reviewed language in letters to be sent to colleges and universities that request grant funds. The process will change for the 2020 distribution of funds because State law requires that monies stemming from grants must be used for programs as well as equipment. Board members want more information regarding the law and its effect on the grant distributions and the updated

guidelines. Members requested information emailed to them and a final decision to be made at the August meeting.

Complaint Data (attached)

Motion was made by Ms. Ballard and seconded by Mr. Tibbs to approve the Letters of Caution. The motion passed unanimously.

Licensing Data was presented for informational purposes only. (attached)

Financial Data (attached)

Financial data was presented for informational purposes only.

CLARB Meeting

Travel authorization and accompanying paperwork have been submitted for approval for Mr. Parker to attend the CLARB Annual Meeting in September.

Break 10:26 – 10:40 a.m.

COMMITTEE REPORTS

Engineer Committee

The Engineer Committee, through Mr. Hethcoat, reported on topics discussed.

- Acceptance of engineering degrees from applicants who graduate from universities accredited through the Washington Accord
- Asking ABET (Accreditation Board for Engineering and Technology) for the difference between a technology degree and an engineering degree
- Use of seal on reports and letters
- Asking staff for suggestions to help process comity applications in a timely manner
- Quazi-Engineering certifications
- Involving architects and a representative from the State Fire Marshal's Office in a discussion regarding the signing of plans that require fire alarms and sprinkler systems

Definitions Committee

The Committee, through Ms. Ware, stated that the purpose of defining architecture, engineering, landscape architecture and interior designing is to benefit the public, building codes officials and others who interact with us; knowing what the practice is will aid in avoiding conflicts and help solve problems that may arise.

The committee members continue to look at examples of definitions from resources within their respective professions such as

- From the Council for Interior Design Qualifications (CIDQ) –definitions developed by the Task Force (2018);

- Definitions from the American Institute of Architects (AIA) and the National Council of Architectural Registration Boards (NCARB);
- Definitions from the Council of Landscape Architectural Registration Boards (CLARB) and from the Pennsylvania Board of Landscape Architects, and
- Definitions from the National Society of Professional Engineers (NSPE) and from the Florida and Texas Boards of Engineers.

GENERAL DISCUSSION

Board members asked that the location of a link to the *Qualifications-Based Selections*-a guide for procuring design professional services in Tennessee be easily accessible.

By consensus the Board asked that the redline version be removed.

Mr. Campbell introduced the new Assistant Commissioner, Toby Compton.

Legal Counsel, Tony Glandorf presented a report to the members, per their request, for the number of complaints against registrants who have been disciplined by the Board for violation of the QBS rule.

The Engineer Committee will meet August 7, 2019 at 9:00 a.m. and the Definitions Committee will meet in the afternoon.

Mr. Campbell announced that Mr. Glandorf will be on military assignment for the next year and thanked him for his service to our country.

ADJOURNMENT

There being no other business, Mr. Campbell adjourned the meeting at 11:20 a.m.

ATTACHMENTS

Minutes of April 4, 2019 meeting

Complaint Data

Licensing Data

Financial Data



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BOARD MEMBERS PRESENT:, Robert Campbell, Jr., Grant Minchew, Blair Parker, Brian Tibbs, Frank Wagster, Kathy Ware, Alton Hethcoat, Stephen King
Susan Ballard was present telephonically.

BOARD MEMBERS ABSENT: Ricky Bursi, Rick Thompson

STAFF MEMBERS PRESENT: Roxana Gumucio, Ashley Jenó, Wanda Garner

ROLL CALL / AGENDA

Mr. Campbell provided the notice of meeting, and Ms. Garner called roll.

Guests were acknowledged.

Ms. Gumucio announced that, since Ms. Ballard was present electronically, all voting would be by roll call.

No changes were made to the agenda.

MINUTES (attached)

After the misspelling of Ms. Ware's name on page 10 of the February 7, 2019 minutes was noted, Mr. Minchew moved to approve the February 7, 2019 minutes as corrected. Mr. Tibbs seconded, and the motion carried by unanimous roll call vote.

PROFESSIONAL SOCIETY REPORTS

Nathan Ridley from the TN chapter of the American Society of Landscape Architects (ASLA-TN) encouraged the Board to look closely at a bill entitled "The Right to Make a Living Act" that may have an impact on design professionals.

Mr. Ridley announced that the ASLA Conference will be held in Memphis in April 2019 and that the Landscape Architects' Day on the Hill is April 10, 2019.

LEGAL CASE REPORT (presented by Ashley Jenó) (attached)

1. Complaint #2019005571

Motion was made by Mr. Wagster and seconded by Ms. Ware to close the case. The motion passed unanimously by roll call vote.

2. Complaint #2019005631

Motion was made by Ms. Ware and seconded by Mr. Tibbs to authorize a formal hearing if the respondent does not accept the settlement offer of a civil penalty in the amount of \$500 for practicing on an expired license and to require that the respondent take and pass the laws and rules examination. The motion passed unanimously by roll call vote.

3. Complaint #2019005641

Motion was made by Mr. Tibbs and seconded by Mr. Minchew to authorize a formal hearing if the respondent does not accept the settlement offer of a civil penalty in the amount of \$500 for practicing on an expired license and to require that the respondent take and pass the laws and rules examination. The motion passed unanimously by roll call vote.

4. Complaint #2019005661

Motion was made by Mr. Tibbs and seconded by Mr. Minchew to authorize a formal hearing if the respondent does not accept the settlement offer of a civil penalty in the amount of \$500 for practicing on an expired license and to require that the respondent take and pass the laws and rules examination. The motion passed unanimously by roll call vote.

5. Complaint #2019007061

Motion was made by Ms. Ware and seconded by Mr. Tibbs to authorize a formal hearing if the respondent does not accept the settlement offer of a civil penalty in the amount of \$500 for practicing on an expired license and to require that the respondent take and pass the laws and rules examination. The motion passed unanimously by roll call vote.

6. Complaint #2019005041

Motion was made by Ms. Ware and seconded by Mr. Tibbs to close the case. The motion passed unanimously by roll call vote.

7. Complaint #201900861

Motion was made by Ms. Ware and seconded by Mr. Wagster to close the case with a Letter of Caution. The motion passed unanimously by roll call vote.

8. Complaint #2019010191

Motion was made by Mr. Tibbs and seconded by Mr. Minchew to authorize a formal hearing if the respondent does not accept the settlement offer of a civil penalty in the amount of \$500 for practicing on an expired license and to require that the respondent take and pass the laws and rules examination. The motion passed unanimously by roll call vote.

9. Complaint #2019009731

Motion was made by Mr. Wagster and seconded by Mr. Tibbs to close the case. The motion passed unanimously by roll call vote.

10. Complaint #2019015141

Motion was made by Mr. Parker and seconded by Mr. Minchew to authorize a formal hearing if the respondent does not accept the settlement offer of a civil penalty in the amount of \$500 for practicing on an expired license and to require that the respondent take and pass the laws and rules examination. The motion passed unanimously by roll call vote.

11. Complaint #2019015151

Motion was made by Mr. Wagster and seconded by Mr. Tibbs to authorize a formal hearing if the respondent does not accept the settlement offer of a civil penalty in the amount of \$500 for practicing on an expired license and to require that the respondent take and pass the laws and rules examination. The motion passed unanimously by roll call vote.

12. Complaint #2019008541

Motion was made by Mr. Parker and seconded by Mr. Minchew to close the case. The motion passed unanimously by roll call vote.

PROFESSIONAL SOCIETY REPORTS – continued

Ashley Cates from American Institute of Architects Tennessee Chapter (AIA-TN) reported that AIA National has released a study on QBS procurement.

Board members expressed concerns about answering OBS questions and were told to refer persons to the website.

Ms. Ware asked, “[If] a registrant [is] found in violation of the requirement not to bid professional services to an entity covered by the QBS requirement, by law, is that registrant in violation of law?”

Ms. Jenó answered that legal could not answer that kind of hypothetical question.

Motion was made by Mr. Parker and seconded by Ms. Ware that Legal research complaint history of registrants who have been disciplined by the Board for violation of the QBS rule. The motion passed unanimously by roll call vote.

The AIA TN Conference will be held in July in Knoxville and a strategic planning session in April will focus on licensure.

Don Baltimore, Tennessee Interior Design Education and Advocacy (TN IDEA), thanked the other associations and the Board for the work and cooperation in working on legislation affecting the design professions regulated by this Board.

Break: 10:44 am

Grant Minchew left the meeting.

LEGISLATIVE UPDATE

Ms. Jenó brought to the attention of the Board two bills that are being considered that may affect the Board: amendments to the Right to Earn a Living Act and a bill which would allow military services members and their spouses who are licensed in another jurisdiction to practice their profession in Tennessee before being licensed.

RULES

Motion was made by Mr. Tibbs and seconded by Mr. Wagster to adopt the Rule 0120-01-.03(1) which allows a person who is gaining practical experience in the office of a practicing architect to use the appellation or title, architectural associate. The motion passed unanimously by roll call vote.

Motion was made by Mr. Wagster and seconded by Ms. Ware to authorize Legal to set a Rule Making Hearing date. The motion passed unanimously by roll call vote.

DIRECTOR'S REPORT

Complaint Data (attached)

Motion was made by Mr. Tibbs and seconded by Mr. Webster to approve the Letters of Caution. The motion passed unanimously by roll call vote.

Complaint data was presented for informational purposes only.

Financial Data (attached)

Financial data was presented for informational purposes only.

Authorization of Travel and Speakers

Engineer Board members attending the NCEES Annual meeting were clarified.

Architect Board members attending the NCARB Annual meeting were clarified.

COMMITTEE REPORTS

Engineer Committee,

The Engineer Committee heard Jason Randal Creasy Needham plead his case of committing a felony, serving his time and making restitution. Heeding the recommendation of the Engineer Committee, motion was made by Mr. Wagster and seconded by Mr. Tibbs, to reinstate Mr. Needham's engineering license. The motion passed unanimously by roll call vote.

Engineers began discussion of developing guidelines and modifications of rules to, fairly and equitably, evaluate experience of potential registrants. The discussion will continue at the June meeting. The other professions were asked to join the discussion.

Mr. Campbell reported on topics discussed at the NCEES Presidents meeting such as impediments to licensure between jurisdictions.

In response to a question from a registrant, the committee stated that if an out-of-state licensed professional signs his/her name to a document, he/she should add "PE" and the name of his/her base state.

By consensus, the Committee agreed that if applicant Robert Leon Luebbers' NJ Consent Order is closed then his application should be approved.

Definitions Committee

After meeting the open meetings requirements, the Definitions Committee, through Ms. Ware, reported that a phone conference was held with Mr. Wagster, Mr. Parker and Ms. Ballard.

Discussions regarding definitions for engineering, architecture, landscape architecture and interior designing continue. Request was made to have the Definitions Committee meet in June.

The Grants to Higher Education Committee

Ms. Gumucio reported that

- Letters asking for grant requests will be mailed to qualifying colleges and universities.
- Tennessee State University did not meet the requirements for receiving a grant and could be asked to refund the dollar amount issued to them.

Mr. Hethcoat and Mr. Parker agreed with Ms. Ballard that initial letters to the colleges/universities should note the importance of following the Grant Guidelines and of submitting documents in a timely manner and the ramifications of not heeding the Guidelines.

OPEN RECORDS AND PUBLIC MEETING ACT

Ms. Jenó cited the definition of open records and gave examples.

Ms. Jenó read the Public Meetings Act to the Board members.

NCARB CONFERENCE MEETING REPORT

Mr. Tibbs reported that the meeting held in Nashville was a success.

OTHER BUSINESS

Mr. Wagster pointed out that the *Building Officials Manual* gives definitions of types of occupancy found in the 1985 Standard Building Code and should be updated.

Mr. King pointed out that it cannot be changed because that edition of the code is stated in the law. Mr. Campbell added that it is used as a definition and not as a reference to the code to be used.

The Board suggested that the professional societies would be the avenue to get the law changed and gave suggestions for the wording such as, "...use the most current code adopted by the Tennessee State Fire Marshal's Office."

NOMINATIONS COMMITTEE

An election of officers of the Board will be held at the June meeting.

ADJOURNMENT

There being no other business, Mr. Campbell adjourned the meeting at 12:30 p.m

ATTACHMENTS

Minutes of April 4, 2019 meeting

Legal Case Report

Complaint Data

Financial Data

A&E CLOSURES BY LETTERS OF CAUTION

Complaint Number	Letter Mailed
201900523	1/22/2019
201900529	1/22/2019
201900526	1/22/2019
201900553	1/22/2019
201900555	1/22/2019
201900552	1/22/2019
201900510	1/22/2019
201900554	1/22/2019
201900508	1/22/2019
201900558	1/22/2019
201900560	1/22/2019
201900561	1/22/2019
201900909	2/1/2019
201900907	2/1/2019
201900911	2/1/2019
201902142	3/18/2019
201902136	3/18/2019
201902137	3/18/2019
201902153	3/19/2019
201902155	3/19/2019
201902159	3/19/2019
201902147	3/19/2019
201902150	3/19/2019
201902162	3/19/2019
201902668	4/1/2019

Clnt Cde	LIC_NBR	Cmpln Nbr	Source Type Desc	Day of Open Dte	SUMMARY
1201	8710	201903813	Internally Generated	5/1/2019	Internally generated complaint: Respondent self-reported that he had practiced on an expired license.
1201	103916	201903815	Internally Generated	5/1/2019	Respondent is using his credentials even though his license has expired
1202	108109	201905398	Consumer	6/14/2019	Complainant is alleging that the respondent has not sent the report that was paid for. Related to C201905398.
1202	112416	201903991	Internally Generated	5/7/2019	Internally generated complaint: Respondent self-reported that he had practiced on an expired license.
1203	726	201903816	Internally Generated	5/1/2019	Internally generated complaint: Respondent self-reported that he had practiced on an expired license.
1207	1270	201904643	Competitor/Licensee	5/21/2019	Complainant alleges respondent claimed work without proper credit to firm and used photos from the work performed without permission.

Architects		In-State	Out-of-State	Total
	11/22/2016	1510	2285	3795
	9/28/2017	1535	2310	3845
	11/29/2018	1586	2406	3992
	1/29/2019	1584	2404	3988
	6/17/2019	1572	2439	4011

Engineers				
	11/22/2016	7232	8313	15545
	9/28/2017	7345	8571	15916
	11/29/2018	7447	9128	16575
	1/29/2019	7363	9061	16424
	6/17/2019	7297	9138	16435

Landscape Architects				
	11/22/2016	204	179	383
	9/28/2017	203	195	398
	11/29/2018	209	212	421
	1/29/2019	208	209	417
	6/17/2019	211	205	416

Interior Designers				
	11/22/2016	368	40	408
	9/28/2017	363	42	405
	11/29/2018	355	40	395
	1/29/2019	351	39	390
	6/17/2019	342	39	381

Totals (Registrants)				
	11/22/2016	9314	10817	20131
	9/28/2017	9446	11118	20564
	11/29/2018	9546	11690	21236
	1/29/2019	9506	11713	21219
	6/17/2019	9422	11821	21243

Architectural Firms				
	11/22/2016	444	1311	1755
	9/28/2017	452	1408	1860
	9/17/2018	459	1461	1920
	6/17/2019	463	1485	1948

Engineering Firms				
	11/22/2016	961	2964	3925
	9/28/2017	981	3237	4218
	9/17/2018	993	3498	4491
	6/17/2019	1019	3718	4737

Landscape Arch Firms				
	11/22/2016	56	113	169
	9/28/2017	58	121	179
	9/17/2018	56	125	181
	6/17/2019	56	129	185

Totals (Firms)				
	11/22/2016	1461	4388	5849
	9/28/2017	1491	4766	6257
	9/17/2018	1508	5084	6592
	6/17/2019	1538	5332	6870

Architects, Engineers, Landscape Architects, & Interior Designers

SURPLUS/DEFICIT

FISCAL YEAR BEGINS: JUL 2018

IND %

	Jul-18	Aug-18	Sep-18	Oct-18	Nov-18	Dec-18	Jan-19	Feb-19	Mar-19	Apr-19	May-19	Jun-19	YEARLY	
A&E Revenues		TREND												
Licensing Revenue	\$ 136,740	\$ 92,385	\$ 88,335	\$ 101,835	\$ 101,095	\$ 142,425	\$ 166,490	\$ 121,695	\$ 117,020	\$ 111,140	\$ -	\$ -	\$ 1,179,160	
Case Revenue	\$ 500	\$ 1,250	\$ -	\$ 1,000	\$ -	\$ 1,500	\$ -	\$ -	\$ 1,000	\$ 2,500	\$ -	\$ -	\$ 7,750	
State Reg Fee	\$ (9,200)	\$ (7,060)	\$ (5,990)	\$ (7,010)	\$ (6,850)	\$ (10,040)	\$ (11,950)	\$ (7,860)	\$ (8,290)	\$ (7,500)	\$ -	\$ -	\$ (81,750)	
TOTAL REVENUE	\$ 128,040	\$ 86,575	\$ 82,345	\$ 95,825	\$ 94,245	\$ 133,885	\$ 154,540	\$ 113,835	\$ 109,730	\$ 106,140	\$ -	\$ -	\$ 1,105,160	0%

A&E Expenses		TREND												
Edison Exp Total:	\$ 33,738	\$ 42,229	\$ 43,643	\$ 53,125	\$ 44,636	\$ 45,282	\$ 54,303	\$ 43,326	\$ 365,856	\$ 59,720	\$ -	\$ -	\$ 785,858	
701-702 Sal Benefits	31,166	28,982	30,895	31,162	29,585	31,660	35,475	31,880	30,863	31,563	0	0	313,231	
72500,72203 Technology	0	1,104	1,171	810	1,531	1,398	963	1,136	1,843	1,517	0	0	11,473	
Other	2,572	12,143	11,577	21,153	13,520	12,224	17,865	10,311	333,150	26,639	0	0	461,154	
Admin Costbacks Total:	\$ 10,486	\$ 35,598	\$ 45,376	\$ 47,492	\$ 29,471	\$ 29,314	\$ 20,162	\$ 22,456	\$ 22,283	\$ 21,869	\$ -	\$ -	\$ 284,506	
701-702 Sal Benefits	7,333	12,441	13,149	13,478	10,631	11,706	8,942	9,342	9,091	9,486	0	0	105,599	
72500,72203 Technology	0	8,920	21,110	28,293	12,220	9,809	5,274	6,290	4,147	4,936	0	0	101,000	
Other	3,153	14,236	11,117	5,720	6,619	7,799	5,945	6,824	9,046	7,447	0	0	77,907	
Centralized Complaints	\$ 370	\$ 447	\$ 371	\$ 349	\$ 850	\$ 826	\$ 3,633	\$ 1,087	\$ 896	\$ 334	\$ -	\$ -	\$ 9,165	
Legal Costbacks	\$ 1,654	\$ 4,056	\$ 11,265	\$ 9,224	\$ 5,182	\$ 5,706	\$ 3,356	\$ 5,425	\$ 3,939	\$ 6,380	\$ -	\$ -	\$ 56,185	
Investigations	\$ -	\$ -	\$ -	\$ -	\$ 310	\$ (1)	\$ (13)	\$ (8)	\$ (7)	\$ (6)	\$ -	\$ -	\$ 275	
Field Enforcement	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	
Customer Service Center	\$ 1,441	\$ 2,443	\$ 2,340	\$ 2,728	\$ 3,610	\$ 3,082	\$ 3,273	\$ 2,380	\$ 2,143	\$ 3,053	\$ -	\$ -	\$ 26,492	
TOTAL EXPENDITURES	\$ 47,689	\$ 84,773	\$ 102,995	\$ 112,917	\$ 84,059	\$ 84,208	\$ 84,714	\$ 74,666	\$ 395,110	\$ 91,350	\$ -	\$ -	\$ 1,162,481	-

Net Surplus/Deficit	\$ 80,351	\$ 1,802	\$ (20,650)	\$ (17,092)	\$ 10,186	\$ 49,677	\$ 69,826	\$ 39,169	\$ (285,380)	\$ 14,790	\$ -	\$ -	\$ (57,321)
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18 Year End Reserve Balance:

2,081,015	2,161,367	2,163,169	2,142,519	2,125,427	2,135,613	2,185,289	2,255,116	2,294,284	2,008,904	2,023,694	2,023,694	2,023,694
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Historical Trend

Licensing & Case Revenue

FY 2018	\$ 112,415	\$ 103,740	\$ 86,905	\$ 103,650	\$ 92,860	\$ 125,165	\$ 162,100	\$ 106,850	\$ 115,255	\$ 95,740	\$ 127,305	\$ 155,415	\$ 1,387,400
FY 2017	\$ 115,840	\$ 97,210	\$ 98,643	\$ 100,720	\$ 97,243	\$ 124,348	\$ 133,030	\$ 143,230	\$ 138,415	\$ 96,650	\$ 120,900	\$ 145,840	\$ 1,412,069
FY 2016	\$ 136,656	\$ 70,596	\$ 49,385	\$ 57,700	\$ 86,960	\$ 137,985	\$ 145,925	\$ 114,430	\$ 121,690	\$ 90,715	\$ 126,535	\$ 143,550	\$ 1,282,127

Expenditures

FY 2018	\$ 54,425	\$ 67,747	\$ 62,719	\$ 68,754	\$ 71,915	\$ 74,988	\$ 66,656	\$ 55,114	\$ 298,406	\$ 178,673	\$ 60,823	\$ 242,521	\$ 1,302,741
FY 2017	\$ 54,579	\$ 77,811	\$ 57,542	\$ 69,939	\$ 66,371	\$ 53,724	\$ 49,003	\$ 70,997	\$ 400,698	\$ 78,609	\$ 75,930	\$ 63,484	\$ 1,118,686

Notes:

3351012001 - Architects & Engineers		Expenditures:												Closing Period 991	Accrual	Requisitions/ Encumbrances	Year-to-Date Total	Percentage Expended to Date	
		July Period 1	August Period 2	September Period 3	October Period 4	November Period 5	December Period 6	January Period 7	February Period 8	March Period 9	April Period 10	May Period 11	June Period 12						
	Work Plan																		
3351012001	Regular Salaries and Wages (70100)	265,000.00	18,655.19	19,455.20	18,405.19	21,115.57	20,656.43	21,018.43	20,541.07	21,540.96	20,592.08	21,240.98	0.00	0.00	0.00	0.00	0.00	203,221.10	76.69%
3351012001	Longevity (70102)	12,000.00	2,400.00	0.00	2,400.00	0.00	2,600.00	700.00	3,738.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	11,838.00	98.65%
3351012001	Overtime (70104)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
3351012001	Employee Benefits (702)	115,000.00	10,110.57	9,526.69	10,090.02	10,046.17	6,328.71	9,941.13	11,196.09	10,338.87	10,271.05	10,322.43	0.00	0.00	0.00	0.00	0.00	98,171.73	85.37%
	Payroll Expenditures	392,000.00	31,165.76	28,981.89	30,895.21	31,161.74	29,585.14	31,659.56	35,475.16	31,879.83	30,863.13	31,563.41	0.00	0.00	0.00	0.00	0.00	313,230.83	79.91%
3351012001	Travel (703)	32,000.00	301.91	3,917.46	2,250.03	7,069.40	535.01	4,478.31	0.00	1,664.60	352.60	2,862.88	0.00	0.00	0.00	0.00	0.00	23,432.20	73.23%
3351012001	Printing, Duplicating & Film Proc. (704)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
3351012001	Utilities and Fuel (705)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
3351012001	Communications & Shipping (706)	20,000.00	1,359.68	1,335.72	1,202.06	1,538.32	820.35	1,821.29	1,105.52	1,640.58	2,866.67	955.83	0.00	0.00	0.00	0.00	0.00	14,646.02	73.23%
3351012001	Maint., Repairs and Svcs by Others (707)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
3351012001	Third Party Prof. & Admin. Svcs (708)	65,000.00	0.00	3,855.69	5,157.99	9,260.00	8,202.92	2,390.51	13,679.50	3,412.35	2,557.79	12,257.31	0.00	0.00	0.00	0.00	0.00	60,774.06	93.50%
3351012001	Supplies and Office Furniture (709)	3,100.00	0.00	0.00	0.00	27.03	1,030.57	608.20	0.00	0.00	967.86	56.25	0.00	0.00	0.00	0.00	0.00	2,689.91	86.77%
3351012001	Rentals and Insurance (710)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
3351012001	Motor Vehicle Operation (711)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
3351012001	Awards and Indemnities (712)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
3351012001	Grants and Subsidies (713)	350,000.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	322,755.00	7,272.00	0.00	0.00	0.00	0.00	0.00	330,027.00	94.29%
3351012001	Unclassified Expenses (714)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
3351012001	Inventory (715)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
3351012001	Equipment (716)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
3351012001	Land (717)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
3351012001	Buildings (718)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
3351012001	Lost Discounts (719)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
3351012001	Highway Construction (720)	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	#DIV/0!
3351012001	Training of State Employees (721)	4,000.00	450.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	235.00	0.00	0.00	0.00	0.00	0.00	0.00	685.00	17.13%
3351012001	Computer Related Items (722)	5,000.00	0.00	168.79	87.09	87.09	87.09	87.09	87.09	81.70	150.04	87.09	0.00	0.00	0.00	0.00	0.00	923.07	18.46%
3351012001	State Prof. Svcs. (725)	345,000.00	23,602.11	17,919.82	46,598.61	63,329.38	64,167.33	43,660.01	42,661.21	35,641.67	36,085.23	33,922.50	0.00	0.00	0.00	2,931.00	0.00	410,518.87	118.99%
	Other Expenditures	824,100.00	25,713.70	27,197.48	55,295.78	81,311.22	74,843.27	53,045.41	57,533.32	42,440.90	365,970.19	57,413.86	0.00	0.00	0.00	2,931.00	0.00	843,696.13	102.38%
	TOTAL EXPENDITURES	1,216,100.00	56,879.46	56,179.37	86,190.99	112,472.96	104,428.41	84,704.97	93,008.48	74,320.73	396,833.32	88,977.27	0.00	0.00	0.00	2,931.00	0.00	1,156,926.96	95.13%

Civil Penalty Payment Tracking Log

Complaint #	Respondent	Deposit Date	Amount	Regulatory Board		
2019010191	George Alexander McGrew III	4/17/2019	\$	500.00	Engineer	Consent Order HAB
2019007061	Christopher Grubb	4/17/2019	\$	500.00	Engineer	Concent Order HAB
2019005631	Randy Wayne Becker	4/23/2019	\$	500.00	A&E	Consent Order TH
2019015151	David Leland St. John	4/23/2019	\$	500.00	A&E	consent order TH
2019005661	Stephen Powell	4/26/2019	\$	500.00	A & E	Consent RP